

Hydrological response in the Danube lower basin to some internal and external climate forcing factors

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Abstract. Of the internal factors, we tested the predictors from the fields of precipitation, temperature, pressure and geopotential at 500hPa. From the external factors, we considered the indices of solar/geomagnetic activity. Our analysis was achieved separately for each season, for two time periods 1901-2000 and 1948-2000.

We applied developments in empirical orthogonal functions (EOFs), cross correlations, power spectra, filters, composite maps. In analysis of the correlative results, we took into account, the serial correlation of time series.

For the atmospheric variables simultaneously, the most significant results (confidence levels of 95%) are related to the predictors, considering the difference between standardized temperatures and precipitation (TPP), except for winter season, when the best predictors are the first principal component (PC1) of the precipitation field and the Greenland-Balkan-Oscillation index (GBOI). The GBOI is better predictor for precipitation, in comparison with North Atlantic Oscillation index (NAOI) for the middle and lower Danube basin.

The significant results, with the confidence level more than 95%, were obtained for the PC1-precipitation and TPP during winter/spring, which can be considered good predictors for spring/summer discharge in the Danube lower basin.

Simultaneous, the significant signal of geomagnetic index (aa), was obtained for the smoothed data by band pass filter. For the different lags, the atmospheric variables respond to solar/geomagnetic activity after about 2-3 years. The external signals in the terrestrial variables are revealed also by power spectra and composite maps. The power spectra for the terrestrial variables show significant peaks that can be associated with the interannual variability, Quasi-Biennial Oscillation influence and solar/geomagnetic signals.

The filtering procedures led to improvement of the correlative analyses between solar or geomagnetic activity and terrestrial variables, under the condition of a rigorous test of the statistical significance.

Keywords: NAO, GBOI, serial correlation, low and band pass filter, atmospheric blocking, Danube basin, climate changes

1 Introduction

Climatic system is a closed system, being influenced mainly by external factors, whose action is modulated by the internal mechanisms. Therefore, it is difficult to assess climatic system response to various external factors, the discrimination action of each is sometimes even impossible. The main external factors as is known are: solar activity in its various forms and the greenhouse gases that cause climate variability. ~~The~~ quantifying the

48 impact of each factor on the climate system is subject to various uncertainties. As shown in
 49 Cubasch et al. (1997) as well as in Benestad and Schmidt (2009) is difficult to distinguish
 50 between anthropogenic signal and the solar forcing in the climate system, especially if we
 51 wanted to assess if the greenhouse or the solar forcing could be responsible for the recent
 52 warming. An explanation of this shortcoming is related to the limits of simulation climate
 53 models and lack of long data on many parts of the Earth, to estimate the impact of solar
 54 activity.

55 In Brugnara et al. (2013) are reviewed recent studies on the impact of solar activity /
 56 geomagnetic activity on the climate. After a statistical reconstruction of the main atmospheric fields
 57 for more than 250 years, the authors performed an analysis of the solar signal of 11 years in
 58 different terrestrial datasets, and they found that there was a robust response of the
 59 tropospheric late-wintertime circulation to the sunspot cycle, independently from the date set.
 60 This response is particularly significant over Europe.

61 There were many preoccupations regarding the impact of greenhouse gases, resulting
 62 from climate modeling under various scenarios, on the water regime of the Danube. We
 63 mention only some of these studies. In Mares et al. (2011, 2012) were processed climate
 64 variables obtained from four global models of climate change: CNRM, ECHAM5, EGMAM
 65 and IPSL, under A1B scenario. It was found for Danube lower basin, that the probability to
 66 have extreme events (hydrological drought and great discharges) increases in the second half
 67 of the 21st century comparing to the first half. A more complex methodology for post-
 68 processing of outputs of climate models was found in Papadimitriou et al. (2016), where an
 69 analysis of the changes in future drought hydrology was performed for five major European
 70 basins (including Danube) and the impact of global warming was estimated.

71 Regarding internal factors that influence climate at regional or local scale, best known
 72 index is related to the North Atlantic Oscillation (NAO). After Hurrell et al. (2003), NAO is
 73 an internal variability mode of the atmosphere that depends exclusively on the dipolar
 74 pressure distribution.

75 For the south - eastern European zone, only NAO is not a good enough predictor for
 76 Danube discharge. Rimbu et al. (2002) showed that there is an out-of-phase relationship
 77 between the time series of the Danube river discharge anomalies and the NAO. Also,
 78 Rimbu et al. (2005) was found that spring Danube discharge anomalies are significantly
 79 related to winter Sea Surface Temperature (SST) anomalies. In Mares et al. (2002) was found
 80 that NAO signal in climate events in the Danube lower basin is relatively weak, in
 81 comparison with other regions.

82 However, we must note that NAO is a very good predictor for some regions. Thus, for
 83 example NAOI is a significant predictor for : Seine river (Massey et al., 2010; El - Janyani et
 84 al., 2012), northeastern Algeria (Turki, et al., 2016), southern Sweden (Drobyshev et al.,
 85 2011), the northern Italy (Zanchettin et al., 2008).

86 The recent research (Valty et al., 2015) warns that for the predictor's selection such as
 87 NAO, need to consider the dynamics of the total oceanic and hydrological system over wider
 88 areas. In fact all climate system needs to be considered. In Hertig et al. (2015) are described
 89 the mechanisms underlying the non-linearity and non-stationarity of the climate system
 90 components, with a focus on NAO and the consequences of climate non-stationarities are
 91 discussed.

92 In the present study, in comparison with the NAO influence on climate variables in the
 93 Danube basin, we analysed the atmospheric index Greenland-Balkan-Oscillation (GBO),
 94 which reflect the baric contrast between the Balkan zone and the Greenland zone. The GBO
 95 index was introduced first time in Mares et al. (2013b) and in the present study it is shown in
 96 detail, the GBOI informativity in comparison with NAOI, for the Danube basin.

Taking into account that solar activity plays an essential role in modulating the blocking parameters with the strongest signal in the Atlantic sector (Barriopedro et al., 2008; Rimbu and Lohmann, 2011), in the present paper we consider also, the indices of atmospheric circulation of blocking type.

In this paper, except for the highlighting the atmospheric circulation of blocking type taking into account the Quasi-Biennial Oscillation (QBO) phases and solar minimum or maximum (number Wolf), we did not investigate any further interaction between internal and external factors. This interaction was developed in other papers such as Van Loon and Meehl (2014).

The main aim of our work was to select predictors from the terrestrial and solar /geomagnetic variables with a significant informativity for predictand, i.e. discharge in the Danube lower basin. We obtained this informativity by applying robust tests for the statistical significance. Because the solar and geomagnetic variables, as well as the smoothing procedures through various filters, respectively low pass filter and band pass filters applied in this investigation, shows strong serial correlations, all correlative analyzes were performed through rigorous testing of statistical significance. The number of observations was reduced to the effective number of degrees of freedom, corresponding to the independent observations.

This paper is organized as follows: Sect. 2 shows data processed at regional scale (2.1) and large scale (2.2), as well as the indices that define solar and geomagnetic activity (2.3).

In Section 3, we describe the methodology used. There are many investigations related to solar / geomagnetic signal in the Earth's climate, some of them use smoothing of data, both related to solar activity and the terrestrial variables. This smoothing induces a high serial correlation, which produces very high correlations between time series analysis. Some authors investigating these signals in the terrestrial variables take into account these large serial correlations induced by these smoothing, others do not. Therefore in Sect. 3 we focused on testing the statistical significance of solar / geomagnetic signal in climate variables, taking into account the high autocorrelation induced by the smoothing processes. The confidence level is found by robust method. We also briefly described the procedure of testing of confidence levels of the peaks of the power spectra.

Section 4 contains the results and their discussion. Concerning link between atmospheric circulation at the large scale and the climate variables at local / regional scales and described in 4.1, we demonstrated that GBOI is a predictor more significant than NAOI for the climate variables in the Danube middle and lower basin. In 4.2, for the period 1901-2000, we considered several predictors depending on climatic variables in the Danube basin, as well the indices of large-scale atmospheric circulation and we tested predictor's weight for the discharge in the lower basin. In subsection 4.3, are presented the results obtained from the analysis of solar/geomagnetic signal simultaneously with the terrestrial variables (4.3.1) and with some lags (4.3.2) and QBO role in modulating these signals (4.3.3). The conclusions are presented in the Sect.5.

2 Data

2.1 Regional scale

Since the Danube discharge estimation has great importance for the economic sector of Romania, in the present investigation we focused on predictors for Danube lower basin discharge. The lower basin Danube discharge was evidenced by **Orsova station (Q_ORS)**, located at the entrance of the Danube in Romania and representing an integrator of the upper and middle basin. Our analysis was achieved separately for each season, for the two time

147 periods 1901-2000 and 1948-2000. For the period 1901-2000 in the Danube upper and
 148 middle basin (DUMB), were considered fields of precipitation (PP), mean temperature (T),
 149 diurnal temperature range (DTR), maximum and minimum temperatures (Tmx, Tmn), cloud
 150 cover (CLD) at 15 meteorological stations upstream of Orsova. The selection of stations was
 151 done according to their position on the Danube or on the tributaries of the river (Fig.1). The
 152 values of monthly precipitation and temperature (CRU TS3.10.01) accessing
 153 (<http://climexp.knmi.nl>). Data-sets are interpolated on high-resolution (0.5 x 0.5 degree) grids
 154 by Climatic Research Unit (CRU), and selected for each station (with the respective
 155 coordinates) the option "half grid points".

156 The stations position in relation to Orsova is given in Figure 1. For each station was
 157 calculated a simple drought index (TPPI), which is calculated by the difference between
 158 standardized temperatures and precipitation. All analyses were achieved using the seasonal
 159 averages for all variables considered in this study.

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161

162 2.2 Large scale

163

164 In order to see the influence of large-scale atmospheric circulation on the variables
 165 the regional scale, we considered the seasonal mean values of sea level pressure field (SLP)
 166 on the sector (50°W-40°E, 30°-65°N). We had to extract SLP data from the National Center
 167 for Atmospheric Research (NCAR), (<http://rda.ucar.edu/datasets/ds010.1>). As mentioned in
 168 the associated documentation, this dataset contains the longest continuous time series of
 169 monthly gridded Northern Hemisphere sea-level pressure data in the DSS archive. The 5-
 170 degree latitude/longitude grids, computed from the daily grids, begin in 1899 and cover the
 171 Northern Hemisphere from 15°N to the North Pole. The accuracy and quality of this data is
 172 discussed in Trenberth and Paolino (1980).

173 We found a new index started from tests achieved using correlative analysis between
 174 the first principal component (PC1) of the Empirical Orthogonal Functions (EOF) and the
 175 development of the precipitation field defined at 15 stations from Danube basin and each grid
 176 point where SLP is defined. By determining the centers of inverse correlation nuclei (positive
 177 and negative) and by considering the normalized differences between SLP at Nuuk and Novi
 178 Sad (Fig.2), we obtained this index, which we called *Greenland-Balkan-Oscillation index*
 179 (GBOI). This index was introduced by Mares et al. (2013b) and tested in the previous works
 180 of the authors (Mares *et al.*, 2014a, 2015a,b, Mares et al., 2016a,b).

181 The NAOI were downloaded from <http://www.ldeo.columbia.edu/res/pi/NAO/>

182 For 1948-2000, besides of variables taken over 1901-2000, we considered and
 183 blocking type indices.

184 For the geopotential at 500 hPa (1948-2000) provided by *British Atmospheric Data*
 185 *Centre (BADC)* three sectors were taken into account: Atlantic-European (AE) on the domain
 186 (50°W- 40°E; 35°N - 65°N), Atlantic (A) defined in (50°W - 0°, 35°N - 65°N) and European
 187 (E) in the region (0° -40°E; 35°N - 65°N).

188

189 2.3 Solar / geomagnetic data

190

191 For this 100 year period the solar/geomagnetic activities were quantified by Wolf
 192 number and *aa* index. For the period 1948-2000, solar forcing is quantified by the 10.7 cm
 193 solar flux instead of Wolf number. Since the 10.7cm flux is a more objective measurement,
 194 and always measured on the same instruments, this proxy "sunspot number" should have a
 195 similar behaviour but smaller intrinsic scatter than the true sunspot number
 196 (ftp://ftp.ngdc.noaa.gov/STP/SOLAR_DATA/). The values for the Quasi-Biennial Oscillation

197 (QBO) were downloaded from Free University of Berlin ([http://www.geo.fu-](http://www.geo.fu-berlin.de/met/ag/strat/produkte/qbo/qbo.dat)
198 [berlin.de/met/ag/strat/produkte/qbo/qbo.dat](http://www.geo.fu-berlin.de/met/ag/strat/produkte/qbo/qbo.dat)).
199

200 3 Methodology

201
202 The time series of the variables considered in the 15 stations were filtered by the first
203 principal component (PC1) of empirical orthogonal functions (EOFs) development.

204 The analyse of the low frequency components of the atmosphere, based on
205 decomposition in multivariate EOF (MEOF), was used by the author in the present paper in
206 Mares et al. (2009, 2015, 2016a, b).

207 The 500 hPa geopotential field was filtered by blocking index (I_B) as is described in
208 Lejenas and Okland (1983). Such a blocking event can be identified when the averaged zonal
209 index computed as the 500-hPa height difference between 40° and 60°N, is negative over 30°
210 in longitude. Taking into account the above definition, in the present study, we calculated for
211 each longitude λ , three indices for the regions: Atlantic-European (AEBI), Atlantic (ABI) and
212 Europe (EBI) after the formula:

$$213 \quad IB(\lambda) = \Phi(\lambda, 57.50 N) - \Phi(\lambda, 37.50 N) \quad (1)$$

214 where Φ is the 500 hPa geopotential field, and blocking index I_B is a mean for λ longitudes of
215
216 $IB(\lambda)$. In our case IB positive reflects a blocking type circulation.

217 In the preprocessing analyses, low and band pass filters were applied.

218 **Low pass filters** were applied to eliminate oscillations due to other factors as El
219 Niño–Southern Oscillation (ENSO) than the possible influence of solar/ geomagnetic
220 activities. The Mann filter (Mann, 2004, 2008) was applied with three variants that eliminate
221 frequencies corresponding the periods lower than 8, 10 and 20 years.

222 Besides the low pass filters specified above, which was applied only to the terrestrial
223 fields, ~~the band pass filters~~ were applied both to the terrestrial and solar or geomagnetic
224 variables. The band pass filters were of the Butterworth type, and the variables have been
225 filtered in the 4-8, 9–15 and 17-28 years bands.
226

227 In Lohmann et al. (2004) the solar variations associated with the Schwabe, Hale, and
228 Gleissberg cycles were detected in the spatial patterns in sea-surface temperature and sea-
229 level pressure, using band pass filters with frequencies appropriate to each of the solar cycles.
230 Significant correlations between global surface air temperature and solar activity were
231 obtained by Echer et al. (2009), applying wavelet decomposition with different the band
232 frequencies.

233 As is known in the literature, the response of climate variables to the
234 solar/geomagnetic activity is evidenced not only simultaneously but also certain differences,
235 we performed cross - correlation with a lag of 5 years. Explanation of the physical mechanism
236 of correlations with certain lags between solar activity and climate variables is found in Gray
237 et al. (2013) and Scaife et al. (2013).

238 In order to find the significance level of the correlation coefficient, we have to take
239 into account the fact that by the smoothing both terrestrial and solar/ geomagnetic variables
240 present a serial correlation. In this case, we have to estimate the equivalent sample size (ESS).
241 There are more methods to find the correlations statistical significance among the series pairs
242 presenting serial correlations. A part of these methods are present in Thiebaut and Zwiers
243 (1984), Zwiers and Storch (1995), Ebisuzaki (1997).

244 In Mares et al. (2013a), the procedure described by Zwiers and Storch (1995) for ESS
245 estimation was applied in order to estimate the statistical significance of the climatic signal in
246 sea level pressure field (SLP) in 21st century in comparison with 20-th century.

247 In the present analysis, in order to find the ESS, namely the *number of effectively* independent
 248 observations (N_{eff}) is applied a simple formula, which is appropriate for the correlations
 249 involving smoothed data (Bretherton et al., 1999).

$$250 \quad N_{eff} = N \frac{(1 - r_1 r_2)}{(1 + r_1 r_2)} \quad (2)$$

251 where r_1 and r_2 are the lag-1 autocorrelation coefficients corresponding to the two time
 252 series correlated and N number of the observations.

253 In the next phase, the t-statistic is used to test the statistical significance of the
 254 correlation coefficient:

$$255 \quad t = |r| [(N_{eff} - 2)/(1 - r^2)]^{1/2} \quad (3)$$

256 In equation (3), r is the correlation coefficient between the two variables and N_{eff} is
 257 effective number used in the testing procedure.

258 According to von Storch and Zwiers (1999), the null hypothesis $r = 0$, is tested by
 259 comparing the t value in equation (3) with the critical values of t distribution with $n_e - 2$
 260 degrees of freedom.

261 The correlated time series must have a Gaussian distribution. For this reason in the present
 262 study we have also ~~applied and the~~ nonparametric Kendall correlation coefficient, which
 263 ~~measures of correlation of the~~ ranked data. Applying the algorithm described in Press et al.
 264 (1992), correlation values and corresponding significance p-levels are obtained. A comparison
 265 between the Pearson and Kendall correlation coefficients is found in Love et al. (2011),
 266 where the statistical significance between sunspots, geomagnetic activity and global
 267 temperature, is tested.

268 Among the statistical methods that might be used to test solar or geomagnetic
 269 activity signal in the climatic variables, in this study we will take into account also ~~on testing~~
 270 the statistical significance of the amplitude of the power spectra in time series. Testing the
 271 statistical significance of the peaks of ~~from an analysis of a time series by power~~
 272 spectra ~~usually~~ by building a ~~reference spectrum (background) and comparing the~~
 273 amplitude ~~spectrum analyzed time series based spectrum amplitudes~~. This ~~is a series~~
 274 based on ~~noise or most often a red noise series~~ (Ghil et al. 2002, Torrence and Campo,
 275 1998). ~~All amplitudes above the background noise amplitudes are considered~~
 276 ~~significant. But to test how significant are these peaks are testing their statistical significance~~
 277 ~~compared with different levels of significance desired.~~

278 ~~significance test requires null hypothesis significant~~ spectral analysis, the null
 279 hypothesis is that the time series has no significant peak and spectral ~~estimation differs~~
 280 from the noise spectrum (background). Rejection of the null hypothesis means accepting peaks of
 281 the spectrum series of observations that exceed a certain level of significance. As shown in
 282 Mann and Less (1996) theoretical justifications exist for considering red noise as noise
 283 reference (background) for climate and hydrological time series.

284 The power spectra achieved in this study were estimated by multitaper method (MTM)
 285 (Thomson, 1982, Ghil et al., 2002, Mann and Less (1996)). The MTM procedure is a
 286 nonparametric technique that does not require a priori a model for the generation of time
 287 series analysis, while harmonic spectral analysis assumes that the data generation process
 288 include components purely periodic and white noise which are overlapped (Ghil et al., 2002).

289 In Mares et al. (2016), more practical details were given on estimating background
 290 noise and significance of power spectra peaks, for the applications referring to the influence of
 291 the Palmer drought indices in the Danube discharge.

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 294

295 **4 Results and discussions**

296



297 **4.1 Connection between atmospheric circulation at the large scale and climate** 298 **events at regional or local scale**

299

300 The atmospheric circulation at the large scale is quantified in this paragraph by North
301 Atlantic Oscillation index (NAOI), Greenland Balkan Oscillation Index (GBOI) and indices
302 that highlight the blocking type circulation. The direct impact of NAO is less obvious than
303 GBO impact for the surrounding areas of the lower Danube basin as revealed in this study and
304 in previous investigations (Mares et al., 2013b, 2014, 2015a,b 2016a,b).

305 The high correlations between GBOI and precipitation are stable over time (Table 1).
306 From how GBO and NAO indices are defined, they have opposite signs. Temporal evolution
307 for winter of the first principal component (PC1) for the precipitation in the Danube basin in
308 comparison with GBOI values is given in Fig.3.

309 The details on the stations are given in Fig.4, where are presented the correlation
310 coefficients between winter precipitation at 15 stations and NAOI and GBOI for two periods
311 1916-1957 and 1958-1999. From this figure, it is clear that the GBOI signal is stronger than
312 NAO signal, except for the first stations located in the upper basin of the Danube.

313 Since the Danube discharge estimation in spring season with some anticipation has
314 great importance for the economic sector of Romania, the best predictors at the large scale for
315 Orsova discharge in spring, with one season anticipation (winter) were revealed, with high
316 confidence level (> 99%): GBOI as well as the atmospheric circulation of blocking type,
317 quantified by European blocking index (EBI). The Figure 5 shows spring Orsova discharge
318 (standardized) in comparison with European blocking index ($R = -0.54$) and GBOI ($R = 0.53$)
319 for winter in the period 1948-2000. The opposite signs of the Orsova discharge correlations
320 with EBI and GBOI are due to the definitions of the two indices. The negative correlations
321 between discharge and EBI can be explained as follows. As shown in Davini et al. (2012), the
322 midlatitude traditional blocking localized over Europe, uniformly present in a band ranging
323 from the Azores up to Scandinavia, leads to a relatively high pressure field in most of Europe.
324 This field of high pressure, which defines a positive blocking index, and is not favorable for
325 precipitation, leads to ~~in~~ low discharge of the Danube at Orsova. A positive correlation
326 coefficient between the Danube discharge at Orsova and GBOI  is that a positive GBO
327 index lead to a low pressure in the Danube basin area and therefore  high discharge.

328 The role of the atmospheric circulation of blocking type on events in the Danube Basin
329 is described in many papers, including Mares et al. (2006), Blöschl et al. (2013).

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331

332 **4.2 Testing predictor variables for estimating the discharge in the Danube lower basin** 333 **(1901-2000)**

334

335 To underline the contribution of the nine predictors, defined at the 15 stations in the
336 Danube basin, described in Section 2, we represented in Figure 6 the correlation coefficients
337 between Danube discharge at Orsova (lower basin) and these predictors for each of the four
338 seasons. PC1 in Fig. 6 represents the first principal component of EOFs development of the
339 respective fields. If we take into account the confidence level at 99%, of correlation
340 coefficients for 100 values, it should exceed 0.254. There are many predictors that are
341 statistically significant at this level of confidence, but we take into consideration only those
342 having the highest correlation coefficients. As can be seen from Figure 6, the greatest
343 contribution to the Danube discharge in seasons of spring, summer and fall, brings the
344 drought index (depending on precipitation and average temperature), with the correlation

coefficients (r) of -0.450 - 0.730 for spring and summer and respectively -0.700 for fall. In winter season, the highest contribution to the discharge in lower Danube basin, it has precipitation field in the upper and middle basin ($r = 0.500$), followed by GBOI ($r = 0.430$). Also, it is revealed that for the spring season, where contribution drought index TPPI is lower than in summer and autumn season, the GBOI and DTR can be considered good predictors with $r = 0.420$ and respectively -0.417.

Regarding consideration of the predictors with some anticipation to the Danube discharge, the significant results obtained with an anticipation of a season, are presented in the Fig. 7. For spring, the best predictor is clearly drought index (TPPI), taken in winter ($r = 0.62$), and also for summer discharge, TPPI in spring is a significant predictor ($r = -0.55$), but quite closely related this is the spring precipitation field quantified by PC1 ($r = -0.53$).

The results obtained in this study are consistent with those of Mares et al. (2016a), where that the Palmer drought indices were found good predictors for the discharge in lower basin.

4.3 Solar/geomagnetic signal in the climate fields in Danube basin

Solar activity was represented by Wolf numbers for the period 1901-2000 and by 10.7-cm solar flux for the period 1948-2000. Although the solar flux is closely correlated with Wolf numbers, these values are not identical, the correlation coefficient varying with the season (0.98-0.99). The geomagnetic activity was quantified by *aa* index for the two periods analyzed (1901-2000 and 1948-2000). Regarding the link between solar activity and geomagnetic, details are found in Demetrescu and Dobrica (2008).

Solar/geomagnetic signal was tested by: correlative analyses (simultaneous and cross correlation), composite maps and spectral analyses. Before correlative analysis, data were filtered using low and band pass filters for the terrestrial variables and only band pass filters for the solar / geomagnetic indices.

Related to the low pass filter, the Mann filter (Mann, 2004, 2008) was applied with three variants that eliminate frequencies corresponding to the periods lower than 8, 10 and 20 years. The analysis revealed that from the three variants, time series cutoff 8, responded best to variations in solar / geomagnetic activities.

In many investigations, significant solar signal in the terrestrial variables, have been obtained applying band pass filters, for isolating the frequency bands of interest (Lohmann et al., 2004, Dima et al, 2005, Prestes et al. 2011, Echer et al. 2012, Wang and Zhao, 2012).

In the present study we apply a band pass filter with the three frequency bands: (4-8yr), (9-15yr) and (17-28 yr). Because after the filtering process, the time series show a strong autocorrelation, to test the statistical significance of the link between the terrestrial and solar variables, we use the *t-test*, which takes into account the effective number of independent variables and the correlation coefficient between two series. The effective number is determined in function of the serial correlations of the two series analyzed. Details are given in Section 2. The most significant results were obtained for the filtered terrestrial variables, taken with some lags related to solar or geomagnetic activity.

4.3.1 Simultaneously signal

The Table 2 presents some of the results that have a confidence level higher or least of 95%, which worth to be taken into account for the analysis period of 100 years (1901-2000). Here are presented only the results simultaneously for three categories of data: non-filtered (UF), smoothed by low pass filter (LPF), eliminating, the periods less than or equal to 8 years,

395 only for terrestrial variables, and band pass filter (BPF) applied for both time series (terrestrial
396 and solar magnetic indices).

397 Not all variables have a normal distribution, the Kendall's coefficient was
398 associated Pearson's coefficient. ~~The nonparametric Kendall coefficient is valid for time~~
399 ~~series that do not have a normal distribution.~~ There are cases when the difference between the
400 two correlation coefficients is relatively high and this difference may be due to statistical
401 distribution that deviates from normal.

402 As can be seen from Table 2, smoothing time series lead to improved correlation
403 coefficients, the most significant results were obtained by band-pass filter with frequency
404 corresponding to 9-15 yr. Also, tests were achieved and 17-28 yr, but although, highest
405 correlation coefficients were obtained, it is difficult to take a decision, because the effective
406 number is very small (about 5 years), due to serial correlation very high, caused by such
407 filters. For such filtering are necessary much larger sets of data. An example is given in Tab. 2
408 to test the correlation between the GBOI and Wolf number during fall season.

409 The results presented in the Table 2, related to the significant correlations indicated by
410 Pearson coefficients (r), are supported by Kendall correlation coefficients (τ), and their levels
411 of significance (p). Bold lines means there are at least two situations for the same season
412 (filtered or unfiltered data) having a significantly CL.

413 As can be seen from Table 2, highest correlations with aa , were obtained during the
414 summer season with $r = 0.796$ for temperature and with $r = -0.721$ for precipitation, for a
415 smoothing by a BPF with the band (9-15yr). Also, in summer, it is worth to mention the aa
416 signal in drought index (TPPI) with the correlation is 0.787, corresponding filtering with (9-
417 15 yr). From the definition of this index, it reflects the behavior of both temperature and
418 precipitation, but the sign is given by temperature. It can be noting that drought index TPPI,
419 which is a combination of temperature and precipitation, responds better to signal aa ,
420 compared to PC1_PP. Therefore, a geomagnetic activity maximum (minimum) determines a
421 situation of drought (wet) in the Danube basin during spring and summer.

422 Regarding solar activity signal in temperatures and precipitation, the highest
423 correlation coefficients were found for the fall season (0.699) and respectively for spring (-
424 0.538) in the band filter (9-15 yr). From the Table 2, are observed correlations with the
425 number Wolf, with a particularly high confidence level (> 99%) in the case of considering
426 time series smoothed by the band (4-8 yr), as atmospheric circulation index GBOI (summer
427 and winter).

428 The results obtained in the present investigation, referring to the temperature and
429 precipitation variables are in accordance with the ones from Dobrica et al. (2009, 2012),
430 where have been analysed the annually mean of long time series (100–150 years) for the
431 temperature and precipitation records from 14 meteorological stations in Romania. There are
432 some differences, because in this investigation, fields of temperature and precipitation are
433 taken on another area, smoothing procedures are different and the analysis is done on each
434 season separately. However, the correlations with the geomagnetic aa index and Wolf
435 numbers have the same sign, ie positive for temperatures and, negative for precip
436 respectively.

437 Reducing the number of effective observations, when is applied a smoothing, is
438 discussed in Palamara and Bryant (2004), where they test the statistical significance of the
439 relationship between geomagnetic activity and the Northern Annular Mode.

440 Although the results obtained here by the BPF shows the largest correlation
441 coefficients, however those obtained by BPF (9-15) must be analyzed together with results
442 obtained by other filters. An example is the solar signal, quantified by Wolf number, in the
443 drought index (TPPI), for which in the spring, unfiltered data, filtered by the low pass filter,
444 and those by BPF (4-8 and 9-15) indicate correlations with confidence level higher than 90%,

445 it means that significance of the correlation in this case, does not depend on the time series
446 size.

447 Taking into account both signals of the geomagnetic and solar activity, we can notice
448 that during spring, TPPI has the best respond for unfiltered or filtered time series.

449 Considering the importance of the Danube discharge in our study, we analyze solar /
450 geomagnetic signals in this variable. Thus, the *aa* signal in Danube discharge at Orsova
451 (Q_ORS), is seen as the most significant, during the summer season with correlation
452 coefficient $r = - 0.656$. But considering our criteria above enumerated, ie significant
453 correlations in at least two cases, it is clear that we must focus on the discharge behavior in
454 fall (Table 2), for which the smoothing by LPF and BPF (9-15) lead to the significant
455 response to *aa* impulse.

456 In the following, we present results obtained by analyzing the terrestrial and solar
457 geomagnetic data for the period 1948-2000. Although the time series are relatively short, was
458 considered this period because some of the atmospheric variables, as indices that define the
459 type blockage 500 hPa, are available only in 1948. Also 10.7 cm solar flux that defines more
460 clearly solar activity is just beginning in this period. In addition, we wanted to see if it
461 improves the relationship between the terrestrial and solar indices, taking separately the years
462 with positive or negative phase of Quasi-Biennial Oscillation (QBO).

463 In ~~the~~ Table 3 are presented the correlation coefficient with a high confidence level
464 (>95%), obtained from the simultaneous correlative analyzes between terrestrial variables and
465 geomagnetic (*aa*), and solar activity (flux 10.7cm) indices on the other hand. It is observed that
466 due to short time series, the smoothing by the band pass filter (9-15), although leads to the
467 correlation coefficients with high confidence level, the number of degrees of freedom is quite
468 small.

469 For this period of 53 years (1948-2000), the smoothing by BPF with the band (4-8 yr)
470 appears most appropriate, especially for highlighting solar signal, where all three blocking
471 indices considered in this paper, respond significantly to the solar impulse.

472 The solar or geomagnetic signals in the terrestrial variables can be emphasized also by
473 the periodicities estimation by means of the power spectra. In the present study the power
474 spectra were estimated by means of multitaper method (MTM). For the time series of
475 unfiltered European blocking index (EBI) during winter, the power spectra given in the Fig.8a
476 reveals that the most significant periodicity is related to QBO (2.4 years), and with an
477 approximately 90% confidence level are the peaks at 10.7 and 14.2 years, which may be
478 linked to 11-year solar/geomagnetic cycle. In Fig. 8b, which represents the power spectrum
479 for EBI in the spring, the only significant peak with a confidence level of 95% is situated at
480 10 years. This is consistent with the results shown in Table 3, where during spring, the time
481 series of blocking index EBI, both unfiltered and filtered by the band pass filter (4-8) have
482 significant correlations with the *aa* geomagnetic index. Also, in winter (Fig. 8a), the EBI's
483 response to solar activity, quantified by the Wolf number, is statistical significant with CL
484 almost 99%. If we take only spring season, the best significant peak related to QBO (Fig. 8c)
485 is found in blocking index over Atlantic European region (AEBI).

486 Graphical representation of unfiltered time series was given to see whether ~~the~~ there
487 are solar/ geomagnetic signals in the original series. The power spectra of the filtered series
488 were not shown, because these series show peaks corresponding to the frequencies remaining
489 after filtering procedure.

490 Regarding the period of 53 years (1948-2000), significant signals of the solar activity
491 quantified by solar flux 10.7cm were obtained for spring and summer in the Danube discharge
492 at Orsova (Q_ORS), with different lags, especially to a delay of two years, where both
493 unfiltered and filtered time series, indicate statistically significant correlations.

494 Like in the GBOI case, the discharge is inversely, but well correlated with solar
 495 activity. In Fig. 10a, correlation coefficients are shown at the lags 1-5 for three series,
 496 unfiltered (UF), smoothed by low pass filter (LPF) and the band pass filter (9-15). It can be
 497 observed that, if for the unfiltered data, the signal is significant at the lag 1 and 2, for the data
 498 smoothed by BPF, this signal is at the lags 2, 3 and 4. Taking into account the LPF result,
 499 can be considered the significant result at the lag 2 years. In the Fig. 10b have been
 500 shown the coherent time evolutions of the solar flux and discharge, smoothed by BPF (9-15)
 501 with a lag of three years, where, the correlation coefficient is highest (-0.769) and CL is 99%.

502 From the above results, we can highlight that the Danube discharge in the lower basin,
 503 at the 2 or 3 years during spring and summer, after a maximum (minimum) solar, will be
 504 lower (higher).

505 A different response to solar activity was found in the time series of the index that
 506 defines a atmospheric circulation of blocking type over Atlantico-European region, for the
 507 period 1948-2000, during the winter season. As can be seen in Fig. 11, the response this index
 508 to the solar activity is significant with a delay of two years and three years compared to the
 509 solar flux. It is worth noting that in this case, the filtering process does not lead to an
 510 improvement of the significance of the correlation, even if its value increases. Thus it is
 511 necessary a rigorous test for correlation's significance, especially for data smoothed.
 512 Therefore, we might conclude that about 2-3 years after producing a maximum (minimum)
 513 solar, winter, atmospheric circulation of blocking type is enhanced (weakened) over the
 514 Atlantico-European region.

515

516

517 4.3.3 QBO role

518

519 Regarding QBO influence on the relationship between solar activity and terrestrial
 520 parameters, there are several investigations (Van Loon and Labitzke, 1988; Bochníček et
 521 al.1999, Huth et al., 2009), which demonstrated that QBO phase is very important for
 522 emphasizing these links. We see in QBO mainly an important modulator of the impact of
 523 solar activity on the phenomena of the lower troposphere. To test these findings, in this paper
 524 the years with east QBO phase, during winter months have been selected, and were made
 525 correlations between solar flux and more terrestrial variables. Winter, from the atmospheric
 526 indices of blocking type at 500 hPa, best response at the QBO signal, was found in the
 527 blocking over the European sector (EBI), with power spectrum shown in Fig. 8a. But the
 528 correlation coefficient between the solar flux and the unfiltered EBI during winter, for all
 529 those 53 years, is 0.15 and not is statistically significant. By selecting only the years with
 530 QBO in the east phase in the winter months (34 cases), the correlation coefficient is 0.32 and
 531 the confidence level around 95%. It is interesting that although the power spectrum (Fig. 8a)
 532 highlights significant peaks related to the QBO (2.4 and 2.7ani), the correlation coefficient
 533 between EBI and QBO is insignificant. This suggests that the spectral representation is very
 534 useful in time series analysis and the QBO phases modulate the connection between solar
 535 activity and blocking circulation.

536 It is enlightening solar impact (by flux) on atmospheric circulation in the lower troposphere,
 537 during the east phase of QBO, when the solar maximum is associated with blocking event over the
 538 Northern Atlantic and north-western Europe (Fig. 12a), and a geopotential with a opposite
 539 distribution that occurs during the solar minimum. (Fig. 12b).

540 The advantage of the composite maps, used to outline the response to the solar signal, is
 541 shown in Sfica et al. (2015), which specifies that through these composite maps, nonlinearities are
 542 taken into account, compared to using linear methods.

543 Our findings, presented in the Fig. 12, are in concordance with Barriopedro et al. (2008),
 544 namely, QBO is a modulator of the of the atmospheric circulation transformation from a blocking
 545 type circulation to a zonal one and vice versa, under the solar impact.

546 We mention that in the period 1948-2000 were recorded 34 months of winter (DJF) in which
 547 occurred east QBO phase and the solar flux has produced in the lower troposphere an atmospheric
 548 blocking events, or a zonal atmospheric circulation, at middle and higher latitudes, depending on the
 549 state of maximum or minimum solar activity, respectively.

550

551

552 5 Conclusions

553

554 In the present investigation, we focused on finding predictors for the discharge in the
 555 Danube lower basin, which present a high level of statistical significance.

556 In the first part of the paper we tested the predictors for the discharge, from the field
 557 of temperature, precipitation, cloud cover in the Danube basin, and indices of atmospheric
 558 circulation over the European Atlantic region. For climate variables defined in the Danube
 559 basin, as predictor we used only the first principal component (PC1) of the EOFs
 560 decomposition and a drought index (TPPI) derived from the standardized temperature and
 561 precipitation.

562 The atmospheric circulation has been quantified by Greenland Balkan Oscillation
 563 (GBO) and North Atlantic Oscillation (NAO) indices and the blocking type indices. The
 564 analysis was performed separately for each season and on the two period (1901-2000) and
 565 (1948-2000).

566 Main statistically significant results for this part of our research are the following:

- 567 1. The correlative analyzes simultaneously for each season, revealed that, except for
 568 the winter season, drought index (TPPI) has the highest weight to the discharge
 569 variability in the lower basin of the Danube.
- 570 2. Testing the predictors, in order to see their predictive capacity, with a lag of
 571 several months in advance of discharge, concluded that TPPI in winter and spring
 572 is a good indicator for the Danube discharge in spring and summer respectively.
- 573 3. We demonstrated that for the winter, GBOI has an influence on the climate
 574 variables in the Danube middle and lower basin more significant than NAOI.
- 575 4. Analysis for the period 1948-2000, reveals that in winter, the GBOI weight for the
 576 Danube discharge is similar to those of the blocking index over the European
 577 sector.

578 In the second part of the paper, we focused on solar/geomagnetic signals in the
 579 terrestrial variables. Because the solar and geomagnetic variables as well as the smoothing
 580 procedures through various filters, respectively low pass filter and band pass filters applied in
 581 this investigation, shows strong serial correlations, all correlative analyzes were performed
 582 through rigorous testing of statistical significance. The number of observations was reduced to
 583 the effective number of degrees of freedom, corresponding to the independent observations.
 584 The filtering procedures led to improvement of the correlative analyses between solar or
 585 geomagnetic activity and terrestrial variables, under the condition of a rigorous test of the
 586 statistical significance.

587 The main findings of our research for this topic are the following:

- 588 5. The most significant signals of solar/geomagnetic activities were obtained in the
 589 drought indicator (TPPI). Because the precipitation does not respond just as well as,
 590 temperatures to the solar signal, is preferred analysis TPPI variable in stead of
 591 temperatures and precipitation separately.
- 592 6. From the analysis of correlations with the lags from 0 to five years delay of the
 593 terrestrial variables in comparison with the solar/geomagnetic activity, we obtained

594 very different results, depending on the season and on the considered variables, as well
 595 as on the filtering procedure. Such, we might conclude that in winter, about 2-3 years
 596 after producing a maximum (minimum) solar, winter, atmospheric circulation of
 597 blocking type is enhanced (weakened) over the Atlantic-European region. Also, it was
 598 found that the Danube discharge in the lower basin, at the 2 or 3 years during spring
 599 and summer, after a maximum (minimum) solar, will be lower (higher).

- 600 7. A terrestrial variable that respond to the solar signal, even more significant than to the
 601 geomagnetic signal, is atmospheric circulation index GBO, in summer. Therefore, at
 602 the 2-3 years after a maximum (minimum) of solar activity, expects a response of
 603 atmospheric circulation in the Atlantic-European region, quantified by GBOI, by a
 604 diminution of this index, i.e. decrease (increase) of pressure in Greenland area and an
 605 increase (decrease) in atmospheric pressure in the Balkans.
- 606 8. By multitaper method (MTM) procedure, the power spectra have highlighted both
 607 quasi-periodicities related to solar activity and the other oscillations such as QBO. In
 608 the time series of AEBI (spring), and EBI (winter) the most significant periodicity is
 609 related to QBO (2.2-2.7 years) and with an approximately 90% confidence level there
 610 are peaks at 10-14 years, which may be linked to 11-year solar cycle.
- 611 9. The composite maps revealed that solar impact (by flux) on atmospheric circulation in
 612 the middle troposphere, during the east phase of QBO, is associated with blocking
 613 event over the Northern Atlantic and north-western Europe, and a geopotential with a
 614 opposite distribution that occurs during the solar minimum.

615 In this study, we focused only on observational data, so that in next our investigations, we will
 616 take into account significant predictors for the Danube basin found in this investigation, like
 617 GBOI, TPPI and atmospheric blocking indices from the outputs of the simulation
 618 models. Also we will take into account non-stationarities and non-linearities associated with
 619 the major modes of climate variability.

620
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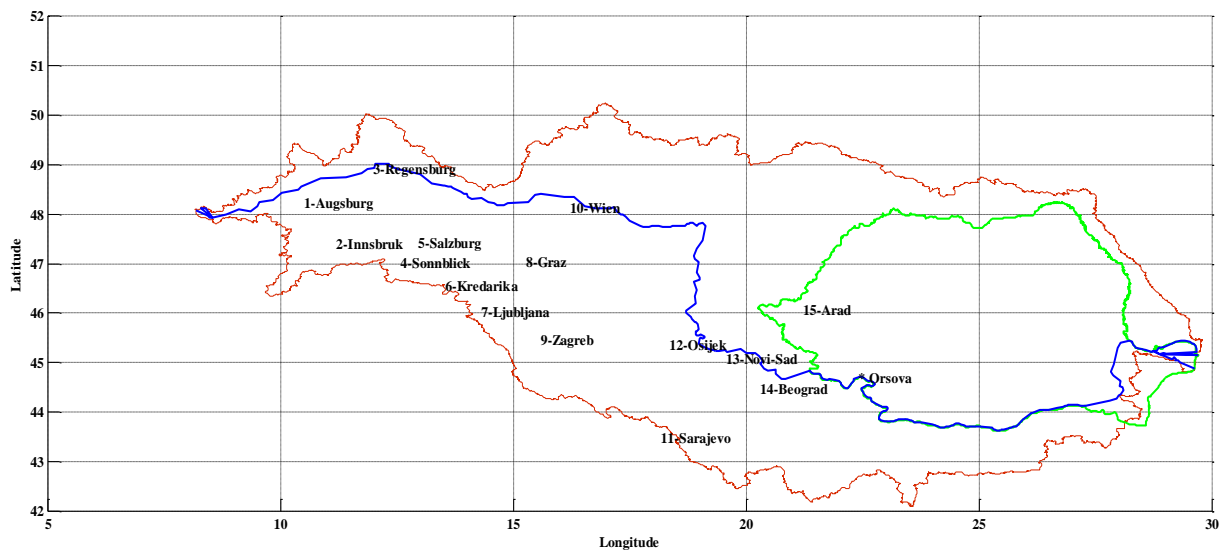
Correlation with Wolf number							
PC1_TT(4-8)	Summer	0.288	2.453	0.157	0.021	68	98%
PC1_TT(9-15)	Fall	0.699	3.770	0.550	0.000	17	99.5%
PC1_PP(4-8)	Spring	-0.242	2.133	-0.190	0.005	75	95-98%
PC1_PP(9-15)	Spring	-0.538	2.417	-0.363	0.000	16	95-98%
PC1_PP(4-8)	Winter	-0.370	3.298	-0.265	0.000	70	>99%
TPPI(UF)	Spring	0.211	1.973	0.148	0.029	85	95%
TPPI(LPF)	Spring	0.299	1.736	0.261	0.000	33	90%
TPPI(4-8)	Spring	0.245	2.154	0.159	0.019	74	95-98%
TPPI(9-15)	Spring	0.585	2.708	0.395	0.000	16	98%
TPPI(9-15)	Fall	0.673	3.796	0.553	0.000	19	99%
GBOI (4-8)	Summer	-0.346	2.982	-0.230	0.001	67	99.5%
GBOI (4-8)	Winter	-0.343	3.169	-0.218	0.001	77	>99%
GBOI (17-28)	Fall	-0.899	3.485	-0.707	0.000	5	95-98%
Q_ORIS (4-8)	Winter	-0.263	2.329	-0.163	0.016	75	98%

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Table 3. Same as Table 2 but for 53 years (1948-2000).

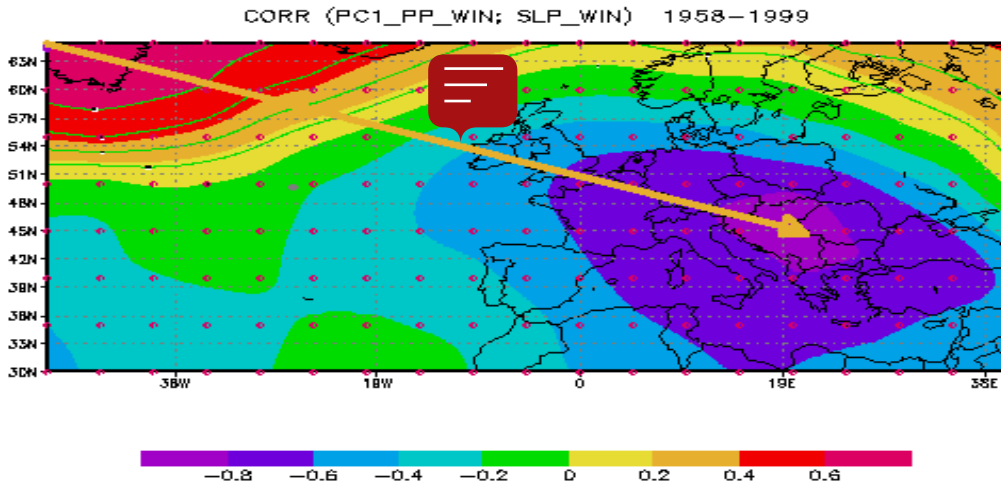
Variable	Season	r	t	τ	p	N_{eff}	CL
Correlation with aa							
EBI (UF)	Spring	0.259	1.836	0.151	0.110	49	~95%
EBI (4-8)	Spring	0.528	3.864	0.382	0.000	41	>99%
ABI (UF)	Fall	-0.257	1.848	-0.118	0.210	51	~95%
ABI (9-15)	Spring	0.605	2.157	0.426	0.000	10	>95%
AEBI (9-15)	Winter	0.749	3.134	0.589	0.000	10	98.5%
Correlation with flux 10.7 cm							
TPPI(LPF)	Spring	0.444	1.502	0.322	0.001	11	85-90%
ABI(4-8)	Fall	0.578	4.124	0.312	0.001	36	99.9%
AEBI(4-8)	Fall	0.530	3.697	0.360	0.000	37	99.9%
EBI (4-8)	Winter	0.419	2.678	0.272	0.004	37	98.5%
Q_ORIS(4-8)	Winter	-0.603	4.390	-0.351	0.000	36	99.9%
GBOI (4-8)	Winter	-0.695	6.034	-0.428	0.000	41	99.9%

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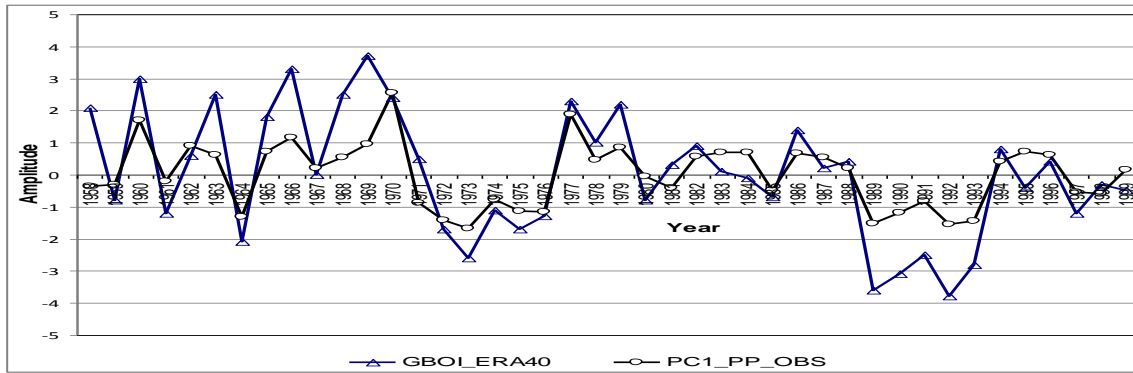


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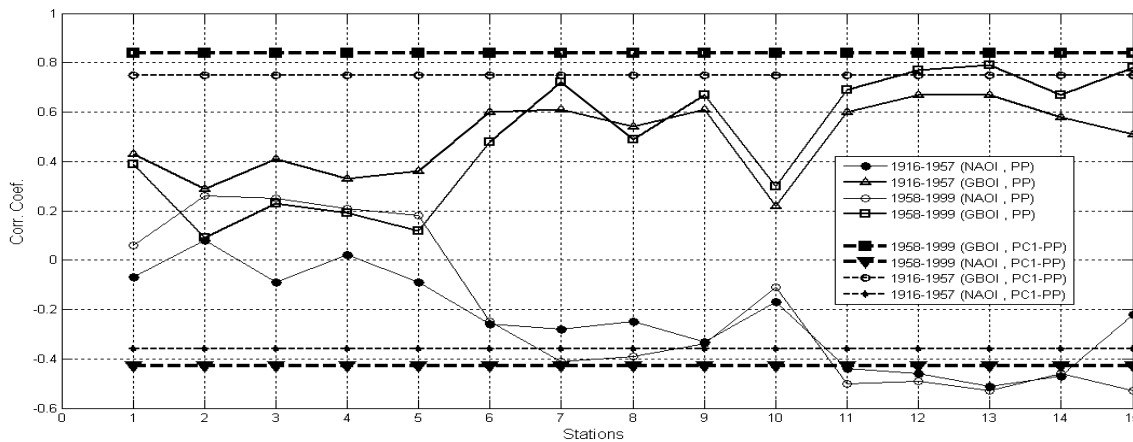
Figure 1. Localization of 15 precipitation stations situated upstream of Orsova station.



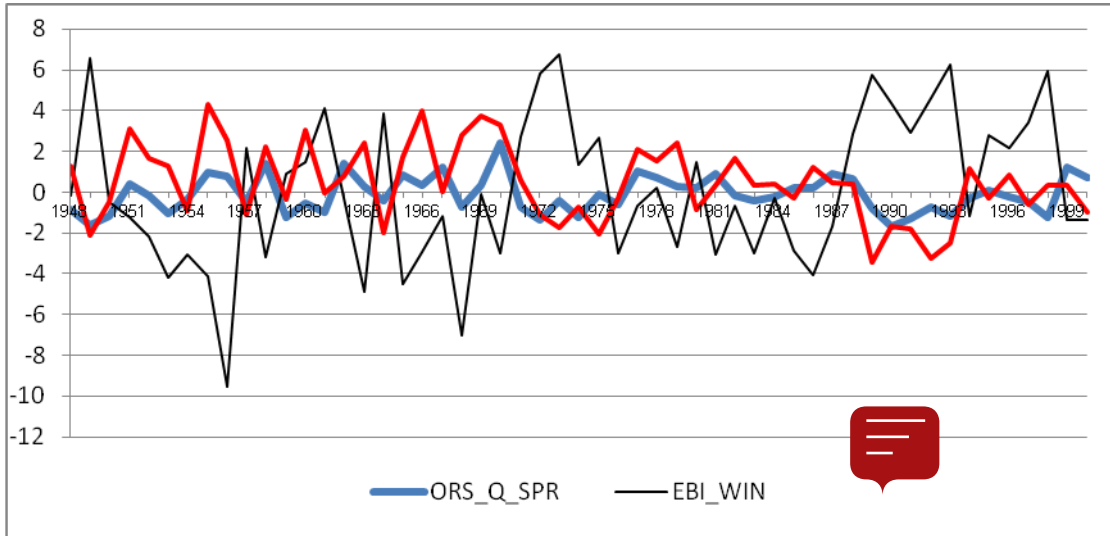
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825 **Figure 2.** Spatial distribution of correlation coefficients between SLP NCAR
826 and observed PC1- PP during winter for 1958-1999.
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831 **Figure 3.** Winter precipitation PC1 versus winter GBOI for 1958-1999 ($R=0.84$).
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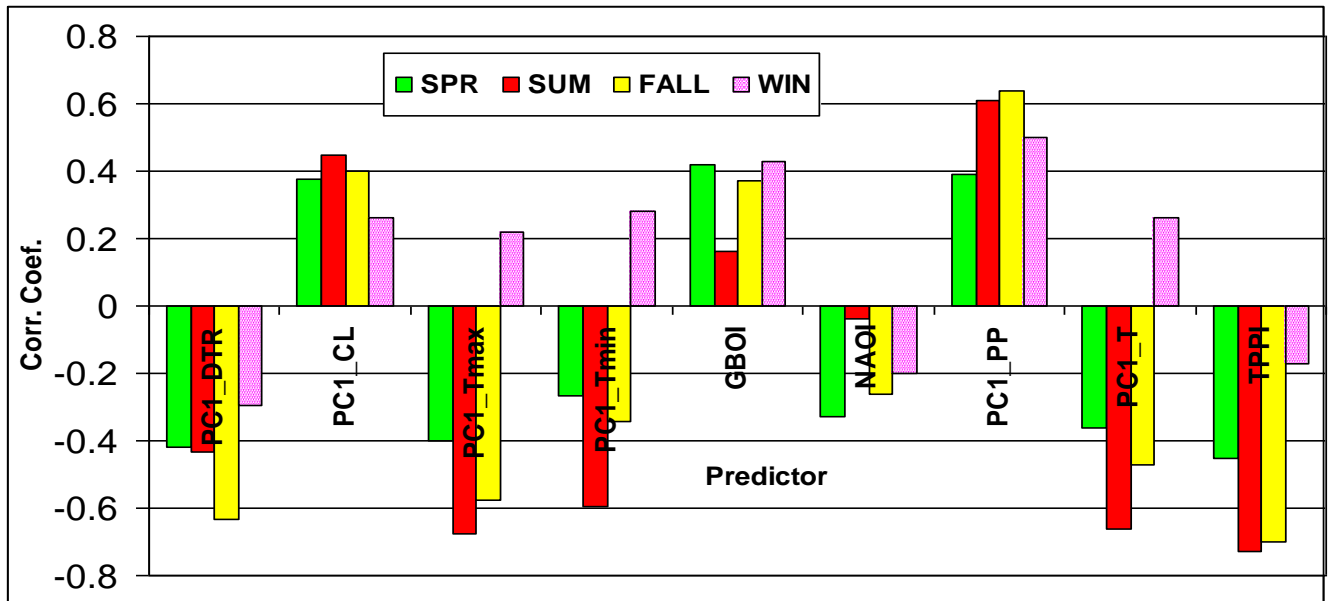


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835 **Figure 4.** Correlation coefficients between winter precipitation at 15 stations and NAOI and GBOI for
836 two periods: a) 1916-1957; b) 1958-1999. The correlations between PC1-PP and two indices are
837 marked by horizontal lines.



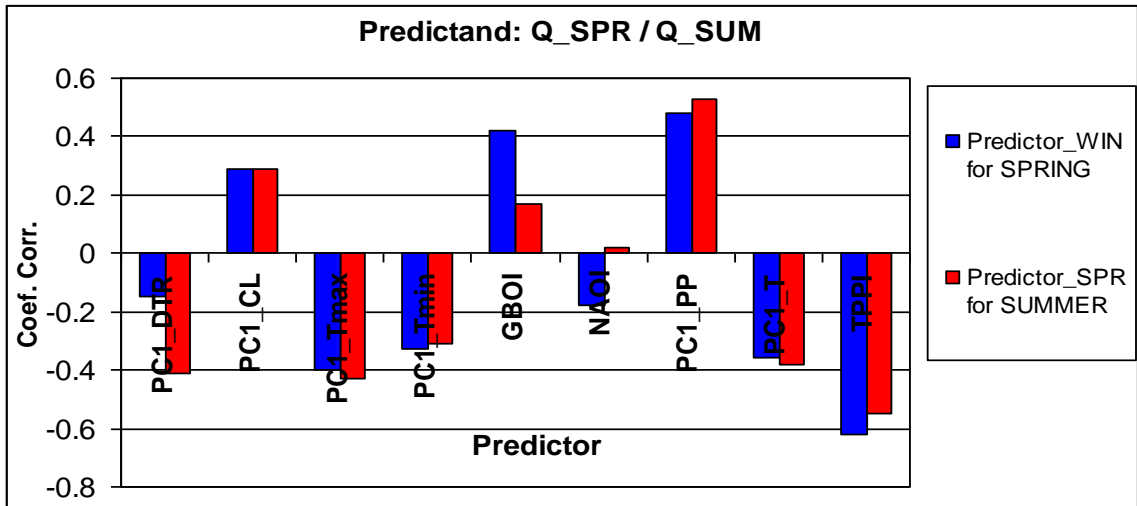
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Figure 5. Spring Orsova discharge versus winter European blocking index ($R = -0.54$) and winter GBOI ($R = 0.53$) for the period 1948-2000.



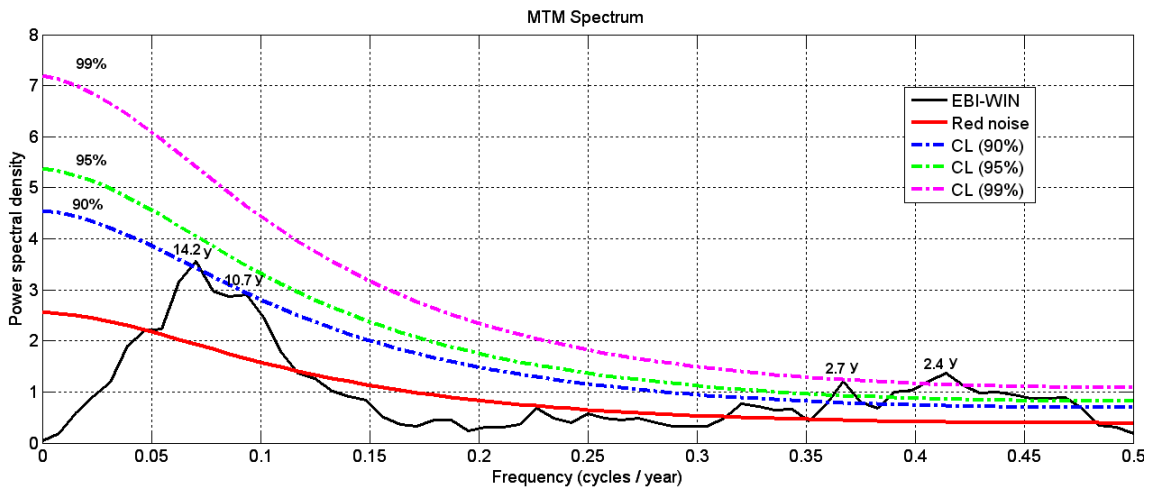
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Figure 6. Simultaneous correlations between Danube discharge at Orsova and nine predictors (1901-2000)



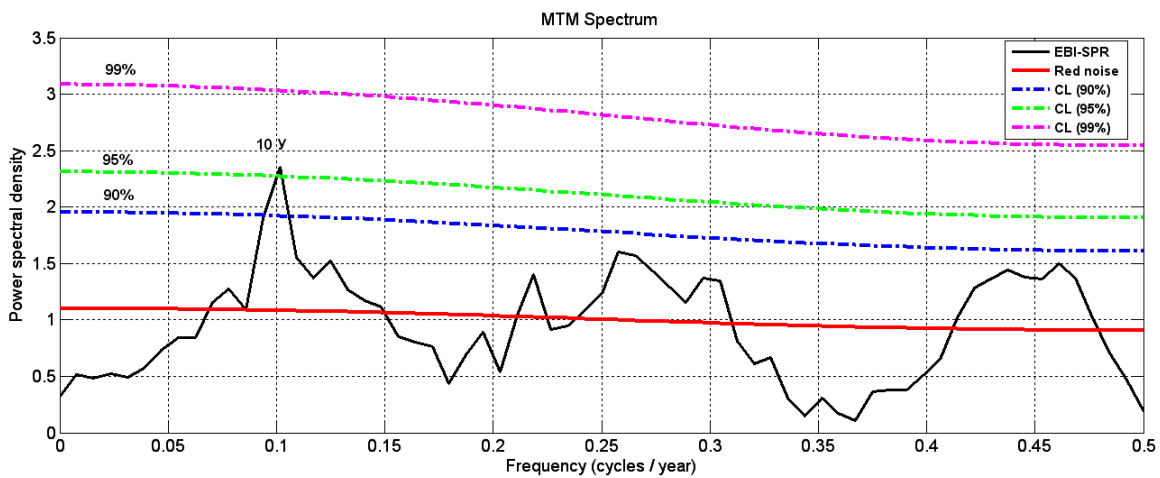
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Figure 7. The correlation between Orsova discharge (Q) in the spring / summer and the nine predictors in the winter/spring.



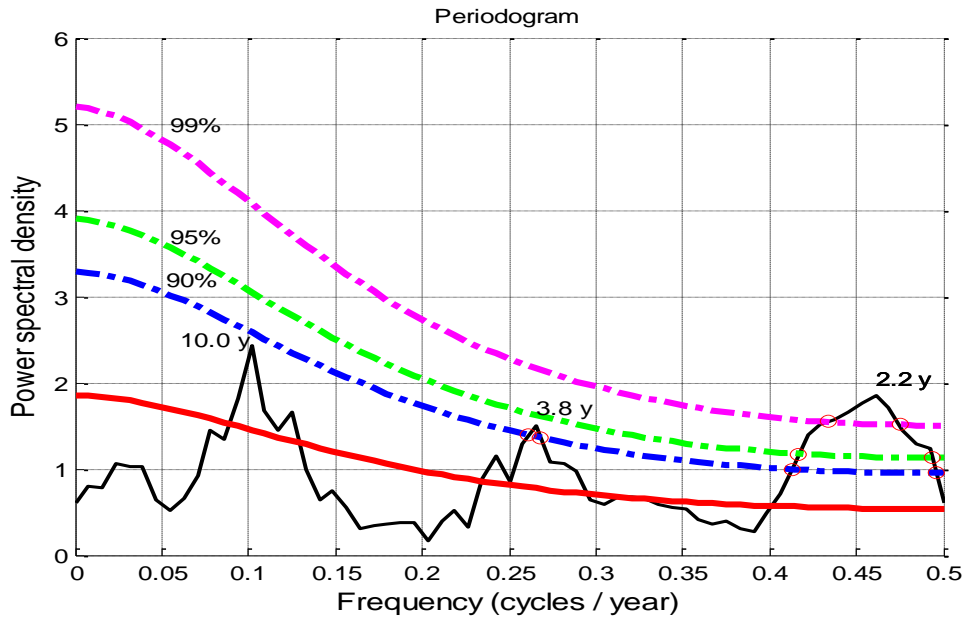
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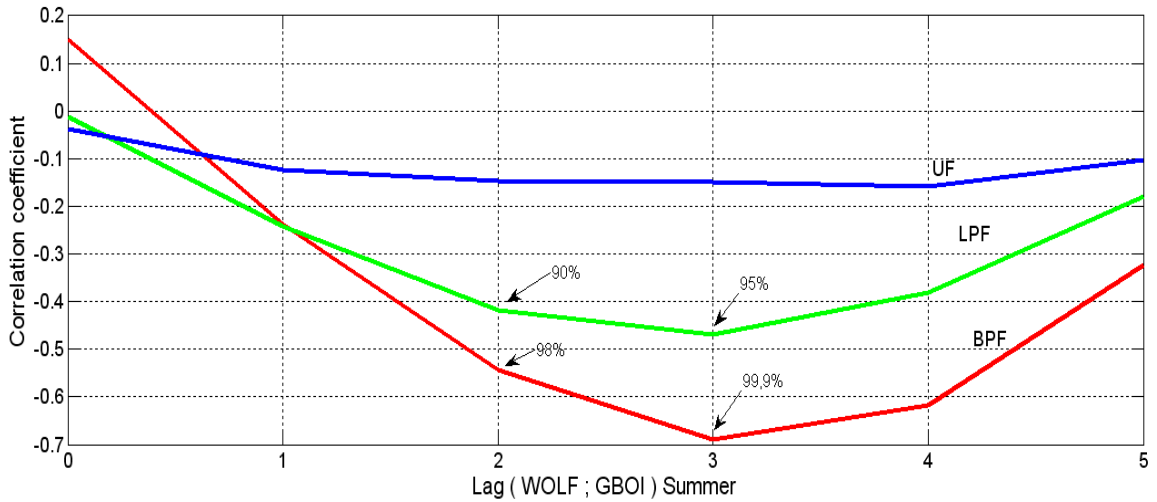
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c)

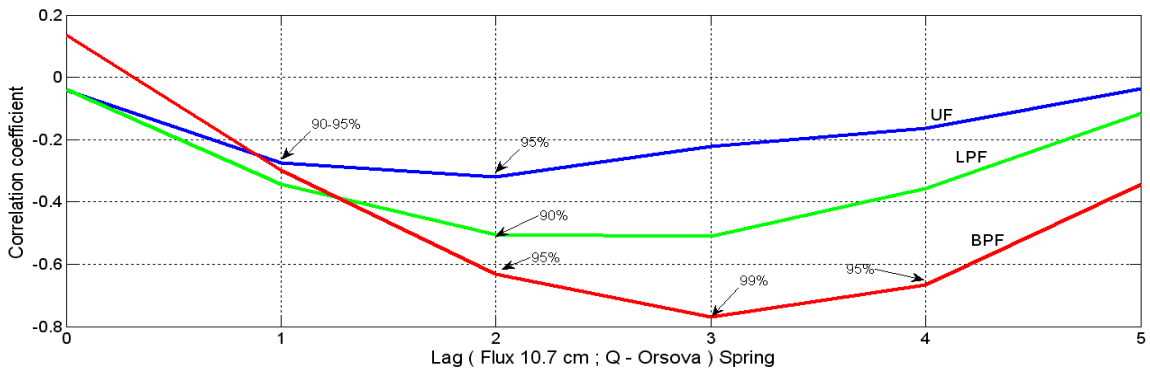
Figure 8. Power spectra for the blocking indices: winter EBI (a), spring EBI (b) and spring AEBI (c).



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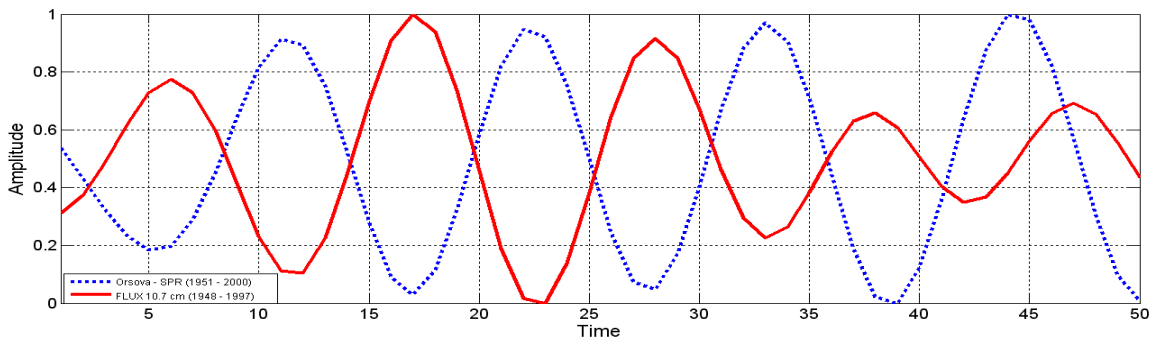
Figure 9. Correlation coefficients, between Wolf number and GBOI index in summer with the lags 0-5, for three time series: unfiltered (UF), smoothing by low pass filter (LPF) and by band pass filter (9-15)

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a)



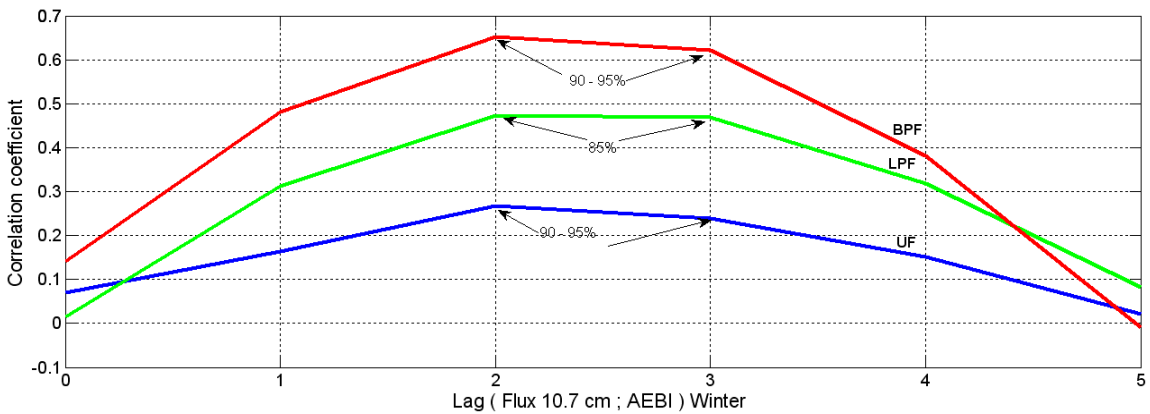
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b)

Figure 10. Solar (Flux 10.7cm) signal in the Orsova discharge (Q_ORS), during spring (1948-2000).

- a) Correlation coefficients, between solar flux and Orsova discharge with the lags 0-5, for three time series: unfiltered (UF), smoothing by low pass filter (LPF) and by band pass filter (9-15);
- b) Temporal behavior of the solar flux and Q_ORS, filtered (9-15) with a delay of 3 years to flux. The time series are normalized.

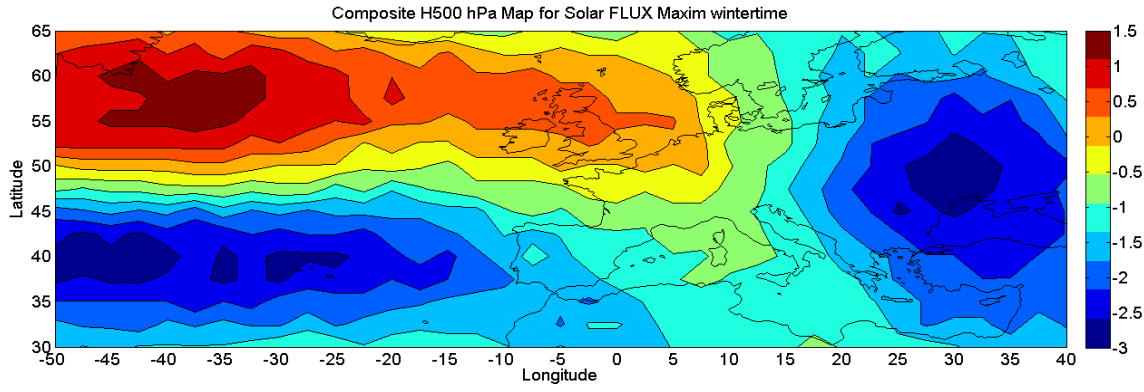
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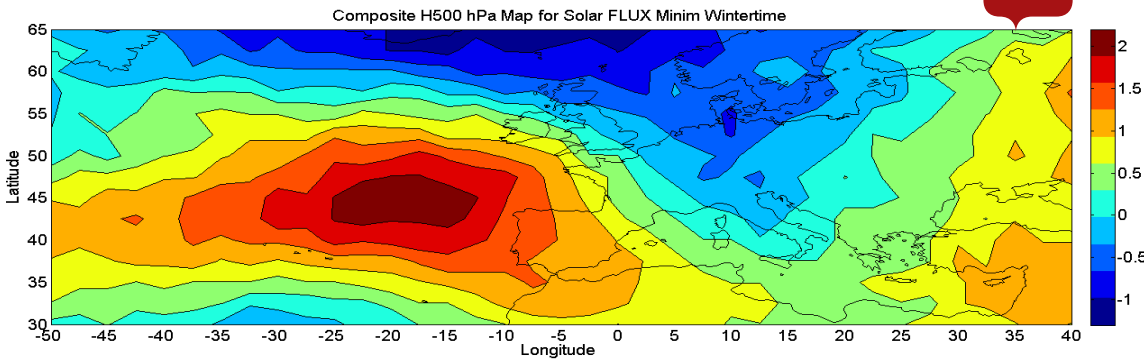
Figure 11. Correlation coefficients, between solar flux and AEBI with the lags 0-5, during winter (1948-2000), for three time series: unfiltered (UF), smoothing by low pass filter (LPF) and by band pass filter (9-15).

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Figure 12. Composite maps for the winter H500 hPa anomalies, corresponding to solar flux associated with the east phase of QBO (1948-2000) and: a) maximum flux b) minimum flux