

1 **On Coupled Unsaturated-Saturated Flow Process Induced by Vertical,**
2 **Horizontal and Slant Wells in Unconfined Aquifers**

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22 **Abstract**

23 Conventional models of pumping tests in unconfined aquifers often neglect the unsaturated flow
24 process. This study concerns coupled unsaturated-saturated flow process induced by vertical,
25 horizontal, and slant wells positioned in an unconfined aquifer. A mathematical model is
26 established with special consideration of the coupled unsaturated-saturated flow process and well
27 orientation. Groundwater flow in the saturated zone is described by a three-dimensional
28 governing equation, and a linearized three-dimensional Richards' equation in the unsaturated
29 zone. A solution in Laplace domain is derived by the Laplace-finite Fourier transform and the
30 method of separation of variables, and the semi-analytical solutions are obtained using a
31 numerical inverse Laplace method. The solution is verified by a finite-element numerical model.
32 It is found that the effects of the unsaturated zone on the drawdown of pumping test exist in any
33 angle of inclination of the pumping well, and this impact is more significant for the case of a
34 horizontal well. The effects of unsaturated zone on the drawdown are independent of the length
35 of the horizontal well screen. The vertical well leads to the largest water volume drained from the
36 unsaturated zone (W) value during the early time, and the effects of the well orientation on W
37 values become insignificant at the later time. The screen length of the horizontal well does not
38 affect W for the whole pumping period. The proposed solutions are useful for parameter
39 identification of pumping tests with a general well orientation (vertical, horizontal, and slant) in
40 unconfined aquifers affected from above by the unsaturated flow process.

41 **Keywords:** Horizontal well; Slant well; Coupled unsaturated-saturated flow; Drainage from the
42 unsaturated zone.

43 **1. Introduction**

44 In addition to conventional vertical wells, horizontal and slant pumping wells are broadly
45 used in the petroleum industry, environmental and hydrological applications in recent decades.
46 Horizontal and slant pumping wells are commonly installed in shallow aquifers to yield a large
47 amount of groundwater (Bear, 1979) or to remove a large amount of contaminant (Sawyer and
48 Lieuallen-Dulam, 1998). Horizontal and slant wells have some advantages over vertical wells
49 (Yeh and Chang, 2013;Zhan and Zlotnik, 2002), e.g., horizontal and slant wells yield smaller
50 drawdowns than the vertical wells with the same pumping rate per screen length. Horizontal and
51 slant wells have long screen sections which can extract a great volume of water in shallow or low
52 permeability aquifers without generating significant drawdowns.

53 Hantush and Papadopoulos (1962) firstly investigated the problem of fluid flow to a horizontal
54 well in hydrologic sciences. Since then, this problem was not of great concern in the
55 hydrological science community because of the limitation of directional drilling techniques and
56 high drilling costs. With significant advances of the directional drilling technology over the last
57 20 years, the interest on horizontal and/or slant wells was reignited. Until now flow to horizontal
58 and/or slant wells have been investigated in various aspects, including flow in confined aquifers
59 (Cleveland, 1994;Zhan, 1999;Zhan et al., 2001;Kompani-Zare et al., 2005), unconfined aquifers
60 (Huang et al., 2016;Rushton and Brassington, 2013;Zhan and Zlotnik, 2002;Huang et al.,
61 2011;Mohamed and Rushton, 2006;Kawecki and Al-Subaikhy, 2005), leaky confined aquifers
62 (Zhan and Park, 2003;Sun and Zhan, 2006;Hunt, 2005), and fractured aquifers (Nie et al.,
63 2012;Park and Zhan, 2003;Zhao et al., 2016). The readers can consult Yeh and Chang (2013) for
64 a recent review of well hydraulics on various well types, including horizontal and slant wells.

65 As demonstrated in previous studies, horizontal and slant wells had significant advantages
66 over vertical wells in unconfined aquifers, thus they were largely used in unconfined aquifers for
67 pumping or drainage purposes. However, none of above-mentioned studies considered the effects
68 of unsaturated processes on groundwater flow to horizontal and slant wells in unconfined
69 aquifers. For the case of flow to vertical wells in saturated zones, the effects of above unsaturated
70 processes were investigated by several researchers (Kroszynski and Dagan, 1975; Mathias and
71 Butler, 2006; Tartakovsky and Neuman, 2007; Mishra and Neuman, 2010, 2011). For example,
72 Tartakovsky and Neuman (2007) considered axisymmetric unsaturated-saturated flow for a
73 pumping test in an unconfined aquifer and employed one parameter that characterized both the
74 water content and the hydraulic conductivity as functions of pressure head, assuming an infinite
75 thickness unsaturated zone. Mishra and Neuman (2010, 2011) extended the solution of
76 Tartakovsky and Neuman (2007) using four parameters to represent the unsaturated zone
77 properties and considering a finite thickness for the unsaturated zone (Mishra and Neuman,
78 2010), and considered the wellbore storage as well (Mishra and Neuman, 2011). The main results
79 from the studies concerning vertical wells indicated that the unsaturated zone often had a major
80 impact on the S-shaped drawdown type curves.

81 A following question to ask is that are these conclusions drawn for vertical wells also
82 applicable for horizontal and slant wells when coupled unsaturated-saturated flow is of concern?
83 Specifically, how important is the wellbore orientation on groundwater flow to a horizontal or
84 slant well considering the coupled unsaturated-saturated flow process? In order to answer these
85 questions, we establish a mathematical model for groundwater flow to a general well orientation
86 (vertical, horizontal, and slant wells) considering the coupled unsaturated-saturated flow process.
87 We incorporate a three-dimensional linearized Richards' equation into a governing equation of

88 groundwater flow in an unconfined aquifer. We employ the Laplace-finite Fourier transform and
89 the method of separation of variables to solve the coupled unsaturated-saturated flow governing
90 equations. This paper is organized as follows, we first present the mathematical model and
91 solution in sections 2 and 3, respectively, then describe the results and discussion in section 4,
92 and summarize this study and draw conclusions in section 5.

93 **2. Mathematical Model**

94 The schematic diagrams of flow to horizontal and slant wells in an unsaturated-saturated
95 system are represented in Fig. 1a. and 1b, respectively. Similar to the conceptual model used by
96 Zhan and Zlotnik (2002), the origin of the Cartesian coordinate is located at the bottom of the
97 saturated zone with the z axis along the upward vertical direction and the x and y axes along the
98 principal horizontal hydraulic conductivity directions. The horizontal and slant well screens are
99 located in the saturated zone with a distance z_w from the center point of the screen $(0, 0, z_w)$ to
100 the bottom of the saturated zone. The slant well has three inclined angles γ_x , γ_y , and γ_z with the
101 x , y , and z axes, respectively, and such three angles satisfying $\cos^2(\gamma_x) + \cos^2(\gamma_y) + \cos^2(\gamma_z) =$
102 1. The horizontal well is a specific case of the slant well when $\gamma_z = \pi/2$. The saturated zone is
103 assumed as an infinite lateral extent unconfined aquifer with a slight compressibility, and is
104 spatially uniform and anisotropic (Tartakovsky and Neuman, 2007). The saturated zone is below
105 an initially horizontal water table at $z = d$, and the unsaturated zone is above $z = d$ with an
106 initial thickness b .

107 In order to solve the problem of groundwater flow to a horizontal or slant well, we first solve
108 the governing equation of groundwater flow to a point sink. The mathematical model for

109 groundwater flow to a point sink (x_0, y_0, z_0) in a homogeneously anisotropic saturated zone is
110 given by

$$111 K_x \frac{\partial^2 s}{\partial x^2} + K_y \frac{\partial^2 s}{\partial y^2} + K_z \frac{\partial^2 s}{\partial z^2} + Q\delta(x - x_0)\delta(y - y_0)\delta(z - z_0) = S_S \frac{\partial s}{\partial t}, \quad 0 \leq z < d, \quad (1a)$$

$$112 s(x, y, z, 0) = 0, \quad (1b)$$

$$113 \frac{\partial s}{\partial z}(x, y, z, t)|_{z=0} = 0, \quad (1c)$$

$$114 \lim_{x \rightarrow \pm\infty} s(x, y, z, t) = \lim_{y \rightarrow \pm\infty} s(x, y, z, t) = 0, \quad (1d)$$

115 where s is the drawdown (the change in hydraulic head from the initial level) in the saturated
116 zone [L]; K_x , K_y and K_z are the saturated principal hydraulic conductivities in the x , y and z
117 directions, respectively [LT⁻¹]; Q is the pumping rate (positive for pumping and negative for
118 injecting) [L³T⁻¹]; $\delta(\cdot)$ is the Dirac delta function [L⁻¹]; S_S is the specific storage [L⁻¹]; d is the
119 saturated zone thickness [L]; t is time since start of pumping [T]. It is noteworthy that the aquifer
120 is assumed to be homogenous and spatially uniform in this study. Despite the fact that a real-
121 world aquifer is likely to be heterogeneous and/or non-uniform, there are evidences that a
122 moderately heterogeneous aquifer may sometimes behave as an averaged “homogeneous”
123 system for pumping-induced groundwater flow problems. This interesting phenomena may be
124 due to the diffusive nature of groundwater flow which can somewhat smooth out the effect of the
125 heterogeneity to a certain degree (Pechstein et al., 2016; Zech and Attinger, 2016).

126 Flow in the unsaturated zone induced by pumping in the unconfined aquifer is governed by
127 the Richards' equation. Due to the nonlinear nature of the Richards' equation, it is difficult to
128 analytically solve this equation except for some specific cases. Kroszynski and Dagan (1975)
129 proposed a first-order linearized unsaturated flow equation by expanding the dependent variable
130 in the Richards' equation as a power-function series when the pumping rate was less than Kd^2 ,
131 where K is the saturated hydraulic conductivity of a homogeneous medium. The readers can find
132 the details of the linearized equation derivation in previous studies (Kroszynski and Dagan,

133 1975; Tartakovsky and Neuman, 2007). With such a linearized treatment, it becomes possible to
 134 analytically solve the equation of flow in the unsaturated zone. The linearized three-dimensional
 135 unsaturated flow equation is adopted in this study as follows,

$$136 \quad k_0(z)K_x \frac{\partial^2 u}{\partial x^2} + k_0(z)K_y \frac{\partial^2 u}{\partial y^2} + K_z \frac{\partial}{\partial z} \left(k_0(z) \frac{\partial u}{\partial z} \right) = C_0(z) \frac{\partial u}{\partial t}, \quad d \leq z < d + b, \quad (2a)$$

$$137 \quad u(x, y, z, 0) = 0, \quad (2b)$$

$$138 \quad \frac{\partial u}{\partial z} (x, y, t) \Big|_{z=d+b} = 0, \quad (2c)$$

$$139 \quad \lim_{x \rightarrow \pm\infty} u(x, y, z, t) = \lim_{y \rightarrow \pm\infty} u(x, y, z, t) = 0, \quad (2d)$$

$$140 \quad k_0(z) = k(\theta_0), \quad C_0(z) = C(\theta_0), \quad (2e)$$

141 where u is the drawdown in the unsaturated zone [L]; the functions $k_0(z)$ and $C_0(z)$ are the
 142 zero-order approximation of the relative hydraulic conductivity [dimensionless] and the soil
 143 moisture capacity [L^{-1}] at the initial water content of θ_0 , respectively; k is the relative hydraulic
 144 conductivity and $0 \leq k \leq 1$; $C(\geq 0)$ is the specific moisture capacity [L^{-1}], and $C = d\theta/d\psi$, θ
 145 is the volumetric water content [dimensionless], and ψ is the pressure head [L]; b is the thickness
 146 of the unsaturated zone [L]. Similar to Tartakovsky and Neuman (2007), the unsaturated zone
 147 properties are described with the two-parameter Gardner (1958) exponential constitutive
 148 relationships,

$$149 \quad k_0(z) = e^{\kappa(d-z)}, \quad (3a)$$

$$150 \quad C_0(z) = S_y \kappa e^{\kappa(d-z)}, \quad (3b)$$

151 where $\kappa > 0$ is the constitutive exponent [L^{-1}], S_y is the specific yield [dimensionless]. As
 152 mentioned in the introduction that this two-parameter model was extended to the four-parameter
 153 model by Mishra and Neuman (2010, 2011). The four-parameter model may be closer to the
 154 realistic situation. However, a model with more parameters has its disadvantage as well. Firstly,
 155 it is more difficult to determine the values of those parameters precisely from a practical

156 standpoint. Secondly, the predictive capability of a model with more parameters may not be
157 better than that of a model with less parameters. For the discussion of this issue, one may consult
158 the editorial messages of Voss (2011a, 2011b) and discussion by Bredehoeft (2005). In this
159 study, we focus on a question that how important is the wellbore orientation on groundwater flow
160 to a horizontal or slant well considering the coupled unsaturated-saturated flow process. To focus
161 on answering this question, we prefer to use a simpler model with the balance that keeping the
162 most important physical processes in the model but at the same time ignoring the secondary
163 effects.

164 It shows in Eq. (3b) that at the water table ($z=d$) a smaller κ leads to a smaller $C_0(z)$ and a
165 larger retention capacity (Kroszynski and Dagan, 1975; Tartakovsky and Neuman, 2007), i.e.,
166 water in the unsaturated zone becomes more difficult to drain. In this study, we assume the upper
167 boundary of the unsaturated zone as a no-flow boundary condition in Eq. (2c) by neglecting the
168 effects of both infiltration and evaporation during the pumping. Because typical pumping tests
169 usually last over much shorter periods of time relative to the durations of infiltration and
170 evaporation processes, this assumption can hold for most field conditions, particularly for lands
171 with sparse vegetation where the influence of plant transpiration is limited as well.

172 The saturated and unsaturated flows are coupled at their interface by continuities of pressure
173 and vertical flux across the water table which, following linearization, take the form

174
$$s - u = 0, \quad z = b, \tag{4a}$$

175
$$\frac{\partial s}{\partial z} - \frac{\partial u}{\partial z} = 0, \quad z = b. \tag{4b}$$

176 Above linearized equations of (4a) and (4b) assume that the variation of water table is minor
177 in respect to the total saturated thickness. This assumption works better for horizontal wells and
178 slant wells as for vertical wells, provided that the same pumping rate is used. This is because

179 horizontal wells and slant wells will generate much less drawdowns over laterally broader regions;
 180 while vertical wells tend to generate laterally more concentrated and much greater drawdown near
 181 the pumping wells (Zhan and Zlotnik, 2002).

182 **3. Solutions**

183 **3.1 Solution for a point sink**

184 The solution to Eq. (1a) is obtained by the Laplace and finite cosine Fourier transform. The
 185 Laplace domain solution of Eq. (1a) subject to initial condition Eq. (1b) and boundary conditions
 186 Eqs. (1c) and (1d) is given as (Zhan and Zlotnik, 2002)

187
$$\bar{s}_D(\mathbf{r}_D, z_D, p) = \sum_{n=0}^{\infty} \frac{8 \cos(\omega_n z_{0D}) \cos(\omega_n z_D)}{p \Psi(\omega_n)} K_0(\Omega_n |\mathbf{r}_D - \mathbf{r}_{0D}|), \quad (5)$$

188 where

189
$$\Omega_n = \sqrt{\omega_n^2 + p}, \quad \Psi(\omega_n) = 2\alpha_z + \sin(2\omega_n \alpha_z)/\omega_n, \quad (6)$$

190 where the subscript D denotes the dimensionless terms, the definition of all dimensionless
 191 variables are presented in the supplementary material (S1); p is the Laplace transform parameter
 192 with respect to the dimensionless time, and the overbar denotes a variable in the Laplace domain;
 193 ω_n is the n -th eigenvalue of the Fourier transform, and it will be determined later; K_0 is the
 194 modified second-kind Bessel function of zero-order; $\mathbf{r}_D = (x_D, y_D)$ and $\mathbf{r}_{0D} = (x_{0D}, y_{0D})$ are the
 195 dimensionless radial vectors of the observation point and the sink point, respectively.

196 The solution to Eq. (2a) is obtained by the Laplace transform and the method of separation of
 197 variables (supplementary material, S2) and is given as

198
$$\bar{u}_D(r_D, z_D, p) = \sum_{n=0}^{\infty} \frac{8 \cos(\omega_n z_{0D})}{p \Psi(\omega_n)} K_0(\Omega_n |\mathbf{r}_D - \mathbf{r}_{0D}|) \mathcal{H}_n(z_D, p), \quad (7)$$

199 where

$$200 \quad \mathcal{H}_n = \begin{cases} \cos(\omega_n \alpha_z) \frac{(M+N) \exp[2N(\alpha_z+b_D)+(M-N)z_D] - (M-N) \exp[(M+N)z_D]}{(M+N) \exp[2N(\alpha_z+b_D)+(M-N)\alpha_z] - (M-N) \exp[(M+N)\alpha_z]}, & \text{if } \Delta > 0 \\ \cos(\omega_n \alpha_z) \exp(Mz_D - M\alpha_z) \frac{[N_1 \tan(N_1(\alpha_z+b_D)) - M] \sin(N_1 z_D) + [M \tan(N_1(\alpha_z+b_D)) + N_1] \cos(N_1 z_D)}{[N_1 \tan(N_1(\alpha_z+b_D)) - M] \sin(N_1 \alpha_z) + [M \tan(N_1(\alpha_z+b_D)) + N_1] \cos(N_1 \alpha_z)}, & \text{if } \Delta < 0 \\ \cos(\omega_n \alpha_z) \exp(Mz_D - M\alpha_z) \frac{1+M(\alpha_z+b_D)-Mz_D}{1+M(\alpha_z+b_D)-M\alpha_z}, & \text{if } \Delta = 0 \end{cases} \quad (8)$$

201 where $M = \kappa_D/2$; $N = \sqrt{\Delta}$ if $\Delta \geq 0$; $N_1 = \sqrt{-\Delta}$ if $\Delta < 0$; $\Delta = \kappa_D^2/4 + \beta p - \Omega_n^2$.

202 The eigenvalues of the finite cosine Fourier transform ω_n can be obtained by substituting
 203 Eqs. (5) and (7) into the continuities of normal (vertical) flux equation (Eq. (S6b)). The detail can
 204 be found in supplementary material (S3). On the basis of the method illustrated above, it is
 205 straightforward to obtain the Laplace domain solutions \bar{s}_D for the case of the unconfined aquifer
 206 with a free water table boundary and without the unsaturated zone influence (Zhan and Zlotnik,
 207 2002) (abbreviated as the ZZ solution hereinafter), and the case of the groundwater flow to a
 208 horizontal well in an confined aquifer (Zhan et al., 2001) (abbreviated as the ZWP solution
 209 hereinafter). The solutions \bar{s}_D for these two special cases require different ω_n values. For the free
 210 water table condition the ω_n is the root of $\omega_n \tan(\omega_n) = p/\sigma$ (Zhan and Zlotnik, 2002). For the
 211 confined aquifer case the $\omega_n = n\pi/\alpha_z$, $n = 0, 1, 2, \dots$ (Zhan et al., 2001).

212 **3.2 Solution for a slant pumping well**

213 Due to the linearity of the mathematical models Eqs. (1) and (2), the principle of
 214 superposition can be employed to extend the basic solutions of Eqs. (5) and (7). Thus, on the
 215 basis of the principle of superposition, the drawdown induced by a line sink in the saturated zone
 216 can be obtained by integrating the solution Eqs. (5) and (7) along the well axis, provided that the
 217 pumping strength distribution along the well screen is known. Precise determination of the
 218 pumping strength distribution along a horizontal or slant well involves complex, coupled aquifer-
 219 pipe flow (Chen et al., 2003) in which the flow inside the wellbore (pipe flow) can experience
 220 different stages of flow schemes from laminar, transitional turbulent, to fully developed turbulent
 221 flow. Such complex coupled well-aquifer flow is beyond the scope of this study and one may

222 consult some recent studies of Blumenthal and Zhan (2016) and Wang and Zhan (2016) for more
 223 details. However, often time one may adopt a first-order approximation of using a uniform flux
 224 distribution to treat the horizontal or slant wells, particularly when the well screen lengths are not
 225 extremely long (like kilometers). Such an approximation has been justified by Zhan and Zlotnik
 226 (2002). In this study, a uniform flux distribution will be utilized for horizontal or slant wells
 227 hereinafter to obtain the solutions.

228 The drawdown in saturated and unsaturated zones due to a slant pumping well can be written
 229 as:

$$230 \quad \bar{s}_{ID}(p) = \sum_{n=0}^{\infty} \frac{8 \cos(\omega_n z_D)}{L_D p \Psi(\omega_n)} \int_{-\frac{L_D}{2}}^{\frac{L_D}{2}} \cos \left[\omega_n \left(z_{WD} + l \frac{\alpha_z}{\alpha_x} \cos \gamma_z \right) \right] K_0[\Omega_n F(l)] dl, \quad (9)$$

231 and

$$232 \quad \bar{u}_{ID}(p) = \sum_{n=0}^{\infty} \frac{8 \mathcal{H}_n(z_D, p)}{L_D p \Psi(\omega_n)} \int_{-\frac{L_D}{2}}^{\frac{L_D}{2}} \cos \left[\omega_n \left(z_{WD} + l \frac{\alpha_z}{\alpha_x} \cos \gamma_z \right) \right] K_0[\Omega_n F(l)] dl, \quad (10)$$

233 respectively, where \bar{s}_{ID} and \bar{u}_{ID} are the Laplace transforms of s_{ID} and u_{ID} , respectively, and they
 234 are defined in the same way as s_D and u_D in Eqs. (5) and (7), respectively; $L_D = \alpha_x L/d$ is the
 235 dimensionless length of the slant well screen (L); $z_{WD} = \alpha_z z_w/d$ is the dimensionless elevation of
 236 the center of the pumping well screen; l is a dummy variable; $F(l) =$
 237 $\sqrt{(x_D - l \sin \gamma_z \cos \gamma_x)^2 + (y_D - l \frac{\alpha_y}{\alpha_x} \sin \gamma_z \cos \gamma_y)^2}$. \bar{s}_{ID} and \bar{u}_{ID} will respectively reduce to
 238 drawdowns in the saturated and unsaturated zones due to a horizontal well when $\gamma_z = \pi/2$. It is
 239 noteworthy that these solutions can be straightforwardly extended to situations of location-
 240 dependent pumping rates as long as the flux rate distribution along the wellbore is known *a priori*.
 241 To do so, one simply modifies Eqs. (9) and (10) using a location-dependent flux function inside
 242 the integration.

243 The drawdown in an observation (vertical) well located in the saturated zone that is screened

244 from z_l to z_u ($z_u > z_l$) can be calculated using the average of the point drawdown Eq. (9) along
 245 the observation well screen (Zhan and Zlotnik, 2002):

$$246 \quad \bar{s}_{OD}(p) = \sum_{n=0}^{\infty} \frac{8[\sin(\omega_n z_{uD}) - \sin(\omega_n z_{lD})]}{L_D(z_{uD} - z_{lD}) \omega_n p \Psi(\omega_n)} \int_{-\frac{L_D}{2}}^{\frac{L_D}{2}} \cos \left[\omega_n \left(z_{WD} + l \frac{\alpha_z}{\alpha_x} \cos \gamma_z \right) \right] K_0[\Omega_n F(l)] dl, \quad (11)$$

247 where \bar{s}_{OD} is the Laplace transform of s_{OD} , and s_{OD} is defined in the same way as s_D in Eq. (5);
 248 $z_{uD} = \alpha_z z_u / d$, $z_{lD} = \alpha_z z_l / d$.

249 It should be noted that our solutions do not account for the wellbore effects of the pumping
 250 and observation wells. Indeed, the wellbore effects have introduced additional complexity to the
 251 solutions which are already substantially more complex than the solutions excluding the
 252 unsaturated flow process. To avoid the influence of wellbore storage effects, we make the
 253 following proposal that could be implemented in the future investigations of coupled saturated-
 254 unsaturated flow process: using pack systems to insulate the screens of pumping and the
 255 observation wells, thus wellbore storages will not be a concern.

256 **3.3 Total volume drained from the unsaturated zone for a slant well**

257 The dimensionless total volume drained from the unsaturated zone to the saturated zone
 258 (water flux across the water table) can be obtained by

$$259 \quad \bar{W}_D(p) = - \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} \frac{\partial \bar{s}_{ID}}{\partial z_D} |_{\alpha_z} dx_D dy_D = \sum_{n=0}^{\infty} \frac{16\pi \sin(\omega_n \alpha_z) \cos(\omega_n z_{WD}) \sin(\omega_n \phi)}{p \Psi(\omega_n) \Omega_n^2 \phi}, \quad (12)$$

260 where \bar{W}_D is the Laplace transform of W_D , and $W_D = W \frac{4\pi \alpha_z^3}{Q}$, W is the total volume drained from
 261 the unsaturated zone; $\phi = L_D \alpha_z \cos(\gamma_z) / (2\alpha_x)$.

262 It is difficult to obtain closed-form solutions by analytically inverting the Laplace transforms
 263 of Eqs. (5), (7), (9), (10) and (12) and thus a numerical inverse Laplace method is employed in
 264 this study. There are several numerical inverse Laplace methods, such as Stehfest method
 265 (Stehfest, 1970), Zakian method (Zakian, 1969), Fourier series method (Dubner and Abate,

266 1968), Talbot algorithm (Talbot, 1979), Crump technique (Crump, 1976), and de Hoog algorithm
267 (de Hoog et al., 1982), with each method best fitted for a particular type of problem
268 (Hassanzadeh and Pooladi-Darvish, 2007). Chen (1985), Zhan et al. (2009a;2009b), and Wang
269 and Zhan (2013) have successfully employed the Stehfest algorithm to obtain the solution in the
270 time domain for similar problems to this study. For references to different inverse Laplace
271 methods, one can consult the review of Kuhlman (2013) and Wang and Zhan (2015). In this
272 study we use the Stehfest method to invert the Laplace solutions into the solutions in the time
273 domain. In order to ensure the accuracy of the Stehfest method, several numerical exercises have
274 been performed against the benchmark solutions for several special cases of the investigated
275 problem.

276 **4. Results and Discussion**

277 **4.1 Effect of unsaturated zone parameters**

278 The main difference between the ZZ solution and present solution is the upper boundary
279 condition of the saturated zone. The ZZ solution considered linearized free surface (kinematic)
280 equation as the water table boundary that employed one parameter, i.e., specific yield (S_y) to
281 account for the gravity drainage after water table declining. The present solution represents
282 coupled water flow through both the unsaturated and saturated zones. The water table boundary
283 is replaced by coupled interface conditions between the unsaturated and the saturated zones.
284 Thus the behavior of the drawdown in the saturated zone induced by the pumping wells will be
285 affected by the unsaturated zone. To investigate the manner how the dimensionless constitutive
286 exponent κ_D and the dimensionless unsaturated thickness b_D impact the drawdown in the
287 saturated zone induced by a horizontal pumping well, we plot the log-log graph of s_{ID} versus

288 t_D/r_D^2 (the type curves) for different κ_D and b_D in Figures 2a and 2b, respectively. We also
289 compare our solution to the ZZ solution (unconfined aquifer) and the ZWP solution (confined
290 aquifer). For convenience we assume the horizontal well screen to be situated along the x -
291 direction, i.e., $\gamma_x = 0$ and $\gamma_y = \gamma_z = \pi/2$. The other parameter values in Eq. (9) are $\sigma=1\times10^{-3}$,
292 $L_D=1$, $\gamma=0$, $\alpha_z=1$, $x_D=0.5$, $y_D=0.05$, $z_D=0.8$, and $z_{WD}=0.5$.

293 Figure 2a presents the drawdown curves in the saturated zone for different values of κ_D
294 (1×10^{-5} , 1×10^{-3} , 1×10^{-1} , 1×10^1 and 1×10^3) with a fixed dimensionless thickness of the
295 unsaturated zone b_D of 0.5. The dimensionless constitutive exponent $\kappa_D = \kappa d/\alpha_z = \kappa d K_D^{1/3}$,
296 where K_D is the anisotropic ratio between the vertical hydraulic conductivity and the horizontal
297 hydraulic conductivity.

298 The unsaturated flow has significant impact on drawdown curves in the saturated zone when
299 κ_D is less than 10 (the unsaturated-saturated system has a large retention capacity, a small initial
300 saturated thickness, and/or a relatively small vertical hydraulic conductivity). The impact of
301 unsaturated flow decreases as κ_D increases, becoming small or insignificant when κ_D close to
302 1×10^3 . Our curve is almost the same as the curve of the ZZ solution when $\kappa_D = 1\times10^3$ (gray solid
303 curve), and gradually deviates from the ZZ solution but approaches the ZWP solution as κ_D
304 decreases to 1×10^{-5} (black solid curve). For a fixed initial saturated thickness, when κ_D is
305 smaller, i.e., the unsaturated zone has larger retention capacity and/or both the unsaturated and
306 saturated zones have relatively smaller vertical hydraulic conductivity, water drainage from the
307 unsaturated zone is impeded, forcing more water to be released from compressible storage of the
308 saturated zone, leading to larger drawdown in the saturated zone. The opposite is true when κ_D is

309 larger. It is consistent with the findings in the vertical pumping well case (Tartakovsky and
310 Neuman, 2007).

311 It also shows in Figure 2a that the drawdown have typical “S” pattern curves while $\kappa_D \geq 0.1$.
312 At early times, all curves are approximately identical due to response of the confined storage and
313 minor effects of the upper boundary of the saturated zone; at intermediate times, the drawdowns
314 of the ZZ solution and our solutions increase slower than that of the ZWP solution due to
315 response of additional storage of the upper boundary of the saturated zone; at later times, the
316 drawdown increasing rates of the ZZ solution and our solutions are nearly the same as that of the
317 ZWP solution due to the combined effects of both storage mechanisms.

318 The unsaturated zone controls the effects of additional storage and upper boundary of the
319 saturated zone on drawdown curves. There are physical differences between the ZZ solution and
320 our solution. The ZZ solution uses the storage factor S_y (specific yield) at upper boundary of the
321 saturated zone. Such a storage factor at the upper boundary is greater than the actual storage
322 capacity of the unsaturated zone when the unsaturated parameter $\kappa_D \leq 10$, leading to a slower
323 water level decline for the ZZ solution, and such effect will become insignificant for a long
324 pumping time. Similar to κ_D , the dimensionless unsaturated thickness b_D also affects the
325 drawdown behavior of the saturated zone, as shown in Figure 2b for different values of b_D .
326 (0.001, 0.01, 1, 10 and 100) with a fixed $\kappa_D=0.1$ and the same parameters used as Figure 2a.
327 Figure 2b shows that the impact of unsaturated flow increases when b_D decreases. The
328 drawdown behavior approaches the ZWP solution when $b_D=0.001$. For large b_D (=100),
329 however, our solution is significantly different from the ZZ solution at intermediate times
330 because the impact of unsaturated flow becomes significant at a fixed κ_D of 0.1.

331 In order to further investigate the effects of the unsaturated zone, Figure 2c displays the
332 drawdown curves in the unsaturated zone (u_{ID}) for different values of κ_D (1×10^{-5} , 1×10^{-3} , 1×10^{-1} ,
333 1×10^1 and 1×10^3) at $z_D = 1.5$ where the other parameters are the same as in Figure 2a. As κ_D
334 increases, the retention capacity of the unsaturated zone decreases, thus more water is released
335 from the unsaturated storage. It leads to smaller drawdown in both the unsaturated and saturated
336 zones. Figure 2d depicts the drawdown curves in the unsaturated zone for different values of b_D
337 (0.5 , 1 , 2 , 10 and 100). As expected, the drawdown in the unsaturated zone decreases with b_D
338 increasing due to the fact that more water is stored in the unsaturated zone for larger b_D . These
339 results are consistent with the findings of Mishra and Neuman (2010, 2011).

340 **4.2 Effect of well orientation and well screen length**

341 In this section, we first investigate the effect of the inclined angle of the slant well on the type
342 curves. Figure 3 shows the comparison between the ZZ solution and our solution with $\kappa_D = 10$
343 for three different angles of a slant well ($\gamma_z = 0$, $\pi/4$, and $\pi/2$) at two observation points ($z_D =$
344 0.9 for Figure 3a and $z_D = 0.1$ for Figure 3b) where the other parameters are the same as in
345 Figure 2. Obviously the smaller angle creates the larger drawdown at both observation points.
346 For the horizontal well ($\gamma_z = \pi/2$) the discrepancy between the ZZ solution and our solution is
347 larger than that for the vertical well ($\gamma_z = 0$) at upper observation point (Figure 3a). Such a
348 discrepancy diminishes at the lower observation point (Figure 3b). It reveals that the effects of
349 the unsaturated zone on the drawdown exist in any angle of inclination of a slant well for the
350 upper part of the aquifer, and this impact is more significant for the case of the horizontal well.
351 The impact of the unsaturated zone decreases when the observation point moves downward,
352 becoming further away from the unsaturated zone, as expected.

353 Here we investigate the effect of the horizontal well screen length on the drawdown. Figure
354 4 illustrates the comparison between the ZZ solution and our solution for three different lengths
355 of well screen ($L_D = 0.1, 1, \text{ and } 10$) at two observation points where the other parameters are the
356 same as in Figure 3. It indicates that the longer well screen leads to the smaller drawdown at both
357 upper and lower observation points. The discrepancy between the ZZ solution and our solution is
358 identical for different well screen lengths. It reveals that the effects of the unsaturated zone on
359 the drawdown are insensitive to the length of the horizontal well screen.

360 In order to clearly illustrate the drawdown pattern in the unsaturated-saturated system, the
361 drawdown profiles in vertical cross-sections for three different angles of a slant well ($\gamma_z = 0, \pi/4,$
362 and $\pi/2$) at different dimensionless times ($t_D = 1 \times 10^3, 1 \times 10^4, \text{ and } 1 \times 10^5$) are presented in Figure
363 5. The other parameter values in Eqs. (9) and (10) are $\sigma = 1 \times 10^{-5}, \kappa_D = 1 \times 10^3, L_D = 0.5, \alpha_z = 1, b_D = 1,$
364 $y_D = 0.05, z_{WD} = 0.75, \gamma_x = 0, \text{ and } \gamma_y = \pi/2$. As time increases, the effect of pumping gradually
365 propagates into the unsaturated zone ($z_D > 1$). The vertical well leads to larger drawdown in the
366 unsaturated zone than the slant and horizontal wells. The reason is that the vertical well screen is
367 closer to the unsaturated zone.

368 The water flux across the water table (Eq. (12)) is the volume drained from the unsaturated
369 zone to the saturated zone. It is somewhat related to the concept of specific yield when the
370 coupled unsaturated-saturated zone flow process is simplified into a saturated zone flow process
371 with water table served as a free upper boundary. Thus, Eq. (12) reflects the impact of the
372 unsaturated zone on the water flow in the saturated zone. Figure 6 shows the changes of the
373 dimensionless water flux across water table, W_D , with t_D of the ZZ solution and our solution at
374 three angles of a slant well screen ($\gamma_z = 0, \pi/4, \text{ and } \pi/2$) (Figure 6a), and at three screen lengths

375 of a horizontal well ($L_D = 0.1, 1.0$, and 10) (Figure 6b), where the other parameters are the same
376 as in Figure 3.

377 For early times of pumping, W_D increases with time, and at the later time W_D approaches an
378 asymptotic value that is dependent on the unsaturated parameter κ_D . W_D decreases with κ_D
379 decreasing. The small κ_D reflects the large retention capacity of the unsaturated zone, and thus it
380 impedes water draining from the unsaturated zone during pumping. This results in more water
381 released from the saturated zone storage and the larger drawdown in the saturated zone (Figure
382 2a). The ZZ solution overestimates W_D due to the fact that it neglects the effects of above
383 unsaturated flow (Figure 6a). The $W_D \sim t_D$ curves deviate from each other considerably for
384 different angles of a slant well, particularly at the early time. One can see from Figure 6a that W_D
385 of the vertical well ($\gamma_z = 0$) is the largest at early time, and the $W_D \sim t_D$ curves of three angles
386 eventually approach the same asymptotic value at late time. It means that the vertical well leads
387 to the greatest water drainage from the unsaturated zone at early time, and the effects of the well
388 orientation are insignificant with time increasing. Very different from the angle of a slant well,
389 the screen length of a horizontal well appears to have almost no impact on W_D for the whole
390 pumping period (Figure 6b). Similar with Figure 6a, the magnitude of W_D in Figure 6b is only
391 dependent on the unsaturated parameter κ_D .

392 **4.3 Synthetic pumping test**

393 In order to further verify our solutions and to explore the capability of our solution for
394 interpreting pumping test results in the unsaturated-saturated system, we have conducted a
395 synthetic numerical simulation. The synthetic case considers a pumping test in an unconfined
396 aquifer with a slant pumping well ($\gamma_z = \pi/4$, $\gamma_x = 0$, and $\gamma_y = \pi/2$). The aquifer parameter values
397 are as follows. The unconfined aquifer thickness d is 10 m, the above unsaturated zone thickness

398 b is 5 m, the horizontal conductivity $K_x = K_y = 0.06$ m/min, the vertical conductivity $K_z = 0.5K_x$,
399 the specific storage $S_S = 1 \times 10^{-4}$ m⁻¹, and the specific yield $S_y = 0.3$. The unsaturated flow is
400 described by Eqs. (2) and (3) with the constitutive exponent $\kappa = 0.1$ m⁻¹. The discharge rate of the
401 pumping well $Q = 1$ m³/min, the length of the pumping well screen L is 5 m, and the center of
402 well screen locates at ($x = 0$, $y = 0$, $z = 5$ m).

403 The coupled equations (1) -(4) of the unsaturated-saturated system are numerically solved by
404 COMSOL Multiphysics, a robust Galerkin finite-element software package that includes a partial
405 differential equation (PDE) solver for modeling the type of governing equations of this study.
406 Fig. 7a shows the spatial discretization of our COMSOL model, in which tetrahedrons are used
407 as elements for the three-dimensional model, and the elements near both the pumping well and
408 the unsaturated-saturated interface are refined. The number of tetrahedral elements is 328358.
409 The time step increases exponentially, and the total number of time steps is 100, with a total
410 simulation time of 220 min. Fig. 7b presents an example for the vertical profiles (the xz -plane) of
411 the drawdown in the unsaturated-saturated system at $t = 210$ min. Fig. 7b indicates that the
412 COMSOL model well reproduces the drawdown in the unsaturated-saturated system induced by
413 a slant pumping well.

414 Firstly, we verify our solutions by comparing the drawdowns in both the saturated and
415 unsaturated zones with the numerical solution for the same aquifer parameter values. Figs. 8a
416 and 8b show the drawdown curves in the saturated zone at an observation point of ($x = 0$, $y = 1$ m,
417 $z = 9$ m) and the drawdown curves in the unsaturated zone at an observation point of ($x = 0$, $y = 1$ m,
418 $z = 11$ m), respectively, using the numerical solution (triangles) and our solution (solid curves).
419 These figures indicate that in general our solution satisfactorily fits the numerical solution in
420 both the saturated and unsaturated zones, although the agreement becomes less satisfactorily (but

421 acceptable) at late times. The sizes of the tetrahedral elements will affect the accuracy of the
422 numerical solution, especially near the pumping well and the unsaturated-saturated interface.
423 Although we refine the mesh at these places, the sizes of these elements may be insufficiently
424 small to completely remove the numerical errors near those places. Our numerical exercises
425 show that a finer element discretization for this model leads to substantially greater
426 computational cost, probably due to the three-dimensional nature of the model.

427 Secondly, we investigate the errors for using the ZWP and ZZ solutions to explain the
428 drawdown curves in the unsaturated-saturated system induced by the slant pumping well. Fig. 8a
429 shows a least squares fit of the ZWP (dashed curves) and ZZ (dotted curves) solutions to the
430 numerical solution, yielding parameter estimates $K_x = K_y = 0.13 \text{ m/min}$, $S_s = 1.1 \times 10^{-2} \text{ m}^{-1}$ (for
431 the ZWP solution), and $K_x = K_y = 0.03 \text{ m/min}$, $S_s = 2.3 \times 10^{-4} \text{ m}^{-1}$, and $S_y = 0.32$ (for the ZZ
432 solution), respectively. Obviously, the ZWP solution fails to fit the numerical solution entirely
433 and significantly overestimates the horizontal hydraulic conductivity and the specific storage
434 with one or two orders of magnitude due to the fact that it is a confined-aquifer solution. The ZZ
435 solution dramatically deviates from the numerical solution at the early and intermediate times
436 and it agrees with the numerical solution at late time. The ZZ solution underestimates the
437 horizontal hydraulic conductivity and overestimates the specific storage and the specific yield.

438 A major disadvantage of the two older models (the ZWP and ZZ models) is that they do not
439 consider the unsaturated flow process, thus they cannot be used to characterize the parameters of
440 the unsaturated zone. The newer model developed in this study, however, is capable of
441 characterizing parameters of both the saturated and unsaturated zones. As far as we know, this
442 represents a significant improvement over the older models. Furthermore, as the older models do
443 not consider the unsaturated flow process proven to be important for producing the drawdown-

444 time curves in the saturated zone, they often cannot satisfactorily reproduce the observed
445 drawdown-time curves in the saturated zone in actual real-world aquifer pumping tests. The
446 newer model has resolved this issue successfully because the used conceptual model is closer to
447 the physical reality of flow in an unsaturated-saturated system.

448 **5. Summary and Conclusions**

449 The coupled unsaturated-saturated flow process induced by vertical, horizontal, and slant
450 pumping wells is investigated in this study. A mathematical model for such a coupled
451 unsaturated-saturated flow process is presented. The flow in the saturated zone is described by a
452 three-dimensional governing equation, and the flow in the unsaturated zone is described by a
453 three-dimensional Richards' equation. The unsaturated zone properties are represented by the
454 Gardner (1958) exponential relationships. The Laplace domain solutions are derived using
455 Laplace transform and the method of separation of variables, and the time domain solutions are
456 obtained using the Stehfest method (Stehfest, 1970). The solution is compared with the solutions
457 proposed by Zhan et al. (2001) (confined aquifer, the ZWP solution) and Zhan and Zlotnik
458 (2002) (unconfined aquifer, the ZZ solution) and is verified using the finite-element numerical
459 solution. The conclusions of this study can be summarized as follows:

460 1) The unsaturated flow has significant impact on drawdown in unconfined aquifers induced by
461 the horizontal pumping well when dimensionless constitutive exponent κ_D is less than 10 (the
462 large retention capacity of the unsaturated zone, the small initial saturated thickness, and/or the
463 small vertical hydraulic conductivity). For the large $\kappa_D (=1 \times 10^3)$, the drawdown curves
464 approach the solution of the unconfined aquifer with the linearized free water table boundary
465 (the ZZ solution). For the small $\kappa_D (= 1 \times 10^{-5})$, the drawdown curves approach the solution

466 of the confined aquifer (the ZWP solution).

467 2) For the small dimensionless unsaturated thickness $b_D (= 0.001)$, the drawdown curves
468 approach the ZWP solution. For the large unsaturated thickness $b_D (= 100)$, the drawdown
469 curves do not approach the ZZ solution because the impact of the unsaturated flow becomes
470 significant at a fixed κ_D of 0.1.

471 3) The effects of the unsaturated zone on the drawdown exist in any angle of inclination of a slant
472 well, and this impact is more significant for the case of the horizontal well. The effects of the
473 unsaturated zone on the drawdown are insensitive to the length of the horizontal well screen.

474 4) For the early time of pumping, the water volume drained from the unsaturated zone (W) to the
475 saturated zone increases with time, and with time progressing, W approaches an asymptotic
476 value that is dependent on the unsaturated parameter κ_D . The vertical well leads to the largest
477 W value during the early time of pumping, and the effects of the well orientation become
478 insignificant at the late time. The screen length of the horizontal well does not affect W for the
479 whole pumping period.

480 5) By comparison with synthetic pumping test data generated by the finite-element numerical
481 model of COMSOL, one can see that our solution well reproduces the drawdown curves in
482 both the saturated and unsaturated zones while both the ZWP and ZZ solutions fail to fit the
483 drawdown curves and they either underestimate or overestimate the horizontal hydraulic
484 conductivity, the specific storage, and the specific yield.

485

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492

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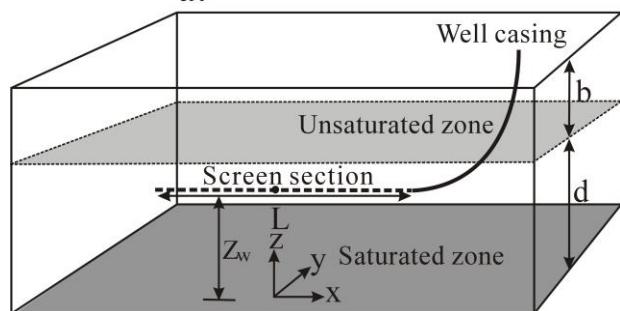
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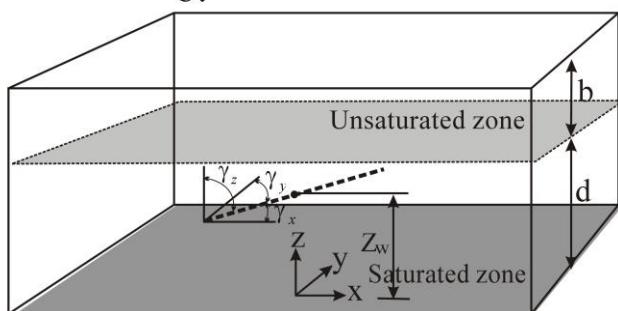
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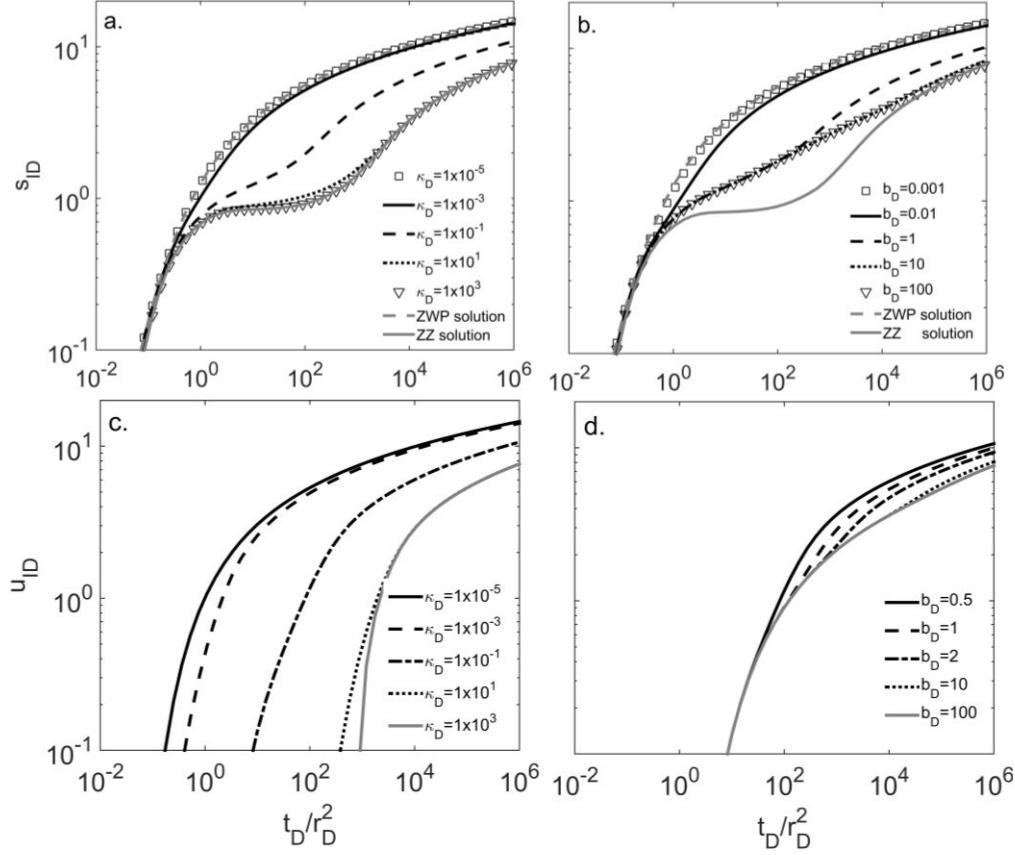
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608 **Figure 1** The schematic diagram of groundwater flow to a horizontal well (a) and a slant well (b) in an
609 unsaturated-saturated system.

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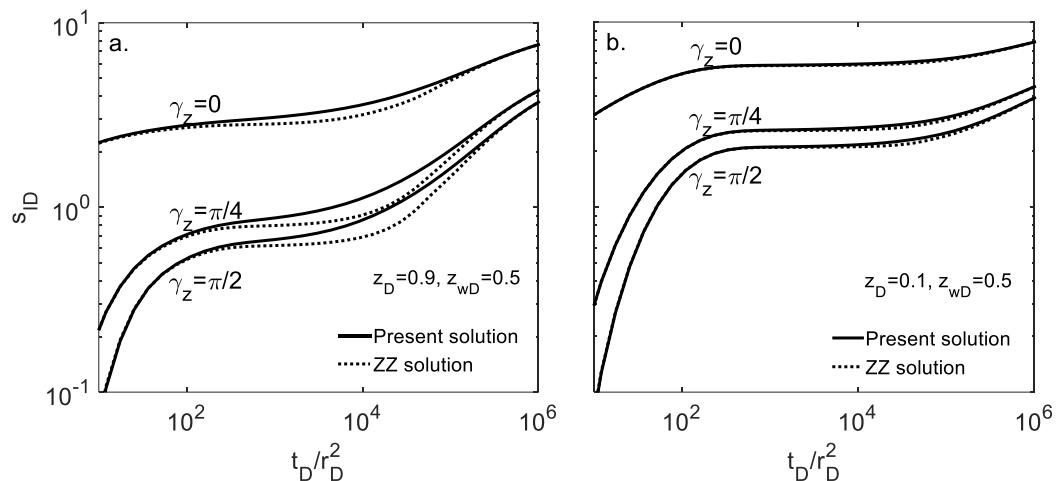


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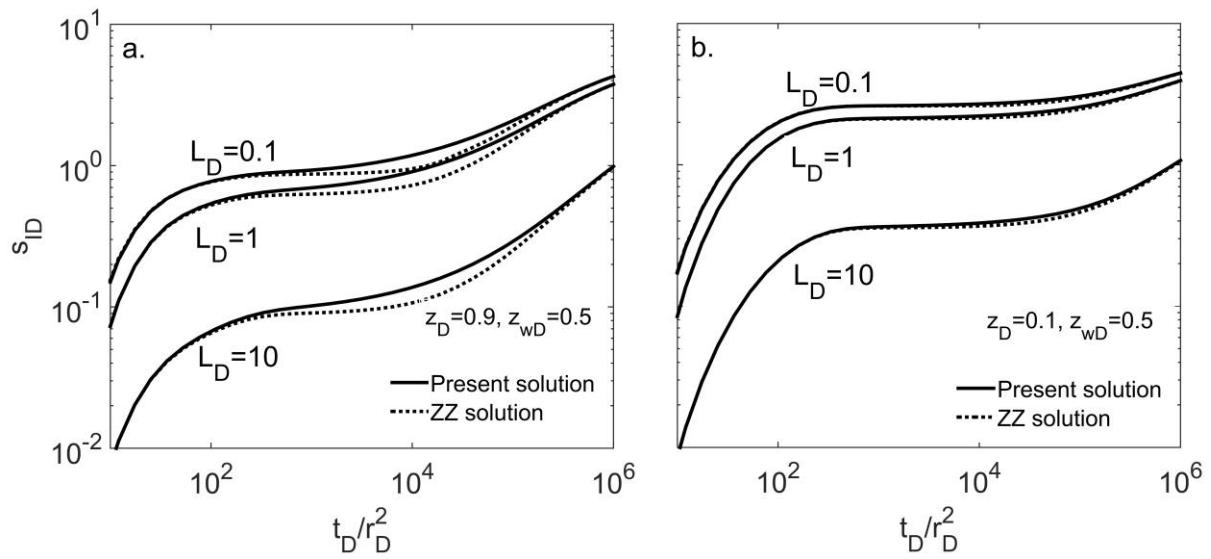
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615 **Figure 2** a) log-log plot of s_{ID} against t_D/r_D^2 for different values of the dimensionless unsaturated
616 parameter κ_D , the ZWP solution (confined aquifer) and the ZZ solution (unconfined aquifer), b) log-log
617 plot of s_{ID} against t_D/r_D^2 for different values of the dimensionless unsaturated thickness b_D , the ZWP
618 solution (confined aquifer) and the ZZ solution (unconfined aquifer), c) log-log plot of u_{ID} against t_D/r_D^2
619 for different values of the dimensionless unsaturated parameter κ_D , and d) log-log plot of u_{ID} against
620 t_D/r_D^2 for different values of the dimensionless unsaturated thickness b_D .

621

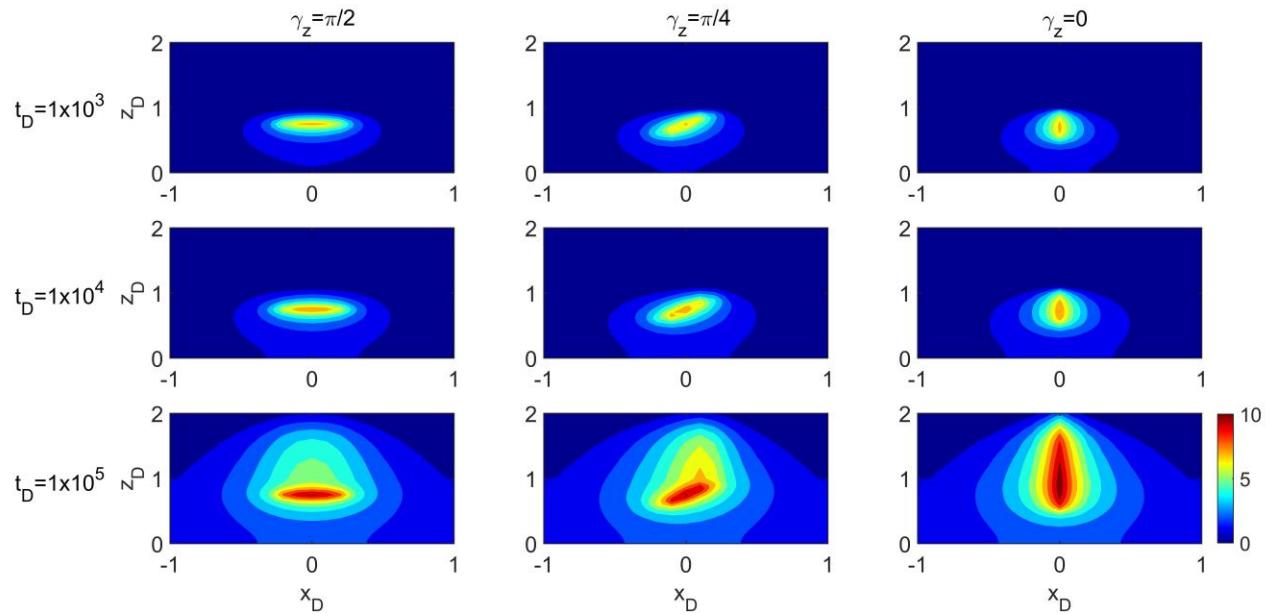


624 **Figure 3** log-log plot of s_{ID} against t_D/r_D^2 for different angles of well screen and comparison with the ZZ
 625 solution for a) dimensionless piezometer location (0, 0.05, 0.9), and b) dimensionless piezometer location
 626 (0, 0.05, 0.1).



630 **Figure 4** log-log plot of s_{ID} against t_D/r_D^2 for different dimensionless lengths of horizontal well screen
 631 and comparison with the ZZ solution for a) dimensionless piezometer location (0, 0.05, 0.9), and b)
 632 dimensionless piezometer location (0, 0.05, 0.1).

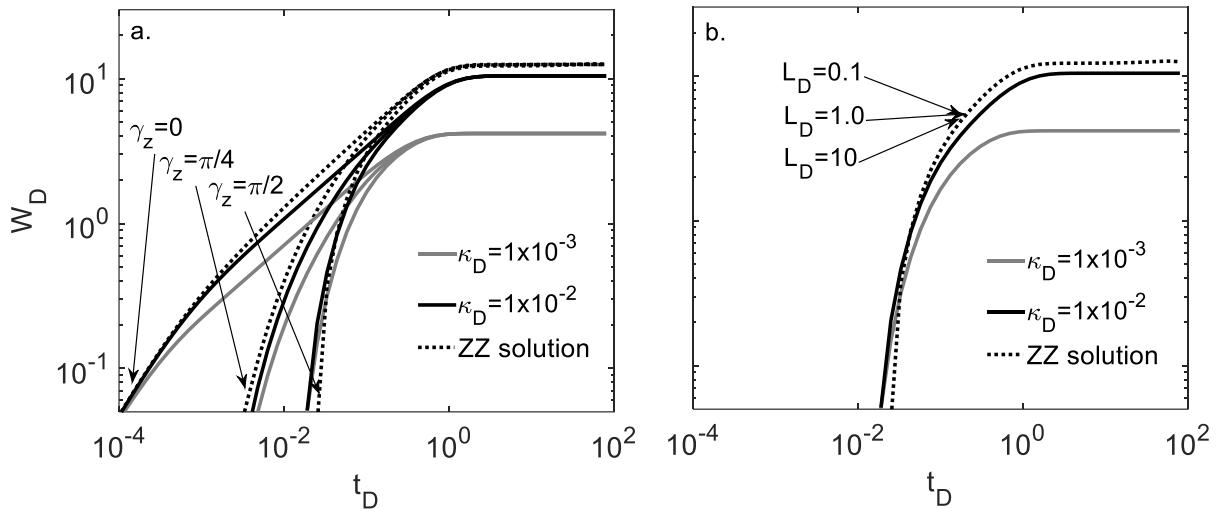
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637 **Figure 5** Vertical profiles of s_{ID} in saturated and u_{ID} in unsaturated zones for different angles of well
638 screen corresponding to various dimensionless times.

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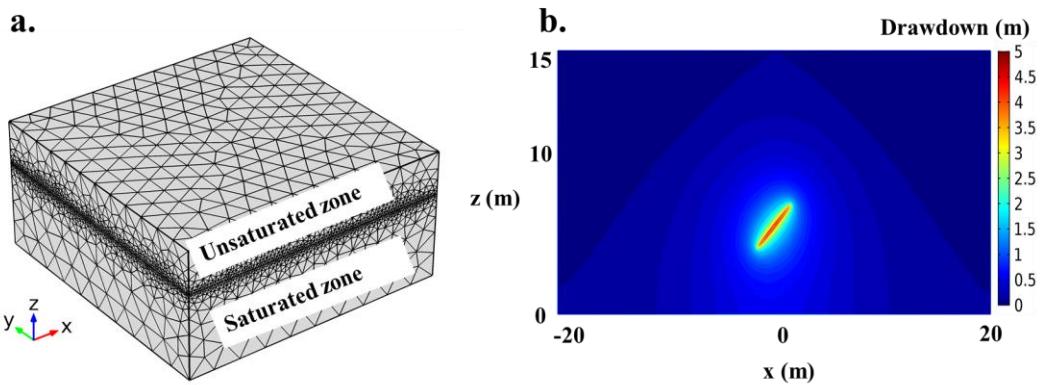
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641 **Figure 6** log-log plot of W_D against t_D for different values of the dimensionless unsaturated parameter
 642 κ_D and the ZZ solution with a) three angles of the slant well screen ($\gamma_z = 0, \pi/4$, and $\pi/2$), and b) three
 643 dimensionless lengths of the horizontal well screen ($L_D = 0.1, 1.0$, and 10).

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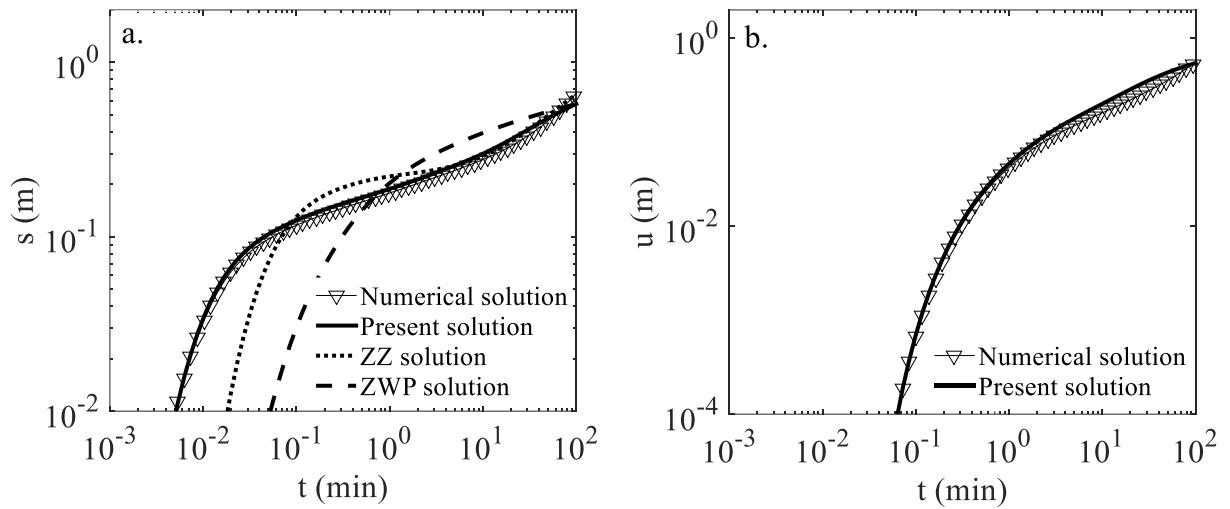
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648 **Figure 7** a) The grid mesh of the unsaturated-saturated system used in the Galerkin finite element
649 COMSOL Multiphysics program, and b) the vertical profiles (xz-planes) of the drawdown in the
650 unsaturated-saturated system on $t=210$ min for the synthetic case.

651



652

653 **Figure 8** a) Comparison of synthetic drawdown in saturated zone generating from numerical solution
 654 with fitted analytical solutions using ZZ solution, ZWP solution and our solution, and b) Comparison of
 655 synthetic drawdown in unsaturated zone generating from numerical solution with our solution.

656