



1

2

3

4

## Impacts of future climate change on urban flood risks: benefits of climate mitigation and adaptations

5

6

7

Qianqian Zhou<sup>1, 2</sup>, Guoyong Leng<sup>2,\*</sup>, Maoyi Huang<sup>3</sup>

8

9

<sup>1</sup>School of Civil and Transportation Engineering, Guangdong University of Technology, Waihuan

10

Xi Road, Guangzhou 510006, China

11

<sup>2</sup>Joint Global Change Research Institute, Pacific Northwest National Laboratory, College Park MD

12

20740, USA

13

<sup>3</sup>Earth System Analysis and Modeling Group, Pacific Northwest National Laboratory, Richland,

14

WA 99352, USA

15

16

17

18

19

20

21

\*Corresponding author address: Guoyong Leng, Joint Global Change Research Institute, Pacific Northwest National Laboratory, College Park MD, 20740.

23

24

E-mail: guoyong.leng@pnnl.gov

25

26

27



28 **Abstract**

29 As China is urbanized, flooding has become a regular feature in major cities. Assessing potential  
30 urban flood risks under climate change has become crucial for better managing such risks given  
31 the severity of the devastating disasters (e.g., the current 2016 flooding across China). Although  
32 the impacts of future climate change on urban flood risks have been investigated in many existing  
33 studies, the effects of both climate mitigation and adaptations have rarely been accounted for in a  
34 consistent framework. In this study, we assess the benefits of (1) avoided greenhouse gas (GHG)  
35 emissions and (2) adapting drainage systems on urban flood risks within the context of global  
36 warming through a case study in the Northern China. The urban drainage model, Storm Water  
37 Management Model (SWMM), was employed to simulate urban floods under current conditions  
38 and two feasible adaptation scenarios (i.e., pipe enlargement and low impact development), driven  
39 by bias-corrected meteorological forcing from five general circulation models (GCMs) in  
40 the Coupled Model Intercomparison Project Phase 5 (CMIP5) archive Based on the results, the  
41 volume of urban floods is projected to increase by 52% in the period of 2020-2040 when compared  
42 to that in 1971–2000 under the business-as-usual scenario (i.e., Representative Concentration  
43 Pathways (RCP) 8.5). The magnitudes of urban floods are found to increase nonlinearly with  
44 changes in precipitation intensity, and highest risks associated with floods with smaller return  
45 periods below 10 years are identified. Despite the high level of uncertainty, it is obvious that  
46 avoided greenhouse emissions will be beneficial in terms of reducing risks associated with urban  
47 floods. On average, the magnitude of projected urban floods under RCP 2.6 is 13% less than that  
48 under RCP8.5, demonstrating the importance of global-scale efforts on GHG emission reduction  
49 in regulating local to regional hydrometeorological responses. Moreover, the two feasible  
50 adaptation scenarios are shown to be able to further reduce risk associated with floods effectively.



- 51 This study highlights the importance of accounting for local climate adaptation efforts in assessing  
52 urban flood risks under a changing climate.
- 53 **Keywords:** Climate change, urban flood risks, mitigation, adaptation of drainage systems



54 1. Introduction

55 Floods are one of the most hazardous and common disasters in urban areas and can cause enormous  
56 impacts on the economy, environment, city infrastructure and human society (Chang et al., 2013;  
57 Ashley et al., 2007; Zhou et al., 2012). Urban drainage systems have been constructed to provide  
58 carrying and conveyance capacities to prevent urban flooding at a desired frequency. The design  
59 of the drainage capacity is, however, generally based on historical precipitation statistics that are  
60 assumed to be stationary and thus do not incorporate potential changes in precipitation extremes  
61 (Yazdanfar and Sharma, 2015; Peng et al., 2015; Zahmatkesh et al., 2015). For example, in Danish  
62 design guidelines for urban drainage, a delta change of 0.3 and 0.4 are recommended for the 10-  
63 and 100-year return period respectively with an anticipated technical life time of 100 years  
64 (Arnbjerg-Nielsen, 2012). The systems are likely to be overwhelmed by the additional runoff  
65 effects induced by climate change which may lead to flood damages, disruptions of transportation  
66 systems, and increased human health risks (Chang et al., 2013; Abdellatif et al., 2015). This  
67 necessitates examining the system performance in response to non-stationary changes of future  
68 hydroclimate in terms of both frequency and magnitude and the consequent flood damages (Mishra,  
69 2015; Karamouz et al., 2013; Yazdanfar and Sharma, 2015; Notaro et al., 2015).

70

71 Impacts of climate change on extreme precipitations and urban floods were documented in a  
72 number of case studies. Ashley et al. (2005) showed that floods risks may increase by almost 30  
73 times in comparison to current situation and effective responses are necessary to cope with the  
74 increasing risks in the UK. Larsen et al. (2009) estimated the potential future increase in extreme  
75 one-hour precipitation events over Europe due to climate change and a typical increase between



76 20% are 60% was found. Willems (2013) found that an increase up to about 50% of current design  
77 storm intensity in Belgium are projected for the 10-year return period by the end of this century.

78

79 As China is urbanized, flooding has become a regular feature of its cities with 62% of Chinese  
80 cities surveyed experiencing floods and direct economic losses up to \$100 billion between 2011  
81 and 2014 (China Statistical Yearbook 2015). The current 2016 flooding has affected more than 60  
82 million people, with more than 200 killed and \$22 billion in losses across China. Hence, assessing  
83 changes in urban flooding is very important for managing urban flood risks through designing new  
84 and re-designing existing urban infrastructures that are resilient to future climate change. While it  
85 is speculated that urban flood damages will increase in the future (Yang 2000 and Ding et al.,  
86 2006), their magnitudes are hard to assess due to uncertainties associated with future climate  
87 scenarios, as well as the lack of understanding on plausible adaptations and mitigations strategies  
88 and their consequences.

89

90 Without mitigating the global GHG emissions, climate change is projected to result in more  
91 pronounced damages for urban drainage infrastructures. At the same time, in areas where  
92 precipitation intensity increases significantly, effective adaptation measures and related  
93 investments should be given high priorities to prevent runoff volumes from exceeding system  
94 capacities. Although it is widely accepted that the revision and adaptation of drainage systems may  
95 experience more challenges due to potential changes in precipitation extremes, less work has been  
96 done to investigate the relationship between changes in precipitation intensity and flood risks to  
97 provide additional insights for design strategies. More importantly, investigations on the benefits



98 of global-scale GHG mitigation and local-scale adaptations in reducing adverse climate impacts  
99 on urban flood risks are typically conducted separately, rather than in a consistent manner.

100

101 In this study, the effects of climate change on the hydrological and hydraulic performances of an  
102 urban drainage system were investigated. Specifically, we quantify the impacts of future  
103 precipitation intensity changes at different return periods on flood risks under various climate  
104 scenarios. We then evaluate the ability of current drainage system in coping with the projected  
105 climate impacts. By designing two adaptation strategies in the study region, we investigate how  
106 much risks can be reduced. Importantly, by comparing the benefits of reducing GHG emissions  
107 globally and local adaptation strategies, we aim to advance our understanding on effective  
108 approaches in reducing the potential urban flood risks in a changing environment.

109

## 110 2. Materials and Methods

### 111 a. Study region

112 The study region (Hohhot City) is located in the south central portion of Inner Mongolia, China,  
113 and lies between the Great Blue Mountain to the north and the Hetao plateau to the south, which  
114 has a north-to-south topographic gradient. The drainage area in year 2010 was about 210.72 km<sup>2</sup>  
115 and served a residential population of 1.793 million (Figure 1a). The land use types in the region  
116 can be classified into five categories, agricultural land (8%), residential areas (38%), industrial  
117 land (13%), green spaces (7%), and other facilities (34%, including municipal squares, commercial  
118 districts, institutions). The planned drainage area in 2020 is about 307.83 km<sup>2</sup> and the detailed  
119 description of the land use category and distribution is shown in Figure 1b.



120

121 The region is within a cold semi-arid climate zone, characterized by cold and dry winters and hot  
122 and humid summers. The regional annual mean precipitation is approximately 396 mm with large  
123 intra-seasonal variations. The current drainage system can be divided into three large sub-basins  
124 (Figure 1c) and 326 sub-catchments with a total pipeline length of 249.36 kilometers. The drainage  
125 network has a higher pipeline cover rate in the central part, but with a rather low design standard  
126 for events with return period less than one year. Historical records on storm water drainage and  
127 flood damages show that the region has been experiencing an increase in flood risks mainly due  
128 to climate change and urbanization. A new drainage system is required by the regional water  
129 authorities to cope with the increasing flood risks in the future.

130

131 b. Climate change scenarios

132 Climate projections by five GCMs from phase 5 of the Coupled Model Intercomparison Project  
133 (CMIP5) archive are obtained from the Inter-Sectoral Impact Model Intercomparison Project (ISI-  
134 MIP) (Warszawski et al., 2014). The climate projections were bias-corrected against reference  
135 dataset of the WATCH forcing data (WFD) for the overlapping period using parametric quantile  
136 mapping (Piani et al., 2010; Hempel et al., 2013). This dataset represents a complete climate  
137 change picture in that it includes both the mean properties and variation of future climates. Several  
138 studies have demonstrated the value of this bias-correction climate projections in quantifying the  
139 impacts of climate change on global and regional hydrology (e.g., Piontek et al., 2014; Elliott et  
140 al., 2014; Haddeland et al., 2014; Leng et al., 2015a,b). Unlike most previous studies that only  
141 used data from one or two GCM in climate change impact studies on urban floods, we used the  
142 bias-corrected climate data from all five GCMs (HadGEM2-ES, GFDL-ESM2M, IPSLCM5A-LR,



143 MIROC-ESM-CHEM, and NorESM1-M) under two Representative Concentration Pathways  
144 (RCPs) (i.e., RCP2.6 and RCP8.5) for our analysis. The impacts under the scenario RCP8.5 are  
145 compared with that in the scenario RCP2.6 to explore the benefits of climate mitigation on regional  
146 urban flood risks.

147 c. Urban drainage modelling

148 The Storm Water Management Model (SWMM 5.1) developed by the United State Environmental  
149 Protection Administration (EPA) is one of the well-known urban storm water models for  
150 simulating rainfall-runoff routing and pipe dynamics under either single or continuous events  
151 (Rossman and Huber, 2016). With climatic and rainfall inputs, SWMM is applied to evaluate  
152 variations in hydrological and hydraulic processes and the performance of the drainage system  
153 under selected mitigation and adaptation scenarios in the context of global warming. The  
154 hydrological component requires inputs of precipitation, sub-catchment properties, such as the  
155 drainage area, width reflecting the time of concentration, imperviousness. The pipe network  
156 requires inputs of manholes, pipelines, outfalls, and connections to sub-catchments (Zahmatkesh  
157 et al., 2015; Chang et al., 2013). Basic flow routing models include steady flow, kinematic and  
158 dynamic wave methods. Infiltration can be described by the Horton, Green-Ampt or Curve  
159 Number (SCS-CN) methods. Dynamics of pipe flow are calculated based on the continuity  
160 equation and Saint-Venant equations (Rossman and Huber, 2016). Overflow occurs once the  
161 surface runoff exceeds the pipe capacity and is expressed by the parameter of Total Flood Volume  
162 (TFV) at each overloaded manhole. Other types of results include the catchment peak flows,  
163 maximum flow rate of pipelines and flooded hours of manholes. It should be noted that SWMM  
164 is not capable of simulating surface inundation dynamics and cannot provide accurate estimation  
165 of the inundated zones and depths. The TFV value is thus used to approximately reflect the flood





166 condition and system overloading of the drainage system. Nevertheless, surface inundation models  
167 (e.g., Apel et al., 2009; Horritt and Bates, 2002; Vojinovic and Tutulic, 2009) are applicable if  
168 more accurate information of the overland flow characteristics is needed.

169 Rainfall inputs are calculated from the regional storm intensify formula (SIF) using historical  
170 climatic statistics (Zhang and Guan, 2012), as shown in Equation 1.

$$q = \frac{A(1 + D \lg(P))}{(t + b)^c} \quad \text{Eq. (1)}$$

171 where  $q$  is the rainfall intensity;  $A$ ,  $b$ ,  $c$  and  $D$  are constants to describe the regional parameters of  
172 design flow.  $P$  and  $t$  are the design return period and duration of storm, respectively. For this region,  
173  $A$ ,  $b$ ,  $c$  and  $D$  equal to 635, 0, 0.61 and 0.841, respectively.

174 The Chicago Design Storms (CDS) approach is then employed to estimate synthetic rainfall  
175 hyetographs for a number of prescribed return periods, based on the parameters of the derived SIF  
176 (Zhang et al., 2008). In this study, there are in total 10 return periods of interest, i.e. the 1, 2, 3, 10,  
177 20, 50, 100, 200, 500 and 1000-year events. The projected changes in precipitation intensity at  
178 various return periods are calculated based on the climate projection for each GCM-RCP  
179 combination (Table 1). The derived change ratios are then multiplied to the synthetic rainfall  
180 hyetographs to drive the future precipitation intensity scenarios. The kinematic wave routing and  
181 the Horton infiltration model are used for model simulations. The model results, especially the  
182 overloaded manholes, are validated against historical records of flood events.

183

#### 184 d. Flood risk assessment

185 The TFV values corresponding to each rainfall event at various return periods are simulated by the  
186 SWMM. The TFV - return period relationship, as a proxy for flood damage illustration (Zhou et



187 al., 2012; Olsen et al., 2015), is established to reflect the changes in flood consequence as a  
188 function of return period. Generally, more intense rainfall inputs will induce higher TFVs.  
189 Similarly, for flood risk description, the TFV is further linked to the occurrence probability of the  
190 event (Figure 2), which is used to demonstrate the relative contributions of individual return  
191 periods to total flood risks. Therefore, it is not surprising that the larger events, associated with  
192 higher flood damage may contribute less to the total flood risk/annual damage given their low  
193 probabilities of occurrence. It is expected that climate change will increase the magnitude of  
194 system overflow and lead to an upward trend in the damage curve. Consequently, the peak of the  
195 risk curve is likely to move towards the areas with lower return periods. Mitigation and adaptation,  
196 on the contrary, are aimed to reduce or prevent those impacts of global warming on flood damage  
197 and risks.

198

#### 199 e. Design of adaptation scenarios

200 Changes in precipitation intensity associated with climate change have the potential to overburden  
201 the drainage systems. In this study, two adaptation scenarios are designed to explore the effects of  
202 adaptation on reducing flood risks induced by climate change. The first scenario adapts the  
203 drainage system as planned by the water authorities to cope with the designed standard of a 3-year  
204 design event. It involves two main improvements of the current drainage, by enhancing the  
205 pipeline diameters and expanding the pipe network. The design is implemented in the SWMM  
206 model as shown in Figure 1c.

207

208 A variety of site-specific factors can also influence the drainage performance in managing the  
209 surface runoff, such as the imperviousness of land area in the drainage basin. The second scenario



210 is to increase the permeable surfaces (e.g. green spaces) and reduce the regional imperviousness.  
211 This scenario is referred as to the Low Impact Development (LID) scenario that aims to explore  
212 the potential of decentralized and green measures, such as permeable pavements, infiltration  
213 trenches, and green roofs. Using the geographical information system (GIS), we select sub-  
214 catchments that are amendable for LID adaptation based on the difference between the current and  
215 planned land use types. Specifically, the weighted mean imperviousness (WMI) is calculated for  
216 each sub-catchment polygons in the two maps, using the commonly applied impervious factors  
217 (Pazwash, 2011; Butler and Davies, 2004) for each type of land use. As shown in Figure 1d, a  
218 positive change in the WMI indicates that the area is expected to experience decreased regional  
219 mean imperviousness in designed adaptation scenarios, and vice versa.

### 220 3. Results

#### 221 a. Impacts of future climate change on urban floods

222 Figure 3 shows the predicted impacts of future climate change on urban floods using the current  
223 drainage system by the near future period 2020-2040 as compared to the historical period. It is  
224 found that without mitigation or adaptation (i.e., RCP8.5 and the current drainage system), climate  
225 change is projected to lead to significant increase in the total flood volume (TFV) for various return  
226 periods. We note that a small proportion of the projected TFVs (i.e. lower bound at return periods  
227 1, 3 and 1000 years) fall below the current TFV curve. Under such circumstance, climate change  
228 will lead to decreased precipitation intensities and so that the TFVs drop accordingly. Despite the  
229 large uncertainty associated with climate models, in particular with the 1, 10 and 1000 years, the  
230 poor service performance of the current system is evident. Overall, urban flooding is projected to  
231 increase by 52% on average with a standard deviation of ~73% as projected by the multi-model



232 ensemble median in the period of 2020-2040, with the largest increase (258%) associated with the  
233 1-yr events and the smallest increase (12%) associated with the 100-yr events.

234

235 b. Benefits of climate mitigation on reducing urban floods

236 Figure 4 shows the avoided flood risks due to GHG mitigations (i.e. the difference between RCP2.6  
237 and RCP8.5) and the related uncertainties. Although large uncertainties exist as indicated by the  
238 bounds of the damage and risk curves, a consistent trend of damage and risk reduction can be  
239 observed between the scenarios with and without climate mitigation. The mitigation effects  
240 quantified through the relative changes of the median TFVs show that future urban flood  
241 management would benefit most from the global GHG mitigation for floods with smaller return  
242 periods. For example, an increase of 936.44 m<sup>3</sup> in total flood volume is projected for the 1-year  
243 event due to climate change (i.e., under RCP8.5), while 52% of which would be reduced under the  
244 climate mitigation scenario (i.e., under RCP2.6). As for the occurrence probability (Figure 4b),  
245 notably, the peak of risks is projected to shift from 1-yr events under the RCP8.5 scenario towards  
246 2-yr events under the RCP2.6 scenario, in which global-scale GHG mitigation is in place. Such a  
247 shift in risks towards less frequent return periods, combined with a flatter risk curve, demonstrates  
248 the benefits of climate mitigation in reducing the flood risks. Integrated over all return periods, the  
249 increase in flood risks under RCP2.6 is projected to be 13% less than that under RCP8.5 in the  
250 multi-model ensemble median.

251

252 c. Benefits of adaptation on reducing urban floods

253 The effects of the two proposed adaptation scenarios in drainage systems were then examined for  
254 the 10 rainfall events. Figure 5 shows the spatial location of overloaded pipelines (red colour) with



255 and without adaptations under present climate conditions, with the 3-yr event (recommended  
256 service level) and 50-yr event (one typical extreme event) selected for illustration. It is found that  
257 current pipe capacities are insufficient to cope with the flooding especially when experiencing the  
258 50-yr event without adaptations. The poor performance of the drainage system leads to scattered  
259 flooding across the region. Overall, the percentage of overloaded manholes (POM) and the ratio  
260 of flood volume (RFV) ratio is up to 37% and 35% in current drainage system, respectively. With  
261 proposed adaptation scenarios, such risks can be reduced to zero. The benefits of local adaptations  
262 are also evident when experiencing more intense precipitation (i.e. 50-yr events), by reducing the  
263 POM and RFV from 67% and 50% to 19% and 17%, respectively.

264

265 In the context of climate change, we first assessed the correlation between the projected changes  
266 in precipitation intensity with the changes in TFVs (i.e.,  $CTFV = TFV_c / TFV_{nc}$ , where  $c$  and  $nc$   
267 represent results with and without climate change impacts) with and without adaptations. It is  
268 found in Figure 6 that performance of the current drainage system (no adaptation) is less sensitive  
269 to climate change (i.e., with a flatter slope). For example, for return periods of 3, 50 and 500 years,  
270 the CTFV is projected to be 1.6166, 1.3221 and 1.3544 with increase of precipitation intensity by  
271 1.3369, 1.2119 and 1.2449, respectively. With smaller return periods, in particular the 1-yr event,  
272 a larger increase in the CTFV is observed. The results indicate that the service level of current  
273 drainage system is too low to even cope with present-day precipitation extremes, not to mention  
274 those in the future. Therefore, the CTFV is almost independent of the drainage capacity, and  
275 exhibits significant linear relationship with the changes in precipitation intensity.

276



277 For both adaptation scenarios, a considerable increase in the ratio between the CTFV and changes  
278 in precipitation intensity is observed for return periods below 10 years. This implies that the  
279 designed adaptation can effectively attenuate events with small return periods and thus lead to low  
280  $TFV_{nc}$  values. As a result, relative changes in TFVs of these events (i.e., percentage of change) are  
281 higher with increased precipitation intensity under climate change. For intense precipitation events  
282 with return period  $\geq 50$  years, however, more consistent results are found for both adaptation  
283 scenarios. This result implies that although the performances of drainage systems with designed  
284 adaptation measures are significantly improved compared to that of the current system, risks  
285 associated with events heavier than 50-year return period remain large as flooding under such  
286 events will push the adapted drainage systems to their upper limits.

287

#### 288 d. Climate mitigation versus drainage adaptation

289 Figure 7 shows the comparison of benefits (i.e., avoided TFVs) as results of the designed  
290 adaptation measures and GHG mitigation as functions of the return period. It is evident that the  
291 designed local-scale adaptation and global-scale GHG mitigation are effective in reducing future  
292 urban flood risks, but the benefits are clearly correlated with the return period. In general, the  
293 benefits of both climate mitigation and adaptation of the drainage system are projected to weaken  
294 gradually with the increase of rainfall intensity (i.e. larger return periods). Importantly, the two  
295 proposed adaptation strategies are found to be more effective in reducing urban floods than the  
296 global mitigation of GHG emissions for the study region. In most cases, the benefits of adaptation  
297 more than double the level that can be achieved by mitigation. In extreme cases, the reduction in  
298 urban flood risks through adapting the drainage system is found to be five times more than that



299 through climate mitigation (i.e., for the return periods of 2-10 years). Such effectiveness of  
300 reducing urban floods through the designed adaptation measures has great implications for the  
301 local authority in managing urban flood risks. Notably, the second scenario (LID+pipe) achieves  
302 a higher level of risk reduction than the pipe scenario across all return periods. This implies that  
303 implementation of LID measures to augment drainage system is more effective from the  
304 hydrological perspective by reducing upstream loadings when compared to adapting the pipe  
305 system alone.

306

#### 307 4. Uncertainty and Limitations

308 There are a number of uncertainties that can affect the results of this study due to uncertainties  
309 associated with every step in the impact assessment modeling, namely, the structure/parameters of  
310 the drainage model, emission scenarios, GCMs, climate downscaling/bias-correction approaches.  
311 Specifically, climate projections by GCMs are subject to significant uncertainties in particular  
312 regarding precipitation (Covey et al., 2003). Precipitation from GCMs differs significantly from  
313 observations, which make it difficult to use GCM outputs directly as inputs to urban drainage  
314 models. In this study, similar to that in many other impact studies, the delta change method was  
315 applied to combine climate change information produced by GCMs with observational  
316 precipitation intensity. Using this method, climate inputs for a future time period are computed by  
317 multiplying the ratios between future and current time periods from GCMs to the observed time  
318 series. Then, changes in urban flood risks are investigated using observed and adjusted climate  
319 data. Disadvantages of this method lie in that transient climate changes cannot be represented and  
320 that changes in intra-seasonal or daily climate variability are not taken into account (Leng and  
321 Tang, 2014).



322 The drainage model itself is also subject to uncertainties associated with the representation of  
323 drainage system itself. The calculation of flood volume is inevitably affected by uncertainties  
324 associated with current and future land cover maps, catchment properties and geographical  
325 conditions. Although progresses have been made to estimate drainage network and subcatchment  
326 division by field surveys and geographic information systems, the uncertainty related to the  
327 process can still be high due to accumulation of uncertainty sources. This study employs a 1D  
328 drainage modeling approach, which is less computationally demanding but fails to represent the  
329 complexity of surface inundation. The estimation of the damage and risk of flooding are based on  
330 the description of flood volume from overloading nodes, which neglects the surface flood  
331 propagation from upstream to downstream nodes and could therefore underestimate the  
332 downstream flooding conditions. Two dimensional flood models can be incorporated to provide  
333 assessment of surface inundation extent and relevant hazard indicators. Further, due to limited data  
334 on planned adaptation scenarios, especially for the LID measures, a simplified modeling approach  
335 was used to take advantage of existing data. In a situation where more detailed case study data and  
336 planning documents are accessible, the LID modeling should be significantly improved by  
337 implementing more advanced approaches (Elliott and Trowsdale, 2007; Zoppou, 2001).  
338 Evaluation of additional adaptation strategies, such as flood retention by rain gardens and green  
339 roofs, needs to be explored to gain a more comprehensive understanding of LID systems. In  
340 particular, the cost-effectiveness of the adaptation measures needs to be examined to better  
341 understand the feasibility of different adaptation scenarios. Nevertheless, given these limitations,  
342 this study stands out from previous climate impact assessment studies on urban floods by  
343 proposing two feasible adaptations strategies and compare their benefits to that from the GHG  
344 mitigation within a consistent framework.





345

## 346 5. Summary and Conclusions

347 In recent year, more and more studies on the improvement/adaptation of existing drainage systems  
348 in response to climate change have emerged (Chang et al., 2013; Zhou et al., 2012; Abdellatif et  
349 al., 2015). Despite these efforts on examining the climate change impacts on urban drainage  
350 systems, limited attention has been paid to the joint analyses on urban flooding risks associated  
351 GHG mitigation and adaptation measures in a changing climate. This study assesses potential  
352 urban flood risks in response to future climate change in a typical urban area (Hohhot City), North  
353 of China. In particular, we focus on potential changes in future urban flood risks under various  
354 mitigation and adaptation scenarios in a consistent evaluation framework.

355 Although large uncertainties in the damage and risk estimations exist, some robust conclusions  
356 can be drawn based on our results. Without climate mitigation or adaptation, significant increases  
357 in flood risks are projected due to intensified precipitation for all investigated return periods,  
358 especially for return periods lower than 10 years. Overall, floods risks are projected to increase by  
359 52% under the multi-model ensemble median in the period of 2020-2040, and the magnitudes of  
360 increase depend on precipitation intensity. Such increases in flood risks can be reduced  
361 considerably by climate mitigations through reducing GHG emissions. For example, the risks for  
362 1-yr events can be reduced by 50% by switching the climate scenario from RCP8.5 to RCP2.6,  
363 demonstrating the benefits of GHG mitigations.

364 Besides the global-scale efforts of GHG mitigations, regional/local adaptations can be  
365 implemented to reduce the adverse impacts of climate change on local floods. Here, we  
366 demonstrated the value of adaptation measures by designing two alternative scenarios and compare



367 their effectiveness to that of GHG mitigation. We found that the designed adaptation scenarios are  
368 much more effective in reducing future flood risks, through which the achieved risk reduction is  
369 more than double the level that can be achieved through the mitigation scenario. In addition, it is  
370 found that implementing LID measures in the local context to augment adaptations in the pipe can  
371 be more effective in reducing flood risks from the hydrological point of view.

372 We acknowledge that findings from this case study are subjected to limitations associated with  
373 climate scenarios, drainage model, and the region of interest. However, this study can provide  
374 insights on urban flood managements for similar urban areas in China, many of which are still  
375 equipped with highly insufficient drainage capacities. The existing drainage service level is  
376 generally below or merely at return period of one to two years in many cities, therefore needs to  
377 be extensively upgraded to handle the potential impacts in response to non-stationary precipitation  
378 extremes. Appropriate adaptation measures at the regional level can significantly enhance the  
379 performance of drainage systems and reduce the potential flood damage. Through a comprehensive  
380 investigation of future urban floods, this study confirmed a large increase of potential urban floods  
381 in response to future climate change and highlight the effectiveness of adaptation in drainage  
382 systems in coping with such risks. Our results have great implications for decision-making for  
383 better managing urban floods and emphasize the importance of accounting for both global-scale  
384 GHG mitigation and local-scale adaptation in assessing future climate impacts on urban flood risks  
385 in a consistent framework.

386

387

388

389



390 **Acknowledgments**

391 This research was supported by the Natural Science Foundation of Guangdong Province, China  
392 (No. 2014A030310121) and the Scientific Research Foundation for the Returned Overseas  
393 Chinese Scholars, State Education Ministry. G. Leng and M. Huang were supported by the  
394 Integrated Assessment Research program through the Integrated Multi-sector, Multi-scale  
395 Modeling (IM<sup>3</sup>) Scientific Focus Area (SFA) sponsored by the Biological and Environmental  
396 Research Division of Office of Science, U.S. Department of Energy. The Pacific Northwest  
397 National Laboratory (PNNL) is operated for the U.S. DOE by Battelle Memorial Institute under  
398 contract DE-AC05-76RL01830

399

400

401

402

403

404

405

406

407

408



## 409 References

- 410 Abdellatif, M., Atherton, W., Alkhaddar, R., and Osman, Y.: Flood risk assessment for urban water system  
411 in a changing climate using artificial neural network, *Natural Hazards*, 79, 1059-1077, 2015.
- 412 Apel, H., Aronica, G. T., Kreibich, H., and Thielen, A. H.: Flood risk analyses-how detailed do we need  
413 to be?, *Natural Hazards*, 49, 79-98, 2009.
- 414 Arisz, H., and Burrell, B. C.: Urban Drainage Infrastructure Planning and Design Considering Climate  
415 Change, *EIC Climate Change Technology*, 2006 IEEE, 2006, 1-9,
- 416 Arnbjerg-Nielsen, K.: Quantification of climate change effects on extreme precipitation used for high  
417 resolution hydrologic design, *Urban Water Journal*, 9, 57-65, 2012.
- 418 Ashley, R., Garvin, S., Pasche, E., Vassilopoulos, A., and Zevenbergen, C.: Advances in Urban Flood  
419 Management, in, edited by: Ashley, R., Garvin, S., Pasche, E., Vassilopoulos, A., and Zevenbergen, C.,  
420 Taylor & Francis/Balkema, London, UK, 2007.
- 421 Ashley, R. M., Balmforth, D. J., Saul, A. J., and Blanksby, J. D.: Flooding in the future - predicting climate  
422 change, risks and responses in urban areas, *Water Science and Technology*, 52, 265-273, 2005.
- 423 Burrell, B. C., Davar, K., and Hughes, R.: A review of flood management considering the impacts of climate  
424 change, *Water International*, 32, 342-359, 2007.
- 425 Butler, D., and Davies, J.: Urban drainage, CRC Press, London. ISBN: 0203149696, 2004.
- 426 Butler, D., McEntee, B., Onof, C., and Hagger, A.: Sewer storage tank performance under climate change,  
427 *Water Science and Technology*, 56, 29-35, 2007.
- 428 Cameron, D.: An application of the UKCIP02 climate change scenarios to flood estimation by continuous  
429 simulation for a gauged catchment in the northeast of Scotland, UK (with uncertainty), *Journal of*  
430 *Hydrology*, 328, 212-226, 2006.
- 431 China Statistical Yearbook: National Bureau of Statistics of China, China Statistics Press, Beijing, 2015.
- 432 Chang, H. K., Tan, Y. C., Lai, J. S., Pan, T. Y., Liu, T. M., and Tung, C. P.: Improvement of a drainage  
433 system for flood management with assessment of the potential effects of climate change, *Hydrological*  
434 *Sciences Journal-Journal Des Sciences Hydrologiques*, 58, 2013.
- 435 Ding, Y., Ren, G., Shi, G., Gong, P., Zheng, X., Zhai, P., Zhang, D., Zhao, Z., Wang, S., Wang, H., Luo,  
436 Y., Chen, D., Gao, X., and Dai, X.: National assessment report of climate change (I): climate change in  
437 China and its future trend. *Adv Clim Change Res* 2:3-8 (in Chinese), 2006.
- 438 Elliott, A. H., and Trowsdale, S. A.: A review of models for low impact urban stormwater drainage,  
439 *Environmental Modelling & Software*, 22, 394-405, 2007.
- 440 Elliott, J., et al.: Constraints and potentials of future irrigation water availability on agricultural  
441 production under climate change, *Proceedings of the National Academy of Sciences*, 111, 3239-3244,  
442 2014.
- 443 Grum, M., Jorgensen, A. T., Johansen, R. M., and Linde, J. J.: The effect of climate change on urban  
444 drainage: an evaluation based on regional climates model simulations, *Water Science and Technology*, 54,  
445 9-15, 2006.
- 446 Hagemann, S., Chen, C., Haerter, J. O., Heinke, J., Gerten, D., and Piani, C.: Impact of a statistical bias  
447 correction on the projected hydrological changes obtained from three GCMs and two hydrology models,  
448 *J. Hydrometeor.*, 12, 556-578, 2011.
- 449 Haddeland, I., et al.: Global water resources affected by human interventions and climate change,  
450 *Proceedings of the National Academy of Sciences*, 111, 3251-3256, 2014.
- 451 Horritt, M. S., and Bates, P. D.: Evaluation of 1D and 2D numerical models for predicting river flood  
452 inundation, *Journal of Hydrology*, 268, 87-99, 2002.
- 453 Jung, M., Kim, H., Mallari, K. J. B., Pak, G., and Yoon, J.: Analysis of effects of climate change on runoff  
454 in an urban drainage system: a case study from Seoul, Korea, *Water Science and Technology*, 71, 653-660,  
455 2015.
- 456 Karamouz, M., Nazif, S., and Zahmatkesh, Z.: Self-Organizing Gaussian-Based Downscaling of Climate  
457 Data for Simulation of Urban Drainage Systems, *J. Irrig. Drainage Eng-ASCE*, 139, 98-112, 2013.



- 458 Kleidorfer, M., Moderl, M., Sitzenfreni, R., Urich, C., and Rauch, W.: A case independent approach on the  
 459 impact of climate change effects on combined sewer system performance, *Water Science and Technology*,  
 460 60, 1555-1564, 2009.
- 461 Larsen, A. N., Gregersen, I. B., Christensen, O. B., Linde, J. J., and Mikkelsen, P. S.: Potential future  
 462 increase in extreme one-hour precipitation events over Europe due to climate change, *Water Science and*  
 463 *Technology*, 60, 2205-2216, 2009.
- 464 Leng, G., and Tang, Q.: Modeling the impacts of future climate change on irrigation over China: Sensitivity  
 465 to adjusted projections, *Journal of Hydrometeorology*, 15(5), 2085-2103, 2014.
- 466 Leng, G., Tang, Q., and Rayburg, S.: Climate change impacts on meteorological, agricultural and  
 467 hydrological droughts in China, *Global and Planetary Change*, 126, 23-34, 2015a.
- 468 Leng, G., Huang, M., Tang, Q., and Leung, L. R.: A modeling study of irrigation effects on global surface  
 469 water and groundwater resources under a changing climate, *Journal of Advances in Modeling Earth*  
 470 *Systems*, 7(3), 1285-1304, 2015b.
- 471 Mishra, A.: A study on the occurrence of flood events over Jammu and Kashmir during September 2014  
 472 using satellite remote sensing, *Natural Hazards*, 78, 1463-1467, 2015.
- 473 Notaro, V., Liuzzo, L., Freni, G., and La Loggia, G.: Uncertainty Analysis in the Evaluation of Extreme  
 474 Rainfall Trends and Its Implications on Urban Drainage System Design, *Water*, 7, 6931-6945, 2015.
- 475 Olsen, A., Zhou, Q., Linde, J., and Arnbjerg-Nielsen, K.: Comparing Methods of Calculating Expected  
 476 Annual Damage in Urban Pluvial Flood Risk Assessments, *Water*, 7, 255-270, 2015.
- 477 Parry, M. L., Canziani, O. F., Palutikof, J. P., van der Linden, P. J., and Hanson, C. E.: IPCC, 2007: Climate  
 478 Change 2007: Impacts, Adaptation and Vulnerability. Contribution of Working Group II to the Fourth  
 479 Assessment Report of the Intergovernmental Panel on Climate Change, in Cambridge University Press,  
 480 Cambridge, UK, 976pp., 2007.
- 481 Pazwash, H.: *Urban Storm Water Management*, CRC Press, Taylor and Francis, Boca Raton, FL, 2011.
- 482 Peng, H. Q., Liu, Y., Wang, H. W., and Ma, L. M.: Assessment of the service performance of drainage  
 483 system and transformation of pipeline network based on urban combined sewer system model, *Environ.*  
 484 *Sci. Pollut. Res.*, 22, 15712-15721, 2015.
- 485 Piani, C., Weedon, G. P., Best, M., Gomes, S. M., Viterbo, P., Hagemann, S., and Haerter, J. O.:  
 486 Statistical bias correction of global simulated daily precipitation and temperature for the application of  
 487 hydrological models, *Journal of Hydrology*, 395, 199-215, 2010.
- 488 Rossmann, L. A., and Huber, W. C.: *Storm Water Management Model Reference Manual EPA/600/R-*  
 489 *15/162A*, 2016.
- 490 Tisseuil, C., Vrac, M., Lek, S., and Wade, A. J.: Statistical downscaling of river flows, *Journal of Hydrology*,  
 491 385, 279-291, 2010.
- 492 Trenberth, K. E.: Changes in precipitation with climate change, *Clim. Res.*, 47, 123-138, 2011.
- 493 Vojinovic, Z., and Tutulic, D.: On the use of 1D and coupled 1D-2D modelling approaches for  
 494 assessment of flood damage in urban areas, *Urban Water Journal*, 6, 183-199, 2009.
- 495 Warszawski, L. et al.: The Inter-Sectoral Impact Model Intercomparison Project [ISI-MIP]: Project  
 496 framework, *Proceedings of the National Academy of Sciences*, 111(9), 3228-3232, 2013.
- 497 Weedon, G. P. et al.: Creation of the WATCH forcing data and its use to assess global and regional  
 498 reference crop evaporation over land during the twentieth century, *Journal of Hydrometeorology*, 12,  
 499 823-848, 2011.
- 500 Willems, P., Arnbjerg-Nielsen, K., Olsson, J., and Nguyen, V. T. V.: Climate change impact assessment on  
 501 urban rainfall extremes and urban drainage: Methods and shortcomings, *Atmospheric Research*, 103, 106-  
 502 118, 2012.
- 503 Willems, P.: Revision of urban drainage design rules after assessment of climate change impacts on  
 504 precipitation extremes at Uccle, Belgium, *Journal of Hydrology*, 496, 166-177, 2013.
- 505 Yang, G.: Historical change and future trends of storm surge disaster in China's coastal area. *J Nat Disasters*  
 506 9:23-30 (in Chinese), 2000.
- 507 Yazdanfar, Z., and Sharma, A.: Urban drainage system planning and design-challenges with climate change  
 508 and urbanization: a review, *Water Science & Technology*, 72, 165-179, 2015.



509 Yin, J., Ye, M. W., Yin, Z., and Xu, S. Y.: A review of advances in urban flood risk analysis over China,  
510 Stoch. Environ. Res. Risk Assess., 29, 1063-1070, 2015.

511 Zahmatkesh, Z., Karamouz, M., Goharian, E., and Burian, S. J.: Analysis of the Effects of Climate Change  
512 on Urban Storm Water Runoff Using Statistically Downscaled Precipitation Data and a Change Factor  
513 Approach, Journal of Hydrologic Engineering, 20, 11, 2015.

514 Zhang, B., and Guan, Y.: Watersupply & Drainage Design Handbook, China Construction Industry Press,  
515 ISBN: 9787112136803, Beijing, China, 2012.

516 Zhang, D., Zhao, D. q., Chen, J. n., and Wang, H. z.: Application of Chicago approach in urban drainage  
517 network modeling, Water & Wastewater Engineering, 34, 354-357, 2008.

518 Zhou, Q., Mikkelsen, P. S., Halsnaes, K., and Arnbjerg-Nielsen, K.: Framework for economic pluvial flood  
519 risk assessment considering climate change effects and adaptation benefits, Journal of Hydrology, 414, 539-  
520 549, 2012.

521 Zoppou, C.: Review of urban storm water models, Environmental Modelling & Software, 16, 195-231,  
522 2001.

523

524

525

526

527

528

529

530

531

532

533

534

535

536

537

538

539

540

541



542 Table 1 Projected changes in precipitation intensity under return periods ranging from 1yr to 1000yr by five  
 543 Global Climate Models under two Representative Concentration Pathways (RCPs)

		1	2	3	10	20	50	100	200	500	1000
GFDL- ESM2 M	RCP8.5	2.12	1.23	1.34	1.25	1.27	1.21	1.08	1.12	1.24	1.23
	RCP2.6	1.74	1.08	1.03	1.11	1.07	1.15	1.14	1.15	1.19	1.16
HadGE M2-ES	RCP8.5	0.62	1.08	1.09	1.06	1.01	1.03	1.17	1.26	1.23	1.14
	RCP2.6	0.36	1.20	1.19	1.04	1.02	1.11	1.31	1.26	1.37	1.24
IPSL- CM5A- LR	RCP8.5	1.44	1.17	1.28	1.17	1.08	1.09	1.02	1.10	1.12	1.13
	RCP2.6	0.74	1.04	1.18	1.01	1.06	1.03	1.01	0.99	0.95	1.00
MIRO C- ESM- CHEM	RCP8.5	2.13	1.38	1.30	1.51	1.32	1.23	1.17	1.27	1.16	1.31
	RCP2.6	0.71	1.12	1.14	1.18	1.10	1.07	1.01	1.09	1.01	1.09
NorES M1-M	RCP8.5	2.11	0.96	0.80	1.63	1.35	1.15	1.08	1.01	1.04	0.97
	RCP2.6	0.11	1.09	1.05	1.28	1.17	1.08	1.10	1.18	1.09	1.20

544

545

546

547

548

549

550

551

552

553

554

555



556

## 557 **List of Figures**

558 **Figure 1** Land use of the study region for the year 2010 (a) and 2020 (b). Pipe network description  
559 of current and planned drainage systems (c). Difference in Weighted Mean Imperviousness (WMI)  
560 between year 2010 and 2020 (d).

561 **Figure 2** Illustration of urban flood risks under stationary drainage system. The grey area denotes  
562 the events that contribute higher percentage of the annual total damage.

563 **Figure 3** Projected total flood volume (TFV) with changes in precipitation intensity at various  
564 return periods under the RCP8.5 scenario.

565 **Figure 4** Comparison of flood damage (a) and risk (b) with changes in precipitation intensity of  
566 various return periods under RCP8.5 (blue) and RCP2.6 (red), and the avoided impacts (i.e.,  
567 benefits of climate mitigation) in terms of risk reductions under RCP2.6 relative to RCP8.5 (c).

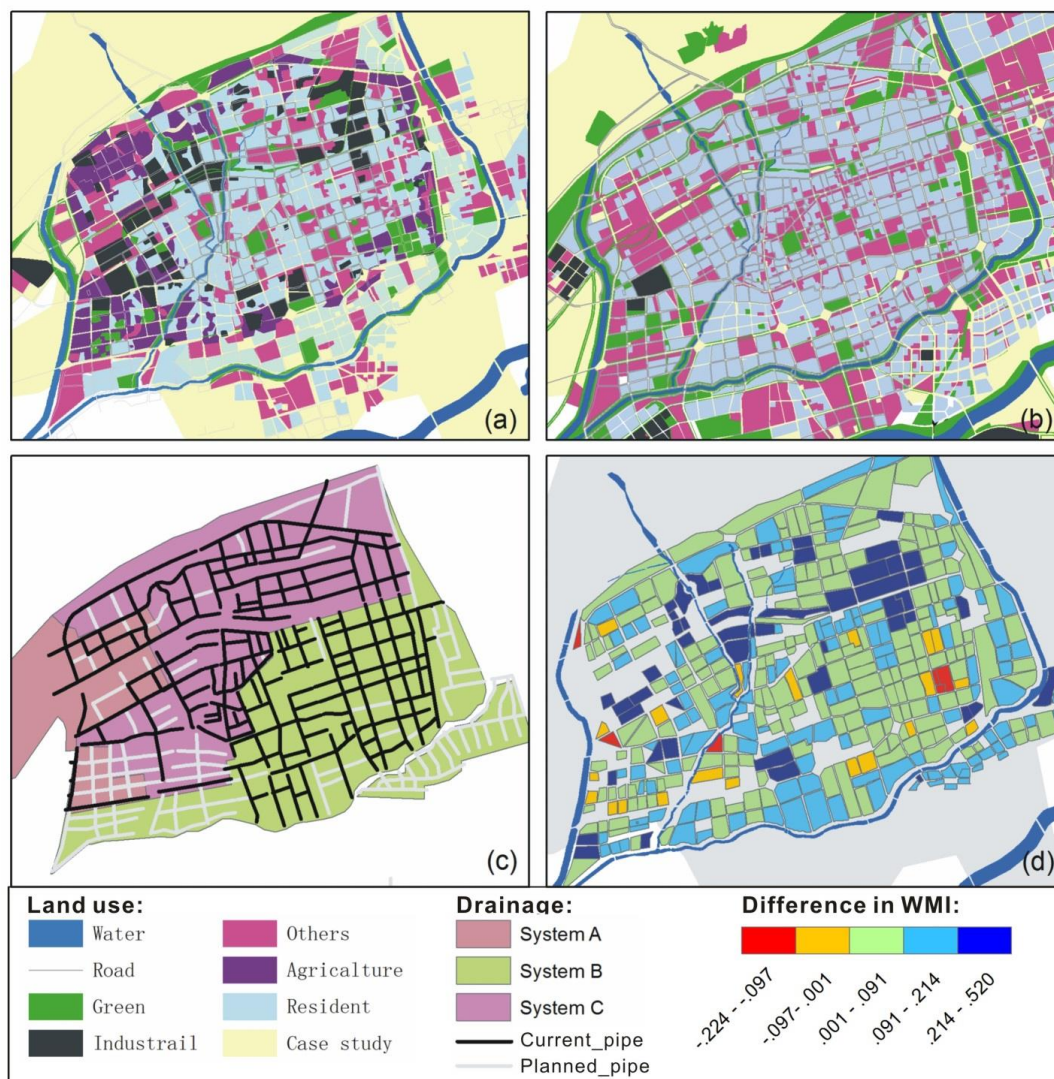
568 **Figure 5** Spatial distribution of overloaded pipelines (red colour) induced by the current 3-yr (left  
569 column) and 50-yr extreme events (right column) without and with adaptations. The total  
570 percentage of overloaded manholes (POM) and ratio of flood volume (RFV) are summarized.

571 **Figure 6** Response of CTFV to changes in precipitation intensity under the current drainage  
572 system (yellow) and two adaptation scenarios (i.e. Pipe in red and Pipe+LID in green) at various  
573 return periods.

574 **Figure 7** Comparison of benefits of climate mitigation and two adaptation strategies in reducing  
575 urban flood risks with changes in precipitation intensities at various return periods.

576





577

578 **Figure 1** Land use of the study region for the year 2010 (a) and 2020 (b). Pipe network description  
 579 of current and planned drainage systems (c). Difference in Weighted Mean Imperviousness (WMI)  
 580 between year 2010 and 2020 (d).

581

582

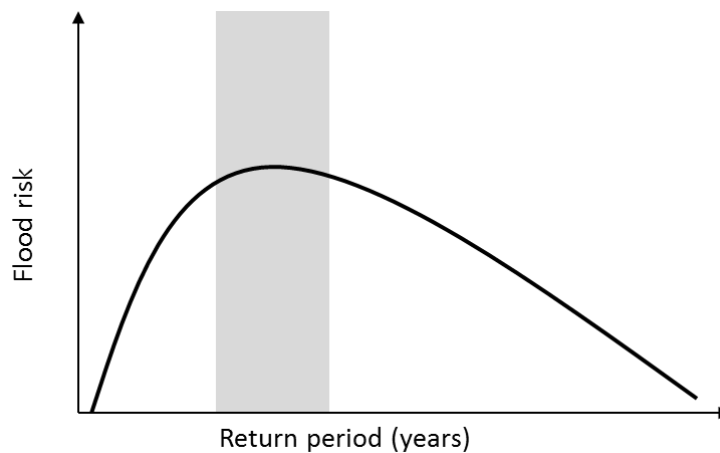
583

584



585

586



587

588 **Figure 2** Illustration of urban flood risks under stationary drainage system. The grey area denotes  
589 the events that contribute higher percentage of the annual total damage.

590

591

592

593

594

595

596

597

598

599

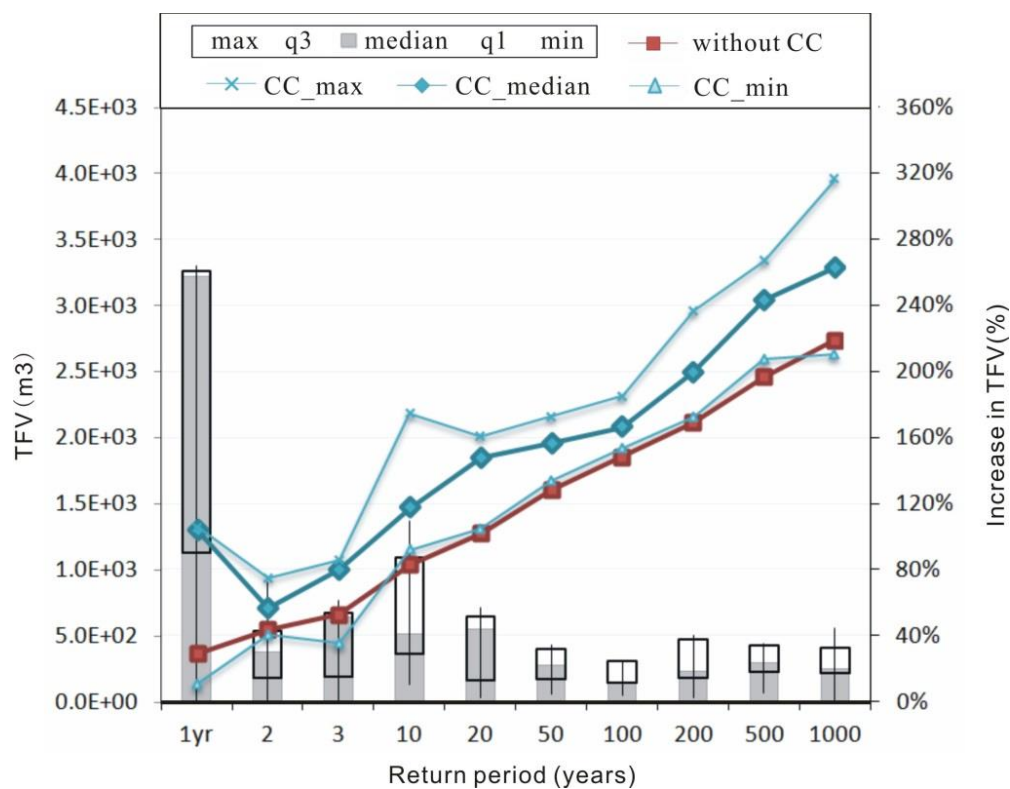
600

601

602



603



604

605

**Figure 3** Projected total flood volume (TFV) with changes in precipitation intensity at various return periods under the RCP8.5 scenario.

606

607

608

609

610

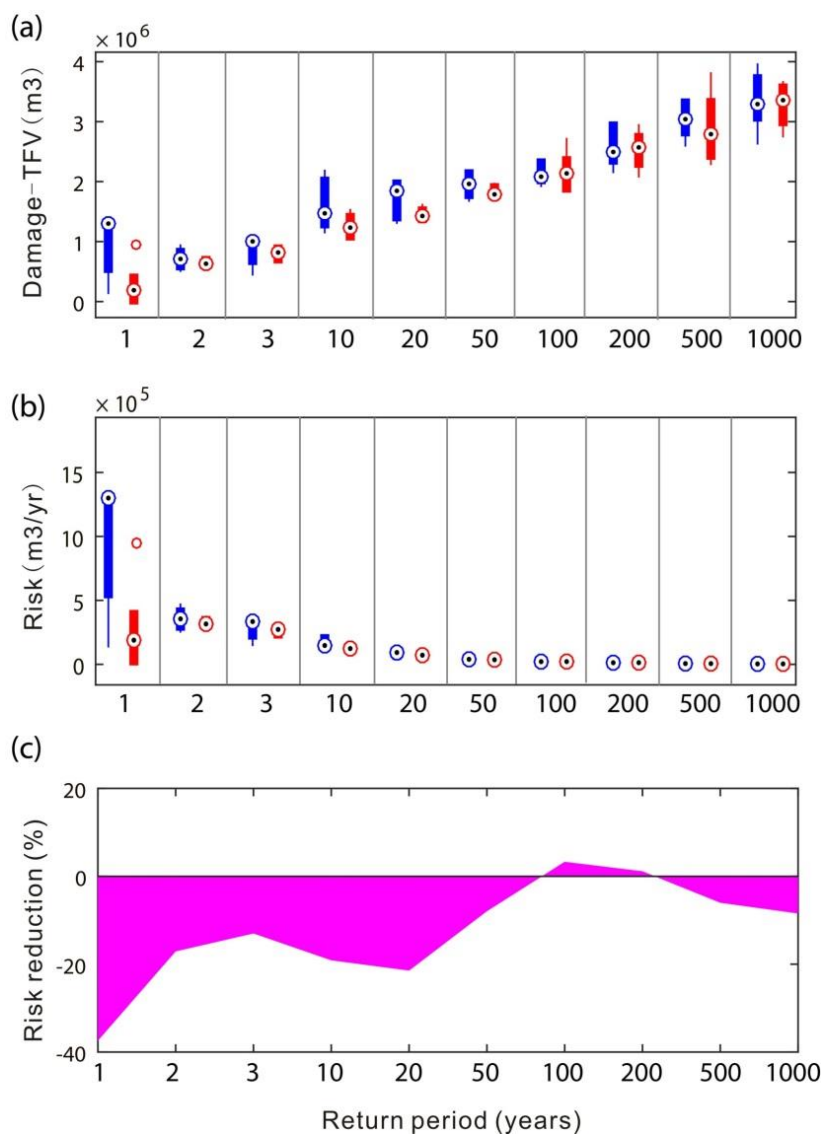
611

612

613

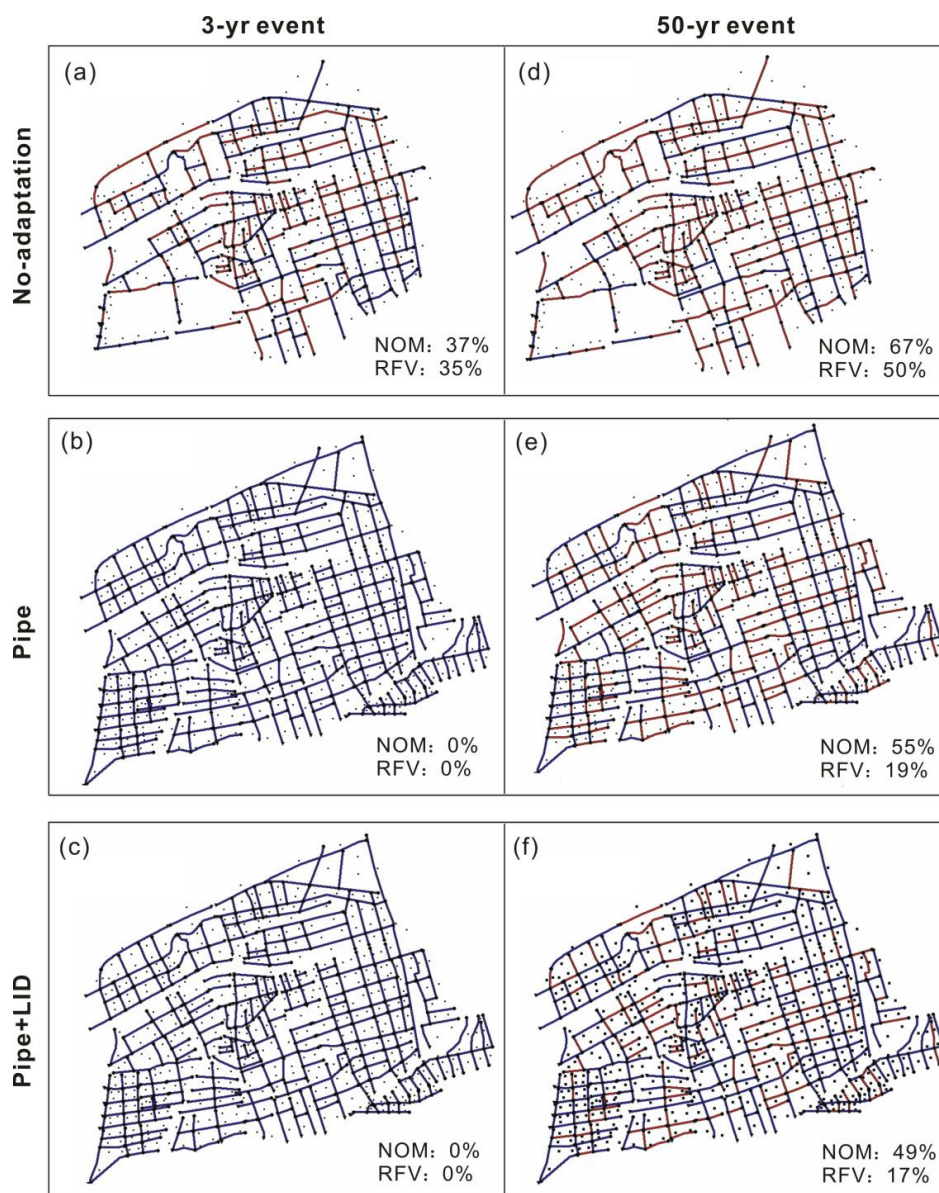
614

615



616  
 617 **Figure 4** Comparison of flood damage (a) and risk (b) with changes in precipitation intensity of  
 618 various return periods under RCP8.5 (blue) and RCP2.6 (red), and the avoided impacts (i.e.  
 619 benefits of climate mitigation) in terms of risk reductions under RCP2.6 relative to RCP8.5 (c).

620

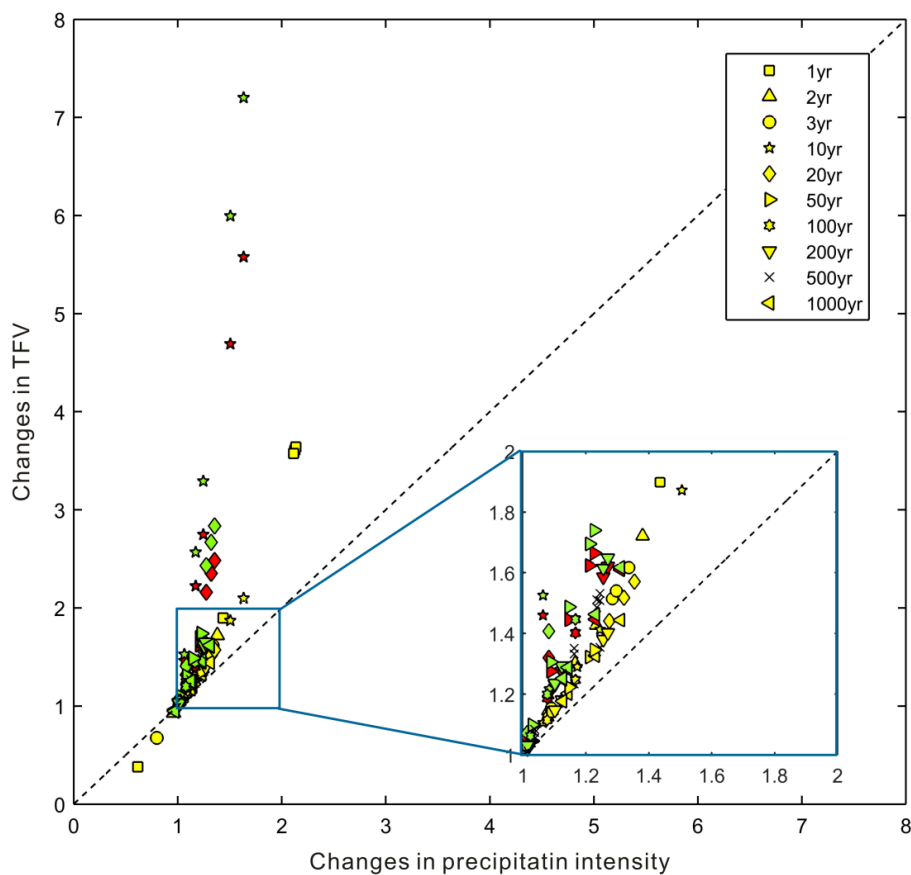


621

622 **Figure 5** Spatial distribution of overloaded pipelines (red colour) induced by the current 3-yr (left  
 623 column) and 50-yr extreme events (right column) without and with adaptations. The total  
 624 percentage of overloaded manholes (POM) and ratio of flood volume (RFV) are summarized.

625

626



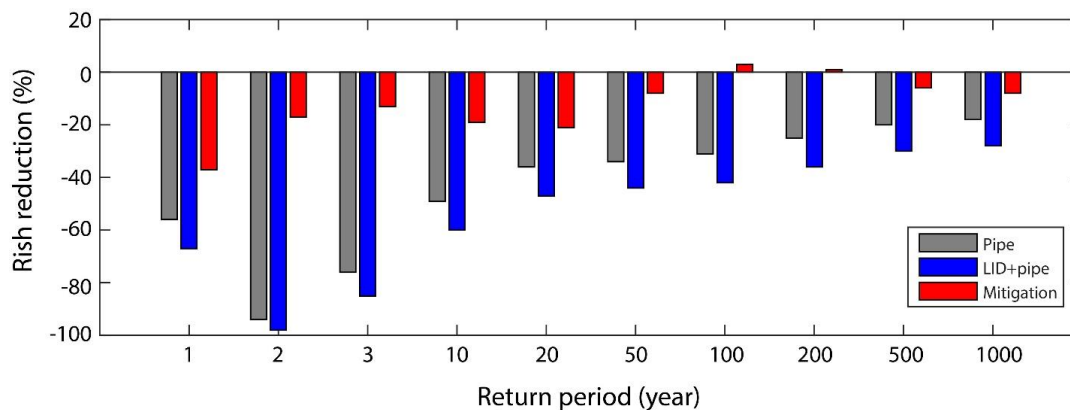
627

628 **Figure 6** Response of CTFV to changes in precipitation intensity under the current drainage  
629 system (yellow) and two adaptation scenarios (i.e. Pipe in red and Pipe+LID in green) at various  
630 return periods.

631

632

633



634

635 **Figure 7** Comparison of benefits of climate mitigation and two adaptation strategies in reducing  
636 urban flood risks with changes in precipitation intensities at various return periods.

637

638

639

640

641

642

643

644

645

646

647

648

649

650

651