



22 **Abstract.** Drought frequently occurs in North China and is the most damaging disaster in this region
23 owing to its large-scale impact on hydrology and ecosystems. This is the main reason that China
24 implemented the world-famous South-to-North Water Diversion (SNWD) project. However, quantifying
25 the drought-induced water deficit at a regional scale is still a significant challenge. Gravity Recovery and
26 Climate Experiment (GRACE) satellites monitor temporal variations in the Earth's gravitational potential
27 and provide quality data sets for water storage analysis. In this study, we quantify the water deficit over
28 North China in the context of the implementation of the SNWD project by focusing on a recent drought
29 event, the 2009/10 drought, and identifying its onset, persistence, and recovery. As confirmed with
30 ground-measured and land surface modelling data sets, GRACE can successfully capture temporal
31 variations in total water storage. Total water storage shows a declining trend, reaching a low point during
32 the 2009/10 drought with a water storage deficit of up to 25 km^3 (~22 mm). Groundwater storage shows a
33 similar pattern, with a trend of -6.97 mm/yr . Together with the water deficit, vegetation growth is
34 substantially restricted, as indicated by a reduction in the leaf area index. The amount of water transfer by
35 the SNWD project can roughly meet the water deficit in North China but the effectiveness of the SNWD
36 will depends on specific water configuration strategies.

37 **Keywords:** North China; drought; water deficit; Gravity Recovery and Climate Experiment; water storage



38 **1 Introduction**

39 The global climate system has significantly changed in recent years, leading to an increased
40 frequency of extreme weather and other disaster events (Palmer, 2002). As a typical weather-related
41 phenomenon, drought causes various problems such as the shortage of water resources (Lehner et al.,
42 2006), crop damage (Deng, 2011), and ecological deterioration (Lewis, 2011), thereby imposing a direct
43 threat to long-term security and social stability (R. Garc ía-Herreraa, 2010;Jinsong Wang, 2012;Hsiang).
44 Recently, drought has become one of the dominant factors limiting regional economic and social
45 developments under the combined impacts of climate change and intensified human activities (Feng et al.,
46 2014). With increasing water demand, population explosion, and uncertain water supply in the context of
47 climate change, drought is expected to become more frequent and severe (Smith, 2013). Therefore, it is
48 imperative to pay greater attention to drought events.

49 Drought frequently occurs in most areas of China and accounts for 35% of all economic losses from
50 disasters. North China is an area with the most severe water shortage in China, particularly in arid and
51 semi-arid regions (Feng et al., 2014); this area has shown significant sensitivity to drought events (Ju,
52 2006;Wei, 2003). To ease this situation, China has undertaken the South-to-North Water Diversion
53 (SNWD) project to divert water from the Yangtze and Han Rivers from South to North China. The middle
54 route of SNWD has been in service since December 2014 and provides water to hundreds of millions of



55 people on the North China Plain (NCP). Despite long-term planning and design of the SNWD project,
56 further demonstration and research is still needed to evaluate its actual resistance to drought.

57 During 2009/2010, a mega drought swept across the North China, causing a serious water shortage in
58 industry and agriculture as well as restrictions on vegetation growth (Barriopedro et al., 2012). A few
59 studies have focused on the drought in terms of meteorology, ecology, and economy. Gao and Yang
60 (2009) indicated that the La Niña event of 2008–2009 increased the differences in temperature and
61 atmospheric pressure between the Indo-Pacific Oceans and the Asian continent, causing severe
62 winter-time droughts in northern China. The drought might have been the main driving force behind the
63 decreasing trend in vegetation activity in North China: the summer droughts in 2007 and 2009 reduced the
64 vegetation cover by more than 13% (Wu et al., 2014). Moreover, the drought led to price fluctuation of
65 agricultural products in North China, despite the minor impact on main agricultural products (Lin et al.,
66 2013).

67 However, few of these studies have studied this drought event from the hydrological perspective. The
68 state of water storage in an area of interest is a direct hydrological response to the degree of drought, and
69 water storage anomalies can affect the hydrological cycle (Li et al., 2012). Regional-scale water storage
70 can be well quantified using data from the Gravity Recovery and Climate Experiment (GRACE). The
71 GRACE data have been successfully applied for water resources analysis in many areas such as central
72 North America (Wang et al., 2012) and North China (Feng et al., 2013).



73 In this study, we aim to explore the drought condition of North China during the past decade,
74 especially focusing on the 2009/10 drought, and to discuss whether GRACE can capture the typical
75 drought in North China. Moreover, we roughly evaluate the amount of water transferred by the SNWD in
76 remediation of the drought.

77 This paper is organized as follows: Section 2 describes the study area, data sets, and methods. Section
78 3 presents the results for SPI values and temporal and spatial changes in water storage. Groundwater and
79 surface water changes are also described. Sections 4 and 5 list the discussions and draw conclusions,
80 respectively.

81 **2 Data and Methods**

82 **2.1 Study area**

83 The region of interest in this study is North China ([Fig. 1](#)), which frequently experiences drought
84 events. North China covers an area of about 1.16 million km², is located in the region between 35–45 °N
85 and 110–125 °E, and has a climate predominantly influenced by the Asian monsoon. This region is in a
86 semi-arid environment with annual precipitation of around 500 mm ([Fig. 1\(a\)](#)), with most precipitation
87 occurring in summer; annual relative humidity of 53.6 %; and wind speed of 2.9 m s⁻¹ (Feng, 2012).
88 North China is an important area of grain production (Barriopedro et al., 2012); the main land cover



89 (39.5%) is cropland, with 33.6% grassland and 18.1% forest. Agricultural irrigation in the region is
90 heavily reliant on groundwater (Yang, 2010).

91 The topography of North China includes plains, mountains, and plateaus, with a declining slope from
92 northwest to southeast (Fig. 1(b)). The Inner Mongolian Plateau and the Tai-hang Mountain lie in the
93 north and west of the area; the NCP is in the center and southeast. The area contains drought-prone basins,
94 i.e., the Hai River basin and part of the Yellow River basin (Qin et al., 2015). Due to the large population
95 (~168 million), the average per capita water resource is only 23% of the Chinese average. In the NCP,
96 more than 70% of fresh water comes from groundwater (Zheng et al., 2010), which means that
97 groundwater plays an important role in local normal life, agriculture, and industry. Because of the uneven
98 spatial–temporal distribution of water resources, the economic losses and ecological disruption caused by
99 drought events can be more severe than in other regions.

100 **2.2 Data sets**

101 **2.2.1 GRACE data**

102 The GRACE satellite mission was launched by the National Aeronautics and Space Administration
103 (NASA) and the German Aerospace Center in March 2002. The GRACE project monitors temporal
104 variations in the Earth’s gravitational potential. After atmospheric and oceanic effects have been
105 accounted for, the remaining signal on monthly to inter-annual timescales is mostly related to variations



106 in terrestrial water storage (Landerer and Swenson, 2012). Although its spatial resolution ($\sim 160,000 \text{ km}^2$)
107 and temporal resolution (ten-day to monthly) are low in comparison with other satellites, GRACE has the
108 attractive advantage that it senses water stored at all levels, including groundwater (Rodell et al., 2009).
109 Many studies have evaluated the use of GRACE satellites to monitor the hydrologic impacts of droughts
110 (Long et al., 2013) and long-term total water changes.

111 The GRACE data used in this study were processed by the University of Texas Center for Space
112 Research (CSR) using a Gaussian filter with a 300km smoothing radius to remove the stripes observed in
113 the spherical harmonic coefficient fields (Swenson, 2006). Data from the German Research Centre for
114 Geosciences (GFZ) and the NASA Jet Propulsion Laboratory (JPL) (<http://grace.jpl.nasa.gov/data/>) were
115 also used. Atmospheric and oceanic circulations had already been removed from mass distributions, and a
116 correction had been made (Rasmus Houborg, 2010). Our GRACE time series included 120 approximately
117 monthly data points from January 2003 to December 2012. Anomalous fields were obtained by
118 subtracting out the multi-year mean field and converted to equivalent water heights including changes
119 regarding surface water, soil moisture, and groundwater, with a spatial resolution of 1° . We also isolated
120 groundwater changes by distracting the soil moisture and canopy storage changes from the total water
121 anomalies (Castle et al., 2014) to compare with the groundwater water change (GWC).



122 **2.2.2 Simulation data**

123 To diagnose the dryness of the 2009/10 drought and to validate the terrestrial water storage
124 measurements of GRACE, water fluxes (i.e., runoff and evapotranspiration) and soil moisture from two
125 land surface models were used in this study. The first is the Variable Infiltration Capacity (VIC) model
126 (Liang, 1994). VIC is a semi-distributed macroscale hydrologic model which solves full water and
127 energy balances. A number of improvements have been made to VIC so that it can deal with
128 complicated hydrological processes. Besides natural hydrological processes, VIC can consider water
129 management impacts associated with reservoir operations, and sprinkle irrigation (Haddeland et al.,
130 2006;Haddeland et al., 2007). The model's meteorological driving data mainly include precipitation,
131 wind speed and air temperature. The VIC model has been widely applied to analyze drought events at
132 regional and global scales (Andreadis, 2005;Sheffield and Wood, 2007;Xie et al., 2015). In this study,
133 The VIC daily simulation data at 0.25-degree resolution were obtained from Zhang et al. (2014) which
134 produced a long-term hydrological dataset for China specially. The model has been successfully
135 calibrated and validated using ground-measured streamflow and soil moisture, and remote-sensing
136 evapotranspiration (Zhang et al., 2014).

137 To perform a more extensive examination, we also used the simulated hydrological data from the
138 Global Land Data Assimilation System (GLDAS; (Rodell et al., 2004)), which incorporates four land
139 hydrological models (LSM, CLM, VIC, and NOAH). The NOAH model has more than 30-year history



140 (Chen et al., 1996). The model is driven by near-surface atmospheric forcing data including air
141 temperature, air humidity, and precipitation (Charusombat et al., 2012). It simulates surface water and
142 energy balances such as soil moisture, soil temperature, canopy content, and water and energy flux terms
143 (Yang et al., 2013). The NOAH model has undergone continuous improvement (Ingwersen et al., 2011),
144 and it has been included in the GLDAS in which ground-based and space-based observations were used
145 to estimate the land surface states (Fang et al., 2009). To verify the GRACE measurements, in this study,
146 we used the NOAH simulated data from GLDAS because the data were widely applied (Rodell et al.,
147 2009; Long et al., 2013; Syed et al., 2008) and they have also been evaluated in North China with
148 acceptable uncertainties (Feng et al., 2013; Huang et al., 2015).

149 Please note the VIC and the NOAH simulation data of water fluxes and soil moisture were from other
150 studies, and we did not perform the simulations. Their daily data at 0.25-degree resolution were
151 aggregated to monthly and one-degree scale to compare with GRACE.

152 **2.2.3 Ground-based measurements and other data**

153 In this study, ground-based measurements of precipitation, groundwater, and surface water storage
154 were used. Ground-based measured precipitation data from the Chinese Meteorological Administration
155 were applied to derive gridded precipitation at a spatial resolution of 0.25 ° using the synergraphic
156 mapping system algorithm (Shepard, 1984). The gridded precipitation data have been extensively verified



157 for runoff, evapotranspiration, and soil moisture (Zhang et al., 2014). These gridded precipitation data can
158 be used to identify the spatial coverage of meteorological droughts.

159 In order to detect the impact of the drought on the groundwater system, groundwater table
160 observations were acquired from 95 observation wells. The distribution of these wells is shown in Fig.
161 1(b). Reservoir storage constitutes a major part of surface water, so water stored in reservoirs in the Hai
162 River basin in 2003–2012 Hai River Water Resources Bulletin (HRWRB) were also used to examine this
163 drought. Moreover, the data of annual groundwater withdraw from the HRWRB were applied to reflect
164 the human activity on groundwater storage.

165 **2.3 Methods**

166 We first characterized the 2009/10 drought in a long perspective based on the 53-year precipitation.
167 The Standardized Precipitation Index (SPI) and the probability of yearly precipitation are used to
168 represent the status of the drought in the 53 years. Then we identify the water storage condition, including
169 the total water storage, surface water and groundwater. In order to evaluate the GRACE data, we
170 compared net recharge from GRACE and the simulated data. Moreover, the groundwater storage
171 calculated from GRACE was also evaluated using in-situ observations. Here we specially present the
172 methods used to calculate the SPI, net recharge, and groundwater storage.



173 **2.3.1 SPI**

174 The severity of a drought can be quantified with a drought index. The SPI was used to reflect the
175 meteorological drought, which was proposed by McKee (1993) and is a widely used drought index. The
176 index is a statistical monthly indicator that compares the accumulated precipitation during a period of
177 specific months with the long-term cumulative rainfall distribution for an accumulated period (Nam et al.,
178 2015). The timescales of SPI vary from 1 month to 24 months. When the time periods are small (1 or 6
179 months), the SPI frequently fluctuates above and below zero (McKee, 1993). In this study, 53-year
180 monthly precipitation data were used to calculate the SPI, thereby diagnosing the severity of the
181 2009/10 drought.

182 **2.3.2 Net recharge of total water storage**

183 As the same to many satellite data, uncertainties in GRACE are inevitable caused by atmosphere,
184 sensor and other factors. The GRACE data need evaluation for the area of interest. Therefore, we
185 calculated the monthly net recharge of total water storage (ΔS) from two independent sources: the model
186 simulations (i.e., from NOAH and VIC) and the GRACE data (Famiglietti et al., 2011). As the GRACE
187 monthly data represent the mass anomaly, the difference of the GRACE data in two successive months is
188 equivalent to the monthly net recharge (Wang et al., 2014):

$$189 \Delta S_i = S_i - S_{i-1} \quad (1)$$



190 where the subscript i stands for the i th month and S_i represents the i th month total water storage anomaly.

191 With the model simulation data (from NOAH and VIC), the net recharge can be computed based on
192 the monthly basin-scale water balance (Syed et al., 2008):

$$193 \Delta S_i = P_i - E_i - R_i \quad (2)$$

194 where P , E , and R denote precipitation, evapotranspiration, and runoff, respectively.

195 Therefore, the agreement of net recharge calculated from Eqs. (1) and (2) is a useful indicator for the
196 accuracy of GRACE in capturing the total water storage change, because the model simulation and
197 GRACE are independent approaches (Syed et al., 2008).

198 2.3.3 Groundwater storage

199 Groundwater is an important part in the total water storage in North China. To detect groundwater
200 changes during recent years, the storage variation is discussed. There are two methods for calculation of
201 groundwater storage change (GWC). The first method is based on ground measurement by multiplying
202 the measured groundwater level anomalies by the specific yield of each well (Huang et al., 2015):

$$203 G_i = H_i \cdot \mu \quad (3)$$

204 where H_i represents the groundwater level measured in situ for the i th month and μ stands for the specific
205 yield. In this study, the value of μ for each site was prescribed based on the soil properties according to
206 Huang et al. (2015).



207 The other method for GWC computation is subtraction of soil water storage from the GRACE total
208 water storage changes:

$$209 \quad G_i = S_i - M_i - C_i - W_i \quad (4)$$

210 Where G is the GWC, S and M denote the GRACE total water anomalies and the soil moisture changes
211 simulated by the hydrologic model, respectively. The C and W represent canopy water storage and
212 surface water (i.e., water storage in reservoirs), respectively.

213 Through the two methods, groundwater storage is obtained so that to evaluate the GRACE data
214 and to quantify groundwater changes.

215 **3 Results**

216 **3.1 Precipitation deficit**

217 Precipitation is a direct indicator of drought. We used monthly precipitation data to analyze the water
218 balance input during 2009 and 2010 ([Fig. 2](#)) and diagnosed the dryness. As illustrated in [Fig. 2](#), the
219 regional average accumulated precipitation is less than the climatological mean values calculated for the
220 period 1960–2012. Especially in the summer and the fall of 2009, the precipitation only accounts for 78%
221 of the climatologically mean. The spring of 2010 is slightly wet due to a near-normal monsoon season
222 ([Barriopedro et al., 2012](#)). The regional precipitation deficit reaches 14 mm throughout 2009/10 and 47
223 mm from May 2009 to April 2010.



224 To characterize this drought well, 53-year monthly precipitation data (from 1960 to 2012) were used
225 to calculate the SPI. Three timescales of SPI are shown in [Fig. 3\(a\)](#), indicating different drought situations.
226 Meteorological and soil moisture conditions respond to precipitation anomalies on relatively short
227 timescales, whereas streamflow, reservoirs, and groundwater respond to long-term precipitation
228 anomalies on the order of 6 to 24 months or longer. According to the SPI classification (Nam et al.,
229 2015; Qin et al., 2015), the 12-month SPI (approximately -1.0) indicates a moderate drought during May
230 2009 to April 2010, the 1-month SPI represents a severe drought in August and October 2009, and the
231 6-month SPI indicates a severe drought from October to December 2009 with the lowest SPI value of
232 approximately -1.63 . Overall, there is an obvious drought event in North China from May 2009 to April
233 2010.

234 In addition to the SPI, the probability of yearly precipitation can also reflect the water input
235 conditions with respect to North China. To compute the probability, we first defined the hydrological year
236 as being the period between this May and the next April. We sorted the 52 years of precipitation from high
237 to low and calculated the probability of each year using the Weibull equation (Helsel D, 2002). [Figure 3\(b\)](#)
238 shows the results: the precipitation of 2009 was ranked 43rd, and the probability of precipitation during
239 this drought period was only about 84%, indicating that 2009 was a severely dry episode during the 52
240 years, which is consistent with the SPI results.



241 3.2 Total water storage

242 The lack of water input (i.e., precipitation) during the drought period probably induces a decrease in
243 water storage. As shown in [Fig. 4\(a\)](#), the GRACE data from CSR, JPL, and GFZ have similar trends and
244 match quite well. Overall, there is a notable decrease of total water storage in North China from 2003 to
245 2013, indicating recurrence of the drought. The total water storage anomalies in 2009 and 2010 are below
246 zero with a mean value of approximately -21 mm and a minimum value of -40 mm, which means that
247 water storage is less than normal. The storage shows a small increase in the winter of 2009 and spring of
248 2010: this trend is consistent with the precipitation change.

249 There will be uncertainties in the GRACE data, so we verified the data by comparing with the net
250 recharge of water storage (ΔS) from the NOAH and VIC simulations. To make the comparison, the
251 average GRACE values from CSR, JPL, and GFZ were computed. From [Fig. 4\(b\)](#), the ΔS series of
252 GRACE agrees well with the values from VIC and NOAH, although ΔS of GRACE displays larger
253 fluctuations. The correlation coefficient between GRACE and NOAH is 0.53 and the correlation of
254 GRACE with VIC is 0.52, whereas the correlation between VIC and NOAH is about 0.85, suggesting a
255 certain degree of consistency between the three sources of data.

256 The spatial distributions of total water storage anomalies for this drought event are presented in [Fig. 5](#).
257 From May 2009 to April 2010, the south of the region that contains Shanxi, Shandong, and Hebei
258 provinces suffered a much more severe drought than the north, especially in the summer and fall of 2009



259 and spring of 2010. Although the spatial distribution is uneven, total water storage is still below zero and
260 the south of North China is the main affected area.

261 Furthermore, we computed the relative departure of water storage for 2009/10 from the average.
262 From Fig. 6, we can see that drought events mainly occur in the south of North China, where the water
263 resources are very poor. The regional average water storage deficits are up to 22 mm, about 25.5 km³
264 relative to the normal water storage condition.

265 **3.3 Response of surface water and groundwater**

266 **3.3.1 Surface water storage**

267 Due to data availability, data for yearly reservoir storage were used to reflect surface water storage.
268 According to *Water Resources Bulletin of Hai River Basin* (<http://www.hwcc.gov.cn/>), the number of
269 reservoirs slightly increased from 137 in 2003 to 146 in 2012, so the total water storage of reservoirs
270 increased from 61.1 km³ in 2003 to 95.81 km³ in 2012 (Fig. 7). To derive the surface water storage
271 changes, we use the average storage of the reservoirs. Long-term average water storage is about 0.16 mm,
272 but the storage reaches its lowest levels in 2009 (~0.13 mm) and 2010 (~0.14 mm), reflecting the
273 influence of the drought.



274 3.3.2 Groundwater change

275 Groundwater is a vital source of fresh water for agriculture, industry, public supply, and ecosystems
276 in North China (Feng et al., 2013). To quantify the influence of droughts on groundwater storage, in
277 addition to the GRACE data, we used the ground observations from the 95 wells. Figure 8(a) presents the
278 average variations of groundwater tables of the 95 wells. There is a gradual decline of approximately
279 -0.41 m/yr, despite substantial uncertainties. For the 95 wells, the trends in the groundwater table range
280 from -2.5 to 2.0 m/yr, and the decreases are mainly apparent in the south of North China (Fig. 8(b)).
281 Figure 9 shows the groundwater storage change derived from the in situ observations and GRACE, and
282 groundwater storage is described as the equivalent water height. Both of these data sets indicate a
283 downward trend, of 4.68 mm/yr for GRACE and 6.97 mm/yr for ground observations. This difference
284 may be attributable to the uncertainties within GRACE and ground observations and the spatial
285 representation of the 95 ground observations. Despite such differences, the changes in groundwater
286 storage from GRACE and ground observations have a strong correlation, with a Pearson correlation
287 coefficient of approximately 0.71 .



288 **4 Discussion**

289 **4.1 Further evidence and impact of the drought**

290 Climate change in North China during past decades can be characterized as an increase in air
291 temperature and a decrease in precipitation (Ming et al., 2015). Moreover, the frequency and intensity of
292 drought over North China has significantly increased during the last five decades (Qin et al., 2015),
293 mainly caused by the dramatic decrease in precipitation (Xu et al., 2015). In this study, we focus on the
294 2009/10 drought event in the context of the environmental changes in the past decade. Given the SPI
295 values and the probability of precipitation, this drought was a severe event. The drought started in May
296 2009 and ended in April 2010, as shown by Barriopedro et al. (2012). In contrast to existing studies
297 focusing on the drought from the viewpoint of meteorology or ecology, we addressed this drought event
298 from a hydrological perspective in order to analyze the influence on water storage, which is essential for
299 ecosystem and agricultural production.

300 With decreasing precipitation, water storage depletion has taken place during the past decade in
301 North China (Moiwo, 2013). In this study, we found that surface water storage reached a low level in
302 2009 and 2010. The responsiveness of the groundwater system is important for hydrological drought
303 development (Van Loon and Laaha, 2015). The groundwater table has displayed a continuous decline at a
304 rate of ~ 0.3 m/yr since 1960 (Cao et al., 2016).



305 One may wonder the role of human over-use of the water resources. [Figure 10](#) shows total
306 groundwater withdraws for 2003-2013. Although the groundwater withdraws continuously decreased
307 during the past decade, it primarily contributed to the groundwater decline in North China, because there
308 is no significant trend in the net recharge ([Fig 4b](#)). Similar results were also shown in Zheng et al. (2010).
309 However, the water deficit during the 2009/10 drought is dominated by the inadequate precipitation input,
310 so that the groundwater storage is at the low level during the period ([Fig 9](#)). Moreover, our study shows
311 that the rate of groundwater decline is approximately 0.41 m/yr from 2005 to 2014, indicating an
312 accelerating depletion, which may be attributable to the reoccurrence of drought events.

313 **4.2 Impact on vegetation**

314 In addition to the water storage depletion, the typical 2009/10 drought induced negative impacts on
315 vegetation growth (Wang et al., 2015;Zhang et al., 2016). Wu et al. (2014) indicated that this drought
316 probably reduced the normalized difference vegetation index by 6.68% in 2009 in the Beijing–Tianjin
317 sand source region.

318 To investigate the impact of this drought further, we calculated the average leaf area index (LAI)
319 within the growing season (from May to October) for three types of land cover (grass, crop and forest), as
320 LAI is an important indicator of crop growth and plant productivity (Liang et al., 2015). As shown in [Fig.](#)
321 [11](#), LAI reaches its lowest level during 2009. Especially for crop land, LAI in 2009 is less than its
322 multi-year mean of approximately 0.11. An area of more than 0.3 million km² of North China shows a



323 substantial LAI reduction. It should be noted that the spatial distribution of the LAI reduction ([Fig. 11\(b\)](#))
324 is approximately consistent with the area of water storage deficit ([Fig. 6](#)). Thus, this drought event has a
325 negative effect on vegetation growth, and especially causes the reduction of agricultural production.

326 **4.3 Implications for the SNWD project**

327 The SNWD project supplies water resources from the Yangtze River basin to North China, and it is
328 expected to transfer approximately 27.8 km³ of water annually. In this study, we demonstrated that the
329 2009/10 drought was a severe episode with precipitation ranking 84%, and the water storage deficit is
330 about 22 mm (~25 km³). Therefore, the SNWD project can probably replenish the water deficit at this
331 level of drought. Certainly, the efficiency of the SNWD in combating drought will depend on the water
332 configuration strategy (Dong et al., 2012). However, the amount of water transfer by the SNWD is not a
333 constant, it depends on precondition of water resource regions and requirement of receiving water
334 regions (Zhang et al., 2011). During the summer monsoon rainy season in South China, the SNWD is
335 expected to provide a large amount of water resources to replenish the surface water and groundwater
336 storage in North China when a drought event occurs. In combating droughts and relieving the stress of
337 water resources, moreover, the SNWD project requires additional evaluations of water quality regarding
338 surface and ground water and the effect on ecosystems (Tang et al., 2014;Zhu et al., 2008).



339 5. Conclusions

340 In this study, the hydrological effects of the 2009/10 drought in North China are discussed using
341 multi-source data, including satellite data, ground measurements, and model simulations. On the basis of
342 the precipitation data, the shortage of precipitation was 47 mm from May 2009 to April 2010: this event is
343 regarded as a severe drought on the basis of the SPI value. Moreover, the probability of precipitation
344 during this period was about 84% in the past 52 years, also indicating a notable drought event, consistent
345 with the SPI analysis. There was a declining trend in total water storage for the past decade based on
346 GRACE data, and the regional deficit of water storage was approximately 22 mm ($\sim 25 \text{ km}^3$) in 2009/10.
347 The relatively dry area is located in the south of North China. Furthermore, both groundwater storage and
348 total water storage decreased year by year, while the surface water reached its lowest level in 2009. Thus,
349 this drought event has led to damaging hydrological effects as well as suppression of vegetation growth in
350 North China. The SNWD project may ease the water storage deficit in North China for this level of
351 drought intensity.

352 The GRACE data have attractive advantages for large-scale drought and flood-potential detection (Li
353 et al., 2012; Rasums Houborg, 2010; Reager and Famiglietti, 2009). However, the effective spatial
354 resolution of GRACE is about $150,000 \text{ km}^2$ at best (Swenson et al., 2006), so these data may not be
355 suitable for small-scale issues. With the implementation of the SNWD project, moreover, there is a
356 growing need for real-time drought monitoring and forecasting. Use of multi-source data, including



357 satellite data, ground measurement, and model simulations, is an effective strategy to quantify both
358 drought intensity and water deficits.

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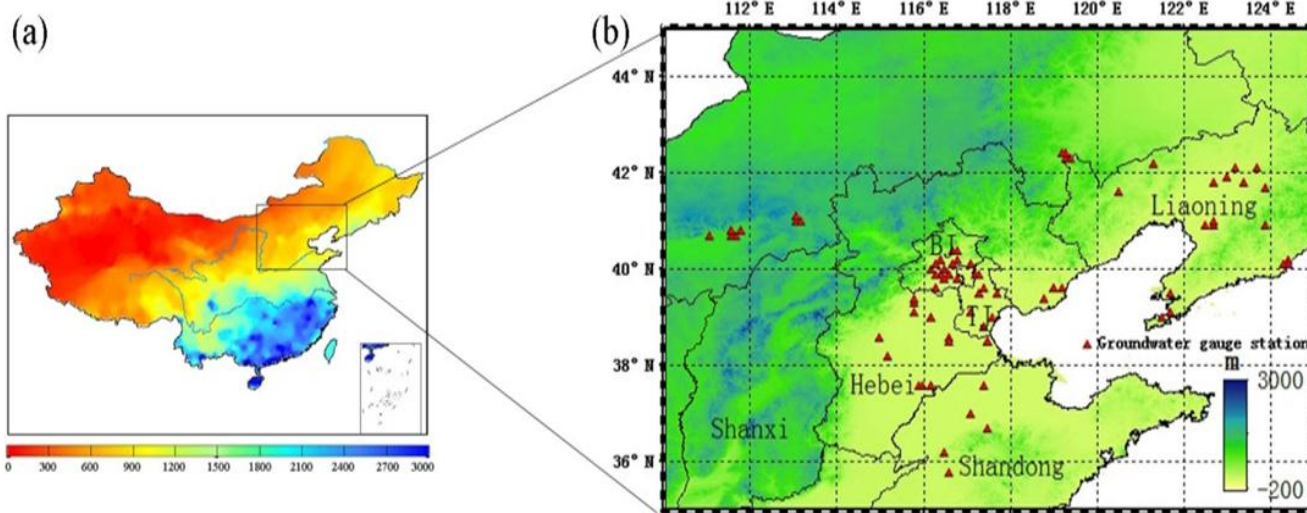
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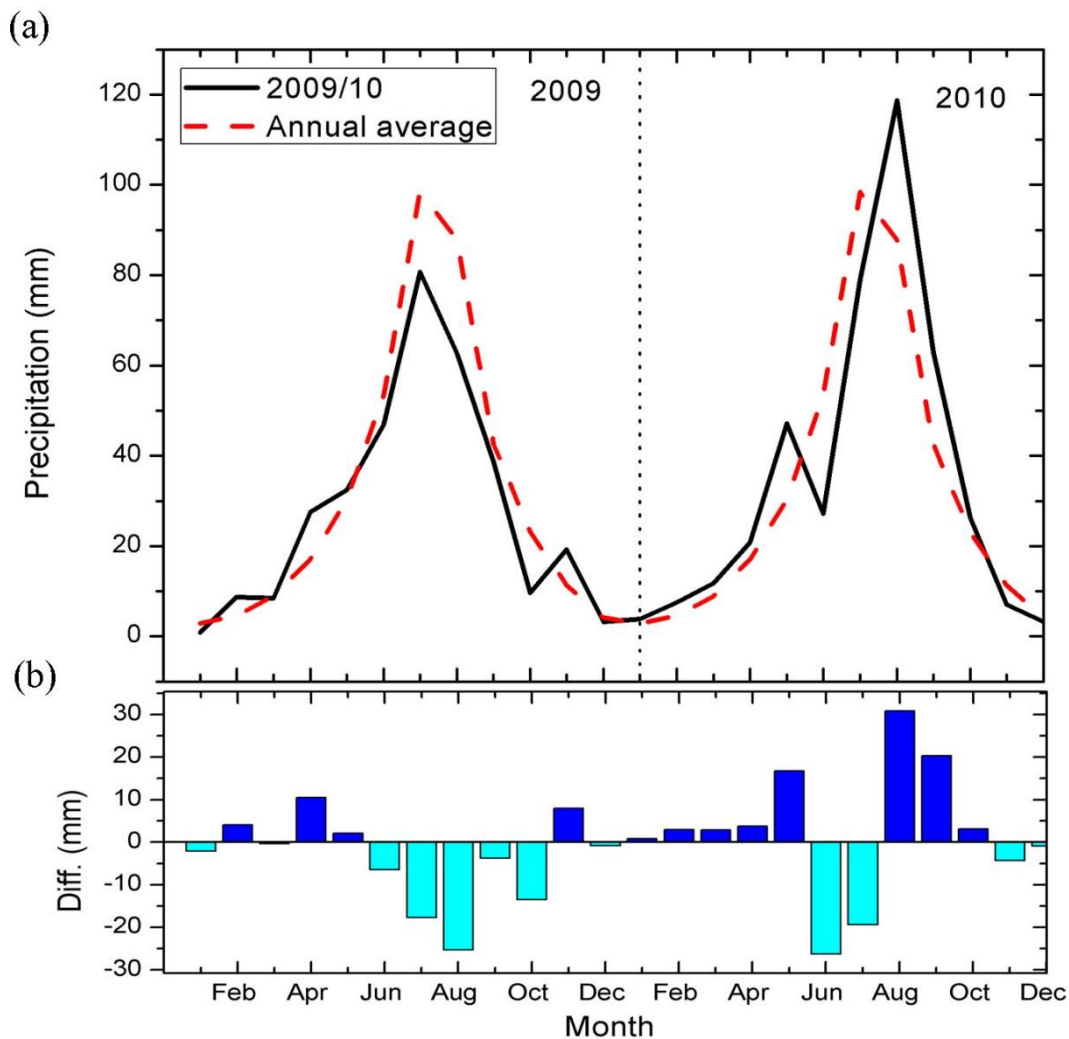


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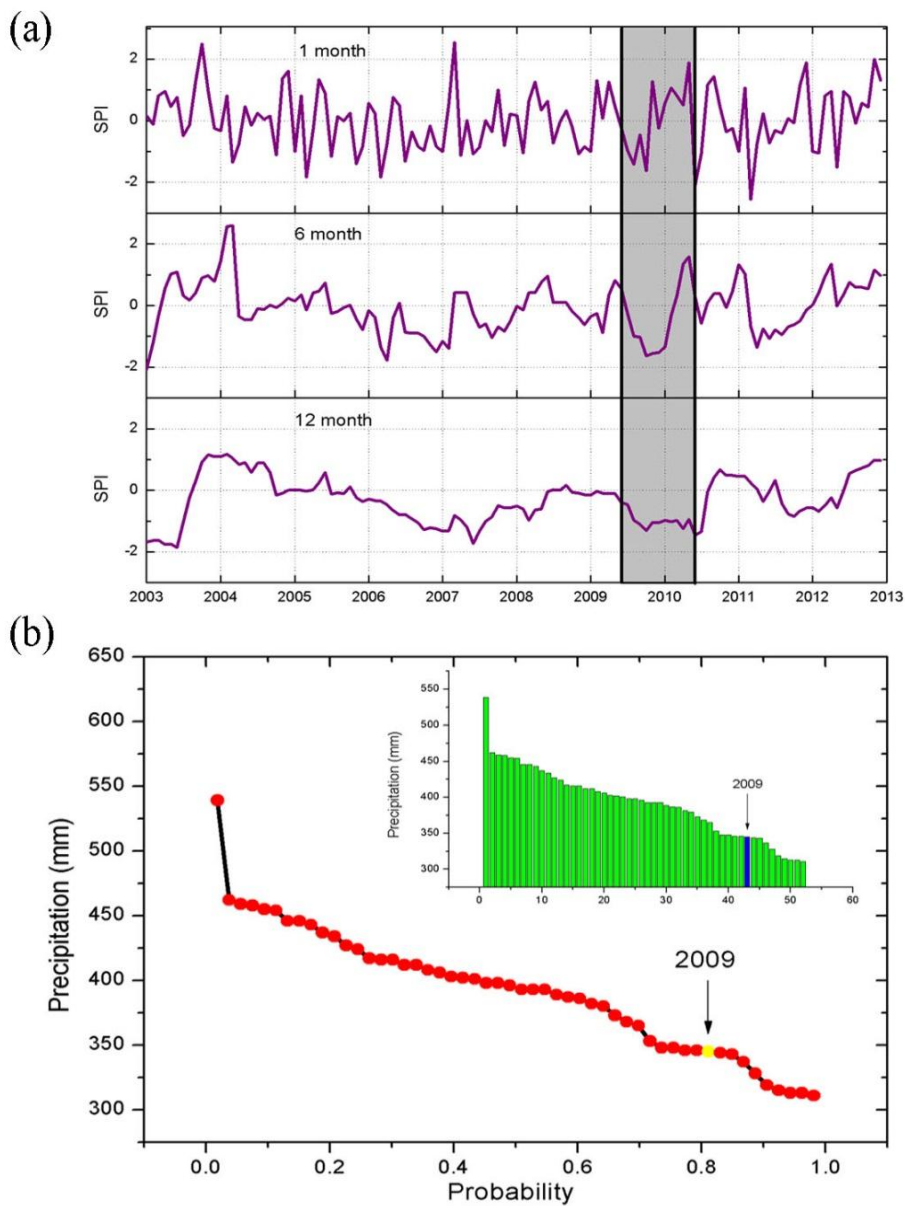
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522 **Figure 1: (a) Location of North China (black line) and the Spatial Distribution of Annual**
523 **Precipitation over China; (b) Topography and Distribution of Groundwater Gauge Stations (Red**
524 **Triangles) in North China.**



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526 **Figure 2: Accumulated Monthly Precipitation during 2009/10 (a) Compared with the**
527 **Climatological Mean; (b) Monthly Departure from the Climatological Mean.**

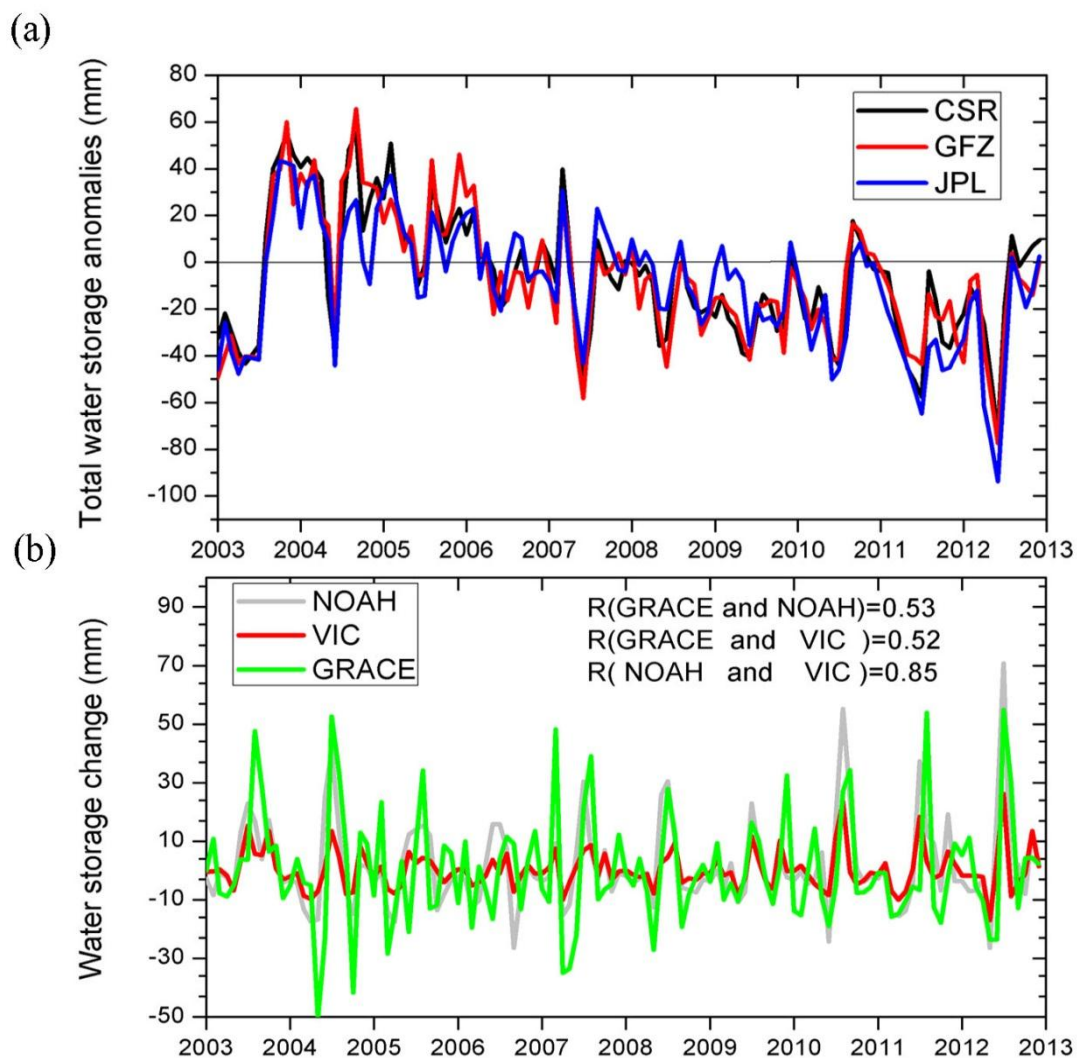


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529 **Figure 3: (a) SPI on Three Timescales (1 Month, 6 Months, and 12 Months) for 2003–2012; (b)**

530 **Probability of the Hydrological Year's Precipitation. Green Bars are Annual Precipitation for**

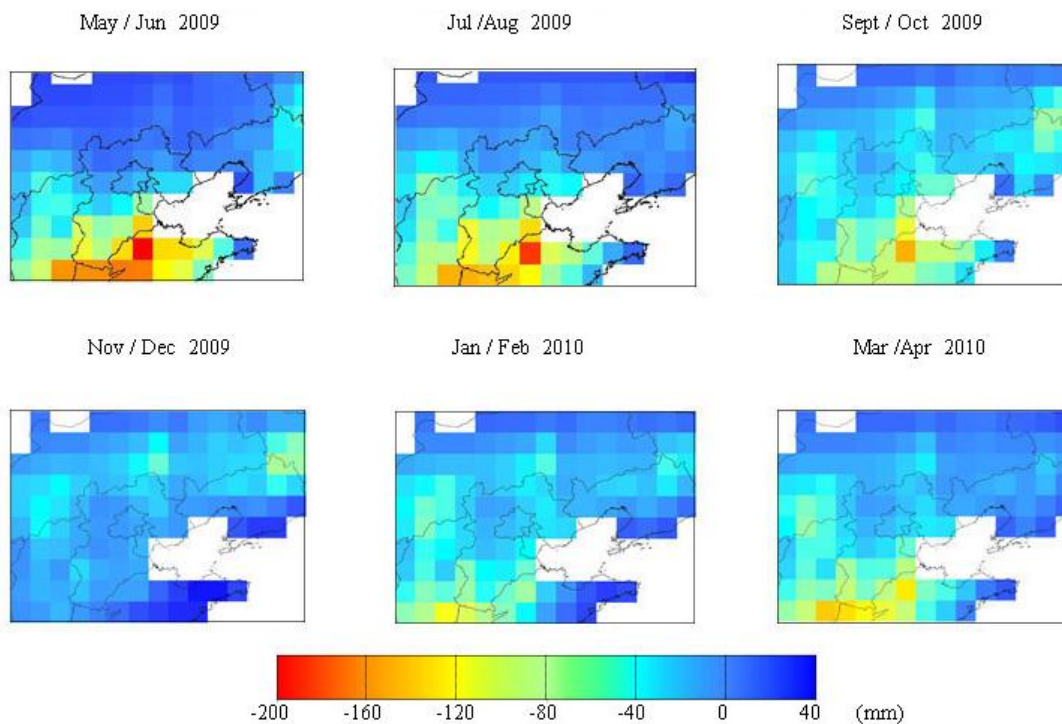
531 **1960–2012.**



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533 **Figure 4: (a) Total Water Storage Anomalies in North China from 2003 to 2013; (b) Comparison of**

534 **Three Models of Water Storage Changes.**



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536 **Figure 5: Spatial Distributions of Water Storage Anomalies between May 2009 and April 2010.**

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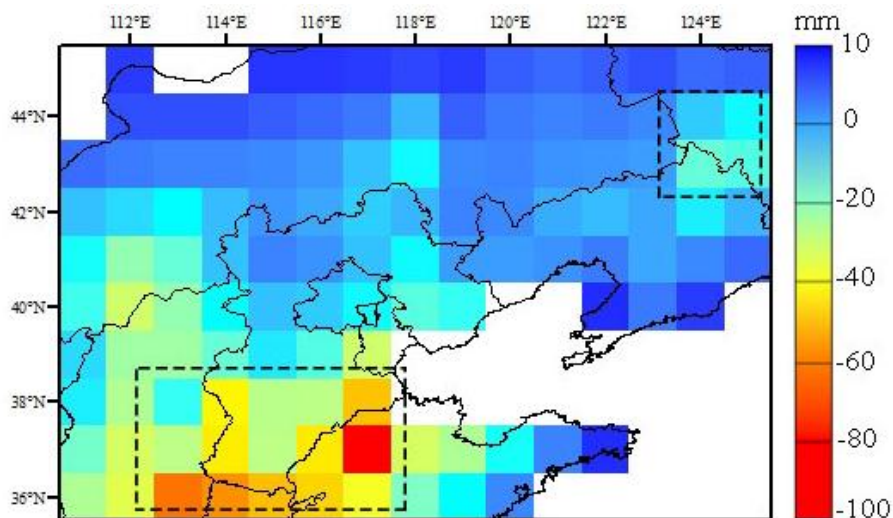
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544 **Figure 6: Water Storage Deficits Relative to the Normal Water Storage Conditions from May 2009**
545 **to April 2010. The Dotted Line Shows the Seriously Dry Area.**

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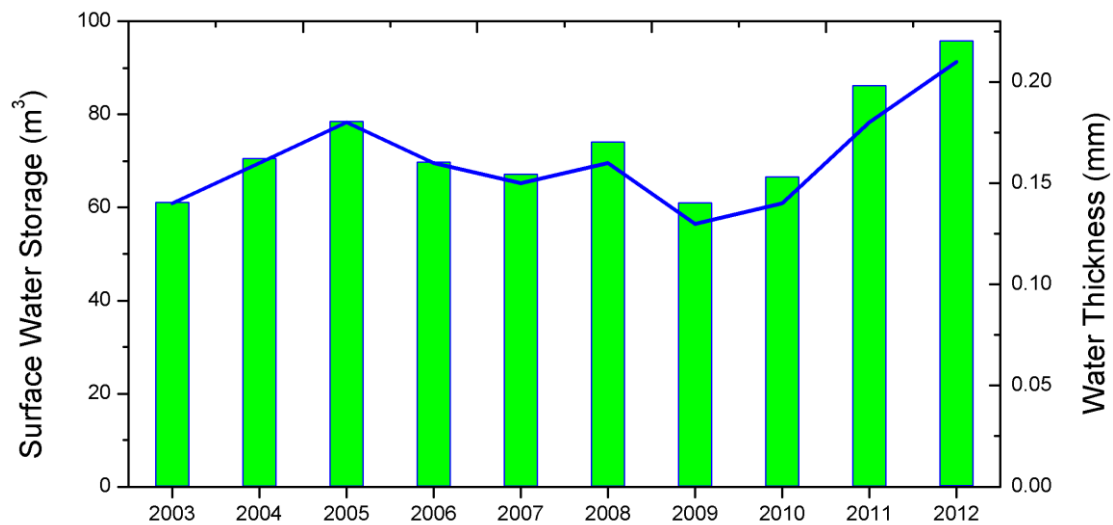
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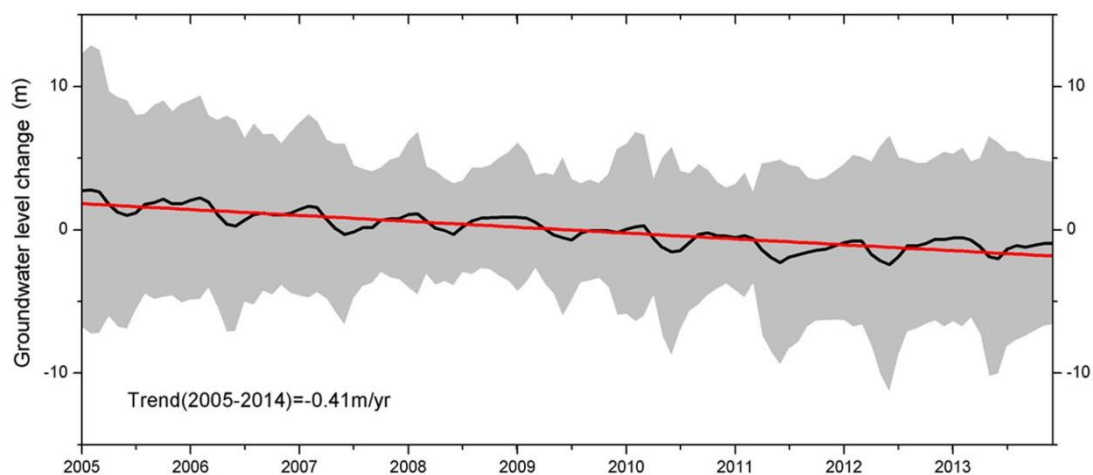
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555 **Figure 7: Surface Water Storage (Green Bars) and Equivalent Water Thickness Changes (Blue**
556 **Line).**

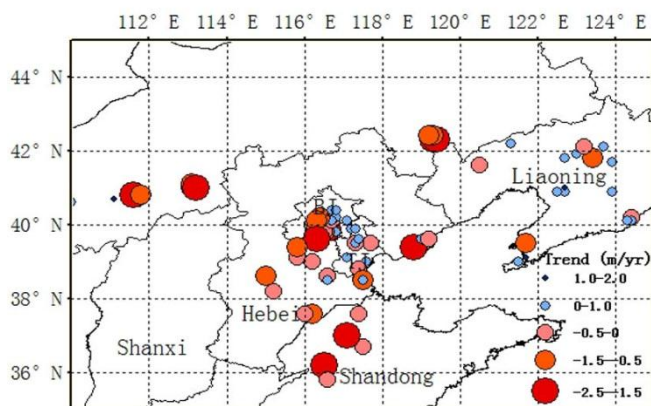
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(a)

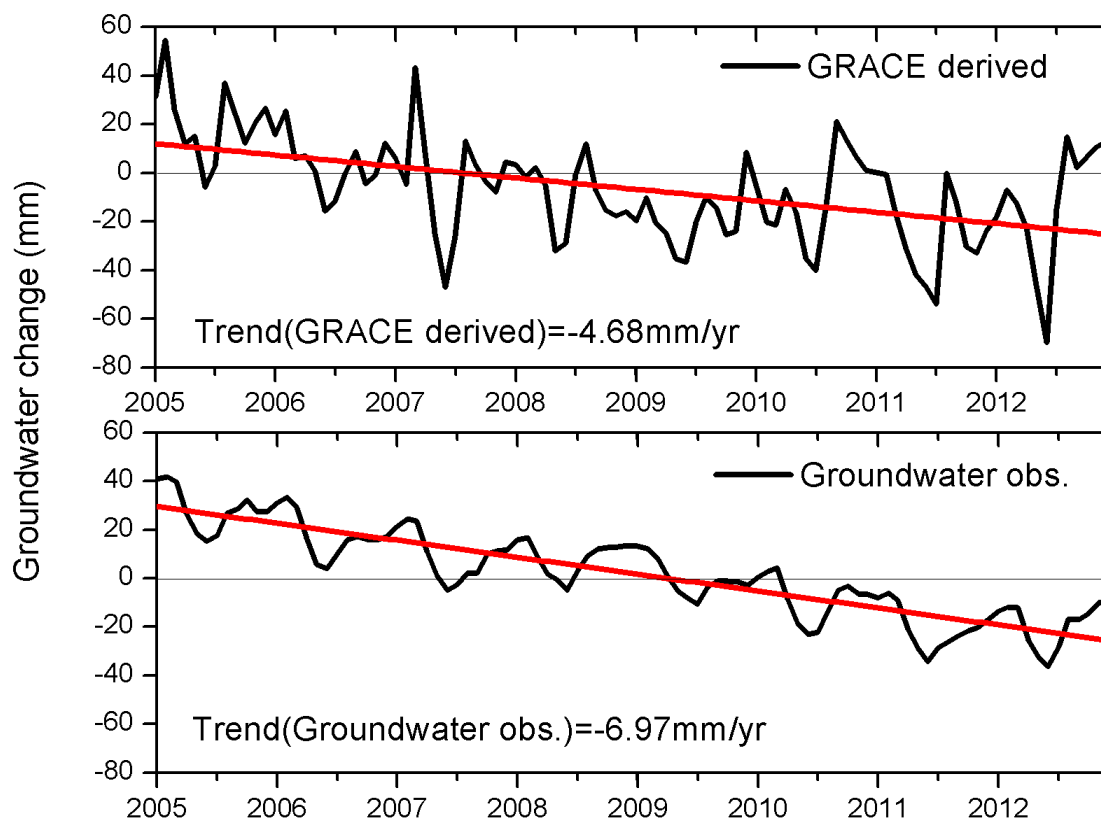


(b)



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559 **Figure 8: (a) Groundwater Table Changes from 2005 to 2014 in North China. The Shaded Area**
560 **Shows the Uncertainties (95% Confidence Intervals); (b) The Trend in the Groundwater Table for**
561 **each Gauge.**



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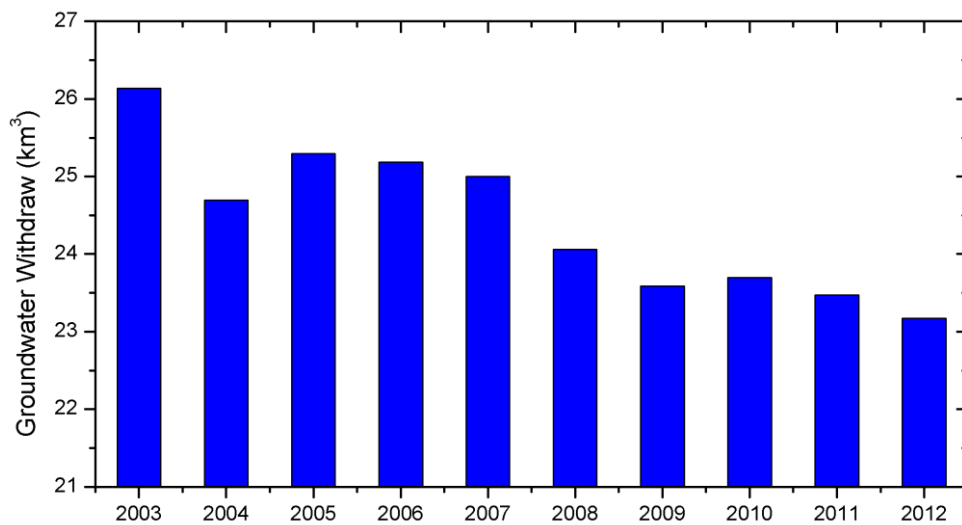
563 **Figure 9: Groundwater Storage Changes Derived from GRACE and Ground Observations.**

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569 **Figure 10: Groundwater Withdraw Changes from 2003 to 2012 in Hai River Basin.**

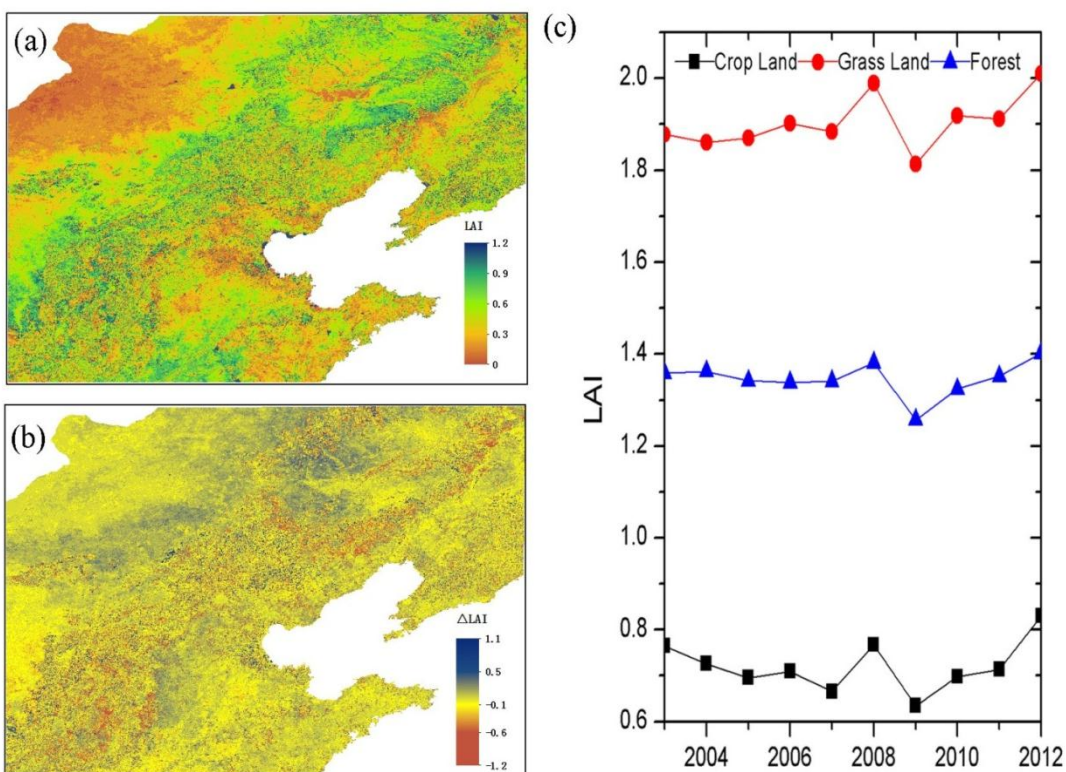
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576 **Figure 11: Spatial and Temporal Distributions of LAI: (a) LAI for 2009; (b) Departure from 2009**
577 **LAI (2009 LAI Minus the Multi-year Mean); (c) Time Series of LAI Corresponding to Three Types**
578 **of Land Cover.**

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