Rain or Snow: Hydrologic Processes, Observations, Prediction, and Research Needs

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Abstract

The phase of precipitation when it reaches the Earth surface is a first-order driver of hydrologic processes in a watershed. The presence of snow, rain, or mixed phase precipitation affect the initial and boundary conditions that drive hydrological models. Despite their foundational importance to terrestrial hydrology, typical phase prediction methods (PPM) specify phase based on near-surface air temperature only. Our review conveys the diversity of tools available for PPM in hydrological modeling and the advancements needed to improve predictions in complex terrain with large spatiotemporal variations in precipitation phase. Initially, we review the processes and physics that control precipitation phase as relevant to hydrologists, focusing on the importance of processes occurring aloft. There are a wide range of options for field observations of precipitation phase, but a lack of a robust observation networks in complex terrain. New remote sensing observations have potential to increase PPM fidelity, but generally require assumptions typical of other PPM and field validation before they are operational. We review common PPM and find that accuracy is generally increased at finer measurement intervals and by including humidity information. One important tool for PPM development is atmospheric modeling, which include microphysical schemes that have not been effectively linked to hydrological models or validated against near-surface precipitation phase observations. The review concludes by describing key research gaps and recommendations to improve PPM including better incorporation of atmospheric information, improved validation datasets, and regional-scale gridded data products. Two key points emerge from this synthesis for the hydrologic community: 1) current PPM algorithms are too simple and are not well-validated for most locations, 2) lack of sophisticated PPM increases the uncertainty in estimation of hydrological sensitivity to changes in precipitation phase at local to regional scales. PPM are a critical research frontier in hydrology that requires scientific cooperation between hydrological and atmospheric modelers and field hydrologists.

Keywords: precipitation phase, snow, rain, hydrological modeling

1. Introduction and Motivation

As climate warms, a major hydrologic shift in precipitation phase from snow to rain is expected to occur across temperate regions that are reliant on mountain snowpack for water resources (Bales et al., 2006; Barnett et al., 2005). Continued changes in precipitation phase are expected

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to alter snowpack dynamics and streamflow timing and amounts (Cayan et al., 2001; Fritze et al., 2011; Luce and Holden, 2009; Klos et al., 2014; Berghuijs et al., 2014; Jepsen et al., 2016), increase rain-on snow flooding (McCabe et al., 2007), and challenge our ability to make accurate water supply forecasts (Milly et al., 2008). Accurate estimations of precipitation inputs are required for effective hydrological modeling in both applied and research settings. Snow storage delays the transfer of precipitation into surface runoff and subsurface infiltration (Figure 1), affecting the timing and magnitude of peak flows (Wang et al., 2016), hydrograph recession (Yarnell et al., 2010) and the magnitude and duration of summer baseflow (Safeeq et al., 2014; Godsey et al., 2014). Moreover, the altered timing and rate of snow versus rain inputs can modify the partitioning of water to evapotranspiration versus runoff (Wang et al., 2013). Misrepresentation of precipitation phase within hydrologic models thus propagates into spring snowmelt dynamics (Harder and Pomeroy, 2013; Mizukami et al., 2013; White et al., 2002; Wen et al., 2013) and streamflow estimates used in water resource forecasting (Figure 1). The persistence of streamflow error is particularly problematic for hydrological models that are calibrated on observed streamflow because this error can be compensated for by altering parameters that control other states and fluxes in the model (Minder, 2010; Shamir and Georgakakos, 2006; Kirchner, 2006). Expected changes in precipitation phase from climate warming presents a new set of challenges for effective hydrological modeling (Figure 1). A simple yet essential issue for nearly all runoff generation questions is this: Is precipitation falling as rain, snow, or a mix of both phases?

Despite advances in terrestrial process-representation within hydrological models in the past several decades (Fatichi et al., 2016), most state-of-the-art models rely on simple empirical algorithms to predict precipitation phase. For example, nearly all operational models used by the National Weather Service River Forecast Centers in the United States use some type of temperature-based precipitation phase partitioning methods (PPM) (Pagano et al., 2014). These are often single or double temperature threshold models that do not consider other conditions important to the hydrometeor's energy balance. Although forcing datasets for hydrological models are rapidly being developed for a suite of meteorological variables, to date no gridded precipitation phase product has been developed over a regional to global scale. Widespread advances in both simulation of terrestrial hydrological processes and computational capabilities

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may have limited improvements on water resources forecasts without commensurate advances in PPM.

Recent advances in PPM incorporate effects of humidity (Harder and Pomeroy, 2013; Marks et al., 2013), atmospheric temperature profiles (Froidurot et al., 2014), and remote sensing of phase in the atmosphere (Minder, 2010; Lundquist et al., 2008). A challenge to improving and selecting PPM is the lack of validation data. In particular, reliable ground-based observations of phase are sparse, collected at the point scale over limited areas, and are typically limited to research rather than operational applications (Marks et al., 2013). The lack of observations is particularly problematic in mountain regions where snow-rain transitions are widespread and critical for regional water resource evaluations (Klos et al., 2014). For example, direct visual observations have been widely used (Froidurot et al., 2014; Knowles et al., 2006; U.S. Army Corps of Engineers, 1956), but are decreasing in number in favor of automated measurement systems. Automated systems use indirect methods to accurately estimate precipitation phase from hydrometeor characteristics (i.e. disdrometers), as well as coupled measurements that infer precipitation phase based on multiple lines of evidence (e.g. co-located snow depth and precipitation). Remote sensing is another indirect method that typically uses radar returns from the ground and space-borne platforms to infer hydrometeor temperature and phase. A comprehensive description of the advantages and disadvantages of current measurement strategies, and their correspondence with conventional PPM, is needed to determine critical knowledge gaps and research opportunities.

New efforts are needed to advance PPM to better inform hydrological models by integrating new observations, expanding the current observation networks, and testing techniques over regional variations in hydroclimatology. While calls to integrate atmospheric information are an important avenue for advancement (Feiccabrino et al., 2013), hydrological models ultimately require accurate and validated phase determination at the land surface. Moreover, any advancement that relies on integrating new information or developing a new PPM technique will require validation and training using ground-based observations. To make tangible advancements in hydrological modeling, new techniques and datasets must be integrated with current modeling tools. The first step towards improved hydrological modeling in areas with mixed precipitation

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phase is educating the scientific community about current techniques and limitations that convey towards gaps where research is needed.

Our review paper is motivated by a lack of a comprehensive description of the state-of-the-art PPM and observation tools. Therefore, we describe the current state of the science in a way that clarifies the correspondence between techniques and observations and highlights current strengths and weaknesses in the science. Specifically, subsequent sections will review: 1) the processes and physics that control precipitation phase as relevant to field hydrologists, 2) current options available for observing precipitation phase and related measurements common in remote field settings, 3) existing methods for predicting and modeling precipitation phase, and 4) research gaps that exist regarding precipitation phase estimation. The overall objective is to convey a clear understanding of the diversity of tools available for PPM in hydrological modeling and the advancements needed to improve predictions in complex terrain characterized by large spatiotemporal variations in precipitation phase.

2. Processes and Physics Controlling Precipitation Phase

Precipitation formed in the atmosphere is typically a solid in the mid-latitudes and its phase at the land surface is determined by whether it melts during its fall (Stewart et al., 2015). Most hydrologic models do not simulate atmospheric processes and specify precipitation phase based on surface conditions alone (see Section 4.1), ignoring phase transformations in the atmosphere.

Several important properties that influence phase changes in the atmosphere are not included in hydrological models (Feiccabrino et al., 2012), such as temperature and precipitation characteristics (Theriault and Stewart, 2010), stability of the atmosphere (Theriault and Stewart, 2007), position of the 0 °C isotherm (Minder, 2010; Theriault and Stewart, 2010), interaction between hydrometeors (Stewart, 1992), and the atmospheric humidity profile (Harder and Pomeroy, 2013). The vertical temperature and humidity (represented by the mixing ratio) profile through which the hydrometeor falls typically consists of three layers, a top layer that is frozen (T <0 °C) in winter in temperate areas (Stewart, 1992), potentially a mixed layer with T >0 °C, and a surface layer that can be above or below 0 °C (Figure 2). The phase of precipitation at the surface partly depends on the phase reaching the top of the surface layer, which is defined as the

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critical height. The temperature profile and depth of the surface layer controls the precipitation phase reaching the ground surface. For example, in Figure 2a, if rain reaches the critical height, it may reach the surface as rain or ice pellets depending on small differences in temperature in the surface layer (Theriault and Stewart, 2010). Similarly, in Figure 2b, if snow reaches the critical height, it may reach the surface as snow if the temperature in the surface layer is below freezing. However, in Figure 2c, when the surface layer temperatures are close to freezing and the mixing ratios are neither close to saturation or very dry the phase at the surface is not easily determined by the surface conditions alone.

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In addition to strong dependence on the vertical temperature and humidity profiles, precipitation phase is also a function of fall rate and hydrometeor size because they affect energy exchange with the atmosphere (Theriault et al., 2010). Precipitation rate influences the precipitation phase; for example, a precipitation rate of 10 mm h^{-1} reduces the amount of freezing rain by a factor of three over a precipitation rate of 1 mm h^{-1} (Theriault and Stewart, 2010) because there is less time for exchange of turbulent heat with the hydrometeor. A solid hydrometeor that originates in the top layer and falls through the mixed layer can reach the surface layer as wet snow, sleet, or rain. This phase transition in the mixed layer is primarily a function of latent heat exchange driven by vapor pressure gradients and sensible heat exchange driven by temperature gradients. Temperature generally increases from the mixed layer to the surface layer causing sensible heat inputs to the hydrometeor. If these gains in sensible heat are combined with minimal latent heat losses resulting from low vapor pressure deficits, it is likely the hydrometeor will reach the surface layer as rain (Figure 2). However, vapor pressure in the mixed layer is often below saturation leading to latent energy losses and cooling of the hydrometeor coupled with diabatic cooling of the local atmosphere, which can produce snow or other forms of frozen precipitation at the surface even when temperatures are above 0 °C. Likewise, surface energetics affect local atmospheric conditions and dynamics, especially in complex terrain. For example, melting of the snowpack can cause diabatic cooling of the local atmosphere and affect the phase of precipitation, especially when air temperatures are very close to 0 °C (Theriault et al., 2012). Many conditions lead to a combination of latent heat losses and sensible heat gains by hydrometeors (Figure 2). Under these conditions it can be difficult to predict the phase of

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precipitation without sufficient information about humidity and temperature profiles, turbulence, hydrometeor size, and precipitation intensity.

Stability of the atmosphere can also influence precipitation phase. Stability is a function of the vertical temperature structure which can be altered by vertical air movement and hence influence precipitation phase (Theriault and Stewart, 2007). Vertical air velocity changes the temperature structure by adiabatic warming or cooling due to pressure changes of descending and ascending, air parcels, respectively. These changes in temperature will generate under-saturated and supersaturated conditions in the atmosphere that can also alter the precipitation phase. Even a very weak vertical air velocity (< 10 cm/s) significantly influences the phase and amount of precipitation formed in the atmosphere (Theriault and Stewart, 2007). The rain-snow line predicted by atmospheric models is very sensitive to these microphysics (Minder, 2010) and validating the microphysics across locations with complex physiography is challenging. Incorporation and validation of atmospheric microphysics is rarely achieved in hydrological applications (Feiccabrino et al., 2015).

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3. Current Tools for Observing Precipitation Phase

3.1 In situ observations

In situ observations refer to methods wherein a person or instrument onsite records precipitation phase. We identify 3 classes of approaches that are used to observe precipitation phase including 1) direct observations, 2) coupled observations, and 3) proxy observations.

Direct observations simply involve a person on-site noting the phase of falling precipitation. Such data form the basis of many of the predictive methods that are widely used (Dai, 2008; Ding et al., 2014; U.S. Army Corps of Engineers, 1956). Direct observations are useful for “manned” stations such as those operated by the U.S. National Weather Service. Few research stations, however, have this benefit, particularly in many remote regions and in complex terrain. Direct observations are also limited in their temporal resolution and are typically reported only once per day, with some exceptions (Froidurot et al., 2014). Citizen scientist networks have historically provided valuable data to supplement primary instrumented observation networks. The National Weather Service Cooperative Observer Program (http://www.nws.noaa.gov/om/coop/what-is-coop.html, accessed 10/12/2016) is comprised of a

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network of volunteers recording daily observations of temperature and precipitation, including phase. The NOAA National Severe Storms Laboratory used citizen scientist observations of rain and snow occurrence to evaluate the performance of the Multi-Radar Multi-Sensor (MRMS) system in the meteorological Phenomena Identification Near the Ground (mPING) project (Chen et al., 2015). The Colorado Climate Center initiated Community Collaborative Rain, Hail and Snow Network (CoCoRaHS) supplies volunteers with low cost instrumentation to observe precipitation characteristics, including phase, and enables observations to be reported on the project website (http://www.cocorahs.org/, accessed 10/12/2016). Although highly valuable, some limitations of this system include the imperfect ability of observers to identify mixed phase events and the temporal extent of storms, as well as the lack of observations in both remote areas and during low light conditions.

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Coupled observations link synchronous measurements of precipitation with secondary observations to indicate phase. Secondary observations can include photographs of surrounding terrain, snow depth measurements, and measurements of ancillary meteorological variables. Photographs of vertical scales emplaced in the snow have been used to estimate snow accumulation depth, which can then be coupled with precipitation mass to determine density and phase (Berris and Harr, 1987; Floyd and Weiler, 2008; Garvelmann et al., 2013; Hedrick and Marshall, 2014; Parajka et al., 2012). Mixed phase events, however, are difficult to quantify using coupled depth- and photographic-based techniques (Floyd and Weiler, 2008). Acoustic distance sensors, which are now commonly used to monitor the accumulation of snow (e.g. Boe, 2013), have similar drawbacks in mixed phase events, but have been effectively applied to separate snow from rain (Rajagopal and Harpold, 2016). Meteorological information such as temperature and relative humidity can be used to compute the phase of precipitation measured by bucket-type gauges. Unfortunately, this approach generally requires incorporating assumptions about the meteorological conditions that determine phase (see section 4.1). Harder and Pomeroy (2013) used a comprehensive approach to determine the phase of precipitation. Every 15 minutes during their study period phase was determined by evaluating weighing bucket mass, tipping bucket depth, albedo, snow depth, and air temperature. Similarly, Marks et al. (2013) used a scheme based on co-located precipitation and snow depth to discriminate phase. A more involved expert decision making approach by L'hôte et al. (2005) was based on six recorded

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meteorological parameters: precipitation intensity, albedo of the ground, air temperature, ground surface temperature, reflected long-wave radiation, and soil heat flux. The intent of most of these coupled observations was to develop datasets to evaluate PPM algorithms. However, if these observation systems were sufficiently simple they may have the potential to be applied operationally across larger meteorological monitoring networks encompassing complex terrain where snow comprises a large component of annual precipitation (Rajagopal and Harpold, 2016).

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Proxy observations measure geophysical properties of precipitation to infer phase. The hot plate precipitation gauge introduced by Rasmussen et al. (2012), for example, uses a heated thin disk to accumulate precipitation and then measures the amount of energy required to melt snow or evaporate liquid water. This technique, however, requires a secondary measurement of air temperature to determine if the energy is used to melt snow or only evaporate rain. Disdrometers measure the size and velocity of hydrometeors. Although the most common application of disdrometer data is to determine the drop size distribution (DSD) and other properties of rain, the phase of hydrometeors can be inferred by relating velocity and size to density. Some disdrometer technologies, which can be grouped into impact, imaging, and scattering approaches (Loffler-Mang et al., 1999), are better suited for describing snow than others. Impact disdrometers, first introduced by Joss and Waldvogel (1967), use an electromechanical sensor to convert the momentum of a hydrometeor into an electric pulse. The amplitude of the pulse is a function of drop diameter. Impact disdrometers have not been commonly used to measure solid precipitation due to the different functional relationships between drop size and momentum for solid and liquid precipitation. Imaging disdrometers use basic photographic principles to acquire images of the distribution of particles (Borrmann and Jaenicke, 1993; Knollenberg, 1970). The 2D Video Disdrometer (2DVD) described by Kruger and Krajewski (2002) records the shadows cast by hydrometeors onto photodetectors as they pass through two sheets of light. The shape of the shadows enables computation of particle size, and shadows are tracked through both light sheets to determine velocity. Although initially designed to describe liquid precipitation, recent work has shown that the 2DVD can be used to classify snowfall according to microphysical properties of single hydrometeors (Bernauer et al., 2016). The 2DVD has been used to classify known rain or snow events individually, but little work has been performed to distinguish between liquid and solid precipitation. Scattering disdrometers, or optical disdrometers, measure the extinction of

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light passing between a source and a sensor (Hauser et al., 1984; Loffler-Mang et al., 1999). Like the other types, optical disdrometers were originally designed for rain, but have been periodically applied to snow (Battaglia et al., 2010; Lempio et al., 2007). In a comparison study by Caracciolo et al. (2006), the PARSIVEL optical disdrometer, originally described by Loffler-Mang et al. (1999) did not perform well against a 2DVD because of problems related to the detection of slow fall velocities for snow. It may be possible to use optical disdrometers to distinguish between rain, sleet, and snow based on the existence of distinct shapes of the size spectra for each precipitation type. More research on the relationship between air temperature and the size spectra produced by the optical disdrometer is needed (Lempio et al., 2007). In summary, disdrometers of various types are valuable tools for describing the properties of rain and snow, but require further testing and development to distinguish between rain and snow, as well as mixed phase events.

3.2 Ground-based remote sensing observations

Ground-based remote sensing observations have been available for several decades to detect precipitation phase using radar. Until recently, most ground-based radar stations were operated as conventional Doppler systems that transmit and receive radio waves with single horizontal polarization. Developments in dual polarization ground radar such as those that function as part of the U.S. National Weather Service NEXRAD network (NOAA, 2016), have resulted in systems that transmit radio signals with both horizontal and vertical polarizations. In general, ground-based remote sensing observation, either single or dual-pol, remain underutilized for detecting precipitation phase and are challenging to apply in complex terrain (Table 2).

Ground-based remote sensing of precipitation phase using single-polarized radar systems depends on detecting the radar bright band. Radio waves transmitted by the radar system, are scattered by hydrometeors in the atmosphere, with a certain proportion reflected back towards the radar antenna. The magnitude of the measured reflectivity (Z) is related to the size and the dielectric constant of falling hydrometeors (White et al., 2002). Ice particles aggregate as they descend through the atmosphere and their dielectric constant increases, in turn increasing Z measured by the radar, creating the bright band, a layer of enhanced reflectivity just below the elevation of the melting level (Lundquist et al., 2008). Therefore, bright band elevation can be

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used as a proxy for the "snow level", the bottom of the melting layer where falling snow transforms to rain (White et al., 2010;White et al., 2002).

Doppler vertical velocity (DVV) is another variable that can be estimated from single-polarized vertically profiling radar. DVV gives an estimate of the velocity of falling particles; as snowflakes melt and become liquid raindrops, the fall velocity of the altered hydrometeors increases. When combined with reflectivity profiles, DVV helps reduce false positive detection of the bright band, which may be caused by phenomena other than snow melting to rain (White et al., 2002). First, DVV and Z are combined to detect the elevation of the bottom of the bright band. Then the algorithm searches for maximum Z above the bottom of the bright band and determines that to be the bright band elevation (White et al., 2002). However, a test of this algorithm on data from a winter storm over the Sierra Nevada found root mean square errors of 326 to 457 m compared to ground observations when bright band elevation was assumed to represent the surface transition from snow to rain (Lundquist et al., 2008). Snow levels in mountainous areas, however, may also be overestimated by radar profiler estimates if they are unable to resolve spatial variations close to mountain fronts, since snow levels have been noted to persistently drop on windward slopes (Minder and Kingsmill, 2013). Despite the potential errors, the elevation of maximum Z may be a useful proxy variable for snow level in hydrometeorological applications in mountainous watersheds because maximum Z will always occur below the freezing level (Lundquist et al., 2008; White et al., 2010)

Few published studies have explored the value of bright band-derived phase data for hydrologic modeling. Maurer and Mass (2006) compared the melting level from vertically pointing radar reflectivity against temperature-based methods to assess whether the radar approach could improve determination of precipitation phase at the ground level. In that study, the altitude of the top of the bright band was detected and applied across the study basin. Frozen precipitation was assumed to be falling in model pixels above the altitude of the melting level and liquid precipitation was assumed to be falling in pixels below the altitude of the melting layer (Maurer and Mass, 2006). Maurer and Mass (2006) found that incorporating radar-detected melting layer altitude improved streamflow simulation results. A similar study that used bright band altitude to classify pixels according to surface precipitation type was not as conclusive; bright band altitude

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data did not improve hydrologic model simulation results over those based on a temperature threshold (Mizukami et al., 2013). Also, the potential of the method is limited to the availability of vertically pointing radar; in complex, mountainous terrain the ability to estimate melting level becomes increasingly challenging with distance from the radar.

Dual-polarized radar systems generate more variables than traditional single-polarized systems. These polarimetric variables include differential reflectivity, reflectivity difference, the correlation coefficient, and specific differential phase. Polarimetric variables respond to hydrometeor properties such as shape, size, orientation, phase state, and fall behavior and can be used to assign hydrometeors to specific categories (Chandrasekar et al., 2013;Grazioli et al., 2015), or to improve bright band detection (Giangrande et al., 2008).

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Various hydrometeor classification algorithms have been applied to X-, C- and S-band wavelengths. Improvements in these algorithms over recent years have seen hydrometeor classification become an operational meteorological product (see Grazioli et al., 2015 for an overview). For example, the U.S. National Severe Storms Laboratory (NSSL) developed a fuzzy-logic hydrometeor classification algorithm for warm-season convective weather (Park et al., 2009) and this algorithm has also been tested for cold-season events (Elmore, 2011). Its skill was tested against surface observations of precipitation type but the algorithm did not perform well in classifying winter precipitation because it could not account for re-freezing of hydrometeors below the melting level (Figure 2, Elmore, 2011). Unlike warm season convective precipitation, the freezing level during a cold-season precipitation event can vary spatially. This phenomenon has prompted the use of polarimetric variables to first detect the melting layer, and then classify hydrometeors (Boodoo et al., 2010; Thompson et al., 2014). Although there has been some success in developing two-stage cold-season hydrometeor classification algorithms, there is little in the published literature that explores the potential contributions of these algorithms for partitioning snow and rain for hydrological modeling.

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3.3 Space-based remote sensing observations

Spaceborne remote sensing observations typically use passive or active microwave sensors to determine precipitation phase (Table 2). Many of the previous passive microwave systems were challenged by coarse resolutions and difficulties retrieving snowfall over snow-covered areas. More recent active microwave systems have advantage for detecting phase in terms of accuracy and spatial resolution, but remain largely unverified. Table 2 provides and overview of these space-based remote sensing technologies that are described in more detail below.

Passive microwave radiometers detect microwave radiation emitted by the Earth's surface or atmosphere. Passive microwave remote sensing has potential for discriminating between rainfall and snowfall because microwave radiation emitted by the Earth's surface propagates through all but the densest precipitating clouds, meaning that radiation at microwave wavelengths directly interacts with hydrometeors within clouds (Olson et al., 1996; Ardanuy, 1989). However, the remote sensing of precipitation in microwave wavelengths and the development of operational algorithms is dominated by research focused on rainfall (Arkin and Ardanuy, 1989); by comparison, snowfall detection and observation has received less attention (Noh et al., 2009; Kim et al., 2008). This is partly explained by examining the physical processes within clouds that attenuate the microwave signal. Raindrops emit low levels of microwave radiation increasing the level of radiance measured by the sensor; in contrast, ice hydrometeors scatter microwave radiation, decreasing the radiance measured by a sensor (Kidd and Huffman, 2011). Land surfaces have a much higher emissivity than water surfaces, meaning that emission-based detection of precipitation is challenging over land because the high microwave emissions mask the emission signal from raindrops (Kidd, 1998; Kidd and Huffman, 2011). Thus, scattering-based techniques using medium to high frequencies are used to detect precipitation over land. Moreover, microwave observations at higher frequencies (> 89 GHz) have been shown to discriminate between liquid and frozen hydrometeors (Wilheit et al., 1982).

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Retrieving snowfall over land areas from spaceborne microwave sensors can be even more challenging than for liquid precipitation because existing snow cover increases microwave emission. Depression of the microwave signal caused by scattering from airborne ice particles may be obscured by increased emission of microwave radiation from the snow covered land surface. Kongoli et al. (2003) demonstrated an operational snowfall detection algorithm that accounts for the problem of existing snow cover. This group used data from the Advanced Microwave Sounding Unit-A (AMSU-A), a 15-channel atmospheric temperature sounder with a single high frequency channel at 89 GHz), and AMSU-B, a 5-channel high frequency microwave humidity sounder. Both sensors were mounted on the NOAA-16 and -17 polar-orbiting satellites. While the algorithm worked well for warmer, opaque atmospheres, it was found to be too noisy for colder, clear atmospheres. Additionally, some snowfall events occur under warmer conditions than those that were the focus of the study (Kongoli et al., 2003). Kongoli et al. (2015) further adapted their methodology for the Advanced Technology Microwave Sounder (ATMS - onboard the polar-orbiting Suomi National Polar-orbiting Partnership satellite) a descendant of the AMSU sounders. The latest algorithm assesses the probability of snowfall using the logistic regression and the principal components of seven high frequency bands at 89 GHz and above. In testing, the Kongoli et al. (2015) algorithm has shown skill in detecting snowfall both at variable rates and when snowfall is lighter and occurs in colder conditions. An alternative algorithm by Noh et al., 2009 used physically-based, radiative transfer modeling in an attempt to improve snowfall retrieval over land. In this case, radiative transfer modeling was used to construct an *a priori* database of observed snowfall profiles and corresponding brightness temperatures. The radiative transfer procedure yields likely brightness temperatures from modeling how ice particles scatter microwave radiation at different wavelengths. A Bayesian retrieval algorithm was then used to estimate snowfall over land by comparing measurements of brightness temperature with modeled brightness temperature (Noh et al., 2009). The algorithm was tested during the early and late winter for heavier snowfall events. Late winter retrievals indicated that the algorithm overestimated snowfall over surfaces with significant snow accumulation.

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While results have been promising, the spatial resolution at which ATMS and other passive microwave data are acquired is very coarse (15.8 to 74.8 km at nadir), making passive microwave approaches more applicable for regional to continental scales. Temporal resolution of the data acquisition is another challenge. AMSU instruments are mounted on 8 satellites; the related ATMS is mounted on a single satellite and planned for two additional satellites. However, the satellites are polar-orbiting, not geostationary, so it is probable that a precipitation event could occur outside the field of view of one of the instruments.

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Spaceborne active microwave or radar sensors measure the backscattered signal from pulses of microwave energy emitted by the sensor itself. Much like the ground based radar systems, the propagated microwave signal interacts with liquid and solid particles in the atmosphere and the degree to which the measured return signal is attenuated provides information on the atmospheric constituents. The advantage offered by spaceborne radar sensors over passive microwave is the capability to acquire more detailed sampling of the vertical profile of the atmosphere (Kulie and Bennartz, 2009). The first spaceborne radar capable of observing snowfall is the Cloud Profiling Radar (CPR) onboard CloudSat (2006 – present). The CPR operates at 94 GHz with an along-track (or vertical) resolution of ~1.5 km. Retrieval of dry snowfall rate from CPR measurements of reflectivity have been shown to correspond with estimates of snowfall from ground-based radar at elevations of 2.6 and 3.6 km above mean sea level (Matrosov et al., 2008). Estimates at lower elevations, especially those in the lowest 1 km, are contaminated by ground clutter. Alternative approaches, combining CPR data with ancillary data have been formulated to account for this challenge (Kulie and Bennartz, 2009; Liu, 2008). Known relationships between CPR reflectivity data and the scattering properties of non-spherical ice crystals are used to derive snowfall at a given elevation above mean sea level; below this elevation a temperature threshold derived from surface data is used to discriminate between rain and snow events. Liu (2008) used <2 °C as the snow/rain threshold, whereas Kulie and Bennartz (2009) used 0 °C as the snow/rain threshold. Temperature thresholds have been the subject of much research and debate for discriminating precipitation phase, as is further discussed in section 4.1.

CloudSat is part of the A-train or afternoon constellation of satellites, which includes Aqua, with the Moderate Resolution Imaging Spectrometer (MODIS) and the Cloud–Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) spacecraft with cloud-profiling Lidar. The sensors onboard A-train satellites provided the unique combination of data to create an operational snow retrieval product. The CPR Level 2 snow profile product (2C-SNOW-PROFILE) uses vertical profile data from the CPR, input from MODIS and the cloud profiling radar, as well as weather forecast data to estimate near surface snowfall (Kulie et al., 2016; Wood et al., 2013). The performance of 2C-SNOW-PROFILE was tested by Cao et al. (2014). This group found the product worked well in detecting light snow but performed less satisfactorily under conditions of moderate to heavy snow because of the non-stationary effects of attenuation on the returned radar signal.

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The launch of the Global Precipitation Mission (GPM) core observatory in February 2014 holds promise for the future deployment of operational snow detection products. Building on the success of the Tropical Rainfall Monitoring Mission (TRMM), the GPM core observatory sensors include precipitation radar (DPR) and microwave imager (GMI). The GMI has two millimeter wave channels (166 and 183 GHz) that are specifically designed to detect and retrieve light rain and snow precipitation. These are more advanced than the sensors onboard the TRMM spacecraft and permit better quantification of the physical properties of precipitating particles, particularly over land at middle to high latitudes (Hou et al., 2014). Algorithms for the GPM mission are still under development, and is partly being driven by data collected during the GPM Cold Season Experiment (GCPEx) (Skofronick-Jackson et al., 2015). Using airborne sensors to simulate GPM and DPR measurements, one of the questions that the GCPEx hoped to address concerned the potential capability of data from the DPR and GMI to discriminate falling snow from rain or clear air (Skofronick-Jackson et al., 2015). The initial results reported by the GCPEx study echo some of the challenges recognized for ground-based single polarized radar detection of snowfall. The relationship between radar reflectivity and snowfall is not unique. For the GPM mission, it will be necessary to include more variables from dual frequency radar measurements, multiple frequency passive microwave measurements, or a combination of radar and passive microwave measurements (Skofronick-Jackson et al., 2015).

4. Current Tools for Predicting Precipitation Phase

4.1 Prediction Techniques from Ground-Based Observations

Discriminating between solid and liquid precipitation is often based on a near-surface air temperature threshold (Martinec and Rango, 1986;U.S. Army Corps of Engineers, 1956;L'hôte et al., 2005). Four prediction methods have been developed that use near-surface air temperature for discriminating precipitation phase: 1) static threshold, 2) linear transition, 3) minimum and maximum temperature, and 4) sigmoidal curve (Table 1). A static temperature threshold applies a single temperature value, such as mean daily temperature, where all of the precipitation above the threshold is rain, and all below that threshold is snow. Typically this threshold temperature is near 0 °C (Lynch-Stieglitz, 1994; Motoyama, 1990), but was shown to be highly variable across both space and time (Kienzle, 2008; Motoyama, 1990; Braun, 1984; Ye et al., 2013). For

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example, Rajagopal and Harpold (2016) optimized a single temperature threshold at Snow Telemetry (SNOTEL) sites across the western U.S. to show regional variability from -4 to 3 °C (Figure 3). A second discrimination technique is to linearly scale the proportion of snow and rain between a temperature for all rain (T_{rain}) and a temperature for all snow (T_{snow}) (Pipes and Quick, 1977;McCabe and Wolock, 2010;Tarboton et al., 1995). Linear threshold models have been parameterized slightly differently across studies, e.g.: T_{snow} =-1.0 °C, T_{rain} = 3.0 °C (McCabe and Wolock, 2010), T_{snow} =-1.1 °C and T_{rain} =3.3 °C (Tarboton et al., 1995), and T_{snow} =0 °C and T_{rain} =5 °C (McCabe and Wolock, 1999b). A third technique specifies a threshold temperature based on daily minimum and maximum temperatures to classify rain and snow, respectively, with a threshold temperature between the daily minimum and maximum producing a proportion of rain and snow (Leavesley et al., 1996). This technique can have a time-varying temperature threshold or include a T_{rain} that is independent of daily maximum temperature. A fourth technique applies a sigmoidal relationship between mean daily (or sub daily) temperature and the proportion or probability of snow versus rain. For example, one method derived for southern Alberta, Canada employs a curvilinear relationship defined by two variables, a mean daily temperature threshold where 50% of precipitation is snow, and a temperature range where mixed-phase precipitation can occur (Kienzle, 2008). Another sigmoidal-based empirical model identified a hyperbolic tangent function defined by four parameters to estimate the conditional snow (or rain) frequency based on a global analysis of precipitation phase observations from over 15,000 land-based stations (Dai, 2008). Selection between temperature-based techniques is typically based on available data, with a limited number of studies quantifying their relative accuracy for hydrological applications (Harder and Pomeroy, 2014).

Several studies have compared the accuracy of temperature-based PPM to one another and/or against an independent validation of precipitation phase. Sevruk (1984) found that only about 68% of the variability in monthly observed snow proportion in Switzerland could be explained by threshold temperature based methods near 0 °C. An analysis of data from fifteen stations in southern Alberta, Canada with an average of >30 years of direct observations noted over-estimations in the mean annual snowfall for static threshold (8.1%), linear transition (8.2%), minimum and maximum (9.6%), and sigmoidal transition (7.1%) based methods (Kienzle, 2008). An evaluation of PPM at three sites in the Canadian Rockies by Harder and Pomeroy (2013) found the largest percent error to occur using a static threshold (11% to 18%), followed by linear relationships (-8% to 11%), followed by a sigmoidal relationships (-3 to 11%). Another study using 824 stations in China with >30 years of direct observations found accuracies of 51.4% using a static 2.2 °C threshold and 35.7% to 47.4% using linear temperature-based thresholds (Ding et al., 2014). Lastly, for multiple sites across the rain-snow transition in southwestern Idaho, static temperature thresholds produced the lowest proportion (68%) whereas a linear-based model produced the highest proportion (75%) of snow, respectively (Marks et al., 2013). Generally these accuracy assessments demonstrated that static threshold methods produced the greatest errors, whereas sigmoidal relationships produced the smallest errors, although variations to this general rule existed across sites.

Near surface humidity also influences precipitation phase (see Section 2). Three humidity-dependent precipitation phase identification methods are found in the literature: 1) dewpoint temperature (T_d), 2) wet bulb temperature (T_w), and 3) psychometric energy balance. The dewpoint temperature is the temperature at which an air parcel with a fixed pressure and moisture content would be saturated. In one approach to account for measurement and instrument calibration uncertainties of ±0.25 °C each, T_d and T_w below -0.5 °C was assumed to be all snow and above +0.5 °C all rain, with a linear relationship between the two being a proportional mix of snow and rain (Marks et al., 2013). T_d of 0.0 °C performed consistently better than T_a in one study by Marks et al. (2001) while a T_d of 0.1°C for multiple stations in Sweden was less accurate than a T_a of 1.0 °C (Feiccabrino et al., 2013). The wet or ice bulb temperature (T_w) is the temperature at which an air parcel would become saturated by evaporative cooling in the absence of other sources of sensible heat, and is the lowest temperature that falling precipitation can reach. Few studies have investigated the feasibility of T_w for precipitation phase prediction (Olsen, 2003; Ding et al., 2014; Marks et al., 2013). T_w significantly improved prediction of precipitation phase over T_a at 15-minute time steps, but only marginally improved prediction at daily time steps (Marks et al., 2013). Ding et al. (2014) developed a sigmoidal phase probability curve based on T_w and elevation that outperformed T_a threshold-based methods across a network of sites in China. Conceptually, the hydrometeor temperature (T_i) is similar to T_w but is calculated using the latent heat and vapor density gradient.

Use of computed T_i value significantly improved precipitation phase estimates over T_a, particularly as time scales approached one day (Harder and Pomeroy, 2013).

There has been limited validation of humidity-based precipitation phase prediction techniques against ground-truth observations. Ding et al. (2014) showed that a method based on T_w and elevation increased accuracy by 4.8% to 8.9% over several temperature-based methods. Their method was more accurate than a simpler T_w based method by (Yamazaki, 2001). Feiccabrino et al. (2013) showed that T_d misclassified 3.0% of snow and rain (excluding mixed phased precipitation), whereas T_a only misclassified 2.4%. Ye et al. (2013) found T_d less sensitive to phase discrimination under diverse environmental conditions and seasons than T_a. Froidurot et al. (2014) evaluated several techniques with a critical success index (CSI) at sites across Switzerland to show the highest CSI were associated with variables that included T_w or relative humidity (CSI=84%-85%) compared to T_a (CSI=78%). Marks et al. (2013) evaluated the time at which phase transitioned from snow to rain against field observations across a range of elevations and found that T_d most closely predicted the timing of phase change, whereas both T_a and T_w estimated earlier phase changes than observed. Harder and Pomeroy (2013) compared T_i with field observations and found that error was <10% when T_i was allowed to vary with each daily time-step and >10% when T_i was fixed at 0 °C. The T_i accuracy increased appreciably (i.e. 5%-10% improvement) when the temporal resolution was decreased from daily to hourly or 15-minute time steps. The validation studies consistently showed improvements in accuracy by including humidity over PPM based only on temperature.

Hydrological models employ a variety of techniques for phase prediction using ground based observations (Table 1). All discrete hydrological models (i.e. not coupled to an atmospheric model) investigated used temperature based thresholds that did not consider the near-surface humidity. Moreover, most models use a single static temperature threshold, which was consistently shown to produce lower accuracy than multiple temperature methods. Hydrological models that are coupled to atmospheric models were more able to consider important controls on precipitation phase, such as humidity and atmospheric profiles. This compendium of model PPM highlights the current shortcomings in phase prediction in conventional discrete hydrological models.

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4.2 Prediction Techniques Incorporating Atmospheric Information

While many hydrologic models have their own formulations for determining precipitation phase at the ground, it is also possible to initialize hydrologic models with precipitation phase fraction, intensity, and volume from numerical weather simulation model output. Here we discuss the limitations of precipitation phase simulation inherent to WRF (Kaplan et al., 2012; Skamarock et al., 2008) and other atmospheric simulation models. The finest scale spatial resolution employed in atmospheric simulation models is ~1 km and these models generate data at hourly or finer temporal resolutions. Regional climate models (RCM) and global climate models (GCM) are typically coarser than local mesoscale models. The physical processes driving both the removal of moisture from the air and the precipitation phase (Section 2) occur at much finer spatial and temporal resolutions in the real atmosphere than models typically resolve, i.e. <1 km. As with all numerical models, the representation of sub-grid scale processes requires parameterization. At typical scales considered, characterization of mixed phase processes within a condensing cloud depends on both cloud microphysics and kinematics of the surrounding atmosphere. Replicating cloud physics at the multi-kilometer scale requires empiricism. The 30+ cloud microphysics parameterization options in the research version of WRF (Skamarock et al., 2008) vary in the number of classes described (cloud ice, cloud liquid, snow, rain, graupel, hail, etc.), and may or may not accurately resolve changes in hydrometeor phase and horizontal spatial location (due to wind) during precipitation. All microphysical schemes predict cloud water and cloud ice based on internal cloud processes that include a variety of empirical formulations or even simple lookup tables. These schemes vary greatly in their accuracy with "mixed phase" schemes generally producing the most accurate simulations of precipitation phase in complex terrain where much of the water is supercooled (Lin, 2007; Reisner et al., 1998; Thompson et al., 2004; Thompson et al., 2008; Morrison et al., 2005; Zängl, 2007; Kaplan et al., 2012). Comprehensive validation of the microphysical schemes over different land surface types (e. g. warm maritime, flat prairie, etc.) with a focus on different snowfall patterns is lacking. In particular, in transition zones between mountains and plains or along coastlines, the complexity of the microphysics becomes even more extreme due the dynamics and interactions of differing air masses with distinct characteristics. The autoconversion and growth processes from cloud water or ice to hydrometeors contain a strong component of empiricism, in particular the nucleation media and

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their chemical composition. Different microphysical parameterizations lead to different spatial distributions of precipitation and produce varying vertical distributions of hydrometeors (Gilmore et al., 2004). Regardless, precipitation rates for each grid cell are averages requiring hydrological modelers to consider the effects of elevation, aspect, etc. in resolving precipitation phase fractions for finer-scale models.

Numerical models that contain sophisticated cloud microphysics schemes allow assimilation of additional remote sensing data beyond conventional synoptic/large scale observations (balloon data). This is because the coarse spatial and temporal nature of radiosonde data results in the atmosphere being sensed imperfectly/incompletely compared with the scale of motion that weather simulation models can numerically resolve. These observational inadequacies are exacerbated in complex terrain, where precipitation phase fraction can vary on small scales but radar can be blocked by topography and therefore, rendered useless in the model initialization. Accurate generation of liquid and frozen precipitation from vapor requires accurate depiction of initial atmospheric moisture conditions (Kalnay and Cai, 2003; Lewis et al., 2006). In acknowledgement of the difficulty and uncertainty of initializing numerical simulation models, atmospheric modelers use the term "bogusing" to describe incorporation of individual observations at a point location into large scale initial conditions in an effort to enhance the accuracy of the simulation (Eddington, 1989). They also employ complex assimilation methodologies to force the early period of the model solutions during the time integration towards fine scale observations (Kalnay and Cai, 2003; Lewis et al., 2006). These asynoptic or fine scale data sources often substantially improve the accuracy of the simulations as time progresses.

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Hydrologists are increasingly using output from atmospheric models to drive hydrologic models from daily to climate or multi-decadal timescales (Tung and Haith, 1995; Pachauri, 2002; Wood et al., 2004; Rojas et al., 2011; Yucel et al., 2015). These atmospheric models suffer from the same data paucity and scale issues that likewise challenge the implementation and validation of hydrologic models. Uncertainties in their output, including precipitation volume and phase, begins with the initialization of the atmospheric model from measurements, increases with model choice and microphysics as well as turbulence parameterizations, and is a strong function of the scale of the model. The significance of these uncertainties varies by application, but should be acknowledged. Furthermore, these uncertainties are highly variable in character and magnitude from day to day and location to location. Thus, there has been very little published concerning how well atmospheric models predict precipitation phase. Finally, lack of ground measurements leaves hydrologists with no means to assess and validate atmospheric model predictions.

5. Research Gaps

The incorrect prediction of precipitation phase leads to cascading effects on hydrological modeling (Figure 1). Meeting the challenge of accurately predicting precipitation phase requires the closing of several critical research gaps (Figure 4). Perhaps the most pressing challenge for improving PPM is developing and employing new and improved sources of data. However, new data sources will not yield much benefit without effective incorporation of data into predictive models (Figure 4). Additionally, both the scientific and management communities lack data products that can be readily understood and broadly used. Addressing these research gaps requires simultaneous engagement both within and between the hydrology and atmospheric observation and modeling communities. Changes to atmospheric temperature and humidity profiles from regional climate change will likely challenge conventional precipitation phase prediction in ways that demand additional observations and improved forecasts.

5.1 Conduct focused field campaigns

Intensive field campaigns are extremely effective approaches to address fundamental research gaps focused on the discrimination between rain, snow, and mixed-phase precipitation at the ground by providing opportunities to test novel sensors, and detailed datasets to develop remote sensing retrieval algorithms, and improve PPM estimation methods. The recent Global Precipitation Measurement (GPM) Cold Season Precipitation Experiment (GCPEx) is an example of such a campaign in non-complex terrain where simultaneous observations using arrays of both airborne and ground-based sensors were used to measure and characterize both solid and liquid precipitation (e.g. Skofronick-Jackson et al., 2015). Similar intensive field campaigns are needed in complex terrain that is frequently characterized by highly dynamic and spatially variable hydrometeorological conditions. Such campaigns are expensive to conduct, but can be implemented as part of operational nowcasting to develop rich data resources to advance

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scientific understanding as was very effectively done during the Vancouver Olympic Games in 2010 (Isaac et al., 2014; Joe et al., 2014). The research community should utilize existing datasets and capitalize on similar opportunities and expand environmental monitoring networks to simultaneously advance both atmospheric and hydrological understanding, especially in complex terrain spanning the rain-snow transition zone.

5.2 Incorporate humidity information

Atmospheric humidity affects the energy budget of falling hydrometeors (Section 4.1), but is rarely considered in precipitation phase prediction. The difficulty in incorporating humidity mainly arises from a lack of observations, both as point measurements and distributed gridded products. For example, while some reanalysis products have humidity information (i.e. National Centers for Environmental Prediction, NCEP reanalysis) they are at spatial scales (i.e. > 1 degree) too coarse for resolving precipitation phase in complex topography. Addition of high-quality aspirated humidity sensors at snow monitoring stations, such as the SNOTEL network, would advance our understanding of humidity and its effects on precipitation phase in the mountains. Because dry air masses have regional variations controlled by storm tracks and proximity to water bodies, sensitivity of precipitation phase to humidity variations driven by regional warming remains relatively unexplored.

Although humidity datasets are relatively rare in mountain environments, some gridded data products exist that can be used to investigate the importance of humidity information. Most interpolated gridded data products either do not include any measure of humidity (e.g. Daymet or WorldClim) or use daily temperature measurements to infer humidity conditions (e.g. PRISM). In complex terrain, air temperature can also vary dramatically at relatively small scales from ridgetops to valley bottoms due to cold air drainage (Whiteman et al., 1999) and hence can introduce errors into inferential techniques such as these. Potentially more useful are data assimilation products, such as NLDAS-2, that provide humidity and temperature values at 1/8th of a degree scale over the continental U.S. In addition, several data reanalysis products are often available at 1 to 3 year lags from present, including NCEP/NCAR, NARR, and the 20th Century reanalysis. Given the relatively sparse observations of humidity in mountain environments, the accuracy of gridded humidity products is rarely rigorously evaluated (Abatzoglou, 2013). More

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work is needed to understand the added skill provided by humidity datasets for predicting precipitation phase and its distribution over time and space.

5.2 Incorporate atmospheric information

We echo the call of Feiccabrino et al. (2015) for greater incorporation of atmospheric information into phase prediction and additional verification of the skill in phase prediction provided by atmospheric information.

Several avenues exist to better incorporate atmospheric information into precipitation phase prediction, including direct observations, remote sensing observations, and model products. Radiosonde measurements made daily at many airports and weather forecasting centers have shown some promise for supplying atmospheric profiles of temperature and humidity (Froidurot et al., 2014). However, these data are only useful to initialize the larger scale structure of temperature and water vapor, and may not capture local-scale variations in complex terrain. It is also their lack of temporal and spatial frequency that prevents their use in accurate precipitation phase prediction, which is inherently a mesoscale problem, i.e., scales of motion <100 km. Atmospheric information on the bright-band height from Doppler radar has been utilized for predicting the altitude of the rain-snow transition (Lundquist et al., 2008; Minder, 2010), but has rarely been incorporated into hydrological modeling applications (Maurer and Mass, 2006; Mizukami et al., 2013). In addition to atmospheric observations, modeling products that assimilate observations or are fully physically-based may provide additional information for precipitation phase prediction. Numerous reanalysis products (described in Section 2.2) provide temperature and humidity at different pressure levels within the atmosphere. To our knowledge, information from reanalysis products has yet to be incorporated into precipitation phase prediction for hydrological applications. Bulk microphysical schemes used by meteorological models (i.e. Weather Research and Forecasting WRF model) provide a physically-based estimate of precipitation phase. These schemes capture a wide-variety of processes, including evaporation, sublimation, condensation, and aggradation, and output between two and ten precipitation types. Historically, meteorological models have not been run at spatial scales capable of resolving convective dynamics (e.g. <2 km), which can exacerbate error in precipitation phase prediction in complex terrain with a moist neutral atmosphere. Coarse

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meteorological models also struggle to produce pockets of frozen precipitation from advection of moisture plumes between mountain ranges and cold air wedged between topographic barriers. However, reduced computational restrictions on running these models at finer spatial scales and over large geographic extents (Rasmussen et al., 2012) are enabling further investigations into precipitation phase change under historical and future climate scenarios. This suggests that finer dynamical downscaling is necessary to resolve precipitation phase which is consistent with similar work attempting to resolve winter precipitation amount in complex terrain (Gutmann et al., 2012). A potentially impactful area of research is to integrate this information into novel approaches to improve precipitation phase prediction skill.

5.3 Disdrometer networks operating at high temporal resolutions

An increase in the types and reliability of disdrometers over the last decade has provided a new suite of tools to more directly measure precipitation phase. Despite this new potential resource for distinguishing snow and rain, very limited deployments of disdrometers have occurred at the scale necessary to improve hydrologic modeling and rain-snow elevation estimates. The lack of disdrometer deployment likely arises from a number of potential limitations: 1) known issues with accuracy, 2) cost of these systems, and 3) power requirements needed for heating elements. These limitations are clearly a factor in procuring large networks and deploying disdrometers in complex terrain that is remote and frequently difficult to access. However, we advise that disdrometers offer numerous benefits that cannot be substituted with other measurements: 1) they operate at fine temporal scales, 2) they operate in low light conditions that limit other direct observations, and 3) they provide land surface observations rather than precipitation phase in the atmosphere (as compared to more remote methods). Moreover, improvements in disdrometer and power supply technologies that address these limitations would remove restrictions on increased disdrometer deployment.

Transects of disdrometers spanning the rain-snow elevations of key mountain areas could add substantially to both prediction of precipitation phase for modeling purposes, as well as validating typical predictive models. We advocate for transects over key mountain passes where power is generally available and weather forecasts for travel are particularly important. In addition, co-locating disdrometers at long-term research stations where precipitation phase

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observations could be tied to micro-meteorological and hydrological observations has distinct advantages. These areas often have power supplies and instrumentation expertise to operate and maintain disdrometer networks.

5.4 Compare different indirect phase measurement methods

There is an important need to evaluate the accuracy of different PPM to assess tradeoffs between model complexity and skill (Figure 4). Given the potential for several types of observations to improve precipitation phase prediction (section 5.1-5.3), quantifying the relative skill provided by these different lines of evidence is a critical research gap. Although assessing relative differences between methods is potentially informative, comparison to ground truth measurements is critical for assessing accuracy. Disdrometer measurements and video imaging (Newman et al., 2009) are ideal ground truthing methods that can be employed at fine time steps and under a variety of conditions (section 5.3). Less ideal for accuracy assessment studies are direct visual observations that are harder to collect at fine time steps and in low light conditions. Similarly, employing coupled observations of precipitation and snow depth has been used to assess accuracy of different precipitation phase prediction methods (Marks et al., 2013; Harder and Pomeroy, 2013), but accuracy assessment of these techniques themselves are lacking under a wide range of different conditions.

A variety of accuracy assessments are needed that will require co-located distributed measurements. One critical accuracy assessment involves the consistency of different precipitation phase prediction methods under different climate and atmospheric conditions. Assessing the effects of climate and atmospheric conditions requires measurements from a variety of sites covering a range of hydroclimatic conditions and record lengths that span the conceivable range of atmospheric conditions at a given site. Another important evaluation metric is the performance over different time steps. Harder and Pomeroy (2013) showed that hydrometeor and temperature-based prediction methods had errors that substantially decreased across shorter time steps. Identifying the effects of time step length on the accuracy of different prediction methods has been relatively unexplored, but is critical to selecting the proper method for different hydrological applications. Finally, the performance metrics used to assess accuracy should be carefully considered. The applications of precipitation phase prediction methods are

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diverse, necessitating a wide variety of performance metrics, including the probability of snow versus rain (Dai, 2008), the error in annual or total snow/rain accumulation (Rajagopal and Harpold, 2016), performance under extreme conditions of precipitation amount and intensity, determination of the snow-rain elevation (Marks et al., 2013), and uncertainty arising from measurement error and accuracy. Comparison of different metrics across a wide-variety of sites and conditions is lacking but is greatly needed to advance cold-region hydrologic science.

5.5 Develop spatially resolved products

Many hydrological applications will benefit from gridded data products that are easily integrated into standard hydrological models. Currently, very few options exist for gridded data precipitation phase products. Instead, most hydrological models have some type of submodel or simple scheme that specifies precipitation phase as rain, snow, or mixed (see Section 4). While testing PPM with ground based observations could lead to improved submodels, we believe development of gridded forcing data may be an easier and more effective solution for many hydrological modeling applications.

Gridded data products could be derived from a combination of remote sensing and existing model products, but would need to be extensively evaluated. The NASA GPM mission is beginning to produce gridded precipitation phase products at 3-hour and 0.1 degree resolution. However, GPM phase is measured at the top of the atmosphere, typically relies on simple temperature-thresholds, and is yet to be validated with ground based observations. Another existing product is the Snow Data Assimilation System (SNODAS) that estimates liquid and solid precipitation at the 1 km scale. However, the developers of SNODAS caution that it is not suitable for estimating storm totals or regional differences. Furthermore, to our knowledge the precipitation phase product from SNODAS has not been validated with ground observations. We suggest the development of new gridded data products that utilize new PPM (i.e. Harder and Pomeroy, 2013) and new and expanded observational datasets, such as atmospheric information and radar estimates. We advocate for the development of multiple gridded products that can be evaluated with ground observations to compare and contrast their strengths. Accurate gridded phase products rely on the ability to represent the physics of water vapor and energy flows in complex terrain (e.g. Holden et al., 2010) where statistical downscaling methods are typically insufficient (Gutmann et al., 2012). This would also allow for ensembles of phase estimates to be used in hydrological models, similar to what is currently being done with gridded precipitation estimates.

5.6 Characterization of regional variability and response to climate change

The inclusion of new datasets, better validation of PPM, and development of gridded data products will poise the hydrologic community to improve hydrological predictions and better quantify regional sensitivity of phase change to climate changes. Because broad-scale techniques applied to assess changes in precipitation phase and snowfall have relied on temperature, both regionally (Klos et al., 2014; Pierce and Cayan, 2013; Knowles et al., 2006) and globally (Kapnick and Delworth, 2013; O'Gorman, 2014), they have not fully considered the potential non-linearities created by the absence of wet bulb depressions and humidity in assessment of sensitivity to changes in phase. Consequently, the effects of changes from snow to rain from warming and corresponding changes in humidity will be difficult to predict with the current PPM. Recent efforts by Rajagopal and Harpold (2016) have demonstrated that simple temperature thresholds are insufficient to characterize snow-rain transition across the western U.S. (Figure 3), perhaps because of differences in humidity. An increased focus on future humidity trends, patterns, and GCM simulation errors (Pierce et al., 2013) and availability of downscaled humidity products at increasingly finer scales (e.g.: assessments of the relative role of temperature and humidity in future precipitation phase changes. Recent remote sensing platforms, such as GPM, may offer an additional tool to assess regional variability, however, the current GPM precipitation phase product relies on wet bulb temperatures based on model output and not microwave-based observations (Huffman et al., 2015). Besides issues with either spatial or temporal resolution or coverage, one of the main challenges in using remotely sensed data for distinguishing between frozen and liquid hydrometeors is the lack of validation. Where products have been validated, the results are usually only relevant for the locale of the study area. Spaceborne radar combined with ground-based radar offers perhaps the most promising solution, but given the non-unique relationship between radar reflectivity and snowfall, further testing is necessary in order to develop reliable algorithms.

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Future work is needed to improve projections of changes in snowpack and water availability from regional to global scales. This local to sub-regional characterization is needed for water resource prediction and to better inform decision and policy makers. In particular, the ability to predict the transitional rain-snow elevations and its uncertainty is critical information for a variety of end-users, including state and municipal water agencies, flood forecasters, agricultural water boards, transportation agencies, and wildlife, forest, and land managers. Fundamental advancements in characterizing regional variability are possible by addressing the research challenges detailed in sections 5.1-5.5.

6. Conclusions

Our review paper is a step towards communicating the potential bottlenecks in hydrological modeling caused by poor representation of precipitation phase (Figure 1). Our goals are to demonstrate that major research gaps in our ability to PPM are contributing to error and reducing predictive skill in hydrological modeling. By highlighting the research gaps that could advance the science of PPM, we provide a roadmap for future advances (Figure 4). While many of the research gaps are recognized by the community and are being pursued, including incorporating atmospheric and humidity information, while others remain essentially unexplored (e.g. production of gridded data, widespread ground validation, and remote sensing validation).

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The key points that must be communicated to the hydrologic community and its funding agencies can be distilled into the following two statements: 1) current PPM algorithms are too simple and are not well-validated for most locations, 2) the lack of sophisticated PPM increases the uncertainty in estimation of hydrological sensitivity to changes in precipitation phase at local to regional scales. We advocate for better incorporation of new information (5.1-5.2) and improved validation methods (5.3-5.4) to advance our current PPM methods and observations. These improved PPM algorithms and remote-sensing observations will be capable of developing gridded datasets (5.5) and providing new insight that reduce the uncertainty of predicting regional changes from snow to rain (5.6). A concerted effort by the hydrological and atmospheric science communities to address the PPM challenge will remedy current limitations in hydrological modeling of precipitation phase, advance of understanding of cold regions hydrology, and provide better information to decision makers.

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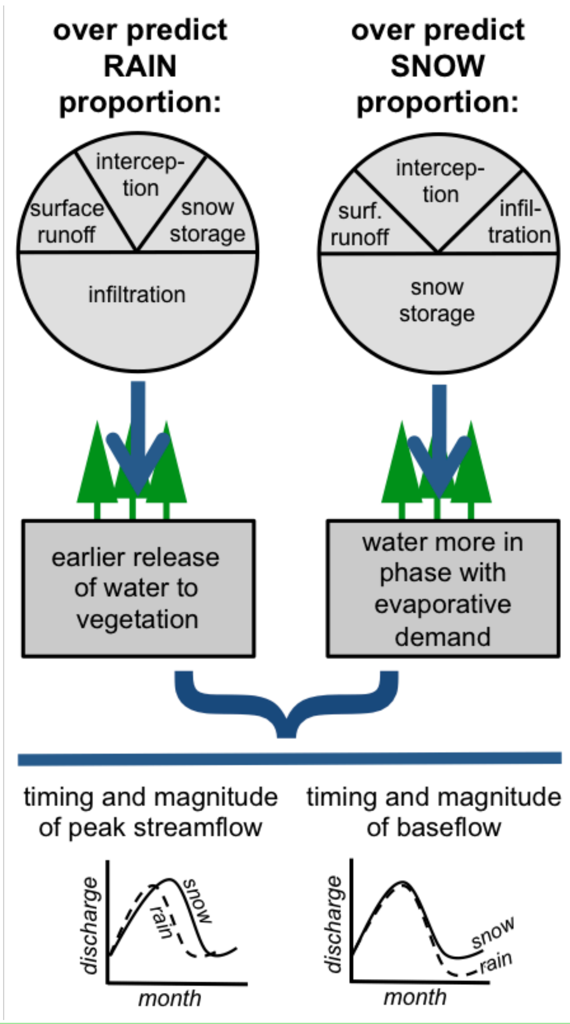

1478 Figure 1: Precipitation phase has numerous implications for modeling the magnitude, storage, partitioning, and timing of water inputs and outputs. Potentially affecting important ecohydrological and streamflow quantities important for prediction.

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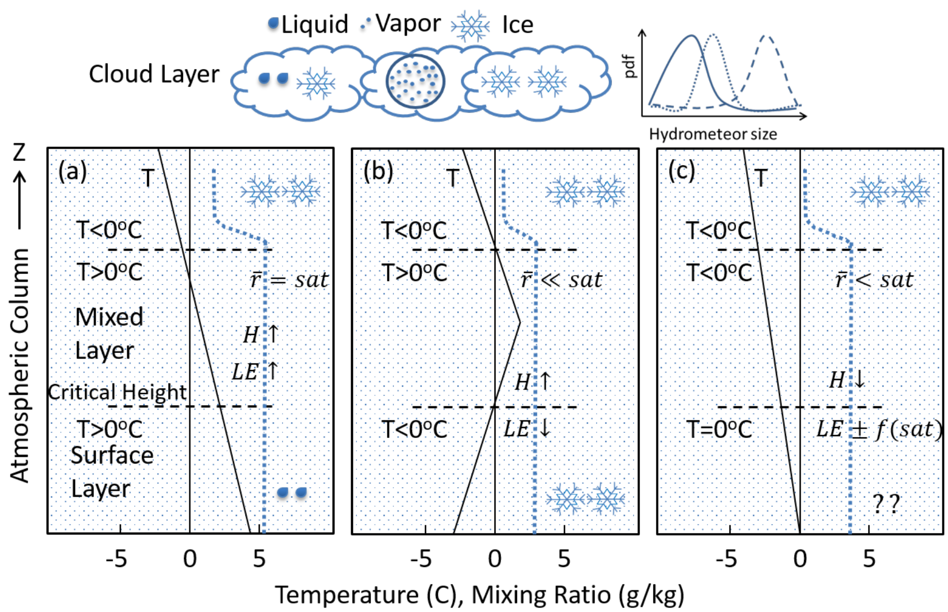

Figure 2: The phase of precipitation at the ground surface is strongly controlled by atmospheric profiles of temperature and humidity. While conditions exist that are relatively easy to predict rain (a) and snow (b), many conditions lead to complex heat exchanges that are difficult to predict with ground based observations alone (c). The blue dotted line represents the mixing ratio. H, LE, f(sat), and r are abbreviations for sensible heat, latent heat of evaporation, function of saturation and mixing ratio respectively. The arrow after H or LE indicate the energy of the hydrometeor either increasing (up) or decreasing (down) which is controlled by other atmospheric conditions.

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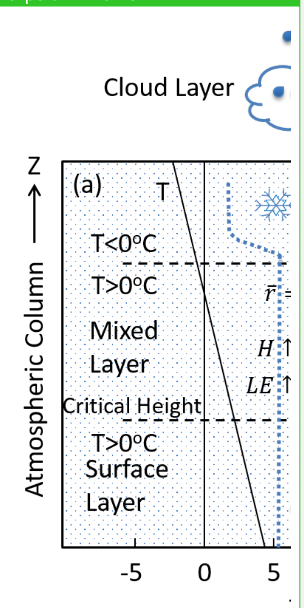

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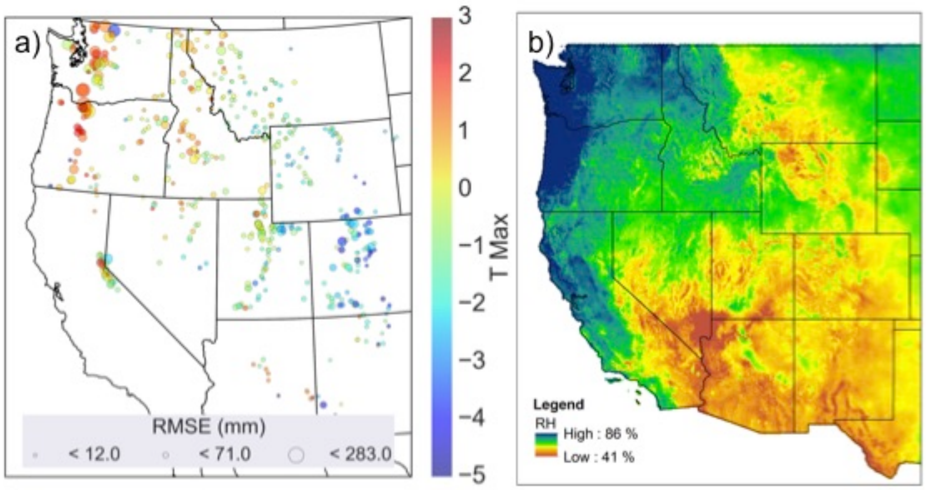

Figure 3: The optimized critical maximum daily temperature threshold that produced the lowest Root Mean Square Error (RMSE) in the prediction of snowfall at Snow Telemetry (SNOTEL) stations across the western US (adapted from Rajagopal and Harpold, 2016). b) Precipitation day relative humidity averaged over 1981-2015 based on the Gridmet dataset (Abatzoglou, 2013).

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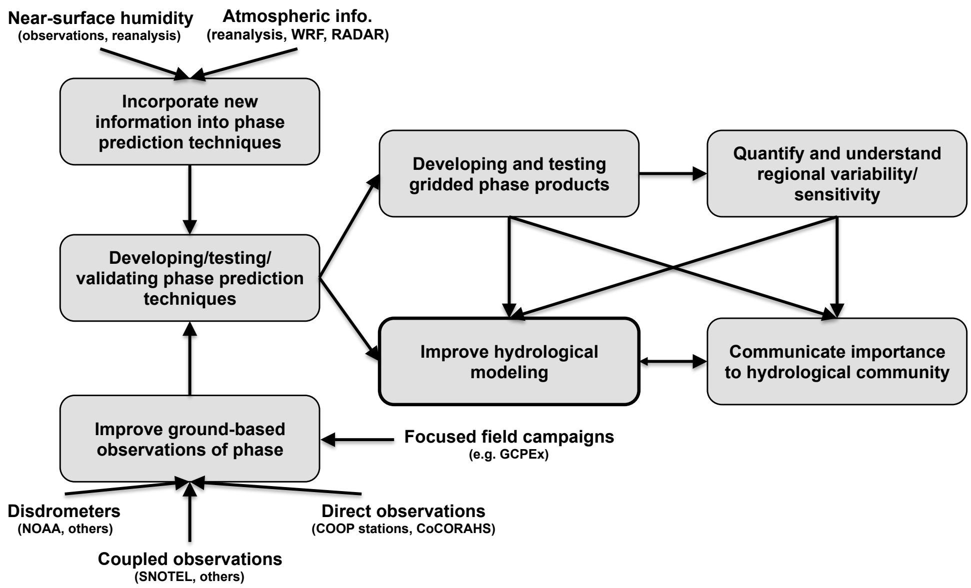

Figure 4: Conceptual representation of the research gaps and workflows needed to advance PPM and improve hydrological modeling.

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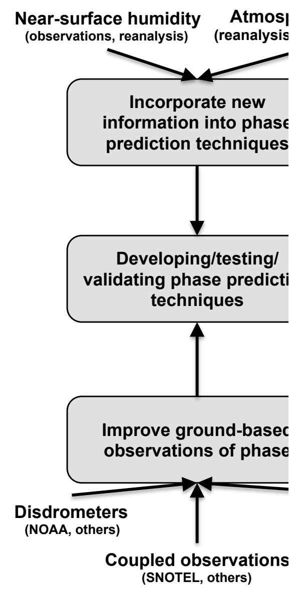

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Table 1. Common hydrological models and the precipitation phase prediction (PPM) technique employed. The citation referring to the original publication of the model is given.

Model	PPM technique	Citations
Discrete Models (not coupled)		
HBV	Static Threshold	Bergström, 1995
Snowmelt Runoff Model	Static Threshold	Martinec et al., 2008
SLURP	Static Threshold	Kite, 1995
UBC Watershed Model	Linear Transition	Pipes and Quick, 1977
PRMS model	Minimum & Maximum Temperature	Leavesley et al., 1996
USGS water budget	Linear transition between two mean temps	McCabe and Wolock, 1999a
SAC-SMA (SNOW-17)	Static Threshold	Anderson, 2006
DHSVM	Linear transition (double check)	Wigmosta et al., 1994
SWAT	Threshold Model	Arnold et al., 2012
RHESSys	Linear transition or input phase	Tague and Band, 2004
HSPF	Air and dew point temperature thresholds	Bicknell et al., 1997
THE ARNO MODEL	Static Threshold	Todini, 1996
HEC-1	Static Threshold	HEC-1, 1998
MIKE SHE	Static Threshold	MIKE-SHE User Manual
SWAP	Static Threshold	Gusev and Nasonova, 1998
BATS	Static Threshold	Yang et al., 1997
Utah Energy Balance	Linear Transition	Tarboton and Luce, 1996
SNOBAL/ISNOBAL	Linear Transition[*]	Marks et al., 2013
CRHM	Static Threshold	Fang et al., 2013
GEOTOP	Linear Transition	Zanotti et al. 2004
SNTHERM	Linear Transition	SNTHERM Online Documentation
Offline LS models		
Noah	Static Threshold	Mitchell et al., 2005
VIC	Static Threshold	VIC Documentation
CLASS	Multiple Methods[+]	Verseghy, 2009

* by default. Temperature-phase-density relationship explicitly specified by user.

+ A flag is specified which switches between, static threshold, linear transition.

Table 2: Remote sensing technologies useful to precipitation phase discrimination organized into ground-based, spaceborne with passive microwave, and passive with active microwave. The table describes the variables of interest, their temporal and spatial coverage, and associated references.

Technology	**Variables**	**Spatial resolution; coverage**	**Temporal resolution, period of record**	**References**
Ground-based systems				
Vertically pointing, single polarized 915-MHz Doppler wind profilers	Reflectivity, brightband height, Doppler vertical velocity	100 m vertical resolution; deployed locally in Sierra Nevada basins	Hourly, Winters 1998, 2001 - 2005	White et al., 2002; Lundquist et al., 2008
NEXRAD Dual polarized radar	Reflectivity[1], hydrometeor classification[1], melting layer[1], hybrid hydrometeor classification[1]	0.5° azimuthal by 250 m; range 460 km; Nationwide[2]	5 - 10 minutes; 2011[3] - present	Giangrande et al., 2008; Park et al., 2009; Elmore, 2011; Grazioli et al., 2015
Spaceborne systems: Passive microwave				
NOAA-15, NOAA-16, NOAA-17 Advanced Microwave Sounding Unit-A, B	Brightness temperature	48 km (AMSU-A), 16 km (AMSU-B); global coverage, with 22000 km swath	For two platforms, 6 hours revisit time; three platforms, 4 hours revisit time[4]; 1998 - present	Kongoli et al., 2003
SUOMI-NPP Advanced Technology Microwave Sounder	Brightness temperature	15 - 50 km; global coverage, with 2200 km swath	Daily; 2011 - present	Kongoli et al., 2015
GPM Core Observatory Microwave Imager	Brightness temperature	4.4 km by 7.3 km; global coverage, 904 km swath	2014 to present	Skofronick-Jackson et al., 2015
Spaceborne systems: Active microwave				
Cloud Profiling Radar (CPR)	Radar reflectivity, 2C-SNOW-PROFILE	1.4 by 1.7 km; swath 1.4 km	16 days; 2006 to present	Wood et al., 2013; Cao et al., 2014; Kulie et al., 2016;
GPM Core Observatory Dual-frequency Precipitation Radar	Radar reflectivity	5 km; global coverage, 120 - 245 km swath	2 – 4 hours; 2014 to present	Skofronick-Jackson et al., 2015

Notes:
1. Operational products available from NOAA (2016). The operational products are not ground validated, except where analyzed for specific studies.
2. The dates given here represent the first deployments. Data temporal coverage will vary by station.
3. Gaps in coverage exist, particularly in Western States.
4. Similar instruments mounted on the NASA Aqua satellite and the European EUMETSAT MetOp series. Taking into account the similar instrumentation on multiple platforms increases the temporal spatial resolution

Response to reviewer #1

We appreciate the reviewer's positive overall comments on the manuscript. We make detailed responses below in **blue** and have made the editorial changes wherever possible. We also agree with the more major comments regarding validation of the microphysics schemes and the potential influence of temperature-only PPM in large-scale forecasting of phase under changing climate. Line numbers refer to track changes version of document.

Response to specific comments:

1) Line 21: Change "The review" to "This review" or "Our review". The previous sen tence structure made it unclear which review is being referred to and required the reader to go back to the previous sentence wondering what review is being mentioned.

This was changed. We also made similar changes on line 154 and 998.

2) Line 184: either here or elsewhere, it should be mentioned that it is important to validate these microphysics (or other properties if you move this to the discussion) over various land surfaces / types. A microphysics scheme that performs well in Iowa (flat prairie) may not perform well over Idaho (complete mountain terrain) or the Oregon Cascades (coastal warm snow).

This was expanded on line 235 to read "The rain-snow line predicted by atmospheric models is very sensitive to these microphysics (Minder, 2010) and validating the microphysics across locations with complex physiography is challenging."

We agree that a discussion of verifying the microphysics schemes, in particular for the complex terrain that is the focus of the paper, would strengthen the paper. We have a added several sentences beginning on line 701-709: "These schemes vary greatly in their accuracy with "mixed phase" schemes generally producing the most accurate simulations of precipitation phase in complex terrain where much of the water is supercooled (Lin, 2007; Reisner et al., 1998; Thompson et al., 2004; Thompson et al., 2008; Morrison et al., 2005; Zängl, 2007; Kaplan et al., 2012). Comprehensive validation of the microphysical schemes over different land surface types (e. g. warm maritime, flat prairie, etc.) with a focus on different snowfall patterns is lacking. In particular, in transition zones between mountains and plains or along coastlines, the complexity of the microphysics becomes even more extreme due the dynamics and interactions of differing air masses with distinct characteristics."

3) Line 248: The "(" should be moved to before 1967 based on how the reference is integrated into the sentence

This was corrected.

4) Line 303: need parenthesis instead of brackets

This was corrected.

5) Lines 433, 601: a space is needed between references

This was corrected.

6) Line 583: what is meant by "performing the best"? The best precipitation over mountains? Lowest errors in climatology? Lowest errors in variability? Please clarify.

This was clarified on line 701: "These schemes vary greatly in their accuracy with "mixed phase" schemes generally producing the most accurate simulations of precipitation phase in complex terrain where much of the water is supercooled (Lin, 2007; Reisner et al., 1998; Thompson et al., 2004; Thompson et al., 2008; Morrison et al., 2005; Zängl, 2007; Kaplan et al., 2012)."

7) Line 641: "too" not "to"

This was corrected.

8) Line 783 and Figure 3: The authors should consider adding an accompanying western U.S. climatology map of humidity to show it has significant spatial variability (implied by the statement here and similar ones elsewhere, but not presently shown).

This is a good suggestion. We will add a map as a second panel to Figure 3 using the University of Idaho Gridded Meteorological Datasets, which is essentially NLDAS-2 data downscaled to 4 km.

9) Conclusion/Discussion: I would like to see a paragraph added here or in the previous section (5.6) discussing the implications of this review / points raised for findings from climate change studies focused on snowfall. For example, there are several done at the global scale / continental scale (O'Gorman 2014; Cayan and Pierce. 2013; Kapnick and Delworth 2012). These studies present large-scale changes in snowfall mainly due to temperature (all use temperature-based metrics for phase partitioning), but based on this review, miss the non-temperature induced sensitivity of phase type, likely with nonlinear consequences. Should the changes found in these studies be expected as the temperature signal at some point overwhelms all other signals? Or might the differences due to climate change be non-linear in all cases? A nice final point of this manuscript would place this study within the framework of these larger scale studies / findings as it is implied that reviewing and exploring phase type will have consequences for understanding future water availability and change.

This is an excellent point that was hinted at in the discussion but not fully addressed. We expanded section 5.6 on line 861 to read: "Because broad-scale techniques applied to assess changes in precipitation phase and snowfall have relied on temperature, both regionally (Klos et al., 2014; Pierce and Cayan, 2013; Knowles et al., 2006) and globally (Kapnick and Delworth, 2013; O'Gorman, 2014), they have not fully considered the potential non-linearities created by the absence of wet bulb depressions and humidity in assessment of sensitivity to changes in phase."

The questions raised about the non-temperature induced sensitivity of phase type in the future is an excellent point for future work, and is beyond the scope of this review. Future work to address these questions is now called for in this paragraph with explicit references to studies and data products that could enable such investigations to proceed on line 867: "An increased focus on future humidity trends, patterns, and GCM simulation errors (Pierce et al., 2013) and availability of downscaled humidity products at increasingly finer scales (e.g.: Abatzoglou, 2013; Pierce and Cayan, 2016) will enable detailed assessments of the relative role of temperature and humidity in future precipitation phase changes."

10) Figure 1: The arrows and curly bracket should be changed to be a different color (not grey) to provide contrast. Perhaps red or blue? They presently do not stand out easily / show the movement of information as presently shown. A more contrasting color choice will make this figure easier to read and understand.

We agree and have made these changes to a new figure.

Response to reviewer #2

We appreciate the reviewer's constructive and specific comments on the manuscript. We have addressed all the minor editorial comments and responded to the more detailed comments in **blue** text below. We agree that the sign of a good review paper is creating something new from the gathered information, which was the objective of Section 5. We will bolster that effort by following the reviewer's recommendation about more details on the incorporation of atmospheric models into PPM and better explaining the importance/role of complex terrain. Line numbers refer to track changes version of document.

2 Specific comments

2.1 Synopsis of remotely sensed information

Section 3.2 and 3.3 are quite long indicating an emphasis on remotely sensed observations. After reading the two sections I feel that a synopsis is missing with general information about the applicability of those observations for PPM, which seems a bit lost in the detailed description in these long sections. I would suggest a summarizing paragraph, or an overview table with the following items, for example: description, coverage, availability, resolution, validated, references. The remotely sensed observations do also hardly appear in section 5 (Research Gaps), while the need to validate these products, was mentioned in the abstract. This synopsis can also be placed in the very short Conclusion section, in which the remotely sensed observations are also only very briefly mentioned (line 800).

We agree that a reader could get lost in the details of this section and not see the bigger picture. To improve this section, we have added both a brief overview at the beginning of section 3.2 and 3.3, as well as a table that more succinctly summarizes the technologies. The research gaps section did discuss remote sensing in section 5.2 and 5.5. Section 5.6 had the following sentence added on line 970: "Recent remote sensing platforms, such as GPM, may offer an additional tool to assess regional variability, however, the current GPM precipitation phase product relies on wet bulb temperatures based on model output and not microwave-based observations (Huffman et al., 2015). Besides issues with either spatial or temporal resolution or coverage, one of the main challenges in using remotely sensed data for distinguishing between frozen and liquid hydrometeors is the lack of validation. Where products have been validated, the results are usually only relevant for the locale of the study area. Spaceborne radar combined with ground-based radar offers perhaps the most promising solution, but given the non-unique relationship between radar reflectivity and snowfall, further testing is necessary in order to develop reliable algorithms."

The first paragraph of section 3.2 now reads "Ground-based remote sensing observations have been available for several decades to detect precipitation phase using radar. Until recently, most ground-based radar stations were operated as conventional Doppler systems that transmit and receive radio waves with single horizontal polarization. Developments in dual polarization ground radar such as those that function as part of the

U.S. National Weather Service NEXRAD network (NOAA, 2016), have resulted in systems that transmit radio signals with both horizontal and vertical polarizations. In general, ground-based remote sensing observation, either single or dual-pol, remain underutilized for detecting precipitation phase and are challenging to apply in complex terrain (Table 2)."

The first paragraph is Section 3.3 now reads "Spaceborne remote sensing observations typically use passive or active microwave sensors to determine precipitation phase (Table 2). Many of the previous passive microwave systems were challenged by coarse resolutions and difficulties retrieving snowfall over snow-covered areas. More recent active microwave systems have advantage for detecting phase in terms of accuracy and spatial resolution, but remain largely unverified. Table 2 provides and overview of these space-based remote sensing technologies that are described in more detail below."

Table 2 has information on single polarized and dual-polarized ground radar, and spaceborne passive and active microwave sensors. The information in the table will include description, spatial resolution, temporal resolution, phase validation, and relevant references.

2.2 Incorporation of atmospheric information

The authors describe well in section 4.2 the problematic scale issue between kilometer-scaled atmospheric models and processes influencing PP which act on a finer resolution. They emphasize that ". . .grid cells are averages requiring hydrological modellers to consider effects of elevation, aspect, etc. in resolving precipitation phase fractions for finer-scaled models." (l588ff). I think this is a very relevant topic and I would like to see this topic further discussed in the research gap section, maybe even with some conceptual ideas and/or reference to existing work, or – if not existent – references to similar work done by the downscaling community to represent unresolved variability on the sub-grid scale.

We agree that model scale is an important effect to consider and have added text to section 5.2 staring on line 845: "Historically, meteorological models have not been run at spatial scales capable of resolving convective dynamics (e.g. <2 km), which can exacerbate error in precipitation phase prediction in complex terrain with a moist neutral atmosphere. Coarse meteorological models also struggle to produce pockets of frozen precipitation from advection of moisture plumes between mountain ranges and cold air wedged between topographic barriers. However, reduced computational restrictions on running these models at finer spatial scales and over large geographic extents (Rasmussen et al., 2012) are enabling further investigations into precipitation phase change under historical and future climate scenarios. This suggests that finer dynamical downscaling is necessary to resolve precipitation phase which is consistent with similar work attempting to resolve winter precipitation amount in complex terrain (Gutmann et al., 2012)."

The authors also promote in section 5.5 (Develop spatially resolved products) the benefit of gridded products. Since these products probably suffer the same scale problems as mentioned in l588 for atmospheric models, the authors may discuss this aspect of including sub-grid variability here as well.

We agree and add this sentence in section 5.5 beginning on line 948: “Accurate gridded phase products rely on the ability to represent the physics of water vapor and energy flows in complex terrain (e.g. Holden et al., 2010) where statistical downscaling methods are typically insufficient (Gutmann et al., 2012).”

2.3 Specific conclusions for complex terrain

The authors mention in the abstract that the manuscript “. . .conveys the advancements needed to improve predictions in complex terrain...” (l22f) and that in complex terrain robust observation networks are missing (l26f). I cannot find many details in the manuscript which allow formulating such a focus on complex terrain in the abstract. I suggest adding a paragraph in the research gap section summarizing specific issues in complex terrain.

The reviewer makes an important point that we address in numerous places within the manuscript. On line 235: “The rain-snow line predicted by atmospheric models is very sensitive to these microphysics (Minder, 2010) and validating the microphysics across locations with complex physiography is challenging.” Line 250: “Few research stations, however, have this benefit, particularly in many remote regions and in complex terrain.”. On line 349: “In general, ground-based remote sensing observation, either single or dual-pol, remain underutilized for detecting precipitation phase and are challenging to apply in complex terrain (Table 2).” On line 701: “These schemes vary greatly in their accuracy with “mixed phase” schemes generally producing the most accurate simulations of precipitation phase in complex terrain where much of the water is supercooled (Lin, 2007; Reisner et al., 1998; Thompson et al., 2004; Thompson et al., 2008; Morrison et al., 2005; Zängl, 2007; Kaplan et al., 2012). Comprehensive validation of the microphysical schemes over different land surface types (e. g. warm maritime, flat prairie, etc.) with a focus on different snowfall patterns is lacking. In particular, in transition zones between mountains and plains or along coastlines, the complexity of the microphysics becomes even more extreme due the dynamics and interactions of differing air masses with distinct characteristics.”

We add a new section (5.1) in at the beginning of the research gap section: “Intensive field campaigns are extremely effective approaches to address fundamental research gaps focused on the discrimination between rain, snow, and mixed-phase precipitation at the ground by providing opportunities to test novel sensors, and detailed datasets to develop remote sensing retrieval algorithms, and improve PPM estimation methods. The recent Global Precipitation Measurement (GPM) Cold Season Precipitation Experiment (GCPEx) is an example of such a campaign in non-complex terrain where simultaneous observations using arrays of both airborne and ground-based sensors were used to measure and characterize both solid and liquid precipitation (e.g. Skofronick-Jackson et al., 2015). Similar intensive field campaigns are needed in complex terrain that is frequently characterized by highly dynamic and spatially variable hydrometeorological conditions. Such campaigns are expensive to conduct, but can be implemented as part of operational nowcasting to develop rich data resources to advance scientific understanding as was very effectively done during the Vancouver Olympic Games in 2010 (Isaac et al., 2014; Joe et al., 2014). The research community should utilize existing datasets and capitalize on similar opportunities and expand environmental monitoring networks to simultaneously advance both atmospheric and hydrological understanding, especially in complex terrain spanning the rain-snow transition zone. ” We also add this sentence to section 5.2: “In complex terrain, air temperature can also vary dramatically at relatively small scales from ridgetops to valley bottoms due to cold air drainage (Whiteman et al., 1999) and hence can introduce errors into inferential techniques such as these.” Multiple sentences are added to section 5.3: “Historically, meteorological models have not been run at spatial scales capable of resolving convective dynamics (e.g. <2 km), which can exacerbate error in precipitation phase prediction in complex terrain with a moist neutral atmosphere. Coarse meteorological models also struggle to produce pockets of frozen precipitation from advection of moisture plumes between mountain ranges and cold air wedged between topographic barriers. However, reduced computational restrictions on running these models at finer spatial scales and over large geographic extents (Rasmussen et al., 2012) are enabling further investigations into precipitation phase change under historical and future climate scenarios. This suggests that finer dynamical downscaling is necessary to resolve precipitation phase which is consistent with similar work attempting to resolve winter precipitation amount in complex terrain (Gutmann et al., 2012).” And an additional sentence in section 5.5: “Accurate gridded phase products rely on the ability to represent the physics of water vapor and energy flows in complex terrain (e.g. Holden et al., 2010) where statistical downscaling methods are typically insufficient (Gutmann et al., 2012).”

2.4 Formality issues

I would in general like to see page numbers to relevant sections when citing a book (or similar). One prominent example is the book authored by the U.S. Army Corps of Engineers, which regularly is available as a non-searchable pdf document or as a hardcopy. It contains various topics relevant to snow hydrology. To find the cited paragraph without mentioning page numbers is nearly impossible. I think this example shows that the standard of including page numbers when citing books and similar long references should be used. Similarly, the authors have not included access dates for all cited URL (e.g. line 200, line 1077 and others). Some cited references appear different than others (sometimes white spaces between “;” sometimes italic “et al.”, sometimes with square brackets). More importantly, there are a few citations which do not appear in the reference list. These points are mentioned in my section “Comments line by line” below.

We appreciate the reviewer’s attention to detail and have corrected these in the text and references.

2.5 Motivate Figures in the text

Figure 1 and Figure 4 are hardly described in the text, although containing important information. I would suggest that the authors link their text closer to those Figures, especially to Figure 1 which shows the consequences of wrong PP in a hydrological model.

This is a good point by the reviewer. We add additional references to Figure 1 in the introduction. We also add this sentence to the beginning of section 5: “The cascading effects of incorrectly predicting precipitation phase lead to cascading effects on hydrological modeling (Figure 1).” We also better reference Figure 4 at the beginning of section 5 and within section 5.5.

2.6 Explain abbreviations and lines in Figure 2

It is not clear to me what the blue dotted line is (probably the mixing ratio). I would also suggest to add the used abbreviations for H, LE, f(sat), r etc in the caption. The arrow after H or LE should probably indicate that the energy of the hydrometeor is increasing because of a sensible heat transfer? Please clarify these uncertainties.

The following lines have been added to the caption for figure 2: "The blue dotted line represents the mixing ratio. H, LE, f(sat), and r are abbreviations for sensible heat, latent heat of evaporation, function of saturation and mixing ratio respectively. The arrow after H or LE indicate the energy of the hydrometeor either increasing (up) or decreasing (down) which is controlled by other atmospheric conditions."

3 Comments line-by-line

Line 33ff: This sentence is the same as the previous.

This was deleted.

Line 200/208: Please use access dates with URLs. I suggest putting the links in the reference list.

This was corrected throughout the document.

Line 231: Lejeune not in reference list.

This was incorrect and changed to L'hôte et al., 2005.

Line 265. Not clear which the comparison study is.

This was corrected to read: In a comparison study by Caraccioloa et al., (2006), the PARSIVEL optical disdrometer, originally described by Loffler-Mang et al. (1999) did not perform well against a 2DVD because of problems related to the detection of slow fall velocities for snow.

Line 354. The cited study is called Arkin and Ardanuy (1998).

This was corrected to 1989.

Line 411 and elsewhere: Kulie and Bennartz (2003) not in reference list

This was corrected.

Line 539: Froidurot wrongly spelled.

This was corrected

Line 945: no page numbers

This was corrected

Line 973: Krug (1995) and Bergström (1995) refer to the same document .

This was corrected

Line 978: Missing page numbers

This was corrected

Line 1037/1040: Please use McCabe and Wollock (1999a) and (1999b)

This was corrected.

Line 1213: two times YE et al. (2013) in reference list

This was corrected

Line 1178: delete “publication info” and add page numbers

This was corrected

Table 1: McCabe and Wollock (2009) not in reference list.

This was corrected