



23 **Abstract:**

24 The interaction between vegetation and soil erosion is a core problem in
25 ecohydrological research. Although the effects of vegetation on soil erosion have been
26 widely studied, the stochasticity of soil erosion in restoration vegetation types in water-
27 limited environment is less investigated. Based on monitoring soil erosion over five
28 rainy seasons, we employed probabilistic-trait analysis framework (OCIRS-Bayes) to
29 assess the stochasticity of runoff and sediment generation in three typical restoration
30 vegetation types (*Armeniaca sibirica* (T1), *Spiraea pubescens* (T2) and *Artemisia*
31 *copria* (T3)) in the Loess Plateau of China, and applied binomial and Poisson
32 distribution functions to predict the probability distribution of erosion random events.
33 The results indicated that, in OCIRS-Bayes system, 130 rainfall events were subdivided
34 into four types. Two types with relative high average precipitation (27.6 and 69.0 mm
35 respectively) could cause larger probability of soil erosion in all vegetation types than
36 other type with average precipitation being 5.0 mm. Under the same rainfall condition,
37 T1 with largest crown structure have lowest average probability of runoff (23.1 %) and
38 sediment (10 %) generation; T2 with thicker litter layer and denser root system have
39 moderate runoff (34.6 %) and sediment (14.6 %) occurrence probability; the probability
40 of runoff (34.6 %) and sediment (25.4 %) generating in T3 were relative higher. The
41 probability distribution of numbers of times soil erosion events in all restoration
42 vegetation could be well predicted by binominal and Poisson probabilistic models,
43 however, parameter analysis implied that Poisson model is more suitable for predicting
44 stochasticity of soil erosion over larger temporal scale. This study could be meaningful



45 to apply more effectively restoration on protecting the soil and water resources in the
46 water-limited environment.

47

48 **Key words:** stochasticity, restoration vegetation, soil erosion, Poisson distribution,

49

50 **1. Introduction**

51 The climate change and anthropogenic activities accelerate soil erosion triggering soil
52 deterioration, and degrading terrestrial ecosystem over worldwide (Marques et al.,
53 2008;Portenga and Bierman, 2011). The stochasticity of soil erosion reflects the effect
54 of environmental elements such as stochastic rainfall on the erosive variability (Kim. J
55 et al., 2016). As one of important environment factors, vegetation plays an important
56 role on disturbing the impact of rainfall on soil erosion. The interaction between plant
57 and erosion processes is still a research frontier in ecohydrology (Ludwig et al.,
58 2005;Rodríguez-Iturbe et al., 2001). Actually, how plant affect the stochasticity of soil
59 erosion implies the risk of erosion generation in complex natural conditions. Exploring
60 the effect is meaningful to assessing the efficacy of soil control practices as well as
61 corresponding ecosystem service in semi-arid regions (Fu et al., 2011).

62 The stochasticity approach based on probability theory is a crucial tool to describe
63 the random phenomenon and their ecohydrologic effects in natural condition.
64 Precipitation is one of most important source of environmental stochasticity to directly
65 affect the uncertainty of soil erosion. As early as 1978, Eagleson, (1978) applied
66 probabilistic-trait methods to simplify the randomness of rainfall event. He predicted



67 the distribution of annual precipitation from observed storm sequences by Poisson and
68 Gamma probability distribution functions. Due to the obvious disturbance of rainfall
69 events on environment, especially on the water-limited condition, many hydrological
70 responses which are closely related to rainfall has also expressed different randomness,
71 and indicated by various probabilistic models. For instance, Verma et al, (2011) applied
72 probabilistic methods to assess the influence of daily precipitation distribution on
73 dynamic of soil moisture. Rodriguez-Iturbe et al, (1999) described the dynamics of soil
74 moisture by probability distribution functions depending on water balance at point scale.
75 Wang and Tartakovsky, (2011) employed probability density function to reduce the
76 complexity of infiltration rate in heterogeneous soils. Additionally, the susceptibility of
77 some disasters triggered by some extreme rainfall events—such as flood (Mouri et al.,
78 2013), slope instability (Li et al., 2014), and landslide (Ya and Chi, 2011)—have also
79 assessed by probabilistic models.

80 As to the soil erosion which is typical hydrological response of soil to rainfall, Moore,
81 (2007) predicted runoff production through probability models of soil storage capacity,
82 and Sidorchuk, (2005, 2009) combined the probabilistic and deterministic soil erosion
83 components to analyze the stochasticity of interaction between soil structure and
84 overflow during erosion process. These probabilistic-trait approaches closely related to
85 the theory of water balance and some typical hydrological assumptions. This optimized
86 the hydrological models to more precisely represent the randomness of hydrological
87 responses, which could more effectively describe complex hydrological processes
88 (Bhunya et al., 2007). However, under the framework of probability theory, there are



89 still few studies to explore the probabilistic method to analyze the stochasticity of soil
90 erosion. Especially, little effort has been made to systematically investigate how the
91 signal of stochastic rainfall is transmitted to soil erosion in different restoration
92 vegetation types based on observational data rather than on other model assumptions.
93 In fact, this investigation deriving from specific experiment results probably have more
94 practical meaning for understanding the stochastic interaction between rainfall and
95 erosion.

96 Morphological structures of plant including canopy structure, root system, and litter
97 layer formation were endowed with controlling-erosion functions (Gartner, 2007;Jost
98 et al., 2012;Wang et al., 2012;Woods and Balfour, 2010). Due to these function,
99 vegetation acts as an important role on reinfiltrating overland flow, storing runoff and
100 restructuring sediment fluxes (Ludwig et al., 2005;Moreno-de las Heras et al., 2010).
101 This significantly restricts the capacity of surface flow for delivering erosive particle
102 out of a soil-plant system during rainfall processes (Bautista et al., 2007;Puigdefàbregas,
103 2005). How vegetation affects soil erosion was also further interpreted and predicted
104 by some conceptual and empirical models (Kumar and Kushwaha, 2013;Mallick et al.,
105 2014;Prasannakumar et al., 2011). Both of vegetation-driven-spatial-heterogeneity
106 (VDSH) (Bautista et al., 2007) and trigger-transfer-reserve-pulse (TTRP) (Ludwig et
107 al., 2005) conceptual frameworks have stressed the driving role of vegetation on
108 controlling erosion. Wischmeier and Smith, (1978) defined the land use conditions as a
109 factor in universal soil loss equation (USLE) to imply the importance of vegetation on
110 predicting erosion module. However, the effect of vegetation on stochasticity of soil



111 erosion was less studied. Theoretically, soil erosion generation triggered by the
112 stochastic precipitation, indispensably expressed the randomness. This ubiquitous
113 property in hydrological processes could also be affected by the hydrological function
114 of plant. Therefore, the application of stochasticity method on analyzing the interaction
115 between plant and soil erosion, could be meaningful to understand the mechanism of
116 erosion generation as well as to improve the accuracy of prediction.

117 In this study, we monitored soil erosion in three typical restoration vegetation types
118 over five years' rainy seasons in the Loess Plateau of China, and aim to (1) construct
119 assessment frameworks to characterize the random events in stochastic environment,
120 (2) investigate how the stochastic signal of rainfall transmit into soil erosion in different
121 restoration vegetation types; and (3) assess the effect of probability modellings on
122 predicting the stochasticity of soil erosion in vegetation types. By exploring the
123 stochastic property of soil erosion from more comprehensive and objective aspects, this
124 study could enrich the methodology of sensitivity analysis of soil erosion, and probably
125 be meaningful for the selection of reasonable restoration vegetation for conserving the
126 soil and water resources in the Loess Plateau, China.

127

128 **2. Materials and methods**

129 **2.1 Study region description**

130 The study was implemented in the Yangjuangou Catchment (36°42'N, 109°31'E, 2.02
131 km²) which is located in the typical hilly-gully region of the Loess Plateau in China
132 (Figure 1a). A semi-arid climate in this area is mainly affected by the North China



133 monsoon. Annual average precipitation reaches approximately 533 mm, and the rainy
134 season here spans from June to September (Liu et al., 2012). When the rainy season
135 comes, some high-intensity precipitation more easily cause soil erosion as the Calcaric
136 Cambisol (FAO-UNESCO, 1974) soil type has relative higher potential erodibility. Soil
137 erosion was one of most environmental hazard and cause the ecosystem degradation in
138 the Loess Plateau before 1980s (Wang et al., 2015). And after 1998, as a crucial soil
139 and water resource protection project, the Grain-for-Green Project was widely
140 implemented in the Loess Plateau. A large number of steeply sloped croplands were
141 abandoned, restored or natural recovered by shrub and herbaceous plants(Cao et al.,
142 2009;Jiao et al., 1999). And in the Yangjuangou Catchment, the main restoration
143 vegetation distributed on hillslopes includes *Robinia. pseudoacacia* Linn, *Lespedeza*
144 *davurica*, *Aspicilia fruticosa*, *Armeniaca sibirica*, *Spiraea pubescens*, and *Artemisia*
145 *copria*, etc. All the restoration vegetation was planted over 20 years ago.

146

147 **2.2 Experimental design and measurement**

148 In the Yangjuangou Catchment, systematic long-term field monitoring experiments
149 were conducted. We have mainly concentrated on the runoff production and sediment
150 yield in designed runoff plots (Liu et al., 2012;Zhou et al., 2016), dynamic of soil
151 moisture in different restoration vegetation (Wang et al., 2013;Zhou et al., 2015), and
152 the ecosystem service assessment in the typical water-restricted environment (Fu et al.,
153 2011). In this study, we monitored the soil erosion in three typical restoration vegetation
154 (*Armeniaca sibirica* (T1), *Spiraea pubescens* (T2) and *Artemisia copria* (T3)) over five



155 years' rainy seasons from 2008 to 2012 (figure 1b). Each restoration vegetation type
156 was designed in three 3 m by 10 m closed runoff-plot all of which were distributed on
157 southwest facing hillslopes with a 26.8% aspect. The boundaries of each runoff-plot
158 were perpendicularly fenced by impervious polyvinylchloride (PVC) sheet with 50 cm
159 depth. And a collection trough and storage bucket was installed at the bottom boundary
160 to compose the collection-transmission system of runoff and sediment (Zhou et al.,
161 2016). Two tipping bucket rain gauges were installed outside of runoff-plot to
162 automatically record the precipitation with accuracy of 0.2mm. We counted the number
163 of times of runoff and sediment generation in each runoff-plot based on natural
164 precipitation stochastically generating in the experiment area over five rainy seasons.
165 Meanwhile, we stored runoff and sediment in collection-transmission system, separated
166 them after settling the collecting bottles for 24 hours, dried at 105°C over 8 hours and
167 weighted. We further measured the field saturated hydraulic conductivity in three
168 restoration vegetation types by Model 2800 K1 Guelph Permeameter (figure 1c)
169 (Soilmoisture Equipment Corp., Santa Barbara, CA, USA) to determine the infiltration
170 capability of soil matrix. And visually estimated the restoration vegetation cover by
171 thirty 1 m² quadrats distributed over each runoff-plot for 2-3 times over different
172 periods of rainy season (figure 1d). At last, we measured the average height, crown
173 width, leaf area index, and the thickness of litter layer in T1 to T3 (Bonham, 1989).
174 More information was showed in table 1

175 Figure 1

176 Table 1



177 **2.3 Analysis framework for erosion stochasticity**

178 **2.3.1 Construction of random events system**

179 Each observed stochastic weather condition is defined as a random experiment. All the
180 possible outcomes of a random experiment constitute a sample space (Ω) defined as
181 observation random event (short for O event, the same as follow). O event is subdivided
182 into two mutually exclusive random event types, one is rainfall random event (I event)
183 and the other is non-rainfall random event (C event). Precipitation is a necessary
184 condition of runoff production, therefore, the runoff production random event (R event)
185 is a subset of I event. Similarly, R event is also a necessary condition of sediment
186 migration random event (S event). As a result, S event is contained in R event. Above
187 defined O, C, I, R, and S events could be regarded as five different elements constituting
188 the OCIRS random events system which is a basic framework for quantifying
189 environment stochasticity.

190 Precipitation is a crucial disturbance environmental factor to transmit their stochastic
191 signals into the R and S events. Therefore, it is necessary to investigate and classify the
192 characteristics of all I events. Firstly, the time interval between two adjacent individual
193 I events is set to be more than 6 hours, which is a criteria for the classification of
194 individual I event according to its duration. And secondly, considering the typical
195 rainfall eigenvalues including precipitation, intensity and duration as well as the main
196 rainfall patterns in the Loess Plateau (Wei et al., 2007), we used Ward's method of
197 hierarchical cluster analysis to classify 130 individual I events into four types (figure
198 2c). They are I_A events with lowest average precipitation and intensity; I_B events with



199 second largest average precipitation and intensity; I_C events whose average
200 precipitation and duration are largest; and I_D event which was an individual extreme
201 rainfall event. Table 2 summarizes the physical and probabilistic properties all the
202 elements in OCIRS system. Finally, the whole confirming process of all elements in
203 OCIRS system is sketched by figure 2a, and Venn diagrams in figure 2b explored the
204 relationships of all elements in OCIRS. In fact, various combinations of I and C events
205 formed different random event sequences which finally constituted the whole field
206 monitoring period.

207

208 Figure 2

209 Table 2

210

211 2.3.2 Quantification of erosion stochasticity

212 In the sample space Ω , for each random event E which could be regarded as any
213 elements of OCIRS system, we define $P(E)$ as the proportion of time that E occurs in
214 terms of relative frequency:

$$215 \quad P(E) = \lim_{n \rightarrow \infty} \frac{n(E)}{n} = p_E \quad (1)$$

216 Theoretically, $n(E)$ is the number of times in n outcomes of observed random
217 experiment that the event E occurs, and $p_E \in [0,1]$. Let I_m , $m=1, 2, 3$ and 4 be the I_A ,
218 I_B , I_C , and I_D which are mutually exclusive random event types composing I event.

219 According to the law of total probability, the probability of R event $P(R)$ is defined as
220 follow:



221
$$P(R) = P(RI) = P(R| \cup_{m=1}^4 I_m)P(\cup_{m=1}^4 I_m) = \sum_{m=1}^4 P(R|I_m)P(I_m) = p_R \quad (2)$$

222 And $P(R|I_m)$ is conditional probability that R event occur given that m^{th} I event type
 223 has occurred. Similarly, the probability of S event $P(S)$ are showed as follow:

224
$$P(S) = P(SI) = P(S| \cup_{m=1}^4 I_m)P(\cup_{m=1}^4 I_m) = \sum_{m=1}^4 P(S|I_m)P(I_m) = p_S \quad (3)$$

225 Equation (2) and (3) quantify the effect of stochastic signal of rainfall on soil erosion.

226 On the other hand, supposing an R or S event has occurred stochastically, based on

227 Bayes formula, we furtherly deduces two equations as follow:

228
$$P(I_k|R) = \frac{P(I_kR)}{P(R)} = \frac{P(R|I_k)P(I_k)}{\sum_{m=1}^4 P(R|I_m)P(I_m)} \quad (4)$$

229 and

230
$$P(I_k|R) = \frac{P(I_kR)}{P(R)} = \frac{P(R|I_k)P(I_k)}{\sum_{m=1}^4 P(R|I_m)P(I_m)} \quad (5)$$

231 Equation (4) and (5) quantify how much the contributions of k^{th} type of I event on a R

232 or S event stochastically generating at month or seasonal scale, which reflect the

233 feedback of soil erosion to rainfall stochasticity. Equation (2)~(5) characterize the

234 interaction of rainfall and erosion by means of probability theory and expression.

235 Consequently, we designs the OCIRS-Bayes framework combining OCIRS system

236 with Bayes method. It systematically describe the stochasticity of soil erosion in

237 different restoration vegetation types through the monitoring experiment, which

238 indicates the interaction of rainfall and soil erosion.

239 We defined X, Y as two discrete random variables which are real-valued functions

240 defined on the sample space Ω . Let X, Y denote the numbers of times of R and S events

241 occurrence respectively. And let another random variable Z assign the sample space Ω

242 to z. $X(R) = x, Y(S) = y, Z(\Omega) = k, y \leq x \leq z$. x, y, k are integers. The ranges of X



243 and Y are $R_X = \{all\ x: x = X(R), all\ R \in \Omega\}$ and $R_Y = \{all\ y: y = Y(S), all\ S \in \Omega\}$.

244 The probability of x_i or y_j times of R or S events could be quantified by the

245 probability mass function (PMF) as follow:

$$246\ pmf_X(x_i) = P[\{R_i: X(R_i) = x_i, x_i \in R_X\}] \quad (6)$$

$$247\ pmf_Y(y_j) = P[\{S_j: Y(S_j) = y_j, y_j \in R_Y\}] \text{ for } i \geq j \quad (7)$$

248 PMF in equation (6), (7) describe the general expression of probability distribution of

249 all possible numbers of times of R or S events.

250 Actually, according to the property of Bernoulli experiment (Robert et al., 2013), the

251 random variables X, Y obey binominal distribution. The PMF of X, and Y were defined

252 as follow:

$$253\ pmf_{Xbin}(x) = P_{Xbin}(X = x) = \begin{cases} \binom{n}{x} p_R^x (1 - p_R)^{n-x} & x = 0, 1, 2, \dots, n \\ 0 & elsewhere \end{cases} \quad (8)$$

254 and

$$255\ pmf_{Ybin}(y) = P_{Ybin}(Y = y) = \begin{cases} \binom{n}{y} p_S^y (1 - p_S)^{n-y} & y = 0, 1, 2, \dots, n \\ 0 & elsewhere \end{cases} \quad (9)$$

256 And the expectation and variance of X and Y are equation (10) and (11):

$$257\ E_{Xbin}[X] = np_R, V_{Xbin}[X] = np_R(1 - p_R) \quad (10)$$

$$258\ E_{Ybin}[Y] = np_S, V_{Ybin}[Y] = np_S(1 - p_S) \quad (11)$$

259 where x and y indicate all possible numbers of times of R and S occurring over n

260 independent I events which are also characterized as n Bernoulli experiments. However,

261 when the Bernoulli experiment is performed infinite independent times ($n \rightarrow \infty$), the

262 binomial PMF can be transformed into Poisson PMF, which is proved by appendix A.

263 Therefore, equation (8) and (9) can be transformed as follow:



$$264 \quad pmf_{Xpoi}(x) = P_{Xpoi}(X = x) = \begin{cases} \frac{\lambda_R^x e^{-\lambda_R}}{x!} & x = 0, 1, 2, \dots \\ 0 & elsewhere \end{cases} \quad (12)$$

265 and

$$266 \quad pmf_{Ypoi}(y) = P_{Ypoi}(Y = y) = \begin{cases} \frac{\lambda_S^y e^{-\lambda_S}}{y!} & y = 0, 1, 2, \dots \\ 0 & elsewhere \end{cases} \quad (13)$$

267 And expectation and variance of X and Y are :

$$268 \quad E_{Xpoi}[X] = V_{Xpoi}[X] = \lambda_R \quad (14)$$

$$269 \quad E_{Ypoi}[Y] = V_{Ypoi}[Y] = \lambda_S \quad (15)$$

270 where the parameter $\lambda_R \approx np_R$, $\lambda_S \approx np_S$. As a result, equation (8)~(11) reflect two

271 PMF models to construct the prediction system of stochasticity of soil erosion.

272

273 2.4 Statistics

274 We employed nonparametric statistical tests—one-way ANOVA and post hoc LSD—

275 to determine the significant difference of soil, vegetation and erosive properties in the

276 three restoration vegetation types, and took Spearman's rank correlation coefficients to

277 analyze how the vegetation coverage affect the probability of soil erosion generation

278 under three grouped precipitation types. At last, the maximum likelihood estimator

279 (MLE) and uniformly minimum variance unbiased estimator (UMVUE) (Robert et al.,

280 2013) were explored to compare the suitability of the binomial PMF and Poisson PMF

281 for predicting the uncertainty of runoff and sediment generation over long term.

282

283

284



285 3. Results

286 3.1 Stochasticity of classified rainfall

287 The stochasticity of I event in OCIRS system is a direct source of randomness of soil
288 erosion. According to cluster analysis, all I events were classified into four categories
289 including I_A, I_B, I_C and I_D (figure 2c). Firstly, I_A type was characterized as lowest
290 average precipitation (5 mm), intensity (0.015 mm/min) and duration (365 minutes) in
291 the four categories types. The proportion of I_A to all I events reaches to 72% with its
292 higher reoccurrence in each rainy seasons (figure 3). Especially, in 2010, nearly 90%
293 of I events was I_A. However, due to its small rainfall erosivity, the times of R and S
294 events occurring in three vegetation restoration types was lowest under I_A condition
295 (table 3). Secondly, characterized as high average rainfall intensity (0.072 mm/min), I_B
296 event has the second higher occurrence probability in each rainy season (figure 3). Even
297 in 2008, the proportion of I_B to all I events (50%) was larger than that of I_A (33%).
298 Although the average probability of I_B event occurrence approximated to 5% in all O
299 events of five rainy seasons, I_B can more easily lead to soil erosion in three restoration
300 vegetation types. Especially, when each I_B event occurred stochastically in five rainy
301 seasons, then it would nearly trigger R event in type 2 and 3 restoration vegetation
302 (table 3). Thirdly, the probability of I_C event with highest average precipitation (69 mm)
303 occurring in each rainy season is 1% in all O events of five rainy seasons. In the rainy
304 season of 2010, there was even no I_C occurrence. However, if each I_C event
305 stochastically generated in rainy seasons, the R event would occurred in all restoration
306 vegetation types. On July, 2008, there was a specific I event with extreme high rainfall



307 intensity (0.78 mm/min) which was classified I_D event. I_D event was very rare, because
308 it was observed one times over five rainy seasons. Under this precipitation condition,
309 soil erosion generated in all restoration vegetation types.

310

311 Figure 3

312 Table 3

313

314 **3.2 Stochasticity of soil erosion in vegetation types**

315 Based on OCIRS system, the stochasticity of soil erosion in three restoration vegetation
316 types (T1, T2 and T3) at month and seasonal scales is described by figure 4. At early
317 period of erosion monitoring, the stochasticity of soil erosion in all restoration
318 vegetation types is similar, with probability of R and S event generation ranging from
319 6% to 13% and from 3% to 13% respectively. From rainy season of 2009 to 2011, the
320 highest probabilities of soil erosion in each vegetation type all appeared in the middle
321 of rainy season (July and August). However, these probabilities were observed to be
322 different extents of decrease with the increasing of experiment period. As to runoff
323 production, the probability of R event generation in T1 was generally less than that of
324 T2 and T3 under same precipitation condition, with it being less than 7% in the last four
325 rainy seasons. The randomness of R events occurring in T2 and T3 have similar
326 distribution in each month of rainy season. With respect to sediment yield, the
327 probability reduction of S event generating in T1 was more obvious than that of other
328 types, with it being only less than 3% in the last four rainy seasons. Especially, in the



329 rainy season of 2011 and 2012, there was no S event occurrence in T1, however, the
330 corresponding average probability of S event in T2 and T3 was near 1.5% and 4%
331 respectively. Generally, influenced by the same stochastic signal of I events, T1 and T3
332 have the lowest and highest probability of soil erosion respectively.

333 According to the Bayes formula, figure 5 indicated that given one R or S event has
334 stochastically generated in some restoration vegetation type at specific month or rainy
335 season, how much the probabilistic contribution of different types of I events on the
336 corresponding soil erosion occurrence. In the rainy season of 2008, as to all restoration
337 vegetation types, the contributing types of I events on soil erosion was more complex
338 than other rainy seasons, but also concentrated on relative high precipitation and
339 intensity classified I events such as I_B , I_C events. With the increasing of experiment
340 duration from 2009 to 2011, the complexity seemed to be reduced, and the probabilistic
341 contribution of I_A event on soil erosion have different extent increase in three
342 restoration vegetation types. If one R event has stochastically occurred in T1, the
343 probabilistic contribution on this runoff production were generally I_B and I_C events,
344 which they ranged from about 50% to 100% and near 20% to 100% respectively. And
345 I_A and I_B events have even no probabilistic contribution on one S event occurring on
346 T1 stochastically over the last four rainy seasons. However, I_A and I_B events have been
347 the main probabilistic contributors for one statistical soil erosion generation on T2 and
348 T3, which they ranged from about 10% to 100% and 30% to 100% respectively.
349 Consequently, the contribution pattern of I events on soil erosion in T1 was relative
350 simple and mainly focused on I events type with higher rainfall erosivity than that of in



351 T2 and T3.

352

353 Figure 4

354 Figure 5

355

356 **3.3 Prediction of soil erosion stochasticity**

357 We defined ten consecutive stochastic I events as an stochastic environment unit of the
358 background of soil erosion, which indicates that $n = 10$ in the binomial and Poisson
359 distribution functions (equation (8~9, 12~13)). Under this assumption, figure 6
360 describes binomial and Poisson PMFs to predict the probability distributions of
361 numbers of times of soil erosion events in three restoration vegetation types. It also
362 compares the predictions with the frequencies of numbers of times of observed R and
363 S event in vegetation types. Firstly, as to the probability distribution of R event, it seems
364 that the binomial and Poisson PMFs provide a better fit to the observation in T1 than
365 that of in T2 and T3. More specifically, in all restoration vegetation types, binomial
366 PMFs supply better fit to the observed numbers of time of R events with larger
367 frequency (such as 2~4 time) than that of Poisson PMFs. However, Poisson PMFs fit
368 the observed numbers of time of R events with the lower frequency (such as 6~8 times)
369 better than that of binomial PMFs. The frequencies of observed numbers of time of R
370 events in T2 and T3 have similar distribution patterns. Secondly, with respect to
371 probability distribution of S event, the predictions about the observed probability
372 distribution of S events in T1 by both PMFs do not fit very well. Especially, when the



373 frequency of number of times of no-sediment in T1 is nearly two times larger than the
374 corresponding predication of binomial and Poisson PMFs. However, the two PMFs are
375 seemed to provide better fit to the observation in T3 and T2 than that of in T1. With the
376 restoration vegetation types changing from T1 to T3 in figure 6, the predicted or
377 observed numbers of time of R events with largest probability or frequency increased
378 in consistence. Generally, Poisson PMF seems to provide better probability distribution
379 prediction about observed numbers of times of R events in all restoration vegetation
380 types than that of Binomial PMF.

381

382 Figure 6

383

384 4. Discussion

385 4.1 OCIRS-Bayes framework for erosion stochasticity

386 The OCIRS designing and Bayes method in this paper constitute an innovative analysis
387 framework for soil erosion study. Environmental stochasticity is an inevitable factors
388 to affect the variability of soil erosion, which is also a non-negligible obstacle for the
389 understanding of soil erosion and its modelling prediction (Kim. J et al., 2016). OCIRS-
390 Bayes framework formed a random event system to evaluate the stochasticity of
391 environment, but also analyze the transmission of stochastic signal of rainfall into soil
392 erosion. In this framework, the stochastic weather conditions were defined as a series
393 random events with various physical and probabilistic meanings, which have direct or
394 indirect relevance to stochasticity of soil erosion (table 2). There also exist many



395 modelling systems to evaluate the effect of influencing factors on soil erosion, and
396 universal soil loss equation (USLE) is a typical one which models intensity of
397 influencing factors to be predicted the erosion module by empirical formula
398 (Wischmeier and Smith, 1978). But, there are less analysis frameworks like OCIRS-
399 Bayes to model the stochasticity of soil erosion and its influencing factors totally
400 depending on the long-term experimental data and fundamental probability theories. In
401 order to stressed that the stochastic signals of rainfall events are the most important
402 disturbances and sources of uncertainty and variability of soil erosion, OCIRS-Bayes
403 further subdivides all rainfall events into various subsets (from I_A to I_D event)
404 representing different rainfall erosivities which was similar with the typical rainfall
405 patterns in rainy seasons of the Loess Plateau (Wei et al., 2007). Therefore, OCIRS-
406 Bayes become a more practicable and simplification system to supplement to the
407 studies on evaluating effect of rainfall properties on soil erosion in semi-arid
408 environment.

409 In this study, OCIRS-Bayes framework discovered that the probability of soil erosion
410 is closely related to the complexity of rainfall event types distributing in rainy season,
411 which affected by the transmission of stochastic signals of high-erosivity rainfall events
412 (such as I_C and I_D). This systematically analyzed how the stochastic signals of different
413 rainfall events transmits to the soil erosion in restoration vegetation types in the water-
414 limited natural condition at different temporal scales (showed in figure 4). Meanwhile,
415 this framework also explored that the only relative high-erosivity rainfall events can
416 make a contribution for the stochastically soil erosion generating in T1, which implied



417 the feedback of rainfall properties to stochasticity of soil erosion. Therefore, the
418 interactive relationship between rainfall and soil erosion under restoration vegetation
419 condition was characterized by OCIRS-Bayes framework. This supplies a new and
420 meaningful aspect to understanding the soil erosion properties especially under the
421 background of climate change transmitting more stochastic and extreme environmental
422 signals into soil-plant system.

423

424 **4.2 Disturbances of vegetation on erosion stochasticity**

425 The different stochasticity of soil erosion in three restoration vegetation types reflects
426 the different extents of disturbance of vegetation types on the transmission of stochastic
427 signals of rainfall into soil-plant systems. These disturbances is closely related to the
428 variety of morphological structure with complex ecohydrological functions affecting
429 the whole process of runoff production and sediment yield (Jost et al., 2012; Wang et
430 al., 2012; Woods and Balfour, 2010). Specifically, the morphological structures
431 including canopy, litter layer and root distribution could have obvious hydrological
432 function to control soil erosion. Firstly, the largest crown diameters of T1 could have
433 stronger interception capacity than that of T2 and T3. Because many studies have
434 proved that canopy structure could have specific capacities for precipitation retention,
435 and prevent rainfall from directly forming overland flow or splashing soil surface
436 particles (Liu, 2001; Mohammad and Adam, 2010; Morgan, 2001), For this reason, the
437 canopy structure of T1 could have stronger capacity to reduce the transmission of
438 stochastic signal of amount and energy of rainfall directly on soil surface, which finally



439 attributed to the relative lower probability of R and S event in T1. This could also
440 probable explained the decreased vegetation coverage significantly correlated with the
441 increased probability of S event in table 4.

442 Secondly, there was abundant litter material covering on the soil surface of T2 (figure
443 7), which formed a significant largest average thickness of the litter layer. Many studies
444 also proved that litter layer structure acts multiple roles on conserving the rainfall,
445 improving infiltration of throughfall, as well as cushioning the splashing of raindrop
446 (Gyssels et al., 2005; Johns, 1983; Munoz-Robles et al., 2011; Geißler et al., 2012). For
447 these reasons, the litter layer structure of T2 also have stronger disturbance on the
448 transmission of stochastic signals of rainfall through improving the throughfall
449 absorption to reduce the probability of R event as well as inhibiting the splash or sheet
450 erosion occurrence.

451 The distribution of root system could be the third important morphological structure
452 to disturb the stochastic signal of rainfall transmitting on soil-plant system. More
453 macropores formed by root system of vegetation types distributing in the soil matrix
454 was proved to improve the reinfiltration of the overland (Gyssels et al., 2005). The
455 reinfiltration process is an important way to recharge soil water stores when the
456 overland flow occurred in hillslopes, but also an indispensable contributing factor to
457 reduce the unit area runoff (Moreno-de las Heras et al., 2009; Moreno-de las Heras et
458 al., 2010). Consequently, showed in figure 7, denser root system distributing the
459 underground of T2 could create more macropores in the subsurface than that of T1 and
460 T3. It reduce the transmission of stochastic signal of rainfall by means of supplying



461 more opportunity to infiltrate the potential overland flow into a deep soil layer, and
462 finally decreased the probability of soil erosion in T2.

463 The interactions between plant and soil erosion in semi-arid environment is a
464 complex ecohydrological processes (Ludwig et al., 2005), which also reflects in the
465 complexity of stochasticity of soil erosion in different restoration vegetation types.
466 However, due to the mechanical characteristics of morphological structures of
467 vegetation having strong negative correlation with soil erosion in this study region (Zhu
468 et al., 2015), these hydrological-trait morphological structures of vegetation could be
469 key factors to affect the randomness of soil erosion. Just as in this study, the limited
470 hydrological-trait morphological structures—such as relative smaller canopy structure,
471 thinner thickness of litter layer, and shallower root system distribution in soil layer of
472 T3—more significantly restricted its hydrological functions on intercepting rainfall as
473 well as on conserving overland flow than that of T1 and T2 with obvious canopy
474 structure and thicker litter respectively. As a result, these differences of morphological
475 structures finally lead to the different stochasticity of runoff and sediment in T1 to T3.

476

477 Figure 7

478 Table 4

479

480 **4.3 Assessment of stochasticity prediction modellings**

481 PMFs of binomial and Poisson are effective probabilistic modellings to predict the
482 stochasticity of soil erosion in restoration vegetation types in semi-arid environment.



483 The binomial and Poisson distribution functions were extensively applied on analyzing
 484 the stochastic hydrological phenomenon in natural condition Eagleson (1978). In the
 485 OCIRS-Bayes analysis framework, R and S events were both subsets of sample space
 486 composed by I events, therefore, the stochasticity of R and S have close connection
 487 with the stochastic signals of I events. In this study, the PMFs of binomial and Poisson
 488 indicates relative good predication about probabilistic distribution of soil erosion in all
 489 restoration vegetation types over five rainy seasons, however, with the ongoing
 490 experiment (supposing the monitoring of soil erosion last for 10 rainy seasons' for
 491 instance), whether these two PMFs would still have stable and consistent well-
 492 prediction about the stochasticity of soil erosion in T1 to T3, which could be an
 493 interesting and important assessment of the two PMFs. Based on above assumption, we
 494 compared the temporal effects of prediction in the two PMFs, and employed MLE and
 495 UMVUE (Robert et al., 2013) which are most important point estimation methods to
 496 make parameter analysis on PMFs of binomial and Poisson. The parameters p_R, p_S, λ_S
 497 and λ_R are deduced from experimental data, and contain all stochasticity information
 498 about R and S occurring in different restoration vegetation types. Specifically, take the
 499 stochasticity of R event for instance, we defined X_i as the number of times of R event
 500 occurrence in a specific restoration vegetation in i^{th} rainy season. Therefore, in this
 501 study, five independent and identical (*iid*) random variables have the same and mutually
 502 independent PMFs of binomial or Poisson, which are simply expressed as follow:

$$503 \quad X_1, X_2, \dots, X_5 \xrightarrow{iid} \text{binomial}(p_R) \text{ or } X_1, X_2, \dots, X_5 \xrightarrow{iid} \text{Poisson}(\lambda_R) \quad (16)$$

504 Supposing the monitoring of soil erosion are continued to be conducted infinitely, then



505 the numbers of corresponding I events (n) and rainy seasons (i) would approach infinity
506 ($n, i \rightarrow \infty$). (16) would be transformed as follow:

$$507 \quad X_1, X_2, \dots, X_i \xrightarrow{iid} \text{binomial}(p) \text{ or } X_1, X_2, \dots, X_i \xrightarrow{iid} \text{Poisson}(\lambda) \quad (17)$$

508 In the (17), p and λ are two population parameters representing the whole
509 randomness information of R events under longer monitoring period with i rainy
510 seasons. The real p or λ is unknown, but, theoretically, they can be estimated by
511 searching for the best reasonable population estimators \hat{p} or $\hat{\lambda}$ through MLE and
512 UMVUE methods. During the estimator searching processes, appendix B proved that
513 the best estimator \hat{p} in Binomial PMF is the unbiasedness and consistency of the MLE
514 of p . And appendix C, however, proved that the best estimator $\hat{\lambda}$ in Poisson PMF is
515 not only the unbiasedness and consistency of the MLE of λ , but also the UMVUE of
516 MLE. Consequently, comparing the two appendices, the best estimator $\hat{\lambda}$ implies that
517 the Poisson PMF would be more beneficial for predicting the stochasticity of R and S
518 events in different restoration vegetation types over long-term observation periods than
519 that of Binomial PMF.

520 Besides having better prediction about stochasticity of soil erosion at larger temporal
521 scale, the Poisson PMF could also be fit for predicting the stochasticity of S event in
522 the closed-design plot system. As Boix-Fayos et al, (2006) mentioned, the closed
523 runoff-plot was not fit for long-term soil erosion monitoring, because it forms an
524 obstruction to prevent the transportable material from entering the close monitoring
525 system. With the ongoing monitoring at longer temporal scale, the transport-limited
526 erosion pattern could gradually transform into detachment-limited pattern in the closed-



527 plot (Boix-Fayos et al., 2007;Cammerraat, 2002). This probably leads to the sediment
528 transformation becoming more and more difficult to generate, and finally reduces the
529 probability of S events under the same precipitation condition. And fortunately, the
530 parameters in Poisson PMF at larger temporal scale could successfully express the
531 decreasing of probability of S event in closed-plot system. Because, in order to
532 satisfying the fact that $\lambda = np$ in Poisson PMF is an unknown constant, when the
533 numbers of times of I events (n) approach infinity, the probability (p) of R or S events
534 generation have to approach to zero, Actually, above inference coincides with the
535 assuming situation for sediment transformation in closed plot system at long temporal
536 scale (Boix-Fayos et al., 2006), which further proves that Poisson PMF could be a
537 reliable prediction model for soil erosion. However, affected by the globe climate
538 change, the occurring frequency of extreme weather condition probably increase. Under
539 that background, the stochastic signals of increasing extreme I events could inevitably
540 be transmitted into the stochasticity of soil erosion in the further. Consequently, it is
541 necessary to furtherly focus on the disturbance of rare event with extreme amount or
542 energy on the soil-plant systems under a changing environment.

543

544 **5. Conclusion**

545 In this study, we applied stochastic approach to analyze the effects of restoration
546 vegetation types on the stochasticity of runoff and sediment in the Loess Plateau of
547 China, and draw the following conclusions:

548 (1) OCIRS-Bayes framework is an innovative analysis system which not only quantify



549 the stochasticity of environment in terms of random event pattern, but also
550 characterize the interactive relationship between rainfall and soil erosion by means
551 of probability theory.

552 (2) The difference of morphological structures in restoration vegetation types is the
553 source of different stochasticity of soil erosion in T1 to T3 under same rainfall
554 condition. Larger canopy, thicker litter layer and denser root distribution could
555 more effectively affect the transmission of stochastic signal of rainfall into soil
556 erosion.

557 (3) Both of binomial and Poisson PMFs could well predict the probability distribution
558 of numbers of times runoff and sediment events in T1 to T3, however, Poisson
559 PFM could be more fit for predicting stochasticity of soil erosion at larger temporal
560 scales

561 This study provide a new analysis framework to describe the soil erosion property,
562 which could be meaningful to researchers and policy makers to evaluate the efficacy of
563 soil control practices and their ecosystem service in a semi-arid environment.

564

565

566 **Appendix A. The transformation from binominal to Poisson PMF**

567 Let $p = \frac{\lambda}{n}$, then:

$$\begin{aligned}
 568 \quad pmf_{Xbin}(x) &= \binom{n}{x} p^x (1-p)^{n-x} = \frac{n!}{x!(n-x)!} \cdot \left(\frac{\lambda}{n}\right)^x \cdot \left(1 - \frac{\lambda}{n}\right)^{n-x} \\
 569 \quad &= \frac{\lambda!}{x!} \cdot \frac{n(n-1)(n-2)\cdots 1}{(n-x)(n-x-1)\cdots 1} \cdot \frac{1}{n^x} \cdot \left(1 - \frac{\lambda}{n}\right)^{n-x} \\
 570 \quad &= \frac{\lambda!}{x!} \cdot 1 \cdot \left(1 - \frac{1}{n}\right) \cdot \left(1 - \frac{2}{n}\right) \cdots \left(1 - \frac{x-1}{n}\right) \cdot \left(1 + \frac{-\lambda}{n}\right)^n \cdot \left(1 - \frac{\lambda}{n}\right)^{-x} \quad (A1)
 \end{aligned}$$



571 In equation (A1), when $n \rightarrow \infty$, and x, λ is finite and constant, then

$$572 \lim_{n \rightarrow \infty} \left(1 - \frac{1}{n}\right) = \dots = \lim_{n \rightarrow \infty} \left(1 - \frac{x-1}{n}\right) = \lim_{n \rightarrow \infty} \left(1 - \frac{\lambda}{n}\right)^{-x} = 1 \quad (\text{A2})$$

573 And

$$574 \lim_{n \rightarrow \infty} \left(1 + \frac{-\lambda}{n}\right)^n = e^{-\lambda} \quad (\text{A3})$$

575 And according to equation (A2) and (A3), the equation (A1) can be transformed as:

$$576 \lim_{n \rightarrow \infty} \left[\frac{n!}{x!(n-x)!} \cdot \left(\frac{\lambda}{n}\right)^x \cdot \left(1 - \frac{\lambda}{n}\right)^{n-x} \right] = \frac{\lambda^x e^{-\lambda}}{x!} \quad x = 0, 1, 2, \dots \quad (\text{A4})$$

577 or

$$578 pmf_{Xbin}(x) \xrightarrow{n \rightarrow \infty} \frac{\lambda^x e^{-\lambda}}{x!} = pmf_{Xpoi}(x) \quad (\text{A5})$$

579

580 Appendix B. Parameter estimation of p in Poisson PMF

581 (1) Derivatization of the MLE \hat{p}

582 Let the random sample $X_1, X_2, \dots, X_i \xrightarrow{iid} pmf_{Xbin}(p)$ and assume the binomial
 583 distribution as:

$$584 P(X = x_i) = \binom{m}{x_i} p^{x_i} (1-p)^{m-x_i} \quad (\text{B1})$$

585 The likelihood function $L(p)$ is joint binomial PDF with parameter p as follow:

$$586 L(p) = f_X(X_1, \dots, X_n, p) = \prod_{i=1}^n \binom{m}{x_i} p^{\sum_{i=1}^n x_i} (1-p)^{(mn - \sum_{i=1}^n x_i)} \quad (\text{B2})$$

587 By taking logs on both side of equation (B2):

$$588 \ln L(p) = \ln \left(\prod_{i=1}^n \binom{m}{x_i} \right) + \sum_{i=1}^n x_i \ln p + \left(mn - \sum_{i=1}^n x_i \right) \ln(1-p) \quad (\text{B3})$$

589 And differentiating with respect to p in $\ln L(p)$ and let the result be zero:

$$590 \frac{\partial \ln L(p)}{\partial p} = \frac{\sum_{i=1}^n x_i}{p} - \frac{(mn - \sum_{i=1}^n x_i)}{(1-p)} = 0 \quad (\text{B4})$$

591 Solution $\hat{p} = \frac{\sum_{i=1}^n x_i}{mn}$, let $m = n$, $\Rightarrow \hat{p} = \frac{\bar{x}}{n}$



592 Therefore, $\hat{p} = \frac{\bar{X}}{n}$ is the MLE of population parameter p in binomial PMF model.

593

594 **(2) Discussion of the unbiasedness and consistency of \hat{p}**

595 Let $E_p(\hat{p})$ be the expectation of M.L.E \hat{p} when population parameter p is true in

596 random sample which is $X_1, X_2, \dots, X_i \xrightarrow{iid} pmf_{Xbin}(p)$, then

$$597 \quad E_p(\hat{p}) = E_p(\bar{X}/n) = \frac{1}{n^2} \sum_{i=1}^n E_p(X_i) = \frac{1}{n^2} n^2 p = p \quad (B5)$$

598 Which proved that MLE $\hat{p} = \frac{\bar{X}}{n}$ is a unbiased estimator for p . And furthermore then

599 let $Var_p(\hat{p})$ be the variance of \hat{p} when population p is true.

$$600 \quad Var_p(\hat{p}) = Var_p\left(\sum_{i=1}^n X_i/n^2\right) = \frac{1}{n^4} \sum_{i=1}^n Var_p(X_i) = \frac{p(1-p)}{n^2} \quad (B6)$$

601 As the n approaches to infinite:

$$602 \quad \lim_{n \rightarrow \infty} Var_p(\hat{p}) = \lim_{n \rightarrow \infty} \left(\frac{p(1-p)}{n^2}\right) = 0 \quad (B7)$$

603 Equation (B5)~(B7) satisfied the theme of weak law of larger number, which lead the

604 $\hat{p} = \frac{\bar{X}}{n}$ is probabilistic converge to population parameter p :

$$605 \quad \lim_{n \rightarrow \infty} P(|\hat{p} - p| \geq \varepsilon) = 0, \text{ for all } \varepsilon > 0 \quad (B8)$$

606 Consequently, the unbiased MLE $\hat{p} = \frac{\bar{X}}{n}$ is consistent for p .

607

608 **Appendix C. Parameter estimation of λ in Poisson PMF**

609 **(1) Derivatization of the MLE $\hat{\lambda}$**

610 Let the random sample $X_1, X_2, \dots, X_i \xrightarrow{iid} pmf_{Xpoi}(\lambda)$, and assume the poisson

611 distribution as:

$$612 \quad pmf_{Xpoi}(x_i) = \frac{\lambda^{x_i} e^{-\lambda}}{x_i!} \quad (C1)$$



613 The likelihood function $L(\lambda)$ is joint PDF with parameter λ as follow:

$$614 \quad L(\lambda) = f_X(X_1, \dots, X_n, \lambda) = f(X_1, \lambda) \times \dots \times f(X_n, \lambda) = \prod_{i=1}^n \frac{\lambda^{x_i} e^{-\lambda}}{x_i!} \quad (C2)$$

615 Taking logs on $L(\lambda)$ in equation (B4) and differentiating logarithm function with
 616 respect to λ :

$$617 \quad \frac{\partial \ln L(\lambda)}{\partial \lambda} = \frac{\partial \left(\prod_{i=1}^n \frac{\lambda^{x_i} e^{-\lambda}}{x_i!} \right)}{\partial \lambda} = -n \frac{\lambda^{\sum_{i=1}^n x_i}}{(x_1 x_2 \dots x_n)!} e^{-n\lambda} + \frac{\sum_{i=1}^n X_i \lambda^{(-1 + \sum_{i=1}^n x_i)}}{(x_1 x_2 \dots x_n)!} \quad (C3)$$

618 Let the equation (C3) equal to zero, and has solution:

$$619 \quad \hat{\lambda} = \frac{1}{n} \sum_{i=1}^n X_i = \bar{X} \quad (C4)$$

620 Therefore, $\hat{\lambda} = \bar{X}$ is the MLE of population parameter λ in Poisson PMF model.

621

622 (2) Discussion of the unbiasedness and consistency of $\hat{\lambda}$

623 Let $E_\lambda(\hat{\lambda})$ be the expectation of MLE $\hat{\lambda}$ when population parameter λ is true in

624 random sample $X_1, X_2, \dots, X_i \xrightarrow{iid} pmf_{X_{poi}}(\lambda)$, then:

$$625 \quad E_\lambda(\hat{\lambda}) = E_\lambda(\bar{X}) = \frac{1}{n^2} \sum_{i=1}^n E_\lambda(X_i) = \frac{1}{n} n\lambda = \lambda \quad (C5)$$

626 which proved that MLE $\hat{\lambda} = \bar{X}$ is a unbiased estimator for λ . Meanwhile, let $Var_\lambda(\hat{\lambda})$

627 be the variance of MLE $\hat{\lambda}$ when population parameter λ is true

$$628 \quad Var_\lambda(\hat{\lambda}) = Var_\lambda(\bar{X}) = Var_\lambda \left(\sum_{i=1}^n X_i / n^2 \right) = \frac{1}{n^4} \sum_{i=1}^n Var_\lambda(X_i) = \frac{\lambda}{n} \quad (C6)$$

629 And

$$630 \quad \lim_{n \rightarrow \infty} Var_\lambda(\hat{\lambda}) = \lim_{n \rightarrow \infty} \left(\frac{\lambda}{n} \right) = 0 \quad (C7)$$

631 According to the weak law of large number theme, equation (B7, B8, C1) lead that

632 unbiased MLE $\hat{\lambda} = \bar{X}$ is probabilistic converge to λ :

$$633 \quad \lim_{n \rightarrow \infty} P(|\hat{\lambda} - \lambda| \geq \varepsilon) = 0, \text{ for all } \varepsilon > 0 \quad (C8)$$



634 Therefore, MLE $\hat{\lambda} = \bar{X}$ is consistent for population parameter λ .

635

636 **(3) Determination of UMVUE $\hat{\lambda}$ of population parameter**

637 Firstly, MLE $\hat{\lambda} = \bar{X}$ is an unbiased estimator of parameter λ which is the
 638 precondition of UMVUE determination. Secondly, by using Cramer-Rao lower bound
 639 to check whether the unbiased MLE was UMVUE or not. Then we have:

640
$$\ln f_X(X, \lambda) = -\ln x! + x \ln \lambda - \lambda \quad (C9)$$

641
$$\frac{\partial(\ln f_X(X, \lambda))}{\partial \lambda} = \frac{x}{\lambda} - 1 \quad (C10)$$

642 And

643
$$\frac{\partial^2 \ln f_X(X, \lambda)}{\partial \lambda^2} = \frac{\partial(\frac{x}{\lambda} - 1)}{\partial \lambda} = -\frac{x}{\lambda^2} \quad (C11)$$

644 Accordingly the expectation of equation (C11) when the population parameter λ is
 645 true:

646
$$E_\lambda \left[\frac{\partial^2 \ln f_X(X, \lambda)}{\partial \lambda^2} \right] = E_\lambda \left(-\frac{X}{\lambda^2} \right) = -\frac{1}{\lambda^2} E_\lambda(X) = -\frac{\lambda}{\lambda^2} = -\frac{1}{\lambda} \quad (C12)$$

647 So the Cramer-Rao lower bound (CRLB) is

648
$$\text{CRLB} = \frac{1}{-n E_\lambda \left[\frac{\partial^2 \ln f_X(X, \lambda)}{\partial \lambda^2} \right]} = \frac{1}{-n \cdot (-\frac{1}{\lambda})} = \frac{\lambda}{n} = \text{Var}_\lambda(\hat{\lambda}) = \text{Var}_\lambda(\bar{X}) \quad (C13)$$

649 Consequently, MLE $\hat{\lambda} = \bar{X}$ is UMVUE of population parameter λ .

650

651

652

653

654



655 Figure captions

656

657 Figure 1

658 Description of the study area, (a) Location of the Yangjuangou Catchment; (b)
659 restoration vegetation types at the runoff-plot scale, from left to right: *Armeniaca*
660 *sibirica* (T1), *Spiraea pubescens* (T2), and *Artemisia copria* (T3); (c) field saturated
661 conductivity measurement using Model 2800 K1 Guelph Permeameter; (d) a 1 m²
662 quadrat to measure vegetation coverage

663

664 Figure 2

665 Construction process of OCIRS-Bayes analysis framework, (a) flow chart of
666 confirming process of all elements in OCIRS-Bayes system; (b) Venn diagram of the
667 relationships of all elements in OCIRS-Bayes system; (c) result of hierarchical cluster
668 analysis of 130 individual rainfall events

669

670 Figure 3

671 The probability distributions of four rainfall event types at month and seasonal scales
672 over five rainy seasons

673

674 Figure 4

675 The probability distributions of soil erosion in three restoration vegetation types at
676 month and seasonal scales over five rainy seasons, the Arabic numbers and letter “T”
677 on the abscissa in each plot represent the month and total reason respectively, the same
678 as follow figures

679

680 Figure 5

681 The distribution of probabilistic contribution of four rainfall event types on one
682 stochastic soil erosion in three restoration vegetation types at month and seasonal scales
683 over five rainy seasons

684

685 Figure 6

686 The comparison the prediction of stochasticity of soil erosion by binomial and Poisson
687 PMFs and observed frequency of numbers of times of soil erosion event in three
688 restoration vegetation types, Exp_B and Exp_P means the expected values in binomial
689 and Poisson PMF respectively, and histogram represents observed value.

690

691 Figure 7

692 Morphological structure properties of thee restoration vegetation types including litter
693 layer, root system distribution. The diameter and depth of samples which were indicted
694 by the dashed line are approximately 10 cm and 30 cm respectively

695

696



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702

703 **Reference**

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866 **Tables**

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868 **Table 1 Basic properties of soil, vegetation and erosion in different restoration vegetation types**

Basic properties of different vegetation types	^h N	Restoration vegetation types		
		<i>Armeniaca sibirica</i> Type 1	<i>Spiraea pubescens</i> Type 2	<i>Artemisia copria</i> Type3
Topography property				
Slope aspect	9	southwest	southwest	Southwest
Slope gradation (%)	9	≈26.8	≈26.8	≈26.8
Slope size for each (m)	9	3×10	3×10	3×10
Soil property				
^a DBD (g cm ⁻³)	30	1.28±0.08	1.16±0.12	1.23±0.10
Clay (%)	30	11.07±2.43	11.98±3.05	9.54±1.48
Silt (%)	30	26.11±1.50	25.24±3.84	26.72±2.87
Sand (%)	30	62.82±0.94	62.78±4.51	63.74±3.24
^b Texture type		Sandy loam	Sandy loam	Sandy loam
^c K _{fc} (cm min ⁻¹)	20	0.46±0.82(a)	2.22±0.66(b)	0.50±0.60(a)
^d SOM (%)	30	1.28±0.63(a)	0.98±0.15(b)	0.90±0.09(b)
Vegetation property				
Restoration years	9	20	20	20
Crown diameters (cm)	27	211.6±15.4(c)	80.5±4.5(b)	64.1±6.3(a)
Litter layer (cm)	30	1.2±0.3(a)	3.4±1.8(b)	1.8±0.5(a)
Height (cm)	27	256.3±11.1(c)	128.3±8.3(b)	61.8±1.1(a)
LAI	27	×	2.31	1.78
^e Ave. Coverage (%)	27	85	90	90
Rainfall/Erosion property				
Times of rainfall events			130	
Times of runoff events		30/30/30	45/45/45	45/45/45
Times of sediment events		13/13/13	19/19/19	32/32/32
^f Ave. runoff depth (cm)		0.012(a)	0.014(a)	0.083(b)
^g Ave. sediment amount (g)		5.8(a)	6.8(a)	25.7(b)

a: dry bulk density; b: texture type is determined by textural triangle method based on USDA;
 c: field saturated hydraulic conductivity, and all the values with same letter in each row indicates
 non-significant difference at $\alpha=0.05$ which is the same as follow rows; d: soil organic matter; e:
 average coverage of three restoration vegetation types over five rainy seasons; f: average runoff
 depth in restoration types over rainy seasons; g: average sediment yield in restoration types over
 rainy seasons; h: sample number.

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Table 2 Definition and explanation of all elements in OCIRS systems based on rainfall-erosion stochasticity framework

Type	Physical characteristic	Probabilistic characteristics	Reoccurrences and implication
O	observation event including non-rainfall and rainfall events	random events composing the sample space of OCIRS system, the probability $P(O) = 1$	indicating general stochasticity of weather conditions over rainy seasons
C	non-rainfall events including sunny or cloudy weather conditions	random events, the probability of C events is the ratio of times of C events to O events over observation, $C \subset O, 0 \leq P(C) \leq P(O) = 1$	implying the extent of potential evapotranspiration in weather condition
I	an individual rainfall event with different precipitation, intensity and duration ranging from 0 to 72 hours, the time interval between two I events is more than 6 hours	random events, the probability of I event is ratio of times of I events to O events over observation $I \subset O, I \cup C = O, I \cap C = \emptyset, 0 \leq P(I) \leq P(O) = 1$	a driven force of erosion, which could be intercepted by vegetation and have high reoccurrences in rainy season
I _A	a classified rainfall event with average precipitation and intensity being 5 mm and 0.015 mm/min respectively	random events composing the subset of I events, $I_A \subseteq I, 0 \leq P(I_A) \leq P(I)$	having lowest rainfall erosivity nearly triggering no soil erosion events, and highest reoccurrences in all I events
I _B	a classified rainfall event with average precipitation and intensity being 27.6 mm and 0.072 mm/min respectively	random events composing the subset of I events, $I_B \subseteq I, I_B \cap I_A = \emptyset, 0 \leq P(I_B) \leq P(I_A) \leq P(I)$	having middle rainfall erosivity generally triggering runoff events, and middle reoccurrences in all I events
I _C	a classified rainfall event with average precipitation and intensity being 70 mm and 0.062 mm/min respectively	random events composing the subset of I events, $I_C \subseteq I, I_C \cap I_B \cap I_A = \emptyset, 0 \leq P(I_C) \leq P(I_B) \leq P(I_A) \leq P(I)$	having high rainfall erosivity almost driving runoff and sediment events, and low reoccurrences in all I events



I_B	an extreme rainfall event with precipitation and intensity being 4.6 mm and 0.78 mm/min respectively	random events composing the subset of I events, $I_B \subseteq I, I_B \cap I_C \cap I_B \cap I_A = \emptyset, 0 \leq P(I_B) \leq P(I_C) \leq P(I_B) \leq P(I_A) \leq P(I)$	having extreme rainfall erosivity to soil erosion, lowest reoccurrences in all I events
R	runoff event generating on restoration vegetation types, it occurs on rainfall processes, its duration is negligible	random events responding to I events, $R \subset I, R \cap C = \emptyset, 0 \leq P(R) < P(I)$	having different reoccurrences depending on rainfall and vegetation
S	sediment event occurring on restoration vegetation types, it occurs on runoff processes, duration is negligible	random events responding to R events, $S \subset R \subset I, S \cap C = \emptyset, 0 \leq P(S) \leq P(R) < P(I)$	having different reoccurrences depending on rainfall and vegetation

Table 3 Basic properties of classified types of rainfall and their effect on runoff and sediment events distribution in different restoration vegetation types

Rainfall events	Events number	Precipitation (mm)		Intensity (mm/min)		Duration (min)		Runoff events distribution			Sediment events distribution			
		Mean	^a SD	Mean	SD	Mean	SD	^c T1	T2	T3	T1	T2	T3	
I_A	94	5.0	5.5	0.015	0.016	365.4	313.0	1	9	10	0	0	0	6
I_B	26	27.6	12.5	0.072	0.050	668.5	629.9	19	26	25	5	10	18	18
I_C	9	69.0	11.7	0.062	0.033	1597.8	1214.3	9	9	9	7	8	8	8
I_D	1	4.6	^b NA	0.779	NA	5.9	NA	1	1	1	1	1	1	1
Total	130							30	45	45	13	19	33	33

a: Standard deviation; b: not applicable, the same below; c:vegetation type



880 Table 4 Correlation analysis between vegetation coverage and stochasticity of runoff and
 881 sediment events

^a Vegetation types	Runoff Events			Sediment Events		
	Probability	Expectation	Variation	Probability	Expectation	Variation
I _A Type						
Type 1	NA	NA	NA	NA	NA	NA
Type 2	-0.61	-0.57	-0.63	NA	NA	NA
Type 3	-0.32	-0.50	-0.18	NA	NA	NA
I _B Type						
Type 1	-0.74*	-0.48	-0.82*	NA	NA	NA
Type 2	-0.51	-0.94*	-0.78*	-0.70*	-0.60	-0.54
Type 3	-0.88*	-0.80*	0.20	-0.81*	-0.63	-0.41
I _C Type						
Type 1	NA	NA	NA	NA	NA	NA
Type 2	NA	NA	NA	NA	NA	NA
Type 3	NA	NA	NA	NA	NA	NA
All Types						
Type 1	-0.28	-0.32	-0.36	NA	NA	NA
Type 2	-0.13	-0.61	^b -0.77*	-0.33	-0.58	-0.42
Type 3	-0.09	-0.36	-0.23	-0.36	-0.69	-0.33

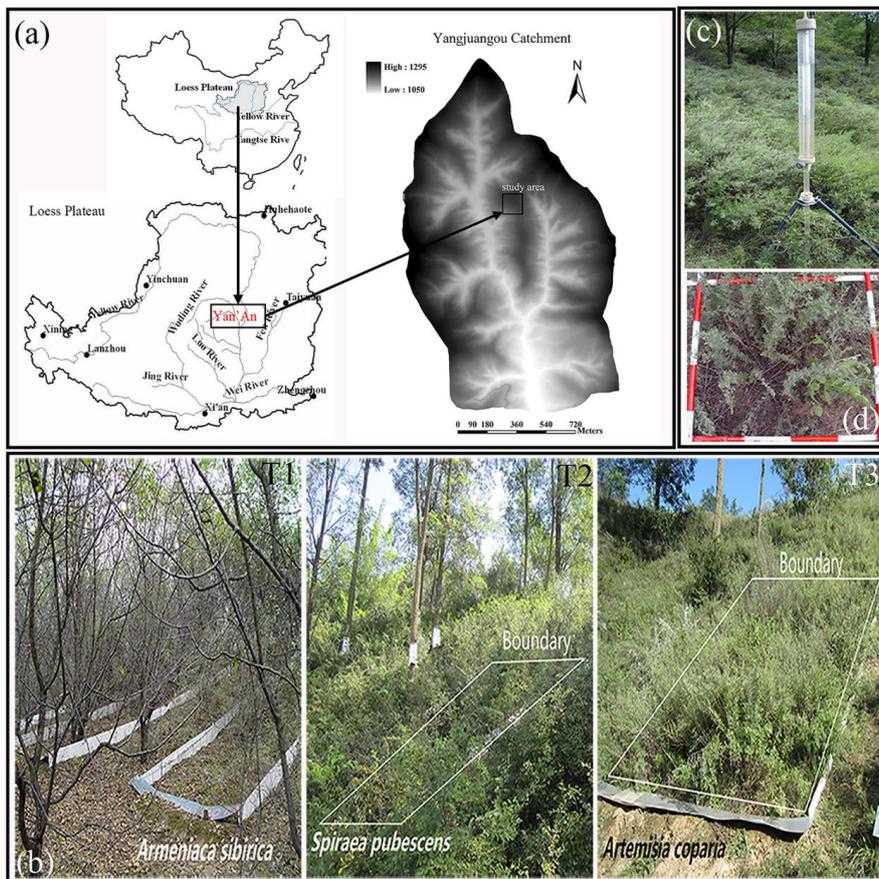
a: vegetation coverage; b: * means significant at $\alpha=0.05$

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905 **Figures**

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908 Figure 1 Description of the study area, (a) Location of the Yangjuangou Catchment;
909 (b) restoration vegetation types at the runoff-plot scale, from left to right: *Armeniaca*
910 *sibirica* (T1), *Spiraea pubescens* (T2), and *Artemisia coparia* (T3); (c) field saturated
911 conductivity measurement using Model 2800 K1 Guelph Permeameter; (d) a 1 m²
912 quadrat to measure vegetation coverage

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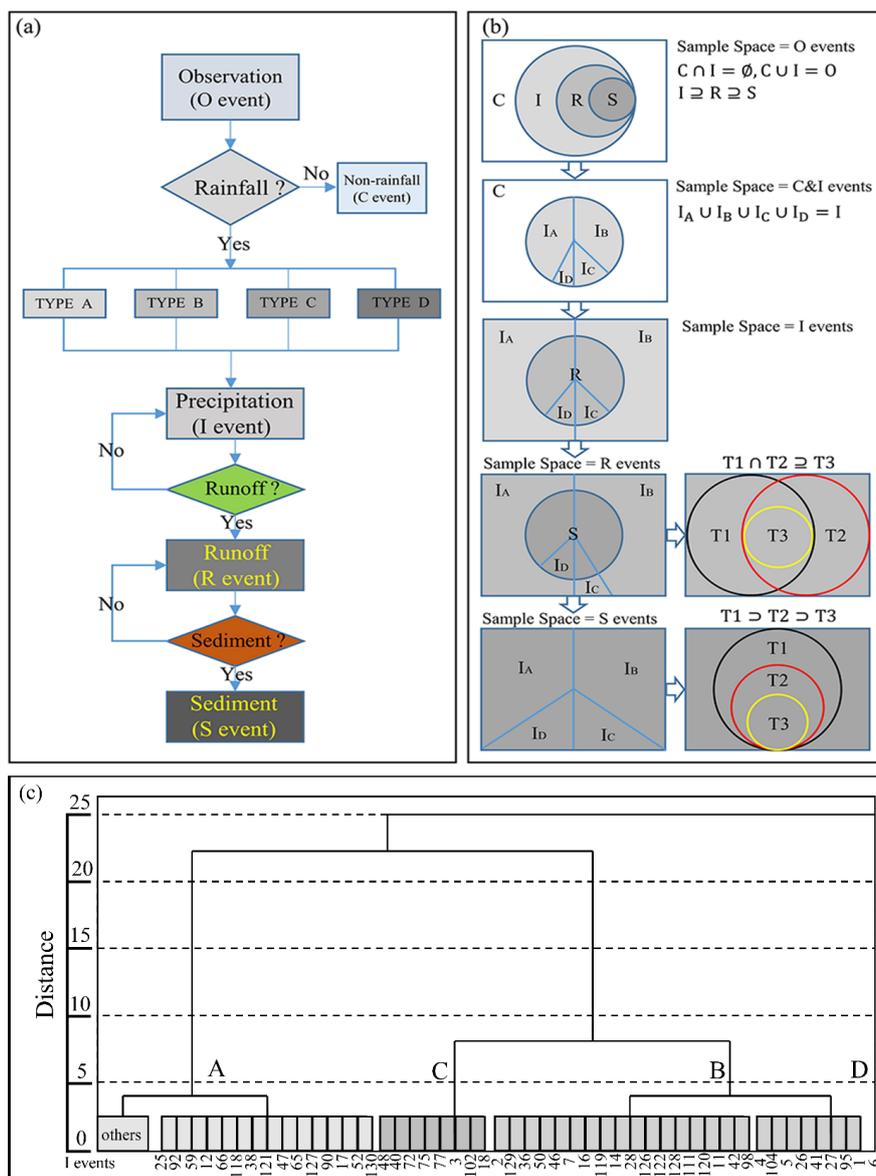
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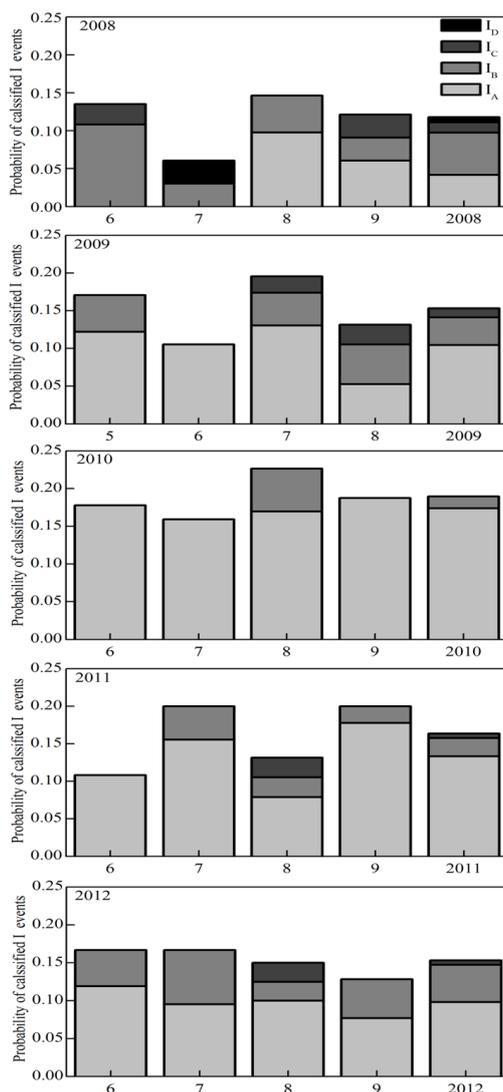
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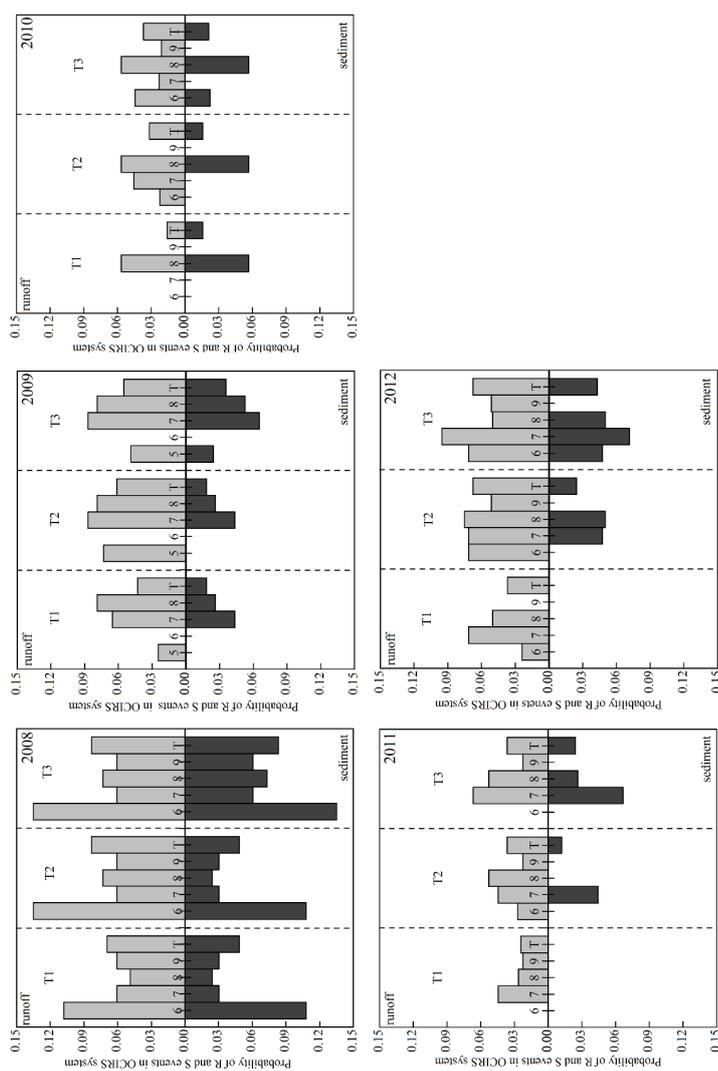


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 923 Figure 2 Construction process of OCIRS-Bayes analysis framework, (a) flow chart of
 924 confirming process of all elements in OCIRS-Bayes system; (b) Venn diagram of the
 925 relationships of all elements in OCIRS-Bayes system; (c) result of hierarchical cluster
 926 analysis of 130 individual rainfall events
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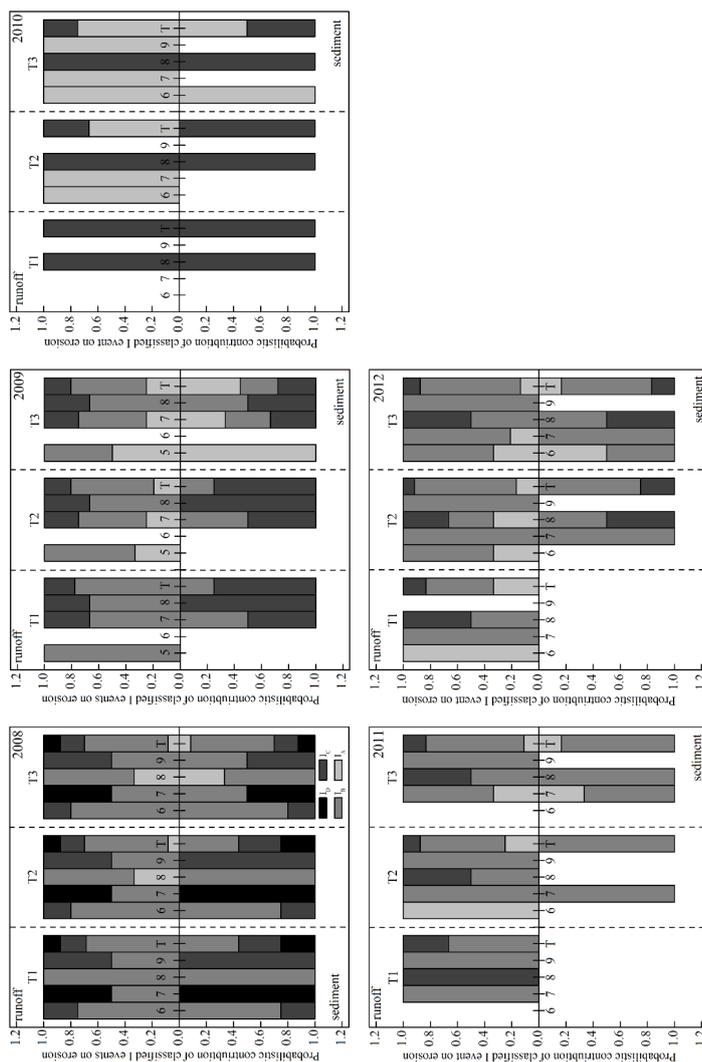


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 932 Figure 3 The probability distributions of four rainfall event types at month and
 933 seasonal scales over five rainy seasons
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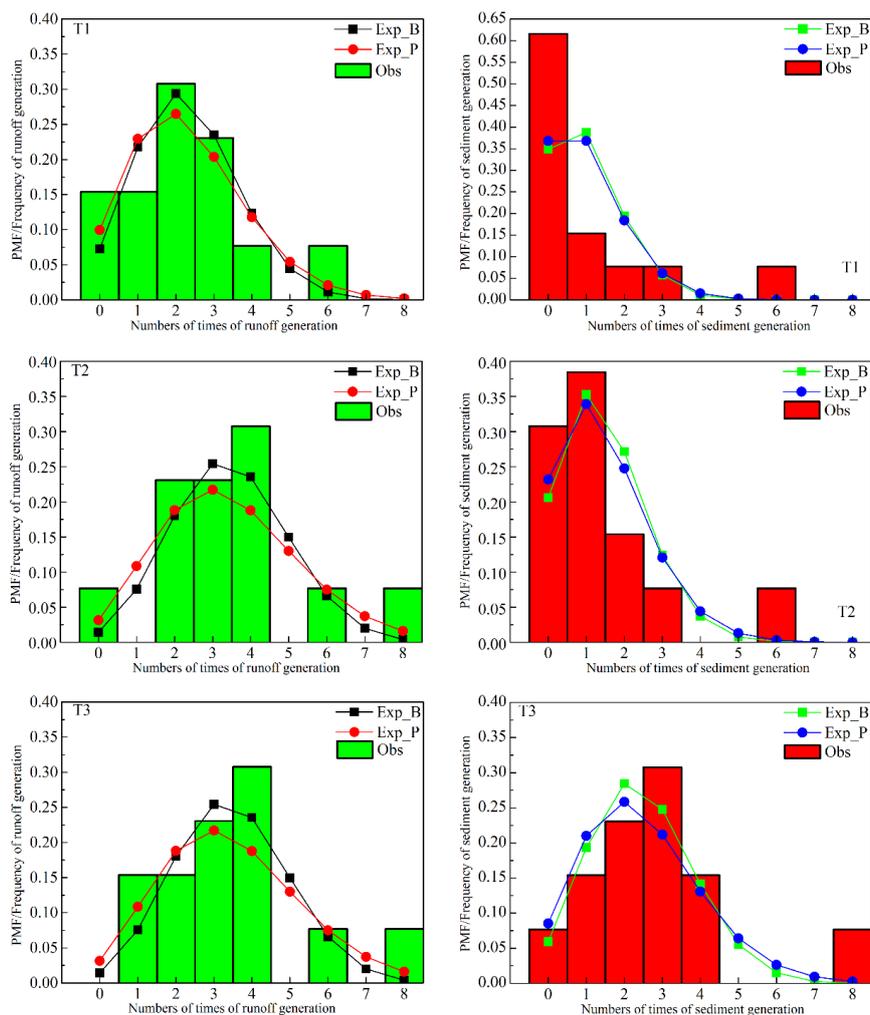
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 942 Figure 4 The probability distributions of soil erosion in three restoration vegetation types at month
 943 and seasonal scales over five rainy seasons, the Arabic numbers and letter “T” on the abscissa in each
 944 plot represent the month and total reason respectively, the same as follow figures
 945 Figure 5

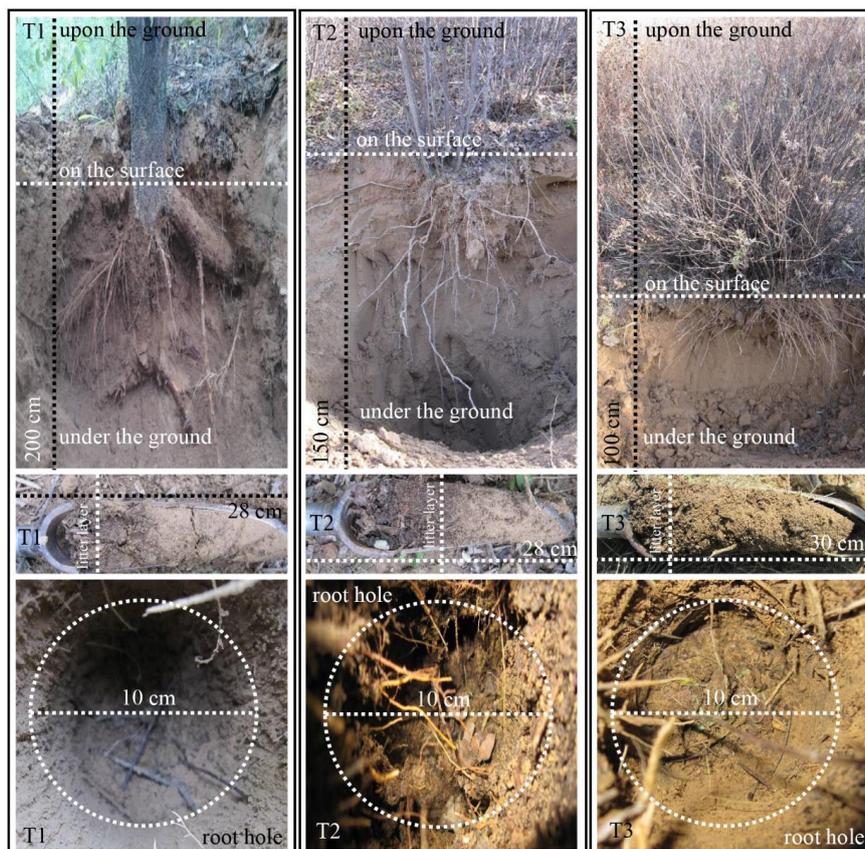


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 947 **Figure 5** The distribution of probabilistic contribution of four rainfall event types on one stochastic
 948 soil erosion in three restoration vegetation types at month and seasonal scales over five rainy seasons



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 950 Figure 6 The comparison the prediction of stochasticity of soil erosion by binomial
 951 and Poisson PMFs and observed frequency of numbers of times of soil erosion event in
 952 three restoration vegetation types, Exp_B and Exp_P means the expected values in
 953 binomial and Poisson PMF respectively, and histogram represents observed value.

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964 Figure 7 Morphological structure properties of thee restoration vegetation types
965 including litter layer, root system distribution. The diameter and depth of samples which
966 were indicted by the dashed line are approximately 10 cm and 30 cm respectively

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