

1 **Impacts of future climate change on urban flood risks: benefits of climate  
2 mitigation and adaptations [MS No.: hess-2016-369]**

3

4 **Responses to reviewer comments**

5 **REFEREE REPORT(S):**

6

7 **Anonymous Referee #1:**

8 The article by Zhou et al tackles a very topical issue in the field of flood risk assessment, which deals  
9 with climate change, mitigation and adaptation measures. The research question that the authors  
10 investigate is sound and meaningful, and it is particularly interesting as the benefits of adaptation and  
11 mitigation measures are evaluated numerically through a modelling framework (though their associate  
12 cost is not assessed). Now the bad news: the structure of the article is sometimes not so clear, due to  
13 missing links, lack of details in the methods, questionable assumptions and unclear interpretation of  
14 results. Also, the use of English, although sufficient, is sometimes sub-optimal, and could do with a  
15 revision by a native speaker. Please pay careful attention to the use of prepositions and of the “s” for  
16 plurals. I found a number of mistakes and inappropriate use. Nonetheless, I think that the article had good  
17 potential for being published, provided that the following comments are adequately addressed. Please pay  
18 special attention to the general comments, where substantial work is needed to improve parts of the  
19 description of methods, assumptions and evaluation of results.

20 **Response:** *We greatly appreciate the reviewer for the constructive comments and suggestions to improve  
21 our manuscript. In the revision, we have 1) added more details on the datasets and methods, 2) added  
22 more discussions on the assumptions and limitations, 3) modified the relevant statements and figures  
23 which are unclear or inaccurate, 4) invited a native speaker to proof-read the paper. More details of our  
24 responses to each comment are provided as follows.*

25 *Note: the line numbers as mentioned in the response below refer to those in the cleaned version of  
26 manuscript.*

27

28 **General comments**

29 L 131-146: I would like to see some comments by the authors on the suitability of CMIP5 data for studies  
30 on urban flooding. Given the coarse resolution of CMIP5 (as they are global models), I'm sure that the  
31 entire study region is considerably smaller than 1 model grid cell. This poses some questions on how well  
32 extreme precipitation for modeling urban flooding is adequately represented by such datasets, given that  
33 such models are not able to represent local and short-lived storms commonly inducing flooding in small  
34 catchments. Intuitively one would say that downscaled projections with high resolution would be more  
35 suitable for this work, though that clearly depends on the data availability. Perhaps the authors can  
36 comment on that.

37 **Response:** *Thanks for the comments. As pointed out by the reviewer, bias would exist in global climate  
38 model (GCM) simulations especially at the local and regional scales. An alternative approach is to  
39 simulate the future climate using regional climate model (RCM) nested within a GCM. Such climate  
40 projections by RCM have added value in terms of higher spatial resolution which can provide more  
41 detailed regional information. However, various level of bias would still remain in RCM simulations  
42 (Teutschbein and Seibert 2012) and bias correction of RCM projections are required, e.g. the European  
43 project ENSEMBLES (Hewitt and Griggs 2004; Christensen et al. 2008). To run regional climate model  
44 is not within the scope of this study. Instead, we tend to use publicly available climate projection dataset.  
45 Here, we obtain climate projections from the ISI-MIP (Warszawski et al. 2014), which provides spatially-  
46 downscaled climate data for impact models. The climate projections were also bias-corrected against  
47 observations (Hempel et al. 2013) and have been widely used in climate change impact studies on  
48 hydrological extremes such as floods and droughts (e.g. Dankers et al. 2014; Prudhomme et al. 2014;  
49 Giuntoli et al. 2015; Leng et al. 2015).*

50 *It should be noted that we used the delta change factor to derive the climate scenarios as inputs into our  
51 flood drainage model instead of using the climate projections directly. Specifically, we calculate the  
52 change factor between current and future climate projection simulated by GCMs and multiply them to  
53 observed time series to derive future climate scenario into our flood drainage model. This is because the  
54 relative climate change signal simulated by GCMs are argued to be more reliable than the simulated  
55 absolute values (Ho et al. 2012). What's more, we use an ensemble of GCM simulations rather than one  
56 single climate model in order to characterize the uncertainty range arising from climate projections.*

57 *In the revision, we have added more discussions on this (Lines 388-420).*

58 **Reference**

59 Warszawski, L., Frieler, K., Huber, V., Piontek, F., Serdeczny, O., & Schewe, J. (2014). *The inter-sectoral*  
60 *impact model intercomparison project (ISI-MIP): project framework*. *Proceedings of the National*  
61 *Academy of Sciences*, 111(9), 3228-3232.

62 Dankers, R., Arnell, N. W., Clark, D. B., Falloon, P. D., Fekete, B. M., Gosling, S. N., ... & Stacke, T.  
63 (2014). *First look at changes in flood hazard in the Inter-Sectoral Impact Model Intercomparison Project*  
64 *ensemble*. *Proceedings of the National Academy of Sciences*, 111(9), 3257-3261.

65 Prudhomme, C., Giuntoli, I., Robinson, E. L., Clark, D. B., Arnell, N. W., Dankers, R., ... & Hagemann, S.  
66 (2014). *Hydrological droughts in the 21st century, hotspots and uncertainties from a global multimodel*  
67 *ensemble experiment*. *Proceedings of the National Academy of Sciences*, 111(9), 3262-3267.

68 Leng, G., Tang, Q., & Rayburg, S. (2015). *Climate change impacts on meteorological, agricultural and*  
69 *hydrological droughts in China*. *Global and Planetary Change*, 126, 23-34.

70 Giuntoli, I., Vidal, J. P., Prudhomme, C., Hannah, D. M. (2015). *Future hydrological extremes: the*  
71 *uncertainty from multiple global climate and global hydrological models*. *Earth System Dynamics*, 6(1),  
72 267.

73 Teutschbein, C., & Seibert, J. (2012). *Bias correction of regional climate model simulations for*  
74 *hydrological climate-change impact studies: Review and evaluation of different methods*. *Journal of*  
75 *Hydrology*, 456, 12-29.

76 Hempel, S., Frieler, K., Warszawski, L., Schewe, J., & Piontek, F. (2013). *A trend-preserving bias*  
77 *correction—the ISI-MIP approach*. *Earth System Dynamics*, 4(2), 219-236.

78 Christensen, J. H., Boberg, F., Christensen, O. B., & Lucas-Picher, P. (2008). *On the need for bias*  
79 *correction of regional climate change projections of temperature and precipitation*. *Geophysical*  
80 *Research Letters*, 35(20).

81 Hewitt, C. D., and D. J. Griggs (2004), *Ensembles-based predictions of climate changes and their*  
82 *impacts*, *Eos Trans. AGU*, 85, 566.

83 Ho, C. K., Stephenson, D. B., Collins, M., Ferro, C. A., & Brown, S. J. (2012). *Calibration strategies: a*  
84 *source of additional uncertainty in climate change projections*. *Bulletin of the American Meteorological*  
85 *Society*, 93(1), 21.

86

87 L 169-182: I suggest expanding this section as I think there are some unclear points which prevents the  
88 reader from understanding some modeling steps, underlying assumptions, as well as from making the  
89 approach reproducible. For example, is  $q$  in eq. 1 the peak intensity? Which is the temporal resolution  
90 considered? Most climate datasets have 1 day as highest temporal resolution, but that would probably be  
91 rather coarse for urban flooding applications. How are then the hyetographs calculated from the  $q$ ? Is it a  
92 simple rescaling based on their peak, keeping the same shape? Also, I see a lack of information on how

93 climatic data is handled statistically to estimate storms/volumes with selected return period between 1 and  
 94 1000 years. For example, I see that the considered period for assessing future scenarios is 2020-2040,  
 95 hence 21 years of data. Does it mean that return periods in the order of 1000 years are estimated from 21  
 96 years of data? Could the authors clarify on this? Can they provide ranges of uncertainty due to the  
 97 undersampling of the climate variability in such long periods? Also, this should be mentioned in Sect. 4  
 98 as a further uncertainty source. Final comment is about eq. 1: could you briefly comment on how the  
 99 parameters A, b, c, D are valid under a non-stationary climate? 4 parameters and just 2 variables sounds a  
 100 lot for an empirical formula.

101 **Response:** *Thanks for the comments. In this study, we adopt the storm intensity formula (SIF) to derive*  
 102 *the precipitation input into our drainage model. Application of the SIF is a standard practice for*  
 103 *determining design rainfalls in urban drainage modelling in China, and is well documented in the*  
 104 *National Guidance for Design of Outdoor Wastewater Engineering (MOHURD, 2011). Specifically, the*  
 105 *SIF represents an Intensity-Duration-Frequency (IDF) relationship, which is a common approach in*  
 106 *literature for estimating design rainfall hydrographs using the Chicago Design Storms (CDS) approach*  
 107 *(Berggren et al., 2014; Cheng and AghaKouchak, 2014; Panthou et al., 2014; Willems, 2000; Zhou et al.,*  
 108 *2012). More details can refer to Smith (2004) for the derivation of CDS from an IDF relationship. In*  
 109 *China, the procedure for applying SIF to obtain CDS is outlined in the National Technical Guidelines for*  
 110 *Establishment of Intensity-Duration-Frequency Curve and Design Rainstorm Profile (MOHURD, 2014)*  
 111 *and have been well adopted for Chinese urban drainage designs (Wu et al., 2016; Yin et al., 2016; Zhang*  
 112 *et al., 2008; Zhang et al., 2015). Therefore, the method for using the SIF to generate CDS design storms*  
 113 *for our SWMM modelling study is reproducible and valid for drainage modelling.*

114 *The technical details of SIF and derivation of CDS rainfall are given as follows. As shown in the*  
 115 *Equation 1, the  $q$  is the average rainfall intensity,  $t$  is the storm duration and  $P$  is the design return*  
 116 *period. The typical temporal resolution in SIF is minutes for urban drainage modelling. A, b, c and D are*  
 117 *the regional parameters governing the IDF relations among rainfall intensity, return period and storm*  
 118 *duration. For a given return period, the SIF can be fitted into the Horner's equation (2004) as shown in*  
 119 *Equation 2:*

$$q = \frac{A(1 + D \lg(P))}{(t + b)^c} \quad \text{Eq. (1)}$$

$$i = \frac{a}{(t + b)^c} \quad \text{Eq. (2)}$$

120 The synthetic hyetograph based on the Chicago method is computed using Equation 2 and an additional  
 121 parameter  $r$  (where  $0 < r < 1$ ) which determines the relative time step of the peak intensity,  $t_p=r*t$ . The  
 122 time distribution of rainfall intensity is described after the peak  $t_a = (1-r)*t$  and before the peak  $t_b=r*t$  by  
 123 Equation (3) and (4), respectively. Specially,  $i_b$  is the instantaneous rainfall intensity before the peak, and  
 124  $i_a$  is the instantaneous rainfall intensity after the peak.

$$i_a = \frac{a[\frac{(1-c)t_a}{(1-r)} + b]}{(\frac{t_a}{(1-r)} + b)^{1+c}} \quad \text{Eq. (3)}$$

$$i_b = \frac{a[\frac{(1-c)t_b}{r} + b]}{(\frac{t_b}{r} + b)^{1+c}} \quad \text{Eq. (4)}$$

125 In this study, we considered 10 return periods, i.e., the 1-, 2-, 3-, 10-, 20-, 50-, 100-, 200-, 500-, and  
 126 1000-year events. A 4-hour rainfall time series was generated for each return period at 10-minute  
 127 intervals based on Equations 1–4. The  $A$ ,  $b$ ,  $c$  and  $D$  parameters governing the SIF shape were obtained  
 128 from the local weather bureau, which fits the historical precipitation distribution for the study region. In  
 129 the revision, we have added more details about the methods (Lines 189–220).

130 As for the generation of future climate scenarios, we first calculate the change factor for each return  
 131 period. Specifically, for each year, the annual maximum daily precipitation was determined for both  
 132 historical and future periods. Then, the generalized extreme value (GEV) distribution is fitted separately  
 133 to the two sets of daily values (Coles 2001; Katz et al. 2002). The goodness of fit was tested by  
 134 calculating the Kolmogorov–Smirnov and Anderson–Darling statistics. The value corresponding to each  
 135 return period is derived based on the GEV distribution and the changes between future and historical  
 136 periods are calculated as the change factors (as shown in Table 2 in the text). The change factor for each  
 137 return period is then multiplied to the historical design CDS rainfall time series to derive future climate  
 138 scenarios for the model. We acknowledge that to estimate the changes in extreme precipitation events  
 139 involves inevitable uncertainties especially for return periods beyond the length of the data, e.g. 1000yrs  
 140 as pointed by the reviewer. Hence, caution should be exercised when interpreting the results for return  
 141 levels beyond the data length. However, we'd like to mention that “return period” is intrinsically a  
 142 statistical measurement derived based on probability density function (PDF) of historical data in  
 143 extended period. That is, it represents a recurrence interval which is an estimate of the likelihood of an  
 144 event (in our case, a flood) indicated by the PDF. Depending on the historical period used, the return  
 145 period could vary if the time series is not stationary. Nevertheless, a 1000-year return period can be  
 146 derived from 21-year time series based on its definition by using a PDF. We have added discussions on

147 *this in the revision. We agree that climate variability range would be under-sampled, although five*  
148 *climate models are used to show the possible ranges. In the revision, we have added discussions on this in*  
149 *the revision (Lines 222-233; 416-420).*

150 *The parameters A, b, c, D are derived from sub-hourly rainfall data and provided by local weather*  
151 *bureau. The four parameters which describe the Intensity-Duration-Frequency (IDF) relationship in the*  
152 *study region are assumed to be constant without considering its non-stationary features in a changing*  
153 *climate. To derive the parameter in the future period requires hourly precipitation data, which are not*  
154 *readily available. Hence, the IDF relationship is assumed to remain stable in the future and only changes*  
155 *in the daily mean intensity are considered. Given the above limitations, we acknowledge that our*  
156 *modeling results mainly represent the first-order potential climate change impacts on urban floods.*  
157 *Future efforts should be devoted to the representation of dynamic rainfall changes at hourly time step*  
158 *taking into account of non-stationary climate change. We have added more discussions on this in the*  
159 *revised manuscript (Lines 414-420).*

160 **Reference:**

161 *Berggren, K., Packman, J., Ashley, R., and Viklander, M.: Climate changed rainfalls for urban drainage*  
162 *capacity assessment, Urban Water Journal, 11, 543-556, 10.1080/1573062X.2013.851709, 2014.*

163 *Cheng, L. Y., and AghaKouchak, A.: Nonstationary Precipitation Intensity-Duration-Frequency Curves*  
164 *for Infrastructure Design in a Changing Climate, Scientific Report, 4, 10.1038/srep07093, 2014.*

165 *Coles S (2001) An Introduction to Statistical Modeling of Extreme Values, Springer Series in Statistics*  
166 *(Springer, London).*

167 *Katz RW, Parlange MB, Naveau P (2002) Statistics of extremes in hydrology. Adv Water Resour 25(8-*  
168 *12):1287–1304*

169 *MOHURD: AQSIQ. Code for Design of Outdoor Wastewater Engineering (GB 50014-2006), Ministry of*  
170 *Housing and Urban-Rural Development, General Administration of Quality Supervision, Inspection and*  
171 *Quarantine of the People's Republic of China: Beijing, China (In Chinese), 2011.*

172 *MOHURD: Technical Guidelines for Establishment of Intensity-Duration-Frequency Curve and Design*  
173 *Rainstorm Profile (In Chinese), Ministry of Housing and Urban-Rural Development of the People's*  
174 *Republic of China and China Meteorological Administration. Accessed on November 2016 from*  
175 *<http://www.mohurd.gov.cn/wjfb/201405/W020140519104225.pdf>, 2014.*

176 *Panthou, G., Vischel, T., Lebel, T., Quantin, G., and Molinie, G.: Characterising the space-time structure*  
177 *of rainfall in the Sahel with a view to estimating IDAF curves, Hydrology and Earth System Sciences, 18,*  
178 *5093-5107, 10.5194/hess-18-5093-2014, 2014.*

179 *Smith, A. A.: MIDUSS Version 2, Reference Manual, Version 2.00 Rev2.00., Alan A. Smith Inc., Dundas,*  
180 *Ontario, Canada, 2004.*

181 *Willems, P.: Compound intensity/duration/frequency-relationships of extreme precipitation for two*  
182 *seasons and two storm types, Journal of Hydrology, 233, 189-205, [http://dx.doi.org/10.1016/S0022-1694\(00\)00233-X](http://dx.doi.org/10.1016/S0022-1694(00)00233-X), 2000.*

184 *Wu, H., Huang, G., Meng, Q., Zhang, M., and Li, L.: Deep Tunnel for Regulating Combined Sewer*  
185 *Overflow Pollution and Flood Disaster: A Case Study in Guangzhou City, China, Water, 8, 329, 2016.*

186 *Yin, J., Yu, D. P., Yin, Z., Liu, M., and He, Q.: Evaluating the impact and risk of pluvial flash flood on*  
187 *intra-urban road network: A case study in the city center of Shanghai, China, Journal of Hydrology, 537,*  
188 *138-145, 10.1016/j.jhydrol.2016.03.037, 2016.*

189 *Zhang, Y.-q., Lv, M., and Wang, Q.-g.: Formula method design of drainage pipe network and analysis of*  
190 *model simulation, Water Resour. Power, 33, 105-107, 2015.*

191 *Zhang, D., Zhao, D. q., Chen, J. n., and Wang, H. z.: Application of Chicago approach in urban drainage*  
192 *network modeling, Water & Wastewater Engineering, 34, 354-357, 2008.*

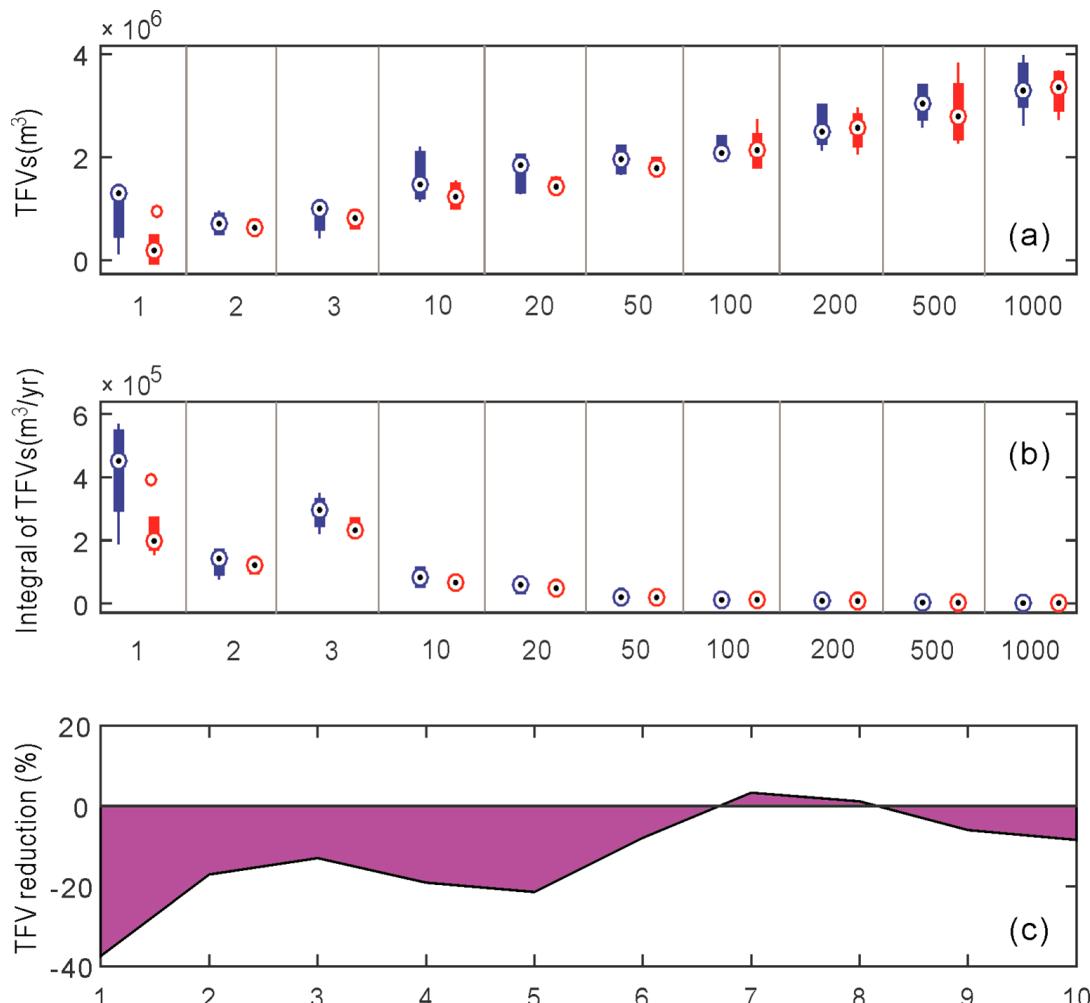
193 *Zhou, Q., Mikkelsen, P. S., Halsnaes, K., and Arnbjerg-Nielsen, K.: Framework for economic pluvial*  
194 *flood risk assessment considering climate change effects and adaptation benefits, Journal of Hydrology,*  
195 *414, 539-549, 10.1016/j.jhydrol.2011.11.031, 2012.*

196

197 L235-250: Despite the authors' efforts to link the flood volume with flood risk and damage, I find  
198 inappropriate to call results in Figure 4 as "risk" and "damage". There is clearly a missing step in linking  
199 flood volume with some socio-economic indicator on the impact of floods. This also results in a biased  
200 evaluation of what is called "flood risk", which suggests in Figure 4 that the largest contribution is given  
201 by floods with 1-2 year return period. In reality, it may well be that a single 100-year flood induces a  
202 damage which is larger than 100 1-year floods. For this reason, I do not agree with the statement in lines  
203 239-242. The authors should definitely clarify this part and spend some words on what are the  
204 consequences of their assumptions, if that is retained at all. In addition, the authors should clarify the  
205 relations between Fig 4a and 4b. I have the feeling that values in 4b are simply obtained by dividing  
206 numbers in 4a by their theoretical expected annual frequency indicated below each column. This would  
207 be incorrect as in this way you would be double counting all probabilities smaller than each considered  
208 class. You should instead apply the formula for piece-wise integral of flood damage versus the expected  
209 frequency of each class, hence considering the width of each bar (e.g., for the second column is 1/2-1/3,  
210 for the third one is 1/3 -1/10 and so forth).

211 **Response:** Thanks for the comments. We agree with the reviewer that results in Figure 4 refer to the flood  
 212 volume rather than “damage” or “risk” due to the missing linkage to the socio-economic conditions. We  
 213 also agree that a single 100-year flood event could have larger impacts than 100 1-year floods. In the  
 214 revision, we have deleted the word “damage” or “risk” throughout the manuscript and revised the  
 215 statements and other relevant statements accordingly. The original Figure 2 which is used to illustrate  
 216 the conceptual flood risks has also been revised.

217 Following the suggestion by the reviewer, we have revised the Figure 4b to show the piece-wise integral  
 218 of flood volume corresponding to each frequency class (e.g. the width of first class is 1/1-1/2 and so  
 219 forth).



220  
 221 **Figure 4** Comparison of (a) flood volume, (b) total TFVs (i.e., the piece-wise integral of flood volume  
 222 versus the expected frequency with changes in precipitation intensity of various return periods under  
 223 RCP8.5 (blue) and RCP2.6 (red). (c) is for the reduced TFVs in percentage (i.e., benefits of climate  
 224 mitigation) in RCP2.6 relative to RCP8.5 at various return periods.

225

226 L 265-286: I find this part rather difficult to understand and suggest the authors to clarify some points and  
227 describe more thoroughly Figure 6 and its usefulness. First, the way changes (CTFV) are defined is not  
228 intuitive, as it is now defined as a multiplicative factor. Changes should be  $CTFV = (TFVc - TFVnc) /$   
229  $TFVnc$ . Also, why the current system is less sensitive to climate change than the adapted system (l 268-  
230 269)? I'm a bit puzzled by seeing that small changes in the 10-year precipitation intensity lead up to a 7-  
231 fold increase in TFV under the case of adaptation. Does it mean 7 times worse conditions or simply that  
232 the adapted system can hold more water, also because the catchment area is larger? Then I get confused  
233 on the definition of TFV: is it the total volume or simply the excess volume after filling completely the  
234 pipes system? I thought it's the second option, but now I'm confused. Please clarify in sect. 2c. In both  
235 cases it's difficult to assess how worse the conditions (i.e., the damage) would be under larger TFV in the  
236 adapted system, though I think a graph with such information is currently missing and could be added.  
237 Finally, please avoid 4 decimals in numbers at lines 270-271; 2 decimal digits are surely enough.

238 **Response:** *Thanks for the comments. We are sorry for the confusion. The TFV is defined as the total  
239 volume flooded from manholes without taking into account the outlet discharges, i.e., excess water from  
240 manholes after completely filling the pipe system. As pointed out by the reviewer, the current drainage  
241 system is less sensitive to climate change. This is because the capacity of current drainage system is  
242 small, i.e. the excess water after filling completely the pipe system (i.e., TFVnc) is large. Given extreme  
243 rainfall events, the current system would be flooded completely, thus exhibiting less sensitivity to larger  
244 extreme rainfall events in the future. Therefore, the magnitude of changes in excess flood volume is  
245 smaller in the current system than the adapted system due to its large value of denominator in the  
246 calculation of CTFV ( $CTFV = (TFVc - TFVnc) / TFVnc$ ).*

247 *In order to better clarify this point, we have provided a table below summarizing the flood volumes of  
248 current and adapted drainage systems, with and without climate change. It is evident that for the present  
249 time, the flood volume of the adapted systems are much smaller than that in the current system due to  
250 capacity upgrades in the adapted systems to hold more water. For example, when experiencing a 10-year  
251 extreme rainfall event, the urban flood volumes for the present period (i.e., TFVnc) are 1041,230,  
252 274,650 and 180,610 m<sup>3</sup> in the current and two adapted systems (highlighted in blue), respectively, while  
253 in the future period, the magnitude of flood volume (i.e., TFVc, highlighted in yellow) is relatively similar  
254 among the three drainage systems. Therefore, future CTFVs relative to the historical period are much  
255 larger in the adapted systems than in the current system. Mathematically, the low sensitivity of the  
256 current drainage system to changes in extreme rainfall intensity could be attributed to the large value of  
257 the denominator in the calculation of CTFV.*

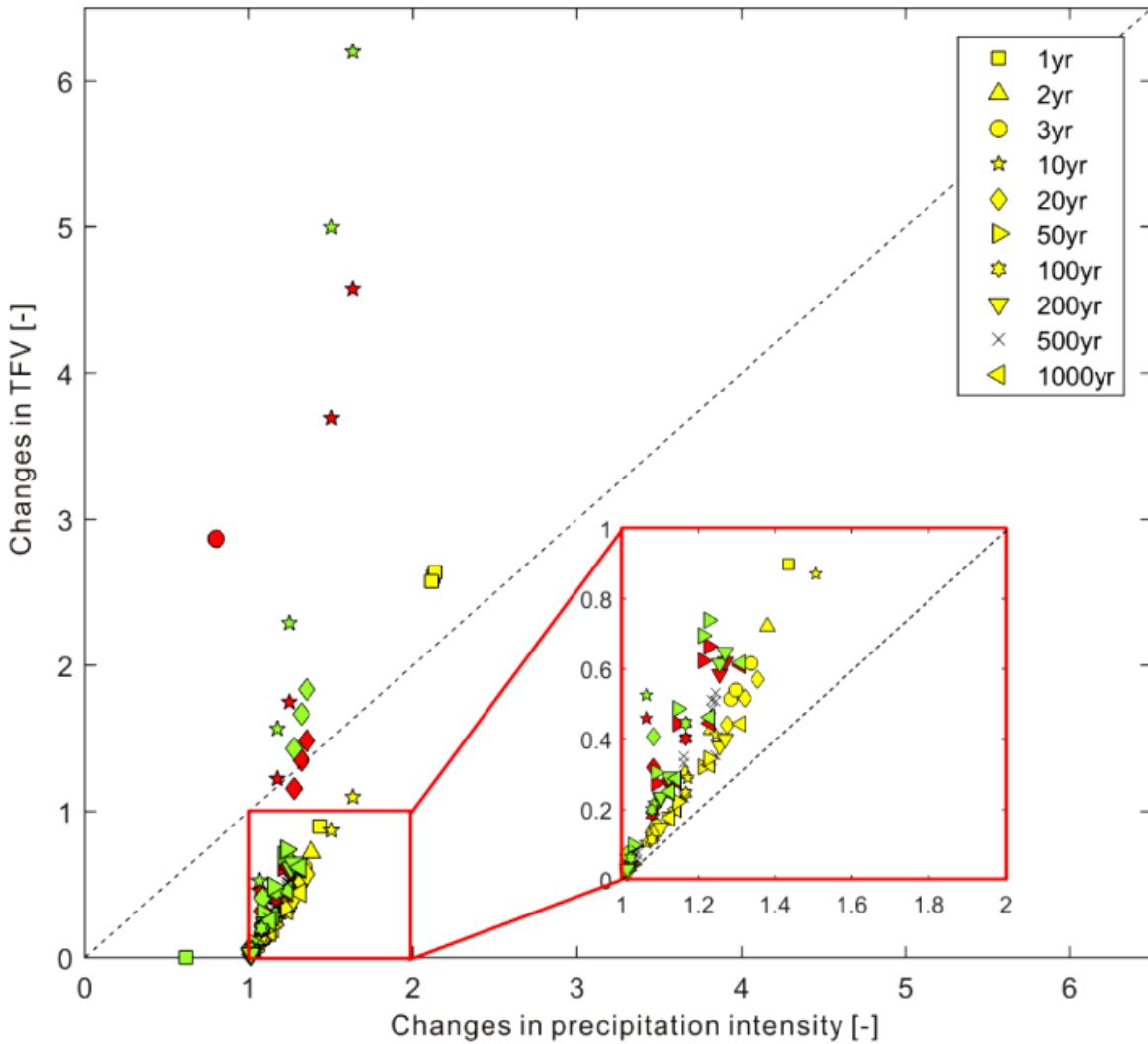
258 In the revision (Lines 175-177, 326-357), we have 1) clarified the definition of TFV; 2) re-defined  
 259  $CTFV=(TFV_c-TFV_{nc})/TFV_{nc}$  following the suggestion, and updated Figure 6 accordingly (see Figure 6  
 260 below); 3) added more discussions on projected changes on TFV; 4) used 2 decimal digits for the  
 261 numeric results throughout the text. Based on the suggested formula, the calculated CTFV for the three  
 262 systems are 0.41, 1.75 and 2.29, respectively. The larger CTFVs in the adapted systems does not mean the  
 263 worsened conditions. Rather, it indicates that the capacity (i.e., service level) of adapted system tends to  
 264 become lower with climate changes while the current system has already reached its peak capacity in the  
 265 present period and thus shows small sensitivity to climate change.

266

267 **Table S1:** TFVs of current and adapted systems with and without climate changes

Return period	1	2	3	10	20	50	100	200	500	1000	
Current system	NC	363434	545594	662399	1041230	1280598	1604223	1855559	2113083	2464388	2740033
	C1	1311483	779030	1070807	1471180	1845707	2120890	2081960	2494516	3337794	3635804
	C2	138358	625172	763944	1151120	1309407	1676813	2313744	2916433	3302794	3292205
	C3	689945	710016	1003205	1343650	1447074	1819748	1922111	2424542	2907221	3224196
	C4	1322311	939202	1020153	1948310	1942896	2158862	2312024	2961595	3040893	3957185
	C5	1299874	508016	447533	2184984	2011414	1961587	2068387	2155563	2598096	2631549
Pipe	NC	0	0	0	274650	545548	902639	1191761	1454490	1825663	2107541
	C1	579100	66820	307628	754782	1177608	1465530	1424433	1853479	2753620	3048692
	C2	0	14683	58510	400927	576342	988731	1672038	2305916	2711960	2700636
	C3	30911	39643	236010	610572	720015	1151135	1260383	1791006	2295501	2631907
	C4	586820	175700	254039	1287942	1283153	1502586	1670054	2356962	2432769	3392554
	C5	564627	1288	647	1531861	1355232	1304201	1413665	1500109	1960429	1999834
Pipe+LID	NC	0	0	0	180610	403742	735983	994636	1239575	1571403	1833913
	C1	435235	31853	205783	594395	981183	1247661	1207291	1602282	2407278	2683353
	C2	0	4374	27315	275503	432434	808381	1439073	2002787	2375242	2362011
	C3	10832	13901	152559	463675	568173	960769	1056741	1531386	1993485	2295640
	C4	442271	106856	165356	1082850	1077049	1280177	1437899	2042621	2123354	2966933
	C5	423441	723	536	1300494	1145087	1094680	1193045	1277930	1703625	1738962

268



269

270 **Figure 6** Future changes in flood volumes (CTFVs) relative to historical conditions under the current  
 271 drainage system (yellow) and two adaptation scenarios (i.e., Pipe in red and Pipe+LID in green) at  
 272 various return periods.

273

## 274 Specific comments

275 L 31: given the delay between submission and publishing I suggest removing “current” from the text.  
 276 Same for line 81.

277 **Response:** *Done.*

278 L 32: I suggest removing “existing” in favor of “past”, “recent,” “literature” or similar

279 **Response:** *Done.*

280 L 40: “Based on the results” → “Results indicates that”

281 **Response:** *Done.*

282 L45: This is an outcome of your research, hence I would not say it is “obvious” but rather something like  
283 “very likely” or “results clearly indicates::” or similar.

284 **Response:** *Thanks for the suggestion. We have revised it to “results clearly indicate”*

285 L 46: “greenhouse gas emissions”

286 **Response:** *Done.*

287 L 62: The sentence is not clear. Please specify units of the change and in relation to what (e.g., flood  
288 peak, precipitation intensity?)

289 **Response:** *Thanks for the suggestion. We have revised this sentence to "30% and 40% increase in the  
290 precipitation intensity is expected for the 10- and 100-year return periods" (Lines 64-66).*

291 L 66-69 is again not clear. E.g., non-stationary changes reads awkward. Also, what do you mean by future  
292 hydroclimate?

293 **Response:** *Thanks for the suggestion. We have revised this sentence to “Therefore, it is important to  
294 investigate the performance of drainage systems in a changing environment and to assess the potential  
295 urban flooding under various scenarios to achieve better adaptations” (Lines 69-72).*

296 L71-77: As the article has a strong focus on mitigation and adaptation I suggest adding some relevant  
297 references in those areas. See the work by (Alfieri et al., 2016; Arnbjerg-Nielsen et al., 2015; Moore et  
298 al., 2016; Poussin et al., 2012) among others. The few ones currently listed in the article are somehow  
299 hidden in the conclusions.

300 **Response:** *Thanks for the suggestion. We have expanded literature review and incorporated the  
301 suggested references in the revision (Lines 80-83).*

302 *References*

303 Alfieri, L., Feyen, L. and Baldassarre, G. D.: *Increasing flood risk under climate change: a pan-  
304 European assessment of the benefits of four adaptation strategies*, *Clim. Change*, 136(3), 507–521,  
305 doi:10.1007/s10584-016-1641-1, 2016.

306 Arnbjerg-Nielsen, K., Leonardsen, L. and Madsen, H.: *Evaluating adaptation options for urban flooding  
307 based on new high-end emission scenario regional climate model simulations*, *Clim. Res.*, 64(1), 73–84,  
308 doi:10.3354/cr01299, 2015.

309 Moore, T. L., Gulliver, J. S., Stack, L. and Simpson, M. H.: *Stormwater management and climate change:  
310 vulnerability and capacity for adaptation in urban and suburban contexts*, *Clim. Change*, 138(3–4), 491–  
311 504, doi:10.1007/s10584-016-1766-2, 2016.

312 Poussin, J. K., Bubeck, P., H. Aerts, J. C. J. and Ward, P. J.: *Potential of semi-structural and non-  
313 structural adaptation strategies to reduce future flood risk: Case study for the Meuse*, *Nat. Hazards Earth  
314 Syst. Sci.*, 12(11), 3455–3471, doi:10.5194/nhess-12-3455-2012, 2012.

315

316 L136-137: the sentence is currently hard to read. Please reformulate.

317 **Response:** *Done.*

318 L 140-144: The sentence is rather misleading, first because there is now a wealth of studies using  
319 ensembles of several GCMs, and second because “all five GCMs” sounds like if there were only five,  
320 while CMIP5 includes way more than that.

321 **Response:** *Thanks for the suggestion. In the revision, we have deleted the statement “Unlike most*  
322 *previous studies that only used data from one or two GCM in climate change impact studies on urban*  
323 *floods”.*

324 L 151: Rainfall is a climatic data. Please clarify.

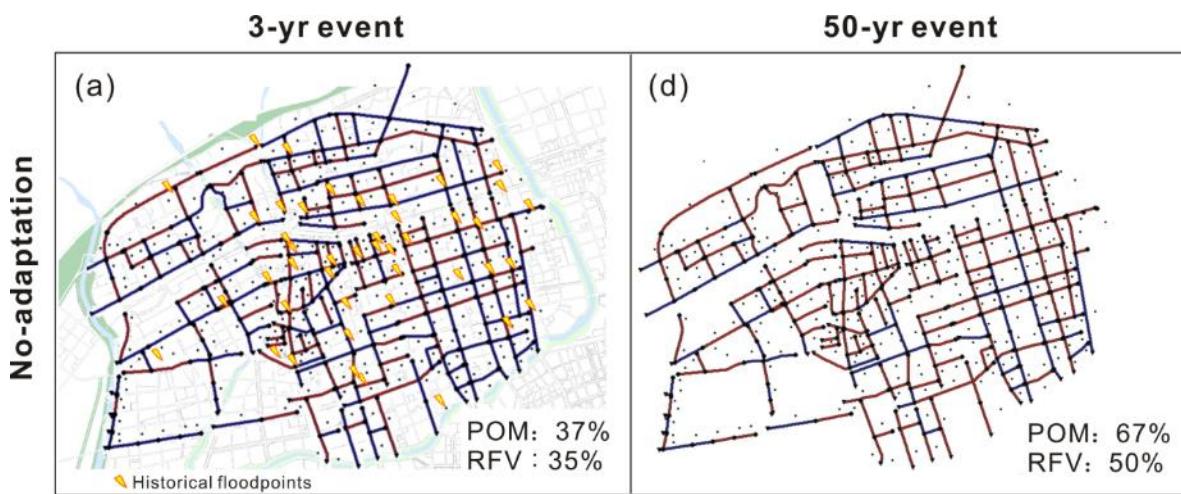
325 **Response:** *Done.*

326 L 176: there are -> we considered

327 **Response:** *Corrected.*

328 L 181-182: This sentence should be supported by data, graphs or a reference to publications showing the  
329 validation work against historical records.

330 **Response:** *Thanks for the suggestion. In the revision, we have updated Figure 5a (attached below) by*  
331 *adding a graph on the city land use condition (e.g., green spaces and traffic network) and records of*  
332 *historical flood locations obtained from local water authorities. It is shown that the simulated locations of*  
333 *overloaded pipelines are in good agreement with historical records of flood points.*



334

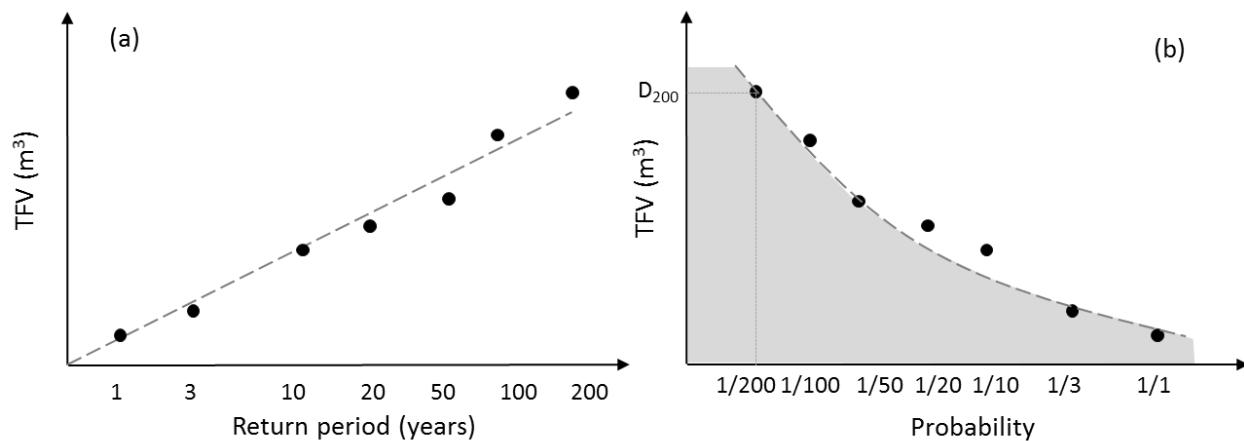
335 **Figure 5** Spatial distribution of overloaded pipelines (red colour) induced by the 3-year (left column)  
336 and 50-year extreme events (right column) without and with adaptations. The total percentage of  
337 overloaded manholes (POM) and ratio of flood volume (RFV) are summarised for each scenario.

338 Descriptions of local land use, mainly the traffic network and green spaces, are provided as the  
339 background image in (a).

340

341 L 186-191: This part is difficult to read and understand. Please clarify and add some detail on how the  
342 TFV – return period relationship was derived. Figure 2 currently doesn't help a lot as it is too general,  
343 with no units nor tick marks. For example, if it the grey area is meant to indicate those events that  
344 contribute the most to the annual damage, then it should take at least 50% of the area under the curve in  
345 Figure 2, as its integral is proportional to the total flood risk.

346 **Response:** Thanks for the suggestion. As responded to the third general comment, we have revised these  
347 sentences to make it clearer and concise (Lines 236-247). Figure 2 is also updated following the  
348 suggestions:



349

350 **Figure 2** Illustration of flood volume and average total expected total flood volumes (TFVs) as a  
351 function of return period under a stationary drainage system. The grey area denotes the average total  
352 expected TFVs per year considering all kinds of floods.

353

354 L 191- 195: This statement indicates a strong assumption which is not justified at this stage and sounds  
355 like a speculation. Perhaps the authors want to introduce what is later on indicated by their findings, but I  
356 think at this point this is unjustified, unless the point is supported by stronger evidence and/or some  
357 references.

358 **Response:** Thanks for the comment. In the revision, we have revised the relevant descriptions (Lines 242-  
359 247).

360

361 L204-205: What is the extent of the enhancement of pipeline diameters in the adapted scenario? I couldn't  
362 find it anywhere in the text.

363 **Response:** Thanks for the comment. The number of pipelines of the present-day and adapted systems was  
364 323 and 488, with a total pipe length of 251.6 km and 375.4 km, respectively. In the adapted scenarios,

365 *the mean pipeline diameter was about 1.73 m, which increased by 53% compared to that of the present-*  
366 *day system. We have clarified this in the revision (Lines 255-258).*

367 L230-231: Is this 52% a simple average of the percent changes shown in Figure 3? Then I suggest to  
368 clarify, as it doesn't necessarily mean the overall projected change in flood risk.

369 **Response:** *Thanks for the comments. In the revision, we have added more details on the changes, rather*  
370 *than showing the overall average value (Lines 289-292).*

371 L 254: More correctly “10 magnitudes of rainfall events”.

372 **Response:** *Corrected.*

373 L 263: 19% should be 49%.

374 **Response:** *Corrected.*

375 L 332-333: Not just uncertainties but modeling assumptions as well.

376 **Response:** *Thanks for the suggestion. We have added more discussions on the assumptions and*  
377 *limitations in the revision (Lines 414-462).*

378 L 328-329: That's true but perhaps out of the scope of this article, as anyways there is no real damage  
379 model to evaluate economic flood losses.

380 **Response:** *Thanks for the comment. Yes, flood damage is not addressed in this study. We have revised the*  
381 *relevant terms and descriptions in the revision.*

382 L 358-363: Following the discussions above one should be careful in calling these numbers “flood risk”.  
383 Please adapt according to the indications in the discussion points above.

384 **Response:** *Thanks for the suggestion. We have changed “flood damage” or “flood risk” to “flood*  
385 *volume” throughout the text in the revision.*

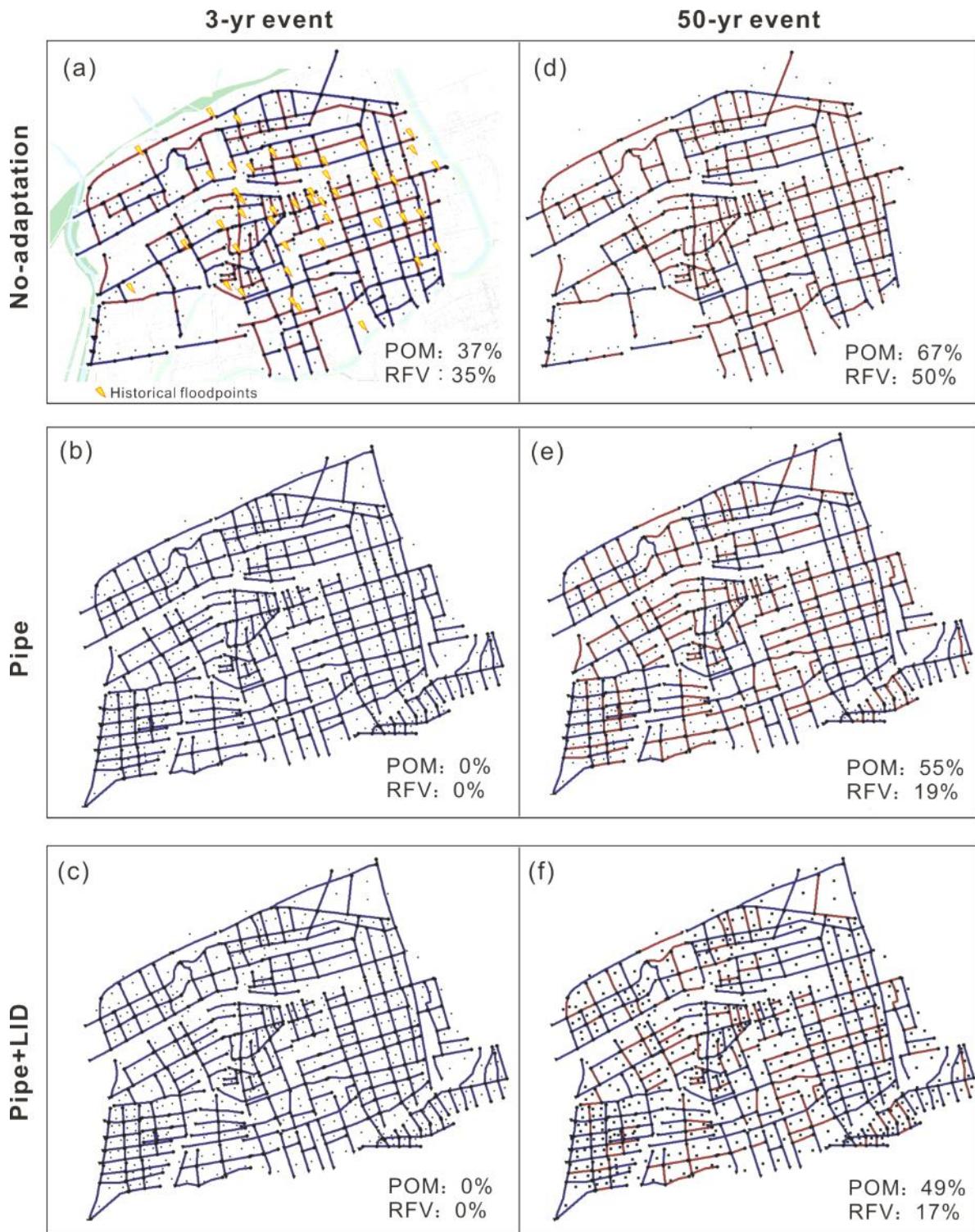
386 L 605-606: I suggest including the period “2020-2040” in the caption for better understanding the graph.

387 Table 1: Which are the units in the table? Please specify units and the storm duration related to the  
388 precipitation intensity values listed (key parameter to understand such values).

389 **Response:** *Thanks for the suggestion. We have added the period “2020-2040” in the caption. This table*  
390 *shows the future change factor of precipitation at various return periods. It is dimensionless. The changes*  
391 *are multiplied to the present rainfall time series to obtain climate change scenarios as inputs to our*  
392 *model (see response to general comment 2).*

393 Figure 5: Please choose a more visible way of indicating overloaded pipelines, perhaps with a thicker line  
394 and/or a different color. Also the POM is currently mistakenly written as “NOM” in the 6 panels.

395    **Response:** *Thanks for the pointing out the typo. We have replaced “NOM” with POM. The illustration of*  
396    *overloaded pipelines is a direct output from the SWMM model. At present, it is not easy to highlight the*  
397    *pipelines given the hard-coded model user interface. Instead, we tried to update the figure with larger*  
398    *color contrast for better illustration. In addition, we have added city land use information (i.e., green*  
399    *spaces and traffic network) and records of historical flood pints obtained from the local water authorities*  
400    *in the updated figure.*



**Figure 5** Spatial distribution of overloaded pipelines (red colour) induced by the 3-year (left column) and 50-year extreme events (right column) without and with adaptations. The total percentage of overloaded manholes (POM) and ratio of flood volume (RFV) are summarised for each scenario.

405     *Descriptions of local land use, mainly the traffic network and green spaces, are provided as the*  
406     *background image in (a).*

407

408     Figure 6: Add units in the axis labels. E.g.: “[-]” for dimensionless. Also, note the typo in the x-axis label.

409     **Response:** *Thanks for the suggestion. We have updated the figure in the revision.*

410

411     Figure 7: Negative values for risk reduction means increasing risk. Please reverse graphs with positive  
412     values (plus fix the typo rish -> risk)

413     **Response:** *Thanks for the suggestion. We have updated the figure and corrected the typo in the revision.*

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436 **Anonymous Referee #2:**

437

438 **SHORT COMMENTS IN THE JOURNAL STYLE**

439 **Scientific questions:**

440 Adaptation effects on drainage performance in a context of climate change (CC) is relevant. Novel  
441 concepts. Try to quantify the impact adaptation measures is potentially new if appropriately developed in  
442 single case studies. Substantial conclusions. Not attended yet, due to insufficiently explained datasets and  
443 methods. Scientific methods and assumptions. Not clearly outlined. Results vs interpretations /  
444 conclusions. Unattended. Description. Pretty obscure. Authors proper credit. Ok! but not all is new. Title.  
445 OK! but to be revised in case of revision. Summary. Unbalanced on Climate trends when the most  
446 interesting part is adaptation. Overall presentation. Lacking of context outline. Language. To be revised  
447 by a mother tongue, that I am not. Formulae. Not expert enough to say. Parts to modify. Develop 1, 4 & 5,  
448 Clarify 2a & 2e, Reduce 2b, Delete 3b, Modify Fig. 1 & 5. References. Ok.

449 **Response:** *We greatly appreciate the reviewer for the constructive comments and suggestions to improve  
450 our manuscript. In the revision, we have 1) added more details on the datasets and methods, 2) added  
451 more discussions on the assumptions and limitations, 3) modified the relevant statements and figures  
452 which are unclear or inaccurate, 4) revised the specific sections as suggested, 5) invited a native speaker  
453 to proof-read the paper. More details of our responses to each comment are provided as follows.*

454 *Note: the line numbers as mentioned in the response below refer to those in the cleaned version of  
455 manuscript.*

456

457 **EXTENDED COMMENTS**

458 1 Introduction All key definitions should be provided here. Flood risk is the probability an hazard has to  
459 generate damages (UNISDR, ISO etc: :), not a probability of a disastrous flood only (that is hazard  
460 occurrence). Should be wise to specify to whom this work is addressed, since very essential information  
461 of the case study is missing (see next sections).

462 **Response:** *Thanks for the comments. We agree with the reviewer that flood risk refers to the probability  
463 of a hazard to cause damage. In this study, we investigate the potential changes in flood volume (TFV)  
464 under various scenarios of climate changes and explore the role of adaptation and mitigation in  
465 regulating such changes. We acknowledge that the TFV is a hazard indicator, while flood damage is  
466 tightly linked to socio-economic conditions which is not addressed in this study. We have clarified this  
467 concept (Lines 239-247) and revised all relevant terms throughout the manuscript in the revision.*

468

469 This study investigates the performance of drainage system under climate change scenarios, which has  
470 great implications for adaptation and mitigation strategies for the study region, which has experienced  
471 increasing flood events (Lines 130-141). Comparing the reduction of flood volume by climate mitigation  
472 (via reduction of greenhouse gas emissions) and local adaptation (via improvement of the drainage  
473 system) indicates that local adaptations are more effective than climate mitigation in reducing future  
474 flood volumes. This study also has important implications for the research community on drainage system  
475 design and modeling in a changing environment. We emphasize the importance of considering  
476 adaptations in assessing climate change impacts on future urban floods. In the revision, we have provided  
477 more detailed information on the case study region, research background and the implications following  
478 the suggestions (Lines 102-112, 130-141, 488-496).

479

480 2. Material and Methods 2a) (i) A characterization of the hazard (rainfall) in Hohhot City is missing.

481 **Response:** *Thanks for the comments. In the study region, most rain storms fall between June and August,*  
482 *a period that accounts for more than 65% of the annual precipitation. In the revision, we have provided*  
483 *more descriptions on the rainfall characterizations and flood hazards in the study region (Lines 126-129*  
484 *and 134-142).*

485

486 *It should be noted that the input rainfall time series for the model are not the original historical*  
487 *observations. Rather, it is based on the storm intensity formula (SIF), which is used to estimate the design*  
488 *rainfall for each return period. The modeling practice mainly follows the standard procedure in urban*  
489 *drainage modeling in China, as documented in the national code for design of outdoor wastewater*  
490 *engineering (MOHURD, 2011). Specifically, the SIF represents an Intensity-Duration-Frequency (IDF)*  
491 *relationship, and is commonly used in the literature to estimate design rainfall hydrographs (Berggren et*  
492 *al., 2014; Cheng and AghaKouchak, 2014; Panhou et al., 2014; Willems, 2000; Zhou et al., 2012).*  
493 *Subsequently, the Chicago Design Storms (CDS) approach is applied to derive the design storms from the*  
494 *local SIF for the SWMM model as used in this study. The detailed procedures in using SIF to obtain the*  
495 *CDS design storms can be found in Chinese National Technical Guidelines for Establishment of Intensity-*  
496 *Duration-Frequency Curve and Design Rainstorm Profile (MOHURD, 2014) and have been well adopted*  
497 *in a number of Chinese urban drainage designs (Wu et al., 2016; Yin et al., 2016; Zhang et al., 2008;*  
498 *Zhang et al., 2015).*

499

500 For the case study, the local rainfall is characterized by the SIF ( $q=635*(1+0.841*lg(P))/t^{0.61}$ ), which  
 501 is obtained from local weather bureau. 10 return periods are considered in the paper and a 4-hour  
 502 rainfall time series is generated for each return period at a 10-minute interval. The technical details in  
 503 using SIF to derive the CDS rainfall are given in the following. As shown in the Equation 1, the  $q$  is the  
 504 average rainfall intensity,  $t$  is the storm duration and  $P$  is the design return period. The typical temporal  
 505 resolution considered in SIF for urban drainage simulations is minutes.  $A$ ,  $b$ ,  $c$  and  $D$  are regional  
 506 parameters governing the IDF relations among rainfall intensity, return period and storm duration. For a  
 507 given return period, the SIF is fitted into the Horner's equation as Eq.2:

$$q = \frac{A(1 + D\lg(P))}{(t + b)^c} \quad \text{Eq. (1)}$$

$$i = \frac{a}{(t + b)^c} \quad \text{Eq. (2)}$$

508 The synthetic hyetograph based on the Chicago method is computed using Eq. 2 and an additional  
 509 parameter  $r$  (where  $0 < r < 1$ ) which determines the relative location of peak intensity (with respect to  
 510 time),  $t_p=r*t$ . The time distribution of rainfall intensity is described after the peak  $t_a = (1-r)*t$  and before  
 511 the peak  $t_b=r*t$  by Eq. (3) and (4).  $i_b$  is the instantaneous rainfall intensity before the peak,  $i_a$  is the  
 512 instantaneous rainfall intensity after the peak.

$$i_a = \frac{a[\frac{(1-c)t_a}{(1-r)} + b]}{(\frac{t_a}{(1-r)} + b)^{1+c}} \quad \text{Eq. (3)}$$

$$i_b = \frac{a[\frac{(1-c)t_b}{r} + b]}{(\frac{t_b}{r} + b)^{1+c}} \quad \text{Eq. (4)}$$

513 By following the above procedure, a 4-hour rainfall time series can be generated for each return period  
 514 with the peak located in the center of the period. In the revision, we have added more details about the  
 515 rainfall and methods in the revision (Lines 189-220).

516 **Reference:**

517 Berggren, K., Packman, J., Ashley, R., and Viklander, M.: Climate changed rainfalls for urban drainage  
 518 capacity assessment, *Urban Water Journal*, 11, 543-556, 10.1080/1573062X.2013.851709, 2014.

519 Cheng, L. Y., and AghaKouchak, A.: Nonstationary Precipitation Intensity-Duration-Frequency Curves  
 520 for Infrastructure Design in a Changing Climate, *Scientific Report*, 4, 10.1038/srep07093, 2014.

521 MOHURD: *AQSIQ. Code for Design of Outdoor Wastewater Engineering (GB 50014-2006), Ministry of*  
522 *Housing and Urban-Rural Development, General Administration of Quality Supervision, Inspection and*  
523 *Quarantine of the People's Republic of China: Beijing, China (In Chinese)*, 2011.

524 MOHURD: *Technical Guidelines for Establishment of Intensity-Duration-Frequency Curve and Design*  
525 *Rainstorm Profile (In Chinese)*, Ministry of Housing and Urban-Rural Development of the People's  
526 *Republic of China and China Meteorological Administration*. Accessed on November 2016 from  
527 <http://www.mohurd.gov.cn/wjfb/201405/W020140519104225.pdf>, 2014.

528 Panthou, G., Vischel, T., Lebel, T., Quantin, G., and Molinie, G.: *Characterising the space-time structure*  
529 *of rainfall in the Sahel with a view to estimating IDAF curves*, *Hydrology and Earth System Sciences*, 18,  
530 5093-5107, 10.5194/hess-18-5093-2014, 2014.

531 Willems, P.: *Compound intensity/duration/frequency-relationships of extreme precipitation for two*  
532 *seasons and two storm types*, *Journal of Hydrology*, 233, 189-205, [http://dx.doi.org/10.1016/S0022-1694\(00\)00233-X](http://dx.doi.org/10.1016/S0022-1694(00)00233-X), 2000.

534 Wu, H., Huang, G., Meng, Q., Zhang, M., and Li, L.: *Deep Tunnel for Regulating Combined Sewer*  
535 *Overflow Pollution and Flood Disaster: A Case Study in Guangzhou City, China*, *Water*, 8, 329, 2016.

536 Yin, J., Yu, D. P., Yin, Z., Liu, M., and He, Q.: *Evaluating the impact and risk of pluvial flash flood on*  
537 *intra-urban road network: A case study in the city center of Shanghai, China*, *Journal of Hydrology*, 537,  
538 138-145, 10.1016/j.jhydrol.2016.03.037, 2016.

539 Zhang, Y.-q., Lv, M., and Wang, Q.-g.: *Formula method design of drainage pipe network and analysis of*  
540 *model simulation*, *Water Resource Power*, 33, 105-107, 2015.

541 Zhang, D., Zhao, D. q., Chen, J. n., and Wang, H. z.: *Application of Chicago approach in urban drainage*  
542 *network modeling*, *Water & Wastewater Engineering*, 34, 354-357, 2008.

543 Zhou, Q., Mikkelsen, P. S., Halsnaes, K., and Arnbjerg-Nielsen, K.: *Framework for economic pluvial*  
544 *flood risk assessment considering climate change effects and adaptation benefits*, *Journal of Hydrology*,  
545 414, 539-549, 10.1016/j.jhydrol.2011.11.031, 2012.

546

547 (ii) A detailed description of watershed soils is recommended. Rocky, lateritic, clay, sandy, or : : soils  
548 perform differently in semi-arid contexts than in wet contexts. Even where infiltration seems possible  
549 some pervious looking soils after the first minutes turn into impervious. Context matter in this type of  
550 study.

551 **Response:** *Thanks for the comments. We agree with the reviewer that soil conditions matter in this type*  
552 *of study. In this study, three general soil categories are considered, i.e., the sand, loam and clay.*  
553 *According to the limited data on local soil conditions from local water authorities, the major soil type of*

554 the study region is a mixture of loam and clay. Based on the Horton's infiltration method (Rossman and  
 555 Huber, 2016) and the values suggested by (Akan, 1993) as shown in the table below, we used the values  
 556 under the category of "Dry loam soils with little or no vegetation" to represent the maximum infiltration  
 557 capacity in the model. We have added more descriptions on this in the revision (Lines 129-130,185-187).

558

Maximum (Initial) Infiltration Capacity (Akan, 1993)		
Soil Type	(in/hr)	(mm/hr)
Dry sandy soils with little or no vegetation	5.0	127
Dry loam soils with little or no vegetation	3.0	76.2
Dry clay soils with little or no vegetation	1.0	25.4
Dry sandy soils with dense vegetation	10.0	254
Dry loam soils with dense vegetation	6.0	152
Dry clay soils with dense vegetation	2.0	51
Moist sandy soils with little or no vegetation	1.7	43
Moist loam soils with little or no vegetation	1.0	25
Moist clay soils with little or no vegetation	0.3	7.6
Moist sandy soils with dense vegetation	3.3	84
Moist loam soils with dense vegetation	2.0	5.1
Moist clay soils with dense or no vegetation	0.7	18

559

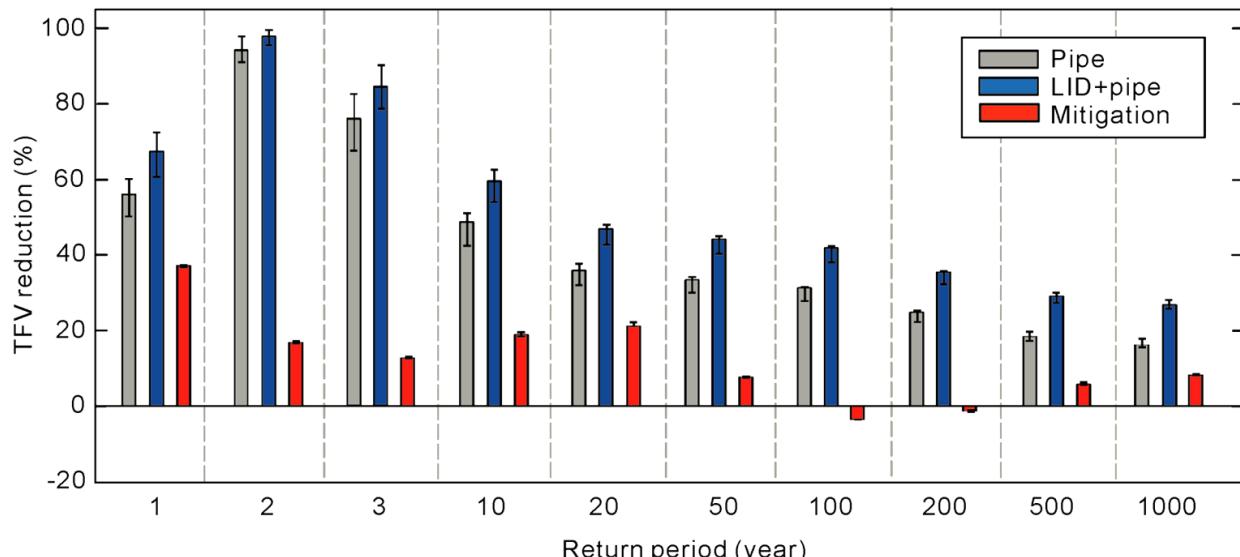
560 To further address the concern, we have conducted a set of sensitivity experiments in the revision, see  
 561 added Table 1 and revised Figure 7. Specially, we used three possible infiltration values corresponding to  
 562 the first three soil types (i.e., dry sand, loam and clay soils with little or no vegetation) as listed in the  
 563 above table. The parameters associated with each possible infiltration value are shown in the table below:

564 **Table 1** Infiltration parameters for three categories of soil in the SWMM simulation

Infiltration parameters*	MaxRate	MinRat	Decay rate	DryTime
	[in/hr]	[in/hr]	[1/hr]	[days]
Dry loam with little or no vegetation	3	0.5	4	7
Dry sand with little or no	5	0.7	5	5

vegetation				
Dry clay with little or no vegetation	1	0.3	3	9
* To describe Horton infiltration method in SWMM, four basic infiltration parameters are required (Rossman and Huber, 2016) : <ul style="list-style-type: none"> <li>• <i>MaxRate</i>: Maximum infiltration rate on Horton curve</li> <li>• <i>MinRate</i>: Minimum infiltration rate on Horton curve</li> <li>• <i>Decay</i>: Decay rate constant of Horton curve</li> <li>• <i>DryTime</i>: Time it takes for fully saturated soil to dry</li> </ul>				

565 The original Figure 7 shows the comparison of benefits of climate mitigation and two adaptation  
566 strategies in reducing flood volume, based on the soil category 'Dry loam with little or no vegetation'.  
567 Here, we revised the Figure 7 by showing the uncertainty range (i.e. the error bar) arising from the  
568 representation of different soil conditions in the drainage model. It is shown that magnitudes of estimated  
569 benefits differ to some degree, nevertheless, the benefits of the designed adaptation measures in reducing  
570 urban flood volumes were found to be robust regardless of soil conditions, and such benefits exceeded  
571 those of climate change mitigation, confirming our major conclusions found in this study. We have  
572 included the relevant descriptions and results in the revised manuscript (Lines 376-385).



573  
574 **Figure 7** Comparison of benefits of climate mitigation and two adaptation strategies in reducing  
575 urban flood volumes with changes in precipitation intensities for various return periods, and  
576 with related variations (boundary bars) as a result of uncertainty arising from local soil  
577 conditions.

578

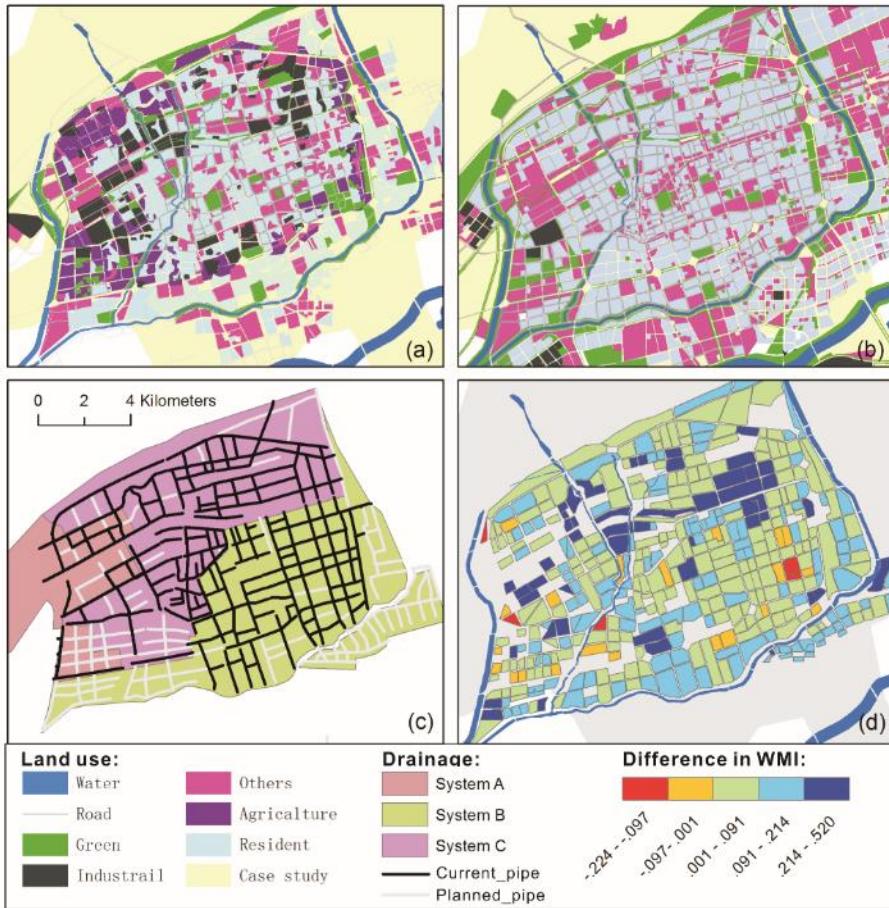
579 **References:**

580 Akan, O. A.: *Urban stormwater hydrology: a guide to engineering calculations*, CRC Press, 1993.  
581 Rossman, L., and Huber, W.: *Storm Water Management Model Reference Manual EPA/600/R-15/162A*,  
582 2016.

583

584 (iii) Authors consider permeable pavements, infiltration trenches and green roofs as possible adaptation  
585 measures. Which are the permeable soil and coverage rates in the different parts of the watershed  
586 considered?

587 **Response:** *Thanks for the comments. We agree that explicit consideration of permeable pavements,*  
588 *infiltration trenches and green roofs would make the designed adaptation measures more specific and*  
589 *realistic (Elliott and Trowsdale, 2007; Zoppou, 2001). However, there is no such detailed information on*  
590 *the permeable soil and coverage rates in the study region, which prevents us from representing these*  
591 *individual features/parameters in the model. Instead, the second adaptation scenario is designed to*  
592 *investigate the effects of increased permeable surfaces on flood volume, and is reflective of the combined*  
593 *effects of infiltration-related measures, including permeable pavements, infiltration trenches and green*  
594 *roofs. That is, a simplified approach by altering the subcatchment imperviousness was adopted due to the*  
595 *limitation of data availability in the study region. Specifically, we derived such information by comparing*  
596 *the current and planned landuse maps and incorporated the changes in landuse and imperviousness (see*  
597 *the updated Figure 1d) in the adaptation scenario. The figure 1d shows the difference in weighted mean*  
598 *imperviousness (WMI) calculated for each subcatchments (different parts of the watersheds) in the*  
599 *current and planned maps, which is used to indicate the area potential for adaptation based on the city*  
600 *plan. For example, a subcatchment with higher positive changes in the WMI indicates that the area is*  
601 *planned to have a land use type with lower imperviousness and therefore is assumed to be more suitable*  
602 *for LID planning, and vice versa. We have clarified this with more discussions in the revision (Lines 260-*  
603 *278).*



604

605

606 (iv) Can the authors provide some information about last disastrous floods in the case study? Areas  
607 affected the most, etc.

608 **Response:** *Thanks for the comment. During the major flood event on 11 July 2016, the city, especially the*  
609 *western portion of the watershed, was hit by an extreme rainfall event that featured more than 100 mm of*  
610 *rain in 3 hours. The local meteorological department issued the red warning of rainstorm. The flood*  
611 *event led to the cancellation of at least 8 flights and 17 trains, and delays of several transportation*  
612 *systems. In particular, in the central area, the flood event caused severe traffic jams on major streets (see*  
613 *the photos below) and resulted in a number of flooded residential buildings. We have added more*  
614 *descriptions on this in the introduction of the study region in the revision (Lines 136-142).*

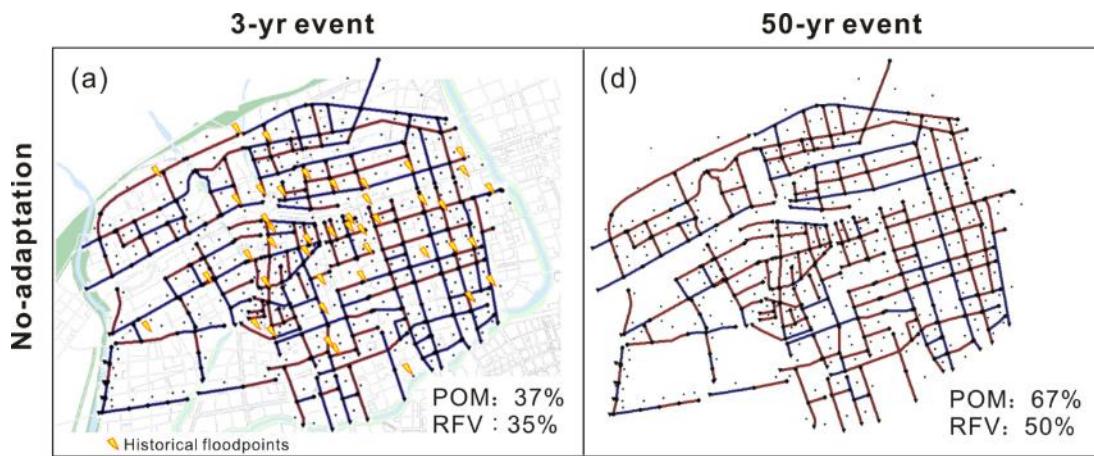
615



(Photos: [yjhlnews.com](http://yjhlnews.com)(left) and [chinanews.com](http://chinanews.com)(right))

616  
617  
618

619 To provide more background information on historical flood events in the study area, we have included a  
620 map describing historical flood records and city traffic network in Figure 5a. It is obvious that the central  
621 portion of the city is the most affected region due to the low service level of its drainage system. We have  
622 updated the Figure 5 and added more descriptions in the revised manuscript (Lines 314-315).



623

**Figure 5:** Spatial distribution of overloaded pipelines (red colour) induced by the 3-year (left column) and 50-year extreme events (right column) without and with adaptations. The total percentage of overloaded manholes (POM) and ratio of flood volume (RFV) are summarised for each scenario. Descriptions of local land use, mainly the traffic network and green spaces, are provided as the background image in (a).

629

630

631 2b) (i) It's quite normal to use more than one GCMs .

632 **Response:** *Agreed. We have deleted relevant statements in the revision.*

633

634 (ii) Reader expects to learn from the expected changes in rainfall (mm and in which month) but no  
635 information is provided on this topic.

636 **Response:** *Thanks for the comments. Readers can refer to the Table 2 which summarizes the change*  
637 *factors in extreme precipitation intensity of various return periods. It should be noted that the input*  
638 *rainfall time series for the model are not the original historical observations. Rather, it is based on the*  
639 *storm intensity formula (SIF), which is used to estimate the design rainfall for each return period. The*  
640 *modeling practice mainly follows the standard procedure in urban drainage modeling in China, as*  
641 *documented in the national code for design of outdoor wastewater engineering (MOHURD, 2011). Please*  
642 *see the response to comment 2 for details. We have added more details on this in the revision (Lines 189-*  
643 *233).*

644

645 2c) (i) Which rainfall information has been used to run SWMM [dataset length (years) and type (daily,  
646 three hourly, hourly, etc.)]?

647 **Response:** *Thanks for the comments. The rainfalls as inputs for the model are based on artificial rains in*  
648 *the format of Chicago Design storms derived from historical rainfall records following the standard by*  
649 *the local weather bureau and the national code for design of outdoor wastewater engineering (MOHURD,*  
650 *2011). The rainfall period is 4 hours at sub-hour (i.e., 10 minute) time step. Please see the response to*  
651 *comment 2 for details. We have added more details on this in the revision (Lines 189-220).*

652

653 2e) (i) The adaptation measures considered are to reduce the amount of water that run off. This is one side  
654 of the problem. The other one is to slow down the water speed. And for this no measure is considered:  
655 there is a wide range of measures for semi-arid contexts commonly used for this. I recommend to consider  
656 it or explain why you don't.

657 **Response:** *Thanks for the comments. We agree that slowing down the water speed could be an alternative*  
658 *adaptation approach for attenuating runoff peak and reducing flood volume (Messner et al., 2006;*  
659 *Floodsite, 2009). We note that the water speed is influenced by, among others, the gradient and flow*  
660 *resistance of the bed of the water course (Ashley et al., 2007) and such information is not available at the*  
661 *sub-catchment scale in the study region.*

662

663 *There are two main reasons that we did not consider the measures by attenuating the water speed in our*  
664 *designed adaptation approach. First of all, although some of the LID measures are primarily designed to*  
665 *slow down the flow speed, i.e., vegetated swales, most of the LID measures can reduce both runoff volume*

666 and flow speed at the same time. Constrained by the one-dimensional SWMM modelling approach in this  
667 study, the performances of LID measures were mainly evaluated according to their effects in reducing  
668 water volume from overloaded manholes (Oraei Zare et al., 2012;Lee et al., 2013). To examine whether  
669 flood retention of a given event is induced by runoff volume or the internal speed control function in the  
670 model is difficult and requires detailed data for model validations. Specifically, the required information  
671 about surface roughness, soil conductivity, and seepage rate were unavailable at the subcatchment scale  
672 in the study region. Based on the available datasets on current and future landuse maps, this study tends  
673 to apply and assess adaptation measures that mainly affect the surface imperviousness. We have added  
674 the discussions in the revised manuscript (Lines 436-449).

675 **Reference:**

676 Ashley, R., Garvin, S., Pasche, E., Vassilopoulos, A., and Zevenbergen, C.: *Advances in Urban Flood*  
677 *Management*, in, edited by: Ashley, R., Garvin, S., Pasche, E., Vassilopoulos, A., and Zevenbergen, C.,  
678 Taylor & Francis/Balkema, London, UK, 2007.

679 *Floodsite: Flood risk assessment and flood risk management. An introduction and guidance based on*  
680 *experiences and findings of FLOODsite (an EU-funded Integrated Project)*, Deltires/Delft Hydraulics.  
681 ISBN 978 90 8 4067/0, 2009.

682 Lee, J. M., Hyun, K. H., and Choi, J. S.: *Analysis of the impact of low impact development on runoff from*  
683 *a new district in Korea*, *Water Science and Technology*, 68, 1315-1321, 10.2166/wst.2013.346, 2013.

684 Messner, F., Penning-Rowsell, E., Green, C., Meyer, V., Tunstall, S., and Van der Veen, A.: *Guidelines*  
685 *for Socio-economic Flood Damage Evaluation, Report Nr. T9-06-01*, in, *FLOOD site*, HR Wallingford,  
686 UK, 2006.

687 Oraei Zare, S., Saghafian, B., Shamsai, A., and Nazif, S.: *Multi-objective optimization using evolutionary*  
688 *algorithms for qualitative and quantitative control of urban runoff*, *Hydrol. Earth Syst. Sci. Discuss.*, 9,  
689 777-817, 10.5194/hessd-9-777-2012, 2012.

690

691 (ii) How Authors have determined the impact of individual adaptation measures (permeable pavements,  
692 trenches, green roof) over run off reduction? This should be explained.

693 **Response:** *Thanks for the comments. As clarified in the response to 2a (iii), the second adaptation*  
694 *measure is mainly designed to investigate the impacts of increased permeable surfaces on flood volume*  
695 *reductions by altering the imperviousness of subcatchments to represent the infiltrated and detained*  
696 *water volume in the runoff-generation process. That is, the individual measures related to permeable*  
697 *pavements, trenches, green roof are not considered separately but represented in a combined and*  
698 *simplified approach. Thus, we are not able to explicitly assess the performance of these individual*

699 measures on flood reductions in details. We have added discussions on this in the revision (Lines 260-  
700 278).

701

702 3) Results 3b) (i) I don't understand the approach: Mitigation is expected to impact on CC at long term  
703 (decades: : :). Drainage system is expected to reduce CC impacts at short-medium term (1-5 years). Is  
704 obvious that adapting we can't expect to see effects on rainfall: : :

705 **Response:** *Thanks for the comments. Mitigation refers to climate mitigations via reduction of greenhouse*  
706 *gas emissions. The mitigation effects are assessed here by comparing the results based on RCP8.5*  
707 *emission scenario (which is a business-as-usual scenario) and RCP2.6 scenario (which considers the*  
708 *reduction of greenhouse gas emission). Climate mitigation via reducing greenhouse gas emissions is*  
709 *expected to influence precipitation characteristics and thus the subsequent flood hazards (i.e., flood*  
710 *volume in this study). Adaptation measures are localized and here refer to the specific design/update of*  
711 *drainage system. The possible land surface-atmosphere interactions which would indirectly affect the*  
712 *rainfall and floods are not considered in this study. We have clarified this in the revised manuscript*  
713 *(Lines 154-160).*

714

715 4) Uncertainties & Limitations (i) The consideration of the state of drainage system could be a limitation  
716 of this study? A drainage system obstructed by vegetation, waste or artefacts (cables, pipes, temporary  
717 constructions) can make the outcomes of the SWMM quite distant from the real world. And change also  
718 recommendations: : : that need to be extended to waste sector.

719 **Response:** *Thanks for the comments. We agree with the reviewer that the state of drainage system could*  
720 *affect its conveyance capacity and thus the system performance to various degrees. In some cases, floods*  
721 *are not induced by the exceedance of drainage capacity, but by the deterioration of drainage system itself,*  
722 *e.g., aging network, pipe deterioration, blockage, construction failures and local external factors*  
723 *(Dawson et al., 2008; CIRIA, 1997; Davies et al., 2001). Previous studies with a focus on sewer*  
724 *inspection and condition assessment, maintenance and rehabilitation strategies, have highlighted the*  
725 *need for labor-intensive field investigations for collecting information on the waste status and relocations,*  
726 *and such studies are often limited to certain areas (Ana and Bauwens, 2007; Fenner, 2000). In fact,*  
727 *assessment of drainage conditions requires detailed datasets, which has been recognized as a great*  
728 *challenge in applications. For example, in Europe, water service data collections mainly cover pipe*  
729 *length, age, material, diameter and location (Stone et al., 2002; Ana and Bauwens, 2007), while the*  
730 *assessment of pipe conditions are often managed by separate and specialized programs.*

731

732 *Quantifying the impacts of drainage system states on urban flood volumes is not trivial, however, it was*  
733 *not within the scope of this study to take into account the actual state of the pipe system due to difficulties*  
734 *involved in collecting field data and selecting and using appropriate methods for reasonable assessment*  
735 *of pipe conditions. Such studies usually require comprehensive efforts on the material, data and method,*  
736 *(e.g., Dawson et al. 2008; Chae and Abraham 2001; Chughtai and Zayed 2008), which is not the focus of*  
737 *this paper. We acknowledge that the hydraulic performance may be overestimated without considering*  
738 *the drainage conditions and the waste section in the SWMM modeling approach (Pollert et al., 2005). In*  
739 *the revision, we have added more discussions on the impacts of pipe conditions on system performance,*  
740 *which should be addressed in the future study (Lines 422-434).*

741

742 **References:**

743 *Ana, E., and Bauwens, W.: Sewer network asset management decision-support tools: a review,*  
744 *International Symposium on New Directions in Urban Water Management, 2007, 1-8, 12-14 September*  
745 *2007, UNESCO Paris*

746 *Chae, M., and Abraham, D.: Neuro-fuzzy approaches for sanitary sewer pipeline condition assessment, J.*  
747 *Comput. Civ. Eng., 15, 4, 2001.*

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750 *2008.*

751 *CIRIA: Risk Management for Real Time Control in Urban Drainage Systems: Scoping Study, Project*  
752 *Report 45. CIRIA, London., 1997.*

753 *Davies, J. P., Clarke, B. A., Whiter, J. T., and Cunningham, R. J.: Factors influencing the structural*  
754 *deterioration and collapse of rigid sewer pipes, Urban Water, 3, 73-89, [http://dx.doi.org/10.1016/S1462-0758\(01\)00017-6](http://dx.doi.org/10.1016/S1462-0758(01)00017-6), 2001.*

756 *Dawson, R. J., Speight, L., Hall, J. W., Djordjevic, S., Savic, D., and Leandro, J.: Attribution of flood risk*  
757 *in urban areas, Journal of Hydroinformatics, 10, 275-288, 2008.*

758 *Fenner, R. A.: Approaches to sewer maintenance: a review, Urban Water, 2, 343-356,*  
759 *[http://dx.doi.org/10.1016/S1462-0758\(00\)00065-0](http://dx.doi.org/10.1016/S1462-0758(00)00065-0), 2000.*

760 *Pollert, J., Ugarelli, R., Saegrov, S., Schilling, W., and Di Federico, V.: The hydraulic capacity of*  
761 *deteriorating sewer systems, Water Science and Technology, 52, 207-214, 2005.*

762 *Stone, S., Dzuray, E. J., Meisegeier, D., Dahlborg, A. S., and Erickson, M.: Decision-Support Tools for*  
763 *Predicting the Performance of Water Distribution and Wastewater Collection Systems., US EPA. 97pp.,*  
764 *2002.*

765

766 5. Could the Authors consider to show us what is their way forward?

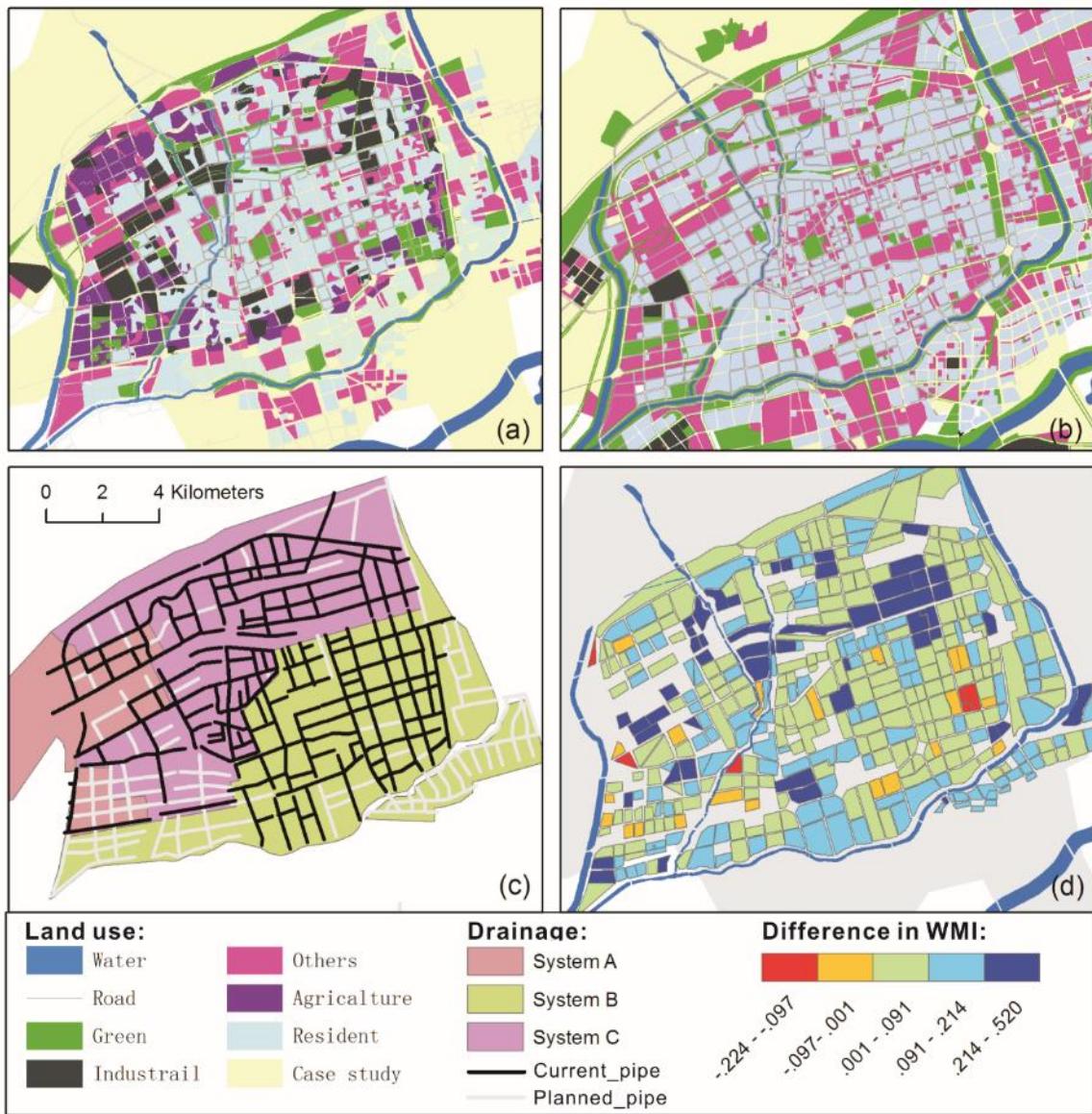
767 **Response:** *Thanks for the comments. As demonstrated in this study, local adaptation is found to be more*  
768 *effective in reducing future flood volumes than climate mitigation. However, several simplified*  
769 *approaches were adopted in the modeling and assessments as commented by the reviewer. Depending on*  
770 *the progress on data collection and the demands of local authorities, more advanced methods for pipe*  
771 *assessment (e.g., considering the changing pipe conditions), LID measures (detailed modelling of LID*  
772 *control), and two-dimensional surface flooding for assessment of flood damage and risk are planned in a*  
773 *future study to provide a more comprehensive analysis of the adaptation measures. We have added*  
774 *discussions on this in the revision (Lines 458-462).*

775

776

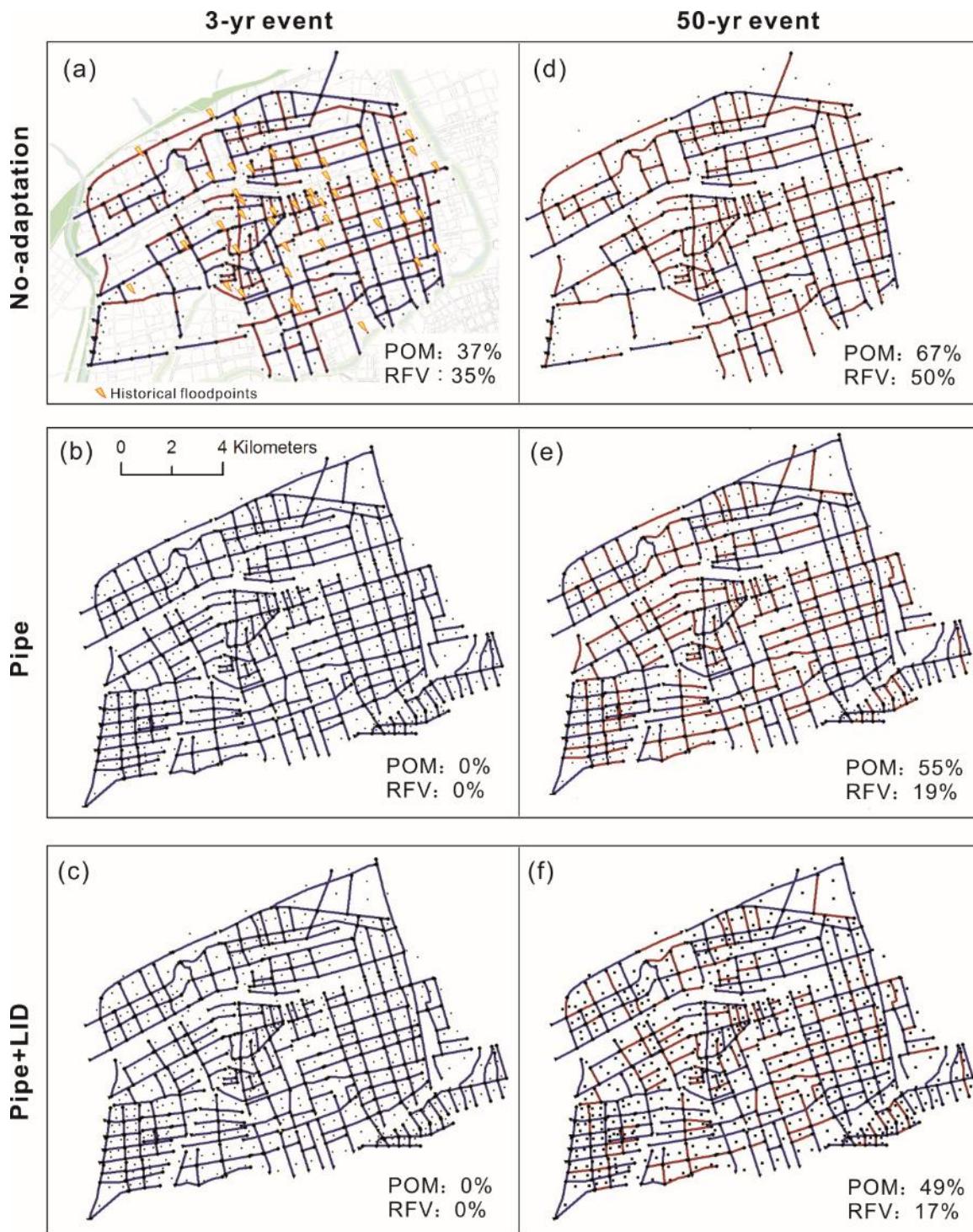
777 Figures 1 & 5: scale is not showed: how large are blocs contoured by drainage network?

778 **Response:** *Thanks for the comments. In the revision, relevant figures have been updated by including a*  
779 *scale bar (see the attached figures below).*



781 **Figure 1** Land use of the study region for the year 2010 (a) and 2020 (b). Pipe network description of  
782 current and planned drainage systems (c). Difference in Weighted Mean Imperviousness (WMI) between  
783 year 2010 and 2020 (d).

784



785

**Figure 5** Spatial distribution of overloaded pipelines (red colour) induced by the 3-year (left column) and 50-year extreme events (right column) without and with adaptations. The total percentage of overloaded manholes (POM) and ratio of flood volume (RFV) are summarised for each scenario. Descriptions of local land use, mainly the traffic network and green spaces, are provided as the background image in (a).

792

793 6. Manuscript's title Show the name of the case study and the country. Limit to Adaptation, delete  
794 mitigation, delete risk.

795 **Response:** *Thanks for the suggestion. In the revision, we replaced the “risk” with “volume” and added*  
796 *the study region name and country. But we tend to keep “mitigation” in the title as we believe it is*  
797 *important although we emphasize the importance of considering adaptation in assessing climate change*  
798 *impacts on future urban floods. This is because the role of adaptation in reducing flood volume is*  
799 *highlighted through comparing with the reduced flood volume by climate mitigation. Indeed, comparing*  
800 *the reduction of flood volume by climate mitigation (via reduction of greenhouse gas emissions) and local*  
801 *adaptation (via improvement of the drainage system) indicates that local adaptations are more effective*  
802 *than climate mitigations in reducing future flood volume.*

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822 Impacts of future climate change on urban flood ~~risks~~volumes in Hohhot

823 City in Northern China: benefits of climate mitigation and adaptations

824

825 Qianqian Zhou<sup>1,2</sup>, Guoyong Leng<sup>2,\*</sup>, Maoyi Huang<sup>3</sup>

826

827 <sup>1</sup>School of Civil and Transportation Engineering, Guangdong University of Technology,

828 Waihuan Xi Road, Guangzhou 510006, China

829 <sup>2</sup>Joint Global Change Research Institute, Pacific Northwest National Laboratory, College Park

830 MD 20740, USA

831 <sup>3</sup>Earth System Analysis and Modeling Group, Pacific Northwest National Laboratory, Richland,

832 WA 99352, USA

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840 \*Corresponding author address: Guoyong Leng, Joint Global Change Research Institute, Pacific  
841 Northwest National Laboratory, College Park MD, 20740.

842

843 E-mail: guoyong.leng@pnnl.gov

844

845

846 **Abstract**

847 As China ~~is urbanized~~has become increasingly urbanised, flooding has become a regular  
848 ~~feature occurrence~~ in its major cities. Assessing ~~potential urban flood risks under the effects of~~  
849 ~~future~~ climate change ~~has become on urban flood volumes is~~ crucial ~~for~~ to informing better  
850 ~~managing management of~~ such ~~risks~~disasters given the severity of the devastating ~~disasters~~  
851 ~~impacts of flooding~~ (e.g., the ~~current~~ 2016 flooding across China). Although recent studies have  
852 investigated the impacts of future climate change on urban ~~flood risks have been investigated in~~  
853 ~~many existing studies~~flooding, the effects of both climate ~~change~~ mitigation and  
854 ~~adaptations~~adaptation have rarely been accounted for together in a consistent framework. In this  
855 study, we assess the benefits of ~~(1) avoided mitigating climate change by reducing~~ greenhouse  
856 gas ~~(GHG)~~ emissions and ~~(2) locally~~ adapting ~~to climate change by modifying~~ drainage systems  
857 ~~on urban flood risks within the context of global warming to reduce urban flooding under various~~  
858 ~~climate change scenarios~~ through a case study conducted in ~~the~~ Northern China. The urban  
859 drainage model, ~~—~~Storm Water Management Model ~~(SWMM)~~, ~~—~~was employed~~used~~ to  
860 simulate urban ~~floods under~~flood volumes using current ~~conditions~~ and two ~~feasible adaptation~~  
861 ~~scenarios adapted drainage systems~~ (i.e., pipe enlargement and low-~~impact~~ development), driven  
862 by bias-corrected meteorological forcing from five general circulation models ~~(GCMs)~~—in  
863 the ~~-~~Coupled Model Intercomparison Project Phase 5 ~~(CMIP5)~~ archive ~~Based on the results, the~~  
864 ~~Results indicate that urban flood~~ volume ~~of urban floods~~ is projected to increase by 52% in ~~the~~  
865 ~~period of 2020–2040 when compared to that the volume~~ in 1971–2000 under the business-as-  
866 usual scenario (i.e., Representative Concentration ~~Pathways~~Pathway (RCP) 8.5). The magnitudes  
867 of urban ~~floods~~flood volumes are found to increase nonlinearly with changes in precipitation  
868 intensity, ~~and highest risks associated with floods with smaller return periods below 10 years are~~

869 identified. Despite the high level of uncertainty, it is obvious that avoided greenhouse emissions  
870 will be beneficial in terms of reducing risks associated with urban floods. On average, the  
871 magnitude of projected urban floodsflood volume under RCP 2.6 is 13% less than that under  
872 RCP8RCP 8.5, demonstrating the importancebenefits of global-scale climate change mitigation  
873 efforts on GHG emission reduction in regulatingin reducing local to regional  
874 hydrometeorological responses. Moreover, the two feasible urban flood volumes. Comparison of  
875 reduced flood volumes between climate change mitigation and local adaptation (by improving  
876 the drainage system) scenarios are shown to be able to further reduce risk associated with floods  
877 effectivelysuggests that local adaptation is more effective than climate change mitigation in  
878 reducing future flood volumes. This has broad implications for the research community relative  
879 to drainage system design and modelling in a changing environment. This study highlights the  
880 importance of accounting for local climate adaptation efforts in assessingwhen coping with  
881 future urban flood risks under a changing climate. floods.

882

883 **Keywords:** Climate change, urban flood risksfloods, mitigation, adaptationof, drainage systems

884

885 1. Introduction

886 Floods are one of the most hazardous and ~~common~~frequent disasters in urban areas and can  
887 cause enormous impacts on the economy, environment, city infrastructure~~s~~ and human society  
888 (Chang et al., 2013; Ashley et al., 2007; Zhou et al., 2012). Urban drainage systems have been  
889 constructed to provide carrying and conveyance capacities at a desired frequency to prevent  
890 urban flooding~~at a desired frequency~~. The design of ~~the~~ drainage ~~capacity~~systems is, ~~however~~,  
891 generally based on historical precipitation statistics ~~that are assumed to be stationary and thus do~~  
892 ~~not incorporate~~ for a certain period of time, without considering the potential changes in  
893 precipitation extremes for the designed return periods (Yazdanfar and Sharma, 2015; Peng et al.,  
894 2015; Zahmatkesh et al., 2015). For example, in Danish design guidelines for urban drainage, a  
895 ~~delta change of 0.330%~~ and ~~0.4 are recommended~~40% increase in the precipitation intensity is  
896 expected for the 10- and 100-year return ~~period~~periods, respectively with an anticipated technical  
897 ~~life time of 100 years~~ (Arnbjerg-Nielsen, 2012). The systems are, ~~however~~, likely to be  
898 overwhelmed by ~~the~~ additional runoff effects induced by climate change~~s~~, which may lead to  
899 increased flood ~~damages, disruptions~~frequency and magnitude, disruption of transportation  
900 systems, and increased human health ~~risks~~risk (Chang et al., 2013; Abdellatif et al., 2015). This  
901 ~~necessitates examining~~Therefore, it is important to investigate the ~~system~~ performance ~~in~~  
902 ~~response to non-stationary changes of future hydroclimate~~of drainage systems in ~~terms of both~~  
903 ~~frequency and magnitude~~a changing environment and to ~~assess~~ the ~~consequent~~ flood  
904 ~~damages~~potential urban flooding under various scenarios to achieve better adaptations (Mishra,  
905 2015; Karamouz et al., 2013; Yazdanfar and Sharma, 2015; Notaro et al., 2015).

906

907 Impacts of climate change on extreme ~~precipitations~~precipitation and urban ~~floods~~were  
908 flooding have been well documented in a number of case studies. For example, Ashley et al.  
909 (2005) showed that ~~floods~~flooding risks may increase by almost 30 times in comparison to  
910 current ~~situations~~situations, and effective ~~responses~~adaptation measures are ~~necessary~~required to  
911 cope with the increasing risks in the UK. Larsen et al. (2009) estimated the potential that future  
912 ~~increase in~~ extreme one-hour precipitation ~~events over~~will increase by 20%~60% throughout  
913 Europe ~~due to climate change and a typical increase between 20% are 60% was found~~. Willems  
914 (2013) found that ~~an increase up to about 50% of~~in Belgium the current design storm intensity  
915 ~~in Belgium are projected~~ for the 10-year return period is projected to increase by 50% by the end  
916 of this century. Several studies have also investigated the role of climate change mitigation and  
917 adaptation in reducing urban flood damages and risks under climate change scenarios (Alfieri et  
918 al., 2016; Arnbjerg-Nielsen et al., 2015; Moore et al., 2016; Poussin et al., 2012). To date,  
919 however, limited work has been done to investigate the relationship between changes in  
920 precipitation intensity and flood volume to provide additional insights into drainage design  
921 strategies. More importantly, investigations of the benefits of climate change mitigation (by  
922 reducing greenhouse gas emissions [GHG]) and local adaptation (by improving drainage systems)  
923 in reducing future urban flood volumes are typically conducted separately, rather than within a  
924 consistent framework.

925

926 As China ~~is urbanized~~has become increasingly urbanised, flooding has become a regular ~~feature~~  
927 ~~of occurrence in~~ its cities ~~with~~: 62% of Chinese cities surveyed ~~experiencing~~experienced floods  
928 and direct economic losses ~~of~~ up to \$100 billion between 2011 and 2014 (China Statistical  
929 Yearbook 2015). The ~~current~~ 2016 flooding ~~has~~ affected more than 60 million people, ~~with~~

930 more than 200 people were killed and \$22 billion in losses were suffered across China. Hence,  
931 assessing future changes in urban flooding is very important for managing urban flood risks  
932 through floods by designing new and re-designing existing urban infrastructures that are to be  
933 resilient to in response to the impacts of future climate change. While it is urban floods are  
934 speculated that urban flood damages will to increase in the future (Yang 2000 and; Ding et al.,  
935 2006), their magnitudes are hard to assess due to because of uncertainties associated with future  
936 climate change scenarios, as well as the lack of understanding under-representation of plausible  
937 climate change mitigation and adaptation strategies in the models.

938

939 In this study, we chose a drainage system in a typical city in Northern China to illustrate the role  
940 of climate change mitigation and local adaptation in coping with future urban flood volumes.  
941 Such an investigation of the performance of the present-day drainage system also has important  
942 implications for local governments responsible for managing urban flood disasters in the study  
943 region. Specifically, we first quantified the effects of future climate change on plausible  
944 adaptations and mitigations strategies and their consequences urban flood volumes as a result of  
945 extreme precipitation events for various return periods using the present-day drainage system.  
946 We then designed two plausible adaptation strategies for the study region and investigated how  
947 much urban flood volume can be reduced by the adapted systems. We also compared the benefits  
948 of global-scale climate change mitigation and local adaptation in reducing urban flood volumes  
949 to advance our understanding of the effective measures for coping with future urban floods.

950

951 Without mitigating the global GHG emissions, climate change is projected to result in more  
952 pronounced damages for urban drainage infrastructures. At the same time, in areas where

953 precipitation intensity increases significantly, effective adaptation measures and related  
954 investments should be given high priorities to prevent runoff volumes from exceeding system  
955 capacities. Although it is widely accepted that the revision and adaptation of drainage systems  
956 may experience more challenges due to potential changes in precipitation extremes, less work  
957 has been done to investigate the relationship between changes in precipitation intensity and flood  
958 risks to provide additional insights for design strategies. More importantly, investigations on the  
959 benefits of global scale GHG mitigation and local scale adaptations in reducing adverse climate  
960 impacts on urban flood risks are typically conducted separately, rather than in a consistent  
961 manner.

962

963 In this study, the effects of climate change on the hydrological and hydraulic performances of an  
964 urban drainage system were investigated. Specifically, we quantify the impacts of future  
965 precipitation intensity changes at different return periods on flood risks under various climate  
966 scenarios. We then evaluate the ability of current drainage system in coping with the projected  
967 climate impacts. By designing two adaptation strategies in the study region, we investigate how  
968 much risks can be reduced. Importantly, by comparing the benefits of reducing GHG emissions  
969 globally and local adaptation strategies, we aim to advance our understanding on effective  
970 approaches in reducing the potential urban flood risks in a changing environment.

971

## 972 2. Materials and Methods

### 973 a. Study region

974 The study region (Hohhot City) is located in the south-central portion of Inner Mongolia, China,  
975 and. It lies between the Great Blue MountainMountains to the north and the Hetao

976 plateauPlateau to the south, which has a north-to-south topographic gradient. The drainage area  
977 in year 2010 was about 210.72 km<sup>2</sup> and it served a residential population of 1.793 million  
978 (Figure 1a). The land use types in the region can be classified into five categories: agricultural  
979 land (8%), residential areas (38%), industrial land (13%), green spaces (7%), and other facilities  
980 (34%, including municipal squares, commercial districts, institutions). The planned drainage area  
981 in 2020 is about 307.83 km<sup>2</sup>and, which is 50% larger than the detailed description of the current  
982 drainage area. The land use categorycategories and distribution isare shown in Figure 1b.

983

984 The region is withinin a cold semi-arid climate zone, characterizedcharacterised by cold and dry  
985 winters and hot and humid summers. The regional annual mean precipitation is approximately  
986 396 mm with large intra-seasonal variationsand it exhibits large intra-seasonal variations. Most  
987 rain storms fall between June and August, a period that accounts for more than 65% of the  
988 annual precipitation. According to local water authorities, the major soil type of the area is a  
989 mixture of loam and clay. The current drainage system can be divided into three large sub-basins  
990 (Figure 1c) and 326 sub-catchments with a total pipeline length of 249.36 kilometerskm. The  
991 drainage network has a higher pipeline cover rate in the central part, but witha rather low design  
992 standard for extreme rainfall events with a return period of less than one1 year. Historical records  
993 on storm waterof stormwater drainage and flood damagesshowdamage indicate that the region  
994 has been experiencingexperienced an increase in flood risksmainly due tofrequency and  
995 magnitudewithin the context of climate change and urbanizationurbanisation. During the major  
996 flood event on 11 July 2016, the city, especially the western portion of the watershed, was hit by  
997 an extreme rainfall event that featured more than 100 mm of rain in 3 hours. The flood event led  
998 to the cancellation of at least 8 flights and 17 trains, and delays of several transportation systems.  
999 In particular, in the central area, the flood event caused severe traffic jams on major streets and

1000 resulted in a number of flooded residential buildings. A new drainage system is therefore  
1001 required by the regional water authorities to cope with the increasing urban flood risks volumes  
1002 and frequencies in the future.

1003

1004 b. Climate change scenarios

1005 Climate projections by five general circulation models (GCMs) from phasePhase 5 of the  
1006 Coupled Model Intercomparison Project (CMIP5) archive are were obtained from the Inter-  
1007 Sectoral Impact Model Intercomparison Project (ISI-MIP) (Warszawski et al., 2014). The  
1008 CMIP5 climate projections were bias-corrected against reference dataset of the WATCH forcing  
1009 data (WFD)-observed climate for the overlapping period 1950–2000 using parametrica quantile  
1010 mapping method (Piani et al., 2010; Hempel et al., 2013). This dataset representsThe bias-  
1011 corrected CMIP5 climate projections represent a complete climate change picture in that it  
1012 includes both the mean propertiesproperty and variation of future climatesclimate. Several  
1013 studies have demonstrated the value of this the bias-correctioncorrected climate projections in  
1014 quantifying the impacts of climate change impacts on global and regional hydrology (e.g.,  
1015 Piontek et al., 2014; Elliott et al., 2014; Haddeland et al., 2014; Leng et al., 2015a,b). Unlike  
1016 most previous studies that only used data from one or two GCM in climate change impact studies  
1017 on urban floodsIn this study, we used the bias-corrected climate data from all five GCMs  
1018 (HadGEM2-ES, GFDL-ESM2M, IPSLCM5A-LR, MIROC-ESM-CHEM, and NorESM1-M)  
1019 under two Representative Concentration Pathways (RCPs) (i.e., RCP2RCP 2.6 and RCP8RCP  
1020 8.5) for our analysis.). The impactsprojected urban flood volumes under the business-as-usual  
1021 scenario RCP8RCP 8.5 are compared with that in those under the climate change mitigation  
1022 scenario RCP2RCP 2.6 to explore the benefits of climate change mitigation on in reducing

1023 regional urban flood ~~risks~~volumes. The possible land-surface-atmosphere interactions that would  
1024 indirectly affect rainfall and flooding are not considered in this study.

1025  
1026 c. Urban drainage modelling

1027 The Storm Water Management Model (SWMM 5.1) developed by the ~~United State~~U.S.  
1028 Environmental Protection Administration (~~EPA~~) ~~is one of the well-known~~is a widely used urban  
1029 ~~storm water models for simulating~~stormwater model that can simulate rainfall-runoff routing and  
1030 pipe dynamics under ~~either~~ single or continuous events (Rossman and Huber, 2016). ~~With~~  
1031 ~~climatic and rainfall inputs, SWMM is applied~~can be used to evaluate ~~variations~~the variation in  
1032 hydrological and hydraulic processes and the performance of ~~the~~ drainage ~~systems~~systems under  
1033 ~~selected~~specific mitigation and adaptation scenarios in the context of global warming. The  
1034 hydrological component requires inputs of precipitation, ~~sub-catchment and subcatchment~~  
1035 properties, ~~such as the~~ including drainage area, ~~subcatchment~~ width ~~reflecting the time of~~  
1036 ~~concentration, and~~ imperviousness. The pipe network requires inputs ~~of~~from manholes,  
1037 pipelines, outfalls, and connections to sub-catchments (Zahmatkesh et al., 2015; Chang et al.,  
1038 2013). Basic flow-routing models include steady flow, kinematic, and dynamic wave methods.  
1039 Infiltration can be described by the Horton, Green-Ampt, or Curve Number (SCS-CN) methods.  
1040 ~~Dynamics~~The dynamics of pipe flow are calculated based on the continuity equation and Saint-  
1041 Venant equations (Rossman and Huber, 2016). Overflow occurs once the surface runoff exceeds  
1042 the pipe capacity and is expressed ~~by as~~ the ~~parameter~~value of ~~Total Flood Volume~~total flood  
1043 volume (TFV) at each overloaded manhole; i.e., the excess water from manholes after  
1044 completely filling the pipe system without taking into account the outlet discharges. Other types  
1045 of ~~results~~model outputs include ~~the~~ catchment peak flows, maximum flow rates of pipelines.

1046 and flooded hours of manholes. It should be noted that SWMM is not capable of simulating  
1047 surface inundation dynamics and cannot provide accurate estimation of the inundated zones and  
1048 depths. The TFV value is thus used to approximately reflect the flood condition and system  
1049 overloading of the drainage system overloading status. Nevertheless, surface inundation models  
1050 (e.g., Apel et al., 2009; Horritt and Bates, 2002; Vojinovic and Tutulic, 2009) are applicable if  
1051 more accurate information of the overland flow characteristics is needed about overland flow  
1052 characteristics is available. In this study, the kinematic wave routing and the Horton infiltration  
1053 model are used for model simulations. The infiltration capacity parameters for the category of  
1054 "Dry loam soils with little or no vegetation" are used in the hydrological model to be consistent  
1055 with the local soil type (Akan, 1993; Rossman and Huber, 2016) (Table 1).

1056

1057 Rainfall inputs are calculated from based on the regional storm intensifyintensity formula (SIF)  
1058 using historical climatic statistics (Zhang and Guan, 2012), as shown in) (see Equation 1-).  
1059 Application of the SIF is a standard practice for determining design rainfalls in urban drainage  
1060 modelling in China, and is well documented in the National Guidance for Design of Outdoor  
1061 Wastewater Engineering (MOHURD, 2011). In fact, the SIF represents an Intensity-Duration-  
1062 Frequency (IDF) relationship, which is a common approach in literature for estimating design  
1063 rainfall hydrographs using the Chicago Design Storms (CDS) approach (Berggren et al., 2014;  
1064 Willems et al., 2012; Zhou et al., 2013).

$$q = \frac{A(1 + D \lg(P))}{(t + b)^c} \quad \text{Eq. (1)}$$

1065 where  $q$  is the average rainfall intensity;  $A$ ,  $b$ ,  $c$  and  $D$  are constants to describe the regional  
1066 parameters of design flow., and  $P$  and  $t$  are the design return period and duration of storm,  
1067 respectively. For thisThe typical temporal resolution considered in SIF for urban drainage

1068 modelling is minutes.  $A$ ,  $b$ ,  $c$ , and  $D$  are regional parameters governing the IDF relationship  
1069 among rainfall intensity, return period, and storm duration. For the study region, the values of  $A$ ,  
1070  $b$ ,  $c$ , and  $D$  were obtained from the local weather bureau and are equal to 635, 0, 0.61, and 0.841,  
1071 respectively.

1072 The Chicago

1073 The procedure for applying SIF to obtain CDS is outlined in the National Technical Guidelines  
1074 for Establishment of Intensity-Duration-Frequency Curve and Design Storms (CDS) approach is  
1075 then employed to estimate Rainstorm Profile (MOHURD, 2014; Zhang et al., 2008; Zhang et al.,  
1076 2015). Specifically, for a given return period, the SIF is fitted into the Horner's equation as:

$$i = \frac{a}{(t + b)^c} \quad \text{Eq. (2)}$$

1077  
1078 The synthetic rainfall hyetographs for a number of prescribed return periods, hyetograph based on  
1079 the parameters Chicago method is computed using Equation 2 and an additional parameter  $r$   
1080 (where  $0 < r < 1$ ), which determines the relative time step of the derived SIF (Zhang et al., 2008).  
1081 peak intensity,  $t_p = r*t$ . The time distribution of rainfall intensity is then described after the peak  $t_a$   
1082  $= (1-r)*t$  and before the peak  $t_b = r*t$  using Equations 3 and 4, respectively, where  $i_b$  and  $i_a$  are  
1083 the instantaneous rainfall intensity before and after the peak:

$$i_a = \frac{a \left[ \frac{(1-c)t_a}{(1-r)} + b \right]}{\left( \frac{t_a}{(1-r)} + b \right)^{1+c}} \quad \text{Eq. (3)}$$

$$i_b = \frac{a \left[ \frac{(1-c)t_b}{r} + b \right]}{\left( \frac{t_b}{r} + b \right)^{1+c}} \quad \text{Eq. (4)}$$

1084 In this study, ~~there are in total~~ we considered 10 return periods ~~of interest~~, i.e., the 1-, 2-, 3-,  
1085 10-, 20-, 50-, 100-, 200-, 500- and 1000-year events. ~~The A~~ 4-hour rainfall time series was  
1086 generated for each return period at 10-minute intervals based on Equations 1–4. We assumed  
1087 that the SIF was constant without considering the non-stationary features in a changing climate.  
1088 That is, the IDF relationships were assumed to remain stable in the future and only changes in  
1089 the daily mean intensity were considered because of the limited data availability in future sub-  
1090 hourly climate projections from which to derive the parameters.

1091  
1092 As for future climate, the projected changes (i.e., change factors) in precipitation intensity at  
1093 various return periods ~~are~~ were calculated ~~based on the climate projection~~ for each GCM-RCP  
1094 combination (Table 1). ~~The derived change ratios are 2).~~ Specifically, for each year, the annual  
1095 maximum daily precipitation was determined for both historical and future periods. The  
1096 generalised extreme value (GEV) distribution was then fitted separately to the two sets of daily  
1097 values (Coles 2001; Katz et al. 2002). The goodness-of-fit was tested by calculating the  
1098 Kolmogorov–Smirnov and Anderson–Darling statistics. The value corresponding to each return  
1099 period was estimated based on the GEV distribution and the changes between future and  
1100 historical periods were calculated as the change factors. The derived change factor for each  
1101 return period was then multiplied ~~to~~ by the ~~synthetic~~ historical design CDS rainfall  
1102 hyetograph time series to ~~drive the~~ derive future precipitation intensity climate scenarios. ~~The~~  
1103 kinematic wave routing and the Horton infiltration model are used for model simulations. ~~The~~  
1104 model We acknowledge that the estimation of changes in extreme precipitation events involves  
1105 inevitable uncertainties and therefore caution should be exercised when interpreting the relevant

1106 results, especially the overloaded manholes, are validated against historical records of flood  
1107 events..

1109 d. Flood riskvolume assessment

1110 The TFV values corresponding to each of given rainfall event at various return periods are events  
1111 were simulated by the SWMM. The TFV return period relationship, as a proxy for flood  
1112 damage illustration (A log-linear relationship is assumed to characterize the changes in flood  
1113 volume with the increase in precipitation intensity as indicated by return periods (Figure 2a)  
1114 following Zhou et al., (2012;) and Olsen et al., 2015), is established to reflect the changes in  
1115 flood consequence as a function of return period. (2015). Generally, more intense rainfall inputs  
1116 will induce higher TFVs. Similarly, for will induce higher TFVs. The TFVs were further linked  
1117 to their occurrence frequencies to derive the expected flood volume for a flood event at a specific  
1118 probability (Figure 2b). The total grey area under the curve represents the average total TFVs per  
1119 year for all floods at various return periods. The contribution of an individual flood risk  
1120 description, the TFV is further linked to the occurrence probability of the event (Figure 2), which  
1121 is used to demonstrate the relative contributions of individual return periods to total flood risks.  
1122 Therefore, it is not surprising that the larger events, associated with higher flood damage may  
1123 contribute less to the total flood risk/annual damage given their low probabilities TFVs is  
1124 dependent not only on the flood volume, but also its corresponding probability of occurrence.  
1125 If Intensified precipitation is expected that climate change will to increase the magnitude of  
1126 system overflow and lead to, resulting in an upward trend in the damage curve. Consequently,  
1127 the peak of the risk curve is likely to move towards the areas with lower return periods TFV-  
1128 return period relationship and increased total TFVs. Mitigation and adaptation, on the contrary,

1129 are aimed ~~to reduce at reducing~~ or ~~prevent those preventing the~~ impacts of global warming on  
1130 flood ~~damage and risks volumes~~.

1131

1132 e. Design of adaptation scenarios

1133 ~~Changes in precipitation intensity associated with climate change have the potential to~~  
1134 ~~overburden the drainage systems.~~ In this study, two adaptation scenarios ~~are~~were designed to  
1135 explore the ~~effects~~role of adaptation ~~on~~in reducing ~~urban~~ flood ~~risks induced by volume within~~  
1136 ~~the context of~~ climate change. The first scenario ~~adapts~~adapted the drainage system as planned  
1137 by the water authorities to cope with the designed standard of a 3-year design event. It  
1138 ~~involves~~involved two main improvements of the current drainage, ~~by~~ system—enhancing the  
1139 pipeline ~~diameters~~diameter and expanding the pipe network. The design ~~is~~was implemented in  
1140 the SWMM model as shown in Figure 1c. ~~The number of pipelines of the present-day and~~  
1141 ~~adapted systems was 323 and 488, with a total pipe length of 251.6 km and 375.4 km,~~  
1142 ~~respectively. In the adapted scenarios, the mean pipeline diameter was about 1.73 m, which~~  
1143 ~~increased by 53% compared to that of the present-day system.~~

1144

1145 A variety of site-specific factors ~~can also influence the drainage performance in managing the~~  
1146 ~~surface runoff~~, such as the imperviousness of land area in the drainage basin, ~~can also influence~~  
1147 ~~the performance of a drainage system in managing surface runoff~~. The second ~~adaptation~~  
1148 scenario ~~is~~was to increase the permeable surfaces (e.g., green spaces) and reduce the regional  
1149 imperviousness ~~in the study region on the basis of pipe capacity enhancement~~. This scenario is  
1150 referred ~~to~~ as ~~to~~ the Low Impact Development (LID) scenario ~~that aims, and it was used~~ to  
1151 explore the ~~potential~~effectiveness of ~~decentralized and urban~~ green measures, such as ~~the use of~~

1152 permeable pavements, infiltration trenches, and green roofs. Using the~~Due to a lack of detailed~~  
1153 information about the permeable soil and coverage rates in the study region, the effects of these  
1154 specific measures cannot be modelled individually. Here, we used a simplified approach by  
1155 altering the subcatchment imperviousness to reflect the combined effects of infiltration-related  
1156 measures. We derived such information by comparing the current and planned land use maps  
1157 using a geographical information system (GIS), we select sub-catchments that are amendable for  
1158 and incorporated the changes in land use and imperviousness into the designed LID adaptation  
1159 based on scenarios. Figure 1d shows the difference between the current and planned land use  
1160 types. Specifically, the in weighted mean imperviousness (WMI) is calculated for each sub-  
1161 catchment polygon subcatchment in the ~~two~~current and planned maps, using the commonly  
1162 applied impervious factors (Pazwash, 2011; Butler and Davies, 2004) for each ~~type of land use~~.  
1163 As shown in Figure 1d, a land use type. The difference in WMI was used to indicate the area  
1164 potential for adaptation based on the city plan. For example, a subcatchment with higher positive  
1165 change changes in the WMI indicates that the area is ~~expected~~planned to ~~experience~~decreased  
1166 regional mean have a land use type with lower imperviousness in designed adaptation  
1167 scenarios and therefore is assumed to be more suitable for LID planning, and vice versa.  
1168

### 1169 3. Results

#### 1170 a. Impacts of future climate change on urban ~~floods~~flood volumes

1171 Figure 3 shows the ~~predicted impacts of future projected~~ climate change impacts on urban  
1172 ~~floods~~flooding using the ~~current present-day~~ drainage system ~~by of~~ the near future period(i.e.,  
1173 2020–2040 ~~as compared to~~ under the historical period. It is found that withoutRCP 8.5 scenario.  
1174 Without climate change mitigation or adaptation (i.e., RCP8.5 and, the current drainage system),

1175 climate change isTFV was projected to lead to significant increase insignificantly with the total  
1176 flood volume (TFV)increase of extreme rainfall events for variousmost of investigated return  
1177 periods. We note (Table 2). Note that a small proportion of the projected TFVs (i.e. lower bound  
1178 atbounds for return periods of 1, 3, and 1000 years) fall below the current TFV curve. Under  
1179 such circumstance, climate change will lead to decreased due to the decrease in precipitation  
1180 intensitiesand so that the TFVs drop accordingly. Despite the large uncertainty associated with  
1181 climate modelsprojections, in particular with the 1-, 10-, and 1000-years-year return periods, the  
1182 poor service performance of the current system is-in coping with urban flooding was evident.  
1183 Overall, the urban flooding isflood volume was projected to increase by 52% on average with-a  
1184 standard deviation of ~73% as projected by the multi-model ensemble median in-the period of by  
1185 2020-2040, with; the largest increase (258%) associated withwas projected for the 1-yr  
1186 eventsyear event and the smallest increase (12%) associated withfor the 100-yr eventsyear event.  
1187

1188 b. Benefits of climate change mitigation onin reducing urban floodsflood volumes

1189 Figure 4 shows the avoided flood risks due to GHG mitigations (i.e. comparison of TFVs under  
1190 the difference between RCP2.6 and RCP8RCP 8.5 scenario (i.e., a business-as-usual scenario)  
1191 and the related uncertaintiesRCP 2.6 scenario (i.e., a climate change mitigation scenario).  
1192 Although large uncertainties exist as indicated by the bounds of the damage and risk curves, a  
1193 consistent trend of damage and risk reduction can be observed between the scenarios with and  
1194 without climate mitigation. The mitigation effects quantified through the relative changes of the  
1195 median TFVs showarising from climate models, it is clear that future-the simulated TFVs are  
1196 much smaller under the RCP 2.6 scenario than under the RCP 8.5 scenario, demonstrating the  
1197 benefits of climate mitigation in reducing local urban flood management would benefit most  
1198 from the global GHG mitigationvolumes. Such benefits are especially evident for floods withfor

smaller return periods. For example, an increase of ~~936.44 m<sup>3</sup> in~~ <sup>in</sup> m<sup>3</sup> in flood volume is projected with the increase in 1-year extreme rainfall under the business-as-usual climate change scenario (i.e., RCP 8.5), 52% of which would be reduced if climate change mitigation is in place (i.e., under RCP 2.6). Overall, climate change mitigation can reduce future flood volumes by 13% compared to the scenario without mitigation, as indicated by the multi-model ensemble median. Notably, the peak of the total ~~flood volume is~~ TFV curve was even projected ~~to shift from~~ the 1-year event ~~due to climate change (i.e., under RCP8.5), while 52% of which would be reduced under the climate mitigation scenario (i.e., under RCP2.6). As for the occurrence probability under the RCP 8.5 scenario to the 3-year event under the RCP 2.6 scenario (Figure 4b), notably, the peak of risks is projected to shift from 1-yr events under the RCP8.5 scenario towards 2-yr events under the RCP2.6 scenario, in which global-scale GHG mitigation is in place. Such~~ a shift in ~~risks towards less frequent~~ the peak toward smaller return periods, combined with a flatter ~~risk~~ curve, demonstrates the ~~benefits~~ <sup>important role</sup> of climate mitigation in ~~reducing the regulating local urban flood risks. Integrated over all return periods, the increase in~~ ~~flood risks under RCP2.6 is projected to be 13% less than that under RCP8.5 in the multi-model ensemble median volumes.~~

c. Benefits of adaptation ~~on~~ in reducing urban ~~floods~~ <sup>flood</sup> volumes

The effects of the two proposed adaptation scenarios in drainage systems were then examined for the 10 rainfall events. Figure 5 shows the spatial location of overloaded pipelines (red colour) with and without ~~adaptations~~ <sup>adaptation</sup>. The simulated results under the present climate conditions, with the 3-~~yr~~ <sup>year</sup> event (recommended service level) and 50-~~yr~~ <sup>year</sup> event (one typical extreme event) selected for illustration. It is found that current pipe capacities are insufficient to cope with the flooding especially when experiencing the 50-yr event without

1223 adaptations. The poor performance of the drainage system leads to scattered flooding across the  
1224 region were selected to illustrate the role of adaptation in coping with floods in the historical  
1225 period. As shown in Figure 5a, the simulated locations of overloaded pipelines are in good  
1226 agreement with historical flood points as recorded by local water authorities. Overall, the  
1227 percentage of overloaded manholes (POM) and the ratio of flood volume (RFV) ratio is up to 37%  
1228 and 35% in current drainage system, respectively. are up to 37% and 35% in the current drainage  
1229 system (Figure 5a), respectively. When experiencing a 50-year extreme rainfall, the POM and  
1230 RFV increase to 67% and 38%, respectively. This indicates that current pipe capacities are  
1231 insufficient to cope with extreme rainfall events (Figure 5b). Spatially, the central portion of the  
1232 city is the most affected region due to the low service level in the area. With proposed adaptation  
1233 scenarios, such risksadaptations, urban floods can be reduced to zero. The under a 3-year flood  
1234 event. Such benefits of local adaptations are also evident when experiencing more intense  
1235 precipitation (i.e. 50-yr events), by reducing (e.g., 50-year events), for which the POM and RFV  
1236 reduced from 67% and 50% to 1949% and 17%, respectively.

1237

1238 In the context of climate change, we first assessed the correlation between the projected Figure 6  
1239 shows the future changes in precipitation intensity with the changes in TFVs (i.e., urban flood  
1240 volume (CTFVs)) ( $CTFV = (TFV_c - TFV_{nc}) / TFV_{nc}$ , where  $c$  and  $nc$  represent the results with and  
1241 without climate change impacts, respectively) with and without adaptations. It is found changes  
1242 in Figure 6 that extreme rainfall for various return periods. The performance of the current  
1243 drainage system (no adaptation) is was found to be less sensitive to future climate change (i.e.,  
1244 with a, as indicated by the flatter slope) in Figure 6. For example, for return periods of 3, 50 and  
1245 500 years, the CTFV is projected to be 1.6166, 1.3221 and 1.3544 with increase of precipitation

1246 intensity by 1.3369, 1.2119 and 1.2449, respectively. With smaller return periods, in particular  
1247 the 1-yr event, a larger increase in the CTFV is observed. The results indicate that the service  
1248 level of current drainage system is too low to even cope with present-day precipitation extremes,  
1249 not to mention those in the future. Therefore, the CTFV is almost independent of the drainage  
1250 capacity, and exhibits significant linear relationship with the a similar magnitude of changes in  
1251 precipitation intensity.

1252

1253 For both adaptation scenarios, a considerable increase in the ratio between the CTFV and flood  
1254 volume was projected given changes in precipitation intensity is observed extreme rainfall for the  
1255 return periods below 10 of 3, 50, and 500 years; the CTFV is 0.62, 0.32 and 0.35 for these periods,  
1256 respectively. This implies that the designed adaptation can effectively attenuate is because the  
1257 capacity of the current system is too small to handle extreme rainfall events with small return  
1258 periods and thus lead to low  $TFV_{nc}$  values. As a result, relative changes in TFVs of these events  
1259 (i.e., percentage of change) are higher return periods larger than 1 year—a condition under which  
1260 the current drainage system would be flooded completely, not to mention the situations with  
1261 increased precipitation rainfall intensity in the future. Mathematically, the low sensitivity of the  
1262 current drainage system to changes in extreme rainfall intensity could be attributed to the large  
1263 value of the denominator in the calculation of CTFV.

1264

1265 With adaptations in place, the flood volume becomes much smaller than that in the current  
1266 system due to capacity upgrading to hold more water. For example, when experiencing a 10-year  
1267 extreme rainfall event, the urban flood volumes for the present period (i.e.,  $TFV_{nc}$ ) are 1041,230,  
1268 274,650 and 180,610  $m^3$  in the current and two adapted systems, respectively, while in the future

1269 period, the magnitude of flood volume (i.e.,  $TFV_c$ ) is relatively similar among the three drainage  
1270 systems. Therefore, future CTFVs relative to the historical period are much larger in the adapted  
1271 systems than in the current system. The larger CTFVs in the adapted systems do not mean a  
1272 worsened drainage system performance. Rather, they imply that the capacity (i.e., service level)  
1273 of adapted drainage systems tends to become lower with climate change, while the current  
1274 drainage system has already reached its peak capacity in handling extreme rainfall events in the  
1275 historical period and thus shows a low sensitivity to future increases in rainfall intensity under  
1276 climate change. For intense precipitation scenarios. Notably, the considerable increases in the  
1277 CTFVs for return periods of less than 10 years in the adapted systems imply that the designed  
1278 adaptations can effectively attenuate extreme rainfall events with for small return period  $\geq 50$   
1279 years, however, more periods. For more extreme rainfall events of return periods  $> 50$  years,  
1280 more consistent results are were found for both adaptation scenarios. This result implies indicates  
1281 that although the performances of adapted drainage systems with designed adaptation measures  
1282 are significantly improved compared to that of the current system, risks associated with events  
1283 heavier than 50 year return period remain large as flooding under such the flood volume remains  
1284 large when experiencing extreme rainfall events with return periods larger than 50 years, because  
1285 flooding in such cases will push the adapted drainage systems to their upper limits.

1286  
1287 d. Climate mitigation versus drainage adaptation

1288 Figure 7 shows the comparison of benefits (i.e., avoided TTVs) as results of the designed  
1289 adaptation measures and GHG reduced TTVs by climate change mitigation and drainage system  
1290 adaptation as functions of the return period. It is evident that the designed local scale adaptation  
1291 and global scale GHG both mitigation and adaptation measures are effective in reducing future

1292 urban flood ~~risks, but the volumes. However, such~~ benefits are ~~clearly correlated with the return~~  
1293 ~~period. In general, the benefits of both climate mitigation and adaptation of the drainage system~~  
1294 ~~are~~ projected to weaken gradually with the increase ~~of~~ rainfall intensity (i.e., larger return  
1295 periods). Importantly, ~~our results show that~~ the two ~~proposed~~ adaptation ~~strategies~~~~systems~~  
1296 ~~proposed in this study~~ are found to be more effective in reducing urban floods than ~~the~~  
1297 ~~global~~~~climate change~~ mitigation ~~of GHG emissions for the study region~~. In most cases, the  
1298 benefits of ~~local~~ adaptation ~~are~~ more than double ~~the level that can be those of mitigation~~. In  
1299 ~~extreme cases, the reduction in~~ ~~TFV~~ achieved by ~~mitigation~~. In ~~extreme cases, the reduction in~~  
1300 ~~urban flood risks through adapting the drainage system is found to be~~ ~~adaptation is~~ five times  
1301 more than that ~~through~~~~achieved by~~ climate ~~change~~ mitigation (i.e., for the return periods of 2–  
1302 ~~10–3~~ years). Such effectiveness of ~~reducing~~ urban ~~floods~~~~flood reduction~~ through ~~the~~~~designed~~  
1303 ~~adaptation measures~~~~drainage system adaptations~~ has ~~great~~~~profound~~ implications for ~~the~~~~local~~  
1304 ~~authority in~~~~governments charged with~~ managing urban ~~flood risks~~~~flooding in the future~~. Notably,  
1305 the second scenario (LID+pipe) ~~achieves~~~~exhibited~~ a higher level of ~~risk~~~~flood volume~~ reduction  
1306 than the pipe scenario ~~across all in~~~~coping with extreme rainfall events for all investigated~~ return  
1307 periods. This implies that implementation of LID measures to augment drainage system ~~capacity~~  
1308 is more effective ~~from the hydrological perspective by~~~~through~~ reducing upstream loadings ~~when~~  
1309 compared to ~~adapting~~~~updating~~ the pipe system alone.

1310

1311 ~~Uncertainty~~It is noted that local soil characteristics could affect the performance of the designed  
1312 ~~adaptation systems, in particular the LID measures. However, information about soil properties~~  
1313 ~~was not available at the subcatchment level in the study region. Here, a set of sensitivity~~  
1314 ~~experiments were conducted by adopting different parameters (e.g., infiltration values)~~

1315 associated with possible soil conditions (i.e., dry sand, loam, and clay soils with little or no  
1316 vegetation in Table 1) for the area. The boundary bars in Figure 7 show the uncertainty range  
1317 arising from the representation of different soil conditions in the drainage model. The benefits of  
1318 the designed adaptation measures in reducing urban flood volumes were found to be robust  
1319 regardless of soil conditions, and such benefits exceeded those of climate change mitigation,  
1320 confirming our major conclusions found in this study.

1321

#### 1322 4. Uncertainties and Limitations

1323 ~~There are aA~~ number of uncertainties ~~that can affect the results of this study due to uncertainties~~  
1324 ~~associated with every step in the impact assessment modeling, namely, the~~ ~~and~~ ~~limitations arise~~  
1325 ~~from the model~~ structure~~/parameters of the drainage model, parameter inputs~~, emission scenarios,  
1326 GCMs, climate downscaling/bias-correction approaches, ~~etc~~. Specifically, climate projections by  
1327 GCMs are subject to ~~significatlarge~~ uncertainties, in particular regarding precipitation (Covey  
1328 et al., 2003). ~~Precipitation from~~) at spatial scales, which are relevant for urban flood modelling.  
1329 An alternative approach is to simulate future climate using a regional climate model (RCM)  
1330 nested within a GCM. Such climate projections by RCMs have added value in terms of higher  
1331 spatial resolution, which can provide more detailed regional climate information. However,  
1332 various levels of bias would still remain in RCM simulations (Teutschbein and Seibert 2012) and  
1333 bias corrections of RCM projections would be required; e.g., the European project ENSEMBLES  
1334 (Hewitt and Griggs 2004; Christensen et al. 2008). To run a RCM was not within the scope of  
1335 this study; instead, we tended to use publicly available climate projections. Here, we obtained the  
1336 climate projections from the ISI-MIP (Warszawski et al. 2014), which provides spatially  
1337 downscaled climate data for impact models. The climate projections were also bias-corrected

1338 against observations (Hempel et al. 2013) and have been widely used in climate change impact  
1339 studies on hydrological extremes such as floods and droughts (e.g., Dankers et al. 2014;  
1340 Prudhomme et al. 2014; Leng et al. 2015a). It should be noted that we used the delta change  
1341 factor to derive future climate scenarios as inputs into our drainage model instead of using GCM  
1342 climate directly. This is because the relative climate change signal simulated by GCMs differs  
1343 significantly from observations, which make it difficult to use GCM outputs directly as inputs to  
1344 urban drainage models. In this study, similar to that in many other impact studies, the delta  
1345 change method was applied to combine climate change information produced by GCMs with  
1346 observational precipitation intensity. Using this method, climate inputs for a future time period  
1347 are computed by multiplying the ratios between future and current time periods is argued to be  
1348 more reliable than the simulated absolute values (Ho et al. 2012). Moreover, we used an  
1349 ensemble of GCM simulations rather than one single climate model in order to characterise the  
1350 uncertainty range arising from GCMs to the observed time series. Then, changes in urban flood  
1351 risks are investigated using observed and adjusted climate data. Disadvantages of climate  
1352 projections. However, disadvantages of this method lie in are that transient climate changes  
1353 cannot be represented and that changes in intra-seasonal or daily climate variability are not taken  
1354 into account (Leng and Tang, 2014). Such sources of uncertainty can be explored when  
1355 improved climate models at finer scales become available (Jaramillo and Nazemi 2017).  
1356 The drainage model itself is also subject to uncertainties associated with the representation of  
1357 drainage system itself. The calculation of flood volume is inevitably affected by uncertainties  
1358 associated with current and future land cover maps, catchment properties and geographical  
1359 conditions. Although progresses have been made to estimate drainage network and subcatchment  
1360 division by field surveys and geographic information systems, the uncertainty related to the

1361 process can still be high due to accumulation of uncertainty sources. This study employs a 1D  
1362 drainage modeling approach, which is less computationally demanding but fails to represent the  
1363 complexity of

1364 In addition, the SIF parameters were assumed to remain stable in the future and only changes in  
1365 the daily mean intensity were considered, because future sub-hourly climate projections were not  
1366 readily available. The full climate variability range would also be under-sampled, although we  
1367 used five climate models to show the possible range. Given the above limitations, we  
1368 acknowledge that the modelling results represent the first-order potential climate change impacts  
1369 on urban floods. Future efforts should be devoted to the representation of dynamic rainfall  
1370 changes at hourly time steps with consideration of non-stationary climate change.

1371

1372 Moreover, several assumptions had to be made due to limitations of the current modelling  
1373 structure and approach. For example, the conveyance capacities of the drainage system and flood  
1374 volume would largely depend on the state of drainage systems. Hence, a drainage system  
1375 obstructed by vegetation, waste, or artefacts (cables, pipes, temporary constructions) can make  
1376 the outcomes of the SWMM calculation significantly different from observations. However,  
1377 quantifying the impacts of drainage system states on urban flood volumes is not trivial because  
1378 of the difficulties involved in collecting field data and selecting and using appropriate methods  
1379 for reasonable assessment of pipe conditions (Ana and Bauwens, 2007; Fenner, 2000), and was  
1380 not within the scope of this study. With deterioration, such as ageing network, pipe deterioration,  
1381 blockage, and construction failures, drainage systems were shown to become more vulnerable to  
1382 extreme rainfalls as demonstrated in previous studies (Dawson et al., 2008; CIRIA, 1997; Davies

1383 et al., 2001). It is very likely that our simulated urban flood volumes would be underestimated  
1384 without considering the changes in drainage conditions (Pollert et al., 2005).

1385  
1386 Further, constrained by the one-dimensional modelling approach using SWMM, the  
1387 performances of LID measures were mainly evaluated according to their effects in reducing  
1388 water volume from overloaded manholes (Oraei Zare et al., 2012; Lee et al., 2013). That is, the  
1389 LID adaptation measure was mainly designed to reduce the amount of water rather than slowing  
1390 down the water speed, which has been demonstrated to be effective in reducing urban floods  
1391 (Messner et al., 2006; Ashley et al., 2007; Floodsite, 2009). However, it should be noted that  
1392 most LID measures can reduce runoff volume and flow speed at the same time, although some of  
1393 the LID measures are primarily designed to slow down the flow speed, i.e., vegetated swales. To  
1394 examine whether flood retention of a given event is induced by runoff volume or the internal  
1395 speed control function in the model is difficult and requires detailed data for model validations.  
1396 Specifically, the required information about surface inundation. The estimation of the damage  
1397 and risk of flooding are based on the description of flood volume from overloading nodes, which  
1398 neglects the surface flood propagation from upstream to downstream nodes and could therefore  
1399 underestimate the downstream flooding conditions. Two-dimensional flood models can be  
1400 incorporated to provide assessment of surface inundation extent and relevant hazard indicators.  
1401 Further, due to limited data on planned adaptation scenarios, especially for the LID  
1402 measures roughness, soil conductivity, and seepage rate were unavailable at the subcatchment  
1403 scale in the study region. Therefore, a simplified modelingmodelling approach was used to take  
1404 advantage of existing data. In a situation where, especially for the design of LID measures. With  
1405 the aid of more detailed case studyfield data and planning documentsare accessible, the design

1406 of LID modeling shouldmeasures could be significantly improved by implementing more  
1407 advanced approaches (Elliott and Trowsdale, 2007; Zoppou, 2001). Evaluation of additional  
1408 other potential adaptation strategies, such as flood retention by rain gardens and green roofs,  
1409 needs tocan be explored in the future to gain a more comprehensive understandingadditional  
1410 insights into the performance of LID systems. In particular, the cost-effectiveness of the  
1411 proposed adaptation measures needs toshould be examined to better understand the feasibility of  
1412 different adaptation scenariosaccounted for. Nevertheless, given these limitations, this study  
1413 stands out from previous climate impact assessment studies onof urban floodsflood volumes by  
1414 proposinghaving proposed two feasible adaptationsadaptation strategies and comparecompared  
1415 their benefits to thatthose from theglobal-scale climate change mitigations through GHG  
1416 mitigationreductions within a consistent framework. Depending on the progress on data  
1417 collection and the demands of local authorities, more advanced methods for pipe assessment (e.g.,  
1418 considering the changing pipe conditions), LID measures (detailed modelling of LID control),  
1419 and two-dimensional surface flooding for assessment of flood damage and risk are planned in a  
1420 future study to provide a more comprehensive analysis of the adaptation measures.

1421

## 1422 5. Summary and Conclusions

1423 InThe potential impacts of future climate change on current urban drainage systems have  
1424 received increasing attention during recent year, moredecades because of the devastating impacts  
1425 of urban flooding on the economy and morestudies on the improvement/adaptation of existing  
1426 drainage systems in response to climate change have emergedsociety (Chang et al., 2013; Zhou  
1427 et al., 2012; Abdellatif et al., 2015). Despite these efforts on examining the However, few studies  
1428 have explored the role of both climate change impacts on urban drainage systems, limited

1429 attention has been paid to the joint analyses on urban flooding risks associated GHG mitigation  
1430 and adaptation measures drainage adaptations in coping with urban flooding in a changing  
1431 climate. This study assesses potential urban flood risks investigated the performance of a  
1432 drainage system in a typical city in Northern China in response to various future climate change  
1433 in a typical urban area (Hohhot City), North of China scenarios. In particular, we focus  
1434 on assessed the potential changes in future urban flood risks under various volume and explored  
1435 the role of both mitigation and adaptation scenarios in reducing urban flood volumes in a  
1436 consistent evaluation framework manner.

1437 Although large uncertainties in the damage and risk estimations exist, some robust conclusions  
1438 can be drawn based on our results. Without climate mitigation or adaptation,  
1439 Our results show significant increases in urban flood risks are projected volumes due to  
1440 intensified increases in precipitation for all investigated return periods extremes, especially for  
1441 return periods lower of less than 10 years. Overall, floods risks are urban flood volume in the  
1442 study region is projected to increase by 52% under the multi-model ensemble median in the  
1443 period of 2020–2040, and the magnitudes of increase depend on precipitation intensity. Such  
1444 increases in flood risks volume can be reduced considerably by climate mitigations change  
1445 mitigation through reducing reduction of GHG emissions. For example, the risks for 1 yr future  
1446 TFVs under 1-year extreme rainfall events can be reduced by 50% by switching the climate  
1447 scenario from RCP8.5 to RCP2.6, demonstrating the benefits of GHG mitigations.

1448 when climate change mitigation is in place. Besides the global-scale efforts of GHG  
1449 mitigations climate change mitigation, regional/local adaptations adaptation can be implemented  
1450 to reduce scope with the adverse impacts of future climate change on local floods urban flood  
1451 volumes. Here, we the adaptation measures as designed in this study were demonstrated the value

1452 of adaptation measures by designing two alternative scenarios and compare their effectiveness to  
1453 that of GHG mitigation. We found that the designed adaptation scenarios are to be much more  
1454 effective in reducing future flood risks, through which the achieved risk reduction is volumes  
1455 than climate change mitigation measures. In general, the reduced flood volumes achieved by  
1456 adaptation were more than double the level that can be those achieved through the mitigation  
1457 scenario. In addition, it is found that implementing LID measures in the local context to augment  
1458 adaptations in the pipe can be more effective in reducing flood risks from the hydrological point  
1459 of view.

1460 We acknowledge that findings from this case study are subjected to limitations associated with by  
1461 climate scenarios, drainage model, and the region of interest. However, this study can provide  
1462 insights on urban flood managements for similar urban areas in China, many of which are still  
1463 equipped with highly insufficient drainage capacities. The existing drainage service level is  
1464 generally below or merely at return period of one to two years in many cities, therefore needs to  
1465 be extensively upgraded to handle the potential impacts in response to non-stationary  
1466 precipitation extremes. Appropriate adaptation measures at the regional level can significantly  
1467 enhance the performance of drainage systems and reduce the potential flood damage. change  
1468 mitigation.

1469  
1470 Through a comprehensive investigation of future urban floods, this study confirmed a large  
1471 increase of potential urban floods in response to future climate change and highlight the  
1472 effectiveness of adaptation in drainage systems in coping with such risks. Our results have  
1473 great provides much-needed insights into urban flood management for similar urban areas in  
1474 China, most of which are equipped with highly insufficient drainage capacities. By comparing

1475 the reduction of flood volume by climate change mitigation (via reduction of GHG emissions)  
1476 and local adaptation (via improvement of drainage systems), this study highlights the  
1477 effectiveness of system adaptations in reducing future flood volumes. This has important  
1478 implications for the research community and decision-making for better managing urban floods  
1479 and emphasizemakers involved in urban flood management. We emphasise the importance of  
1480 accounting for both global-scale GHGclimate change mitigation and local-scale adaptation in  
1481 assessing future climate impacts on urban flood risks in volumes within a consistent framework.

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## 1486 **Acknowledgments**

## 1487 **Acknowledgements**

1488 This research was supported by the Natural Science Foundation of Guangdong Province, China  
1489 (No. 2014A030310121) and the Scientific Research Foundation for the Returned Overseas  
1490 Chinese Scholars, State Education Ministry. G. Leng and M. Huang were supported by the  
1491 Integrated Assessment Research program through the Integrated Multi-sector, Multi-scale  
1492 Modeling (IM<sup>3</sup>) Scientific Focus Area (SFA) sponsored by the Biological and Environmental  
1493 Research Division of Office of Science, U.S. Department of Energy. The Pacific Northwest  
1494 National Laboratory (PNNL) is operated for the U.S. DOE by Battelle Memorial Institute under  
1495 contract DE-AC05-76RL01830

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**Table 1** Infiltration parameters for three categories of soil in the SWMM simulation

<u>Soil category</u>	<u>Infiltration parameters</u>			
	<u>MaxRate</u>	<u>MinRate</u>	<u>Decay rate</u>	<u>DryTime</u>
	<u>[in/hr]</u>	<u>[in/hr]</u>	<u>[1/hr]</u>	<u>[days]</u>
<u>Dry loam with little or no vegetation</u>	<u>3</u>	<u>0.5</u>	<u>4</u>	<u>7</u>
<u>Dry sand with little or no vegetation</u>	<u>5</u>	<u>0.7</u>	<u>5</u>	<u>5</u>
<u>Dry clay with little or no vegetation</u>	<u>1</u>	<u>20.3</u>	<u>3</u>	<u>109</u>

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**Table 2** Projected changes in precipitation intensity under return periods ranging from 1 year to 1000 years by five Global Climate Models under two Representative Concentration Pathways (RCPs)

		<u>1</u>	<u>2</u>	<u>3</u>	<u>10</u>	<u>20</u>	<u>50</u>	<u>100</u>	<u>200</u>	<u>500</u>	<u>1000</u>
<u>GFDL-ESM2M</u>	<u>RCP8.5</u>	<u>2.12</u>	<u>1.23</u>	<u>1.34</u>	<u>1.25</u>	<u>1.27</u>	<u>1.21</u>	<u>1.08</u>	<u>1.12</u>	<u>1.24</u>	<u>1.23</u>
	<u>RCP2.6</u>	<u>1.74</u>	<u>1.08</u>	<u>1.03</u>	<u>1.11</u>	<u>1.07</u>	<u>1.15</u>	<u>1.14</u>	<u>1.15</u>	<u>1.19</u>	<u>1.16</u>
<u>HadGE</u>	<u>RCP8.5</u>	<u>0.62</u>	<u>1.08</u>	<u>1.09</u>	<u>1.06</u>	<u>1.01</u>	<u>1.03</u>	<u>1.17</u>	<u>1.26</u>	<u>1.23</u>	<u>1.14</u>
	<u>RCP2.6</u>	<u>0.36</u>	<u>1.2</u>	<u>1.19</u>	<u>1.04</u>	<u>1.02</u>	<u>1.11</u>	<u>1.31</u>	<u>1.26</u>	<u>1.37</u>	<u>1.24</u>
<u>IPSL-CM5A-LR</u>	<u>RCP8.5</u>	<u>1.44</u>	<u>1.17</u>	<u>1.28</u>	<u>1.17</u>	<u>1.08</u>	<u>1.09</u>	<u>1.02</u>	<u>1.1</u>	<u>1.12</u>	<u>1.13</u>
	<u>RCP2.6</u>	<u>0.74</u>	<u>1.04</u>	<u>1.18</u>	<u>1.01</u>	<u>1.06</u>	<u>1.03</u>	<u>1.01</u>	<u>0.99</u>	<u>0.95</u>	<u>1</u>
<u>MIROC-ESM-CHEM</u>	<u>RCP8.5</u>	<u>2.13</u>	<u>1.38</u>	<u>1.3</u>	<u>1.51</u>	<u>1.32</u>	<u>1.23</u>	<u>1.17</u>	<u>1.27</u>	<u>1.16</u>	<u>1.31</u>
	<u>RCP2.6</u>	<u>0.71</u>	<u>1.12</u>	<u>1.14</u>	<u>1.18</u>	<u>1.1</u>	<u>1.07</u>	<u>1.01</u>	<u>1.09</u>	<u>1.01</u>	<u>1.09</u>
<u>NorESM1-M</u>	<u>RCP8.5</u>	<u>2.11</u>	<u>0.96</u>	<u>0.8</u>	<u>1.63</u>	<u>1.35</u>	<u>1.15</u>	<u>1.08</u>	<u>1.01</u>	<u>1.04</u>	<u>0.97</u>
	<u>RCP2.6</u>	<u>0.11</u>	<u>1.09</u>	<u>1.05</u>	<u>1.28</u>	<u>1.17</u>	<u>1.08</u>	<u>1.1</u>	<u>1.18</u>	<u>1.09</u>	<u>1.2</u>

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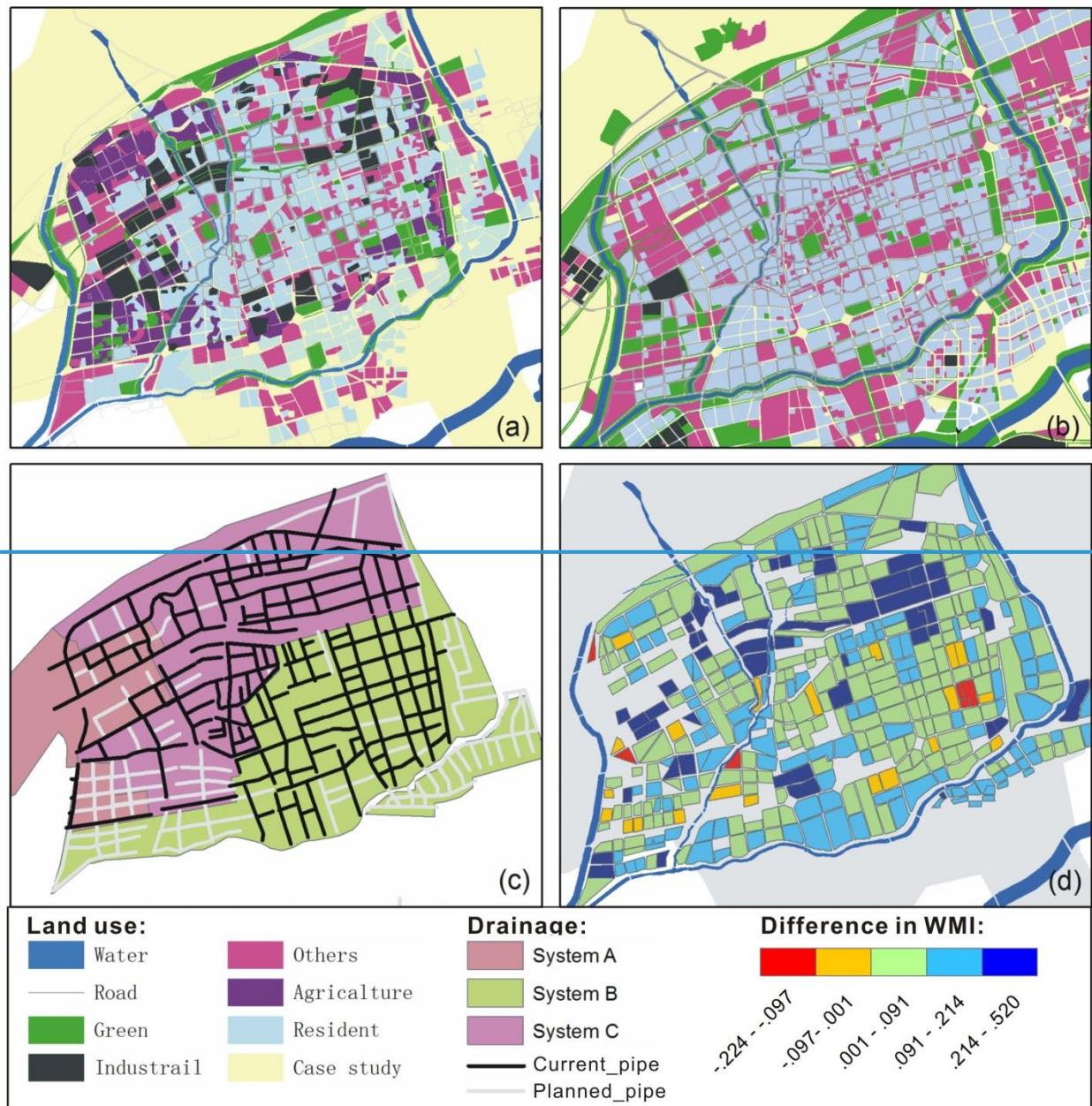
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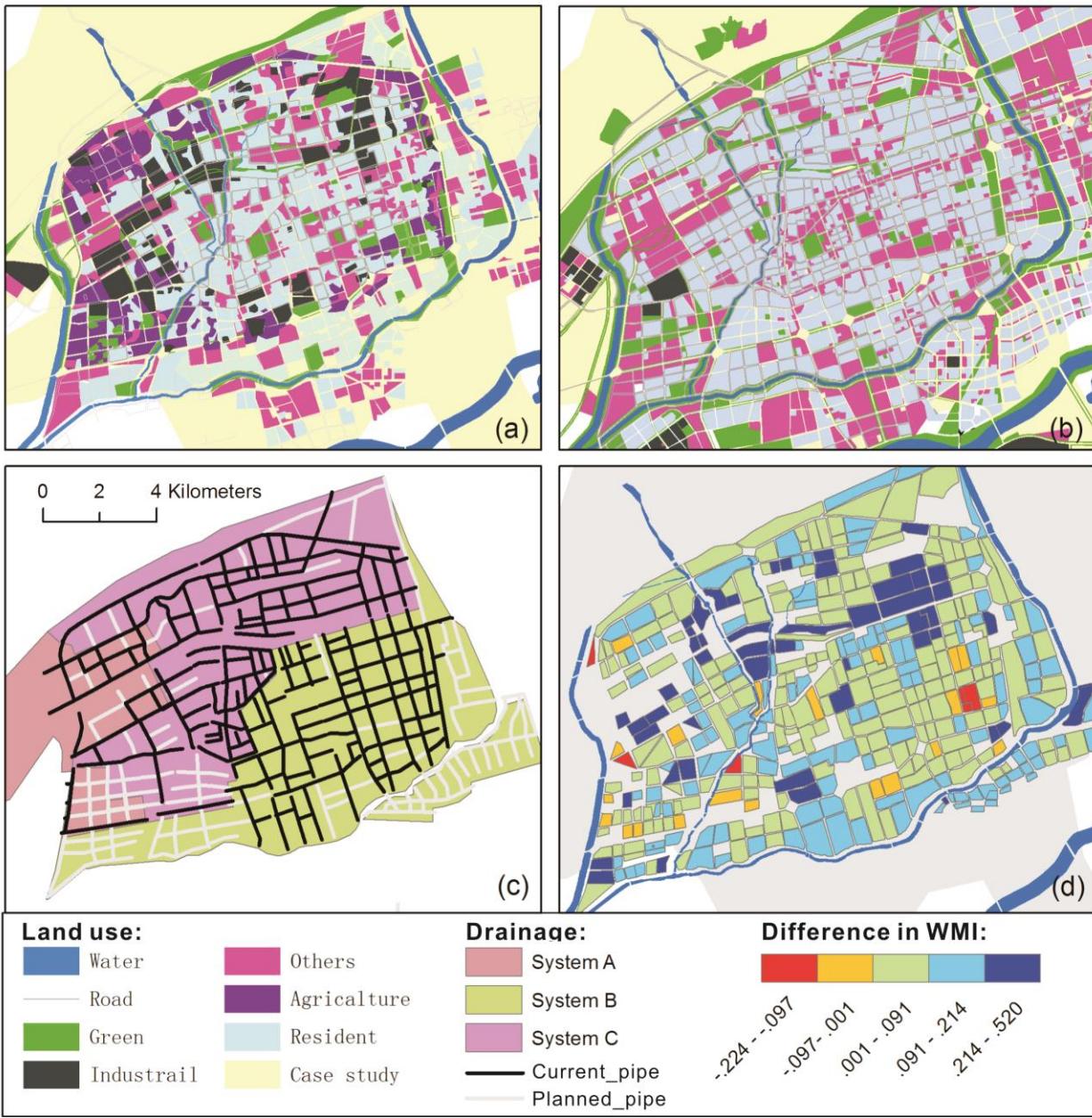
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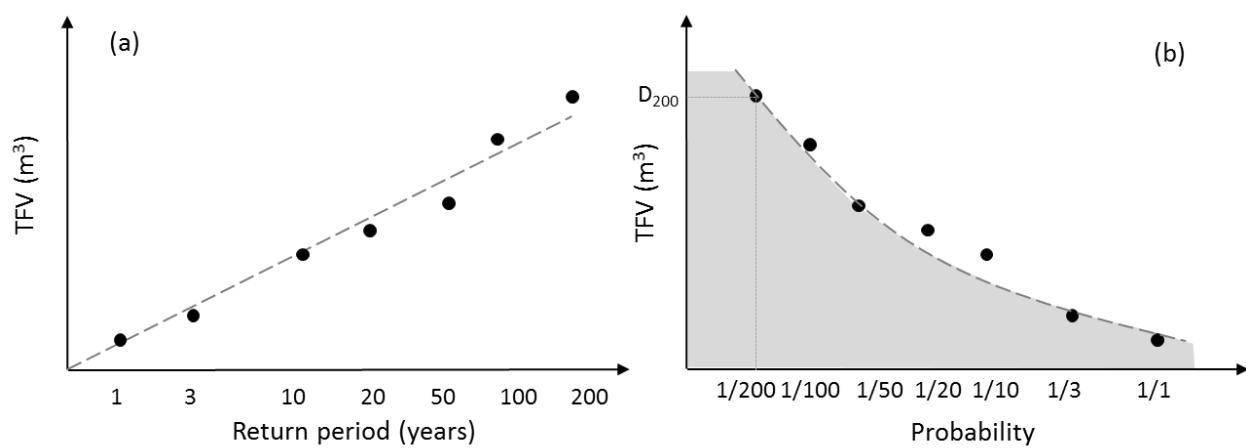
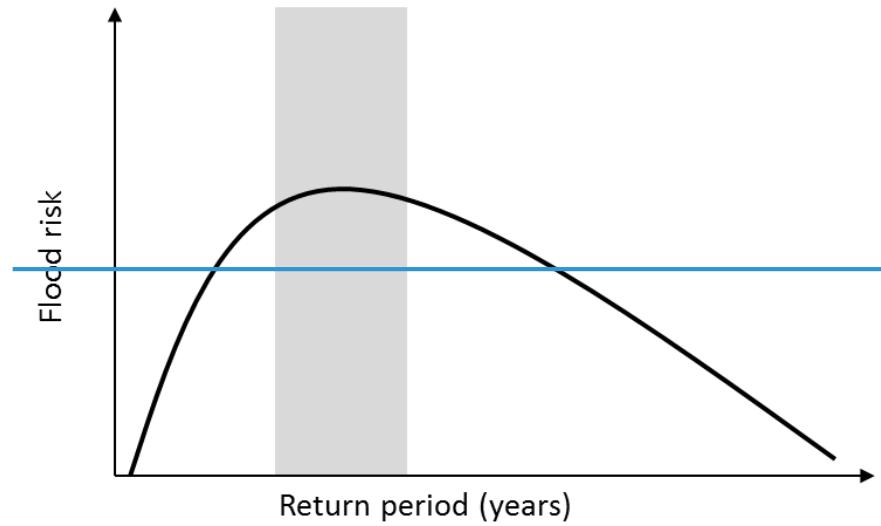
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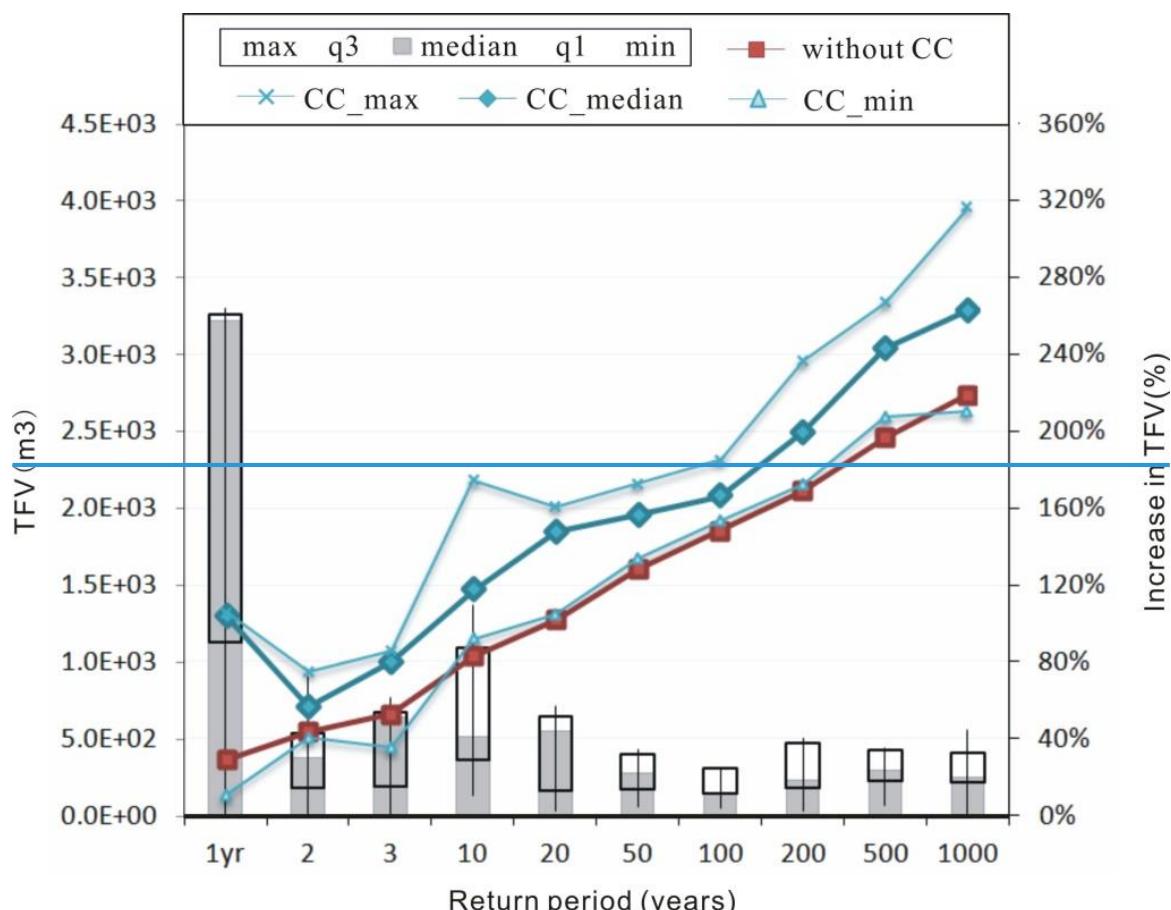
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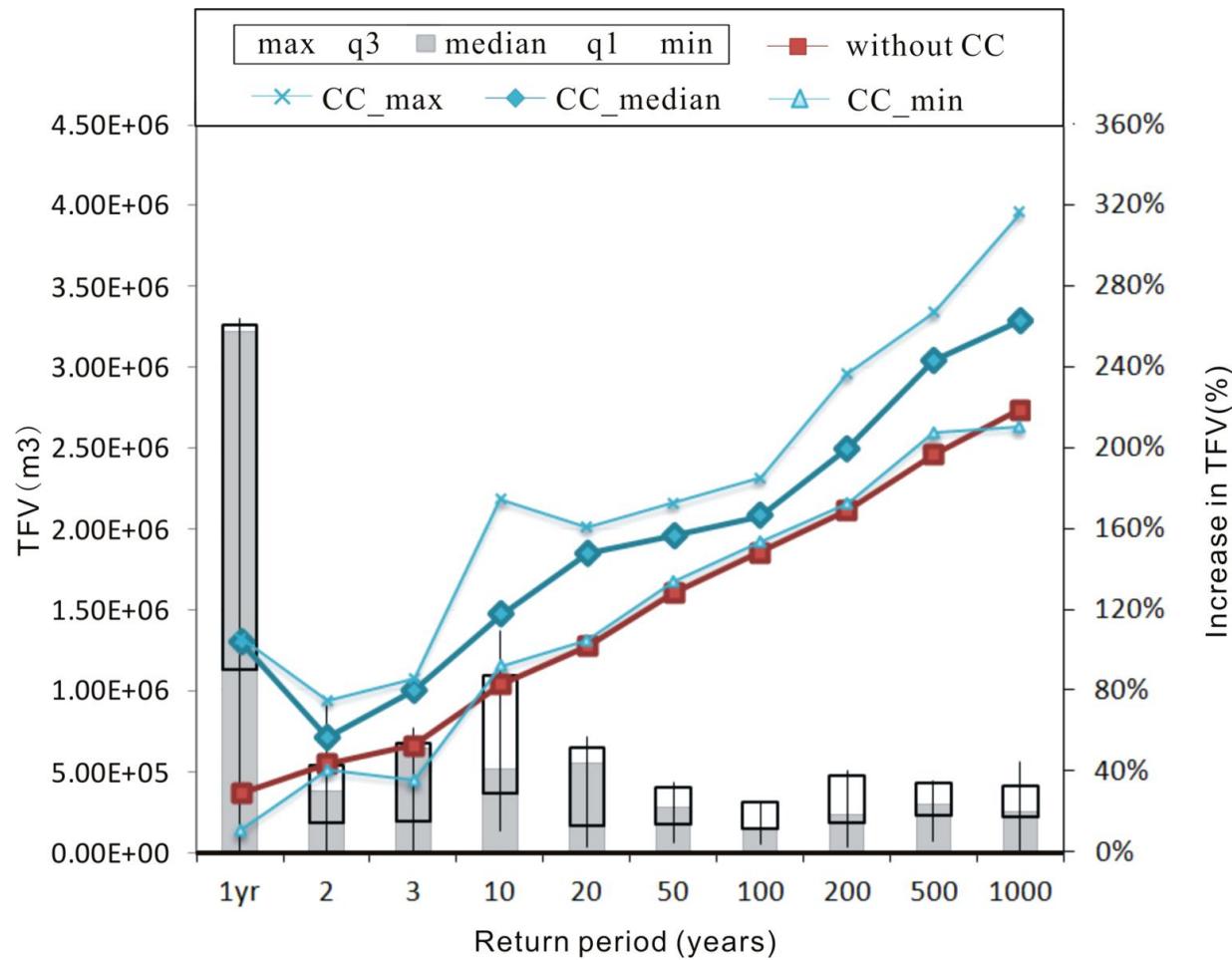
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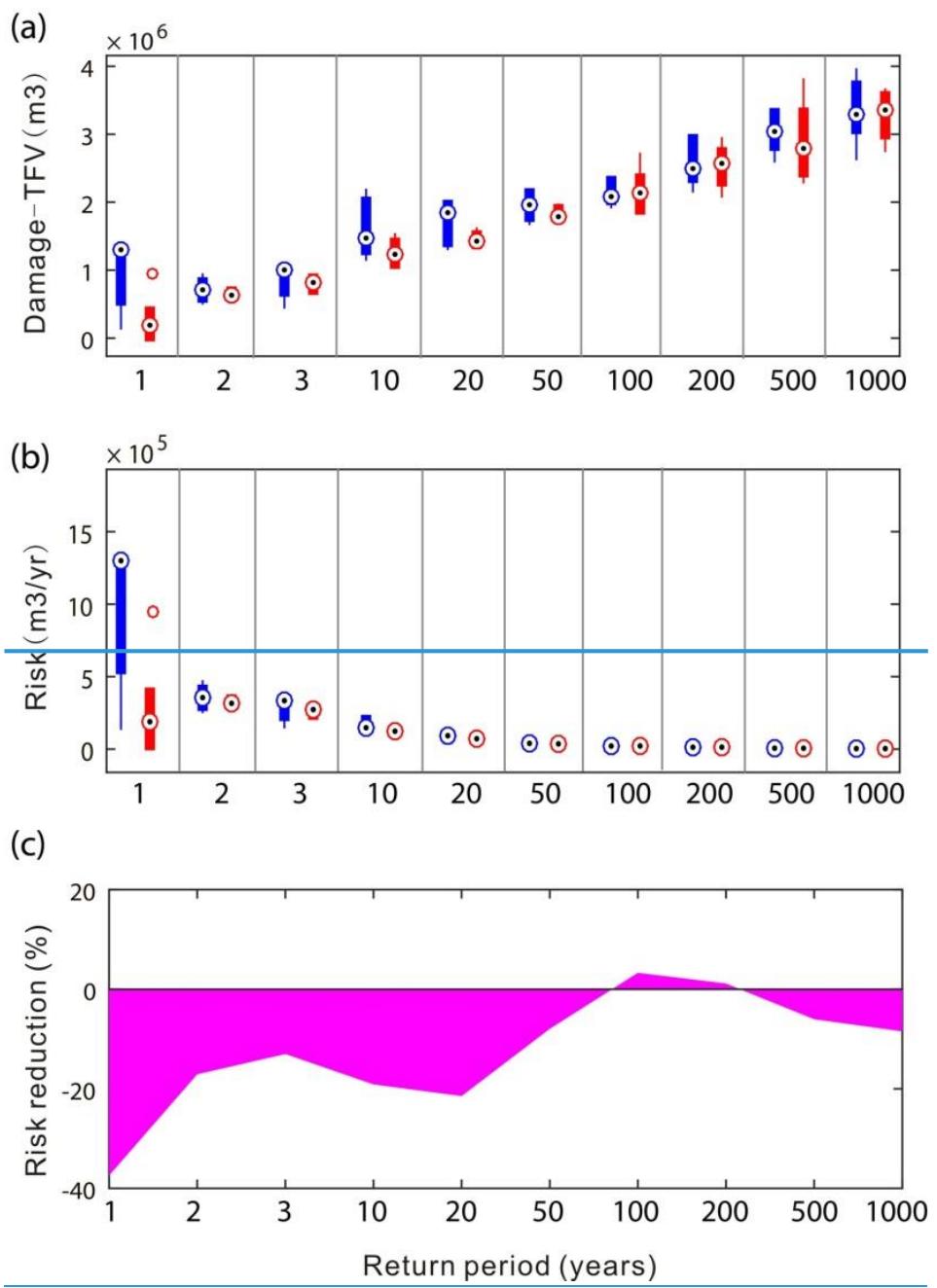


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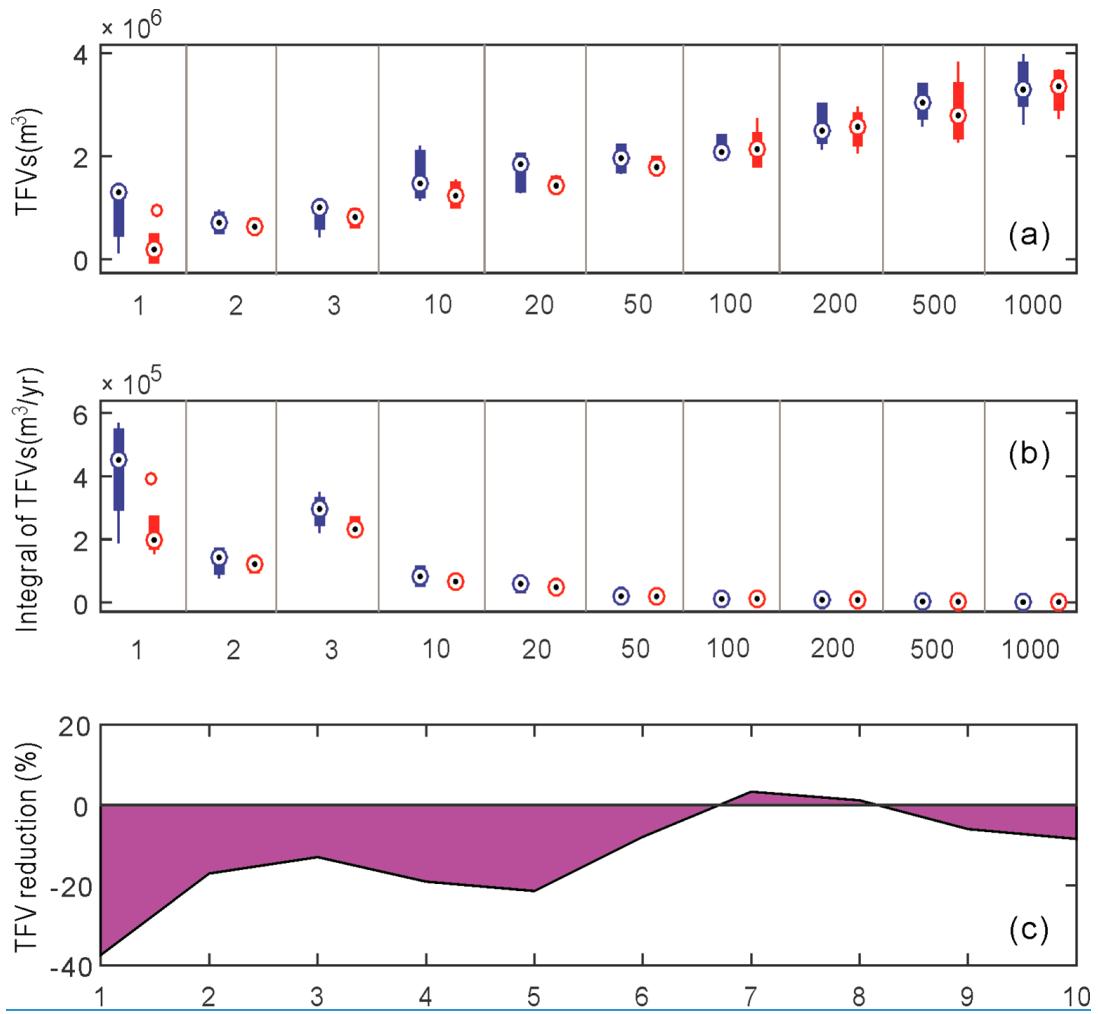




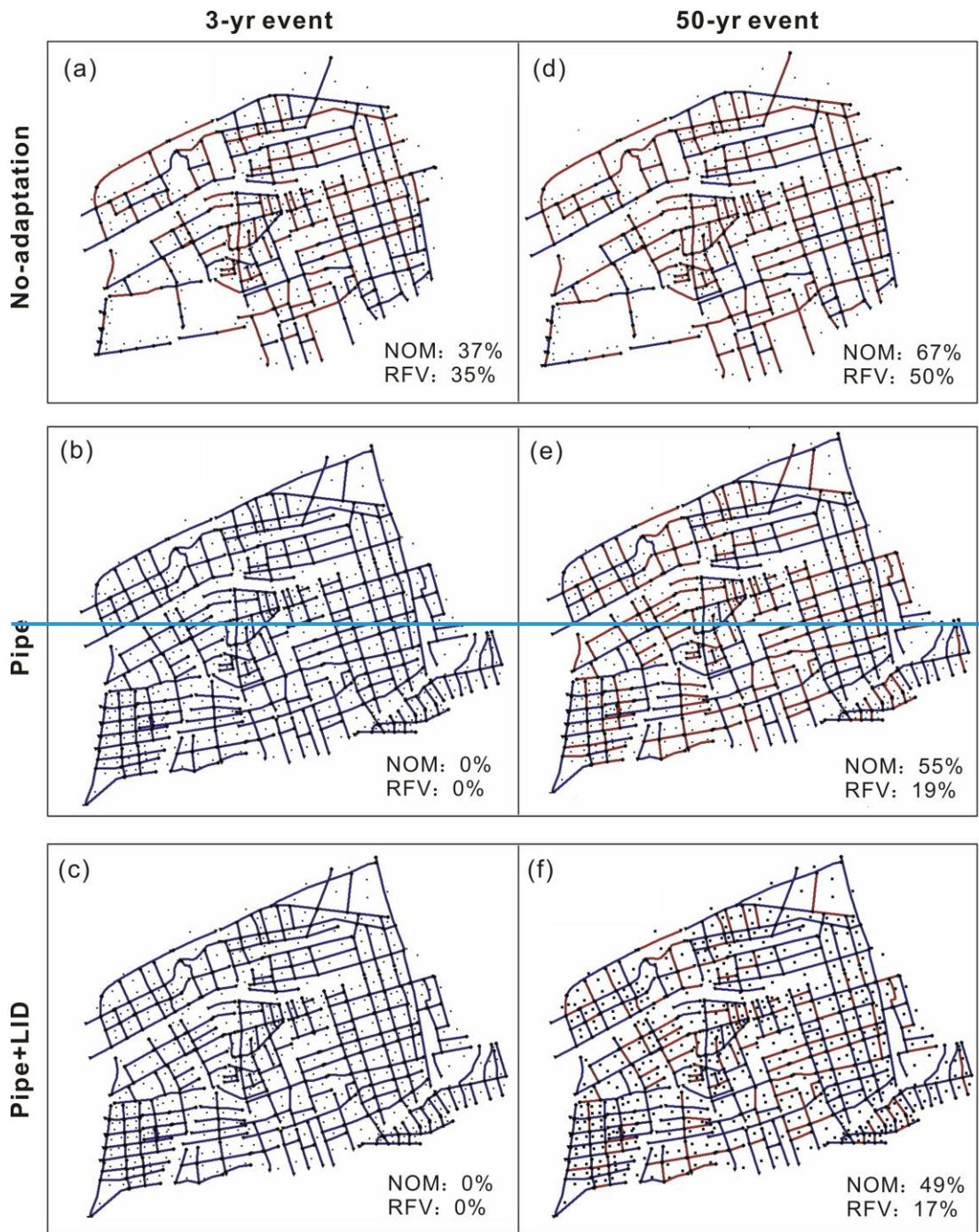
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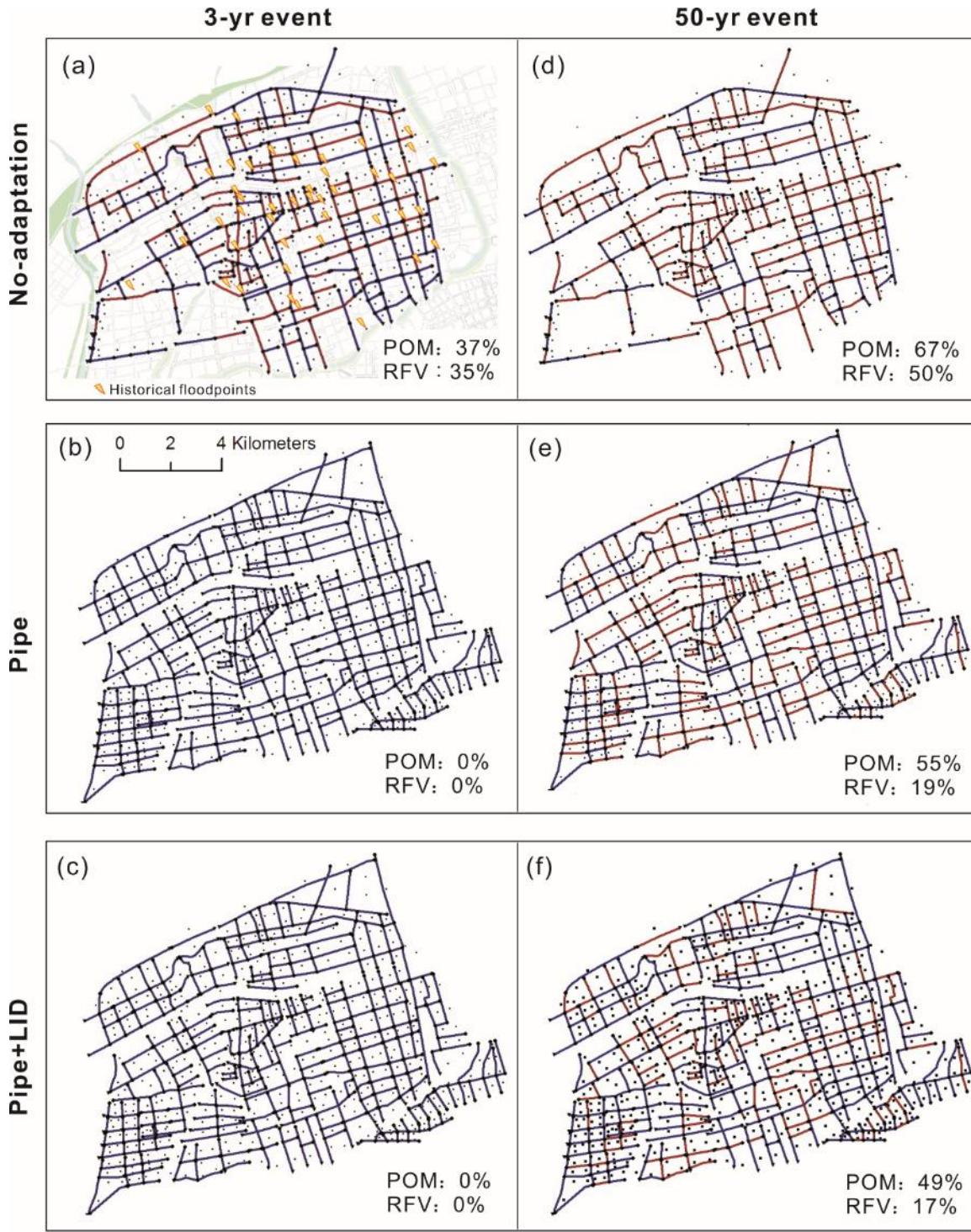


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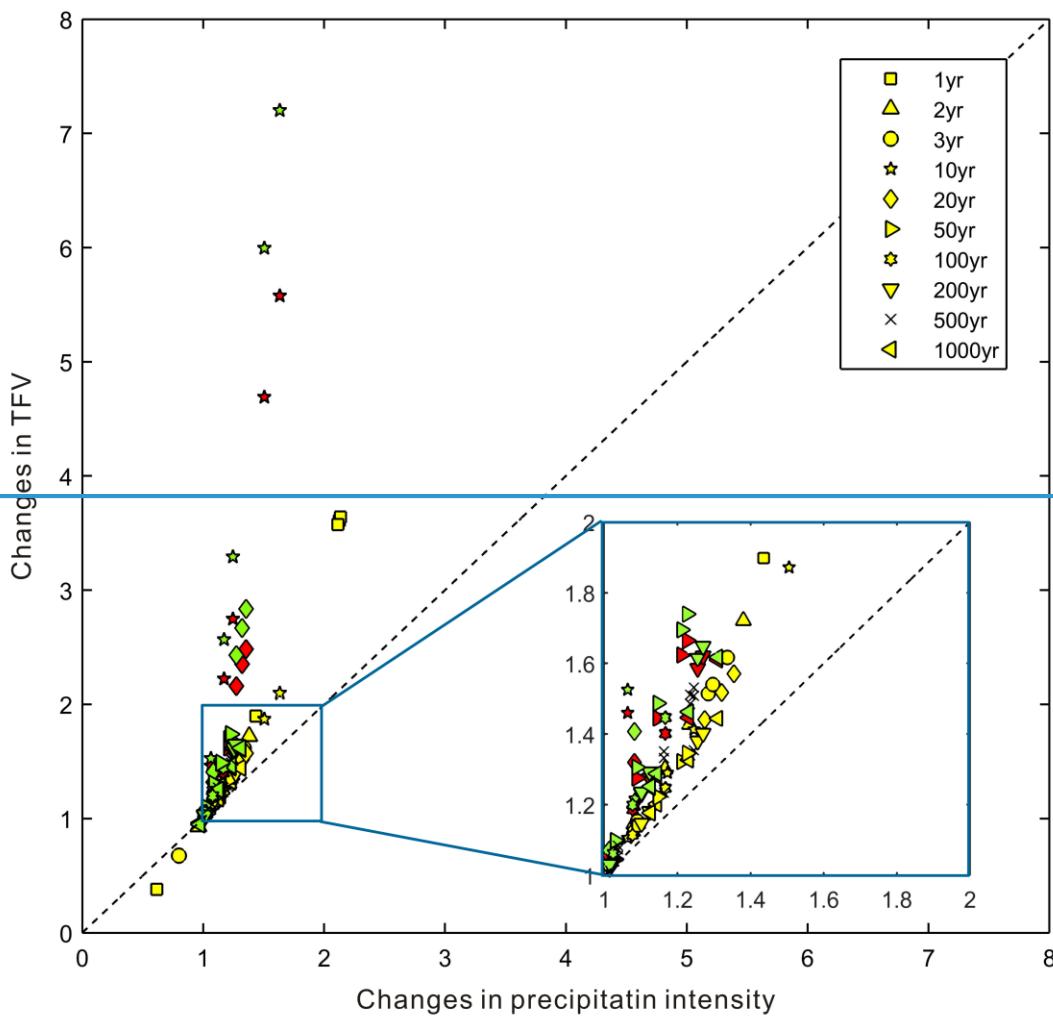


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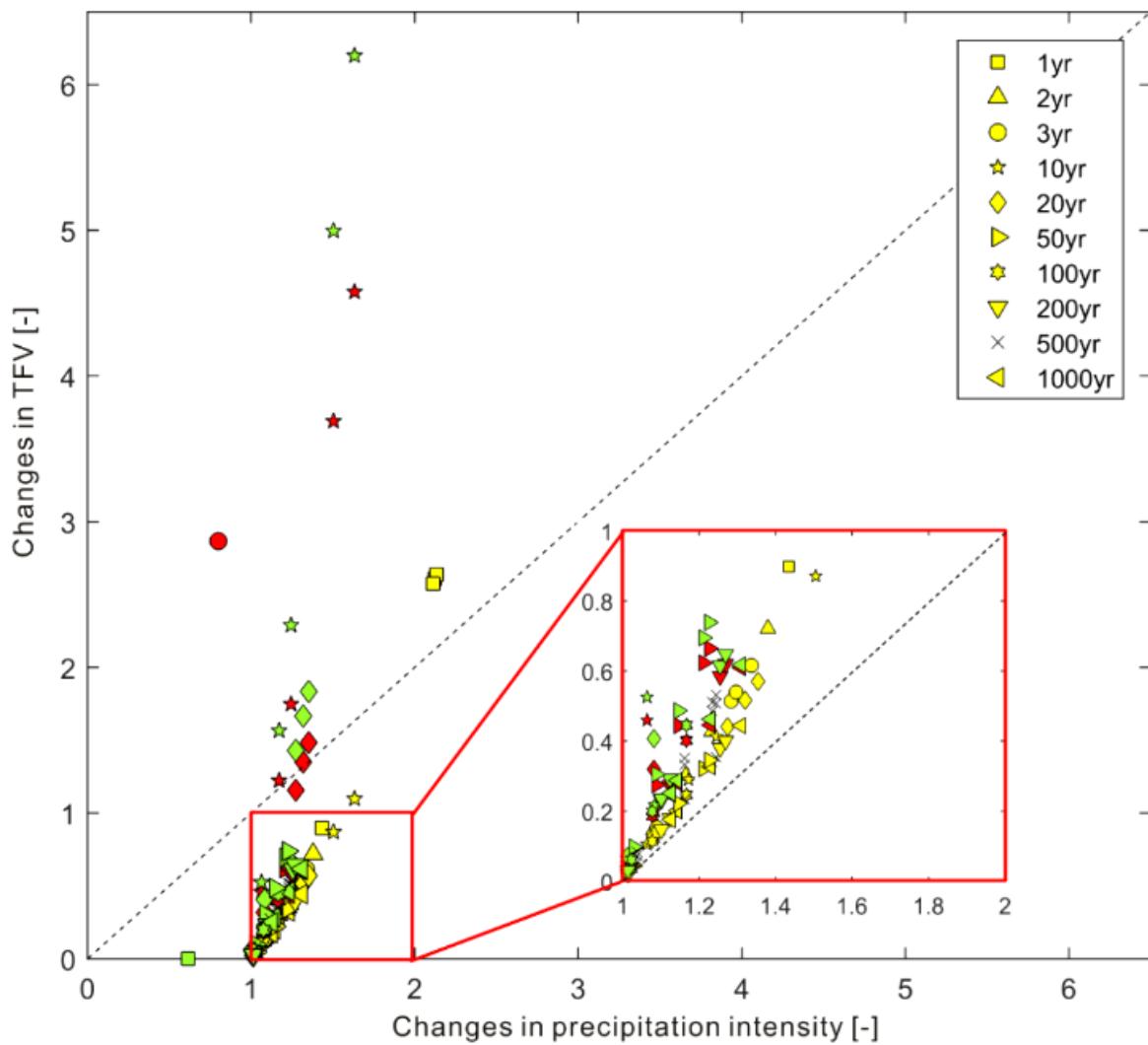
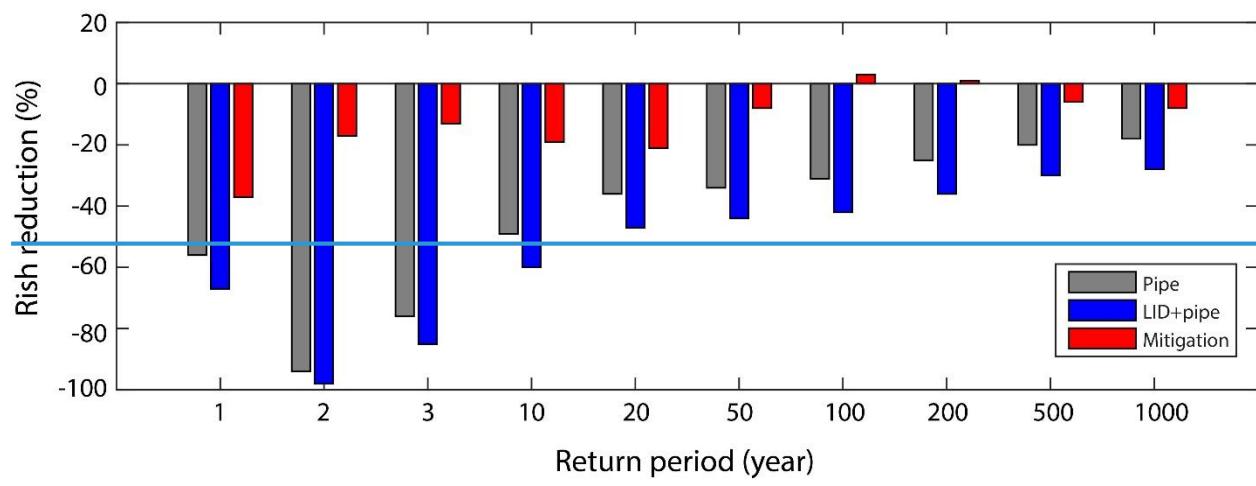
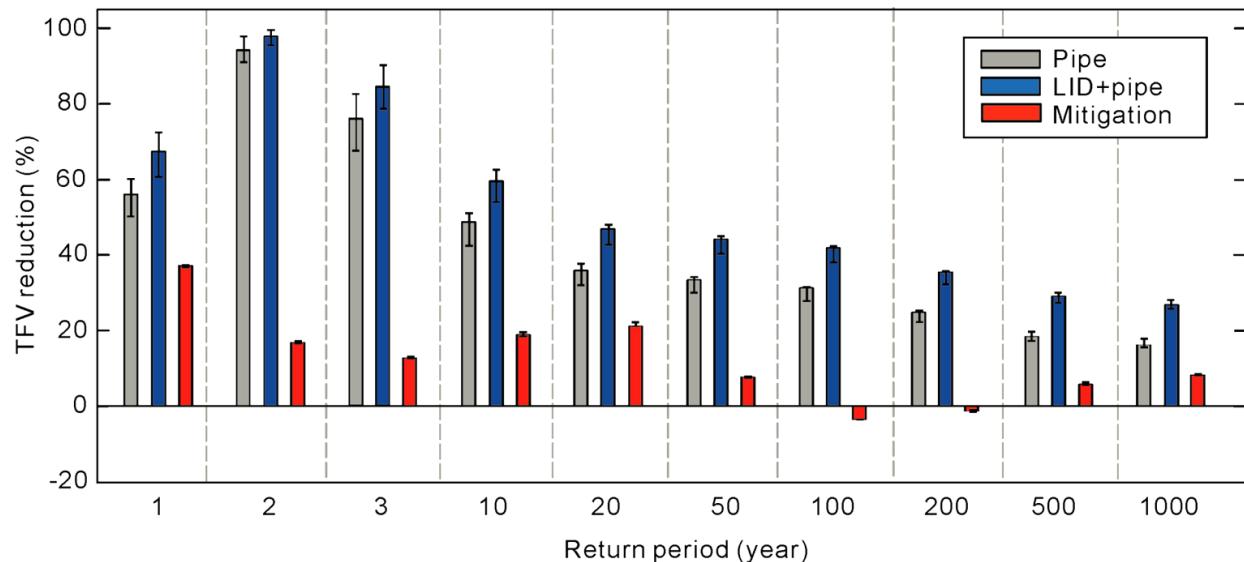


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**Figure 7** Comparison of benefits of climate mitigation and two adaptation strategies in reducing urban flood [risks](#)[volumes](#) with changes in precipitation intensities [at](#)[for](#) various return periods [and](#)[with](#) [related](#)[variations](#) [\(boundary](#)[bars](#) [as](#)[a](#) [result](#)[of](#) [uncertainty](#)[arising](#)[from](#) [local](#)[soil](#)[conditions](#).