

Variations of deep soil moisture under different vegetation types and influencing factors in a watershed of the Loess Plateau, China

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1 **Abstract:**

2 Soil moisture in deep soil layers is a relatively stable water resource for vegetation
3 growth in the semi-arid Loess Plateau of China. Characterizing the variations in deep
4 soil moisture and its influencing factors at a moderate watershed scale is important to
5 ensure the sustainability of vegetation restoration efforts. In this study, we focus on
6 analyzing the variations and factors that influence the deep soil moisture (DSM) in 80-
7 500-cm soil layers based on a soil moisture survey of the Ansai watershed in Yanan in
8 Shanxi Province. Our results can be divided into four main findings. (1) At the
9 watershed scale, higher variations in the DSM occurred at 120-140 cm and 480-500 cm
10 in the vertical direction. At a comparable depth but in the horizontal direction, the
11 variation in the DSM under native vegetation was much lower than that in human-
12 managed vegetation and introduced vegetation. (2) The DSM in native vegetation and
13 human-managed vegetation was significantly higher than that in introduced vegetation,
14 and different degrees of soil desiccation occurred under all the introduced vegetation
15 types. *Caragana korshinskii* and black locust caused the most serious desiccation. (3)
16 Taking the DSM conditions of native vegetation as a reference for local control, the
17 DSM in this watershed could be divided into three layers: (I) a rainfall transpiration
18 layer (80-220 cm); (II) a transition layer (220-400 cm); and (III) a stable layer (400-500
19 cm). (4) The factors influencing DSM at the watershed scale varied with vegetation
20 types. The main local controls of the DSM variations were the soil particle composition
21 and annual average rainfall; human agricultural management measures can alter the soil
22 bulk density, which contributes to higher DSM in farmland and apple orchards. The
23 plant growth conditions, planting density, and litter water holding traits of introduced
24 vegetation showed significant relationships with the DSM. The results of this study are
25 of practical significance for vegetation restoration strategies, especially for the choice
26 of vegetation types, planting zones, and proper human management measures.

27 **1 Introduction**

28 Soil moisture is an indispensable component of terrestrial systems and plays a

1 critical role in surface hydrological processes, especially runoff generation, soil
2 evaporation and plant transpiration (Cheema et al., 2011; Legates et al., 2011; Wang et
3 al., 2012a; Zhao et al., 2013). The soil moisture in different soil layers is usually related
4 to different hydrological processes and ecological functions (Yang et al., 2012a).
5 Surface or shallow layer soil moisture is usually greatly influenced by rainfall
6 infiltration or evapotranspiration and is a regular water source for vegetation growth,
7 while the moisture in deep soil layers functions as a reservoir for soil. During rainy
8 years, DSM can be replenished by rainfall infiltration; during drought years, DSM can
9 also provide necessary water for plant growth and is thus important for plant growth
10 during dry seasons (Yang et al., 2012c; Jia and Shao, 2014). This relationship is
11 particularly true in semi-arid areas, such as the Loess Plateau of China, where water
12 resources are incredibly scarce. In such regions, DSM even becomes the main
13 constraining factor of plant productivity and ecosystem sustainability (Wang et al.,
14 2010c; Wang et al., 2011a). In this study, we define the deep soil layer as the layer
15 whose soil moisture is not sensitive to daily evapotranspiration and regular rainfall
16 events.

17 The Loess Plateau of China is located in a semi-arid area. The average annual
18 rainfall in this region ranges from 150 to 800 mm, which is far lower than the average
19 annual pan evaporation (1400–2000 mm) (Wang et al., 2010b). Low precipitation and
20 high evaporation results in lower soil moisture content in this region. The shallow soil
21 moisture is not sufficient to meet the growth needs of introduced vegetation (Yang et
22 al., 2014b). Moreover, the loess soil's thickness in this area ranges from 30 to 80 m; at
23 these depths, groundwater is not available for plants (Wang et al., 2013). Therefore,
24 DSM that is stored in unsaturated soil becomes an important water resource for plant
25 growth (Yang et al., 2012c). However, the vegetation that has been introduced by the
26 national Grain for Green project tends to have strong water consumption. Large-scale
27 afforestation has resulted in the excessive consumption of DSM, and a large range of
28 soil desiccation has been reported (Wang et al., 2008b; Wang et al., 2010b; Wang et al.,
29 2010c; Wang et al., 2011b). Soil desiccation greatly reduces the capability of a “soil

1 reservoir” to supply water to deep soil layers for plant growth in the Loess Plateau
2 (Chen et al., 2008a). Introduced vegetation in desiccated land is easily degraded with
3 low productivity, and “small aged trees” with heights of 3–5 m have widely appeared.
4 Therefore, the sustainability of the restored ecosystem is being challenged. Moreover,
5 traditional soil moisture studies, which have mainly focused on shallow depth layers
6 (Gómez-Plaza et al., 2001; Bi et al., 2009; Baroni et al., 2013), cannot clearly reveal
7 the sustainability needs for vegetation restoration.

8 Studies on DSM have gradually attracted attention from many scientists in recent
9 years. For example, DSM was found to be excessively consumed by almost all the
10 introduced vegetation, and a high planting density was the main reason for the severe
11 deficits in soil moisture (Yang et al., 2012c). Additionally, introduced vegetation
12 diminished the spatial heterogeneity of DSM at the small catchment scale (Jia and Shao,
13 2014; Yang et al., 2014b). In recent years, several studies have been conducted on the
14 variation in and factors influencing DSM in the Loess Plateau (Liu et al., 2010; Wang
15 et al., 2012b; Wang et al., 2013; Yang et al., 2014a; Jia and Shao, 2014; Sun et al., 2014).
16 Deep soil moisture is an indispensable water source for vegetation growth in the semi-
17 arid Loess Plateau; understanding the variations and influencing factors of DSM is
18 important for “timely, suitable, and moderate” vegetation restoration and can also help
19 in developing proper measures to control soil desiccation. In fact, DSM is a result of
20 long-term biophysical processes that are controlled by multiple factors (Vereecken et
21 al., 2007). Several factors may impact DSM variations, such as vegetation traits, soil
22 properties, topographical factors, climate factors, and human landscape management
23 measures (Qiu et al., 2001; Lu et al., 2007; Lu et al., 2008; Vivoni et al., 2008; Zhu and
24 Lin, 2011; Montenegro and Ragab, 2012). The dominant factors that affect DSM
25 variations depend on the research scale (Entin et al., 2000). For instance, DSM
26 variations are mainly dominated by the type of vegetation at the slope scale (0.1-1 km²)
27 (Jia et al., 2013). Additionally, vegetation and topography are key factors that contribute
28 to DSM variations at the small catchment scale (1-100 km²) (Yang et al., 2012a).
29 Meanwhile, Wang et al. (2012b) reported that DSM variations at the regional scale (i.e.,

1 the Loess Plateau, covering 640,000 km²) are mainly determined by plant types and
2 climatic conditions. Vegetation factors play an important role in spatial variations in
3 DSM at all scales (Western et al., 2004).

4 While all spatial scales, from slopes and small catchments to regions, are relevant
5 to understanding DSM variations, some scales are more operational and meaningful
6 than others. For example, slope- and small catchment-based studies tend to be too small
7 in spatial extent to incorporate all environmental factors and human-managed measures
8 (the soil traits, climate characteristics, and human-managed measures in one slope or
9 small catchment are usually homogeneous), which are the most relevant to DSM
10 variations (Gómez-Plaza et al., 2000; BI et al., 2009; Zhu et al., 2014a; Zhu et al.,
11 2014b). At the regional scale, assessing the essential mechanistic details (high
12 variations in rainfall and temperature can cover the influencing effects of other factors)
13 of DSM variations to guide local policies is often impossible (Wang et al., 2010a; Wang
14 et al., 2010d; Wang et al., 2012c). A moderate scale that covers an area of approximately
15 100-1000 km² over a watershed or a geopolitically-defined area represents a pivotal
16 scale domain for research on DSM variation mechanisms. In particular, people and
17 nature mesh and interact most acutely at this scale (Zhao and Fang, 2014; Fang et al.,
18 2015), which is a more operational scale for sustainable vegetation restoration policy
19 making. To date, however, minimal research on DSM variations has centered on such
20 a moderate scale, and the variation mechanisms of DSM at this scale are still unclear.

21 In this study, we aim to reveal the variations in DSM and its influencing factors at
22 a moderate watershed scale. This study includes shallow root system vegetation and
23 deep root system vegetation, covering eight specific vegetation types. We first identify
24 the deep soil layer in the Ansai watershed, whose soil moisture is not sensitive to regular
25 rainfall and daily evapotranspiration. Then, we explore the overall variation in DSM in
26 this area, compare the DSM of these root system vegetation types, and identify
27 variations in their profiles. Finally, the influence of various environmental factors on
28 the DSM under different vegetation types is discussed. The objectives of this study are
29 to (1) quantify the variation characteristics of DSM, (2) explore the mechanisms for

1 controlling DSM variability among different vegetation types at the watershed scale,
2 and (3) develop recommendations for land use management and the sustainability of
3 vegetation recovery for the Loess Plateau.

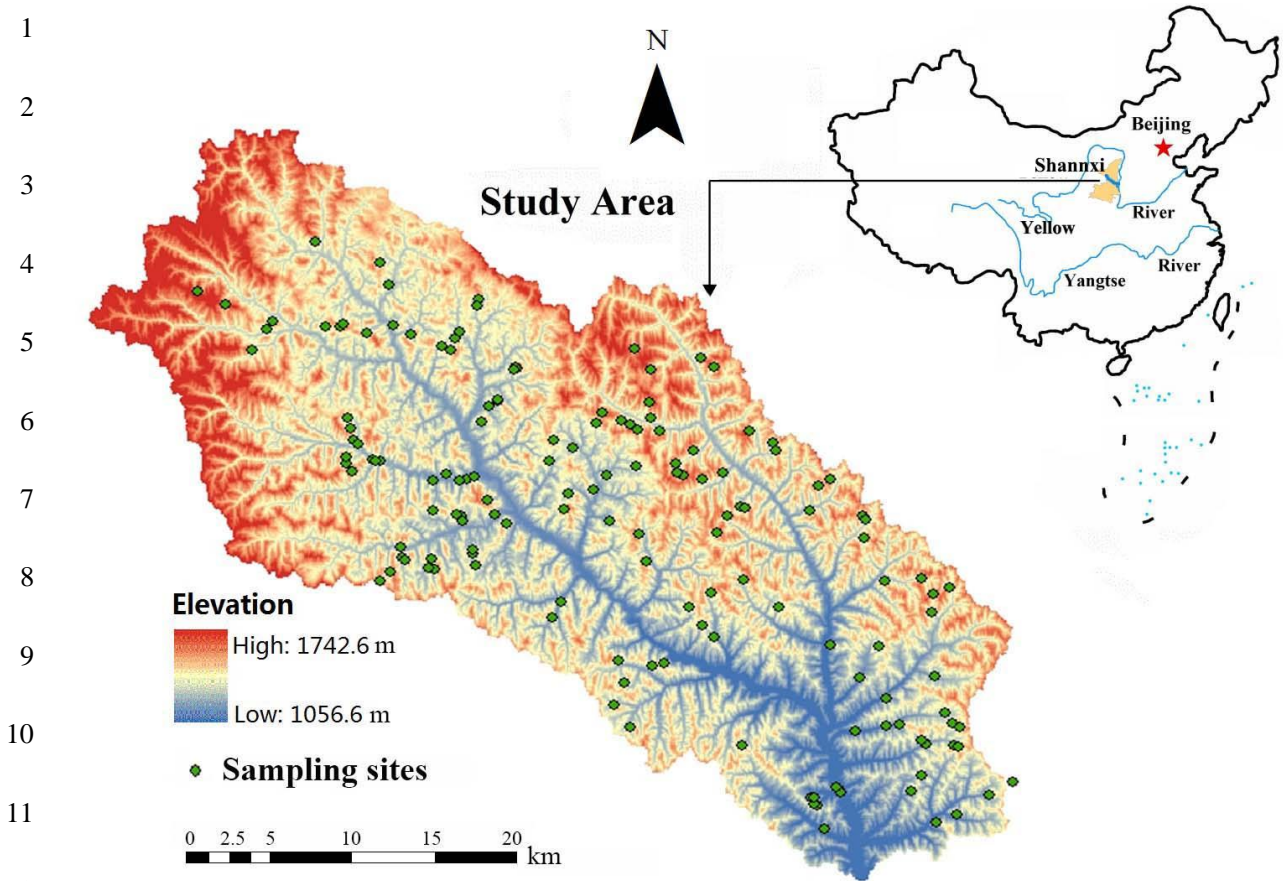
4 **2 Materials and Methods**

5 **2.1 Study area**

6 The Yanhe watershed lies in the middle of the Loess Plateau in northern Shaanxi
7 Province. The Ansai watershed (108°47'-109°25'E, 36°52'-37°19'N) (Fig. 1) is located
8 in the upstream section of the Yanhe river and covers an area of approximately 1334
9 km². This watershed has a highly fragmented terrain, and the elevation here ranges from
10 1057 to 1743 m above sea level. This typical semi-arid loess hilly region has a mean
11 annual temperature of 8.8 °C, and the average annual precipitation ranges from 375 to
12 546 mm across the watershed (Fig. S1). Most rainfall occurs in the form of
13 thunderstorms during the summer months from June to September. The soil types in
14 this study area include loess soil with low fertility and vulnerability to soil erosion
15 (Zhao et al., 2012). The soil texture is different across the watershed, with the sand
16 content ranging from 24% to 57%, the silt content ranging from 40% to 65%, and the
17 clay content ranging from 6% to 10% (Fig. S2).

18 The predominant land use types in the Ansai watershed are rain-fed farmland,
19 orchard land, sparse native grassland, pasture grassland, shrub land, and forest (Feng et
20 al., 2013). The native vegetation in the study area consists of sparse grasses with
21 shallow roots that are dominated by species such as bunge needlegrass, common leymus,
22 and Altai heter pappus. Non-native species, such as alfalfa, black locust, David peach,
23 sea buckthorn, and *Caragana korshinskii*, were predominantly used in the study area
24 under the national Grain for Green project. The cultivated crops are predominantly
25 maize, millet and broom corn millet. Because this region is located in a semi-arid
26 climatic zone, water resources represent the major constraint of vegetation growth and
27 agricultural crop production.

28



13 Figure 1. Location of the study area and sampling sites.

14 **2.2 Sampling locations and description**

15 Two vegetation groups with different root systems were selected: (1) shallow root
 16 system vegetation, including native grasses (NG) and farmland (FL) with human
 17 agricultural measures; and (2) deep root system vegetation, including pasture grasses
 18 (PG), sea buckthorn (SB), *Caragana korshinskii* (CK), David peach (DP), black locust
 19 (BL) and apple orchard (AO) with human agricultural measures. Descriptions of the
 20 root distribution of the selected vegetation types are provided in Table 1.

21 Table 1. Descriptions of the root distributions of the selected vegetation species.

Vegetation type	Root distribution traits	Source
NG	The roots of native grasses are usually distributed at depths of 0-50 cm.	(Han et al., 2009)
PG	The fibrous roots of pasture grasses are mainly distributed at depths of 0-50 cm, while taproot systems can extend to 3 m.	(Wei et al., 2006; Wang et al., 2010d)
FL	The roots of farmland are mainly distributed at	(Feng et al., 2007)

	depths of 0-40 cm.	
AO	Ninety percent of apple tree roots are distributed at depths of 0-120 cm, while deep roots can reach 160 cm.	(Lei et al., 2013)
CK	The fibrous roots of <i>Caragana korshinskii</i> are mainly distributed at depths of 0-100 cm, while taproot systems can extend to 6.4 m.	(Wang et al., 2010d)
SB	The fibrous roots of sea buckthorn are mainly distributed at soil depths of 0-160 cm, while deep roots can reach 200-300 cm.	(Chong and Liang, 1990)
DP	Ninety percent of David peach roots are distributed within depths of 100 cm, while deep roots can reach 150 cm.	(Shi et al., 1989)
BL	Coarse roots are mainly distributed within depths of 260 cm, while fine roots can reach 350 cm.	(Zhang and Xu, 2011)

1 To fully explore the influencing factors of deep soil moisture, we examined
2 topographic factors, surface soil properties, vegetation traits, and climate factors. These
3 factors further included 23 independent variables: average annual rainfall (AAR),
4 altitude (Al), slope position (SP), slope aspect (SA), slope gradient (SG), clay (Cl), silt
5 (Sl), sand (Sa), organic matter (OM), capillary porosity (CP), soil bulk density (SBD),
6 vegetation coverage (VC), grass biomass (GB), grass height (GH), planting density
7 (PD), plant height (PH), diameter at breast height (DBH), crown width (CW), basal
8 diameter (BD), litter max water holding (LMWH), litter biomass (LB), and clear bole
9 height (CBH). The distance between each vegetation sampling site was at least 2 km.
10 The sampling locations are shown in Fig. 1. The main characteristics and sampling
11 numbers for each vegetation type are shown in Table 2.

12 Table 2. Main characteristics and sampling numbers for different vegetation types.

Vegetation conditions	Shallow root vegetation		Deep root vegetation					
	NG ^a	FL	AO	PG	CK	SB	DP	BL
Sampling number	25	22	10	11	18	15	12	38
Altitude (m)	1392.60	1380.1	1370.10	1401.00	1350.61	1435.67	1377.58	1326.54
Slope aspect (°)	170.67	200.6	173.5	195.43	161.75	195.77	128.09	156.36
Slope gradient (°)	16.72	6.3	19.9	13.10	17.56	16.40	24.17	27.24

Sand (%)	44.87	39.4	38.22	55.33	46.42	46.19	52.66	39.96
Silt (%)	47.08	52.6	53.60	38.19	46.57	46.87	47.34	51.75
Clay (%)	8.06	7.93	8.18	6.49	7.01	6.95	7.40	8.30
Organic matter (g/kg)	7.04	5.31	5.75	6.30	13.30	8.91	5.99	8.10
Soil bulk density (g/cm ³)	1.26	1.29	1.25	1.28	1.26	1.23	1.26	1.23
Capillary porosity (%)	48	46	48	47	49	48	49	49
Mean canopy coverage (%)	57.36	53.27	39.70	67.82	45.61	66.07	33.75	59.58
Mean canopy height (m)	0.59	1.83	3.58	0.68	1.73	1.85	3.02	11.77
Mean tree DBH (cm)	-	-	6.32	-	-	-	4.98	10.37
Mean crown (cm)	-	-	398.39	-	199.65	184.85	293.40	455.25
Basal diameter (cm)	-	-	10.17	-	1.31	3.76	8.13	12.85
Planting density (/m ²)	-	-	30.5	-	129.67	262.40	36.17	58.66

1 ^a NG, FL, AO, PG, CK, SB, DP and BL refer to native grasses, farmland, apple orchard,
2 pasture grasses, *Caragana korshinskii*, sea buckthorn, David peach and black locust,
3 respectively.

4 **2.3 Data collection and analysis**

5 Soil samples were collected from depths of 0–500 cm using a soil drill (5 cm in
6 diameter) with 20-cm increments within 28 days (from July 10 to August 6, 2014). The
7 soil samples were sealed and taken to the laboratory, and the gravimetric soil moisture
8 content was determined via oven drying at 105 °C to a constant weight. Three sampling
9 profiles were randomly chosen to obtain the average soil moisture content for each
10 sampling site. Native grasses and *Caragana korshinskii* were selected as
11 representatives of shallow root vegetation and deep root vegetation, respectively. Soil
12 moisture dynamic data (0-200 cm) of these vegetation types were monitored by EM50
13 (109°19'23"E, 36°51'26"N) from the same time period in 2015. The average annual
14 rainfall (2006-2013) was provided by 29 rain gauges in or around the Ansai watershed,
15 and the Inverse Distance Weighted (IDW) interpolation method was performed in
16 ArcGIS10.0 to obtain the average annual rainfall at each sampling site (Fig. S1).

17 The longitude, latitude and altitude were collected for each experimental site by
18 using a Garmin GPS (version eTrex 30). The slope gradients and slope aspects were

1 determined by using the compass method in field investigations; the slope gradients
2 were transformed into tan (slope), and the slope aspects (clockwise from north) were
3 transformed into cos (aspect). At each sampling site, six undisturbed soil cores were
4 collected from the soil surface in metal cylinders (diameter of 5 cm, length of 5 cm) to
5 measure the bulk density and capillary porosity (Wang et al., 2008a). The bulk density
6 was determined from the volume–mass relationship for each core sample, and the
7 capillary porosity was measured by the “cylinder soak method”. Soil samples were also
8 collected at each sampling site. The soil particle size distributions were measured by
9 using a laser scattering particle size distribution analyzer (BT-9300H, Dandong, China).
10 The proportions of clay (<0.002 mm), silt (0.002-0.02 mm), and sand (>0.02 mm)
11 content were then calculated. The soil organic matter content was determined by using
12 the dichromate oxidation method (Hu et al., 2010). A vegetation investigation was also
13 conducted at each sampling site. The stand density (plants/ha), tree height (m), diameter
14 at breast height (DHB, cm), basal diameter (cm), under branch height (m), canopy width
15 in a 20 m×20 m quadrat, and total canopy or coverage of each quadrat were recorded
16 at the forest sites. The stand density (plants/ha), plant height (m), basal diameter (cm),
17 and canopy width in a 10 m×10 m quadrat were measured at the shrub sites. The species
18 composition, total herbaceous coverage, grass height (m), litters and grass biomass
19 were measured in each herbaceous quadrat. The canopy cover was measured by visual
20 estimation, and the litter maximum water holdup was measured by using the immersion
21 method.

22 **2.4 Statistical methods**

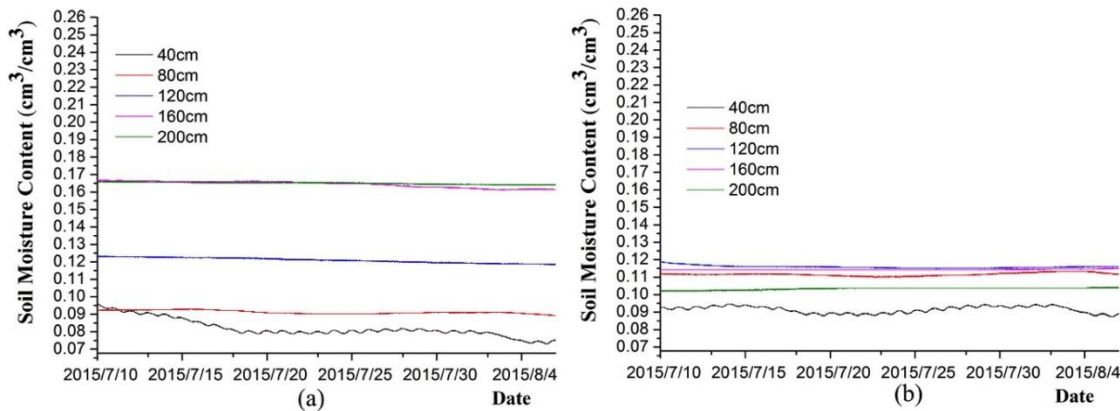
23 The DSM from each layer was pooled together for the 151 sampling locations to
24 conduct a descriptive analysis. Basic population statistics, such as minimum values
25 (Min), maximum values (Max), mean values (Mean), standard deviations (SD), and
26 coefficients of variation (CV), were reported for both the overall soil moisture datasets
27 and for each vegetation type. The SD and CV values were employed to reflect the
28 degree of variability of the DSM in different layers and for different vegetation types
29 (Ruan and Li, 2002). One-way ANOVA was used to assess the contribution of different

1 vegetation cover types to the overall variation in the DSM. Multiple comparisons were
 2 made by using the least significant difference (LSD) method. First, Spearman
 3 correlation analysis was used to examine the relationships between the DSM and
 4 environmental variables to determine the factors contributing to the DSM dynamics.
 5 Then, principle component analysis was performed to reduce the linear correlation that
 6 may exist among selected environmental variables and to further identify a minimum
 7 data set (MDS) of environmental variables for each vegetation type. All the statistical
 8 analyses were performed by using SPSS (Version 20.0).

9 **3 Results**

10 **3.1 Deep soil moisture identification**

11 The soil moisture dynamics at 0-200 cm during the sampling period are reported in
 12 Fig. 2. The soil moisture in the two types of root system vegetation fluctuated daily at
 13 a depth of 40 cm, while the soil moisture at 80-200 cm remained constant with time.
 14 Thus, the evapotranspiration during the sampling period influences the soil moisture no
 15 deeper than 80 cm in both shallow and deep root system vegetation. According to both
 16 Fig. 2 and Fig. S3, the soil moisture at 40 cm did not change obviously with rainfall
 17 events, which indicates that rainfall during the monitoring period influenced the soil
 18 moisture no deeper than 40 cm.



19
 20 Figure 2. Soil moisture (0-200 cm) dynamic monitoring during the sampling period.
 21 Note: (a) native grasses with a shallow root system, and (b) *Caragana korshinskii* with
 22 a deep root system.

1 According to Fig. S3, the mean air temperature and rainfall of the dynamic
 2 monitoring period in 2015 were similar to those observed during the sampling time
 3 period in 2014; thus, rainfall and evapotranspiration during the sampling time period
 4 influenced the soil moisture no deeper than 80 cm. In this study, we consider soil
 5 moisture at depths of 80-500 cm as deep soil moisture.

6 **3.2 Summary statistics of deep soil moisture**

7 The summary statistics of the DSM at various depths are listed in Table 3. In
 8 general, the mean soil moisture, SD, and CV highly depended on the depth. The profile
 9 distributions of the mean DSM, SD, and CV are listed in Table 3 and Fig. 3. The highest
 10 mean value (9.45%) was observed at depths of 400-500 cm, the lowest (8.15%) was
 11 observed at depths of 120-140 cm, and the mean DSM below 300 cm was almost
 12 constant. However, both the SD and CV showed varying trends with increasing depth
 13 (Fig. 3). The profile distributions of the SD and CV were consistent. The highest values
 14 of both factors occurred at 100-120 cm and 480-500 cm (Table 3), which indicated that
 15 the DSM at these depth ranges had relatively higher variability. Meanwhile, the lowest
 16 values occurred at 260-300 cm, which indicated lower variability of DSM at these depth
 17 ranges. Most of the kurtosis (except for 80-120 cm) and skewness values were positive,
 18 and the highest values of both factors occurred at depths of 200-240 cm. The
 19 Kolmogorov–Smirnov test indicated that the soil moisture data sets were normally
 20 distributed. Thus, statistical analysis could be performed without data transformation.

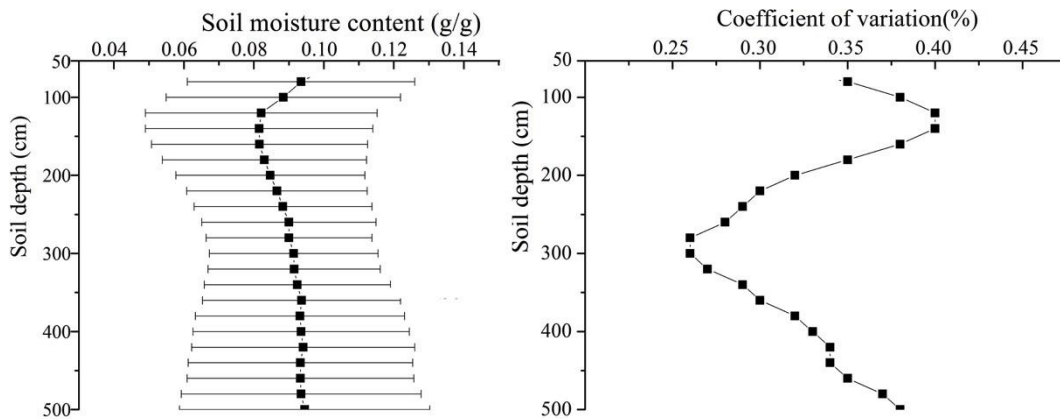
21 Table 3. Summary statistics of the deep soil moisture at various depths in the Ansai
 22 watershed.

Depth (cm)	n ^a	Mean (%)	SD ^b (%)	Minimum (%)	Maximum (%)	CV ^c	K ^d	S	K-S
80-100	151	8.84	3.35	2.60	18.29	0.38	-0.45	0.28	N(0.95)
100-120	151	8.21	3.31	3.29	18.23	0.40	-0.27	0.57	N(1.36)
120-140	151	8.15	3.25	3.22	18.95	0.40	0.30	0.75	N(0.93)
140-160	151	8.16	3.09	3.37	18.56	0.38	0.40	0.80	N(0.99)
160-180	151	8.30	2.92	3.14	17.85	0.35	0.70	0.85	N(1.06)
180-200	151	8.47	2.70	3.22	17.89	0.32	1.48	1.01	N(1.13)
200-220	151	8.66	2.58	3.47	19.19	0.30	2.35	1.06	N(1.23)

220-240	151	8.83	2.54	3.59	19.72	0.29	2.99	1.05	N(1.02)
240-260	151	9.00	2.49	3.92	19.47	0.28	2.33	0.88	N(0.94)
260-280	151	9.00	2.37	4.08	18.46	0.26	1.94	0.74	N(1.11)
280-300	151	9.14	2.41	3.56	18.72	0.26	1.35	0.53	N(0.65)
300-320	151	9.15	2.46	3.26	18.08	0.27	1.45	0.54	N(0.73)
320-340	151	9.24	2.66	3.09	19.56	0.29	1.92	0.67	N(0.81)
340-360	151	9.36	2.83	2.98	19.38	0.30	1.31	0.59	N(0.91)
360-380	151	9.32	2.99	3.13	19.88	0.32	1.49	0.61	N(0.91)
380-400	151	9.35	3.09	2.81	20.85	0.33	1.99	0.60	N(1.00)
400-420	151	9.41	3.19	2.68	21.92	0.34	2.09	0.60	N(0.80)
420-440	151	9.33	3.21	2.70	20.97	0.34	1.43	0.55	N(0.57)
440-460	151	9.33	3.24	2.65	19.63	0.35	0.20	0.23	N(0.73)
460-480	151	9.35	3.43	2.67	19.88	0.37	-0.08	0.26	N(0.84)
480-500	151	9.45	3.58	2.43	19.98	0.38	-0.22	0.23	N(0.87)

1 Notes: ^a n refers to the number of sampling points. ^b SD refers to the standard deviation.
2 ^c CV refers to the coefficient of variation. ^d K, S, and K-S refer to the kurtosis, skewness,
3 and Kolmogorov-Smirnov test values, respectively. ^e N refers to the normal distribution
4 (significance level is 0.05, Kolmogorov-Smirnov value is in parentheses).

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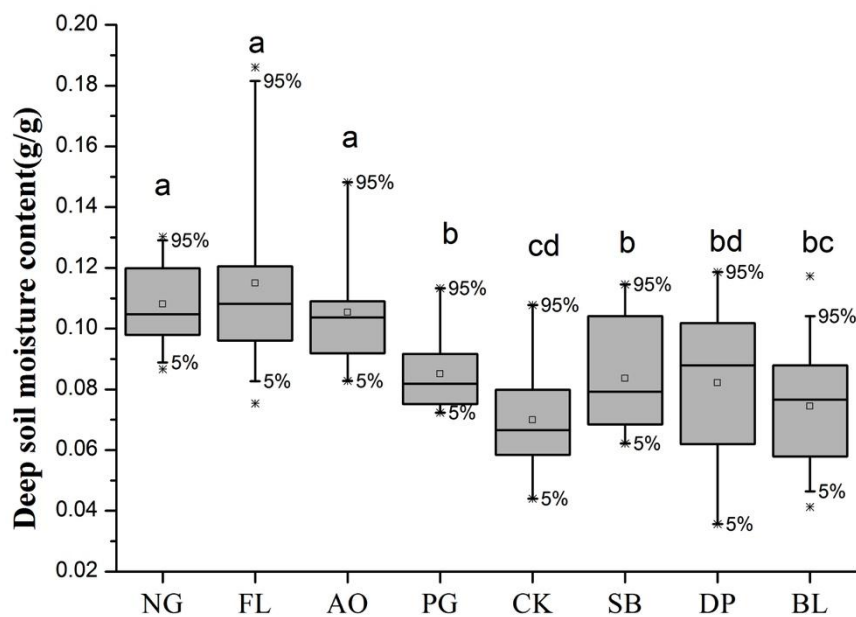


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7 Figure 3. Profile distribution of the deep soil moisture contents and coefficients of
8 variation. The error bars indicate the standard deviation.

9 Moreover, different vegetation types greatly determined the variation in the DSM;
10 the DSM statistics of various vegetation types under different vegetation types are
11 reported in Fig. 4. The results showed that the depth-averaged DSM of native grasses

1 and human-managed vegetation (farmland and apple orchard) were significantly higher
 2 than that of introduced deep root vegetation. In general, the mean DSM of different
 3 vegetation covers could be organized as follows: FL>NG>AO>DP>SB>PG>BL>CK.
 4 The highest DSM existed in farmland and the lowest in *Caragana korshinskii*. This
 5 result indicated that human agricultural management measures can significantly
 6 improve DSM conditions and that *Caragana korshinskii* showed the greatest water
 7 consumption among the selected introduced vegetation types.



8

9 Figure 4. Deep soil moisture statistics for different vegetation types. Means with the
 10 same letter above the box are not significantly different at the 0.05 significance level
 11 (LSD test); NG, FL, AO, PG, CK, SB, DP and BL refer to native grasses, farmland,
 12 apple orchard, pasture grasses, *Caragana korshinskii*, sea buckthorn, David peach and
 13 black locust, respectively.

14 3.3 Profile distribution of deep soil moisture by vegetation type

15 According to a previous study, the soil moisture profile characteristics in vegetation
 16 covering zones are usually complex (Jia et al., 2013). Thus, soil moisture profiles for
 17 each vegetation type were chosen for analysis. As expected, the profile distribution

1 characteristics of the DSM varied with the vegetation type (Fig. 5).

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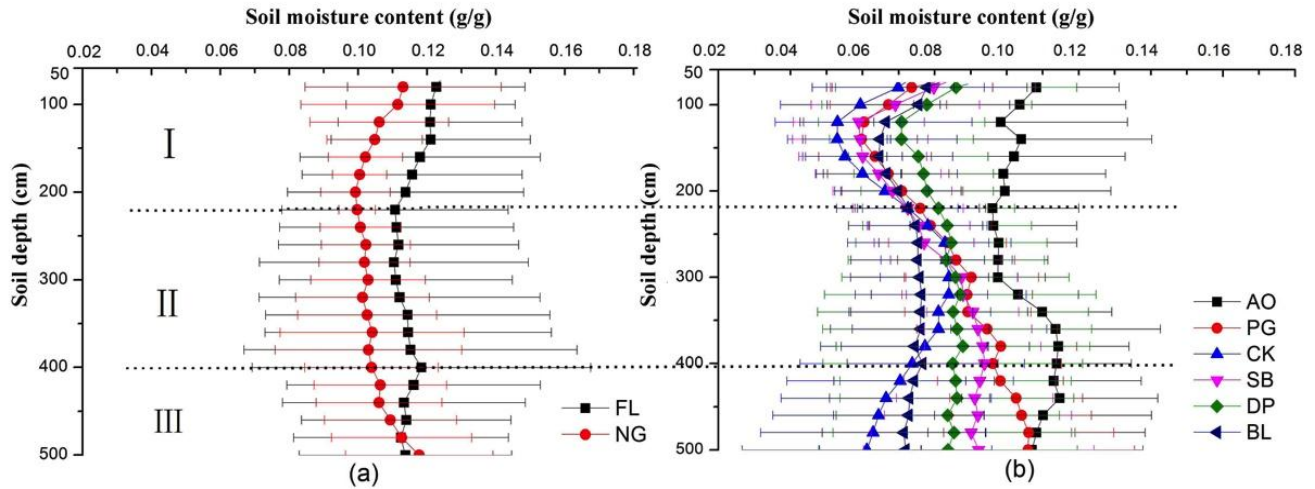
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9 Figure 5. Profile distribution of DSM for different vegetation types. Notes: (a) shallow
10 root system vegetation (NG-native grass; FL-farmland), and (b) deep root system
11 vegetation (PG-pasture grasses; CK-*Caragana korshinskii*; SB-sea buckthorn; DP-
12 David peach; BL-black locust; AO-apple orchard). The error bars indicate the standard
13 deviation. I-III: DSM at different soil layer depth ranges (I: 80-220 cm, II: 220-400 cm,
14 and III: 400-500 cm). The dashed lines are the boundaries of different soil layer depth
15 ranges.

16 The DSM in native grassland zones is seldom affected by vegetation because of
17 shallow root systems; thus, the DSM in native grasslands can be regarded as a reference
18 for local control (Yang et al., 2012c). The 80-500 cm soil moisture profile of native
19 grasslands can be divided into 3 layers based on the inflection point of the DSM and
20 the changes in the SD: (1) 80-220 cm; in this layer, both the DSM and SD decreased as
21 the soil depth increased, which indicates that this layer may be a main rainfall
22 infiltration layer. Furthermore, the level of rainfall infiltration decreased as the depth
23 increased; (2) 220-400 cm; the DSM in this layer remained relatively constant as the
24 soil depth increased, but its SD increased with soil depth, which indicates that this layer
25 is unstable. We characterize this layer as a transition layer; (3) 400-500 cm; this section
26 is a relatively stable layer whose SD is constant as the soil depth increases, despite the
27 increasing DSM with soil depth. In this layer, the DSM is seldom influenced by rainfall

1 infiltration. The profile distribution characteristics of farmland and apple orchards were
2 similar to those of native grasslands, except for the 300-500-cm layer, possibly because
3 management measures increased the ranges of the rainfall infiltration layer. The DSM
4 of all the introduced vegetation types reached a minimum at depths of 80-220 cm. The
5 DSM of different introduced vegetation at 220-500 cm could be generally divided into
6 three categories: (1) the DSM increased as the soil depth increased (such as PG and SB),
7 (2) the DSM remained relatively stable as the soil depth increased (such as DP and BL),
8 and (3) the DSM increased first before decreasing as the soil depth increased (such as
9 CK).

10 We generally divided the DSM in this watershed into three layers based on the
11 above analysis: (I) a rainfall transpiration layer (80-220 cm), which is a main rainfall
12 infiltration layer and can be greatly influenced by vegetation transpiration; (II) a
13 transition layer (220-400 cm), which can be recharged by rainfall infiltration during
14 rainy years and can supply ordinary deep root vegetation with DSM during drought
15 years; and (III) a stable layer (400-500 cm), which has a DSM that is seldom influenced
16 by rainfall infiltration during regular years but can be influenced by extreme deep root
17 vegetation such as CK and BL.

18 **3.4 Comparison of deep soil moisture contents under different vegetation** 19 **types**

20 Generally, the DSM at comparable soil depths was lower in introduced deep root
21 vegetation (pasture grassland, shrub land and forestland) compared to native grassland
22 and human-managed vegetation (farmland and orchard). Farmland (11.07-11.79%) had
23 the highest DSM, followed by native grasses (10.52-11.19%). The LSD test indicated
24 that the DSM in native grasses and farmland was significantly higher than that in
25 introduced vegetation ($P < 0.05$, Table 4) at almost every soil depth. The DSM varied
26 from 6.81% to 10.4% in pasture grassland, 6.85-9.75% in sea buckthorn, 6.10-8.07%
27 in *Caragana korshinskii*, 7.19-7.66% in black locust, and 7.71-8.51% in David peach
28 at depths of 80-500 cm. The LSD test indicated significant differences in the DSM at

1 depths of 400-500 cm between different introduced vegetation types. For example,
 2 *Caragana korshinskii* was significantly different from pasture grassland, sea buckthorn,
 3 and David peach, while black locust was significantly different from pasture grassland
 4 and sea buckthorn ($P < 0.05$, Table 4).

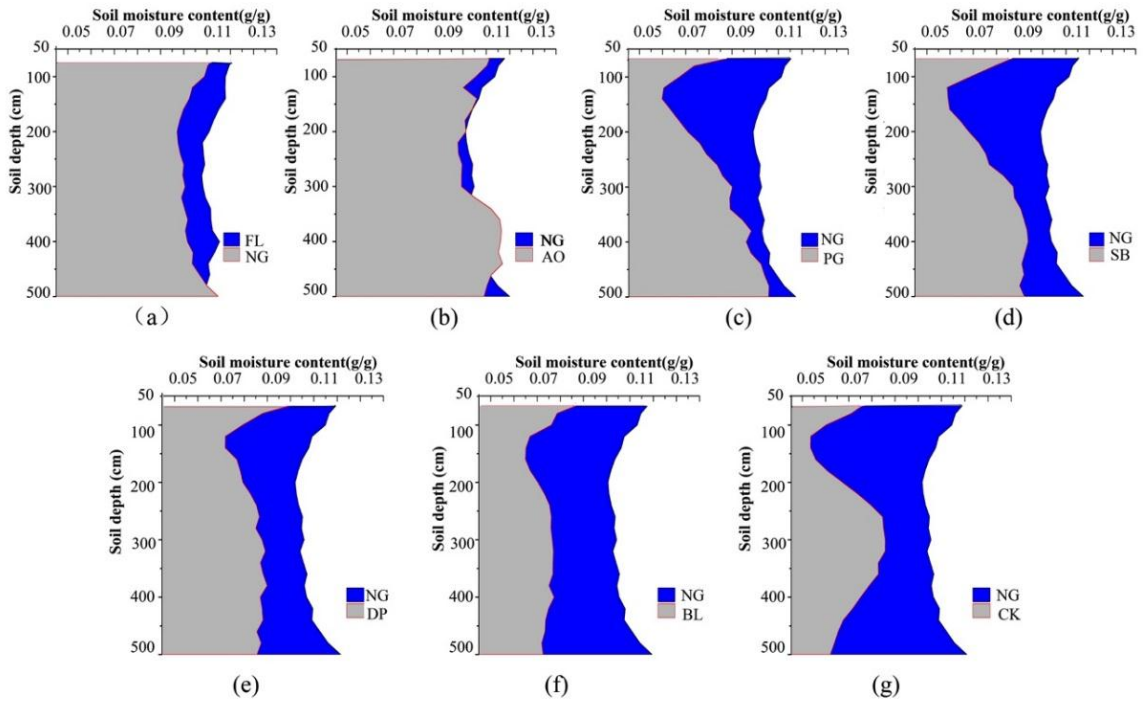
5 Table 4. Deep soil moisture of the 80-500 cm soil layers for different vegetation types.

Root types	Vegetation types	80-220 cm				220-400 cm				400-500 cm			
		Min	Max	Mean	SD	Min	Max	Mean	SD	Min	Max	Mean	SD
		%	%	%	%	%	%	%	%	%	%	%	%
Shallow root vegetation	NG ^a	8.43	13.79	10.89a	1.46	8.35	12.84	10.52ab	1.62	8.17	14.72	11.19ab	2.03
	FL	7.25	17.90	11.79a	2.83	7.78	18.62	11.07a	3.20	7.53	20.01	11.77a	3.58
	AO	6.87	15.36	10.1ab	2.71	7.72	14.06	10.45abc	1.73	7.40	15.33	11.4ab	2.26
	PG	4.82	8.94	6.81c	1.33	7.69	13.14	8.97bcd	1.55	8.49	14.29	10.4abc	1.85
Deep root vegetation	SB	4.92	11.26	6.85c	1.79	7.11	12.09	8.93cd	1.62	5.12	14.67	9.75bc	2.64
	CK	4.24	8.76	6.10c	1.35	4.94	11.62	8.07d	2.11	2.63	12.50	6.49e	2.92
	BL	3.78	12.79	7.19c	2.11	4.16	10.94	7.66d	1.77	4.00	13.29	7.47de	2.47
	DP	3.16	10.68	7.71bc	2.14	3.82	13.9 ⁵	8.51d	3.17	3.21	13.09	8.49cd	3.24

6 Notes: ^a NG, FL, AO, PG, CK, SB, DP, and BL refer to native grasses, farmland, apple
 7 orchard, pasture grasses, *Caragana korshinskii*, sea buckthorn, David peach and black
 8 locust, respectively. Means with the same letter in the same column are not significantly
 9 different at the 0.05 significance level (LSD).

10 As shown in Fig. 6, the DSM in farmland was higher than that in native grassland,
 11 and soil desiccation occurred in all the introduced vegetation. However, soil desiccation
 12 varied among the vegetation types. In general, the DSM in layer I (80-220 cm) was
 13 heavily consumed in almost all the introduced vegetation types. PG and SB consumed
 14 less DSM in layers II-III (220-500 cm) compared to the three other introduced
 15 vegetation types, while the DSM in layers II-III (220-500 cm) of DP and BL was
 16 consumed more consistently. Double-layer soil desiccation occurred in CK, which
 17 indicates that the DSM in layers I and III of CK was heavily consumed, while the DSM
 18 in layer II was less consumed. Despite the deep root system of the apple orchards, soil
 19 desiccation did not occur across the soil profile from 80-500 cm; even in the 320-450

1 cm layer, the DSM in the apple orchards was higher than that in native grasses.



2
3 Figure 6. Comparison of deep soil moisture among human-managed vegetation,
4 introduced vegetation and native grasslands. Notes: (a) farmland (FL) and native
5 grasslands (NG), (b) apple orchard (AO) and native grasslands (NG), (c) pasture
6 grasslands (PG) and native grasslands (NG), (d) sea buckthorn (SB) and native
7 grasslands (NG), (e) David peach (DP) and native grasslands (NG), (f) black locust
8 (BL) and native grasslands (NG), (g) *Caragana korshinskii* (CK) and native
9 grasslands (NG).

10 3.5 Spearman correlation coefficients between deep soil moisture and 11 selected environmental variables

12 Although the DSM data were normally distributed, significant correlations existed
13 between the soil moisture contents at different soil depth ranges. Thus, non-parametric
14 correlation tests (Spearman) were used to determine the strength of possible
15 relationships between the DSM and selected variables. The correlation analysis results
16 are presented in Tables 5, 6, and 7. The correlation between the DSM and
17 environmental variations changed with the soil depth and vegetation type. The DSM

1 in native grassland showed significant correlations with the altitude (80-500 cm), slope
 2 gradient (220-500 cm), soil particle composition (80-500 cm), and average annual
 3 rainfall (220-400 cm). The DSM in farmland (80-220 cm) was only influenced by the
 4 bulk density. The DSM in areas of introduced vegetation, apart from the significant
 5 correlations with the topography, soil properties, and average annual rainfall, showed
 6 different correlations with vegetation growth traits. For instance, the DSM of BL
 7 showed significant negative correlations with the plant height (80-220 cm) and
 8 diameter at breast height (400-500 cm), and the DSM of SB showed a significant
 9 negative correlation with the plant density (80-500 cm). A significant correlation was
 10 found between the aspect and DSM in some introduced vegetation in PG (400-500 cm)
 11 and BL (80-400 cm). Moreover, positive correlations existed between the DSM and
 12 soil surface conditions; for instance, the DSM of DP showed significant correlations
 13 with the grass biomass (400-500 cm), the DSM of AO showed significant correlations
 14 with the litter biomass (400-500 cm), and the DSM of CK showed significant
 15 correlations with the litter max water holding (220-500 cm). Furthermore, both the
 16 soil bulk density (80-400 cm) and capillary porosity (80-220 cm) in the apple orchards
 17 showed significant correlations with the DSM.

18 Table 5. Spearman correlation coefficients between deep soil moisture (grassland,
 19 farmland and pasture grassland) and selected environmental variables.

	Native grasses			Farmland			Pasture grassland		
	80-220 cm	220-400 cm	400-500 cm	80-220 cm	220-400 cm	400-500 cm	80-220 cm	220-400 cm	400-500 cm
Altitude	<u>-0.52</u>	<u>-0.56</u>	<u>-0.53</u>	-0.27	-0.30	-0.19	-0.14	-0.06	0.08
Slope position	0.13	-0.11	-0.07	0.25	0.28	0.41	-0.15	-0.32	0.02
Cos (Aspect)	-0.32	-0.35	-0.44	0.16	0.03	0.21	0.07	0.64	0.86
Tan (Slope)	0.46	<u>0.67</u>	0.59	-0.22	-0.07	0.21	-0.32	0.09	0.34
Clay	<u>0.62</u>	<u>0.56</u>	0.43	0.35	0.37	0.22	0.23	0.54	0.46
Slit	<u>0.59</u>	0.37	0.27	0.26	0.38	0.38	0.15	0.66	0.59
Sand	<u>-0.68</u>	-0.42	-0.32	-0.23	-0.35	-0.35	-0.16	-0.58	-0.47
Organic matter	-0.14	-0.30	-0.19	0.18	-0.13	-0.23	-0.07	-0.28	-0.64
Soil bulk density	-0.16	-0.07	-0.04	0.55	0.31	0.34	0.12	-0.01	-0.16
Capillary porosity	0.09	0.06	0.05	-0.34	-0.26	-0.20	-0.33	-0.26	-0.12
Annual average rainfall	-0.03	0.46	0.37	-0.15	-0.11	-0.23	-0.39	0.15	0.36

Vegetation coverage	-0.21	-0.08	-0.02	0.18	0.11	0.26	-0.30	0.37	0.11
Grass biomass	-0.11	0.20	0.08	-0.06	-0.06	-0.06	-0.02	0.28	-0.10
Grass height	0.30	0.01	0.00	0.04	0.06	0.15	-0.15	0.46	0.32

- 1 Notes: Significant correlations ($P < 0.05$) are shown in bold, and very significant
2 correlations ($P < 0.01$) are shown in bold with underlines.
3 Table 6. Spearman correlation coefficients between deep soil moisture (shrub land) and
4 selected environmental variables.

	<i>Caragana korshinskii</i> Kom			Sea buckthorn		
	80-220	220-400 cm	400-500 cm	80-220	220-400 cm	400-500 cm
	cm			cm		
Altitude	-0.31	<u>-0.70</u>	-0.59	<u>-0.64</u>	-0.56	-0.33
Slope position	0.29	-0.08	-0.11	-0.22	-0.25	-0.35
Cos (Aspect)	0.32	0.34	0.32	0.23	0.34	0.07
Tan (Slope)	0.15	-0.10	-0.05	-0.45	-0.19	0.00
Clay	0.11	-0.24	-0.09	0.27	0.22	-0.02
Silt	0.16	0.32	0.53	0.58	0.51	0.41
Sand	-0.17	-0.23	-0.45	-0.59	-0.48	-0.37
Organic matter	0.08	0.47	0.49	0.25	0.28	-0.20
Soil bulk density	0.17	-0.23	-0.24	0.16	-0.28	-0.18
Capillary porosity	-0.02	0.13	0.14	-0.17	0.20	0.02
Annual average rainfall	0.59	0.23	0.19	0.17	0.22	0.18
Litter biomass	-0.14	-0.04	0.10	-0.29	-0.33	-0.39
Litter max water holding	0.32	0.59	0.60	-0.15	0.09	0.08
Vegetation coverage	-0.08	0.06	-0.03	-0.05	-0.14	-0.16
Grass biomass	0.27	0.42	0.45	0.35	0.26	0.31
Grass height	0.25	0.35	0.43	0.15	0.06	0.18
Plant height	0.26	0.24	0.23	-0.13	0.25	0.09
Crown width	0.27	0.24	0.30	-0.23	0.12	0.07
Basal diameter	-0.22	0.31	0.40	-0.25	0.06	-0.01
Plant density	-0.31	0.08	-0.09	<u>-0.66</u>	-0.57	-0.56

- 5 Notes: Significant correlations ($P < 0.05$) are shown in bold, and very significant
6 correlations ($P < 0.01$) are shown in bold with underlines.
7 Table 7. Spearman correlation coefficients between deep soil moisture (orchard land
8 and forest) and selected environmental variables.

	Apple orchard			Black locust			David peach		
	80-220 cm	220-400 cm	400-500 cm	80-220 cm	220-400 cm	400-500 cm	80-220 cm	220-400 cm	400-500 cm
Altitude	-0.58	-0.25	-0.16	-0.09	-0.07	0.20	-0.16	0.05	0.06
Slope position	0.13	0.34	0.14	-0.21	-0.22	-0.21	-0.32	-0.50	-0.55

Cos (Aspect)	0.04	-0.01	0.35	0.44	0.34	0.22	0.06	0.13	0.30
Tan (Slope)	-0.25	0.26	0.33	-0.17	-0.17	-0.41	-0.16	0.19	0.07
Clay	<u>0.88</u>	0.42	-0.25	0.20	0.13	-0.09	0.33	0.15	0.06
Silt	<u>0.85</u>	0.67	0.08	0.23	0.14	-0.15	0.42	0.42	0.27
Sand	<u>-0.83</u>	-0.67	-0.08	-0.25	-0.14	0.13	-0.46	-0.42	-0.27
Organic matter	0.69	0.38	0.13	0.01	0.02	-0.22	-0.13	-0.12	-0.35
Soil bulk density	-0.64	<u>-0.82</u>	-0.32	-0.23	-0.08	-0.06	-0.27	-0.43	-0.41
Capillary porosity	<u>0.89</u>	0.49	-0.06	0.21	0.14	0.00	0.35	0.52	0.30
Annual average rainfall	0.31	-0.07	-0.38	-0.12	0.26	-0.12	0.16	-0.11	-0.42
Litter biomass	0.24	0.47	0.72	-0.08	0.13	-0.03	0.11	0.08	0.08
Litter max water holding	0.31	0.08	0.33	0.22	0.21	0.20	0.35	0.13	0.27
Vegetation coverage	-0.51	0.10	-0.01	-0.14	0.11	-0.03	-0.35	-0.47	-0.41
Grass biomass	-0.23	0.03	0.39	0.11	0.07	0.30	0.44	<u>0.80</u>	0.55
Grass height	-0.13	-0.17	-0.62	-0.05	0.02	0.08	-0.42	-0.01	-0.01
Plant height	0.23	-0.09	-0.49	-0.42	0.11	0.05	-0.32	-0.11	-0.01
Diameter at breast height	0.64	0.31	0.04	-0.23	-0.03	-0.34	-0.33	-0.24	-0.15
Crown width	0.43	0.29	0.15	-0.25	0.07	-0.07	-0.56	-0.36	-0.29
Basal diameter	0.51	0.22	0.07	-0.27	0.03	-0.25	-0.43	-0.20	-0.07
Plant density	-0.52	-0.20	-0.15	0.09	0.03	0.18	0.04	0.05	-0.08

- 1 Notes: Significant correlations ($P < 0.05$) are shown in bold, and very significant
2 correlations ($P < 0.01$) are shown in bold with underlines.

3 **3.6 Principal component analysis (PCA)**

4 Only the environmental variables that showed significant correlations ($P < 0.05$)
5 with the DSM were retained for Spearman correlation analysis, including 9
6 environmental variables for grassland and farmland (Group 1), 7 environmental
7 variables for shrub land (Group 2), and 15 environmental variables for forestland and
8 orchards (Group 3). Some of these variables were linearly correlated, so the
9 dimensionality of these data sets could be reduced. Principal component analysis was
10 performed following Hu et al. (2010) and Xu et al. (2008) to obtain an MDS of the
11 environmental variables; the results are listed in Table 8. Only principal components
12 (PCs) with eigenvalues $N > 1.0$ and only variables with highly weighted factor loading
13 (i.e., those with absolute values for factor loading within 10% of the highest value) were
14 retained for the MDS (Mandal et al., 2008; Shi et al., 2014). For Group 1, the PCA
15 identified four PCs that comprised 80% of the variance, of which the first three PCs
16 comprised most of this variance (68%). For Group 2, four PCs comprised 84% of the

1 variance. For Group 3, five PCs comprised 75% of the variance. In grassland and
 2 farmland, PC#1 included 3 variables that had highly weighted factor loadings,
 3 including clay, silt, and sand, which indicates that the soil particle composition was the
 4 most important factor that influenced variations in the DSM. For PC#2, PC#3, and
 5 PC#4, only one variable for each principal component had a high factor loading: the
 6 slope aspect, annual average rainfall, and soil bulk density, respectively. In shrub land,
 7 the highly weighted factor loadings of PC#1 were silt and sand, while the altitude and
 8 plant density were the highly weighted factor loadings for PC#2. For PC#3, only
 9 organic matter had a high factor loading. In forest and orchard land, the diameter at
 10 breast height, basal diameter, and sand content comprised the highly weighted factor
 11 loadings of PC#1. The capillary porosity was the only variation that constituted the
 12 highly weighted factor loadings of PC#2. Four variations for PC#3 were highly
 13 weighted: clay, silt, soil bulk density, and litter max water holding. For PC#4 and PC#5,
 14 only one variable had a high factor loading: the slope aspect and slope gradient,
 15 respectively.

16 Table 8. Principle component analysis (PCA) of environmental attributes.

Principal component	Group 1: Grassland and farmland				Group 2: Shrub land			Group 3: Orchard and forest				
	PC ^a #1	PC #2	PC #3	PC #4	PC #1	PC #2	PC #3	PC #1	PC #2	PC #3	PC #4	PC #5
Eigenvalue	3.58	1.45	1.13	1.05	2.20	1.88	1.01	4.51	2.62	1.80	1.17	1.08
% of variance	39.75	16.07	12.50	11.71	31.47	26.91	14.48	30.09	17.49	11.97	7.78	7.23
Cumulative %	39.75	55.82	68.32	80.04	31.47	58.38	72.85	30.09	47.57	59.54	67.32	74.54
Factor loading/eigenvector												
Annual average rainfall	0.21	-0.50	0.71	0.06	-0.39	-0.59	-0.39					
Altitude	-0.46	0.23	0.53	0.51	0.24	0.83	0.05					
Slope aspect	-0.16	0.81	0.24	-0.07				-0.06	-0.05	0.21	0.64	-0.10
Slope gradient	0.64	-0.43	-0.04	-0.23				0.55	0.22	0.07	0.01	-0.70
Clay	0.86	0.25	-0.13	0.15				0.64	-0.44	-0.50	-0.03	-0.04
Silt	0.93	0.27	0.05	0.09	-0.94	0.16	0.27	0.68	-0.44	-0.45	0.28	0.08
Sand	-0.94	-0.27	-0.02	-0.11	0.95	-0.16	-0.24	-0.77	0.39	0.37	-0.23	-0.17
Organic matter	-0.48	-0.03	-0.50	0.49	0.15	-0.53	0.70	0.54	-0.23	0.28	-0.16	-0.12
Soil bulk density	-0.41	0.31	0.07	-0.67				-0.25	0.61	-0.49	0.29	-0.05
Capillary porosity								0.38	-0.74	0.22	-0.37	-0.13
Litter biomass								0.54	0.43	-0.29	-0.04	0.20
Litter max water holding					0.42	-0.27	0.45	-0.24	-0.48	0.46	0.31	0.50
Grass biomass								0.27	0.49	-0.17	-0.37	0.39

Plant height	0.69	0.31	0.34	-0.22	0.24
Diameter at breast height	0.80	0.34	0.31	0.14	0.05
Crown width	0.39	0.20	0.43	0.22	-0.09
Basal diameter	0.75	0.37	0.26	0.18	0.07
Plant density	0.10	0.77	0.22		

Notes: ^a PC refers to principal components. Significant correlations ($P < 0.05$) are shown in italics, and very significant correlations ($P < 0.01$) are shown in bold. The factor loadings in bold are considered highly weighted when within 10% of the variation of the absolute values of the highest factor loading in each PC.

In total, 6 out of 9 environmental variables for grassland and farmland (group 1), 5 out of 7 for shrubs (group 2), and 10 out of 15 for forests and apple orchards (group 3) were selected as MDS variables. Moreover, the MDS variables for each vegetation type were selected (Table 9). At the watershed scale, the main influencing factors of variations in the DSM under native grasslands were the soil particle composition (clay, silt, and clay content) and average annual rainfall. In farmland, the dominant influencing factors were the clay content and soil bulk density. The main influencing factors for introduced vegetation types were more complex; apart from the soil texture and physical characteristics, topographical factors and vegetation traits also strongly affected variations in the DSM. Moreover, the main influencing depth ranges of different environmental factors varied with vegetation types. For example, the soil particle size composition in native grasslands and apple orchard land mainly influenced the DSM at 80-220 cm, while the most significant influencing depths in pasture grassland were 220-400 cm. These results indicate that vegetation coverage or human management measures can alter the depths of the environmental factors that influence the DSM.

Table 9. Minimum data set of environmental variables.

Vegetation types	Influencing variables
Native grasses	Cl ^a , Sl, Sa, AAR
Farmland	Cl, SBD
Apple orchard	SG, Cl, Sl, Sa, SBD, CP
Pasture grasses	SA, Sl

Sea buckthorn	Al, Sl, Sa, PD
<i>Caragana korshinskii</i>	Al, Sl, OM
Black locust	SA, SG, DBH
David peach	Cl, Sa, BD, LMWH

1 Note: ^a Cl, SA, SG, Sl, Sa, OM, CP, SBD, DBH, BD, and LMWH refer to clay, slope
 2 aspect, slope gradient, silt, sand, organic matter, capillary porosity, soil bulk density,
 3 diameter at breast height, basal diameter, and litter max water holding, respectively.

4 **4 Discussion**

5 **4.1 Variation characteristics of deep soil moisture at the watershed scale**

6 The variations in the DSM at the watershed scale varied with the soil depth (Fig. 3
 7 and Fig. 5). At a soil depth of 80-220 cm, the influence of soil evaporation was
 8 relatively weak; rainfall infiltration could be stored in soil without strong consumption
 9 from vegetation, and rainfall infiltration decreased as the soil depth increased.
 10 Meanwhile, the soil layer at 220-400 cm was less influenced by rainfall infiltration;
 11 thus, the DSM remained constant as the soil depth increased. Soil depths below 400 cm
 12 comprised a deep stable DSM storage layer (Fig. 5). However, the existence of deep
 13 rooted vegetation and human agricultural management measures altered the vertical
 14 DSM distribution rules, resulting in more complex variations (Fig. 3). The highest
 15 variations in the DSM at this watershed occurred at 100-120 cm and 480-500 cm.
 16 However, the DSM was lowest in the 120-140 cm layer and had high variation. This
 17 result is inconsistent with previous studies, which reported that high variations usually
 18 appear with higher DSM and decrease when the DSM decreases (Ibrahim and Huggins,
 19 2011). This result likely occurred because the most serious soil desiccation occurred in
 20 this layer for all the introduced vegetation types (Fig. 6), increasing their difference
 21 with native grasses and human management vegetation types and eventually resulting
 22 in high variation. While the high variation at 400-500 cm may have been caused by the
 23 different water consuming capacities of different vegetation types, this depth range is
 24 rarely influenced by rainfall event infiltration and soil evaporation (Chen et al., 2008b;
 25 Wang et al., 2009).

1 The DSM variation traits also varied with the vegetation types. The DSM variations
2 in native grassland were relatively low and stable. Usually, the roots of native grasses
3 are distributed at depths of 0-50 cm (Han et al., 2009). Thus, the DSM below this depth
4 is seldom influenced by vegetation transpiration, and local controls, such as
5 topographic factors, soil factors, and climate conditions, may contribute to variations
6 in the DSM. The DSM and its variation in farmland were higher than those in native
7 grassland, which indicates that human agricultural measures can greatly increase DSM
8 and its variation. The DSM for introduced vegetation was significantly lower than that
9 in native grassland, which indicates that soil desiccation occurred for all the introduced
10 vegetation. Moreover, different introduced vegetation showed different soil desiccation
11 traits (Fig. 6). This result is different from that of a previous study (Yang et al., 2012c),
12 which reported that no significant differences existed among different introduced
13 vegetation. This difference was probably caused by differences in the annual
14 precipitation; the mean annual precipitation of Yang's study area was 386 mm, which
15 is far less than that in our study area (505 mm). This lower annual precipitation resulted
16 in plants not receiving enough water, eventually leading to more homogeneous soil
17 desiccation among the different introduced vegetation. Among the selected introduced
18 vegetation types, *Caragana korshinskii* consumed the most water (Fig. 4), partially
19 disagreeing with most previous studies (Wang et al., 2009; Wang et al., 2010c; Wang et
20 al., 2011b; Yang et al., 2012c), which reported that forest consumes more DSM than
21 shrub land. This discrepancy may have been related to the higher planting density of
22 CK in our study area. Moreover, the main difference in soil desiccation under
23 introduced vegetation occurred at 80-220 cm and 400-500 cm (Table 4, Fig. 6), which
24 contributed to the higher variations in DSM in these two layers (Fig. 4).

25 **4.2 Mechanisms of deep soil moisture variability**

26 Variations in DSM are the combined result of topographic factors, soil factors,
27 vegetation factors, and climate conditions. In this study, the vegetation coverage was
28 an important factor that influenced variations in the DSM. The effect of vegetation on
29 the DSM is shown in many aspects. First, the DSM consumption in vegetation coverage

1 zones is usually higher than that in zones that lack vegetation coverage because of the
2 existence of a root system (Savva et al., 2013), and different root systems determine
3 different DSM consumption traits for various vegetation types (Fig. 6). Introduced
4 vegetation with a deep root system consumes more and deeper DSM than farmland and
5 native grasses (Table 4). Individual vegetation growth conditions and planting density
6 can also influence variations in the DSM. For example, the DSM in BL showed negative
7 correlations with the plant height and diameter at breast height, while SB showed
8 negative correlations with the plant density (Tables 6 and 7). This phenomenon
9 indicates that the individual growth conditions in the deeper root systems of forests
10 mainly explain the DSM consumption, while the planting density mainly accounts for
11 the DSM consumption in the shallower root systems of shrubs. In addition to DSM
12 consumption, canopy interception and surface coverage systems can positively affect
13 the DSM (Martínez-Fernández and Ceballos, 2003; Starks et al., 2006). In this study,
14 the litter biomass, water holding capacity, and forest grasses all showed different
15 degrees of significant positive correlations with the DSM for different vegetation types
16 (Tables 5, 6, and 7), probably because thick litter, humus layers and forest grasses can
17 reduce surface runoff, which may help retain more rainfall for infiltration into deep soil
18 layers. Additionally, these factors can reduce soil evaporation, which may decrease
19 DSM consumption (Vivoni et al., 2008).

20 Climate factors that affect DSM are mainly determined by differences in rainfall
21 infiltration and solar radiation (Savva et al., 2013). According to previous studies, DSM
22 is relatively stable compared to the shallow layer, especially at depths below 200 cm.
23 For example, Chen et al. (2008b) found that rainfall only affects depths of 0-200 cm
24 during drought years. According to six years of observation in this region (Wang et al.,
25 2009), no significant changes in soil moisture occurred below 200 cm. Thus, soil
26 moisture in deeper layers is seldom influenced by rainfall events. However, the DSM
27 in this study (80-220 cm in *Caragana korshinskii* and 220-400 cm in native grasslands)
28 showed significant positive correlations with the six-year average annual rainfall,
29 which indicates that DSM may be a long-term result of a water budget surplus.

1 Topography is another important factor that greatly affects the redistribution and
2 consumption of DSM (Qiu et al., 2001; Zhu et al., 2014b). The slope position, altitude,
3 and slope gradient mainly affect the lateral flow of soil moisture. Lower positions or
4 latitudes usually have higher soil moisture contents (He et al., 2003; Zhu et al., 2014a),
5 while the slope gradient usually shows a negative correlation with the soil moisture
6 content; thus, a steep slope usually has a lower soil moisture content than a gentle slope
7 (Kim et al., 2007). Different slope aspects are usually caused by changes in solar
8 radiation (Yang et al., 2012b), resulting in different rates of soil moisture evaporation.
9 Thus, the soil moisture content on a sunny slope is usually lower than that on a shady
10 slope (Zhao et al., 2007; Wang et al., 2008a). In this study, the altitude had a negative
11 correlation with the DSM; the slope gradient showed significant positive correlations
12 with the DSM in grasslands (220 cm-500 cm), while significant negative correlations
13 were found in black locust (400-500 cm). These results indicate that introduced
14 vegetation can alter the topographic factors' influence on DSM variations. This result
15 was also verified by Yang et al. (2012b), who found that introduced vegetation can lead
16 to homogeneity in the DSM. This relationship was true for the slope aspect, which only
17 showed a positive correlation with the DSM in pasture grasses (400-500 cm) and black
18 locust (80-400 cm).

19 Moreover, different soil traits determine different water transmission and
20 conservancy characteristics, which may greatly influence the flow or storage of water
21 in soil (Western et al., 2004). For example, Gómez-Plaza et al. (2001) found that the
22 soil capillary porosity has a significant relationship with the soil moisture in wet areas.
23 Meanwhile, Vachaud et al. (1985) found that the soil texture, especially clay content, is
24 an important factor influencing soil moisture variation. Additionally, soil layers with
25 higher clay content usually have higher soil moisture (Ojha et al., 2014). The DSM in
26 the Loess Plateau is mainly determined by land surface rainfall infiltration and
27 evapotranspiration. Surface soil properties usually have a greater influence on surface
28 rainfall infiltration and evaporation compared to deep soil properties; thus, we mainly
29 analyzed the influence of surface soil properties on DSM. The result indicated that the

1 soil particle composition was an important factor influencing variations in DSM at the
2 watershed scale. Both the clay and silt content showed significantly positive
3 correlations with the DSM, and the sand content showed a negative correlation with the
4 DSM for most vegetation types. However, the soil bulk density and capillary porosity
5 only showed significant correlations with the DSM in farmland (80-220 cm) and apple
6 orchards (80-400 cm). This result indicates that human agricultural management
7 measures or other factors that result in lower soil bulk density and higher capillary
8 porosity conditions can significantly improve the infiltration capacity and thus increase
9 the DSM.

10 **4.3 Implications for land use management and vegetation recovery**

11 A balance between soil water availability and water utilization by plants is crucial
12 to maintaining ecosystem health, particularly in the arid and semi-arid regions of the
13 Loess Plateau. The implementation of the “Grain to Green Program” has effectively
14 controlled soil erosion (Chen et al., 2010; Wang et al., 2015). However, according to
15 this study, soil desiccation occurred in almost all the introduced vegetation, while
16 higher soil moisture contents were found in native grassland and farmland (Fig. 6).
17 These phenomena indicate that the improper selection of vegetation type is a dominant
18 reason for soil desiccation in this area. Thus, more attention should be paid to the
19 selection of vegetation types based on the interactions between soil moisture and
20 vegetation. Among these selected vegetation types, CK and BL caused the most serious
21 soil desiccation (Figs. 4 and 6); thus, these two types are especially unsuitable for large-
22 scale plants in the study area, while SB, PG, and DP can be properly planted under good
23 soil moisture conditions with suitable planting density and human management
24 measures.

25 Furthermore, proper planting locations should also be considered based on DSM
26 conditions. Annual average rainfall spatial variations can significantly influence DSM
27 conditions (Tables 5 and 6). Thus, the annual average rainfall is another important factor
28 for determining planting locations. Vegetation enclosure and natural restoration may be
29 good choices in lower rainfall zones, while shrubs and forests could be rationally

1 arranged in higher rainfall zones. DSM was not evenly distributed even within the same
2 rainfall regions: lower altitudes (such as a gully bottom or lower slope) usually had
3 higher DSM (Tables 5 and 6), while the DSM of native grasslands along steeper slopes
4 was higher than that along gentle slopes (Table 5). Thus, shrubs or trees with high water
5 consumption capacities can be arranged at these locations. Native grass and low-
6 moisture-consuming shrubs can be arranged at higher altitudes or upper slopes, where
7 the DSM is lower.

8 The results of this study also indicate that human agricultural management
9 measures can effectively improve DSM conditions. The DSM of farmland was the
10 highest among the selected vegetation types (Fig. 4); even though introduced vegetation
11 has deep root systems, no soil desiccation was found in apple orchards (Fig. 6). Most
12 of the farmlands that we surveyed were level terraces and back-slope level benches with
13 cultivation practices, while apple orchards were equipped with artificial rainwater
14 gathering measures. All these agricultural measures can significantly increase rainwater
15 infiltration, eventually resulting in higher DSM in these vegetation zones. Moreover,
16 forest grasses, litter biomass, and litter max water holding showed significant
17 correlations with the DSM in this study (Tables 6 and 7). Thus, increasing the land
18 surface cover (such as crop straw coverage and mix-sowing shrubs and grass) can be
19 another effective measure to improve DSM recharge. Likewise, vegetation control
20 (when artificial forests and shrubs are mature, the density should be reduced according
21 to deep soil water conditions) may be an effective measure to reduce soil desiccation
22 because the plant density has a significantly negative correlation with the DSM.

23 **5 Conclusions**

24 An analysis of the mean, SD, and CV values of the DSM at the watershed scale
25 indicated that variations in the DSM changed with the soil depth and vegetation types.
26 Higher variations in DSM occurred at two depth ranges, namely, 120-140 cm and 480-
27 500 cm, while variations in native grasses in the horizontal direction were far lower
28 than those of farmland, apple orchards, and introduced vegetation at comparable depths.
29 The DSM profile of local control natural grassland could be divided into three layers

1 based on the DSM profile distribution and its variation characteristics, namely, (I) a
2 rainfall transpiration layer (80-220 cm), (II) a transition layer (220-400 cm), and (III) a
3 stable layer (400-500 cm), which can reflect the influencing depths of rainfall
4 infiltration and evapotranspiration for DSM. Soil desiccation occurred for almost all the
5 vegetation types; among them, CK and BL were the most serious, which indicated that
6 these plants were not suitable for large-scale planting in this area. Moreover, rainfall
7 transpiration layer I had the most serious desiccation layer. The high DSM in farmland
8 and apple orchards indicated that human management measures could greatly improve
9 DSM, even for deep-rooted apple orchards, in which no soil desiccation was found.
10 Although the vegetation type was a dominant factor, the variations in the DSM in this
11 area were actually the combined result of the climate, vegetation, topography, soil, and
12 human management measures. The DSM in native grassland, which could reflect local
13 DSM conditions without human disturbance or soil moisture overconsumption, was
14 found to be significantly related to the topography, soil traits and annual average rainfall.
15 The plant growth conditions, planting density, and litter water holding traits showed
16 significant relationships with the DSM for introduced vegetation. Human management
17 measures greatly increased the influence of soil traits on the DSM in farmland and
18 orchards, which increased rainfall infiltration and improved the DSM. Proper selection
19 of the vegetation type, planting locations, and landscape management measures can be
20 suggested based on the results of this study; considering the high DSM consumption
21 capacity, CK and BL are unsuitable for large-scale planting in the study area, while SB,
22 PG, and DP can be properly planted under good soil moisture conditions with suitable
23 planting density and human management measures. Areas with good DSM conditions
24 usually include higher rainfall zones and lower altitudes, while human management
25 measures, such as macro-terrain reconstruction, artificial rainwater gathering, increased
26 land surface cover and vegetation density control, are effective methods to control soil
27 desiccation. The results of this study are of practical significance for vegetation
28 restoration strategies and the sustainability of restored ecosystems.

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