

# On Coupled Unsaturated-Saturated Flow Process Induced by Vertical, Horizontal and Slant Wells in Unconfined Aquifers

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## Abstract

Conventional models of pumping tests in unconfined aquifers often neglect the unsaturated flow process. This study concerns coupled unsaturated-saturated flow process induced by vertical, horizontal, and slant wells positioned in an unconfined aquifer. A mathematical model is established with special consideration of the coupled unsaturated-saturated flow process and well orientation. Groundwater flow in the saturated zone is described by a three-dimensional governing equation, and a linearized three-dimensional Richards' equation in the unsaturated zone. A solution in Laplace domain is derived by the Laplace-finite Fourier transform and the method of separation of variables, and the semi-analytical solutions are obtained using a numerical inverse Laplace method. The solution is verified by a finite-element numerical model. It is found that the effects of the unsaturated zone on the drawdown of pumping test exist in any angle of inclination of the pumping well, and this impact is more significant for the case of a horizontal well. The effects of unsaturated zone on the drawdown are independent of the length of the horizontal well screen. The vertical well leads to the largest water volume drained from the unsaturated zone ( $W$ ) value during the early time, and the effects of the well orientation on  $W$  values become insignificant at the later time. The screen length of the horizontal well does not affect  $W$  for the whole pumping period. The proposed solutions are useful for parameter identification of pumping tests with a general well orientation (vertical, horizontal, and slant) in unconfined aquifers affected from above by the unsaturated flow process.

**Keywords:** Horizontal well; Slant well; Coupled unsaturated-saturated flow; Drainage from the unsaturated zone.

## 1. Introduction

In addition to conventional vertical wells, horizontal and slant pumping wells are broadly used in the petroleum industry, environmental and hydrological applications in recent decades. Horizontal and slant pumping wells are commonly installed in shallow aquifers to yield a large amount of groundwater (Bear, 1979) or to remove a large amount of contaminant (Sawyer and Lieuallen-Dulam, 1998). Horizontal and slant wells have some advantages over vertical wells (Yeh and Chang, 2013; Zhan and Zlotnik, 2002), e.g., horizontal and slant wells yield smaller drawdowns than the vertical wells with the same pumping rate per screen length. Horizontal and slant wells have long screen sections which can extract a great volume of water in shallow or low permeability aquifers without generating significant drawdowns.

Hantush and Papadopoulos (1962) firstly investigated the problem of fluid flow to a horizontal well in hydrologic sciences. Since then, this problem was not of great concern in the hydrological science community because of the limitation of directional drilling techniques and high drilling costs. With significant advances of the directional drilling technology over the last 20 years, the interest on horizontal and/or slant wells was reignited. Until now flow to horizontal and/or slant wells have been investigated in various aspects, including flow in confined aquifers (Cleveland, 1994; Zhan, 1999; Zhan et al., 2001; Kompani-Zare et al., 2005), unconfined aquifers (Huang et al., 2016; Rushton and Brassington, 2013; Zhan and Zlotnik, 2002; Huang et al., 2011; Mohamed and Rushton, 2006; Kawecki and Al-Subaikhy, 2005), leaky confined aquifers (Zhan and Park, 2003; Sun and Zhan, 2006; Hunt, 2005), and fractured aquifers (Nie et al., 2012; Park and Zhan, 2003; Zhao et al., 2016). The readers can consult Yeh and Chang (2013) for a recent review of well hydraulics on various well types, including horizontal and slant wells.

As demonstrated in previous studies, horizontal and slant wells had significant advantages over vertical wells in unconfined aquifers, thus they were largely used in unconfined aquifers for pumping or drainage purposes. However, none of above-mentioned studies considered the effects of unsaturated processes on groundwater flow to horizontal and slant wells in unconfined aquifers. For the case of flow to vertical wells in saturated zones, the effects of above unsaturated processes were investigated by several researchers (Kroszynski and Dagan, 1975; Mathias and Butler, 2006; Tartakovsky and Neuman, 2007; Mishra and Neuman, 2010, 2011). For example, Tartakovsky and Neuman (2007) considered axisymmetric unsaturated-saturated flow for a pumping test in an unconfined aquifer and employed one parameter that characterized both the water content and the hydraulic conductivity as functions of pressure head, assuming an infinite thickness unsaturated zone. Mishra and Neuman (2010, 2011) extended the solution of Tartakovsky and Neuman (2007) using four parameters to represent the unsaturated zone properties and considering a finite thickness for the unsaturated zone (Mishra and Neuman, 2010), and considered the wellbore storage as well (Mishra and Neuman, 2011). The main results from the studies concerning vertical wells indicated that the unsaturated zone often had a major impact on the S-shaped drawdown type curves.

A following question to ask is that are these conclusions drawn for vertical wells also applicable for horizontal and slant wells when coupled unsaturated-saturated flow is of concern? Specifically, how important is the wellbore orientation on groundwater flow to a horizontal or slant well considering the coupled unsaturated-saturated flow process? In order to answer these questions, we establish a mathematical model for groundwater flow to a general well orientation (vertical, horizontal, and slant wells) considering the coupled unsaturated-saturated flow process. We incorporate a three-dimensional linearized Richards' equation into a governing equation of

groundwater flow in an unconfined aquifer. We employ the Laplace-finite Fourier transform and the method of separation of variables to solve the coupled unsaturated-saturated flow governing equations. This paper is organized as follows, we first present the mathematical model and solution in sections 2 and 3, respectively, then describe the results and discussion in section 4, and summarize this study and draw conclusions in section 5.

## 2. Mathematical Model

The schematic diagrams of flow to horizontal and slant wells in an unsaturated-saturated system are represented in Fig. 1a. and 1b, respectively. Similar to the conceptual model used by Zhan and Zlotnik (2002), the origin of the Cartesian coordinate is located at the bottom of the saturated zone with the  $z$  axis along the upward vertical direction and the  $x$  and  $y$  axes along the principal horizontal hydraulic conductivity directions. The horizontal and slant well screens are located in the saturated zone with a distance  $z_w$  from the center point of the screen  $(0, 0, z_w)$  to the bottom of the saturated zone. The slant well has three inclined angles  $\gamma_x$ ,  $\gamma_y$ , and  $\gamma_z$  with the  $x$ ,  $y$ , and  $z$  axes, respectively, and such three angles satisfying  $\cos^2(\gamma_x) + \cos^2(\gamma_y) + \cos^2(\gamma_z) = 1$ . The horizontal well is a specific case of the slant well when  $\gamma_z = \pi/2$ . The saturated zone is assumed as an infinite lateral extent unconfined aquifer with a slight compressibility, and is spatially uniform and anisotropic (Tartakovsky and Neuman, 2007). The saturated zone is below an initially horizontal water table at  $z = d$ , and the unsaturated zone is above  $z = d$  with an initial thickness  $b$ .

In order to solve the problem of groundwater flow to a horizontal or slant well, we first solve the governing equation of groundwater flow to a point sink. The mathematical model for

groundwater flow to a point sink  $(x_0, y_0, z_0)$  in a homogeneously anisotropic saturated zone is given by

$$K_x \frac{\partial^2 s}{\partial x^2} + K_y \frac{\partial^2 s}{\partial y^2} + K_z \frac{\partial^2 s}{\partial z^2} + Q \delta(x - x_0) \delta(y - y_0) \delta(z - z_0) = S_s \frac{\partial s}{\partial t}, \quad 0 \leq z < d, \quad (1a)$$

$$s(x, y, z, 0) = 0, \quad (1b)$$

$$\frac{\partial s}{\partial z}(x, y, z, t)|_{z=0} = 0, \quad (1c)$$

$$\lim_{x \rightarrow \pm\infty} s(x, y, z, t) = \lim_{y \rightarrow \pm\infty} s(x, y, z, t) = 0, \quad (1d)$$

where  $s$  is the drawdown (the change in hydraulic head from the initial level) in the saturated zone [L];  $K_x$ ,  $K_y$  and  $K_z$  are the saturated principal hydraulic conductivities in the  $x$ ,  $y$  and  $z$  directions, respectively [ $L T^{-1}$ ];  $Q$  is the pumping rate (positive for pumping and negative for injecting) [ $L^3 T^{-1}$ ];  $\delta(\cdot)$  is the Dirac delta function [ $L^{-1}$ ];  $S_s$  is the specific storage [ $L^{-1}$ ];  $d$  is the saturated zone thickness [L];  $t$  is time since start of pumping [T]. It is noteworthy that the aquifer is assumed to be homogenous and spatially uniform in this study. Despite the fact that a real-world aquifer is likely to be heterogeneous and/or non-uniform, there are evidences that a moderately heterogeneous aquifer may sometimes behave as an averaged “homogeneous” system for pumping-induced groundwater flow problems. This interesting phenomena may be due to the diffusive nature of groundwater flow which can somewhat smooth out the effect of the heterogeneity to a certain degree (Pechstein et al., 2016; Zech and Attinger, 2016).

Flow in the unsaturated zone induced by pumping in the unconfined aquifer is governed by the Richards' equation. Due to the nonlinear nature of the Richards' equation, it is difficult to analytically solve this equation except for some specific cases. Kroszynski and Dagan (1975) proposed a first-order linearized unsaturated flow equation by expanding the dependent variable in the Richards' equation as a power-function series when the pumping rate was less than  $K d^2$ , where  $K$  is the saturated hydraulic conductivity of a homogeneous medium. The readers can find the details of the linearized equation derivation in previous studies (Kroszynski and Dagan,

1975; Tartakovsky and Neuman, 2007). With such a linearized treatment, it becomes possible to analytically solve the equation of flow in the unsaturated zone. The linearized three-dimensional unsaturated flow equation is adopted in this study as follows,

$$k_0(z)K_x \frac{\partial^2 u}{\partial x^2} + k_0(z)K_y \frac{\partial^2 u}{\partial y^2} + K_z \frac{\partial}{\partial z} \left( k_0(z) \frac{\partial u}{\partial z} \right) = C_0(z) \frac{\partial u}{\partial t}, \quad d \leq z < d + b, \quad (2a)$$

$$u(x, y, z, 0) = 0, \quad (2b)$$

$$\frac{\partial u}{\partial z}(x, y, t)|_{z=d+b} = 0, \quad (2c)$$

$$\lim_{x \rightarrow \pm\infty} u(x, y, z, t) = \lim_{y \rightarrow \pm\infty} u(x, y, z, t) = 0, \quad (2d)$$

$$k_0(z) = k(\theta_0), \quad C_0(z) = C(\theta_0), \quad (2e)$$

where  $u$  is the drawdown in the unsaturated zone [L]; the functions  $k_0(z)$  and  $C_0(z)$  are the zero-order approximation of the relative hydraulic conductivity [dimensionless] and the soil moisture capacity [ $L^{-1}$ ] at the initial water content of  $\theta_0$ , respectively;  $k$  is the relative hydraulic conductivity and  $0 \leq k \leq 1$ ;  $C (\geq 0)$  is the specific moisture capacity [ $L^{-1}$ ], and  $C = d\theta/d\psi$ ,  $\theta$  is the volumetric water content [dimensionless], and  $\psi$  is the pressure head [L];  $b$  is the thickness of the unsaturated zone [L]. Similar to Tartakovsky and Neuman (2007), the unsaturated zone properties are described with the two-parameter Gardner (1958) exponential constitutive relationships,

$$k_0(z) = e^{\kappa(d-z)}, \quad (3a)$$

$$C_0(z) = S_y \kappa e^{\kappa(d-z)}, \quad (3b)$$

where  $\kappa > 0$  is the constitutive exponent [ $L^{-1}$ ],  $S_y$  is the specific yield [dimensionless]. As mentioned in the introduction that this two-parameter model was extended to the four-parameter model by Mishra and Neuman (2010, 2011). The four-parameter model may be closer to the realistic situation. However, a model with more parameters has its disadvantage as well. Firstly, it is more difficult to determine the values of those parameters precisely from a practical

standpoint. Secondly, the predictive capability of a model with more parameters may not be better than that of a model with less parameters. For the discussion of this issue, one may consult the editorial messages of Voss (2011a, 2011b) and discussion by Bredehoeft (2005). In this study, we focus on a question that how important is the wellbore orientation on groundwater flow to a horizontal or slant well considering the coupled unsaturated-saturated flow process. To focus on answering this question, we prefer to use a simpler model with the balance that keeping the most important physical processes in the model but at the same time ignoring the secondary effects.

It shows in Eq. (3b) that at the water table ( $z=d$ ) a smaller  $\kappa$  leads to a smaller  $C_0(z)$  and a larger retention capacity (Kroszynski and Dagan, 1975; Tartakovsky and Neuman, 2007), i.e., water in the unsaturated zone becomes more difficult to drain. In this study, we assume the upper boundary of the unsaturated zone as a no-flow boundary condition in Eq. (2c) by neglecting the effects of both infiltration and evaporation during the pumping. Because typical pumping tests usually last over much shorter periods of time relative to the durations of infiltration and evaporation processes, this assumption can hold for most field conditions, particularly for lands with sparse vegetation where the influence of plant transpiration is limited as well.

The saturated and unsaturated flows are coupled at their interface by continuities of pressure and vertical flux across the water table which, following linearization, take the form

$$s - u = 0, \quad z = b, \quad (4a)$$

$$\frac{\partial s}{\partial z} - \frac{\partial u}{\partial z} = 0, \quad z = b. \quad (4b)$$

Above linearized equations of (4a) and (4b) assume that the variation of water table is minor in respect to the total saturated thickness. This assumption works better for horizontal wells and slant wells as for vertical wells, provided that the same pumping rate is used. This is because



horizontal wells and slant wells will generate much less drawdowns over laterally broader regions; while vertical wells tend to generate laterally more concentrated and much greater drawdown near the pumping wells (Zhan and Zlotnik, 2002).

### 3. Solutions

#### 3.1 Solution for a point sink

The solution to Eq. (1a) is obtained by the Laplace and finite cosine Fourier transform. The Laplace domain solution of Eq. (1a) subject to initial condition Eq. (1b) and boundary conditions Eqs. (1c) and (1d) is given as (Zhan and Zlotnik, 2002)

$$\bar{s}_D(\mathbf{r}_D, z_D, p) = \sum_{n=0}^{\infty} \frac{8 \cos(\omega_n z_{0D}) \cos(\omega_n z_D)}{p \Psi(\omega_n)} K_0(\Omega_n |\mathbf{r}_D - \mathbf{r}_{0D}|), \quad (5)$$

where

$$\Omega_n = \sqrt{\omega_n^2 + p}, \quad \Psi(\omega_n) = 2\alpha_z + \sin(2\omega_n \alpha_z)/\omega_n, \quad (6)$$

where the subscript  $D$  denotes the dimensionless terms, the definition of all dimensionless variables are presented in the supplementary material (S1);  $p$  is the Laplace transform parameter with respect to the dimensionless time, and the overbar denotes a variable in the Laplace domain;  $\omega_n$  is the  $n$ -th eigenvalue of the Fourier transform, and it will be determined later;  $K_0$  is the modified second-kind Bessel function of zero-order;  $\mathbf{r}_D = (x_D, y_D)$  and  $\mathbf{r}_{0D} = (x_{0D}, y_{0D})$  are the dimensionless radial vectors of the observation point and the sink point, respectively.

The solution to Eq. (2a) is obtained by the Laplace transform and the method of separation of variables (supplementary material, S2) and is given as

$$\bar{u}_D(r_D, z_D, p) = \sum_{n=0}^{\infty} \frac{8 \cos(\omega_n z_{0D})}{p \Psi(\omega_n)} K_0(\Omega_n |\mathbf{r}_D - \mathbf{r}_{0D}|) \mathcal{H}_n(z_D, p), \quad (7)$$

where

$$\mathcal{H}_n = \begin{cases} \cos(\omega_n \alpha_z) \frac{(M+N) \exp[2N(\alpha_z+b_D)+(M-N)z_D] - (M-N) \exp[(M+N)z_D]}{(M+N) \exp[2N(\alpha_z+b_D)+(M-N)\alpha_z] - (M-N) \exp[(M+N)\alpha_z]}, & \text{if } \Delta > 0 \\ \cos(\omega_n \alpha_z) \exp(Mz_D - M\alpha_z) \frac{[N_1 \tan(N_1(\alpha_z+b_D)) - M] \sin(N_1 z_D) + [M \tan(N_1(\alpha_z+b_D)) + N_1] \cos(N_1 z_D)}{[N_1 \tan(N_1(\alpha_z+b_D)) - M] \sin(N_1 \alpha_z) + [M \tan(N_1(\alpha_z+b_D)) + N_1] \cos(N_1 \alpha_z)}, & \text{if } \Delta < 0 \\ \cos(\omega_n \alpha_z) \exp(Mz_D - M\alpha_z) \frac{1+M(\alpha_z+b_D)-Mz_D}{1+M(\alpha_z+b_D)-M\alpha_z}, & \text{if } \Delta = 0 \end{cases} \quad (8)$$

where  $M = \kappa_D/2$ ;  $N = \sqrt{\Delta}$  if  $\Delta \geq 0$ ;  $N_1 = \sqrt{-\Delta}$  if  $\Delta < 0$ ;  $\Delta = \kappa_D^2/4 + \beta p - \Omega_n^2$ .

The eigenvalues of the finite cosine Fourier transform  $\omega_n$  can be obtained by substituting Eqs. (5) and (7) into the continuities of normal (vertical) flux equation (Eq. (S6b)). The detail can be found in supplementary material (S3). On the basis of the method illustrated above, it is straightforward to obtain the Laplace domain solutions  $\bar{s}_D$  for the case of the unconfined aquifer with a free water table boundary and without the unsaturated zone influence (Zhan and Zlotnik, 2002) (abbreviated as the ZZ solution hereinafter), and the case of the groundwater flow to a horizontal well in an confined aquifer (Zhan et al., 2001) (abbreviated as the ZWP solution hereinafter). The solutions  $\bar{s}_D$  for these two special cases require different  $\omega_n$  values. For the free water table condition the  $\omega_n$  is the root of  $\omega_n \tan(\omega_n) = p/\sigma$  (Zhan and Zlotnik, 2002). For the confined aquifer case the  $\omega_n = n\pi/\alpha_z$ ,  $n = 0, 1, 2, \dots$  (Zhan et al., 2001).

### 3.2 Solution for a slant pumping well

Due to the linearity of the mathematical models Eqs. (1) and (2), the principle of superposition can be employed to extend the basic solutions of Eqs. (5) and (7). Thus, on the basis of the principle of superposition, the drawdown induced by a line sink in the saturated zone can be obtained by integrating the solution Eqs. (5) and (7) along the well axis, provided that the pumping strength distribution along the well screen is known. Precise determination of the pumping strength distribution along a horizontal or slant well involves complex, coupled aquifer-pipe flow (Chen et al., 2003) in which the flow inside the wellbore (pipe flow) can experience different stages of flow schemes from laminar, transitional turbulent, to fully developed turbulent flow. Such complex coupled well-aquifer flow is beyond the scope of this study and one may

consult some recent studies of Blumenthal and Zhan (2016) and Wang and Zhan (2016) for more details. However, often time one may adopt a first-order approximation of using a uniform flux distribution to treat the horizontal or slant wells, particularly when the well screen lengths are not extremely long (like kilometers). Such an approximation has been justified by Zhan and Zlotnik (2002). In this study, a uniform flux distribution will be utilized for horizontal or slant wells hereinafter to obtain the solutions.

The drawdown in saturated and unsaturated zones due to a slant pumping well can be written as:

$$\bar{s}_{ID}(p) = \sum_{n=0}^{\infty} \frac{8 \cos(\omega_n z_D)}{L_D p \Psi(\omega_n)} \int_{-\frac{L_D}{2}}^{\frac{L_D}{2}} \cos \left[ \omega_n \left( z_{wD} + l \frac{\alpha_z}{\alpha_x} \cos \gamma_z \right) \right] K_0[\Omega_n F(l)] dl, \quad (9)$$

and

$$\bar{u}_{ID}(p) = \sum_{n=0}^{\infty} \frac{8 \mathcal{H}_n(z_D, p)}{L_D p \Psi(\omega_n)} \int_{-\frac{L_D}{2}}^{\frac{L_D}{2}} \cos \left[ \omega_n \left( z_{wD} + l \frac{\alpha_z}{\alpha_x} \cos \gamma_z \right) \right] K_0[\Omega_n F(l)] dl, \quad (10)$$

respectively, where  $\bar{s}_{ID}$  and  $\bar{u}_{ID}$  are the Laplace transforms of  $s_{ID}$  and  $u_{ID}$ , respectively, and they are defined in the same way as  $s_D$  and  $u_D$  in Eqs. (5) and (7), respectively;  $L_D = \alpha_x L/d$  is the dimensionless length of the slant well screen ( $L$ );  $z_{wD} = \alpha_z z_w/d$  is the dimensionless elevation of the center of the pumping well screen;  $l$  is a dummy variable;  $F(l) =$

$$\sqrt{\left( x_D - l \sin \gamma_z \cos \gamma_x \right)^2 + \left( y_D - l \frac{\alpha_y}{\alpha_x} \sin \gamma_z \cos \gamma_y \right)^2}. \quad \bar{s}_{ID} \text{ and } \bar{u}_{ID} \text{ will respectively reduce to}$$

drawdowns in the saturated and unsaturated zones due to a horizontal well when  $\gamma_z = \pi/2$ . It is noteworthy that these solutions can be straightforwardly extended to situations of location-dependent pumping rates as long as the flux rate distribution along the wellbore is known *a priori*. To do so, one simply modifies Eqs. (9) and (10) using a location-dependent flux function inside the integration.

The drawdown in an observation (vertical) well located in the saturated zone that is screened

from  $z_l$  to  $z_u$  ( $z_u > z_l$ ) can be calculated using the average of the point drawdown Eq. (9) along the observation well screen (Zhan and Zlotnik, 2002):

$$\bar{s}_{oD}(p) = \sum_{n=0}^{\infty} \frac{8[\sin(\omega_n z_{uD}) - \sin(\omega_n z_{lD})]}{L_D(z_{uD} - z_{lD})\omega_n p \Psi(\omega_n)} \int_{-\frac{L_D}{2}}^{\frac{L_D}{2}} \cos\left[\omega_n \left(z_{wD} + l \frac{\alpha_z}{\alpha_x} \cos \gamma_z\right)\right] K_0[\Omega_n F(l)] dl, \quad (11)$$

where  $\bar{s}_{oD}$  is the Laplace transform of  $s_{oD}$ , and  $s_{oD}$  is defined in the same way as  $s_D$  in Eq. (5);

$$z_{uD} = \alpha_z z_u / d, \quad z_{lD} = \alpha_z z_l / d.$$

It should be noted that our solutions do not account for the wellbore effects of the pumping and observation wells. Indeed, the wellbore effects have introduced additional complexity to the solutions which are already substantially more complex than the solutions excluding the unsaturated flow process. To avoid the influence of wellbore storage effects, we make the following proposal that could be implemented in the future investigations of coupled saturated-unsaturated flow process: using pack systems to insulate the screens of pumping and the observation wells, thus wellbore storages will not be a concern.

### 3.3 Total volume drained from the unsaturated zone for a slant well

The dimensionless total volume drained from the unsaturated zone to the saturated zone (water flux across the water table) can be obtained by

$$\bar{W}_D(p) = - \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} \frac{\partial \bar{s}_{lD}}{\partial z_D} |_{\alpha_z} dx_D dy_D = \sum_{n=0}^{\infty} \frac{16\pi \sin(\omega_n \alpha_z) \cos(\omega_n z_{wD}) \sin(\omega_n \phi)}{p \Psi(\omega_n) \Omega_n^2 \phi}, \quad (12)$$

where  $\bar{W}_D$  is the Laplace transform of  $W_D$ , and  $W_D = W \frac{4\pi \alpha_z^3}{Q}$ ,  $W$  is the total volume drained from the unsaturated zone;  $\phi = L_D \alpha_z \cos(\gamma_z) / (2\alpha_x)$ .

It is difficult to obtain closed-form solutions by analytically inverting the Laplace transforms of Eqs. (5), (7), (9), (10) and (12) and thus a numerical inverse Laplace method is employed in this study. There are several numerical inverse Laplace methods, such as Stehfest method (Stehfest, 1970), Zakian method (Zakian, 1969), Fourier series method (Dubner and Abate,

1968), Talbot algorithm (Talbot, 1979), Crump technique (Crump, 1976), and de Hoog algorithm (de Hoog et al., 1982), with each method best fitted for a particular type of problem (Hassanzadeh and Pooladi-Darvish, 2007). Chen (1985), Zhan et al. (2009a;2009b), and Wang and Zhan (2013) have successfully employed the Stehfest algorithm to obtain the solution in the time domain for similar problems to this study. For references to different inverse Laplace methods, one can consult the review of Kuhlman (2013) and Wang and Zhan (2015). In this study we use the Stehfest method to invert the Laplace solutions into the solutions in the time domain. In order to ensure the accuracy of the Stehfest method, several numerical exercises have been performed against the benchmark solutions for several special cases of the investigated problem.

## 4. Results and Discussion

### 4.1 Effect of unsaturated zone parameters

The main difference between the ZZ solution and present solution is the upper boundary condition of the saturated zone. The ZZ solution considered linearized free surface (kinematic) equation as the water table boundary that employed one parameter, i.e., specific yield ( $S_y$ ) to account for the gravity drainage after water table declining. The present solution represents coupled water flow through both the unsaturated and saturated zones. The water table boundary is replaced by coupled interface conditions between the unsaturated and the saturated zones. Thus the behavior of the drawdown in the saturated zone induced by the pumping wells will be affected by the unsaturated zone. To investigate the manner how the dimensionless constitutive exponent  $\kappa_D$  and the dimensionless unsaturated thickness  $b_D$  impact the drawdown in the saturated zone induced by a horizontal pumping well, we plot the log-log graph of  $s_{ID}$  versus

288  $t_D/r_D^2$  (the type curves) for different  $\kappa_D$  and  $b_D$  in Figures 2a and 2b, respectively. We also  
 289 compare our solution to the ZZ solution (unconfined aquifer) and the ZWP solution (confined  
 290 aquifer). For convenience we assume the horizontal well screen to be situated along the  $x$ -  
 291 direction, i.e.,  $\gamma_x = 0$  and  $\gamma_y = \gamma_z = \pi/2$ . The other parameter values in Eq. (9) are  $\sigma=1\times 10^{-3}$ ,  
 292  $L_D=1$ ,  $\gamma=0$ ,  $\alpha_z=1$ ,  $x_D=0.5$ ,  $y_D=0.05$ ,  $z_D=0.8$ , and  $z_{wD}=0.5$ .

293 Figure 2a presents the drawdown curves in the saturated zone for different values of  $\kappa_D$   
 294 ( $1\times 10^{-5}$ ,  $1\times 10^{-3}$ ,  $1\times 10^{-1}$ ,  $1\times 10^1$  and  $1\times 10^3$ ) with a fixed dimensionless thickness of the  
 295 unsaturated zone  $b_D$  of 0.5. The dimensionless constitutive exponent  $\kappa_D = \kappa d/\alpha_z = \kappa d K_D^{1/3}$ ,  
 296 where  $K_D$  is the anisotropic ratio between the vertical hydraulic conductivity and the horizontal  
 297 hydraulic conductivity.

298 The unsaturated flow has significant impact on drawdown curves in the saturated zone when  
 299  $\kappa_D$  is less than 10 (the unsaturated-saturated system has a large retention capacity, a small initial  
 300 saturated thickness, and/or a relatively small vertical hydraulic conductivity). The impact of  
 301 unsaturated flow decreases as  $\kappa_D$  increases, becoming small or insignificant when  $\kappa_D$  close to  
 302  $1\times 10^3$ . Our curve is almost the same as the curve of the ZZ solution when  $\kappa_D = 1\times 10^3$  (gray solid  
 303 curve), and gradually deviates from the ZZ solution but approaches the ZWP solution as  $\kappa_D$   
 304 decreases to  $1\times 10^{-5}$  (black solid curve). For a fixed initial saturated thickness, when  $\kappa_D$  is  
 305 smaller, i.e., the unsaturated zone has larger retention capacity and/or both the unsaturated and  
 306 saturated zones have relatively smaller vertical hydraulic conductivity, water drainage from the  
 307 unsaturated zone is impeded, forcing more water to be released from compressible storage of the  
 308 saturated zone, leading to larger drawdown in the saturated zone. The opposite is true when  $\kappa_D$  is

larger. It is consistent with the findings in the vertical pumping well case (Tartakovsky and Neuman, 2007).

It also shows in Figure 2a that the drawdown have typical “S” pattern curves while  $\kappa_D \geq 0.1$ . At early times, all curves are approximately identical due to response of the confined storage and minor effects of the upper boundary of the saturated zone; at intermediate times, the drawdowns of the ZZ solution and our solutions increase slower than that of the ZWP solution due to response of additional storage of the upper boundary of the saturated zone; at later times, the drawdown increasing rates of the ZZ solution and our solutions are nearly the same as that of the ZWP solution due to the combined effects of both storage mechanisms.

The unsaturated zone controls the effects of additional storage and upper boundary of the saturated zone on drawdown curves. There are physical differences between the ZZ solution and our solution. The ZZ solution uses the storage factor  $S_y$  (specific yield) at upper boundary of the saturated zone. Such a storage factor at the upper boundary is greater than the actual storage capacity of the unsaturated zone when the unsaturated parameter  $\kappa_D \leq 10$ , leading to a slower water level decline for the ZZ solution, and such effect will become insignificant for a long pumping time. Similar to  $\kappa_D$ , the dimensionless unsaturated thickness  $b_D$  also affects the drawdown behavior of the saturated zone, as shown in Figure 2b for different values of  $b_D$  (0.001, 0.01, 1, 10 and 100) with a fixed  $\kappa_D=0.1$  and the same parameters used as Figure 2a. Figure 2b shows that the impact of unsaturated flow increases when  $b_D$  decreases. The drawdown behavior approaches the ZWP solution when  $b_D=0.001$ . For large  $b_D$  (=100), however, our solution is significantly different from the ZZ solution at intermediate times because the impact of unsaturated flow becomes significant at a fixed  $\kappa_D$  of 0.1.

In order to further investigate the effects of the unsaturated zone, Figure 2c displays the drawdown curves in the unsaturated zone ( $u_{ID}$ ) for different values of  $\kappa_D$  ( $1 \times 10^{-5}$ ,  $1 \times 10^{-3}$ ,  $1 \times 10^{-1}$ ,  $1 \times 10^1$  and  $1 \times 10^3$ ) at  $z_D = 1.5$  where the other parameters are the same as in Figure 2a. As  $\kappa_D$  increases, the retention capacity of the unsaturated zone decreases, thus more water is released from the unsaturated storage. It leads to smaller drawdown in both the unsaturated and saturated zones. Figure 2d depicts the drawdown curves in the unsaturated zone for different values of  $b_D$  (0.5, 1, 2, 10 and 100). As expected, the drawdown in the unsaturated zone decreases with  $b_D$  increasing due to the fact that more water is stored in the unsaturated zone for larger  $b_D$ . These results are consistent with the findings of Mishra and Neuman (2010, 2011).

## 4.2 Effect of well orientation and well screen length

In this section, we first investigate the effect of the inclined angle of the slant well on the type curves. Figure 3 shows the comparison between the ZZ solution and our solution with  $\kappa_D = 10$  for three different angles of a slant well ( $\gamma_z = 0, \pi/4$ , and  $\pi/2$ ) at two observation points ( $z_D = 0.9$  for Figure 3a and  $z_D = 0.1$  for Figure 3b) where the other parameters are the same as in Figure 2. Obviously the smaller angle creates the larger drawdown at both observation points. For the horizontal well ( $\gamma_z = \pi/2$ ) the discrepancy between the ZZ solution and our solution is larger than that for the vertical well ( $\gamma_z = 0$ ) at upper observation point (Figure 3a). Such a discrepancy diminishes at the lower observation point (Figure 3b). It reveals that the effects of the unsaturated zone on the drawdown exist in any angle of inclination of a slant well for the upper part of the aquifer, and this impact is more significant for the case of the horizontal well. The impact of the unsaturated zone decreases when the observation point moves downward, becoming further away from the unsaturated zone, as expected.



Here we investigate the effect of the horizontal well screen length on the drawdown. Figure 4 illustrates the comparison between the ZZ solution and our solution for three different lengths of well screen ( $L_D = 0.1, 1, \text{ and } 10$ ) at two observation points where the other parameters are the same as in Figure 3. It indicates that the longer well screen leads to the smaller drawdown at both upper and lower observation points. The discrepancy between the ZZ solution and our solution is identical for different well screen lengths. It reveals that the effects of the unsaturated zone on the drawdown are insensitive to the length of the horizontal well screen.

In order to clearly illustrate the drawdown pattern in the unsaturated-saturated system, the drawdown profiles in vertical cross-sections for three different angles of a slant well ( $\gamma_z = 0, \pi/4, \text{ and } \pi/2$ ) at different dimensionless times ( $t_D = 1 \times 10^3, 1 \times 10^4, \text{ and } 1 \times 10^5$ ) are presented in Figure 5. The other parameter values in Eqs. (9) and (10) are  $\sigma = 1 \times 10^{-5}$ ,  $\kappa_D = 1 \times 10^3$ ,  $L_D = 0.5$ ,  $\alpha_z = 1$ ,  $b_D = 1$ ,  $y_D = 0.05$ ,  $z_{wD} = 0.75$ ,  $\gamma_x = 0$ , and  $\gamma_y = \pi/2$ . As time increases, the effect of pumping gradually propagates into the unsaturated zone ( $z_D > 1$ ). The vertical well leads to larger drawdown in the unsaturated zone than the slant and horizontal wells. The reason is that the vertical well screen is closer to the unsaturated zone.

The water flux across the water table (Eq. (12)) is the volume drained from the unsaturated zone to the saturated zone. It is somewhat related to the concept of specific yield when the coupled unsaturated-saturated zone flow process is simplified into a saturated zone flow process with water table served as a free upper boundary. Thus, Eq. (12) reflects the impact of the unsaturated zone on the water flow in the saturated zone. Figure 6 shows the changes of the dimensionless water flux across water table,  $W_D$ , with  $t_D$  of the ZZ solution and our solution at three angles of a slant well screen ( $\gamma_z = 0, \pi/4, \text{ and } \pi/2$ ) (Figure 6a), and at three screen lengths

of a horizontal well ( $L_D = 0.1, 1.0, \text{ and } 10$ ) (Figure 6b), where the other parameters are the same as in Figure 3.

For early times of pumping,  $W_D$  increases with time, and at the later time  $W_D$  approaches an asymptotic value that is dependent on the unsaturated parameter  $\kappa_D$ .  $W_D$  decreases with  $\kappa_D$  decreasing. The small  $\kappa_D$  reflects the large retention capacity of the unsaturated zone, and thus it impedes water draining from the unsaturated zone during pumping. This results in more water released from the saturated zone storage and the larger drawdown in the saturated zone (Figure 2a). The ZZ solution overestimates  $W_D$  due to the fact that it neglects the effects of above unsaturated flow (Figure 6a). The  $W_D \sim t_D$  curves deviate from each other considerably for different angles of a slant well, particularly at the early time. One can see from Figure 6a that  $W_D$  of the vertical well ( $\gamma_z = 0$ ) is the largest at early time, and the  $W_D \sim t_D$  curves of three angles eventually approach the same asymptotic value at late time. It means that the vertical well leads to the greatest water drainage from the unsaturated zone at early time, and the effects of the well orientation are insignificant with time increasing. Very different from the angle of a slant well, the screen length of a horizontal well appears to have almost no impact on  $W_D$  for the whole pumping period (Figure 6b). Similar with Figure 6a, the magnitude of  $W_D$  in Figure 6b is only dependent on the unsaturated parameter  $\kappa_D$ .

### 4.3 Synthetic pumping test

In order to further verify our solutions and to explore the capability of our solution for interpreting pumping test results in the unsaturated-saturated system, we have conducted a synthetic numerical simulation. The synthetic case considers a pumping test in an unconfined aquifer with a slant pumping well ( $\gamma_z = \pi/4$ ,  $\gamma_x = 0$ , and  $\gamma_y = \pi/2$ ). The aquifer parameter values are as follows. The unconfined aquifer thickness  $d$  is 10 m, the above unsaturated zone thickness

$b$  is 5 m, the horizontal conductivity  $K_x = K_y = 0.06$  m/min, the vertical conductivity  $K_z = 0.5K_x$ , the specific storage  $S_s = 1 \times 10^{-4}$  m<sup>-1</sup>, and the specific yield  $S_y = 0.3$ . The unsaturated flow is described by Eqs. (2) and (3) with the constitutive exponent  $\kappa = 0.1$  m<sup>-1</sup>. The discharge rate of the pumping well  $Q = 1$  m<sup>3</sup>/min, the length of the pumping well screen  $L$  is 5 m, and the center of well screen locates at  $(x=0, y=0, z=5$  m).

The coupled equations (1) -(4) of the unsaturated-saturated system are numerically solved by COMSOL Multiphysics, a robust Galerkin finite-element software package that includes a partial differential equation (PDE) solver for modeling the type of governing equations of this study. Fig. 7a shows the spatial discretization of our COMSOL model, in which tetrahedrons are used as elements for the three-dimensional model, and the elements near both the pumping well and the unsaturated-saturated interface are refined. The number of tetrahedral elements is 328358. The time step increases exponentially, and the total number of time steps is 100, with a total simulation time of 220 min. Fig. 7b presents an example for the vertical profiles (the  $xz$ -plane) of the drawdown in the unsaturated-saturated system at  $t=210$  min. Fig. 7b indicates that the COMSOL model well reproduces the drawdown in the unsaturated-saturated system induced by a slant pumping well.

Firstly, we verify our solutions by comparing the drawdowns in both the saturated and unsaturated zones with the numerical solution for the same aquifer parameter values. Figs. 8a and 8b show the drawdown curves in the saturated zone at an observation point of  $(x=0, y=1$  m,  $z=9$  m) and the drawdown curves in the unsaturated zone at an observation point of  $(x=0, y=1$  m,  $z=11$  m), respectively, using the numerical solution (triangles) and our solution (solid curves). These figures indicate that in general our solution satisfactorily fits the numerical solution in both the saturated and unsaturated zones, although the agreement becomes less satisfactorily (but

acceptable) at late times. The sizes of the tetrahedral elements will affect the accuracy of the numerical solution, especially near the pumping well and the unsaturated-saturated interface. Although we refine the mesh at these places, the sizes of these elements may be insufficiently small to completely remove the numerical errors near those places. Our numerical exercises show that a finer element discretization for this model leads to substantially greater computational cost, probably due to the three-dimensional nature of the model.

Secondly, we investigate the errors for using the ZWP and ZZ solutions to explain the drawdown curves in the unsaturated-saturated system induced by the slant pumping well. Fig. 8a shows a least squares fit of the ZWP (dashed curves) and ZZ (dotted curves) solutions to the numerical solution, yielding parameter estimates  $K_x = K_y = 0.13$  m/min,  $S_s = 1.1 \times 10^{-2} \text{ m}^{-1}$  (for the ZWP solution), and  $K_x = K_y = 0.03$  m/min,  $S_s = 2.3 \times 10^{-4} \text{ m}^{-1}$ , and  $S_y = 0.32$  (for the ZZ solution), respectively. Obviously, the ZWP solution fails to fit the numerical solution entirely and significantly overestimates the horizontal hydraulic conductivity and the specific storage with one or two orders of magnitude due to the fact that it is a confined-aquifer solution. The ZZ solution dramatically deviates from the numerical solution at the early and intermediate times and it agrees with the numerical solution at late time. The ZZ solution underestimates the horizontal hydraulic conductivity and overestimates the specific storage and the specific yield.

A major disadvantage of the two older models (the ZWP and ZZ models) is that they do not consider the unsaturated flow process, thus they cannot be used to characterize the parameters of the unsaturated zone. The newer model developed in this study, however, is capable of characterizing parameters of both the saturated and unsaturated zones. As far as we know, this represents a significant improvement over the older models. Furthermore, as the older models do not consider the unsaturated flow process proven to be important for producing the drawdown-

time curves in the saturated zone, they often cannot satisfactorily reproduce the observed drawdown-time curves in the saturated zone in actual real-world aquifer pumping tests. The newer model has resolved this issue successfully because the used conceptual model is closer to the physical reality of flow in an unsaturated-saturated system.

## 5. Summary and Conclusions

The coupled unsaturated-saturated flow process induced by vertical, horizontal, and slant pumping wells is investigated in this study. A mathematical model for such a coupled unsaturated-saturated flow process is presented. The flow in the saturated zone is described by a three-dimensional governing equation, and the flow in the unsaturated zone is described by a three-dimensional Richards' equation. The unsaturated zone properties are represented by the Gardner (1958) exponential relationships. The Laplace domain solutions are derived using Laplace transform and the method of separation of variables, and the time domain solutions are obtained using the Stehfest method (Stehfest, 1970). The solution is compared with the solutions proposed by Zhan et al. (2001) (confined aquifer, the ZWP solution) and Zhan and Zlotnik (2002) (unconfined aquifer, the ZZ solution) and is verified using the finite-element numerical solution. The conclusions of this study can be summarized as follows:

- 1) The unsaturated flow has significant impact on drawdown in unconfined aquifers induced by the horizontal pumping well when dimensionless constitutive exponent  $\kappa_D$  is less than 10 (the large retention capacity of the unsaturated zone, the small initial saturated thickness, and/or the small vertical hydraulic conductivity). For the large  $\kappa_D$  ( $=1 \times 10^3$ ), the drawdown curves approach the solution of the unconfined aquifer with the linearized free water table boundary (the ZZ solution). For the small  $\kappa_D$  ( $=1 \times 10^{-5}$ ), the drawdown curves approach the solution

of the confined aquifer (the ZWP solution).

2) For the small dimensionless unsaturated thickness  $b_D (= 0.001)$ , the drawdown curves approach the ZWP solution. For the large unsaturated thickness  $b_D (= 100)$ , the drawdown curves do not approach the ZZ solution because the impact of the unsaturated flow becomes significant at a fixed  $\kappa_D$  of 0.1.

3) The effects of the unsaturated zone on the drawdown exist in any angle of inclination of a slant well, and this impact is more significant for the case of the horizontal well. The effects of the unsaturated zone on the drawdown are insensitive to the length of the horizontal well screen.

4) For the early time of pumping, the water volume drained from the unsaturated zone ( $W$ ) to the saturated zone increases with time, and with time progressing,  $W$  approaches an asymptotic value that is dependent on the unsaturated parameter  $\kappa_D$ . The vertical well leads to the largest  $W$  value during the early time of pumping, and the effects of the well orientation become insignificant at the late time. The screen length of the horizontal well does not affect  $W$  for the whole pumping period.

5) By comparison with synthetic pumping test data generated by the finite-element numerical model of COMSOL, one can see that our solution well reproduces the drawdown curves in both the saturated and unsaturated zones while both the ZWP and ZZ solutions fail to fit the drawdown curves and they either underestimate or overestimate the horizontal hydraulic conductivity, the specific storage, and the specific yield.

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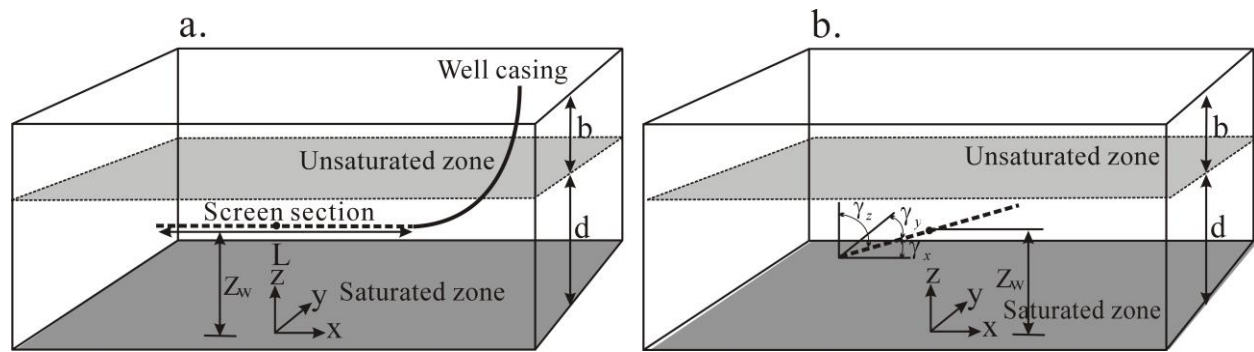
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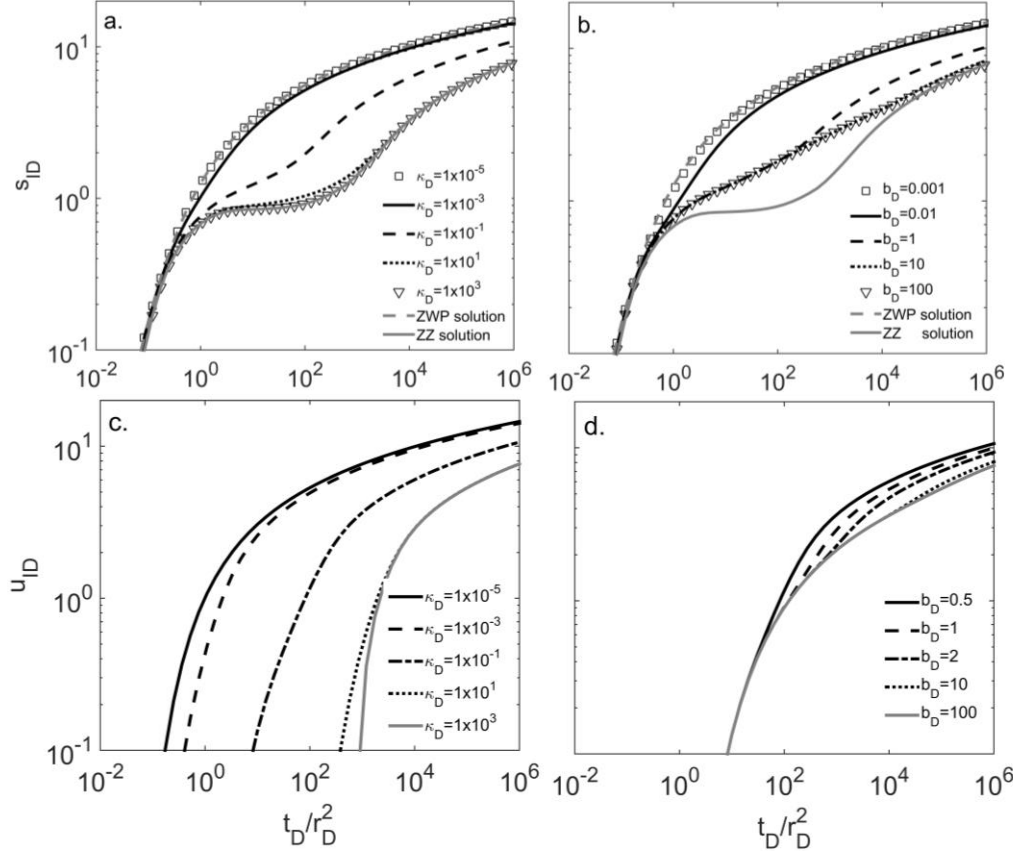
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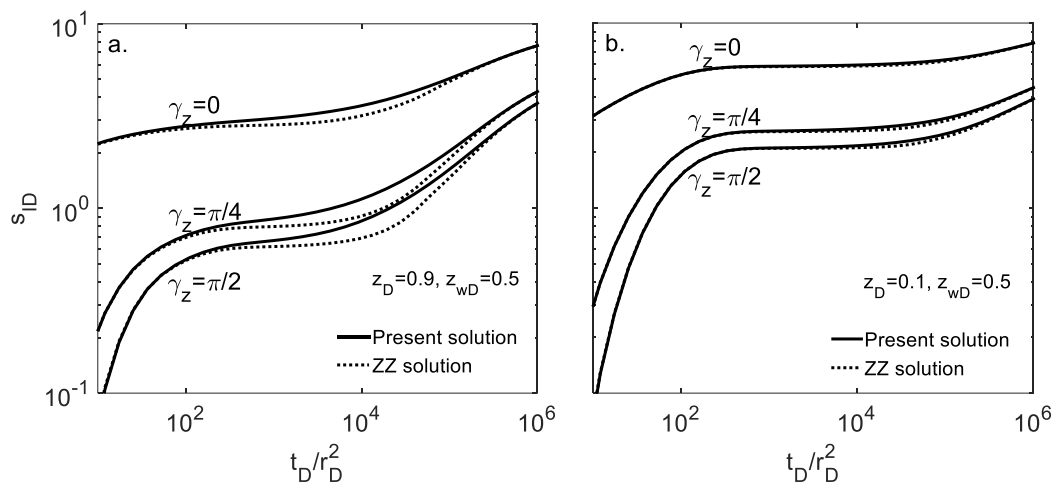


**Figure 1** The schematic diagram of groundwater flow to a horizontal well (a) and a slant well (b) in an unsaturated-saturated system.



**Figure 2** a) log-log plot of  $s_{ID}$  against  $t_D/r_D^2$  for different values of the dimensionless unsaturated parameter  $\kappa_D$ , the ZWP solution (confined aquifer) and the ZZ solution (unconfined aquifer), b) log-log plot of  $s_{ID}$  against  $t_D/r_D^2$  for different values of the dimensionless unsaturated thickness  $b_D$ , the ZWP solution (confined aquifer) and the ZZ solution (unconfined aquifer), c) log-log plot of  $u_{ID}$  against  $t_D/r_D^2$  for different values of the dimensionless unsaturated parameter  $\kappa_D$ , and d) log-log plot of  $u_{ID}$  against  $t_D/r_D^2$  for different values of the dimensionless unsaturated thickness  $b_D$ .

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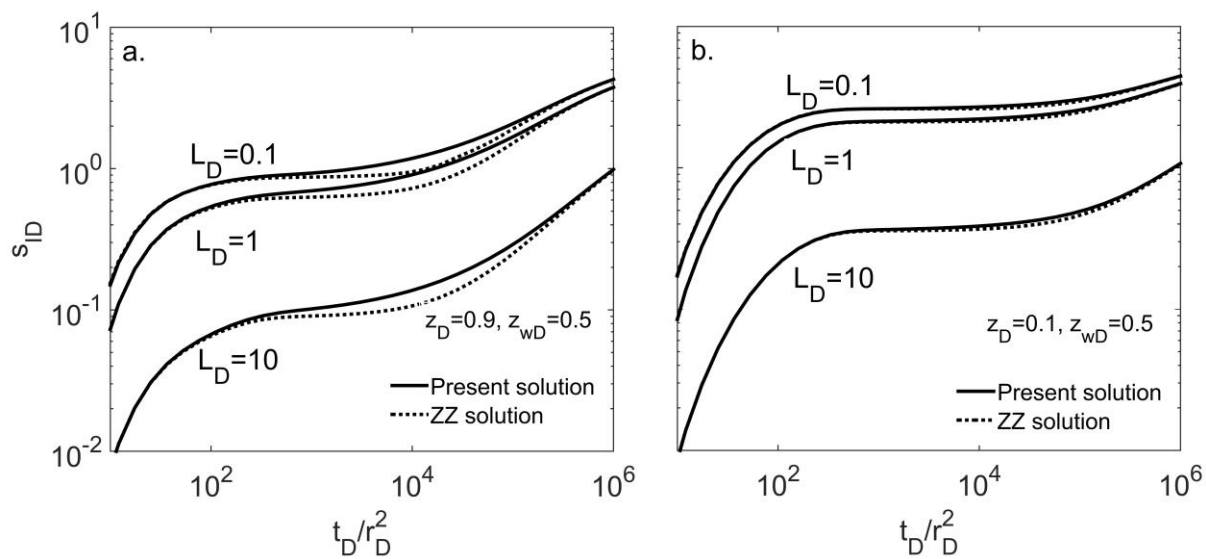


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624 **Figure 3** log-log plot of  $s_{ID}$  against  $t_D/r_D^2$  for different angles of well screen and comparison with the ZZ  
 625 solution for a) dimensionless piezometer location (0, 0.05, 0.9), and b) dimensionless piezometer location  
 626 (0, 0.05, 0.1).

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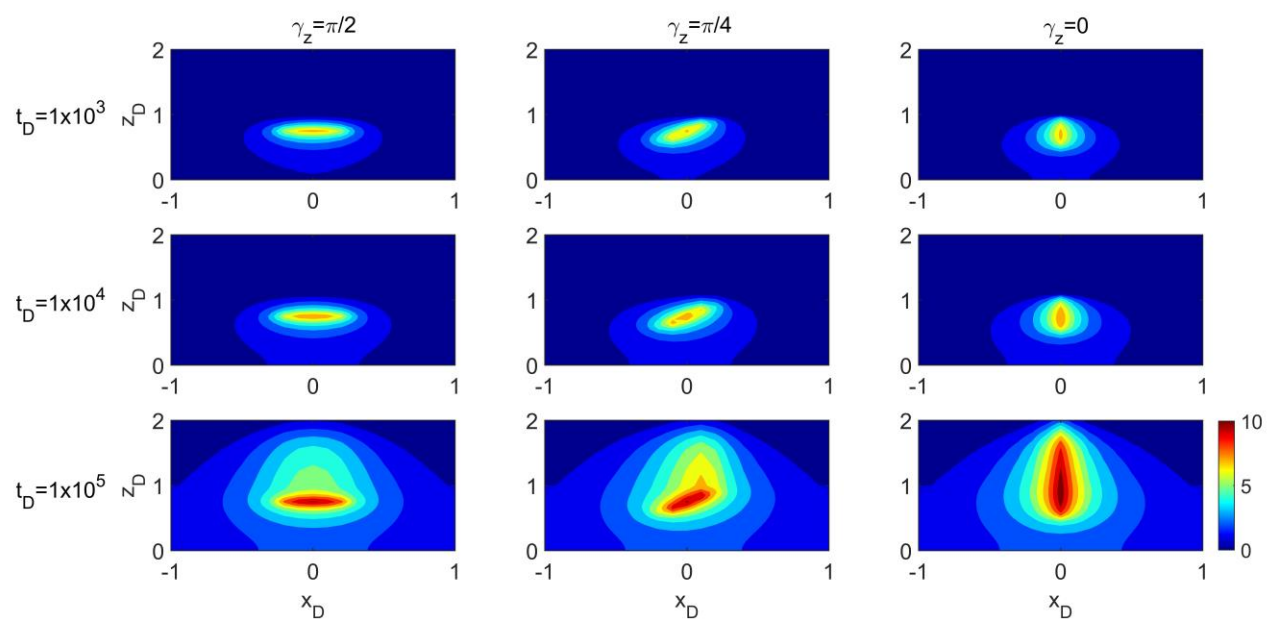
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630 **Figure 4** log-log plot of  $s_{ID}$  against  $t_D/r_D^2$  for different dimensionless lengths of horizontal well screen  
 631 and comparison with the ZZ solution for a) dimensionless piezometer location (0, 0.05, 0.9), and b)  
 632 dimensionless piezometer location (0, 0.05, 0.1).

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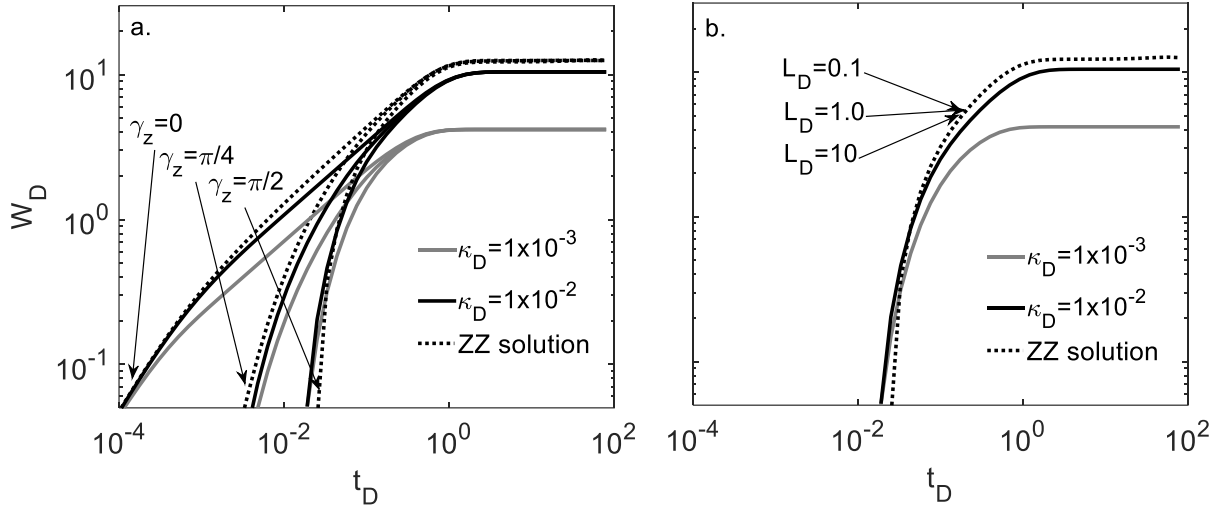
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637 **Figure 5** Vertical profiles of  $s_{ID}$  in saturated and  $u_{ID}$  in unsaturated zones for different angles of well  
 638 screen corresponding to various dimensionless times.

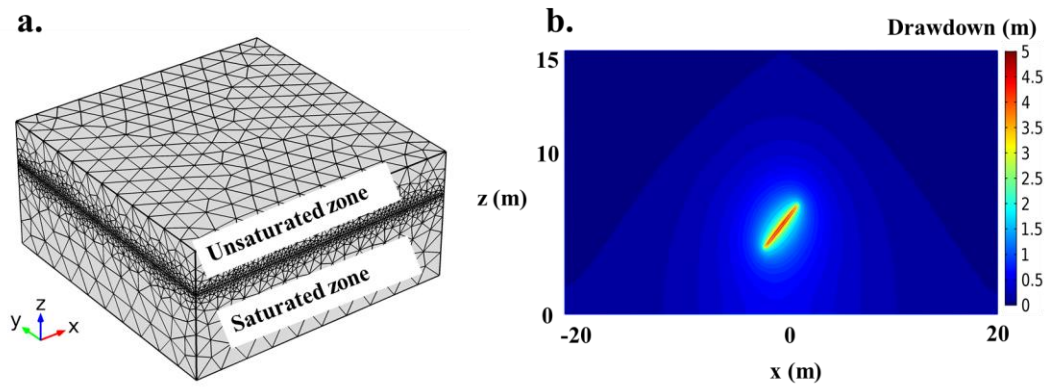
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**Figure 6** log-log plot of  $W_D$  against  $t_D$  for different values of the dimensionless unsaturated parameter  $\kappa_D$  and the ZZ solution with a) three angles of the slant well screen ( $\gamma_z = 0, \pi/4$ , and  $\pi/2$ ), and b) three dimensionless lengths of the horizontal well screen ( $L_D = 0.1, 1.0$ , and  $10$ ).

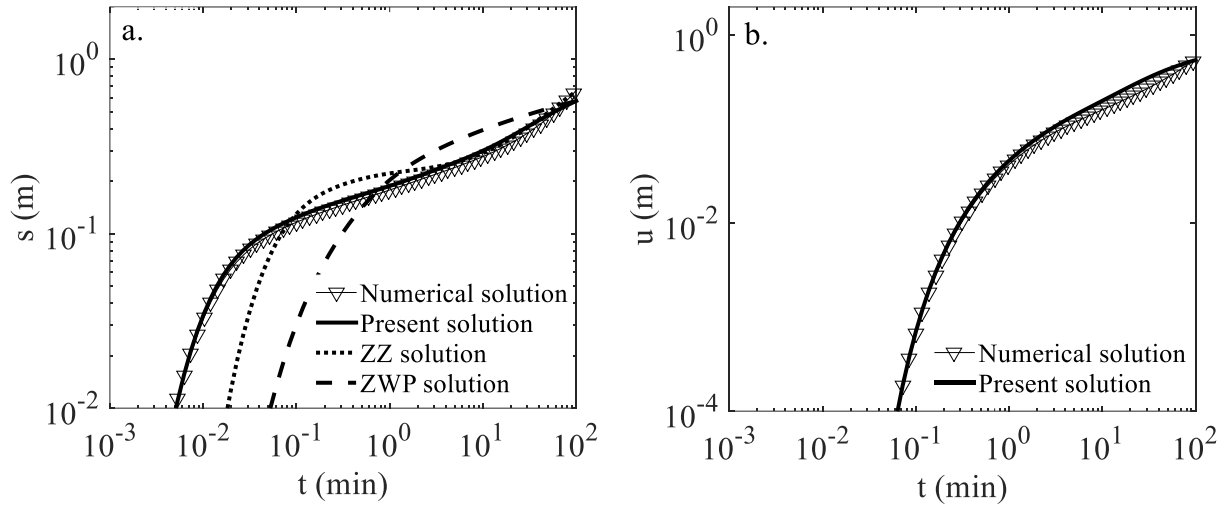


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**Figure 7** a) The grid mesh of the unsaturated-saturated system used in the Galerkin finite element COMSOL Multiphasic program, and b) the vertical profiles ( $xz$ -planes) of the drawdown in the unsaturated-saturated system on  $t=210$  min for the synthetic case.



**Figure 8** a) Comparison of synthetic drawdown in saturated zone generating from numerical solution with fitted analytical solutions using ZZ solution, ZWP solution and our solution, and b) Comparison of synthetic drawdown in unsaturated zone generating from numerical solution with our solution.