



1 **Evolution of Vegetation System in Heihe River Basin in the last 2000 years**

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11 Abstract: The response of vegetation system to the long-term changes in climate, hydrology, and
12 social-economy in a river basin is critical for sustainable river basin management. This study aims
13 to investigate the evolution of natural and crop vegetation systems in Heihe River Basin (HRB)
14 over the past 2000 years. Archived Landsat images were applied to derive vegetation spatial extent
15 and biomass for 1987 to 2015. The area and biomass of the vegetation before 1987 were
16 reconstructed based on previous research results the derived relationship between the vegetation
17 biomass and climatic and hydrological variables in the last 30 years with instrumental data. The
18 key findings are: 1) both natural and crop vegetation have gone three development stages:
19 Pre-development stage (before 1949), rapid development stage (1949-2000), and
20 post-development stage (after 2000); 2) there was a much faster increase of crop biomass than that
21 of native vegetation since 1949, and 3) the ratio of natural vegetation to crop vegetation decreased
22 from 16 at Yuan Dynasty to at about 2.2 since 2005. This ratio represents the land and water
23 development at river basin at changing climate and social-economy, it could be used as an
24 indicator to plan the objective or examine the outcome of water and land management at river
25 basin.

26

27 Key Words: Natural vegetation, crop vegetation, biomass, remote sensing, reconstruction, river
28 basin

29



1 1. Introduction

2 Natural vegetation plays a key role in maintaining functions of catchment ecosystems including
3 contributions to goods, services, and ecosystem biodiversity in arid and semiarid river basins
4 (Ahlström et al., 2015; Feng et al., 2013; Kefi et al., 2007). With the rapid growth of population,
5 increasing amount of water worldwide has been allocated to support human activities, particularly
6 for irrigation, whereas water for natural vegetation, wetland, and other catchment ecosystems
7 might have been compromised. Consequently, natural vegetation systems in water-limited regions
8 have been degraded, and salinization and desertification have been reported repeatedly (Huang et
9 al., 2015; Li et al., 2007; Su et al., 2007; Xue et al., 2015). To understand the development of
10 natural vegetation under different water conditions and its interactions with the crop system is
11 vital for the sustainable river basin management.

12 There are overwhelming studies on the impact of land use and land cover changes driven by either
13 human activities or climate changes on the catchment hydrological regime and the water cycle
14 (Esteban and Albiac, 2011; Ian and Reed, 2012; Leggett et al., 2003; Xue et al., 2015). However,
15 few studies have been found to investigate how the vegetation system evolved to accommodate
16 the changes in water regimes at the basin scale. In the last decade, an increasing number of studies
17 have contributed to the knowledge of allocating the limited water resources among different
18 ecosystems in order to balance the economic development and environmental sustainability (Wang
19 et al, 2007). However, most of these studies were carried out at a short time scale, either to
20 identify the rationality of water allocation schemes reform (Cheng, 2002; Yang et al, 2003) or to
21 test the effectiveness of ecological restoration projects (Thevs et al 2015). Long-term change in
22 vegetation system in response to significant alternations in climate, hydrology, and
23 social-economy is missed in current literature (Sivapalan et al, 2012).

24
25 The knowledge gap identified above happened partly due to the unavailability of long-term
26 instrumental data on vegetation and hydrological change at the basin scale. With the rapid
27 development of remote sensing technique, images acquired from multiple satellite platforms
28 provides an ideal method to track the landscape changes in river basins in the past five decades
29 (Beuchle et al, 2015). Among a mass of the remote sensing based metrics to characterize
30 vegetation system, spatial extent or area, normalized differential vegetation index (*NDVI*) and
31 biomass are commonly recognized as the most effective indices to reflect the status of the
32 vegetation and widely applied in spatial analysis of landscape ecosystems (Pettorellia et al, 2005;
33 Pinsky and Fogarty, 2012). For the historical periods earlier than five decades from now, emerging
34 approaches including dendrochronology, ice core analysis, and other empirical methods have
35 enabled the possibilities of reconstructions of eco-hydrological elements and their long-term
36 variations (Turner et al., 2007; Lowry and Morrill, 2011). However, few attentions have been paid
37 to historical landscapes and most of the limited existing reconstructions focused on the cultivated
38 area in historical records (Xie et al, 2013; Ramankutty and Foley, 1999).

39 The Heihe River Basin (HRB), located in arid North-western China, is an important part of the
40 ancient Silk Road established in the Han Dynasty (206 BC - AD 220). It was also a trade center
41 between China and western countries, which facilitated a cultural and economic exchange for
42 approximately 1500 years. HRB is a typical inland river ecosystem, which includes natural



1 vegetation, irrigated crop, desert and terminal lakes. Increasing agricultural development and
2 changing climate and hydrology over the past 2000 years have significantly changed the way of
3 land and water resources use and modified the catchment vegetation system (Lu et al, 2015, Yan et
4 al, 2016). Therefore, HRB is an ideal study area for investigating the evolution of vegetation
5 system at river basin for a long time frame.

6 This paper aims to understand the evolution of vegetation system in HRB over the past 2000 years
7 in which natural vegetation and crop vegetation were considered. Specifically, it includes three
8 objectives: 1) to determine the area and biomass of vegetation using remote sensing imagery for
9 recent years (since 1987); 2) to reconstruct vegetation distribution and biomass levels for previous
10 periods (before 1987) and 3) to determine potential driving factors for vegetation developments. It
11 is expected that the methods developed and the findings obtained from this study could assist to
12 understand how current ecosystem problems were created in the past and what are their
13 implications for future river basin management.

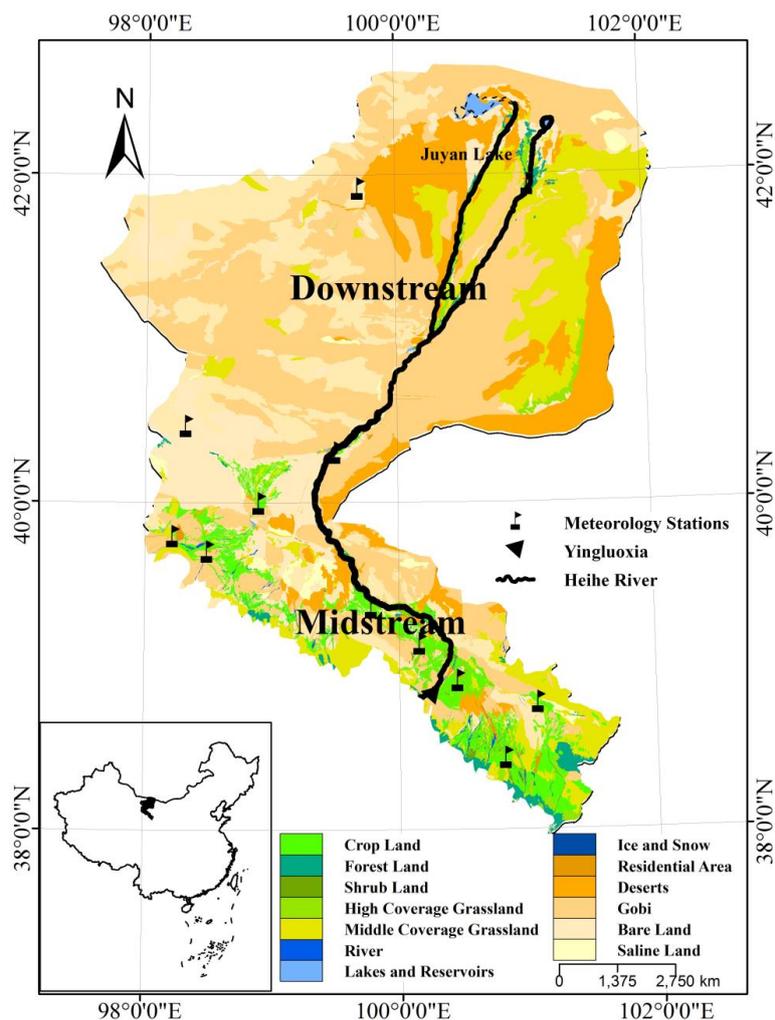
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16 **2. Material and Methods**

17 **2.1. Study area**

18 HRB is the second largest inland river basin of China, which stretches between 38 °- 43 °N and 98 °
19 - 102 °E (Figure 1). The middle and lower course of HRB are occupied with different landscapes
20 including river delta plain, terminal lakes, moving and semi moving dunes, and low mountains
21 and hills. The unused land such as Gobi desert and bare land accounts for more than 75% of the
22 river basin while cropland only takes up 4%. The rest of the landscape is natural oasis in which the
23 main vegetation types are dry steppes and shelter forests.



1
 2 Figure 1: Location of the Heihe River Basin (HRB). Land cover data (2011) available at WestDC
 3 database (<http://westdc.westgis.ac.cn/>)
 4 The terrain in HRB is a gradual tilt from southwest to northeast. The altitude of the area ranged
 5 from 820 to 1100 m above sea level. The region is occupied with a typical continental arid climate
 6 characterized by frequent wind, scarce rainfall, abundant sunshine and high evaporation. Annual
 7 average temperature in this area is 8.3 °C during the last three decades with remarkable seasonal
 8 variations. Temperature could decrease to -37.6 °C in winter months while highest temperature
 9 normally happened in July, which could fetch up to 43.1 °C. The annual average pan evaporation
 10 in the Ejina oasis is 3,749 to 4,132 mm/year, which is much higher than mean annual precipitation
 11 (ranged from 7 to 101 mm/year) with substantial interannual variations over the past three
 12 decades.
 13 Benefiting from the Heihe River originated in the North of Qilian Mountains of Tibetan Plateau,
 14 HRB has experienced intensive agricultural activities to meet the grain demands of military events



1 since Han Dynasty (121 BC – 220 AD) (Xie et al., 2013). Nowadays, the midstream is still one of
 2 the most important agricultural belts in Northwest China. However, the increasing water
 3 abstraction for irrigating, along with elevated usage for domestic purposes in middle reaches, has
 4 substantially consumed the water for downstream systems over the past several decades.
 5 Consequently, the Juyan Lake shrank dramatically in last 100 years, and dried-up in 1992. Since
 6 the late 1990s, the Chinese government implemented series of policies to ensure that water
 7 delivered to lower course of the basin was enough to sustain the ecosystems and avoid any further
 8 degradations. In 2002, the Juyan Lake started to retain water again which was taken as an
 9 important sign of ecosystem recovery.

10 2.2. Study period

11 We selected the past 2000 years as our study period, which started from the Han Dynasty (206 BC
 12 – AD 220) (Table 1). This timescale covered several ancient dynasties of China, the Republic of
 13 China (RC), and the Peoples' Republic of China. The period has experienced dramatic changes in
 14 climate, land use, runoff, management policy, population, social and ecological developments. All
 15 these factors could contribute to changes in water cycles within the river basin and, therefore,
 16 influence vegetation distributions.

17 Table 1: major dynasties during the selected study period (Lu, et al., 2015).

Dynasty	Period	Main production
Han Dynasty	206 BC – AD 220	Agriculture
Wei-Jin Era	AD 220 – AD 420	Animal husbandry
Tang Dynasty	AD 618 – AD 907	Agriculture
Yuan Dynasty	AD 1271 – AD 1368	Animal husbandry
Ming Dynasty	AD 1368 – AD 1644	Agriculture
Qing Dynasty	AD 1644 – AD 1912	Agriculture
Republic of China (RC)	AD 1912 – AD 1949	Agriculture
The Peoples' Republic of China (PRC)	Since AD 1949	Agriculture

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19 2.3. Determining vegetation distribution and estimating vegetation biomass

20 2.3.1. Landsat image preprocessing

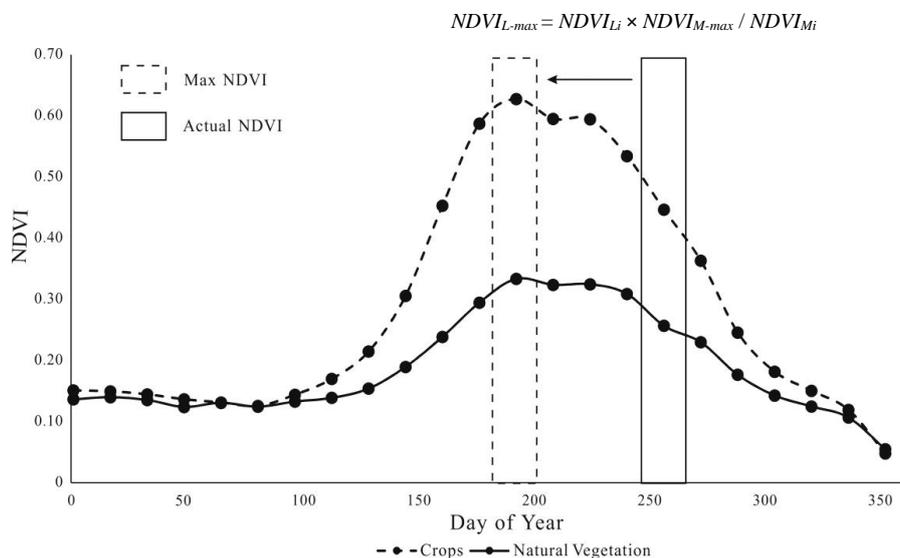
21 We used all available cloud-free Landsat images in HRB to derive vegetation dynamics for 1987
 22 to 2015. Five Landsat scenes (path/row of 133/31, 133/32, 133/33, 134/31 and 134/32) for each
 23 year were required to cover the area. The collected images covered the timescale ranging from
 24 1987 to 2015 except for 1989 and 1996 when there were no high-quality images. Most of these
 25 images were acquired during late summer and early autumn (from June to October) to represent
 26 the growing season for crops and natural vegetation in the study area.

27 The data products containing digital numbers (DN) were downloaded from the United States
 28 Geological Survey (USGS) Earthexplorer website (<http://earthexplorer.usgs.gov/>). The DN values
 29 were converted into top-of-atmosphere (TOA) reflectance using the radiometric gain and offset
 30 values associated with each Landsat images. Then a Quick Atmosphere Correction (QUAC)
 31 method was adopted to account for atmospheric scattering and to derive land surface reflectance in



1 order to ensure that the change detection analysis truly detected changes at the Earth's surface
 2 rather than solar illumination differences or potential differences in atmospheric conditions. The
 3 normalized Differential Vegetation Index (*NDVI*) was then calculated using the red and
 4 near-infrared bands for each year.

5 As demonstrated in Figure 2 with phenology profile of natural and crop vegetation derived from
 6 Moderate Resolution Imaging Spectroradiometer. (*MODIS*), *NDVI* presented significant seasonal
 7 variations. Since the collected Landsat images were acquired at different dates (sometimes
 8 different months) of a year, the above calculated *NDVI* values would have included this seasonal
 9 variations and not suitable for inter annual comparisons. To compensate this effect, we used the
 10 *MODIS NDVI* profile in 2013 to calibrate the Landsat *NDVIs* to annual maximum *NDVI*, which
 11 could effectively reflect the same growth stage of vegetation in multiple years, using a linear
 12 interpolation algorithm. Specifically, with the knowledge of acquisition date of Landsat image for
 13 a specific year, the ratio of *MODIS NDVI* for that date to the maximum *MODIS NDVI* was
 14 calculated and this ratio was applied to Landsat derived *NDVI* to estimate maximum *NDVI* for that
 15 year.



16
 17 Figure 2: *NDVI* profile for natural vegetation and crops in 2013. The equation in the figure indicated
 18 the scheme for calibrating the actual *NDVI* values (L stands for Landsat, M stands for *MODIS*, i stands
 19 for the date when actual *NDVI* need calibration)
 20

21 2.3.2 Determining vegetation areas and biomass since the 1980s with satellite images

22 Two rounds of threshold analysis were applied to determine natural and crop vegetation
 23 distributions in HRB. Non-vegetation landscapes, including water surfaces, deserts, residential
 24 areas and other bare surfaces, were masked out through analyzing the *NDVI* histogram distribution
 25 characteristics, selecting 0.12 as the first threshold value and making minor verify for each year.



1 Then, the second round of threshold analysis was introduced to separate crop vegetation from
2 natural vegetation according to their phenology differences (Figure 2). Briefly, we randomly
3 sampled the vegetation maps and derived the average *NDVI* levels for natural and crop vegetation,
4 respectively. A most recent land cover map (2011) created by the Cold and Arid Regions
5 Environmental and Engineering Research Institute, Chinese Academy of Sciences was introduced
6 to assist identifying vegetation types. The preliminary result was first overlaid with each year's
7 images to check the accuracy. In addition, the results in 2000 and 2011 were verified with a set of
8 land use maps (2000, 2011) obtained from the WestDC database (<http://westdc.westgis.ac.cn/>). A
9 detailed scheme of inter-comparison of land cover maps between this study and existing results
10 were detailed in Zhao et al., (2016). Overall, the two datasets presented substantial consistency
11 where kappa coefficients (*k*) were 0.7206 and 0.6731 for 2000 and 2011. Areas of natural and crop
12 vegetation for each year were calculated by summing areas of every small patch of natural and
13 crop vegetation respectively.

14 In order to study the vegetation development and water usage, we calculated biomass based on
15 *NDVI*. Regression models established by Zhao et al. (2006, 2010) were adopted to quantify
16 biomass for both natural and crop vegetation. In those research, the herbaceous biomass (g) was
17 measured by dry biological weighing methods and the field measured biomass for natural and crop
18 vegetation in this region showed high correlation with *NDVI* ($R > 85\%$ and $p < 0.01$) in the same
19 area and the following formula was established for natural and crop vegetation respectively.

$$20 \text{ Biomass} = 327.4 \times \text{NDVI} + 102.29 \quad \text{for natural vegetation} \quad (\text{Zhao et al. 2006}) (1)$$

$$21 \text{ Biomass} = 1,789 \times \text{NDVI} + 559.68 \quad \text{for crops} \quad (\text{Zhao et al. 2010}) (2)$$

22 We used the equations above to calculate the biomass from 1980 pixel by pixel each year and use
23 regional statistics method to acquire natural vegetation biomass and crops biomass of the study
24 area.

25 2.3.3 Reconstructing vegetation distribution and biomass levels in historical periods

26 Lu (2015) reconstructed vegetation distribution in past 2000 year in our study area to analyze the
27 evolution of human–water relationships. In Lu's study, the historical cropland was reconstructed
28 based on population, grain yield and ancient ruins distributions (Xie, 2013). The area of natural
29 vegetation was estimated based on two assumptions: (1) people selected the regions with natural
30 oases (grassland and forest) rather than desert for reclamation in the historical periods because the
31 former have better water and soil conditions in these arid regions, and (2) once the reclaimed
32 farmlands were abandoned and no vegetation was covered, they were subsequently decertified (Lu
33 et al., 2015). The crop and natural vegetation area of each dynasty was calculated based on Lu's
34 results.

35 The vegetation biomass in the historical periods was not available in the literature. Using the
36 satellite-based results since the 1980s, we reconstructed the vegetation biomass based on its
37 relationship with several variables which could have impacts on the vegetation development. The
38 selected variables are temperature (*T*), river flow from upstream (*Q*), river flow to Juyan Lake (*Q_l*),
39 groundwater recharge (*Q_g*) and precipitation (*P*). *T* and *P* records were collected from the
40 surrounding weather station (Figure 1). River flow to Juyan Lake was determined according to the
41 records measured at Ejina station. Streamflow through Yingluoxia gauge station stands for the



1 total upstream inflow to the study area (Q). Groundwater reserves data were obtained from the
 2 government statistics yearbook. The component of streamflow consumed for vegetation
 3 developments (ΔQ) was then determined by deducting Q_l and Q_g from Q . With this established
 4 database, a stepwise regression method was introduced to explore relationships between biomass
 5 (biomass for natural vegetation, biomass for crops and, the total biomass) and the selected
 6 hydrological and climatic metrics. The significant correlation among total biomass (g), ΔQ and T
 7 were found ($R^2 = 0.612$). As indicated by the regression model, both temperature (T) and water
 8 supply (ΔQ) present positive effects over vegetation productivity.

$$9 \quad Total\ Biomass(g) = 39.246 * T + 9.312 * \Delta Q - 345.671 \quad (3)$$

$$10 \quad \Delta Q = Q - Q_l - Q_g \quad (4)$$

11 We then applied equation 3 to estimate historical total biomass levels using the corresponding (T ,
 12 ΔQ) settings. Specifically, historical T was derived from paleoclimate records reported by Yang
 13 (2002). Q estimations by Sakai et al (2012) based on glacier mass balance analysis were adopted.
 14 Since the spatial extent of the lake did not change much in historical periods, Q_l was assumed to
 15 be equal to evaporations from the lake surface which could be derived from public articles (Xiao
 16 and Xiao, 2008).; Q_g was set to be 0 based on the assumption that groundwater level did not
 17 change over the historic periods when agricultural activities were relatively small.

18 Unlike crop biomass which was largely influenced by technologic development, the biomass of
 19 natural vegetation was much less influenced by human activities. Therefore, we made an
 20 assumption that biomass density for natural vegetation over the study period did not change.
 21 According to the Landsat-based results for the past 30 years, the average biomass density for
 22 natural vegetation was stable at about 190 g/m² and this value was applied to historical vegetation
 23 maps to get the corresponding biomass estimations for natural vegetation. Crop biomass was then
 24 estimated by deducting the component for natural vegetation from the total biomass estimations.
 25 Biomasses for historical natural and crop vegetation were further estimated.

26 **2.4 Determination of potential driving factors for vegetation developments**

27 Multiple linear regression analysis was adopted to investigate the potential driving factors causing
 28 changes in spatial extent of vegetation and its biomass levels. Hydrological variables including Q ,
 29 ΔQ and climatic variables including P and T were related to spatial extents and biomass levels of
 30 natural and crop vegetation to find if there were any significant relationships. These selected
 31 variables were also taken as independent variables to find the quantitative models between these
 32 variables and the vegetation spatial extents. As the reconstruction data for the historical periods
 33 might incur great uncertainties in records and estimations, the regression analysis was conducted
 34 for the whole study period and the recent decades with instrumental data respectively. The analysis
 35 was performed with the IBM SPSS Statistics software package (version 20.0).

36 **3 Results**

37 **3.1 Spatial and temporal variations in vegetation distribution in the past 2000 years**

38 The reconstructed natural (green) and crop (red) vegetation distributions in the past 2000 years are
 39 shown in Figure 3. Historic maps (before 1987) were derived from Lu's results (Lu et al., 2015)



1 and maps after that were interpreted from Landsat images. The spatial extent of crop vegetation in
2 both midstream and downstream of HRB has changed significantly over the study period. Historic
3 distribution of crops was focused in relatively small regions with certain variations. It was until
4 the establishment of the PRC the crop vegetation started to increase at a high rate. As clearly
5 demonstrated in the maps, there were crop areas distributed in downstream regions around Juyan
6 Lakes in historic periods, however, in the modern China, crops were distributed mainly in the
7 middle basin of HRB. As for natural vegetation, there were few changes in the midstream regions.
8 From 1949 to 2000, natural vegetation in the midstream basin has substantially increased with
9 large inter-annual fluctuations. After 2000, vegetation distribution was relatively stable at a high
10 level. The downstream vegetation has experienced gradually increase corresponding to the crop
11 area decreasing during these periods.



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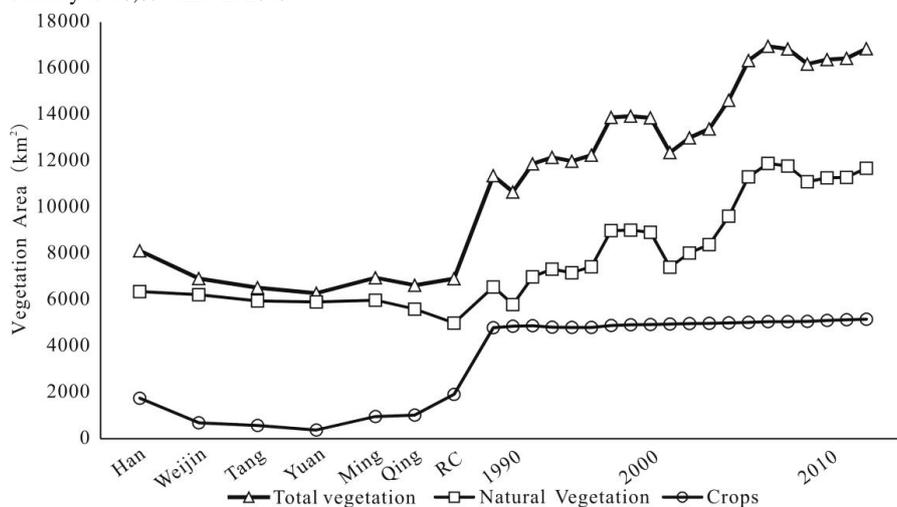
3 Figure 3: Reconstructed natural (green) and crop (red) vegetation distributions in the past 2000 years.
4 Historic maps (before 1987) were derived from Lu's results (Lu et al., 2015) and maps after that were
5 interpreted from Landsat images.

6

7 The temporal variation of vegetation areas over the past 2000 years is presented in Figure 4. The

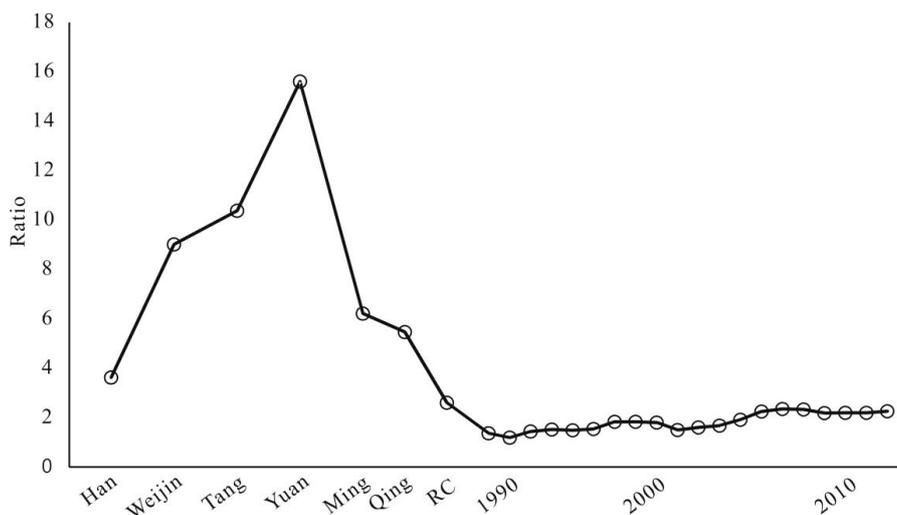


1 total vegetation area increased by 8,732 km² during the studied period. Historically, total
 2 vegetation within HRB experienced a slight decrease, from about 8,122 km² in Han Dynasty to
 3 about 6,918 km² in the Republic of China. Natural vegetation for this period constantly decreased
 4 by 21% to only 5,000 km². Cropland for the same period presented more variations: It had a
 5 large spatial extent at Han Dynasty at about 1,755 km², and then gradually decreased to about 379
 6 km² in Yuan Dynasty. From the Ming Dynasty, it started to increase again and reached a peak of
 7 1,917 km² in the Republic of China. Situations were different in the period of modern China. Total
 8 vegetation area increased from about 6,918 km² to 11,362 km² in 1987 and to 13,863 km² in 2000
 9 with an increasing rate of 2% per year, while the crops have substantially increased by about 150%
 10 to 4,939 km² in 2000. In the same period, natural vegetation has also substantially increased from
 11 about 6,559 in 1987 to about 8,924 km² in 2000. After 2000, the increasing rate of the crop has
 12 decreased from 3% per year to 0.3% per year while the natural vegetation has substantially
 13 increased to about 11,691 km² in 2013, resulting in the total vegetation area keeping increase
 14 steadily to 16,854 km² in 2013.



15 Figure 4: Temporal variations in total vegetation areas (triangle), natural vegetation (square) and crops
 16 (circle). It should be noted that data after 1987 was the results after applying a 3 years moving average
 17 to reduce the annual fluctuations.
 18
 19

20 The ratio of natural vegetation area to crop vegetation areas varied over the past 2000 years
 21 (Figure 5). The ratio, to some extent, reflected the relationship and interactions between the two
 22 vegetation systems. As demonstrated in Figure 5, although small in scale, natural vegetation
 23 occupied a major portion of the vegetation in this area in Han Dynasty and it substantially
 24 increased until Ming Dynasty when the ratio peaked at 16. The increased ratio during this period
 25 could be attributed to the degraded farming activities (Figure 3, Figure 4). As agriculture started to
 26 boom since Qing Dynasty, the ratio decreased significantly to about 1.4 in the Republic of China.
 27 Afterward, the ratio showed a constant increase with a rate of 0.06 per year ($R^2 = 0.8063$). Overall,
 28 the ratio natural vegetation area to crop vegetation areas during the modern China was relative
 29 stable compared with the great historic fluctuations and it is stabilized at around 2.2 since 2005.

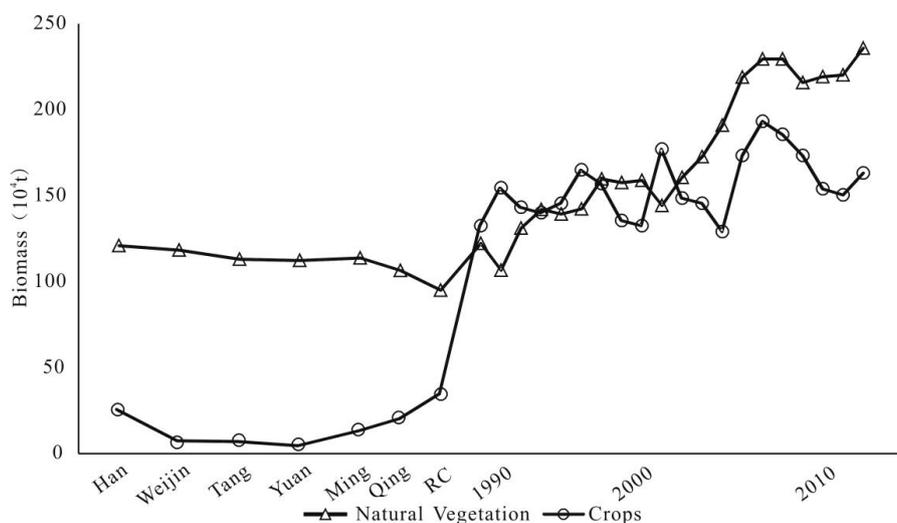


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2 Figure 5: Changes in ratio between the areas of natural vegetation to crop vegetation in the past 2000
3 years

4 3.2 Changes in vegetation biomass over the past 2000 years.

5 Using the Landsat-derived biomass estimations and the corresponding hydrological and climatic
6 records, we produced the relationship between biomass and T and streamflow as demonstrated in
7 equation 3. The relationship was applied to the long term T and streamflow records to derive
8 historic biomass estimations. As showed in Figure 6, biomass in natural vegetation in historic
9 periods (Han Dynasty to the Republic of China) had experienced a slight decrease by 20% and the
10 biomass of crop decrease before Tang Dynasty and increased after. Since the Republic of China,
11 biomass in natural vegetation has shown gradually increase from about $95 * 10^4$ t to $159 * 10^4$ t in
12 2000. After 2000, the upward trend continued with a higher increasing rate was observed. For
13 crops, the annual biomass presented a sharp increase trend since 1949 by about 4 time and slight
14 increase trend in past 30 years. The average productivity per unit area of natural vegetation was
15 stable while the average productivity per unit area of crop increased by 2.2 times in past 2000
16 years. The average productive per unit area of crop increased by about 180% since PRC.



1

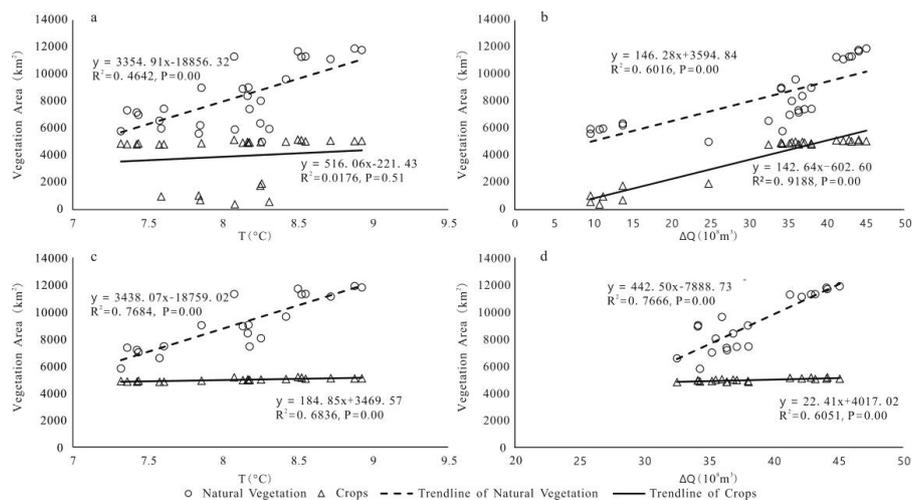
2 Figure 6: Temporal variations in biomass of natural vegetation (triangle) and crops (circle) over the
 3 past 2000 years

4 **3.3 Impacts of hydrological and climatic variables over vegetation development in the past**
 5 **2000 years**

6 The regression analysis on the relationship between hydrological and climatic variables and
 7 vegetation development show that both T and ΔQ presented an overall positive effect on natural
 8 and crop vegetation distributions (Figure 7 a and b). From a holistic perspective, T showed a
 9 significant impact over natural vegetation expansion while its effects on crops were quite limited.
 10 Meanwhile, ΔQ exerted similar effects on both natural ($R^2 = 0.6016$, $p = 0.00$) and crop ($R^2 =$
 11 0.9188 , $p = 0.00$) vegetation development over the past 2000 years. It is also found that T showed
 12 significant positive impacts over both natural ($R^2 = 0.7684$, $p = 0.00$) and crop ($R^2 = 0.6836$, $p =$
 13 0.00) during the past three decades with instrumental data (Figure 7 c and d). Similar for ΔQ , it
 14 alone contributed about 77% and 60% of the area expansion since 1980s for natural ($p = 0.00$) and
 15 crop ($p = 0.00$) vegetation, respectively. A multiple factor regression analysis shows that
 16 increasing T and ΔQ could explain over 90% of the vegetation development or 96.0% for natural
 17 vegetation and 91.7% for crops. Although the development of vegetation did not show an obvious
 18 relationship with precipitation, there were few years that vegetation area was less than other years
 19 in last 30 years, for example, 1992 and 2001, may due to the inter annual variations of
 20 precipitation.



1



2

3 Figure 7: Correlation between vegetation (circle: natural vegetation, triangle: crops) and T (a, c) and
 4 ΔQ (b, d). (a) and (b) presented all reconstructed data for the past 2000 years, (c) and (d) only used
 5 Landsat-derived estimations.

6 4 Discussions and Conclusions

7 This study presented an empirical study of investigating the evolution of vegetation system in the
 8 HRB over the past 2000 years. The vegetation system was categorized into natural vegetation and
 9 crop vegetation. The area and biomass of each vegetation system since the 1980s were estimated
 10 based on the remote sensing image data. For the historical periods, the area and biomass of each
 11 vegetation system were reconstructed based on the relationship between the area and biomass of
 12 the vegetation system and the climatic and hydrological variables in the last 30 years with the
 13 measured data. Some major research findings and their implications for future research and river
 14 basin management practice are discussed as follows:

15

16 Both natural and crop vegetation development in Heihe River Basin, based on the change in area
 17 and biomass in the past 2000 years (Figures 3, 4, 7), can be divided into 3 stages: (1)
 18 Pre-development stage (before 1949), (2) Rapid development stage (1949-2000), and (3)
 19 Post-development stage (after 2000). In pre-development stage, agriculture was developed at a
 20 lower level only for the political and military needs (Xie et al, 2013). The natural vegetation/crop
 21 ratio came to the highest point in the Yuan Dynasty in Fig.5. During this period, the temperature
 22 fluctuated marginally and the terminal lake area did not change much (Yang, et al., 2002; Lu, et al.,
 23 2015). The natural vegetation showed a slight decrease trend as the runoff from upstream
 24 decreased from $14.2 \times 10^8 \text{ m}^3$ to $13.5 \times 10^8 \text{ m}^3$. After 1949, crop area in HRB experienced rapid
 25 increase as food security had been the priority agricultural policy in China. The government
 26 encouraged farmers to reclaim unused land and promoted lots of irrigation projects (Zhang, et al.,
 27 2015). In addition, the shelter forest system established after the 1980s not only protected the
 28 existing cropland but also made it possible to change the desert surrounding oasis to farm. Natural



1 vegetation during this period also experienced rapid increase, temperature increase by 0.5 - 1°C
2 and upper stream runoff increase from $13.5 \times 10^8 \text{ m}^3$ to $15 \times 10^8 \text{ m}^3$ could explain it. And water
3 leakage from crop irrigation may also contribute to natural vegetation development. As a
4 consequence of the rapid development of agriculture, the terminal lake (Juyan Lake) of about 900
5 km² was dried up and groundwater was over-pumped for irrigation. After 2000, crop vegetation
6 kept relatively stable as a result of the implementation of the policy “ensure water supply to the
7 lower course of the basin to avoid ecosystem degradation”. Natural vegetation keeps increasing
8 during this period because the temperature and runoff continue to increase. These stage
9 developments were the result of changes in agricultural and water policies and changes in climatic
10 and hydrologic variables.

11

12 There was a much faster increase of crop biomass than that of native vegetation since 1949
13 (Figure 6). The average biomass of crop per unit area increased by 180% and the biomass of
14 natural vegetation did not change much. Lu et al (2015) also found the agricultural water
15 productivity increased by 6 times in past 50 years in the middle reach of Heihe River. This is the
16 result of technologic progress on agriculture and water application. After 1949, especially after
17 reform and opening of the national economy in the late 1980s, there were great improvements in
18 irrigation, crop varieties, chemical fertilizers and pesticides, and mechanization in HRB.
19 Technological improvement influences the relationship between crop and natural vegetation.
20 Advances in agricultural and water technologies enabled more crop biomass without the
21 increase of crop area and facilitated the transfer of water from agriculture to downstream
22 ecological purposes without compromise of the middle stream economic benefit.

23 The total vegetation area in HRB has been increased by 8,732 km² in the past 2000 years. Crop
24 and natural vegetation presented different evolutionary pattern (Figure 3 and Figure 4) and the
25 ratio of natural vegetation to crop vegetation from 16 at Yuan Dynasty to at about 2.2 since 2005
26 (Figure 5). It is the result of the increase in human water demand from agriculture and urban
27 development, increase in agricultural and water technological development for improving crop
28 biomass, increase in water allocation for the environment (terminal lake) and increases in
29 temperature and upstream runoff. Any changes in these factors will bring about the change of the
30 ratio natural vegetation to crop vegetation. This ratio represents the land and water development at
31 river basin at changing climate and social-economy. Thus, it could be used as an indicator to plan
32 the objective or examine the outcome of water and land management at river basin. More research
33 is needed in future to develop an understanding of the mechanism of dynamic interaction between
34 natural vegetation and crop vegetation. With the knowledge of this interaction, water and land
35 would be better managed for the better balance between the human and natural systems in river
36 basins.

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43 **References**

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