Responses to the Editor and Reviewers:

We thank the editor and reviewers very much for the time they spent evaluating our manuscript and providing constructive comments. Their detailed comments helped us to further improve the quality of this manuscript to meet the standard of HESS journal. We have gone through all the comments and amended the original manuscript based on the suggestions and comments. In the following pages we provide point-by-point responses to the editor's and reviewers' comments. Please refer to the attached manuscript with track-changes mode for further details.

Responses to the Editor:

Editor: Both of the reviewers found that there had been significant improvements in the manuscript, but that important work remained to be done concerning the quality of the presentation. I am therefore returning the manuscript for further revision so that this new round of comments can be used to bring the standard of the presentation in line with the expectations of the journal.

Authors: We thank the editor for giving us a valuable chance to further improve this manuscript and thank the reviewers for giving details comments and suggestions for this manuscript. We have gone through all the comments and amended the original manuscript based on the suggestions and comments. To improve the quality of presentation, we have carefully condensed and revised the language throughout the manuscript. In addition, the language in our manuscript has been polished by a native English speaker.

Editor: Reviewer #1 has particularly detailed comments that can be of help in addressing issues that detract from reader's ability to readily grasp and appreciate the points being made by the manuscript.

Authors: We thank the Reviewer #1 for giving detailed comments to help us improve the presentation quality of this manuscript. Based on the comments of Reviewer #1, we have carefully revised the manuscript. To improve the readability of this manuscript, the language in our manuscript has been polished by a native English speaker.

Editor: Reviewer #3 has more general comments that will require more work to address, but are still of great value. These include identifying how your work complements or changes the state of the science in relation to what earlier work has shown. And while it is a great step forward to have used remote sensing data to infer the temporal variability in soil moisture, the inferred soil moisture status should be compared to the direct observations of soil moisture and groundwater where available.

Authors: We thank the Reviewer #3 for giving valuable suggestions on this manuscript. We have carefully revised the manuscript according to the comments of Reviewer #3.

To figure out the gaps of studies and highlighted the novelty of our study, we rewrote the

Introduction section. The gaps of previous study were: 1) lack of study that accurately delineate the temporal variation of desert riparian forest; 2) lack of research that incorporates the spatial and temporal variation of desert riparian forest due to the scale inconsistent between spatial and temporal data. The novelty of this study were: 1) accurately delineate the temporal variation of Heihe desert riparian forest along different distances from river channel based on high resolution images; 2) explore both the spatial distribution and temporal variation of Heihe desert riparian forest as well as their influencing factors. Please see Page 5 Line 11-21 in the Introduction section for details: "While large-scale remote sensing data (e.g., MODIS-NDVI, SPOT-NDVI) could capture the general trend of the whole study area, they fail to accurately delineate the temporal variation of desert riparian forest vegetation at the fine scale (i.e., <100 m). However, community variation is a result of long-term interactive effects between vegetation and the environment, influenced by both spatial heterogeneity and temporal variation factors during ecological restoration (Zhu et al., 2013; Xi et al., 2016). Until recently, very few studies have incorporated both spatial and temporal variation of desert riparian forests into a single study due to the inconsistency in scale between fine field sampling and coarse remote sensing analysis. As desert riparian forest is the main community that maintains ecosystem functions under hyperarid conditions, comprehensive research that simultaneously examines the spatial and temporal variation of vegetation is crucial for the restoration of degraded riparian zones." We also illustrated the novelty of this study in the objectives part at the Introduction section. Please see Page 6 Line 1-4: "(i) explore both the spatial distribution and temporal variation of Heihe desert riparian forest at different distances from the river channel, (ii) disentangle the impacts of spatial heterogeneity in soil properties and temporal variation in water availability on the vegetation community"

The providers of the retrieved remote sensing data validated this dataset in the published paper (Zeng et al., Hydrology and Earth System Sciences, 2016). Comparisons of simulation outputs and observations from automatic weather station systems and water wells demonstrated that CLM_RIV showed considerable ability to reproduce the natural conditions along riverbanks. The soil moisture was well simulated, while the groundwater table was much deeper than the observation groundwater. Based on the validation by the providers, we further corrected the simulated groundwater table by using the monitoring groundwater data along a groundwater monitoring transect. We added the validation of the retrieved remote sensing data in the Supplement. Please see Please see Page 3-5: "S4 Validation of the retrieved remote sensing data" in the Supplement.

Responses to the Reviwer#1:

Major issues

Reviewer: I reviewed an earlier version of this MS and find this revision much improved. The authors implemented several of my suggestions, gathering a large amount of spatial and long-term data (NDVI and soil moisture) to support their conclusions. However, the manuscript remains in places inconsistent, incomplete, or unclear, and language must be improved (as of now, it is not up to the standards of HESS).

Authors: We thank the reviewer for giving us constructive suggestions to improve the quality of this manuscript during these two rounds of review. Following the reviewer's comment on the inconsistent, incomplete and unclear expression in this manuscript, we have carefully revised the language throughout the manuscript and invite a native English speaker to polish the whole manuscript.

Reviewer: The accuracy of the added remotely sensed soil moisture data needs to be stated to assess if patterns are significant. My comments are listed below (I did not report all the numerous language issues).

Authors: Following the reviewer's suggestion, we added the validation of remotely sensed soil moisture data in the Supplement. Please see Page 3 Line 21- Page 4 Line 9: "S4 Validation of the retrieved remote sensing data" in the Supplement: "1) Validation result of soil moisture. The provider of the retrieved remote sensing dataset used observation data from the automatic weather station (AWS) system of the Bajitan Gobi Desert station in the middle of Heihe River to validate the simulation of soil moisture. The result showed that the variation pattern of soil moisture was similar to the observation. Although surface soil moisture simulation had positive bias in spring and winter, the simulation could generally capture the peak value of soil moisture induced by rain events in summer. Please refer to Figure 6 (c) in the paper of Zeng et al (Zeng et al., Hydrology and Earth System Sciences, 2016; www.hydrol-earth-syst-sci.net/20/2333/2016/). In our manuscript, we mainly emphasized on the depiction of temporal variation of soil moisture and the vegetation growth was mainly affected by soil moisture condition in summer. Thus considering that the remote sensed soil moisture data could well reflect the temporal variation pattern and water condition across different distances from river channel in the vegetation growing season, we used this remote sensed soil moisture data to depict the temporal variation of soil moisture in this manuscript (Fig. S6 a, b)."

We added the relevant explanation of validation of data in the Method section, Please see Page 10 Line 8-9 in the manuscript: "The validation of the retrieved remote sensing data is provided in the Supplement S4". As there were still deviations between simulated data and observed data, we added the possible influence of the remotely sensed soil moisture data accuracy on the result of our study in the Discussion section. Please see Page 31 Line 5-8 in the manuscript: "In addition, the deviations between simulations and observations could also partly account for the weak correlations between variability of soil moisture, groundwater and community characteristics as the variation of soil moisture and groundwater was derived from retrieved remote sensing dataset."

We have carefully checked throughout the manuscript and revised the all language issues. And then, the language in our manuscript has been polished by a native English speaker. We hope the revised manuscript can up to the standards of HESS journal.

Minor issues:

Reviewer: P2, L15: I would report the 43.5% of the total variance explained, rather than the fraction of explained variance captured by spatial heterogeneity factors. The 43.5% figure better represents the overall explaining power.

Authors: As we analyze the influencing factors of vegetation distribution pattern and temporal variation separately, we rewrote this sentence. Please see Page 2 Line 15-20 in the manuscript: "The spatial distribution of desert riparian forest was mainly influenced by the spatial heterogeneity of soil properties (e.g., soil moisture and soil physical properties), while the temporal variation of vegetation was affected by both the spatial heterogeneity of soil properties (e.g., soil moisture and soil particle composition) and the temporal variation of water availability (e.g., annual average and variability of groundwater, soil moisture and runoff)."

Reviewer: P2, L17: missing word "35.9% of the total..."

Authors: As we rewrote the abstract, we delete this sentence. Please see Page 2 Line 23 in the manuscript.

Reviewer: P2, L19: add that the 100 cm moisture is obtained from remote sensing products

Authors: We revised this sentence carefully according to the reviewer's suggestion. Please see Page 2 Line 24-29 in the manuscript: "Since surface (0-30 cm) and deep (100-200 cm) soil moisture and bulk density and the annual average of soil moisture at 100 cm obtained from the remote sensing data were regarded as major determining factors of community distribution and temporal variation, conservation measures that protect the soil structure and prevent soil moisture depletion (e.g., artificial soil cover and water conveyance channels) were suggested to better protect desert riparian forests under climate change and intensive human disturbance."

Reviewer: P3, L25: check verb tenses.

Authors: We checked the verb tenses of this sentence according to the reviewer's suggestion. Please see Page 4 Line 4-7 in the manuscript: "Previous studies showed that species diversity peaked where the groundwater depth was approximately 2-4 m before it started to decrease when groundwater dropped below 4-4.5 m and soil moisture limitation occurred (Li et al., 2013)."

Reviewer: P4, L6: soil moisture is water, so writing "Apart from water... soil moisture..." seems contradictory.

Authors: We revised this contradictory expression. Please see Page 4 Line 18-20 in the manuscript: "Apart from groundwater, soil properties, such as soil moisture, soil physical and soil chemical properties also shape the community characteristics by influencing the

ecological and hydrological processes"

Reviewer: P4, L11: "another study"

Authors: As we rewrote the Introduction, we delete this sentence. Please see Page 4 Line 23 in the manuscript.

Reviewer: P4, L12: sentence structure does not work "with... exerted"?

Authors: According to the reviewer's suggestion, we revised the grammatical error. Please see Page 4 Line 24-28 in the manuscript: "As different depths of soil moisture exert different impacts on vegetation (D'Odorico et al., 2007; Fang et al., 2016), a decline in soil moisture would reduce the abundance of tree and herb species, resulting in a community shift towards drought-tolerant vegetation types with distance from the river channel (Zhu et al., 2014)."

Reviewer: P7, L9: "water conveyance was delivered" (?)

Authors: We revised the grammatical error according to the reviewer's suggestion. Please see Page 8 Line 13 in the manuscript: "Our field survey was conducted in July 2015 after the ecological water conveyance was delivered".

Reviewer: P7, L16 and elsewhere: gradients should include measurement points at different distances. Here it seems you are referring to zones or bands at a given distance from the river.

Authors: We thank the reviewer for pointing out the unclear expression. We measured points at different distances in field experiment, thus we referred to gradients instead of band in the field sampling. To make it clear, we replaced "gradients" with "distances from the river channel" and carefully checked the unclear expression throughout the manuscript. Please see Page 8 Line 19- Page 9 Line 2 in the manuscript: "We conducted vegetation and soil sampling perpendicular to the river channel and sampled at distances of 100 m, 500 m, 1000 m, 1500 m, 2000 m, 2500 m, and 3000 m from the river channel. Five replicates were sampled at each distance from the river channel and a total of 35 sampling sites were established."

Reviewer: P7, L25: try to be specific "number of herb species" or "number of individual plants"?

Authors: We revised this sentence carefully according to the reviewer's suggestion. Please see Page 9 Line 9-11 in the manuscript: "Four $(2 \text{ m} \times 2 \text{ m})$ herb quadrats were established at each corner of the tree or shrub quadrat to collect data on the number of herb species, vegetation cover and height"

Reviewer: Section 2.3: clarify which product you are using for the soil moisture fields and the expected accuracy. Without that, it is hard to trust the observed trends.

Authors: We added the resource of the retrieved remote sensing data product in the "2.3 Temporal data collection and processing". Please see Page 10 Line 2-9 in the manuscript: "The variable environmental factors, such as 2 cm soil moisture, 100 cm soil moisture and groundwater at each site during the research period, were extracted from the remotely sensed data with 1000 m resolution (doi:10.3972/heihe.0034.2016.db) using the land model CLM4.5 based on the high-resolution ASTER DEM dataset, the multi-source integrated Chinese land cover map (MICLCover), the Heihe watershed allied telemetry experimental research land cover map (HiWATER Land Cover Map), and the China soil characteristics dataset (Zeng et al., 2016). The validation of the retrieved remote sensing data is provided in the Supplement S4."

As to the accuracy of the soil moisture data, the providers of the dataset validated this dataset in the published paper (Zeng et al., Hydrology and Earth System Sciences, 2016). The result showed that soil moisture derived from the retrieved remote sensing data could well reflect the temporal variation pattern. We added the validation of remote sensed soil moisture in the Supplement. Please see Page 3 Line 21- Page 4 Line 9: "S4 Validation of the retrieved remote sensing data" in the Supplement: "1) Validation result of soil moisture. The provider of the retrieved remote sensing dataset used observation data from the automatic weather station (AWS) system of the Bajitan Gobi Desert station in the middle of Heihe River to validate the simulation of soil moisture. The result showed that the variation pattern of soil moisture was similar to the observation. Although surface soil moisture simulation had positive bias in spring and winter, the simulation could generally capture the peak value of soil moisture induced by rain events in summer. Please refer to Figure 6 (c) in the paper of Zeng et al (Zeng et al., Hydrology and Earth System Sciences, 2016; www.hydrol-earth-syst-sci. net/20/2333/2016/). In our manuscript, we mainly emphasized on the depiction of temporal variation of soil moisture and the vegetation growth was mainly affected by soil moisture condition in summer. Thus considering that the remote sensed soil moisture data could well reflect the temporal variation pattern and water condition across different distances from river channel in the vegetation growing season, we used this remote sensed soil moisture data to depict the temporal variation of soil moisture in this manuscript (Fig. S6 a, b)."

Reviewer: Also, how was soil moisture sampled in 2013-2015? Same sampling regime as in the present study?

Authors: The soil moisture sampled in 2013-2015 was not derived from the field sampling as the soil gravimetric water content sampled in the 2015. The soil moisture sampled in 2013-2015 was soil volumetric water content that recorded in a riparian forest site (101°8′1″E, 41°59′25″N) using micrometeorological sensors. This monitoring data was used for proving that soil moisture under 20 cm was relatively stable and could represent water condition at the sampling site in the Supplement ("S2 The diurnal and annual variation of soil moisture at different soil depth in desert riparian forest site."). We added the resource of this soil moisture data in the Method section. Please see Page 10 Line 15-19 in the manuscript: "The diurnal and annual variations of soil moisture were obtained from the 2013-2015 monitoring data of

soil moisture, recorded at 0.5 Hz, with 10 min averages through a suite of micrometeorological sensors (CR800, CR23X, CR23XTD, Campbell Scientific Inc.) that installed in Heihe riparian forest (101°8′1″E, 41°59′25″N)(doi:10.3972/hiwater.241.2015.db; doi:10.3972/hiwater.318.2016.db) (Liu et al., 2011)."

Reviewer: P9, L3: as stated, RH and RC are defined in the same way – do they differ or not?

Authors: We thank the reviewer for pointing out the expression error. Actually, RH referred to the relative height, we revised this error. Please see Page 11 Line 3-4 in the manuscript: "where *RDen* is the relative density, *RDom* is the relative dominance, RH is the relative height and *RC* is the relative coverage."

Reviewer: Figure 3, legend: "Unchanged"

Authors: We revised the grammatical error according to the reviewer's suggestion. Please see Page 16 Figure 3 in the manuscript.

Reviewer: P14, L10: sentence structure does not work "with... were"?

Authors: Following the reviewer's suggestion, we rephrased this sentence. Please see Page 17 Line 6-9 in the manuscript: "The NDVI decreased with distance from the river channel, peaked at 100 m and 500 m from the river channel, and reached the lowest values at the furthest distance from the river channel (3000 m) (Fig. 5a)."

Reviewer: Figure 5(b): units are missing. Values suggest that this NDVI change rate is not simply calculated as the difference between NDVI values between two years. Please clarify what is shown on the y-axis.

Authors: We thank the reviewer for pointing out this mistake. We recalculated the NDVI change rate based on the equation as follows: NDVI change rate = (NDVI at the year of 2014—NDVI at the year of 2000)/ NDVI at the year of 2000*100%. We add the unit (%) at y-axis and revised the Figure 5(b). Please see Page 18 Figure 5 in the manuscript. In addition we added the calculation of NDVI change rate at the Method section. Please see Page 12 Line 12-13 in the manuscript: "The NDVI change rate was calculated based on the percentage change of NDVI from 2000 to 2014."

Reviewer: P17, L2: "gradients" or "bands" at a given distance?

Authors: We derived the NDVI from the sampling points at different distances instead of the average value of a band. To make it clear, we replaced "gradients" with "distances from the river channel" in this sentence. Please see Page 8 Line 2-4 in the Supplement: "The depth of groundwater table increased consistently across different distances from the river channel since 2000, following the downstream runoff which more than doubled during the research period, from $2.07 \times 108 \text{ m}^3$ in 2000 to $5.11 \times 108 \text{ m}^3$ in 2014."

Reviewer: Figure 8, caption: "Pearson correlation coefficient"

Authors: We revised the grammatical error according to the reviewer's suggestion. Please see Page 22 Line 13-14 Figure 6 in the manuscript: "Pearson correlation coefficients of NDVI-runoff and one-year lag NDVI-runoff at different distance from the river channel."

Reviewer: Section 3.5: not clear how spatial and temporal data have been integrated. In Table 3, the fractions of explained variance in space and time are combined, yielding the odd result that adding explanatory variables (i.e., adding temporal factors to the spatial factors) decreases the fraction of explained variance. Overall this part of the results is not clear. Also check the number of significant digits in Table 3.

Authors: We thank the reviewer for pointing out this unclear expression. Actually, the "a+b" in the Table 3 means the variation that jointing explained by the temporal factors to the spatial factors instead of the total variation that explained by the temporal factors to the spatial factors. To make it clear, we replaced the "a+b" with "c" and added the notation below the Table 3. Please see Page 24 Table 3 and Page 24 Line 3-4 in the manuscript: "c: the variation that jointly explained by group a and b". We also drew a sketch map to illustrate the meaning of a, b, c in Table 3 as supplement. Please see the Page 8-9 in the Supplement: "S6 The sketch map explaining the meaning of a, b, c in Table 3".

In addition, we checked the number of significant digits and kept at most three digits in Table 3. Please see Page 24 Table 3.

Reviewer: P21, L4: soil moisture is higher around 1000 m from the river because the soil has finer texture. This pattern has not to do with the available amount of water, but it is caused by using volumetric or gravimetric moisture content data across a texture gradient.

Authors: Following the reviewer's suggestion, we rewrite this sentence and deleted the depiction of water availability. Please see Page 25 Line 5-8 in the manuscript: "They increased the soil water holding capacity and improved the gravimetric moisture content in the upper soil layer, which provided suitable habitat for the growth of diverse herb species with shallow rooting systems (Liu et al., 2008)."

Reviewer: P21, L11: fine particles are expected to decrease the infiltration capacity, not increase it (saturated hydraulic conductivity is lower in fine-textured soils)

Authors: We thank the reviewer for pointing out this mistake. We replaced the "soil infiltration capacity" with "soil water holding capacity". Please see Page 25 Line 18-21 in the manuscript: "The presence of fine soil particles increased soil water holding capacity and soil nutrients around the shrub patches ('fertile islands') (Ravi et al., 2010), allowing the growth of some xerophytic herbs and increasing the level of diversity in this region (Stavi et al., 2008)."

Reviewer: P22, L2: check sentence structure "elevate of groundwater"?

Authors: As we rewrote the Discussion section, we deleted this sentence. Please see Page 28 Line 1 in the manuscript: "e.g., increased surface soil moisture and a higher groundwater table".

Reviewer: P23, L10: "soil nutrients"

Authors: We revised the grammatical error and we checked the error carefully throughout the manuscript. Please see Page 27 Line 1-6 in the manuscript: "Soil with a low bulk density usually had high water and nutrient holding capacity associated with fine soil particles as opposed to soil with high bulk density which often consisted of coarse soil particles (Ravi et al., 2010)."

Reviewer: P23, L16: check sentence structure "vegetation recovery especially herbs"?

Authors: Following the reviewer's suggestion, we rephrased this sentence. Please see Page 27 Line 6-8 in the manuscript: "The latter could induce water stress and limit vegetation growth especially for herb species, which contributed greatly to the community coverage, density and diversity"

Reviewer: P24, L4: "a sharp decrease"

Authors: In order to condense the manuscript, we delete this sentence. Please see Page 31 Line 25 in the manuscript.

Reviewer: P24, L29: tree cover has no significant relation with soil biogeochemical pools, including organic matter (if I interpret correctly Table 1), so the claim on the role of trees is not supported

Authors: We thank the reviewer for pointing out this inconsistent expression. We revised the sentence. Please see Page 32 Line 21-25 in the manuscript: "Different species contributed differently to the ecosystem functions (e.g., trees and shrubs with large crowns mainly contribute to sand fixation, while diverse herbs contributed to biodiversity), maintaining a stable habitat after drought stress and/or human disturbance (Krieger et al., 2001)."

Reviewer: P25, L4: "gradient" or "band"?

Authors: To make it clear, we replaced "gradients" with "distances from the river channel" in this sentence. Please see Page 32 Line 28- Page 33 Line 2 in the manuscript: "In contrast, communities at the other distances from the river channel could easily undergo degradations, such as that at the distance of 500 m from the river channel, indicated by the decreasing NDVI in recent years (Fig. 5a)." To avoid unclear expression, we replaced "gradients" with "distances from the river

channel" throughout the manuscript.

Reviewer: P25, L6: use "change" instead of "destroy"?

Authors: Following the reviewer's suggestion, we revised this sentence. Please see Page 33 Line 2-8 in the manuscript: "While exposure to human disturbance (e.g., tourism, grazing) might potentially change soil physical properties and reduce ecosystem services such as water and soil conservation in this region (Zhao et al., 2012; Daryanto et al., 2013), the projected rise in temperature and drought frequency could lead to degradation in regions that are far from the river channel and experience the limited influence of ecological water conveyance (Si et al., 2005; Zeng et al., 2016)."

Reviewer: P25, L12 and L18: other odd sentences, please re-phrase.

Authors: Following the reviewer's suggestion, we rephrase these sentences. Please see Page 33 Line 2-8 in the manuscript: "While exposure to human disturbance (e.g., tourism, grazing) might potentially change soil physical properties and reduce ecosystem services such as water and soil conservation in this region (Zhao et al., 2012; Daryanto et al., 2013), the projected rise in temperature and drought frequency could lead to degradation in regions that are far from the river channel and experience the limited influence of ecological water conveyance (Si et al., 2005; Zeng et al., 2016)." Page 33 Line 18-21 in the manuscript: "As conservation measures, we suggest building natural channels perpendicular to the river to fully extend the influencing scope of the ecological water conveyance and benefit the regions far from the river bank (Zhang et al., 2011b)."

In addition, we have carefully checked and revised other the language issues throughout the manuscript.

Responses to the Reviwer#3:

Major issues

Reviewer: The authors used one-time field survey data in July 2015 to detect the spatial pattern of community characteristics in desert riparian forest across different distances from the rivers, and NDVI data from 2000-2014 to analyze the temporal variation of desert riparian forests.

My first concern is that the gaps of previous studies to be figured out or the novelty of this study is not explicitly highlighted or addressed.

Authors: We thank the reviewers' suggestion. The gaps of previous study were: 1) lack of study that accurately delineate the temporal variation of desert riparian forest; 2) lack of research that incorporates the spatial and temporal variation of desert riparian forest due to the scale inconsistent between spatial and temporal data. The novelty of this study were: 1) accurately delineate the temporal variation of Heihe desert riparian forest along different distances from river channel based on high resolution images; 2) explore both the spatial

distribution and temporal variation of Heihe desert riparian forest as well as their influencing factors.

To figure out the gaps of studies and highlighted the novelty of our study, we rewrote the Introduction section. Please see Page 5 Line 11-21 in the Introduction section in the manuscript for details: "While large-scale remote sensing data (e.g., MODIS-NDVI, SPOT-NDVI) could capture the general trend of the whole study area, they fail to accurately delineate the temporal variation of desert riparian forest vegetation at the fine scale (i.e., <100m). However, community variation is a result of long-term interactive effects between vegetation and the environment, influenced by both spatial heterogeneity and temporal variation factors during ecological restoration (Zhu et al., 2013; Xi et al., 2016). Until recently, very few studies have incorporated both spatial and temporal variation of desert riparian forests into a single study due to the inconsistency in scale between fine field sampling and coarse remote sensing analysis. As desert riparian forest is the main community that maintains ecosystem functions under hyperarid conditions, comprehensive research that simultaneously examines the spatial and temporal variation of vegetation is crucial for the restoration of degraded riparian zones." We also illustrated the novelty of this study in objectives part at the Introduction section. Please see Page 6 Line 1-4 in the manuscript: "(i) explore both the spatial distribution and temporal variation of Heihe desert riparian forest at different distances from the river channel, (ii) disentangle the impacts of spatial heterogeneity in soil properties and temporal variation in water availability on the vegetation community"

Reviewer: The second concern is that the effects of environment factors on spatial pattern and temporal variation of desert riparian forests should be analyzed separately. In the current version, it seems that there are mixed. The spatial pattern is mainly subjected to spatial heterogeneity of soil properties, soil water content and ground water, but the temporal variation is mainly affected by variation of soil water content, ground water and runoff. The soil properties did not played an important role in the temporal variation of desert riparian forests in certain cite as it almost remained unchanged.

Authors: Following the reviewers' suggestion, we analyzed the influencing factors of distribution pattern of desert riparian forest and temporal variation of desert riparian forest in section 4.1 "The spatial distribution in Heihe desert riparian forest and its influencing factors" and section 4.2 "The temporal variation in Heihe desert riparian forest and its influencing factors" separately in the Discussion section. In the section 4.1, we first discussed the spatial distribution of desert riparian forest and then analyzed the effects of environment factors on spatial distribution of vegetation with the emphasis on the impact of spatial heterogeneity of soil properties (e.g., soil moisture, soil physical properties and soil chemical properties). Please see Page 24-27 in the manuscript: "4.1 The spatial distribution in Heihe desert riparian forest and its influencing factors" with emphasis on the variability of water availability (e.g., runoff, variation of soil moisture and groundwater). Please see Page 27-31 in the manuscript: "4.2 The temporal variation in Heihe desert riparian forest and its more than the manuscript variation in Heihe desert riparian forest and its manuscript variation of soil moisture and groundwater). Please see Page 27-31

influencing factors"

In addition, as the soil physical and chemical properties almost remain unchanged reported by previous studies (Cao et al., Journal of Desert Research, 2010; Chen et al., Environmental Earth Sciences, 2014), we did not include the variation of soil properties in explaining the temporal variation of desert riparian forest, which may cause the low explanation of temporal variation factors in this study. We added these explanations in the section 4.2 in the manuscript. Please see Page 31 Line 9-18 in the manuscript: "Compared to the spatial heterogeneity of the soil properties, the temporal variation factors only accounted for 35.9% of the total explanation (Table 3). Except for the possible influence of the accuracy of the retrieved remote sensing data, lack of data regarding the temporal variation of soil properties could also have partly accounted for the low explanatory power of temporal influencing factors. Although most of the physical and chemical properties of the soils remained unchanged during the 15 years of ecological restoration (Cao et al., 2010; Chen et al., 2014), some of those soil properties, such as soil organic matter, might change due to vegetation recovery during ecological restoration. Future studies that include the temporal variation in soil properties might therefore be required to comprehensively address how temporal variation in environmental factors impacts on vegetation variation."

As the Discussion section was rewritten, we revised the Abstract section accordingly. Please see Page 2 Line 15-20 in the manuscript: "The spatial distribution of desert riparian forest was mainly influenced by the spatial heterogeneity of soil properties (e.g., soil moisture and soil physical properties), while the temporal variation of vegetation was affected by both the spatial heterogeneity of soil properties (e.g., soil moisture and soil particle composition) and the temporal variation of water availability (e.g., annual average and variability of groundwater, soil moisture and runoff)."

Reviewer: Third, the writing is somewhat tedious, and it should be condensed and polished.

Authors: Following the reviewer's suggestion, we condensed the manuscript in both Method and Result section. In the Method section, we condensed the content about study area and data resource. Please see Page 6-10 in the manuscript. In the Result section, we short the section 3.1 (Please see Page 13-14 in the manuscript: "3.1 Vegetation community types and temporal changes of vegetation composition") and put the section of 3.3 "The spatial and temporal variation of water availability and soil properties" in the Supplement (Please see Page 6-8: "S5 The spatial and temporal variation of water availability revised the language throughout the manuscript and the language in our manuscript has been polished by a native English speaker.

Minor issues:

Reviewer: 1. There were five transects along the river to conduct field survey. Is there any variability of vegetation characteristic among those transects?

Authors: We thank the reviewer for the question. We used the least significant difference

(LSD) test to determine the significance of vegetation characteristic variability among those transects. The vegetation characteristics didn't show significant difference (P>0.05) among five transects, thus we treated five transects as replicates in this manuscript. Please see Page 2-3: "S3 The variability of vegetation characteristic among five sampling transects" in the Supplement. We also added the relevant explanation in Method section. Please see Page 12 Line 3-4 in the manuscript: "The least significant difference (LSD) test was used to determine the significance of the variability in vegetation characteristics among five transects (Supplement S3)."

Reviewer: 2. The soil moisture and ground water during 2000-2014 were extracted from the retrieved remote sensing data. The results should be validated by comparing with measured data available in some years or some sites. This is important.

Authors: We thank the reviewer for giving us valuable suggestions on the validation of the retrieved remote sensing data. The retrieved remote sensing data was generated by the CLM RIV model, a coupled model that combined the process of stream-aquifer interaction with the Community Land Model Version 4.5 (CLM4.5), based on the high-resolution ASTER DEM dataset, multi-source integrated Chinese land cover map (MICLCover), Heihe watershed allied telemetry experimental research land cover map (HiWATER Land Cover Map), and the China soil characteristics dataset. The providers of the dataset validated this dataset in the published paper (Zeng et al., Hydrology and Earth System Sciences, 2016). Comparisons of simulation outputs and observations from automatic weather station systems and water wells demonstrated that CLM RIV showed considerable ability to reproduce the natural conditions along riverbanks. The soil moisture was well simulated, while the groundwater table was much deeper than the observation groundwater. Based on the validation by the providers, we further corrected the simulated groundwater table by using the monitoring groundwater data along a groundwater monitoring transect (i.e. Wulantuge transect). We added the validation of the retrieved remote sensing data in the Supplement. Please see Page 3-5: "S4 Validation of the retrieved remote sensing data" in the Supplement.

We added the relevant explanation of data validation in the Method section, Please see Page 10 Line 8-9 in the manuscript: "The validation of the retrieved remote sensing data is provided in the Supplement S4". As there were still deviations between simulated data and observed data, we added the possible influence of the accuracy of the remotely sensed soil moisture data on the result of our study in the Discussion section. Please see Page 31 Line 5-8 in the manuscript: "In addition, the deviations between simulations and observations could also partly account for the weak correlations between the variability of soil moisture, groundwater and community characteristics, as the variation of soil moisture and groundwater was derived from the remote sensing dataset."

Reviewer: 3. The Ejina Oasis is mainly subjected to the runoff at Donghe station, not Zhengyixia station which influences the whole downstream of Heihe River Basin.

Authors: We thank the reviewer for the suggestion. We replace the runoff of Zhengyixia

station with the runoff of Donghe station. We made corresponding changes in the Method section. Please see Page 10 Line 20-23 in the manuscript: "The retrieved remote sensing data, monitoring data, land use data, groundwater monitoring data and runoff data at Donghe station (i.e., а hydrological station in the downstream Heihe) (doi:10.3972/heihe.1009.2013.db) were acquired from the Environmental & Ecological Science Data Center for West China, National Natural Science Foundation of China (http://westdc.westgis.ac.cn)". And we recreated the corresponding Figures. Please see Page 22 Figure 6 in the manuscript and Page 8 Figure S6 d in the Supplement.

Reviewer: 4. The variation of groundwater across different distance should be shown in Fig. 6, and incorporated to the analysis of correlation between distribution pattern and environmental factors.

Authors: Following the reviewer's suggestion, we added the spatial variation of groundwater across different distance from river channel. Please see Page 7 Figure S5h in the Supplement. As monitoring the groundwater table in all the sampled sites (35 sites) is difficult, we did not record the groundwater table at all sampling sites in this study. We obtained the groundwater monitoring data at one transect that near the Wulantuge Village (i.e. Wulantuge transect) and used the annual average groundwater during the monitoring period (2010-2012) to depict the spatial distribution of groundwater table along the distance from river channel (Figure S5 h). We added the data resource in the Method section. Please see Page 10 Line 20-23 in the manuscript: "The retrieved remote sensing data, monitoring data, land use data, groundwater monitoring data and runoff data at Donghe station (i.e., a hydrological station in the downstream Heihe) (doi:10.3972/heihe.1009.2013.db) were acquired from the Environmental & Ecological Science Data Center for West China, National Natural Science Foundation of China (http://westdc.westgis.ac.cn)". We added the depiction of groundwater table variation across different distances. Please see Page 6 Line 13-15 in the Supplement: "The annual average groundwater table range from 2.16m near the river bank to 3.27m at the distance of 3000m from river channel. Generally, the groundwater table fluctuated declining with the distance from river."

As we monitoring the groundwater at one transect not all sampling sites, we use the annual average groundwater (GWT_a) and annual average variability of groundwater (GWT_c) derived from the retrieved remote sensing data to explore how groundwater influence the vegetation characteristics. Please see Page 21 Table1 and Page 23 Table 2 in Result section and Please see Page 30 Line 30 -Page 31 Line 8 in the Discussion section: "A combination of those aforementioned processes also result in the more stable growth rate of groundwater and soil moisture during 2000-2014 (Fig. S6a, b, c) compared to the runoff fluctuations (Fig. S6d). Therefore, there were weaker relationships between the annual variability in soil moisture and groundwater (e.g., SWC2cm_c, SWC100cm_c, GWT_c) and the annual variability of NDVI (e.g., NDVI_c) than between the spatial heterogeneity of soil moisture (e.g., SWC3) and the annual variability of NDVI (e.g., NDVI_c) (Table 1). In addition, the deviations between the variability of soil moisture, groundwater and community characteristics, as the variation of soil moisture and groundwater was derived from the remote sensing dataset."

Groundwater data that derived from retrieved remote sensing data was validated by the sampling data in monitoring data along the Wulantuge transect. Please see in Page 4 Line 17-25 in the Supplement: "In our manuscript, to make the simulated groundwater table fit the actual range of groundwater table in the downstream Heihe, we corrected the simulated groundwater table data by establishing the regression relationship between simulation and observation along Wulantuge transect in the downstream Heihe (Fig. S3). After correction, the corrected groundwater table data (Fig. S4) showed the same temporal variation pattern across different distances from river channel with the simulated data and the groundwater table range from 2.5m-3.5m which was accorded with the range of observed groundwater table (Fig. S5 h). Thus, we use the corrected groundwater table data to analyze the temporal variation of groundwater (Fig. S6 c)."

Reference:

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The <u>spatial</u> distribution <u>pattern</u> and temporal variation of desert riparian forests and <u>theirits</u> influencing factors in the downstream Heihe River Basin, China

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1 Abstract. Desert riparian forests are the main restored vegetation community in the Heihe River 2 Basin. They provide critical habitats and a variety of ecosystem services in this arid environment. 3 Since they are also sensitive to disturbance, examining the <u>spatial</u> distribution-<u>pattern</u>, and temporal 4 variation of desert riparian forests and their influencing factors isare important forto determininge the 5 limiting factors of vegetation recovery after long-term restoration. In this study, field experiment and 6 remote sensing data were used to determine the spatial distribution and temporal variation pattern of 7 desert riparian forests and their relationship with the environmental factors. Across different distances 8 from the river channel, we We classified five types of vegetation communities at different distances 9 from the river channel. Community coverage and diversity formed a bimodal pattern, peakinged at 10 the distances of 1000 m and 3000 m from the river channel. In general, the temporal NDVI trend 11 from 2000 to 2014 was positive ataeross different distances from the river channel, except for the 12 region closest to the river bank (i.e., within 500 m from the river channel), which had been 13 undergoingalready underwent degradation since 2011. Spatial heterogeneity of soil properties (e.g. 14 soil moisture, soil physical properties and soil nutritionnutrients) and temporal variation of water 15 availability (e.g. annual average and annual variability of groundwater, soil moisture and runoff) The 16 spatial distribution of desert riparian forest was mainly influenced by the spatial heterogeneity of soil 17 properties (e.g., soil moisture and soil physical properties), while the temporal variation of vegetation was affected by both the spatial heterogeneity of soil properties (e.g., soil moisture and soil particle 18 composition) and the temporal variation of water availability (e.g., annual average and variability of 19 groundwater, soil moisture and runoff). explained 74% of the vegetation variance. Spatial 20 heterogeneity factors, explaining 43.5% of the vegetation variance, positively influenced the 21 22 community diversity, structure, average NDVI and change variability of NDVI trend. Temporal 23 variation factors accounting for 35.9% of the total variance explained, positively influenced the 24 community density and average NDVI. With-Since surface (0-30 cm) and deep (100-200 cm) soil 25 moisture, and bulk density and the annual average of $\frac{100 \text{ cm}}{100 \text{ cm}}$ soil moisture at 100 cm obtained from 26 the remote sensing data were regarded as major determining factors of community distribution and 27 temporal variation, conservation measures that protect the soil structure and prevent soil moisture 28 depletion deficiency (e.g., artificial soil cover and water conveyance channels) are were suggested to 29 better protect desert riparian forests under climate change and intensive human disturbance.

1 1 Introduction

2 Riparian zone is the linkage between terrestrial and aquatic ecosystems (Naiman and Décamps, 1997), 3 which plays an important role in ecological processes and provides a variety of ecosystem services, 4 such as sand stabilization and carbon sequestration (Naiman et al., 1993; D écamps et al., 2004). Desert riparian forests, also known as 'Tugai forests', mainly located in the floodplains of the major Central 5 Asian rivers, are considered to beas the core main body of the riparian zone in the hyperarid areas, 6 7 mainly located in the floodplains of the major Central Asian rivers (G ätner et al., 2014). They provide 8 critical habitats for various species and function as anthe "ecological shelter" against desertification 9 in the hyperarid areas (Thevs, 2008; Ding et al., 2016). However, due to their low diversity level and 10 weak resilience, desert riparian forests are sensitive to disturbance and likely to be threatened by 11 desertification under <u>a</u> changing environment (Ling et al., 2015; Li et al., 2013).

12 Desert riparian forests are the main communities in the Heihe River Basin, with the Heihe River 13 being the second largest inland river in China (Feng et al., 2015). During the past century, an increase 14 in the human population increase and overexploitation of the upstream water resources led to 15 significant degradation of the downstream desert riparian forests (Wang et al., 2014). Since 2000, the 16 ecological water conveyance project (EWCP), a restoration project aimed to deliver water downstream 17 has been implemented to restore the ecosystems of the Heihe River Basin (Wang et al., 2011)-(Yu et al., 18 $\frac{2013}{2013}$. Every year, approximately about 300 billion m³ of water have been were delivered using 19 concrete channels, which were built perpendicular to the river aiming to expand the river impact and to 20 deliver water for irrigation. While most of the downstream vegetation has been restored (Wang et al., 21 2014; Lü et al., 2015), nearly 20% of the oasis area covered by desert riparian forests still underwent 22 major degradation in spite of the rising groundwater level and better downstream water conditions 23 (Zhang et al., 2011a; Lu et al., 2015). To conserve and restore this fragile ecosystem more effectively, 24 studies that address the variation inof desert riparian forests and their relationships with the 25 environmental factors need to be conducted.

The <u>spatial_distribution pattern</u> of <u>community characteristics along an ecological gradient can</u> reflect howdesert riparian forests is the result of long term interaction between vegetation the <u>spatial</u> distribution <u>pattern</u> of vegetation was shaped by <u>and</u> multiple environmental factors, <u>particularly water</u> availability (Goebel et al., 2012; Li et al., 2013). In the hyperarid zone, groundwater has been regarded

1 as the major driving factor of vegetation distributions. With river acting as the main supply of water in 2 desert riparian forests, the distance from river channel could be regarded as a proxy to water 3 availability (including groundwater), which declined with the weakening of river influence (Hao et al., 4 2010; Chen et al., 2014). Previous studies showed that sSpecies diversity would peaked where the 5 groundwater depth was approximately around 2-4 m, before it started to decrease whenonce groundwater droppedwent below 4-4.5_m and deficiency in-soil moisture limitation_occurred (Zheng et 6 7 al., 2005; Li et al., 2013). While this could be the case for some hyperarid zones (e.g., the Tarim 8 riverRiver) where the groundwater dropsped rapidly away from the river bank to approximately about 6 9 m deep at athe distance of 1000 m from the river channel (Aishan et al., 2013), the groundwater table 10 remainsed above 4 m at athe distance of 3800 m from the Heihe Rriver channel (Wang et al., 2011; Fu 11 et al., 2014). However, Yet some sites have were not been completely restored inat the Heihe riparian 12 zones, and the downstream vegetation community is still shifted from multiple layers of trees 13 dominated by to shrubs instead of multiple layers of tree (He and Zhao, 2006; Zhang et al., 2011a). A 14 pPrevious study by Zhu et al. (2013) showed that Patrick's richness index and the Shannon-Wiener's 15 diversity index for theof downstream vegetation formed a bimodal pattern instead of a unimodal pattern 16 withalong groundwater depth in the Heihe River Basin, indicating that there could be other factors 17 affecting the spatial distribution of desert riparian forests.

18 Apart from groundwaterwater, soil properties, such as soil moisture, soil physical and soil 19 chemical properties also shape the community characteristics by influencing the ecological and 20 hydrological processes (Stirzaker et al. 1996; Salter and Williams, 1965). Soil moisture, influenced by 21 precipitation and groundwater, is the direct water source for the-desert riparian forests (Wang et al., 22 2012). Interactions between communities and extreme environmental stress could cause non unimodal 23 responses in the hyperarid zone (Oksanen and Minchin, 2002), although other another study in semiarid 24 zone showed a unimodal pattern (Li, 2006; Hao et al., 2010; Li et al., 2013). With As different depths 25 of soil moisture exerted different impacts on vegetation (D'Odorico et al., 2007; Fang et al., 2016), athe 26 decline inof soil moisture would reduce the abundance of tree and herb species, resulting in athe 27 community shift towards drought-tolerant vegetation types along the with distance from the river 28 channel (Zhu et al., 2014). Some studies have also found that the variationheterogeneity in soil 29 properties explains was the reason for the evolution of dominant species in arid areas and the changes 30 in soil nutrients contribute greatly to species diversity (DíAz and Cabido 2001; Yang et al. 2008).

1	As desert riparian forest is the main community that maintains the ecosystem function in hyperarid
2	zone, comprehensive research on the spatial and temporal variation of the vegetation will benefit
3	restoration of the whole area. Spatial distribution and The temporal variation inof vegetation can reflect
4	how communities respond to the changing environment during ecological restoration (Bakker et al.,
5	1996; Scott et al., 1996). Due to the lack of long-term field-based observational data, long-time series
6	of remote sensing data (e.g., MODIS-NDVI, SPOT-NDVI) have been widely used to explore
7	vegetation changes and to evaluate the effectiveness of ecological restoration (Wang et al., 2014; Geng
8	et al., 2014). Since the implementation of ecological restoration, the Normalized Difference Vegetation
9	Index (NDVI) in the downstream Heihe River Basin has significantly increased and the temporal
10	variation in environmental factors, especially water availability (e.g., runoff and groundwater) has been
11	reported as the major driving factor in vegetation recovery (Jia et al., 2011; Zeng et al., 2016). While
12	large-scale remote sensing data (e.g., MODIS-NDVI, SPOT-NDVI) could capture the general trend of
13	the whole study area, they fail to accurately delineate the temporal variation of desert riparian forest
14	vegetation at the fine scale (i.e., <100 m). However, community variation is a result of long-term
15	interactive effects between vegetation and the environment, influenced by both spatial heterogeneity
16	and temporal variation factors during ecological restoration (Zhu et al., 2013; Xi et al., 2016). Until
17	recently, very few studies have incorporated both spatial and temporal variation of desert riparian
18	forests into a single study due to the inconsistency in scale between fine field sampling and coarse
19	remote sensing analysis. As desert riparian forest is the main community that maintains ecosystem
20	functions under hyperarid conditions, comprehensive research that simultaneously examines the spatial
21	and temporal variation of vegetation is crucial for the restoration of degraded riparian zones. Although
22	variation of vegetation characteristic during restoration process and its relationship with runoff and
23	groundwater have been addressed in previous studies by using large scale dataset (e.g., MODIS NDVI,
24	SPOT-NDVI) (Jia et al., 2011; Wang et al., 2014; Geng et al., 2014), they only captured the general
25	trend of the whole study area rather than focusing on the desert riparian forest. More importantly, their
26	data resolution could not accurately delineate the temporal variation pattern at different distances from
27	river channel. Currently, there have been limited number of studies that tried to disentangle the impacts
28	of spatial heterogeneity and temporal variation factors on the vegetation communities (Zhu et al., 2013;
29	Xi et al., 2016) due to the lack of long term monitoring data, inhibiting the effective restoration of
30	desert riparian zone.
	5

1 In this studyresearch, we aim to (i) explore both the spatial distribution and temporal variation of 2 Heihe desert riparian forest at different distances from the river channel, (ii) disentangle the impacts of 3 spatial heterogeneity in soil properties and temporal variation in water availability on the vegetation 4 community, and (iii) understand the resilience of the vegetation community in order to suggest the 5 appropriate restoration and protection measures for desert riparian forests under a changing environment.explore the impacts of those aforementioned factors and to examine the distribution 6 7 pattern and temporal variation of vegetation communities in the Heihe desert riparian forest. We used 8 3000 m transectsinvestigated variability in desert riparian forests sites that are differently located along 9 the <u>running</u> perpendicular todirection from the river channel to include different distances from the 10 river channel and to depict the spatial distribution of vegetation (e.g., cChanges inof floristic 11 composition, community structure and diversity) at each distance from the river channel. were used to 12 depict community distribution pattern, Consistent with this field sampling, we used the variation of the 13 NDVI at each distance from the river channel, derived from high resolution images (e.g., 30 m 14 resolution) from 2000-2014, to depict the temporal variation of the vegetation.and the variation of 15 NDVI at each gradient from 2000-2014 was used to depict the temporal variation. Spatial heterogeneity 16 factors of soil properties (e.g., soil moisture, soil physical properties and soil nutrition) and temporal 17 variation properties of water availability (e.g., annual average and annual variability of groundwater, 18 soil moisture and runoff) were used to explain the vegetation community variance. The objectives of 19 this study were to: (1) explore the distribution pattern of desert riparian forest along the perpendicular 20 direction from the river channel and the temporal variation of NDVI in desert riparian forest since 2000, (2) analyze the effect of spatial heterogeneity factors and temporal variation factors on the community 21 22 characteristics of desert riparian forests, and (3) explore the community resilience of desert riparian 23 forest along the distance from river and suggest suitable restoration and protection measures for desert 24 riparian forests under changing environment.

25 2 Data and methods

26 2.1 Study area

The study was conducted in the downstream Heihe River $(40^{\circ}20'-42^{\circ}30'N; 99^{\circ}30'-102^{\circ}00'E)_{,}$ in the Ejina Oasis, Inner Mongolia, <u>n</u>Northwest China. The oasis covers an area of 3×10^4 km², with declining surface elevation (i.e., 1127 m to 820 m above sea level) from the southwest to the northeast
(Qin et al., 2012). This region has a typical continental arid climate with <u>a</u> mean annual temperature of
8.77 °C. Its maximum and minimum temperatures usually occur in July (41°C) and January (-36 °C)
(Wen et al., 2005). The mean annual precipitation is <39 mm, 84% of which occurs during the growing
season (May to September), while the mean annual potential evaporation is >3,390 mm (Chen et al.,
2014). Prevailing wind direction is northwest, mean annual wind velocity is 2.9 5.0 m s⁻¹, and annual
number of gale (>8 m s⁻¹) days is 70 days or so (Chen et al., 2014).

8 The Heihe River originates from rainfall and snow melt in the Qilian Mountains. It branches into 9 the Donghe River and the Xihe River at Langxinshan Mountain and ultimately flows into the East 10 Juyan Lake and the West Juyan Lake in Ejina. The population in of the Ejina Qoasis is 32,410 (Ejina 11 statistical office, 2012). The local economy mainly depends on the cantaloupe farmingplantation and 12 animal husbandry (e.g., sheep, cattle and camels). The Ejina Oasis is one of China's most important 13 tourist attractions with respect to desert riparian forests, attracting almost 200,000 visitors per year 14 during September to October (Hochmuth et al., 2014). One Two primary roads is are built parallel to the 15 river channel, and <u>another runs</u> across the south of the oasis respectively. These roads are mainly used 16 mainly for transportation and traveling.

17 Due to sparse precipitation and hyperarid environment, Heihe River is the main source of recharge 18 for the groundwater system in Ejina Oasis (He and Zhao, 2006). As the distance from river channel 19 increases, water availability declines and the vegetation shifts from desert riparian forests to desert 20 scrub. The desert riparian forests are the main components of the Ejina Qoasis. They mainly grow 21 along the river banks and spread across the fluvial plain, with the dominant vegetation including 22 Populus euphratica, Tamarix ramosissima, Lycieum ruthenicum, Sophoera alopecurioides, Kareilinia 23 caspica, and Peganum harmala-(Zhao et al., 2016). The sSparse and drought-tolerant desert species. 24 such as *Reaumuria soongaorica*, Zygophyllum xanthoxylon and *Calligonum mongolicum*¹ are mainly 25 distributed in the Gobi Ddesert. The main soil types in the area are shrubby meadow soil, aeolian soil 26 and grey-brown desert soils. Saline-alkaline soils and swamp soils also exist in the lake basins and 27 lowlands (Chen et al., 2014).



Figure 1. The Heihe River Bbasin in China (A) and the location of sampling points in the study area
(B). Two-One primary roads isare built parallel to the river channel, and another runs across the south of the oasis, respectively.

5 2.2 Spatial field survey and experimental design

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In the downstream Heihe River Basin, the desert riparian forests makes up the main bodycore of the desert oasis, mainly composed comprised of tree, shrub and grass communities. The forests are distributed along the Heihe River from 0 m to approximately 2000 m from the river channel (Si et al., 2005; Guo et al., 2009). However, the spatial extent of the riparian zone is difficult to be delineated due to the heterogeneity of the landforms mosaics (D écamps et al., 2004). Therefore, our study covered a length across distances up to 3000 m distance from the Heihe river channel to fully cover the distribution pattern of theits desert riparian forests in the downstream Heihe River.

13 Our field survey was conducted in July 2015, after the ecological water conveyance was delivered. 14 The eEcological water conveyance is implemented according to the water dispatching scheme and is 15 conducted in the April, July, August, September and November with a scheduled discharge (Feng et al., 16 2015). Five transects perpendicular to the river were selected randomly as replicates under the premise 17 consistency in soil type and micro topography. Due to the regulated water discharge, the ecological 18 water conveyance only affects the sites near the river bank (within a 100-m radius) (Liu et al., 2008). 19 Therefore, wWe conducted vegetation and soil samplings were conducted perpendicular to the river 20 channel and sampled at distances of the distance from the river channel was stratified into seven 21 gradients: 100 m, 500 m, 1000 m, 1500 m, 2000 m, 2500 m, and 3000 m from the river channel.,

respectively, Five replicates were sampled at each distance from the river channel and generating a total of 35 sampling sites were established. Theose sites were far from farmlands, irrigated channels and reservoirs to minimize the impact of human disturbance and other water resources. Although, there is a main road extending across the oasis and almost parallel to the river channel (Fig. 1), the vegetation community growing nearby the road is considered to be undisturbed by the road, as the road is separated from the surroundings by iron wire.

7 Three tree quadrats (30 m \times 30 m) and shrub quadrats (10 m \times 10 m) were established <u>atim</u> each 8 site. The number of each species (tree and shrub), plant height, coverage and <u>the</u> diameter at breast 9 height (DBH) of the trees (\geq 2 m) were recorded individually. Four (2 m \times 2 m) herb quadrats were 10 established at each corner of the tree or shrub quadrat to collect data on the number of <u>plantsherb</u> 11 <u>species</u>, vegetation cover and height.

12 At each site, soil samples and soil moisture samples were randomly collected in three replicates 13 using an auger (5 cm in diameter). Soil gravimetric water content (SWC) was collected at depths of 5, 14 10, 15, 20, 30, 40, 50, 60, 70, 80, 100, 120, 140, 160, 180, and 200 cm, and weighed at the time of 15 sampling as well as after oven drying at 105 °C for 48 hours. At some sites where groundwater was less 16 than 2 m, the SWC sampling stopped at the depth of groundwater table. Bulk density (BD) was 17 measured by collecting undisturbed soil cores at from the surface layer using a stainless-steel cutting 18 ring (100 cm³ in volume) with three replicates at each site, which were then and oven dried at 105 $^{\circ}$ C 19 until they reached to a constant weight. The ssoil particle size distribution and soil chemical properties 20 (soil organic matter, total nitrogen, total phosphorus and total salt content) were analyzed analyzed in 21 the laboratory using 0-100 cm soil samples that were collected separately atim each site.

22

2.3 Temporal data collection and processing

In order t<u>T</u>o <u>understandanalyze</u> the long_-term vegetation variation since the implementation of ecological water conveyance, we <u>analyzed_analysed_NDVI</u> data from 2000 to 2014. As the NDVI measures <u>the vegetation status</u>, including coverage and vigo<u>u</u>r, we used the maximum NDVI during growing season as the indicator of vegetation community characteristics_. The maximum NDVI during growing season (May October) generally indicated the best vegetation state of the whole year (Wang et al., 2014). The NDVI <u>data_in each sampling site</u> during 2000-2014 were calculated using ENVI (5.0) based on the Landsat TM/ETM image (30 m) acquired from Geospatial Data Cloud

1	(http://www.gscloud.cn/). We calculated the NDVI at each distance from the river channel based on the
2	NDVI derived from the sampling sites. The variable environmental factors, such as 2 cm soil moisture,
3	100 cm soil moisture and groundwater atin each site during the research period, were extracted from
4	the remotely sensed data with 1000 m resolution retrieved remote sensing
5	data(doi:10.3972/heihe.0034.2016.db) with 1000 m resolutionusing the land model CLM4.5 based on
6	the high-resolution ASTER DEM dataset, the multi-source integrated Chinese land cover map
7	(MICLCover), the Heihe watershed allied telemetry experimental research land cover map (HiWATER
8	Land Cover Map), and the China soil characteristics dataset (Zeng et al., 2016). The validation of the
9	retrieved remote sensing data is provided in the Supplement S4. Land use change information from
10	2000-2014 was extracted from land use data at a scale of 1:100,000 (for 2000 and 2014)
11	(doi:10.3972/heihe.020.2013.db; doi:10.3972/hiwater.155.2014.db) (Liu et al., 2002; Zhong et al.,
12	2015). The spatial variation of groundwater table with distance from the river was obtained from
13	groundwater monitoring data recorded along a transect (i.e., the Wulantuge transect). These monitoring
14	data were recorded as 18-hour averages using a HOBO automatic groundwater table gauge from
15	October 2010 to December 2014 (Fu et al., 2014). The diurnal and annual variations of soil moisture
16	were depicted by obtained from the monitoring data of soil moisture, recorded at 0.5 Hz, as 10-min
17	averages from 2013-2015 using a suite of micrometeorological sensors (CR800, CR23X, CR23XTD,
18	Campbell Scientific Inc.) installed in the Heihe riparian forest (101°8'1"E, 41°59'25"N)from
19	2013 2015 (doi:10.3972/hiwater.241.2015.db; doi:10.3972/hiwater.318.2016.db) (Liu et al., 2011 ; Li et
20	al., 2006). The retrieved remote sensing data, monitoring data-and-, land use data-and-, groundwater
21	monitoring data and runoff data at Donghe station (i.e., a hydrological station in the downstream Heihe)
22	(doi:10.3972/heihe.1009.2013.db) were acquired from the Environmental & Ecological Science Data
23	Center for West China, National Natural Science Foundation of China (http://westdc.westgis.ac.cn).
24	Runoff data at Zhengyixia <u>Donghe station</u> , a hydrological station at the border <u>recorded the runoff</u> of the
25	downstream Heihe, was collected from the Hydrological Almanac of China from the Chinese Academy
26	of Sciences.

27 **2.4 Statistical analysis**

28 The P (importance value) of each tree, shrub and herb <u>atim</u> each <u>samplingplant</u> site was calculated for

29 each species using the following formulas (Zhang and Dong, 2010):

$$P_{\text{Tree}} = (RDen + RDom + RH)/3$$
(1)

3

4

$$P_{Shrub or Grass} = (RDen + RDom + RC)/3$$
(2)

where *RDen* is the relative density, *RDom* is the relative dominance, *RH* is the relative coverage height and *RC* is the relative coverage.

In our study, the total diversity index of <u>the</u> community was <u>deemployed</u> to depict the community
diversity <u>atin</u> each site. According to the characteristics of <u>the</u> community vertical structure, the total
diversity index of <u>the</u> community is measured using the weights of indices <u>forin</u> different growth types.
The weight is the average of the relative coverage and <u>the</u> thickness of the leaf layer (Fan et al., 2006).
We applied the following formula (Gao et al., 1997):

10

$$W_i = (C_i/C + h_i/h)/2$$
 (3)

where *C* is the total coverage of <u>the</u> community ($C = \sum C_i$); i = 1; for the tree layer; 2; for the shrub layer; and 3; for the herb layer, and the meaning of *i* is same below; *h* is the thickness of the leaf layer <u>offor</u> various growth types ($h = \sum h_i$); W_i is the weighted parameter of <u>the</u> diversity index for <u>theof</u> *i*th growth type; C_i is the coverage of the *i*th growth type; and h_i is the average thickness of the leaf layer of the *i*th growth type. Among <u>the</u> different growth types, the thickness of <u>the</u> tree leaf layer is calculated at 33.3% the height of the tree layer, the shrub layer-is at 50% and the herb layer-is at 100%. The total diversity index of the community was calculated according to the following formula:

18

$$A = \sum W_i A_i \tag{4}$$

(6)

(8)

where *W* is the weighted parameters of the tree layer, shrub layer and herb layer. *A* is the diversity
index of the tree layer, shrub layer <u>orand</u> herb layer, which can be calculated using the formulase listed
below.

Species diversity indices were <u>calculated</u>determined (Liu et al., 1997), <u>including the</u> as
 Shannon–Wiener's index of diversity

24

$H = -\sum_{i=1}^{s} (P_i \ln P_i) \tag{5}$

25 and Simpson's index of dominance was calculated as

26 $D = 1 - \sum_{i=1}^{s} P_i^2$

and Pielou's index of evenness was calculated as

$$J_{sw} = H/(\ln(S)) \tag{7}$$

29 Finally, Patrick's index of richness was calculated as

$$30 R = S$$

1

2

where P_i is the relative importancet value of species *i*, and *S* is the total number of species atim the *i*th site.

3 The least significant difference (LSD) test was used to determine the significance of the variability in vegetation characteristics among five transects (Supplement S3). ForWithin each distance from the 4 river channelgradient, vegetation community characteristics, soil moisture and soil properties of the 5 6 five sites were calculated as the mean \pm standard error (SE) of the mean. To depict the vertical structure 7 of soil moisture, soil water content was divided into three layers: 0-30 cm soil moisture (SWC1), 8 30-100 cm soil moisture (SWC2), and 100-200 cm soil moisture (SWC3) in accordance withto the fine 9 roots distribution of <u>fine roots</u> herbs, trees and shrubs in this area (Fu et al., 2014). We averaged the soil 10 moisture at each corresponding finer increment to obtain the value of SWC1, SWC2 and SWC3. The 11 ssoil chemical properties, however, were analyszed using the mean values of 0-100_cm due to the 12 lowminor vertical variation. The NDVI change rate was calculated based on the percentage change of 13 NDVI from 2000 to 2014. The annual average value and annual variability were used to depict the 14 temporal variation of community characteristics and environmental factors. The annual averages of 15 NDVI (NDVI_a), groundwater (GWT_a), 2 cm soil moisture (SWC2cm_a) and 7 100 cm soil moisture 16 (SWC100cm a) were calculated by using the mean values from 2000-2014. The annual variability of 17 NDVI (NDVI_c), groundwater (GWT_c), 2_cm soil moisture (SWC2cm_c), and 100_cm soil moisture 18 (SWC100cm_c) were calculated usingby the mean values of change rate forat each year.

19 Regression analysis was used to examine the variation pattern. Exponential and polynomial 20 regressions were fit to the data to best explain the statistical relationship. Pearson correlation was used 21 to determine the strengths of possible relationships between community characteristics and 22 environmental factors. Significant differences were evaluated at the 0.05 and 0.01 levels. Statistical 23 analysis was performed using SPSS (ver. 18.0).

24

To depict the variation of desert riparian forests composition, we used Two-way indicator 25 sSpecies aAnalysis (TWINSPAN, in WinTWINSPAN, version 2.3), a method of community hierarchical classification based on the importance value of each species (Hill, 1979), was used to 26 27 classify the possible desert riparian forests community types. The importance value data for all plant 28 species, obtained from the vegetation survey, were used in this analysis and the cutoff levels of the 29 importance value for each class were set as: 0, 0.1, 0.2, 0.4, 0.6 and 0.9. To further separateing the key 30 influencing factors of the 18 environmental variables, the marginal and conditional effects of the

1 various variables were calculated through the Monte Carlo forward selection in RDA (rRedundancy 2 aAnalysis), which directly showed the significance and contribution rate of each factor. Marginal 3 effects reflected the effects of the environmental variables on the community characteristics, while 4 conditional effects reflected the effects of the environmental variables on the community characteristics 5 after the anterior variable was eliminated by the forward selection method. Since the redundant 6 variables were eliminated and a group of key environmental factors was identified determined through 7 the forward selection, this method allowed key variables to be determined through the strength of their 8 effects and significance. The vVariation of community characteristics explained by the different group 9 of environmental factors was analyszed using variation partitioning analysis. The significance of the 10 resulting ordination was evaluated by 499 Monte Carlo permutations (Zhang and Dong, 2010). The 11 Monte Carlo test and variation partitioning analysis were performed usingby the software program 12 CANOCO (ver. 5.0) (Microcomputer Power, USA) (Braak et al., 2012).

13 3 Results

14 **3.1** Vegetation community types and temporal changes <u>inof</u> vegetation composition

<u>The s</u>pecies composition at each site in the downstream Heihe River Basin is shown in <u>Table S1 in the</u>
 <u>Supplement</u> and the following five plant community types distributed across the 3000 m transect from
 the river channel were obtained based on the TWINSPAN classification (Fig. 2):

18 (i) Community I was an association of (Ass.) Populus euphratica-Tamarix ramosissima + herbs., found 19 sites 1, 2, 3, 4, 6, 7, 15 and 21. Although this community, with multiple layers of tree shrub herb, 20 was typical at desert riparian forest, its coverage was relatively low (38.05%). Characterized by 21 multiple layers of tree-shrub-herb, the community coverage was low (38.05%) and The community was 22 dominated by the tree species *Populus euphratica* with sparse understory vegetation. *Tamarix* 23 ramosissima was the only species of shrub layer and the herb layer was dominated by Sophora alopecuroides. This community was mainly distributed near the river bank, mostly within 500 m from 24 25 the river channel.

(ii) Community II was Ass. *Tamarix ramosissima–Lycium ruthenicum* + herbs, found at sites 5, 10 and
 26. This community was constituted composed of shrub and herb layers with high community coverage
 of (81.43%). *Tamarix ramosissima* was the dominant shrub species of the shrub layer with the

importance value of 0.84-1.00., while tThe herbs were dominated by layer contains both hygrophyte
 and xerophyte species, such as *Kochia scoparia* and *Peganum harmala*. This community was mainly
 distributed near the river bank (approximatelyabout 1000 m from the river channel).

4 (iii) Community III <u>included</u>was *Tamarix ramosissima*, found at sites 8, 9, 20, 23 and 25. This 5 community was mainly <u>composed</u>constituted of shrub layers, except that sparsely grown herbs existed 6 <u>at site 8. and _ The community was dominated by *Tamarix ramosissima* with average community 7 coverage of 75.93%. It was and mainly distributed at the distance between 1000_m — and 2000 m from 8 the river channel.</u>

9 (iv) Community IV was Ass. *Lycium ruthenicum–Tamarix ramosissima* + xerophytes herbs, found at
sites 12, 13, 14, 17, 18, 22, 24, 27, 32 and 34. This community was mainly composed of shrub and herb
layers with average community coverage of 68.86%. *Lycium ruthenicum* was the dominant shrub
species of the shrub layer (importance value = 0.42-0.77), while the dominant xerophytic herb species
were *Sophora alopecuroides* and *Suaeda salsa*. It was mainly distributed between 1500_m and 2500 m
from the river channel.

15 (v) Community V was Ass. Tamarix ramosissima–Lycium ruthenicum–Reaumuria soongarica, found at 16 sites 11, 16, 19, 28, 29, 30, 31, 33 and 35. This community was the transition community from desert 17 riparian shrub forests to desert shrubs-community, indicated by the presence of *Reaumuria soongarica*, 18 a typical desert shrub. *Tamarix ramosissima* was the dominant species of the shrub layer-and, mainly 19 existing in the form of shrub dunes, with the importance value of 0.38 0.93. The Karilinia caspica and 20 Phragmites communis herbs only existed in one sampling site and they were only sparsely distributed. 21 This community was mainly distributed approximately around 2500-3000 m from the river channel, 22 with a relatively low community coverage (54.40%).

14



Figure 2. The dendrogram of the sampling sites based on the TWINSPAN classification.

Notes: Numbers 1-35 represents the site numbers of the sampling sites. D <u>indicates is for</u> the classification levels.
and N <u>indicates is for</u> the numbers of sampling sites for the classification. I to V represent communities I to V.
Arrows <u>indicates that depicted</u> all the sites were divided into five major groups after the fourth classification.

1 2

6 Between 2000 and 2014, changes in theof vyegetation composition change inof each community 7 type (I to V) (Fig. 3) was were obtained from the changes in the land use map from 2000 to 2014 (Fig. 8 3). Among the five community types, community V underwent the most changes, with 22.22% of the 9 sites changinged from sparse forest to grassland, 22.22% from grassland to shrubland and 22.22% from 10 bareland to grassland, respectively. The majority (>60%) of the vegetation composition remained 11 unchanged in communitiesy I to IV, with the following exceptions: (i) 37.5% of the sites in community 12 I changed from shrubland to sparse forest and from bareland to grassland, (ii) 33% and 20% of the sites 13 in communitiesy II and III changed from bareland to grassland and from sparse forest to grassland, 14 respectively-, and (iii) 20% of the sites in community IV changed from sparse forest to grassland and 15 another 20% from grassland to shrubland (Fig. 3).



Figure 3. The percentage changes inof vegetation composition in each community from 2000-2014.
Notes: F-G: change from sparse forest to grassland; S-F: change from shrubland to sparse forest; G-S: change from grassland to shrubland; B-G: change from bareland to grassland.

5 3.2 The spatial <u>distribution</u> and temporal variation of community characteristics in desert 6 riparian forest

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7 Community characteristics formed different patterns varied withalong the distance from the river 8 channel (Fig. 4). Vegetation community height and density dropped rapidly after 500 m (Fig. 4a, b), 9 while community coverage formed a bimodal pattern, peakinged at the distances of 500-1000 m and 3000 m, respectively (Fig. 4c). The variation of vertical structure was depicted by the following 10 11 hierarchical coverage (Fig. 4d): (i) the tree layer mainly existed within 1000 m, (ii) the shrub layer 12 peaked at approximatelyaround 1500-2000 m, and (iii) the herb layer fluctuated withalong the distance 13 from the river channelgradient, peaking at 500 m and 2500-3000 m from the river channel. All diversity 14 indices showed a bimodal pattern withalong the distance from the river channel. The Shannon-Wiener 15 diversity index, Pielou evenness index and Patrick richness index peaked at 1000 m and 3000 m (Fig. 16 4e-g). The Simpson dominance index, however, formed an oppositent trend to the other three diversity 17 indices, by peaking at 500 m and 2000 m, where the other indices were at their low level (Fig. 4h).





distance from the river channel, but decreasing as it moved closer to the river channel (Fig. 5b).



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Figure 5. The <u>temporal</u> variation<u>s</u> of NDVI (a) and annual variability of NDVI (b) from_2000 to 2014 at different distance<u>s</u> from the river channel.

6 **3.3 The spatial and temporal variation of water availability and soil properties**

7 Water availability and soil properties varied significantly along the distance from the river channel (Fig. 8 6). SWC1 (0-30 cm soil moisture) and SWC2 (30-100 cm soil moisture) peaked at the distance of 9 500 1000 m and 2500 m, following the same pattern with vegetation community coverage, and 10 diversity indices (Fig. 4 c-f). SWC3 (100-200 cm soil moisture), however, showed a different pattern 11 by peaking at the distance of 1000 m from river channel and dropped rapidly after 2500 m (Fig. 6 a). 12 The proportion of silt and clay was highest at the distance of 1000 m from the river channel (Fig. 6 c), 13 while bulk density reached its lowest point (1.07 g cm⁻³) (Fig. 6 b). The variation of SOM, TN, TP 14 showed the similar pattern with vegetation diversity along the gradient (Fig. 6 d g). They Fig. 15 generally decreased along the distance from river channel and reached a relatively high value at the 16 distances of 500 m and 2000 2500 m. The total salt content peaked at the distance of 1000 m (2.57%) 17 and dropped gradually until the end of the gradient.





3 (d), total nitrogen (e), total phosphorus (f), total salinity (g) along the distance from river channel.

4 SWC1, 0 30cm soil moisture; SWC2, 30 100cm soil moisture; SWC3, 100 200cm soil moisture; BD, bulk density; SOM, soil

5 organic matter; TN, total nitrogen; TP, total phosphorus; TS, total salt content.

The temporal variation of water availability and soil properties was depicted by soil moisture, groundwater table and runoff. Soil moisture decreased with the distance from river channel (Figs.7 a, b) and different gradient formed a similar temporal variation pattern. Shallow (2 cm) soil moisture showed 4 greater fluctuation than deep (100 cm) soil moisture, which was almost constant with time. The depth of groundwater table increased consistently across different gradients since 2000, following the downstream runoff which doubled during the research period, from 6.5×10⁸ m³ in 2000 to 13×10⁸ m³ in 6 2014.

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9 Figure 7. The variation of 2 cm soil moisture (a), 100 cm soil moisture (b), groundwater table (c), runoff (d) from-10 2000-2014 at different distance from the river channel.

3.4-3 Pearson correlation between community characteristics and environmental factors

12 The result of the Pearson correlation analysis between community characteristics and environmental 13 factors is shown in Table 1. The community density showed significant positive correlations with 14 SWC2, SWC3, SWC2cm_a and SWC100cm_a, but negative correlations with BD and GWT_a. The 15 cCommunity coverage was positively correlated with all the three soil moisture layers of soil moisture 16 (P<0.0.1) but negatively correlated with BD. The tTree and shrub layers layer_were influenced by 17 GWT_a and BD, respectively, while the herb layer was positively correlated with SWC1 and SCW3.

20

Among the diversity indices, the Patrick richness index was significantly correlated with SOM and gravel, while Simpson domination index was significantly correlated with sand (r=0.354, P<0.05) and silt (r=-0.344, P<0.05). As <u>F</u> for the temporal variation of community characteristics, NDVI_a was mainly influenced by soil moisture (SWC1, SWC2, and SWC3), soil particle composition (clay, gravel) and bulk density, while NDVI_c was significantly correlated with SWC3, gravel and TS.

6 With runoff as the main water resource in the downstream Heihe, there was time lag between the 7 increase of runoff and NDVI. The correlation coefficient between the NDVI and runoff was measured 8 to examine the relationship between runoff and the the same year's NDVI of the same year, while the 9 correlation coefficient between the one-year lag NDVI and runoff was measured to examine the 10 relationship between runoff and the the next year's NDVI of the following year (Fig. 6). One year lag 11 NDVI runoff correlation coefficient The relationship between runoff and the NDVI of the following 12 year was significantly stronger than the relationship between runoff and the NDVI of the same year, 13 indicated by the higher correlation coefficient and significance in the one-year lag NDVI-runoff 14 correlation compared to the NDVI-runoff correlation. decreased significantly with the distance from 15 river channel (P=0.086), as opposed to insignificant variation of NDVI-runoff correlation coefficient 16 along the distance from river channel (Fig. 86).

17 **Table 1.** Pearson correlations between community characteristics and environmental factors.

					·							
	Н	R	С	Jsw	Height	Density	Cover-a	Cover-t	Cover-s	Cover-h	NDVI_a	NDVI_c
SWC1	0.255	0.167	-0.286	0.182	-0.088	0.251	0.545	-0.017	0.168	0.514	<u>0.430</u>	0.188
SWC2	0.046	-0.072	-0.098	0.067	-0.114	0.382	0.439	0.007	0.280	0.263	0.469	0.254
SWC3	0.142	0.157	-0.147	0.111	-0.242	0.362	<u>0.448</u>	-0.142	0.175	0.382	<u>0.445</u>	0.506
Clay	0.112	0.005	-0.128	0.045	0.048	0.290	0.204	0.037	-0.093	0.272	0.398	0.125
Silt	0.308	0.117	-0.344	0.311	-0.121	0.111	0.321	-0.071	0.247	0.168	0.185	-0.115
Sand	-0.327	-0.148	0.354	-0.306	0.130	-0.165	-0.307	0.076	-0.166	-0.217	-0.212	0.125
Gravel	0.226	0.350	-0.155	0.179	-0.284	-0.081	-0.185	-0.173	-0.179	0.011	-0.413	-0.396
BD	0.174	0.282	-0.127	0.123	-0.041	-0.353	-0.350	0.049	-0.465	0.063	-0.354	-0.050
SOM	-0.256	-0.398	0.187	-0.102	0.193	0.058	-0.192	0.116	-0.121	-0.296	-0.025	-0.009
TN	-0.191	-0.333	0.138	-0.060	0.101	0.032	-0.278	0.112	-0.296	-0.223	-0.006	0.108
TP	-0.238	-0.303	0.198	-0.098	0.116	0.022	-0.181	0.084	-0.090	-0.288	-0.018	0.194
TS	-0.139	-0.125	0.111	-0.099	-0.184	0.271	0.011	-0.086	0.034	-0.131	-0.140	0.382
GWT_c	0.094	-0.028	-0.133	0.228	-0.074	0.001	-0.137	0.102	-0.060	-0.189	-0.286	0.040
SWC2cm_c	0.113	0.085	-0.117	0.084	-0.161	0.098	-0.027	-0.093	-0.029	-0.024	-0.177	0.119
SWC100cm_c	0.171	0.185	-0.165	0.109	-0.116	-0.080	0.073	-0.096	0.107	0.038	-0.198	0.141
GWT_a	-0.022	-0.226	-0.050	0.127	0.300	-0.343	-0.092	0.352	0.017	-0.131	0.042	0.004
SWC2cm_a	-0.169	-0.270	0.129	-0.096	0.013	0.405	-0.184	0.103	-0.224	-0.183	0.160	0.144
SWC100cm_a	-0.085	-0.194	0.047	-0.014	-0.094	0.403	-0.137	-0.046	-0.206	-0.150	0.090	0.140

18

Notes: Significant correlations with (P<0.05 and P<0.01) are shown in bold and significant correlations (P<0.01)

1 in bold with underline, respectively. R, Patrick richness index; J_{sw}, Pielou evenness index; H, Shannon-Wiener 2 diversity index; C, Simpson domination index; a, total plant community; t, tree layer; s, shrub layer; h, herb layer; 3 NDVI_a, annual average of NDVI; NDVI_c, average annual variability of NDVI; SWC1, 0-30cm soil moisture; 4 SWC2, 30-100cm soil moisture; SWC3, 100-200cm soil moisture; BD, bulk density; SOM, soil organic matter; 5 TN, total nitrogen; TP, total phosphorus; TS, total salt content. 0-20cm soil particle composition were analyzed in 6 the laboratory for the silt (<0.02mm), clay (0.02-0.05 mm), sand (0.05-2 mm), and gravel (>2mm) contents by 7 using Mastersizer 2000. Soil chemical properties at 0-20, 20-40, 40-60, 60-80 and 80-100 cm and the average 8 value of 0-100cm were used in the analysis. GWT_a, annual average of groundwater table; SWC2cm_a, annual 9 average of 2cm soil moisture; SWC100cm_a, annual average of 100cm soil moisture; GWT_c, annual variability 10 of groundwater table; SWC2cm_c, annual variability of 2cm soil moisture; SWC100cm_c, annual variability of 11 100cm soil moisture;



12

13 **Figure <u>86</u>**. Pearson <u>correlate-correlation</u> coefficients of NDVI-runoff and one-year lag NDVI-runoff at

16 **3.<u>54</u>**Key environmental factors that influenced community characteristics

To further examine the key environmental factors that controlled the variation <u>in theof</u> vegetation indices (e.g. community diversity, structure, NDVI), redundant variables were eliminated by a forward selection method. Table 2 shows the key influencing factors based on the marginal and conditional effects of 18 variables under the Monte Carlo test in the process of forward selection. All the

¹⁴ different distance from <u>the</u> river channel.

^{15 &}lt;u>Notes: * above the bar indicates significant correlations (P<0.05).</u>

1 environmental factors explained 74% variance of the total variance. In the Monte Carlo test of forward 2 selection (P<0.05), SWC1, SWC3, BD and SWC100cm_a were regarded as the key environmental 3 factors influencing the variation of community characteristics. A total 71.62% of the environmental 4 information was extracted by the key environmental factors, and SWC1 contributed the most 5 information (27.03%). To further investigate the variation explained by spatial heterogeneity factors 6 and temporal variation factors, we divided those 18 factors into two groups for partitioning analysis 7 (Table 3). Spatial heterogeneity factors explained 43.5% of the vegetation variance and accounted for 8 98.4% of the total variance explanation, while temporal variation factors only explained 15.9% of the 9 vegetation variance, accounting for 35.9% of the total variance explanation. These two groups of 10 factors jointly explained 15.2% of the vegetation variance, accounting for 34.3% of the total variance 11 explanation.

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Table 2. The selection of the key influencing factors based on the marginal and conditional effects
obtained from the forward selection of the Monte Carlo test.

	Marginal effects		Conditional effects		R value (%)
factors	Percentage of variance explained (%)	Environmental factors	Percentage of variance explained (%)	P value	
SWC1	20.2	SWC1	20	0.002	27.03
SWC3	18.8	SWC3	14	0.004	18.92
SWC2	12.3	BD	10	0.006	13.51
BD	11.4	SWC100cm_a	9	0.018	12.16
TN	7.1	GWT_a	4	0.078	
Silt	7 <u>.0</u>	GWT_c	3	0.096	
Sand	6.1	TP	2	0.25 <mark>0</mark>	
SOM	4.1	Clay	2	0.282	
Clay	3.8	TN	2	0.296	
SWC2cm_a	3.7	SWC2cm_a	2	0.308	
TP	3.6	SWC100cm_c	1	0.444	
Gravel	2.6	SWC2cm_c	3	0.112	
SWC100cm_a	2.5	SWC2	1	0.62 <u>0</u>	
GWT_c	1.8	Silt	1	0.636	
GWT_a	1.4	TS	< 0.1	0.788	
SWC100cm_c	0.6	SOM	< 0.1	0.932	
TS	0.5	Sand	< 0.1	0.992	
SWC2cm_c	0.1	Gravel	< 0.1	0.96 <mark>0</mark>	
. –		Total	74	0.036	
<i>R</i> value represents	the relative proportion of i	individual explanation to the to	tal variance explan	ation.	

¹⁶ SWC1, 0-30cm soil moisture; SWC2, 30-100cm soil moisture; SWC3, 100-200cm soil moisture; BD, bulk density;

- 18 of groundwater table; SWC2cm_a, annual average of 2cm soil moisture; SWC100cm_a, annual average of 100cm
- 19 soil moisture; GWT_c, annual variability of groundwater table; SWC2cm_c, annual variability of 2cm soil
- 20 moisture; SWC100cm c, annual variability of 100cm soil moisture.
- 21 **Table 3.** The percentage of community characteristic variations explained by key different groups of

¹⁷ SOM, soil organic matter; TN, total nitrogen; TP, total phosphorus; TS, total salt content. GWT_a, annual average

1 environmental factors.

Fraction	Variation	% of <u>a</u> All	% of <u>e</u> Explained	F	Р
a	0.435 <mark>39</mark>	43.5	98.4	5.9	0.008
b	0.15 <u>9</u> 88	15.9	35.9	4 <u>.0</u>	0.088
a+b<u>c</u>	0.15 <u>2</u> 19	15.2	34.3	2.2	0.016
Total <mark>e</mark> Explained	0.442 29	44.2	100	5.9	—

a: spatial distribution factors, including SWC1, SWC2, SWC3, BD, clay, silt, sand, gravel, SOM, TN, TP, TS; b:
temporal factors, including SWC2cm_a, SWC100cm_a, GWT_a , SWC2cm_c, SWC100cm_c, GWT_c; c: the
variation that jointly explained by group a and b. Variation: the variance explained by different fraction when the
total variance is 1; % of All: the proportion of variation explained by different fraction; % of Explained: the
relative proportion of individual explanation to the total explanation.;

7 4 Discussion

8 4.1 The <u>spatial</u> distribution pattern and temporal variation of community characteristics in <u>Heihe</u> 9 desert riparian forest and its influencing factors

10 The characteristics and indices of desert riparian forests formed different patterns along the distance 11 from the river channel in In the downstream Heihe River Basin, <u>C</u>ommunity height and density 12 declined significantly as the dominant species changed from trees to riparian-desert shrubs with 13 increasingalong the distance from the river channeldistance gradient. The eCommunity coverage 14 reached two local maxima at the distance of 1000 m and 3000 m from the river, where community 15 consisted of with diverse shrub and herb layers. These spatial distribution variation patterns of 16 community diversity can illustrate how community vegetation responseds and interacts with numerous 17 environmental factors alongto the different ecological gradients-(Zhu et al., 2013) and interact with 18 environmental factors in this resource limited region (Oksanen and Minchin, 2002). Our findings under 19 these hyperarid conditions were different from those found in the relatively humid regions (e.g., coastal 20 regions or boreal forest), which suggestsed that riparian forest species diversity either decreased or 21 formed a unimodal pattern with increasing distance from the stream (Pabst and Spies, 2011; Macdonald 22 et al., 2014). These variation patterns of community diversity can illustrate how community response to 23 the ecological gradient (Zhu et al., 2013) and interact with environmental factors in this resource 24 limited region (Oksanen and Minchin, 2002). Although located quite far from the river, soil moisture

1 (e.g. SWC1, SWC2, and SWC3) reached its maximum at 1000 m from river channel (Fig. 6), 2 supporting rich vegetation community (multiple layers of tree shrub herb). High soil moisture (up to 100 cm deep) provided adequate water resource for the growth of diverse species as soil moisture also 3 4 explained for 49.95% of vegetation variance. At the distance of 1000 m from the river channel, -the fine-textured soils found in the upper soil layer partly contributed to the diversity of this region. They 5 6 increased the soil water holding capacity and improved the gravimetric moisture content in the upper 7 soil layer, which provided suitable habitat for the growth of diverse herb species with shallow rooting 8 systems (Liu et al., 2008). At the same time, the presence of the deep-rooted tree, Populus euphratica, 9 redistributed the deep soil water to the shallow layer as a strategy for mutualism, In addition, the 10 presence of deep-rooted tree, *Populus euphratica* could benefiting the growth of shallow-rooted herbaceous species (e.g. herbs) by redistributing the deep soil water to the shallow layer as a strategy of 11 12 mutualism (Hao et al., 2013). Similar mechanism also occurred aAt further distance (i.e., 3000 m) from 13 the river (3000 m), high species diversity could be supported by the presence of fine soil particles, 14 which resulted in relatively high soil infiltration water holding capacity and soil nutritionnutrients 15 around the shrub patches ('fertile islands') (Ravi et al., 2010; Zhou et al., 2015). Although situated inat 16 the transition region (from riparian forest to desert shrubs), the soil here at the distance of 3000 m from 17 the river channel was still rich in fine particles (clay and silt; 35.6%), brought by the interaction 18 between wind erosion and shrubs (Ravi et al., 2009). The presence of fine soil particles increased the 19 soil water holding capacity and soil nutrients around the shrub patches ('fertile islands') (Ravi et al., 20 <u>2010)</u>, These 'fertile islands' allowinged the growth of some xerophytic herbs, and increasing the level 21 of diversity in this gradient-region (Stavi et al., 2008; Ravolainen et al., 2013). By contrast, Simpson 22 dominance index peaked at the distances of 500 m and 2000 m where other indices were at their low 23 level (Fig. 4 h), likely due to. We suggested that inter-species competition for water and nutrient 24 resources could be responsible for the trend (Maestre et al., 2006; Boever et al., 2015). At these sites, 25 low community diversity dominated by a few species contributed greatly to the diversity index (Zhu et 26 al., 2013). The dDominant species with a high importancet value (i.e., trees and shrubs at 500 m and 27 2000 m, respectively) often had high competition for resources, hinderalting the growth of other 28 species (i.e., herbs) (Koerselman and Meuleman, 1996). In these sites, low number of species indicated 29 low community diversity and the dominant species made a large contribution to the diversity index of 30 the community (Zhu et al., 2013), resulting in a large domination index (Fig. 4 h).-

1	Among different the environmental factors, changes in water availability associated with soil
2	properties are considered to beas-the most important selective forces shaping ecosystem stability in
3	hyperarid zones (Rosenthal and Donovan, 2005, Ravi et al., 2010, Feng et al., 2015). Our study showed
4	that spatial heterogeneity of soil properties was the major driving force for the spatial distribution of
5	vegetation, with SWC1, SWC3 and BD contributing 59.46% of the total explanation of vegetation
6	variance (Table 2). environmental factors explained 74.0% vegetation variance in total (Table 2), which
7	indicated that both spatial heterogeneity and temporal factors play important role in determining the
8	community characteristics of desert riparian forests in the Heihe River Basin. Among those factors,
9	SWC1, SWC3, BD, annual average of 100 cm soil moisture were considered the key influencing
10	factors, with SWC1 and SWC3 contributed to 45.95% of the total explanation of vegetation variance.
11	The surface soil moisture SWC1 (0-30 cm soil moisture; SWC1) contributed to the high coverage of the
12	herb layers, as it isbecome the main water source for the dominant herb species, such as S.
13	alopecuroiiodes and K. caspica, whose fine roots were mainly distributed within the top 30 cm from of
14	the surface soils (Fu et al., 2014). Meanwhile, deep soil moisture (SWC2 and SWC3) mainly
15	influenced the community density and coveragethe annual fluctuation of NDVI. SWC2 (30-100 cm soil
16	moisture) was the main water resource for shrubs such as, T. ramosissima, and SWC3, recharged by the
17	flood-raised groundwater table (Liu et al., 2015), was the main water source for phreatophytes such
18	aslike P. euphratica or desert shrubs (Yi et al., 2012). As trees and shrubs contributed greatly to the
19	community composition, the increase in SWC2 and SWC3 could significantly promote-the vegetation
20	growth, and increaseing the community density and NDVIcoverage.
21	Apart from the soil moisture, Among spatial heterogeneity factors, the soil physical properties
22	were also important in determining the spatial distribution of the vegetation community in our study.
23	Bulk density and soil composition (clay, silt, gravel), which were critical for water and nutrient holding
24	capacity (Stirzaker et al., 1996; Meskinivishkaee et al., 2014), mainly influenced the community
25	density, community coverage, shrub coverage and diversity indices (Table 1). with BD accounted for
26	13.51% of the total explanation of vegetation variance. Bulk density mainly influenced community
27	density, community coverage, shrub coverage, and annual average of NDVI, while soil composition
28	(clay, silt, gravel) mainly affected the Simpson diversity indices, annual average of NDVI, and annual

- 29 average change of NDVI. Bulk density and soil composition are critical for water and nutrient holding
- 30 <u>capacity and the ability of absorbing soil nutrition(Stirzaker et al., 1996; Meskinivishkaee et al., 2014).</u>

1 Soil with a low bulk density is characterized by usually had high water and nutrient holding capacity 2 associated with fine soil particles porosity, which allows more water to infiltrate into the deep soil, 3 promoting the growth of deep root vegetation and benefiting community density, coverage and annual 4 average NDVI in each gradient. While, as opposed to soil with high bulk density which often consisted 5 of high coarse soil particlessilt and sand, but low percentage of clay which resulted in low water holding capacity in the surface soil (Ravi et al., 2010). The latter could induce water stress and limit 6 7 vegetation growth, especially for herb species, which contributed greatly to the community coverage, 8 density and diversity and possibly inducing the drought stress to the vegetation community (Stirzaker et 9 al., 1996). Such process constrained vegetation recovery especially herbs, which contributed greatly to 10 the community coverage, density and diversity, It also hindered the NDVI increase, resulting in low 11 diversity and a large domination index of the community. Interestingly, we found that sSoil 12 nutrientstion explained no more than 0.13% of the vegetation variance, and that-we found that SOM 13 (soil organic matter) was negatively correlated with the species richness. Thiese findings wasere 14 different from the commonly positive relationship commonly found between SOM and species richness 15 in semiarid zones (e.g., the Loess Plateau) found in previous study (Jiao et al., 2011; Yang et al., 2014). 16 Although SOM-content determinesd soil nutrient storage and the supply of available nutrients, our sites 17 in the hyperarid zone were often characterized by barren soil with less than 1% soil organic matter (Fig. 18 55-d). Such a low amount of SOM might not be able to boost the growth of various species in desert 19 riparian forests-. At the same time, the dominant species (i.e., P. euphratica and T. ramosissima), 20 despite producing a high amount of litter, they also had high competition for resources, thus 21 halthindering the diversity and growth of other species (Su, 2003).

<u>4.2 Factors influencing the distribution pattern and The temporal variation of in Heihe desert</u> riparian forest and its influencing factors

Our results showed that the NDVI has generally increased sSince the implementation of the ecological
water conveyance project in 2000, except for the vegetation in desert riparian forest has recovered
significantly, shown by the increasing NDVI at different distances from the river channel (Fig. 5 a).
<u>Aglthough there was an</u> initial decreaseof NDVI during between 2000 and -20012002, likely due to the
one year __lagging effect of runoff and the relatively low amount of runoff at during these early years
(Jin et al., 2008; Ge et al., 2009),). NDVI generally increased with the restoration time. With better

1	water availability (e.g., increased surface soil moisture and a higher groundwater table), even at the
2	furthest distance from the river (2000-3000 m), tThe conversion of low coverage community (e.g.
3	sparse <u>ly</u> forest <u>ed</u> land , or bareland land) to shrubland and grassland at the <u>se</u> distance <u>s</u> of 2000-3000 m
4	from the river channel-likely contributed to this the increase in NDVI with better water availability in
5	Heihe River Basin (e.g. increase of surface soil moisture increasing and elevate of groundwater lifting).
6	In contrast, the NDVI neararound the river bank underwent a slight decreased degradation in during the
7	recent years (2012-2014), likely due to result from the conversion of shrubland to sparse forest land
8	(Fig. 5 b),- In the arid zone, increasing grazing pressure is mainly limited to the region near in the
9	periphery of the river bank due to the abundance of palatable grass and available of drinking water,
10	which may hinder vegetation recovery compared to other gradients(Todd., 2006),- In addition, high
11	soil moisture and low salinity supported the regeneration of Populus cuphratica trees. As they became
12	the dominant species, they limited the growth of other species due to inter species competition, leading
13	to decrease in NDVI. High and high tourism pressure may also hinder vegetation growth during the
14	growing season (May to October) since Populus cuphratica trees are becoming popular tourist
15	destination (Hochmuth et al., 2014).
16	4.2 Factors influencing the distribution pattern and temporal variation of desert riparian forest
16 17	4.2 Factors influencing the distribution pattern and temporal variation of desert riparian forest-
16 17 18	4.2 Factors influencing the distribution pattern and temporal variation of desert riparian forest-
16 17 18 19	4.2 Factors influencing the distribution pattern and temporal variation of desert riparian forest- Among the environmental factors, changes in water availability associated with soil properties are considered as the most important selective forces shaping coosystem stability in hyperarid zone
16 17 18 19 20	4.2 Factors influencing the distribution pattern and temporal variation of desert riparian forest Among the environmental factors, changes in water availability associated with soil properties are considered as the most important selective forces shaping ecosystem stability in hyperarid zone (Rosenthal and Donovan, 2005; Ravi et al., 2010; Fong et al., 2015). Our study showed that
16 17 18 19 20 21	4.2 Factors influencing the distribution pattern and temporal variation of desert riparian forest- Among the environmental factors, changes in water availability associated with soil properties are considered as the most important selective forces shaping ecosystem stability in hyperarid zone (Rosenthal and Donovan, 2005; Ravi et al., 2010; Feng et al., 2015). Our study showed that environmental factors explained 74.0% vegetation variance in total (Table 2), which indicated that both
 16 17 18 19 20 21 22 	4.2 Factors influencing the distribution pattern and temporal variation of desert riparian forest Among the environmental factors, changes in water availability associated with soil properties are considered as the most important selective forces shaping ecosystem stability in hyperarid zone (Resenthal and Donovan, 2005; Ravi et al., 2010; Feng et al., 2015). Our study showed that environmental factors explained 74.0% vegetation variance in total (Table 2), which indicated that both spatial heterogeneity and temporal factors play important role in determining the community
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 16 17 18 19 20 21 22 23 24 25 26 27 	4.2 Factors influencing the distribution pattern and temporal variation of desert riparian forest- Among the environmental factors, changes in water availability associated with soil properties are considered as the most important selective forces shaping ecosystem stability in hyperarid-zone (Rosenthal and Donovan, 2005; Ravi et al., 2010; Feng et al., 2015). Our study showed that environmental factors explained 74.0% vegetation variance in total (Table 2), which indicated that both spatial heterogeneity and temporal factors play important role in determining the community characteristics of desert riparian forests in the Heihe River Basin Among those factors, SWC1, SWC3, BD, annual average of 100 cm soil moisture were considered the key influencing factors, with SWC1 and SWC3 contributed to 45.95% of the total explanation of vegetation variance. SWC1 (0-30 cm soil moisture) contributed to high coverage of herb layers as it become the main water source for the dominant herb species, such as <i>S. alopecariodes</i> and
 16 17 18 19 20 21 22 23 24 25 26 27 28 	4.2 Factors influencing the distribution pattern and temporal variation of desert riparian forest – Among the environmental factors, changes in water availability associated with soil properties are considered as the most important selective forces shaping ecosystem stability in hyperarid zone (Rosenthal and Donovan, 2005; Ravi et al., 2010; Feng et al., 2015). Our study showed that environmental factors explained 74.0% vegetation variance in total (Table 2), which indicated that both spatial heterogeneity and temporal factors play important role in determining the community characteristics of desert riparian forests in the Heihe River Basin.– Among those factors, SWC1, SWC3, BD, annual average of 100 cm soil moisture were considered the key influencing factors, with SWC1 and SWC3 contributed to 45.05% of the total explanation of vegetation variance. SWC1 (0.30 cm soil moisture) contributed to high coverage of herb layers as it become the main water source for the dominant herb species, such as <i>S. alopecuriodes</i> and <i>K. caspice</i> whose fine roots mainly distributed within 30 cm from the surface soil (Fu et al., 2014).
 16 17 18 19 20 21 22 23 24 25 26 27 28 29 	4.2 Factors influencing the distribution pattern and temporal variation of desert riparian forest- Among the environmental factors, changes in water availability associated with soil properties are considered as the most important selective forces shaping ecosystem stability in hyperarid zone (Rosenthal and Donovan, 2005; Ravi et al., 2010; Feng et al., 2015). Our study showed that environmental factors explained 74.0% vegetation variance in total (Table 2), which indicated that both spatial heterogeneity and temporal factors play important role in determining the community characteristics of desert riparian forests in the Heihe River Basin Among those factors, SWC1, SWC3, BD, annual average of 100 cm soil moisture were considered the key influencing factors, with SWC1 and SWC3 contributed to high coverage of herb layors as it become the main water source for the dominant herb species, such as <i>S. alopecuriodes</i> and <i>K. caspica</i> whose fine roots mainly distributed within 30 cm from the surface soil (Fu et al., 2014).

SWC2 (30-100 cm soil moisture) was the main water resource for shrubs such as. T. ramosissima. 1 SWC3, recharged by flood raised groundwater table (Liu et al., 2015), was the water source 2 phreatophyte like *P. suphratica* or desert shrubs (Yi et al., 2012). As tree and shrub contributed greatly 3 the community composition, the increase in SWC2 and SWC3 could significantly promote the 4 vegetation growth, increasing the community density and NDVI. All three layers of soil moisture 5 positively affected both community coverage and the annual average of NDVI (NDVI_a), which 6 indicated that improved water availability directly promoted vegetation recovery in different gradients 7 8 and high community coverage in this current stage.

9 Among spatial heterogeneity factors, soil physical properties were also important in determining vegetation community with BD accounted for 13.51% of the total explanation of vegetation variance. 10 Bulk density mainly influenced community density, community coverage, shrub coverage, and annual 11 12 average of NDVI, while soil composition (clay, silt, gravel) mainly affected the Simpson diversity indices, annual average of NDVI, and annual average change of NDVI. Bulk density and soil 13 14 composition are critical for water and nutrient holding capacity and the ability of absorbing soil nutrition(Stirzaker et al., 1996; Meskinivishkace et al., 2014). Soil with low bulk density is 15 16 characterized by high porosity, which allows more water to infiltrate into the deep soil, promoting the growth of deep root vegetation and benefiting community density, coverage and annual average NDVI 17 each gradient. While, soil with high bulk density often consisted of high silt and sand, but low 18 percentage of clay which resulted in low water holding capacity in the surface soil (Ravi et al., 2010) 19 20 and possibly inducing the drought stress to the vegetation community (Stirzaker et al., 1996). Such process constrained vegetation recovery especially herbs, which contributed greatly to the community 21 22 eoverage, density and diversity. It also hindered the NDVI increase, resulting in low diversity and a large domination index of the community. Soil nutrition explained no more than 3% of vegetation 23 24 variance, and we found that SOM negatively correlated with species richness. This finding was different from the commonly positive relationship between SOM and species richness in semiarid zone 25 (e.g. Loess Plateau) found in previous study (Jiao et al., 2011; Yang et al., 2014). Although SOM 26 content determined soil nutrient storage and supply of available nutrients, our sites in hyperarid zone 27 were often characterized by barren soil with less than 1% soil organic matter (Fig. 5d). Such low 28 29 amount of SOM might not be able to boost the growth of various species in desert riparian forests 30 (Wang et al., 2016). At the same time, the dominant species (i.e., P. euphratica and T. ramosissima), despite producing high amount of litter, they also had high competition for resources, thus halting the
 diversity and growth of other species (Su, 2003).

3 All three layers of soil moisture positively affected both community coverage and the annual 4 average of NDVI (NDVI a), which indicated that improved water availability directly promoted 5 vegetation recovery in different gradients and high community coverage in this current stage. Our 6 results also indicated that the NDVI at different distances from the river channel was affected by the 7 spatial heterogeneity of soil properties, particularly soil moisture and bulk density, explaining 45.95% 8 and 13.51% of the vegetation variance respectively (Table 2). All three soil moisture layers (SWC1, SWC2 and SWC3) positively affected the annual average NDVI (NDVI_a) by supplying water to both 9 10 shallow- and deep-rooted riparian vegetation (Fu et al., 2014). The deep soil moisture (SWC3), 11 recharged by the increasing groundwater table, was particularly important for the shrub and tree layers 12 (e.g., P. euphratica and T. ramosissima) (Yi et al., 2012), which contributed largely to the increase rate 13 of NDVI in this desert riparian forest. Bulk density accounted for 13.51% of the total explanation of the 14 vegetation variance and was negatively correlated with the annual average NDVI (NDVI_a) and the 15 annual variability of NDVI (NDVI c). In the hyperarid zone, soils with high bulk density were often 16 characterized as having a high proportion of coarse soil particles but low clay content (e.g., sites at 17 distances of 2500 and 3000m from the river channel; Fig. S5b, c in the Supplement) (Ravi et al., 2009). 18 This resulted in low water holding capacity in the upper soil layer and constrained the growth of 19 shallow-rooted vegetation, lowering the average NDVI and inhibiting the NDVI increase at each 20 distance from the river channel.

21 Apart from soil, the temporal variation in runoff driven by the ecological water conveyance played 22 an important role in driving the annual variability of the NDVI in this desert riparian forest. This runoff 23 significantly improved the water conditions by lifting the groundwater table and increasing the soil 24 water content (Zeng et al., 2016). However, we found that the correlation between runoff and NDVI 25 was stronger with that of the following year than that of the same year (Fig. 6), consistent with previous 26 findings (Jin et al., 2010) which indicated the lagging effects of runoff. These effects were unsurprising, 27 considering that the increase in runoff needs to undergo a series of hydrological (e.g., seepage, 28 interflow, groundwater movement) and ecological processes (e.g., vegetation water uptake and water 29 utilization) to increase groundwater, soil moisture, and vegetation growth (Williams et al., 2006; Liu et 30 al., 2012). A combination of those aforementioned processes also result in the more stable growth rate

1 of groundwater and soil moisture during 2000-2014 (Fig. S6a, b, c) compared to the runoff fluctuations 2 (Fig. S6d). Therefore, there were weaker relationships between the annual variability in soil moisture 3 and groundwater (e.g., SWC2cm c, SWC100cm c, GWT c) and the annual variability of NDVI (e.g., 4 NDVI c) than between the spatial heterogeneity of soil moisture (e.g., SWC3) and the annual 5 variability of NDVI (e.g., NDVI_c) (Table 1). In addition, the deviations between simulations and 6 observations could also partly account for the weak correlations between the variability of soil moisture, 7 groundwater and community characteristics, as the variation of soil moisture and groundwater was 8 derived from the remote sensing dataset.

9 Compared to the spatial heterogeneity of the soil properties, the temporal variation factors only 10 accounted for 35.9% of the total explanation (Table 3). Except for the possible influence of the 11 accuracy of the retrieved remote sensing data, lack of data regarding the temporal variation of soil 12 properties could also have partly accounted for the low explanatory power of temporal influencing 13 factors. Although most of the physical and chemical properties of the soils remained unchanged during 14 the 15 years of ecological restoration (Cao et al., 2010; Chen et al., 2014), some of those soil properties, 15 such as soil organic matter, might change due to vegetation recovery during ecological restoration. 16 Future studies that include the temporal variation in soil properties might therefore be required to 17 comprehensively address how temporal variation in environmental factors impacts on vegetation 18 variation.

19 and SWC100cm a was considered the key influencing factor. Along with GWT a and SWC 20 2cm_a, they contributed to the recovery of desert riparian forest, shown by the increase in community density (Table 1). As soil moisture up to 100 cm deep is beyond the impact of increasing evaporation 21 22 under climate change (Zhang et al., 2015a) and less influenced by the fluctuation of groundwater, it 23 could be considered as reliable water source for vegetation. We, however, found that the coverage of 24 tree layer showed a negative relationship with GWT_a, contrary to studies in Tarim river where a 25 sharply decrease of groundwater level was observed along the distance from river channel (Chen et al., 26 2015). In Heihe River, the groundwater did not fluctuate much and the perennial groundwater table 27 remained above 4 m. High water table allowed the deep rooted trees to face more competition from 28 shallow rooted species and therefore with the deepening of groundwater, the habitat become more 29 suitable for the growth of deep rooted vegetation (e.g. tree and shrub) than the shallow root one (e.g. 30 herb) (Ditommaso et al., 1989). Compared to the annual average of water availability in each gradient,

1 the annual variability of soil moisture and groundwater due to runoff did not have significant impact on 2 community characteristics, most likely because the latter did not fluctuate much during 2000 2014 3 (Fig.759). Ecohydrological processes in riparian zone such as seepage, interflow, groundwater 4 movement and vegetation evapotranspiration (Liu et al., 2012) lifted up groundwater and soil water 5 condition, moderating the effect of rapid runoff increase. The recovery of vegetation was therefore 6 more likely benefited from long term improvement in water condition instead of the annual water 7 variability (i.e., runoff) as mutual effect of the aforementioned ecohydrological processes would result 8 in a more stable re charge of soil moisture and groundwater.

9 4.3 Community resilience of desert riparian forests and implications for ecological

10 restorationprotection

11 As the main communities in the downstream Heihe River Basin, desert riparian forest strongly influenced the ecosystem resilience and resistance against disturbance. Studies have shown that 12 species rich communities can maintain ecosystem functions during stress based perturbations due to 13 14 the complementary of function traits and ecological redundancy (Luck et al., 2013; Isbell et al., 2015). 15 Although community diversity was generally low at most sites in the downstream Heihe River Basin-at 16 most gradients, our findings suggest that there were some sites with significantly higher community 17 diversity, for example those at distances of 1000 m and 3000 m from the river channel it was significantly higher at 1000 m and 3000 m gradients (Fig 4). Consistent with other findings (Hao et al., 18 19 2013), High high resistance to drought stress was observed at these distances from the river 20 channelgradients, with trees and shrubs lifting up-water up from the deeper to the shallower layers as a 21 strategy forof mutualism (Hao et al., 2013). Since trees and shrubsdDifferent species contributed 22 differently toin the ecosystem functions (e.g., trees and shrubs with large crowns mainly contribute to 23 sand fixation, carbon storage __while shrub and diverse herbs contributed to biodiversitysand fixation), 24 they could maintaining a stable habitat after drought stress and/or human disturbance (Cheng et al., 25 2007; Krieger et al., 2001; Lu et al., 2015). Indeed, species-rich communities can maintain ecosystem 26 functions during stress-based perturbations due to the complementary of functional traits and ecological 27 redundancy (Luck et al., 2013; Isbell et al., 2015).

In contrast, communities at the other <u>distances from the river channel gradients</u> could easily
 undergo degradations, <u>due to low resilience under disturbance (e.g., drought stress, grazing and tourism)</u>

1 such as those that already happened at the distance of 500 m from the river channel gradient, indicated 2 by the decreasing NDVI in these-recent years (Fig. 5a). While eExposure to human disturbance (e.g., tourism, grazing), including trampling by livestock might potentially destroy change the soil physical 3 4 properties, and reducing reduce the ecosystem services such as water and soil conservation in this 5 region (Greenwood and Mckenzie, 2001; Zhao et al., 2012; Daryanto et al., 2013), the projected rise in 6 temperature and drought frequency could lead to degradation in regions that are far from the river 7 channel and experience the limited influence of ecological water conveyance (Si et al., 2005; Zeng et 8 al., 2016).

9 Our study showed that water availability and spatial heterogeneity of soil properties were the main 10 driving forces for the spatial distribution and temporal variation of restored desert riparian forest at 11 Heihe River Basin. Since the influence of ecological water conveyance was mainly limited to 1000 m 12 distance from river (Si et al., 2005; Guo et al., 2009), projected rise in temperature could lead to the 13 collapse of riparian vegetation (e.g. Tamarix ramosissima, Lycium ruthenicum) at further gradients, 14 resulting in decrease of ecosystem service (e.g. sand fixation and carbon storage). In addition to 15 potential threat posed by climate change, the periphery of the river is also more likely to be disturbed 16 by grazing and heavy tourism pressure (Zenner, et al. 2012). Exposure to human disturbance, including 17 trampling by livestock might potentially destroy the soil physical properties, reducing the ecosystem 18 services such as water and soil conservation. To halt degradation in this critical zone, As conservation 19 measures, we suggested building the development of natural channels-that perpendicular to the river 20 to fully extend the influencinge scope of the ecological water conveyance and benefit the regions far 21 from the river bank (Zhang et al., 2011b). At the same time, multiple conservation measures such as: (i) 22 establishing setting critical fenced areas for ecological protection, and $\frac{(ii)}{(ii)}$ constructing artificial shields 23 or establishing straw checker boards on the bare land to prevent erosionland degradation, are 24 recommended around the periphery of the river.

25 5 Conclusions

Through extensive field observations at multiple desert riparian forests locations and analyses of longterm remote sensing images, we found that <u>community characteristics differed spatially and</u> <u>temporallyspecies diversity indices formed bimodal patterns instead of unimodal pattern with distance</u> <u>from the river channel</u>. In locations with high diversity indices (1000 m and 3000 m), high community

1 resilience could bewas maintained by the multiple interactions between vegetation and soil properties. 2 In contrastStill, regions with low diversity mightthese locations are faceing greater challenges under 3 climate change and intensive human disturbance. Since the influence of ecological water conveyance is currently limited to a distance of 1000 m from the river (Si et al., 2005; Zeng et al., 2016), 4 5 Extending the distance of ecological water conveyance is therefore recommended to recharge the 6 surface soil moisture and benefit the growth of ground cover (i.e., herb species), which contribute 7 greatly to species diversity. Despite the increasing NDVI trend, areas with low diversity (within 500 m 8 from river channel) already underwent degradation in recent years. In addition, Mmultiple conservation 9 measures that protect the soil structure (e.g., artificial soil cover and livestock grazing exclusion) are 10 recommended for regions close to the river this region to reduce the adverse effects of grazing on soil 11 properties. Unless these necessary precautions are taken, desert riparian forests may become restricted 12 the periphery of the riverfragmented and experience significant community transition under 13 projected climate change scenarios and more intensive human disturbance.

14

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