

Dear editor,

Thank you for the supportive suggestions and comments on our manuscript. We were pleased to see that our manuscript has progressed to minor revision iteration since the last round of major revisions were mostly approved and recognized. As the anonymous reviewer #1 and you suggested, we added more discussion materials to highlight the shortcomings of this study. Besides, to improve the presentation quality, we invite professional language editing team to polish our language and the editing certificate was also appended.

The point-by-point responses to the reviewers' comments are listed as following. For specific revisions and corrections, please see the revised manuscript appended.

Comment of Reviewer#1

The authors gave a deep review of previous studies in Alpine mountainous regions, and extracted two weakness and motivations of this study. First, there were large discrepancies about the effects of grassland degradation on soil properties, and the quantitative relationships between soil hydraulic properties and physiochemical properties were still relatively limited. Second, little attention was paid to the effects of alpine swamp meadow degradation on soil hydraulic properties. The authors also substantially compared the results with those in previous studies, and explained the reason.

My main concern is that the authors can discuss some further study scopes of the current study, for example, extending the study scale with more degradation gradients (there are only three gradients in this study), and discussing the interactions between grassland degradation and soil properties (not only the effects of grassland degradation on variations of soil properties).

Response:

We thank for the approval of the major revisions and the suggestions with the discussion part. As the reviewer pointed out, the degradation classification of this study is somewhat rough, and the interactions between soil and vegetation should be further considered so as to fully understand the effect of alpine grassland degradation on soil hydrology. They are shortcomings of this research. Therefore, apart from the implications of this study, these uncertainties were added and addressed in section 4.3. The main content is as following.

“Despite the fact that this study revealed the effect of alpine meadow degradation on soil hydraulic properties, some uncertainties still exist. First, it should be noted that degradation is a non-linear and consecutive process while in practice people have to divide it into a limited number of degrees according to some criteria. In section 3.2, we have pointed out that the change patterns of FC varied with degradation classification, and actually our classification was relatively rough. Therefore, to obtain robust conclusions about alpine grassland degradation on soil hydraulic properties, more alpine grassland plots should be established and more degradation degrees should be classified in future investigations. Terrestrial ecosystem degradation is essentially a positive feedback loop composed of vegetation retrogressive succession and soil deterioration (King and Hobbs, 2006). Thus, understanding the effect of vegetation degradation on soil hydraulic properties is somewhat insufficient, thereby the interactions between vegetation and soil hydrology should be addressed in further studies.”

Variation of soil hydraulic properties with alpine grassland degradation in the Eastern Tibetan Plateau

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Abstract. Ecosystems in alpine mountainous regions are ~~usually more~~ vulnerable and easily ~~be~~ disturbed by global environmental change ~~globally~~. Alpine swamp meadow, a unique grassland type in the eastern Tibetan Plateau ~~which that~~ provides important ecosystem services to the upstream and downstream regions of international rivers of Asia ~~even and other~~ parts of the world, is undergoing severe degradation, which can dramatically alter ~~the~~ soil hydraulic properties and water cycling processes. However, the effects of alpine swamp meadow degradation on soil hydraulic properties and the corresponding influencing mechanisms are still poorly understood. In this study, soil moisture content (SMC), field capacity (FC) and saturated hydraulic conductivity (Ks) together with several basic soil properties under highly degraded (LD), ~~moderate~~ moderately degraded (MD) and ~~severe~~ severely degraded (SD) alpine swamp meadow were investigated. ~~Then;~~ the ~~variation of variations in~~ SMC, FC and Ks with alpine swamp meadow degradation and their dominant influencing factors were ~~analyzed~~ analysed. The results ~~show~~ showed that SMC and FC decreased consistently from LD to SD, while Ks decreased from LD to MD and then increased from MD to SD, following the order of LD > SD > MD. Significant differences ~~of in~~ soil hydraulic properties between degradation degrees were found ~~at in the~~ upper soil layers (0-~~20cm~~ 20 cm), indicating that the influences of degradation were most pronounced in the ~~top soil~~ topsoils. FC was positively correlated with capillary porosity ~~(CP);~~, water-stable aggregates ~~(WSA);~~, soil organic carbon ~~(SOC);~~ and silt and clay content, ~~and;~~ Ks ~~were~~ was positively correlated with non-capillary porosity (NCP). Relative to other soil properties, soil porosity is the dominant factor influencing ~~factor of~~ FC and Ks. ~~CP~~ Capillary porosity explained ~~the~~ 91.1% of total variance ~~of in~~ FC, and NCP explained ~~that by~~ 97.3% ~~for of total variance in~~ Ks. The combined effect of ~~vanishing~~ disappearing root activities and increasing sand content was responsible for the inconsistent patterns of NCP and Ks. Our findings suggest that alpine swamp meadow degradation would inevitably lead to reduced water holding capacity and rainfall infiltration. This study ~~gives~~ outprovides a more comprehensive understanding of the soil hydrological effects of vegetation degradation. Further hydrological modelling ~~researches~~ studies in the Tibetan Plateau and similar regions are recommended to understand the effects of degraded alpine swamp meadow on soil hydraulic properties.

Key words. ~~Soil: soil~~ hydraulic properties; ~~Field Water Capacity; Saturated Hydraulic Conductivity; Alpine Swamp Meadow Degradation; Influencing~~ field water capacity; saturated hydraulic conductivity; alpine swamp meadow degradation; influencing factors; Tibetan Plateau

1 Introduction

5 Soil moisture plays a critical role in land surface processes and hydrological cycles. It not only directly participates in soil hydrological processes; but also influences vegetation growth and even modifies weather processes and local climate (Legates et al., 2011; Shein, 2010; Vereecken et al., 2015). Field capacity (FC) and saturated hydraulic conductivity (Ks) are two key soil hydraulic properties that jointly affect soil water storage, transmission and distribution (Cassel et al., 1986; Marshall et al., 2014). Knowledge of how FC and Ks vary and of their influencing factors is essential for better
10 understanding of soil hydrological processes. FC and Ks are also key parameters in most hydrological, climate and land surface models (Boluwade et al., 2013; Reszler et al., 2016; Tatsumi et al., 2015). Therefore, understanding the effects of vegetation changes on FC and Ks ~~are~~ is necessary for model parameterization and reducing the uncertainty of ~~simulations~~ simulations (Sun et al., 2016).

15 Soil hydraulic properties are highly heterogeneous both spatially and temporally and could respond swiftly to external changes and disturbances (Ma et al., 2016; Strudley et al., 2008). FC and Ks are mainly influenced by vegetation, soil (Pachepsky et al., 2015), topography (Leij et al., 2004), climate (Jarvis et al., 2013) and human activities (Mubarak et al., 2009; Palese et al., 2014), ~~etc.~~. In recent years, vegetation degradation has been widespread ~~due to~~ because of natural environmental changes and anthropogenic influences. Efforts have been devoted to revealing the effects of vegetation degradation on soil hydraulic properties across scales and ecosystem types. For forest, Lal (1996), Niemeyer et al. (2014) and ~~Zimmermann~~ Zimmermann et al. (2008) ~~analyzed~~ analysed the variations ~~of~~ in Ks along ~~the gradient~~ gradients of disturbance and confirmed the increasing trend of Ks with forestation ~~process~~ processes. Krummelbein et al. (2009) investigated the effects of grazing intensity on soil hydraulic properties and revealed the variations ~~of~~ in soil porosity and soil retention characteristics in Inner ~~Mongolia~~ Mongolian grasslands. Recently, Fu et al. (2015) and Price et al. (2010) explored the variations ~~of~~ in Ks with land use changes and demonstrated the decrease ~~of~~ in Ks and the subsequent increase ~~of~~ in
20 overland flow ~~resulted~~ resulting from deforestation or cultivation. Despite these advances, ~~existing~~ existing studies mostly focused on low altitude areas. There are still many other regions where the effects of vegetation degradation on soil hydraulic properties are inadequately studied (Vereecken, et al., 2015). This is highlighted in remote areas such as alpine mountainous regions ~~as the;~~ these are cold and adverse ~~environment~~ environments, where fieldwork is time-consuming and
25 extremely labour intensive (Bernhardt, et al., 2014; Wang, et al., 2012).

Alpine mountainous regions are widely distributed around the world, such as the Rocky Mountain Range in North America, the Andean mountain range in South America, the Alps in Europe, Mt. Kilimanjaro in Africa, the Himalayas in Tibet, and Mt. Fuji in Japan-~~ete~~. These regions are often the headwaters of great rivers and supply a large amount of water to the lower reaches (Bernhardt et al., 2014; Kormann et al., 2015). Alpine grassland is one of the main ecosystem types ~~which~~~~that~~ plays an important role ~~for the~~~~in~~ water supply service of these regions. ~~As~~~~Because of~~ the extreme environmental conditions of alpine mountainous regions, the alpine ecosystems are very vulnerable to environmental ~~changes~~.~~Due to~~~~change~~. ~~Because of~~ global climate warming and human disturbances, alpine grasslands are experiencing intense changes ~~especially~~~~generally~~ ~~leading to~~ degradation, which ~~can~~ have great ~~influeneces~~~~influence~~ on~~the~~ soil hydrological processes. Therefore, understanding the responses of soil hydraulic properties to alpine grassland variations is of great importance (Laghari et al., 2012). For example, in central Nepal, south of the Himalayas, Ghimire et al. (2014) and Prasad et al. (2013) investigated variations in Ks with land use changes and found that reforestation of degraded pasture could substantially increase Ks in surface and subsurface layers. Leitingner et al. (2010) studied FC and soil infiltration rate of alpine pasture in the Alps and found that grazing ~~significants~~~~significantly~~ decreased FC and soil infiltration rate in ~~the~~ 0–20 cm layer, and thus altered surface runoff generation. In the Tibetan Plateau, Wang et al. (2012) confirmed that changes in alpine grassland vegetation cover will greatly ~~altered~~~~alter~~ infiltration ~~proecess~~~~processes~~ and hill slope runoff ~~coefficient~~~~coefficients~~. Although these studies contributed to a better understanding of the effect of alpine ecosystem variations on soil hydraulic properties, they primarily addressed the change patterns of hydraulic properties. Moreover, it has been confirmed that soil physical and chemical properties, such as soil porosity, texture and organic matter content, are closely ~~interacted~~~~connected~~ with soil hydraulic properties (Fu et al., 2015; Jarvis et al., 2013; Strudley et al., 2008). However, ~~researches~~~~studies~~ about the quantitative relationships between soil hydraulic properties and physiochemical properties are still relatively limited.

~~As~~~~Widely known as~~ 'the third pole' and the highest place in the world, ~~the~~ Tibetan Plateau is the headwaters region of ~~the~~ Yangtze ~~River~~, Yellow ~~River~~ and Mekong ~~River~~~~rivers~~, which are the world's ~~3rd~~, ~~5th~~ ~~third~~, ~~fifth~~ and ~~7th~~ ~~longest~~~~seventh longest~~ rivers, respectively. The hydrological cycling of this region has great ~~influeneces~~~~influence~~ on the energy and water processes of eastern Asia. ~~Due to~~~~Because of~~ climate change, overgrazing, human activities and rodents, alpine meadow on the Tibetan Plateau has been severely degraded (Wang et al., 2007). Although hydrological effects of alpine meadow degradation over ~~the~~ Tibetan Plateau have been extensively explored (Li et al., 2012; Wang et al., 2007; Wang et al., 2010; Zeng et al., 2013), large discrepancies still exist in the ~~obtained~~~~conclusions~~ ~~obtained~~. For example, Zeng et al. (2013) ~~analyzed~~~~analysed~~ the effect of alpine meadow degradation on soil hydraulic properties using tension infiltrometers in the centre of the Tibetan Plateau and found that Ks generally decreased with degradation for both 0–10 ~~em~~ and 40–50 cm layers, ~~but~~; Wei et al. (2010) ~~investigated~~ the impact of alpine meadow degradation on soil infiltration by double-ring infiltrator in the eastern Tibetan Plateau and reported that Ks of ~~the~~ 0–20 cm layer decreased initially and then increased. Wang et al. (2007) measured Ks of alpine steppe and alpine meadow ~~in~~~~at~~ the source of the Yangtze River and found that Ks of the surface layers increased significantly with degradation. Yi et al. (2012) reported that FC of ~~the~~ 0–20 cm layer was highest for non-

degraded alpine meadow while Li et al. (2012) found ~~that~~ the highest value of FC occurred ~~atwith~~ light degradation ~~degree~~. These inconsistencies showed the high variability of soil hydraulic properties on the Tibetan Plateau and called for further investigations. To date, however, comparison of different change patterns of ~~those~~ previous studies and the underlying mechanisms are rarely reported. ~~Besides, as a~~ As the main grassland type in the eastern Tibetan Plateau, alpine swamp meadow ~~is featured with~~ has unique terrestrial ~~–~~ aquatic soil and vegetation characteristics (Shang et al., 2013; Zedler et al., 2005). However, little attention has been paid to the effects of alpine swamp meadow degradation on soil hydraulic properties and the influencing ~~mechanism~~. ~~This circumstance~~ mechanisms, which might serve as a bottleneck for a thorough understanding of the hydrological ~~effect~~ effects of alpine grassland degradation on the Tibetan Plateau.

In this ~~research~~ study, we measured Ks, ~~and~~ FC along with several key basic soil properties based on a series of experimental sites that represent the degradation process of alpine swamp meadow in the eastern Tibetan Plateau. Several statistical methods such as redundancy analysis were used to quantitatively ~~analyze~~ analyse the variation ~~of~~ in soil hydraulic properties and its influencing factors. This study aimed to (1) investigate changes in FC and Ks associated with degradation, and (2) ~~analyze~~ analyse the dominant factors and reveal the influencing mechanism of degradation on FC and Ks for alpine swamp meadow.

15 2 Material and methods

2.1 Site description

The experimental field (102°12'45"" E, 33°46'28"" N, ~~3435m~~ 3435 m above sea level) is located in the Zoige Wetland in the east of the Tibetan Plateau (Fig. ~~1-a~~ 1a). This region contains the largest area of alpine swamp in China and is the main recharge area of the Yellow River (Bai et al., 2013). In recent decades, however, a large proportion of wetland area has been converted from swamp to meadow ~~and, which~~ in some cases ~~resulting~~ has resulted in desertification (Hu et al., 2015). The mean daily air temperature is 1.2°C, ranging from -10.7°C in January to 11.7°C in July, and the average annual precipitation is 620 mm, 85% of which falls during the summer. The ~~principal~~ main vegetation is *Kobresia*-dominated alpine meadow (e.g. ~~*Kobresia tibetica*, *Blasmus sinocompressus*, *Kobresia tibetica*, *Blasmus sinocompressus*, *Carex muliensis* and *Carex muliensis*, etc.)~~ others) and the corresponding soil is silt loam, an alpine meadow soil type (Huo et al., 2013).

The experimental field is relatively flat with no perceivable slope and an elevation difference of ~~20m~~ 20 m between the highest and lowest points (Fig. ~~1-b~~ 1b). ~~Due to~~ 1b. Because of variation in grazing intensity, rodent activities and topographic conditions, patches of grassland from initial degradation to almost completely barren ~~emerge~~ have emerged across the field, making it possible to choose sites in various degrees of degradation in small areas without large-scale soil spatial heterogeneity ~~coming into play~~ confounding the results.

Based on the survey of herbage growth and dominant species, a total of nine investigated sites representing various ~~degrees of degradation degrees~~ were selected along the strip of the enclosed experimental field (Fig. ~~1-b 1b~~) using a strategy of space-for-time substitution (Zeng et al., 2013). To assess ~~the degree of degradation degree~~ of each site, several key vegetation characteristics including total vegetation coverage (VC), dominant species, number of species, ~~above-groundaboveground~~ biomass (MA), and ~~undergroundbelowground~~ biomass (MB) were determined in mid-~~late~~ July, 2014. Average field plant height was recorded at 10-~~15cm-15 cm~~.

For the classification of alpine degradation, various qualitative and semi qualitative indicators are present in the literature (Gao et al., 2010; Wang et al., 2007; Zeng et al., 2013). In this study, we chose VC, dominant species and number of species as indicators of degradation, and the nine sites ~~arewere~~ classified into three groups: lightly degraded (LD), moderately degraded (MD), and severely degraded (SD), corresponding to ~~sitesites~~ 1, 2, and 4, ~~sitesites~~ 5, 6, and 8 and ~~sitesites~~ 3, 7, and 9, ~~respectively~~. Characteristics of the three degradation degrees of alpine meadow are shown in Table 1, and MA and MB of each degree are shown in Fig. 2.

2.2 Soil sampling and measurements

Both disturbed and undisturbed soil samples were obtained from 0 to ~~80cm80 cm~~ depths at ~~10cm10 cm~~ intervals at three points randomly distributed ~~onin~~ each investigated site mentioned above. Disturbed samples were collected using a soil auger, and samples of the same layer were thoroughly mixed and then air-dried. After being sieved by 2-~~mm~~ and 0.15-~~mm~~ mesh, the composite samples were stored in plastic bags and transported to the laboratory for analysis. Soil organic carbon (SOC) was determined by dichromate oxidation with an external heat source (also cited as Walkley-Black wet combustion method); Nelson et al., 1996); 1-~~2 mm~~ water-stable aggregates (WSA) ~~waswere~~ measured using a routine wet-sieve method with mechanical sieving procedure described by ISSAS (1978); soil particle composition (sand >0.05~~mm05 mm~~, silt 0.002~~mm-002-0.05mm05 mm~~, and clay <0.002~~mm002 mm~~) was ~~analyzedanalysed~~ by wet sample dispersion and laser diffraction method using a laser-scattering particle analyser (Microtrac S3500, Microtrac Inc., USA); Cooper et al., 1984; Zhang, 2014).

Undisturbed samples were collected using cylinder cores (50.46~~mm46 mm~~ in diameter and 50~~mm50 mm~~ in height) to determine soil physical and hydraulic properties including bulk density (BD), capillary porosity (CP), non-capillary porosity (NCP), field water capacity (FC) and saturated hydraulic conductivity (Ks). In ~~the~~ laboratory, all these parameters were determined in proper sequence with ~~the~~ water suction method (Fu et al., 2015). First, the cylinder cores were dipped in 5~~mm-5 mm~~ depth water to absorb water through capillary action for roughly 8 ~~hoursh~~ before a constant weight was reached; the corresponding weights were recorded as m1. Second, the cores were soaked in 4.8~~cm-8 cm~~ depth water for approximately 24 ~~hoursh~~ until saturated, and the respective weights were recorded as m2. Third, soil samples were put on dry sand for 48~~h48 h~~ and the resulting weights were recorded as m3. Subsequently, cylinder cores were linked to a Mariotte's bottle to measure

Ks using the constant head method based on Darcy's Law (Klute et al., 1986). Finally, the cores were oven-dried at 105 °C for approximately 24h and the weights were recorded as m4. No perceivable swelling was detected for all the cores during the soaking process, and the parameters were calculated by the following formulas:

$$CP = \frac{m1 - m4}{\rho \cdot V} \quad (1)$$

$$NCP = \frac{m2 - m1}{\rho \cdot V} \quad (2)$$

$$FC = \frac{m3 - m4}{BD \cdot V} \quad (3)$$

$$Ks = \frac{10 \cdot Q \cdot L}{A \cdot \Delta H \cdot t} \quad (4)$$

where V is the volume of the cylinder core (100cm³); ρ is the water density (1g cm⁻³); t is the time interval (10min (10 min)); Q is the volume of the outflow through the soil cores during the time interval t (ml); L is the length of the soil core (5 cm); ΔH is the height difference of the hydraulic head (10 cm); A is the cross-sectional area of the cylinder core (20cm² (20 cm²)).

Above all, soil moisture content (SMC; volumetric) of all investigated sites was measured by Time-Domain Reflectometry (TDR) (TRIME-PICO-IPH, TDR, IMKO, Inc., Ettlingen, Germany) from 0-80cm_80 cm soil depth at 10 cm intervals from June 20th20 to July 20th20, 2014. The TDR was calibrated in the local alpine region in advance, and the determination accuracy was ±3%. Soil moisture was measured three times for each layer of each site. There iswere no rainfall events recorded within 2 days before the data collection, and each measurement of all sites were finished within one day.

2.3 Statistical analysis

Data in this study were presented as mean±SD (standard deviation). Comparison analysis was performed using SPSS 19.0. A one-way analysis of variance (ANOVA), followed by least significant difference (LSD) method was used to test the differences between average values of all parameters at each degradation degree. Pearson correlation coefficient was employed to reflectingdetermine the effects-ofrelationships among vegetation degradation and soil properties.

In-addition, redundancyRedundancy analysis (RDA) was applied to study the relationship between basic soil properties and hydraulic properties by using CANOCO software version 4.5 (Biometris). RDA is a type of constrained ordination method combining multiple regression and principal component analysis (PCA). It aims to represent a multivariate data set (generally a collection of samples with more than two properties) along a reduced number of orthogonal axes, and visualize the data set in a two-dimensional scatter diagram, hence enabling an easier interpretation of the structure of multivariate data

and relationships among variables (Borcard et al., 2011). The projections of the arrows onto the axes represent the contribution of corresponding variables to the extracted axes. The cosine of the angle between the arrows reflects the correlation between variables. Monte-Carlo permutation test was used to rank the importance of each explanatory variable, and then the contribution of each variable to the total variance ~~is~~was determined by multiple regression (Leps, 2003).

5 3 Results

3.1 Variation ~~of~~in basic soil properties and porosity characteristics under different degrees of degradation

Changes in basic soil properties and porosity characteristics with alpine swamp meadow degradation were obvious (Fig. 3). Statistical analysis showed that SOC and WSA decreased significantly ($p < 0.05$) ~~with degradation (Fig. 3 b; Figs. 3b, c), while) and~~ BD increased significantly ($p < 0.05$) ~~(; Fig. 3 a); 3a) with degradation.~~ Soil texture ~~was altered~~changed remarkably with ~~degradation:~~ sand content ~~increasing~~increased significantly ($p < 0.05$) ~~(; Fig. 3 f; 3f)~~ while significant decreases were observed in silt and clay content ($p < 0.05$) ~~(Fig. 3 d; Figs. 3d, e).~~ The majority of all soil samples were classified as loam and sand (Fig. 4). Half of the LD samples ~~belonged to~~were classified as loam, while ~~the~~ vast majority of MD (17 of 24 samples) and SD (22 of 24 samples) ~~belonged to~~samples were classified as sand. Compared ~~to~~with LD, SOC, WSA, ~~and~~ silt and clay content of MD decreased by 17.9%, 15.7%, 5.1% and 23.1%~~%,~~, respectively, and those of SD decreased by 61.5%, 32.8%, 44.0%~~%, and~~ 75.8%, respectively. BD and sand content of MD increased by 2.3% and 2.9%, respectively, and those of SD increased by 7.2% and 19.6%, respectively, ~~when compared with LD.~~

Soil porosity altered drastically with degradation (~~Fig. 3 g; Figs. 3g, h).~~ CP decreased consistently with increasing degree of degradation. ~~Comparing to~~When compared with LD, mean CP ~~value~~values of all depths decreased by 5.5% and 13.6% for MD and SD, respectively. ~~Mean~~The mean value of NCP decreased from LD to MD by 6.6% while ~~it~~ increased from MD to SD by 4.4%, following the order of LD>SD>MD.

All properties differed most distinctly in surface (0–10 cm) and subsurface ~~layer~~layers (10–20 cm) among different degradation degrees. The differences gradually diminished with increasing soil depth despite some exceptions (e.g., 40–50cm–50 cm for clay and 70–80cm–80 cm for silt). Almost all basic soil properties showed strong depth dependence. For each degradation degree, BD and sand content showed ~~an~~ increasing trend while SOC, WSA, ~~and~~ silt and clay content decreased consistently, ~~from~~with depth ~~offrom~~ 0 to 80cm–80 cm. CP of MD experienced parabolic change with the highest ~~value at~~values in the 20–30cm–30 cm layer. NCP was an exception, showing decreases ~~in the upper 40cm layers~~from 0–40 cm while increasing slightly ~~in the lower 40cm layers~~from 40–80 cm. For each property, ~~the~~ slope of the vertical variations decreased with degradation.

3.2 Changes ~~of~~in SMC, FC and Ks with degradation

Fig.5 showed that SMC in the profile decreased consistently with degradation for all soil layers (Fig. 5). Compared with LD, the mean SMC (0–80 cm layer) of MD and SD decreased by 21.8% and 33.5%, respectively, and SMC decreased more greatly from LD to MD than from MD to SD. SMC of different degradation degrees always showed an increasing trend with depth. For MD and SD, SMC increased consistently with depth, while for LD, SMC showed no clear trend in both 0–30 cm and 40–80 cm layers, increasing sharply at 40 cm depth.

Changes of FC and Ks associated with alpine swamp meadow degradation are displayed in Fig. 6. Both of these properties responded quickly to degradation and showed notable vertical distribution. Mean values of FC decreased consistently with degradation in upper 0–30 cm layers but varied irregularly below 30 cm (Fig. 6-a). Unlike FC, Ks values decreased from LD to MD and then increased from MD to SD (i.e., LD->SD->MD) except for layers 40–50 cm and 70–80 cm (Fig. 6-b). It was also evident that Ks values were more variable in the upper soil layers. FC of all degradation degrees decreased consistently with depth, and the slope of the decreasing trend decreased with degradation, while Ks decreased in the upper 0–40 cm layers and then increased in the lower 40–80 cm layers, reaching the lowest values at 40 cm. Similar patterns of change and vertical distribution were observed for NCP (see section 3.1).

ANOVA showed that SMC of LD is significantly higher ($p < 0.05$) than that of MD in all soil layers except for the 20–30 cm layer, and SMC of MD is significantly higher than that of SD in the 10–80 cm layers. In contrast with SMC, significant differences among the three degrees of degradation only existed at the 0–20 cm layers for FC, and the 0–10 cm layer for Ks. These statistical analyses indicated that alpine meadow degradation did not have significant impacts on soil hydraulic properties in layers deeper than 20 cm depth.

3.3 Influencing factors of degradation on soil hydraulic properties

Alpine swamp meadow degradation directly leads to deterioration of basic soil properties, and thus soil hydraulic properties are influenced. Pearson correlation analysis (Table 2) showed the effects of vegetation characteristics on soil properties. Generally, SOC, WSA, CP, and clay and silt content were positively correlated with VC, MA and MB, while BD and sand content were negatively correlated with vegetation characteristics. The correlation between NCP and vegetation characteristics was not significant due to because of its inconsistent change pattern with degradation. Compared with those in the 40–80 cm layers, soil properties in the 0–40 cm layers were all significantly ($p < 0.05$ or 0.01) correlated with vegetation characteristics, indicating that the effects of vegetation degradation on soil properties were mostly confined into the upper soil layers.

According to the statistical analysis in section 3.2, data of samples in the 0–10 cm layer and 10–20 cm layers were selected to analyze the relationships between basic soil properties and hydraulic properties associated with degradation. Figure 7 illustrates the relationships between soil basic and hydraulic properties ascertained by the redundancy analysis (RDA). It can be seen that FC was positively correlated with CP, WSA, SOC, and silt and clay content,

but ~~were~~was negatively correlated with BD and sand content. NCP had no impact on FC, but served as the only factor that determined Ks. FC and Ks are independent of each other, which can be further supported by Pearson correlation analysis (Table 2-3). The two axes ~~explain~~explained 60.2% and 29.0% of the total variance ~~of~~in FC and Ks, respectively.

5 Additionally, all the samples could be divided into two groups: one ~~includes~~included all the samples from LD and two samples from SD, while the other ~~includes~~included all the samples from MD and four samples from SD. ~~It is clear that the~~ The group including LD samples showed a close relationship with all the soil properties except BD and sand, while the second group mainly including MD and SD ~~was just~~showed the opposite.

10 ~~Above all, soil~~Soil basic properties were treated as explanatory variables to explain the total variance of Ks and FC. A Monte-Carlo permutation test was first used to rank the importance of each explanatory variable, and then the relative ~~contribution~~contributions of each variable to the total variance of Ks and FC were determined by multiple regression. The ~~result~~results showed (Table 34) that CP ~~is~~was the dominant factor for FC ~~that explains, explaining~~ 91.9% of the variance ~~of~~in FC. NCP ~~is~~was the dominant factor for Ks ~~that explains, explaining~~ 97.0% of the variance ~~of~~in Ks. As these properties explain large ~~proportion~~proportions of the variance in FC and Ks, the relative influence of other soil properties can be
15 dismissed.

4 Discussion

4.1 Effects of alpine swamp meadow degradation on ~~soil moisture content~~SMC and basic soil properties

20 ~~Soil moisture content (SMC)~~SMC is a comprehensive indicator of soil quality and can directly reflect soil water holding capacity (Palese et al., 2014; ~~Zenget~~Zeng et al., 2013). This study showed that SMC decreased consistently from LD to SD, ~~responded~~responding swiftly to degradation. ~~And unlike~~Unlike soil properties, significant ~~difference of~~differences in SMC among ~~the~~ three degradation degrees ~~can be~~were found at all soil layers. Similar changing patterns with vegetation degradation in alpine regions were observed by Zeng et al. (2013), Wang et al. (2007) and Li et al. (2012). In fact, ~~decrease~~decreases in vegetation coverage, ~~and~~ SOC, and increase in sand content will negatively impact on soil water retention (Strudley et al., 2008; Yi et al., 2012), leading to ~~SMC loss of~~ SMC with degradation. Moreover, ~~due to~~because of
25 the ~~intensified~~ root uptake ~~and soil evaporation~~ in summer, SMC of all degradation degrees in the 0–30 cm layers ~~are~~were lower than ~~that~~those in deeper layers.

Changes in basic soil properties, such as increases in BD and sand content, ~~decrease of and decreases in~~ SOC, WSA ~~and~~ silt and clay content with degradation (~~Fig. 2 a~~ Figs. 2a–f) align closely with the hypothesized results and are in agreement with much of the literature (Gao et al., 2010; Wang et al., 2007; Wei et al., 2010); ~~Zeng et al., 2013~~). Along the degradation

gradient, trends ~~of~~in these basic properties are almost uniform regardless of soil types and vegetation traits (Guo et al., 2013; Zeng et al., 2013). On the contrary, basic soil properties will improve consistently during ~~the~~-restoration processes (Li et al., 2006; Wu et al., 2010). Moreover, changes in basic soil properties with degradation were mostly confined ~~into~~ the upper layers (0-~~40cm~~40 cm), and the contrasts were most remarkable in the surface layer (0-~~10cm~~10 cm). Similar change patterns ~~as~~with soil depth have also been confirmed in many other studies (Fu et al., 2015; Hallema et al., 2015; Wang et al., 2007; Zeng et al., 2013). Correlation analysis showed that soil properties, especially in the upper layers (0-40 cm), were closely associated with vegetation characteristics (Table 2). Vegetation factors are indispensable to the stability of soil status, such as the formation of SOC, porosity and structure. In fact, root activity and litter fall input ~~vanish~~decrease significantly or disappear as degradation degree increases, thus the decomposition process and organic matter accumulation in soil are hindered with degradation. Depletion of SOC greatly alters the soil micro-environment and ~~may~~might trigger a series of changes in soil physical, chemical and biological processes (Nelson et al., 1996). For instance, it has been confirmed that clay and silt contents are largely dependent on the release of organic acid from soil organic matter, which can corrode coarse minerals and transfer large grains into fine particles (Fan et al., 2015). ~~Besides,~~organicOrganic matter can also act as “glue” in soil aggregates formation and determine water-stability (Lipiec et al., 2009). Therefore, a decrease in SOC will strongly influence soil structure, and thus ~~bring~~brings about overall changes ~~of~~in soil physical and chemical properties. Furthermore, the absence of plant coverage and root grasp will cause topsoil to become vulnerable to wind, raindrops, surface flow and compaction, directly resulting in soil erosion and degradation, and the particle distribution of soil samples in the soil texture triangle (Fig. 4) clearly shows the sandification trend with increasing degradation. Insignificant correlations between vegetation characteristics and soil properties of the ~~lower~~deep layers (40-80 cm) (; Table 2) were in accordance with the fact that no significant differences ~~of~~in soil basic properties were found ~~between~~among degradation degrees atin the ~~lower~~deep layers.

4.2 Influencing factors of alpine swamp meadow degradation on soil hydraulic properties

With increasing degradation degree, our results demonstrated that FC decreased consistently (Fig. ~~6-a~~ 6a), which was in accordance with some previous studies conducted in other alpine ecosystem types (Xiong et al., 2011; Yi et al., 2012).

However, ~~there were~~ other studies reported different change patterns of FC. Li et al. (2012) and Wei et al. (2010) found that FC first increased but then decreased with alpine meadow degradation. This might be caused by different degradation classification. Li et al. (2012) and Wei et al. (2010) classified the sampling plots into four and five degradation degrees according to succession stages, respectively, and the initial degree of the sampling plots in both studies was non-degraded ~~degree~~. However, the highest values of FC in both studies corresponded to the light degree, and FC of the most ~~severe degradation degrees~~ severely degraded sites were much lower than those of non-degraded ~~degrees~~ sites. These traits were in line with our results. ~~What's more,~~ Wei et al. (2010) ~~pointed out~~ noted that CP changed similarly with degradation; i.e., CP was positively correlated with FC, which was consistent with the definition of FC (~~Otoni Filho~~ Otoni Filho et al., 2014).

Ks decreased initially and then increased with degradation (Fig. ~~6-b~~ 6b), and similar “high–low–high” ~~trend of trends in~~ Ks ~~was were~~ also observed in some studies conducted for alpine meadow ~~despite, although~~ the ~~change~~ amplitude of change was different (Wang et al., 2010; Wei et al., 2010). However, Zeng et al. (2013) reported a decreasing trend while Wang et al. (2007) reported an increasing trend of Ks. These discrepancies ~~may might~~ be attributed to the difference ~~of in~~ soil and vegetation factors in different regions and also the degradation classification. In the study conducted by Zeng et al. (2013), the root density consistently decreased ~~averagely~~ by an average of 97% from light to extreme degree, which indicated a substantial decrease ~~of in~~ soil macro-pores and thus resulted in lower values of Ks; in the study conducted by Wang et al. (2007), the gravel (size ~~>2mm~~ >2 mm) content increased by nearly 100-fold, which will greatly increase soil infiltration.

Soil pores are empty space active in soil water storage, retention and movement, therefore soil porosity is closely related to soil hydraulic properties (Lipiec et al., 2006). Increases in BD indicate a reduction in soil total porosity (TP) since TP is generally calculated using the following equation: $TP = 1 - BD/2.65$ (Li et al., 2006; Price et al., 2010). TP can be divided into CP (pore size ~~<0.1mm~~ <1 mm) and NCP (pore size ~~>0.1mm~~ >1 mm). Water that fills capillary pores can be suspended by ~~the~~ capillary effect, making CP keyvital for soil water retention. However, in non-capillary pores soil water can move freely by gravity making NCP critical for soil water infiltration and transmission. Generally, soil pores with pore size larger than ~~75~~ 75 μm are defined as macro-pores, and non-capillary pores ~~belongs belong~~ to the category of macro-pores (Gao et al., 2015; Pagliai et al., 2002). Soil porosity mainly depends on soil texture and aggregates (i.e., the finer ~~the~~ texture of the soil, the smaller the pore size). Applying this logic, increasing degradation would increase sand content and decrease WSA, and thus lead to a decrease in CP (Fu et al., 2015; Lipiec et al., 2006). Moreover, ~~the~~ a positive correlation between CP and SOC was detected in many studies (Gao et al., 2015; Price et al., 2010; Yu et al., 2015), ~~concluding~~ reflecting that CP can be an indicator of soil quality. By definition, FC is the maximum water content held in soils when excess water has drained away

and the downward flux is negligible (Ottoni Filho et al., 2014). Therefore, FC is essentially ~~depends~~dependent on the capillary effect, ~~and decrease in; decreased~~ FC is ~~resulted from~~the result of a decrease in CP.

Unlike CP, changes ~~of in~~ NCP in this study ~~are were~~ more complex; NCP first decreased from LD to MD and then increased from MD to SD (i.e., MD<SD<LD) (~~; Fig. 3-h 3h~~). It is widely accepted that soil ~~macro-pores~~macropores are closely related to root penetration and activities of soil fauna (Kuncoro et al., 2014; Zeng et al., 2013). NCP measured in the rhizosphere (0-~~10cm_20 cm~~ layer) decreased significantly as root penetration weakened with degradation. ~~On the other hand~~ In contrast, increases in sand content will lead to an increase in the size of soil pores. Hence, the slight but observed increase in NCP from MD to SD. However, the effect is not equivalent with root penetration resulting in macro-pores; i.e., the contribution of increasing sand content to NCP could not offset the ~~vanishing~~diminishing effects of vegetation on soil porosity, and hence NCP of SD was higher than that of MD but still lower than that of LD. Ks ~~determined~~determines soil water movement and is largely dominated by NCP; (Tables 3 and 4), so ~~it~~NCP changed in accordance with Ks.

In summary, our study revealed that the well-identified relationships between soil porosity and hydraulic properties are applicable in alpine swamp meadow. Hence, compared with soil porosity, the ~~contribution~~contributions of other properties to the variance of FC and Ks ~~are were~~ outweighed (Table-~~3~~ 4). In addition, FC was positively ~~correlates~~correlated with SOC, WSA, and silt and clay content ($p<0.05$), and negatively ~~correlates~~correlated with BD and sand content ($p<0.05$) (~~; Table-2); 3~~); these correlations ~~are were~~ consistent with studies in other regions (Głab et al., 2014; Price et al., 2010; Wei et al., 2010). ~~Due to~~Because of the inconsistent changing pattern, Ks only positively ~~correlate~~correlated with NCP (Table-~~2~~ 3). In fact, arguments about the impact of soil properties on Ks and its changes are still under debate (Fu et al., 2015; Jarvis et al., 2013). Hence, further investigations about ~~the~~-variations of Ks are needed.

4.3 Hydrological effects of alpine swamp meadow degradation and the implication for hydrology modelling

4.3 Implications and uncertainties of this study

Our results show a clear distinction of basic soil and hydraulic properties among different alpine meadow degradation degrees. Considering the important roles that FC and Ks play in soil water retention and infiltration, it can be concluded that key hydraulic processes and functions in soil such as water holding capacity, transmission as well as runoff generation mechanisms ~~may might~~ differ significantly with alpine swamp meadow degradation. For example, high Ks seen in topsoil can form preferential flow and avoid infiltration excess runoff (Fu et al., 2015; Lipiecet al., 2006). In this study, soils of LD ~~have had~~ relatively higherhigh Ks and FC, indicating the robustness of soil water retention. For MD, Ks values were reduced significantly; lowerlow Ks ~~may might~~ act as a barrier to vertical water flow reducing its capacity to intercept rainfall.

Furthermore, the results showed that the effects of degradation mainly manifest in the upper soil layers. There are only a few influences of degradation in deep soil layers. Moreover, the rhizosphere lies at the interface between the atmosphere and the

ground surface and directly accepts precipitation, recharges deep soil layers and supplies water to plant growth (Li et al., 2012; Wu et al., 2014). In this sense, the rhizosphere is of great hydrological importance to alpine ~~ecosystem~~ecosystems, and changes in soil hydraulic properties of this layer ~~may~~could greatly alter the soil hydraulic processes in local regions.

~~In addition, the~~The hydrological effects of large-scale alpine meadow degradation are noticeable and serious in the Tibetan Plateau (Jin et al., 2015; Wang et al., 2012). For hydrological modelling, accurate parameter acquisition is necessary for simulation accuracy (Vereecken et al., 2015). Our results indicate that hydraulic properties will be altered significantly both vertically and spatially with degradation. Therefore, to improve the performance of hydrological modelling, differences in soil hydraulic properties under different degradation degrees should be seriously considered ~~seriously~~ (Jin et al., 2015).

5 Conclusion

~~Due to~~Despite the fact that this study revealed the effect of alpine meadow degradation on soil hydraulic properties, some uncertainties still exist. First, it should be noted that degradation is a non-linear and consecutive process while in practice people have to divide it into a limited number of degrees according to some criteria. In section 3.2, we have pointed out that the change patterns of FC varied with degradation classification, and actually our classification was relatively rough. Therefore, to obtain robust conclusions about alpine grassland degradation on soil hydraulic properties, more alpine grassland plots should be established and more degradation degrees should be classified in future investigations. Terrestrial ecosystem degradation is essentially a positive feedback loop composed of vegetation retrogressive succession and soil deterioration (King and Hobbs, 2006). Thus, understanding the effect of vegetation degradation on soil hydraulic properties is somewhat insufficient, thereby the interactions between vegetation and soil hydrology should be addressed in further studies.

5 Conclusions

Because of global change and anthropogenic disturbances, alpine swamp meadow on the eastern Tibetan Plateau is undergoing severe degradation. Based on nine plots representing alpine swamp meadow of different degradation degrees, this study mainly investigated the changes ~~of~~in soil hydraulic properties with alpine swamp meadow degradation, and ~~analyzed~~analysed the influencing mechanism of grassland degradation on ~~field capacity (FC)~~ and saturated hydraulic conductivity (Ks). In summary, with increasing degradation degree, SMC and FC decreased consistently from LD to SD, while Ks decreased from LD to MD and then increased from MD to SD (i.e., ~~LD~~LD>SD>MD). ~~Besides,~~ BD, SOC, WSA, soil texture and porosity were also substantially altered ~~remarkably~~. Significant differences ~~of~~in both soil basic and hydraulic properties between different degradation degrees usually exist in the ~~0-20cm~~20 cm layer, indicating that the effect of degradation was mostly concentrated in the upper soil layers and the rhizosphere. FC ~~were~~was positively correlated with CP,

WSA, SOC, ~~and~~ silt and clay content, but ~~were~~was negatively correlated with BD and sand content; Ks ~~was~~ only positively correlated with NCP.

Changes in FC and Ks are mainly controlled by soil porosity during ~~the~~ degradation process. CP and NCP are dominant factors, which explained 91.1% and 97.3% of the variance of FC and Ks, respectively. Root activities attenuate with degradation and directly lead to ~~a~~ decrease in NCP, while the contribution of sand particles to NCP ~~comes into play~~is ~~important~~ for MD and SD when vegetation ~~vanishes diminishes or disappears~~. The combined effect of ~~vanishing~~disappearing root activities and increased sand content ~~that~~ is responsible for the inconsistent changes in NCP and Ks during the degradation processes. Our findings ~~give out~~provide a more comprehensive understanding of the soil hydrological effects of vegetation degradation. Given the importance of parameterization for hydrological models, water flow simulations in ~~the~~ Tibetan Plateau and similar regions should consider variations in soil hydraulic properties of different degraded alpine swamp meadow.

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- 30

Table 1: ~~Vegetational~~ Vegetation characteristics of investigated sites in this study.

Degradation Degree	VC (mean±SD*, %)	Number of species	Dominant species	
LD	80.5±4.9	18-25	<i>Kobresiatibetica</i> , <i>Stipaaliena</i>	<i>Kobresiahumilis</i> , <i>Agropyroncristatum</i> , <i>Carextristachya</i>
MD	59.7±4.5	15-20	<i>Kobresiapygmaea</i> , <i>Kobresiarobusta</i> , <i>Potentillabifurca</i>	<i>Leymuschinensis</i>
SD	13.7±8.6	5-12		

Note: ~~*,*~~ *,* standard deviation

Table 2: Pearson correlation ~~coefficient~~coefficients between vegetation characteristics and soil properties in ~~0-40cm~~40 cm and ~~40-80 cm~~80 cm layers

Layers	Properties	BD	SOC	WSA	Sand	Silt	Clay	CP	NCP
0-40 cm	VC	-0.710**	0.769**	0.747**	0.533*	0.472*	-0.491*	0.829**	0.155
	MA	-0.811**	0.899**	0.902**	0.838**	0.698**	-0.735**	0.808**	0.345
	MB	-0.635**	0.860**	0.800**	0.672**	0.646**	-0.662**	0.615**	0.028
40-80 cm	VC	-0.187	0.658**	0.586**	0.249	0.420	-0.405	0.321	-0.407
	MB	-0.487*	0.461*	0.464*	0.365	0.507*	-0.502*	0.544*	-0.030
	MA	-0.352	0.474*	0.461*	0.369	0.694**	-0.661**	0.412	0.010

5 | Note: *, significant at 0.05 level; **, ***, significant at 0.01 level (2-tailed test); n=18.

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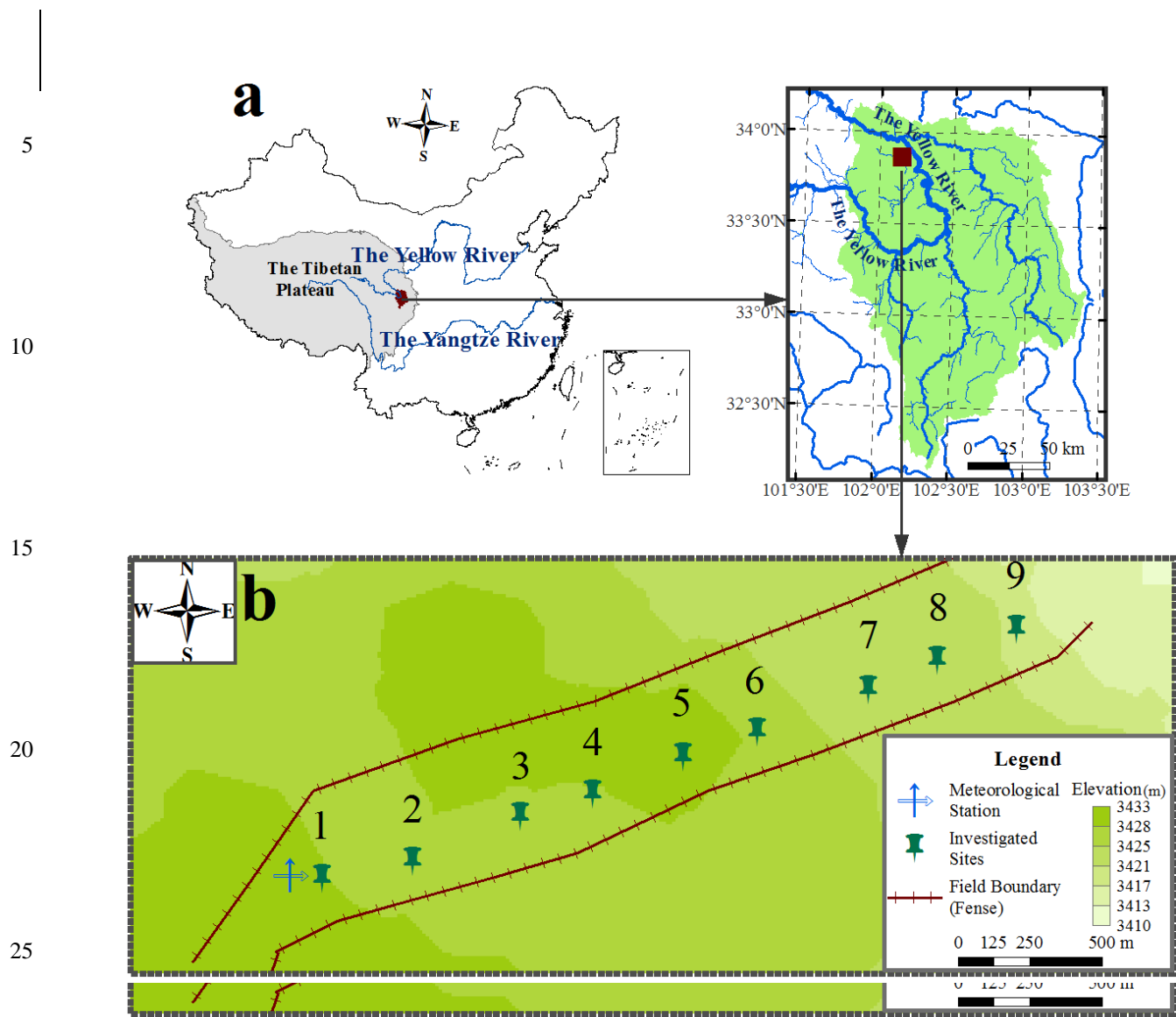
Table 3: Pearson correlation ~~coefficient~~coefficients between Ks, FC and soil properties of soil in layers above ~~20cm~~20 cm depth.

Properties	BD	SOC	WSA	Sand	Silt	Clay	CP	NCP
Ks	-0.447	-0.239	-0.246	-0.381	0.366	0.391	0.172	0.896**
FC	-0.912**	0.867**	0.875**	-0.803**	0.786**	0.760**	0.918**	0.361

15 | Note: ***, significant at 0.01 level (2-tailed test); n=18.

Table 4: Total variance of FC and Ks explained by basic soil properties

Ranking	FC			Ks		
	Properties	% of Variance	Cumulative%	Properties	% of Variance	Cumulative%
1	CP	91.1	91.1	NCP	97.3	97.3
2	WSA	7.5	98.6	BD	1.8	99.1
3	NCP	0.7	99.3	WSA	0.5	99.6
4	Silt	0.5	99.8	CP	0.2	99.8
5	BD	0.2	100.0	Clay	0.1	99.9
6	SOC	0.0	100.0	Silt	0.1	100.0
7	Clay	0.0	100.0	Sand	0.0	100.0
8	Sand	0.0	100.0	SOC	0.0	100.0



30 | **Figure 1.** Location of the study area and investigated sites: a) location of the experimental field in the Zoige Wetland in the east of the Tibetan Plateau, China; b) distribution of investigated sites within the experimental fields.

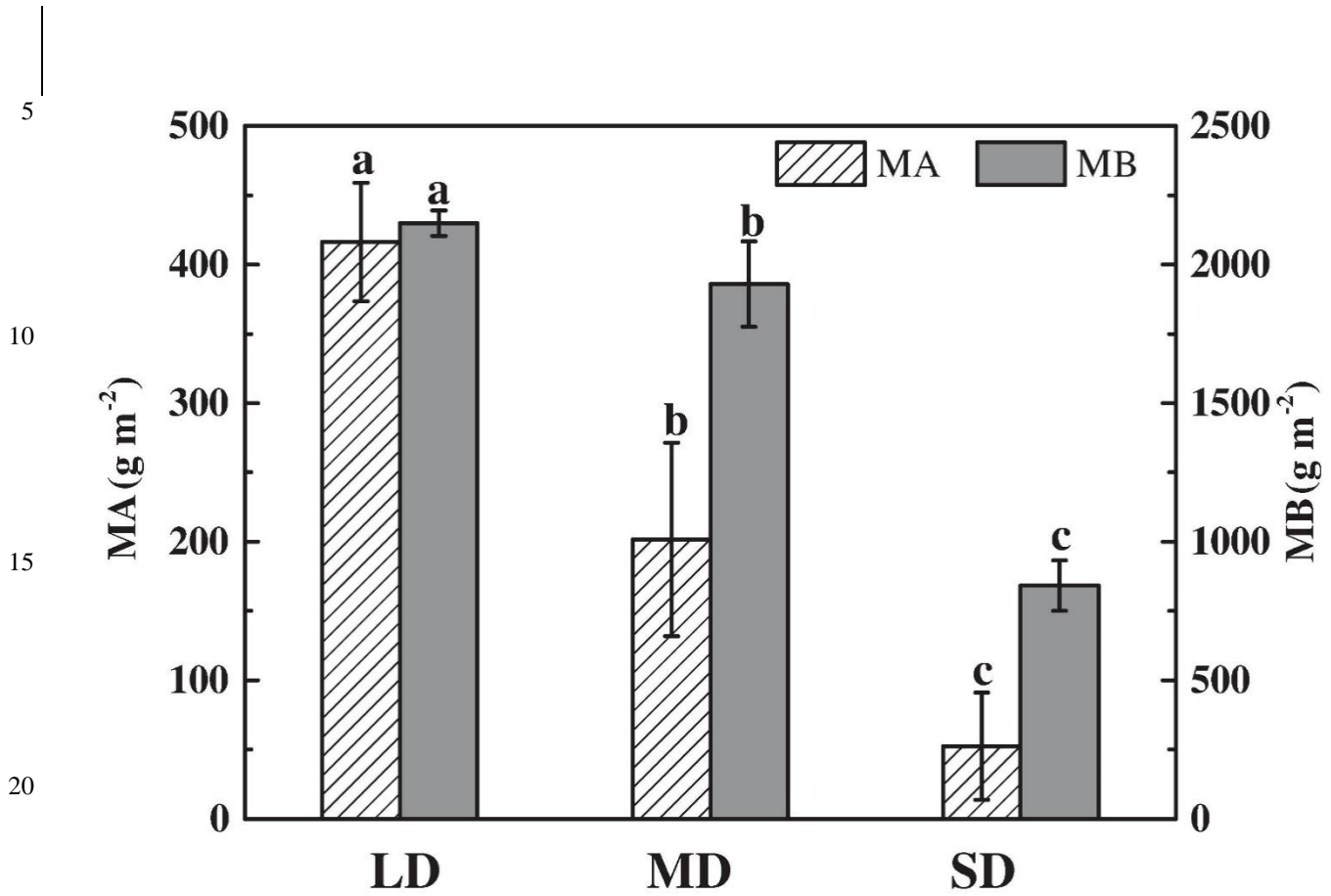


Figure 2: MA and MB of different degradation degrees. The error bars denote the standard deviation of the 3 sites of the same degradation degree. Different successive letters above the bars denote the significant differences ($p < 0.05$) between different degradation degrees. - LD: lightly degraded, MD: moderately degraded, SD: severely degraded.

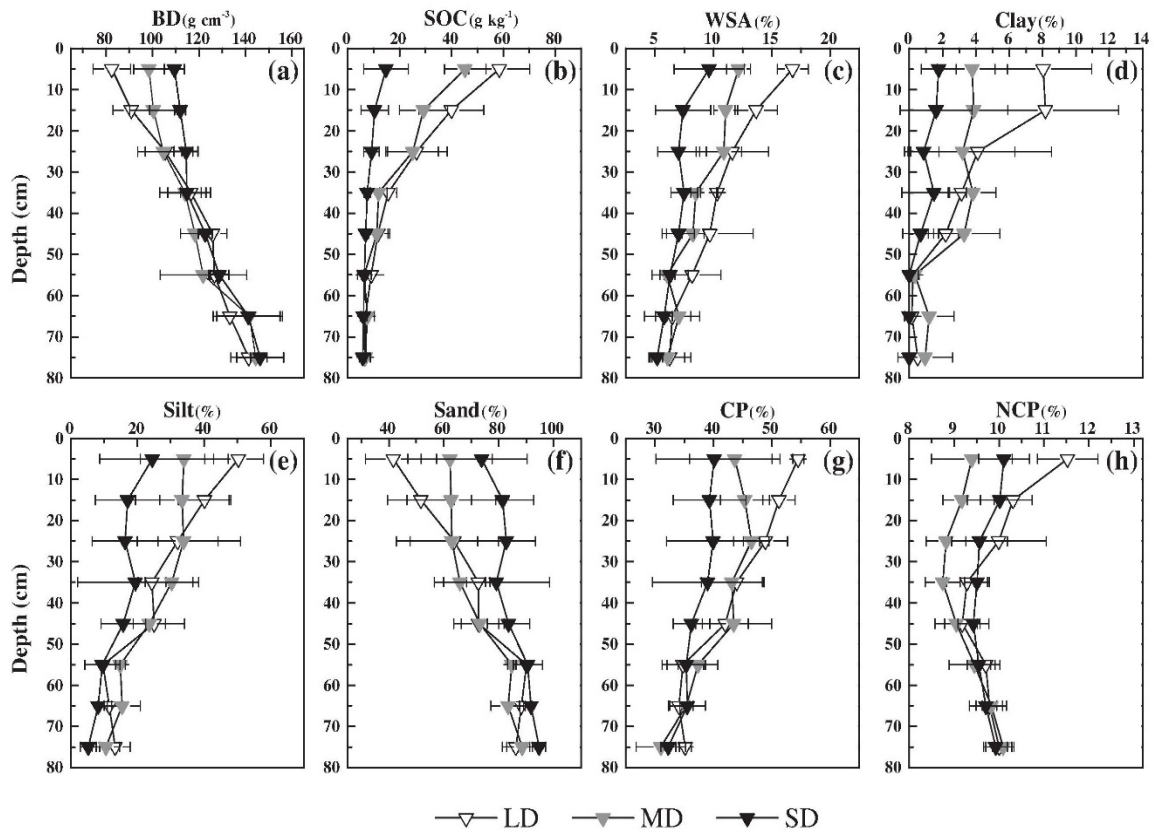


Figure 3: ~~The basic~~, Basic soil properties of different degradation degrees. The error bars denote the standard deviation of the ~~3~~three sites of the same degradation degree. LD: lightly degraded, MD: moderately degraded, SD: severely degraded.

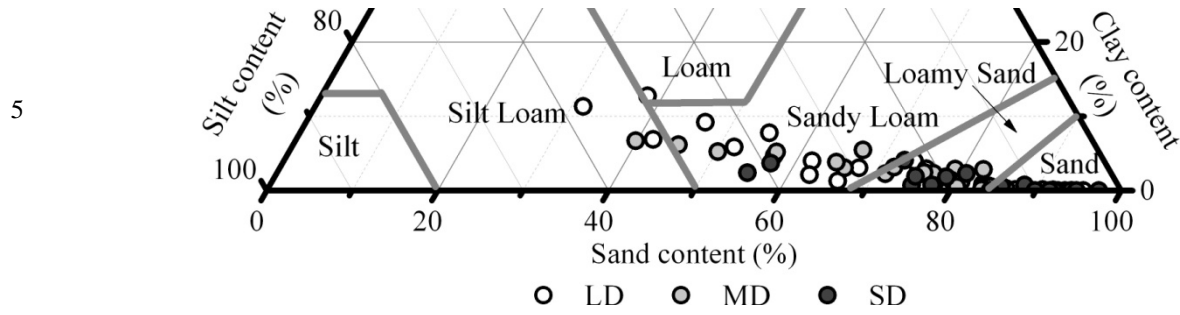


Figure 4: Particle size distributions of lightly degraded (LD), moderately degraded (MD), and severely degraded (SD) soil samples. Textural classes corresponding to particle size distributions observed in these soils are bounded by gray bold lines (e.g., loam, silt).

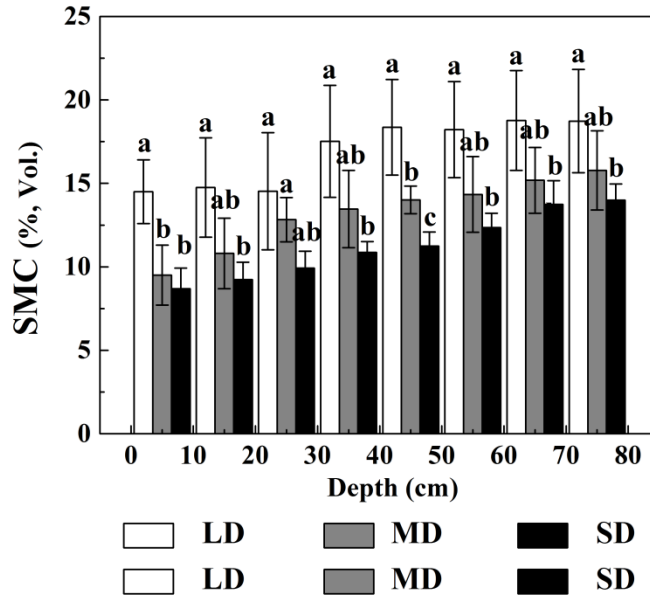


Figure 5: SMC, Soil moisture content (SMC) of different degradation degrees. Error bars denote the standard deviation of the 3 sites of the same degradation degree. Bars with the same letter indicate that no significant differences ($p < 0.05$) exist between corresponding degradation degrees. LD: lightly degraded, MD: moderately degraded, SD: severely degraded.

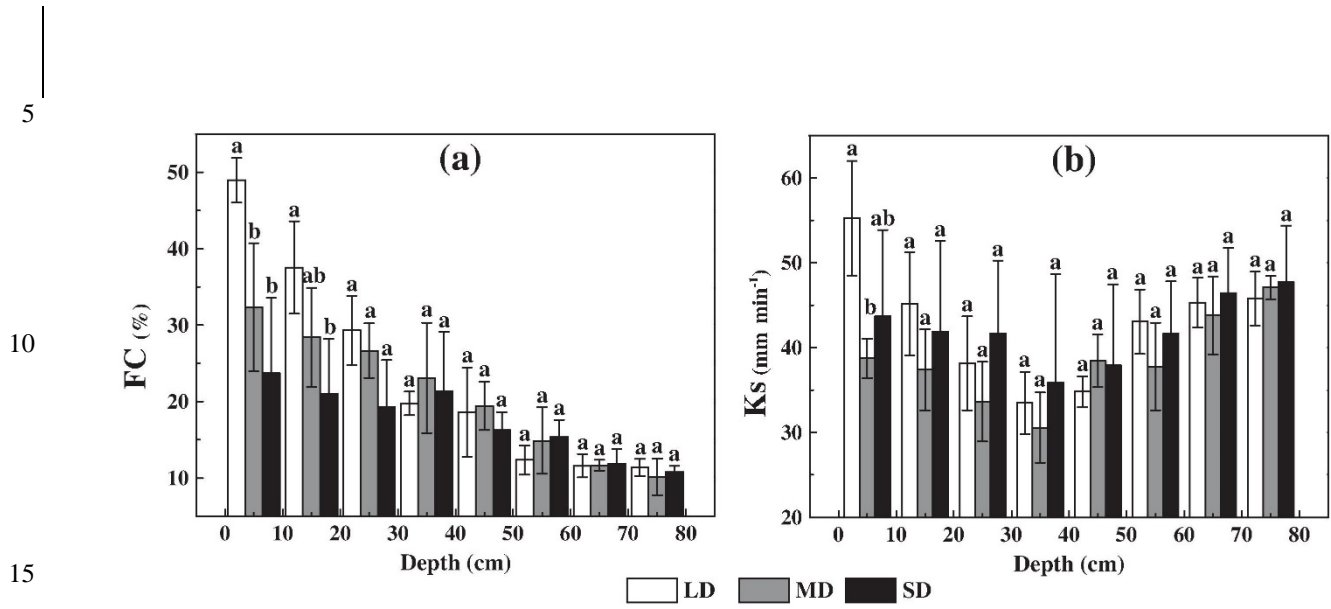


Figure 6: Difference. Differences in field capacity (FC) and saturated hydraulic conductivity (Ks) with degradation degree ~~for FC and Ks~~. Error bars denote the standard deviation of the 3 sites of the same degradation degree. Bars with the same letter indicate that no significant differences ($p < 0.05$) exist between corresponding degradation degrees. LD: lightly degraded, MD: moderately degraded, SD: severely degraded.

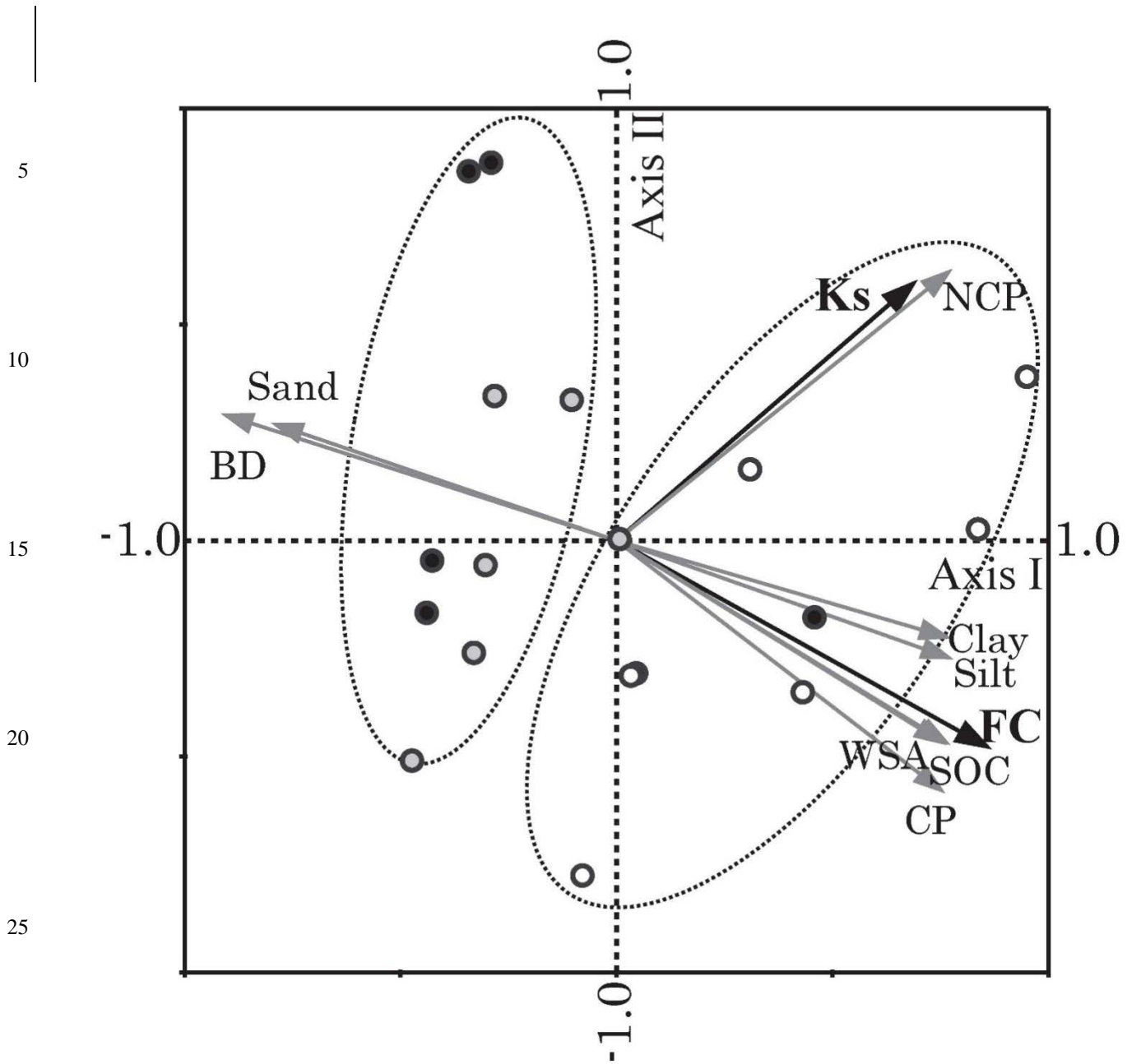


Figure 7: Redundancy analysis of soil hydraulic properties and basic properties under different degradation stages. Symbols '○', '◐' and '●' denote soil samples from lightly degraded (LD_r), moderately degraded (MD) and severely degraded (SD_r), respectively. The two axes represent the principal component (PC) extracted from the explaining/explanatory variables (basic soil properties). The first ordination axis (axis I, horizontal) mainly reflects/reflects the influence of bulk density (BD_r), soil organic carbon (SOC) and soil texture and the second axis (axis II, vertical) mainly reflects that/reflects the influence of capillary porosity (CP) and non-capillary porosity (NCP). FC: field capacity, Ks: saturated hydraulic conductivity, WSA: water-stable aggregates.

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