





1 **Abstract:** This paper proposes the application of evolutionary fuzzy (EF) approach for prediction  
2 of daily suspended sediment concentration (SSC). The EF was improved by the combination of  
3 two methods, fuzzy logic and genetic algorithm. The accuracy of EF models is compared with  
4 those of the artificial neural network (ANN) and adaptive neuro-fuzzy inference system with  
5 fuzzy c-means clustering (ANFIS-FCM). The daily streamflow and suspended sediment data  
6 collected from two stations on the Eel River in California, United States are used in the study.  
7 Root mean square errors (RMSE), mean absolute errors (MAE) and determination coefficient  
8 criteria are used for evaluating the accuracy of the models. The EF is found to be superior to the  
9 ANN and ANFIS-FCM in SSC prediction. The relative RMSE and MAE differences between the  
10 optimal EF and ANN models were found to be 13-50% and 15-65% for the upstream and  
11 downstream stations, respectively. Comparison of the optimal EF, ANN and ANFIS-FCM  
12 models in estimating peak and total suspended sediments revealed that the EF model provided  
13 better accuracy than the ANN and ANFIS-FCM.

14 **Keywords:** Suspended sediment concentration; modelling; neural networks; fuzzy logic; genetic  
15 algorithm.

16

## 17 **1. Introduction**

18 The sediment transport in rivers is vital for pollution, channel navigability, reservoir filling,  
19 hydroelectric-equipment longevity and scientific interests. The assessment of the sediment  
20 amount being transported by a river has a vital importance in hydraulic engineering due to its  
21 importance in the design and management of water resources projects (Jain 2001; Kisi et al.  
22 2006). The suspended sediment estimation is exceedingly difficult since it is closely related to  
23 flow and their relationship mechanism is highly non-linear and they have complicated  
24 interactions to each other (Sivakumar and Wallender 2005).



1 Artificial neural networks (ANN) have been successfully applied in water resources in the last  
2 decades (Kisi and Shiri 2012; Kisi et al. 2012, 2013; Shiri et al. 2013). Recent investigations have  
3 reported that ANNs may offer a promising alternative for suspended sediment estimation (Jain  
4 2001; Tayfur 2002; Kisi 2005; Cigizoglu & Kisi 2006, 2008, 2010; Dogan et al. 2007; Rai and  
5 Mathur 2008; Kisi et al. 2008, 2009; Cobaner et al. 2009; Jothiprakash and Garg 2009; Rajae et  
6 al. 2009; Talebizadeh et al. 2010; Melesse et al. 2011; Mustafa et al. 2012; Kisi and Ayttek 2013;  
7 Kitsikoudis et al. 2014). Jain (2001) compared single ANN approach with rating curve in  
8 establishing sediment-discharge relationship and found that the ANN model performed better  
9 than the rating curve. Tayfur (2002) used an ANN model for sheet sediment transport and  
10 compared with physically-based models, whose transport capacity was based on one of the  
11 dominant variables-flow velocity, shear stress, stream power, and unit stream power. He reported  
12 that the ANN performed as well as, in some cases better than, the physically-based models. Kisi  
13 (2005) used an ANN model for estimating suspended sediment and compared its results with  
14 sediment rating curve (SRC) and multiple linear regression (MLR). He used daily streamflow and  
15 suspended sediment data from two stations, Quebrada Blanca and Rio Valenciano, operated by  
16 the US Geological Survey. Comparison results indicated that the ANN model performs better  
17 than the regression and rating curve techniques in estimation of suspended sediment. Cigizoglu &  
18 Kisi (2006) proposed some methods to improve ANN accuracy in suspended sediment  
19 estimation. They used k-fold partitioning of the training data set and showed that similar or even  
20 superior sediment estimation performances can be obtained with quite limited data provided that  
21 the training data statistics of the subset are close to those of the testing data. Rai and Mathur  
22 (2008) developed a back propagation feed-forward ANN model for the computation of event-  
23 based temporal variation of sediment yield from the watersheds and compared with linear transfer  
24 function model. Based on the comparison, the ANN based model resulted better agreement than



1 the linear transfer function model for the computation of runoff hydrographs and  
2 sedimentographs for both the watersheds (W-2 watershed of Treynor catchment and W7 of  
3 Goodwin Creek experimental watershed in USA). Kisi (2008) compared three different ANN  
4 training algorithms in suspended sediment estimation by using the flow and sediment data from  
5 the stations Quebrada Blanca and Rio Valenciano in USA. He indicated that the Levenberg–  
6 Marquardt and conjugate gradient algorithms performed better than the gradient descent in  
7 suspended sediment estimation. He also reported that the gradient descent algorithm took an  
8 unusually high number of iterations and time taken by the other two algorithms for training of the  
9 network. Jothiprakash and Garg (2009) used ANN model for estimating the volume of sediment  
10 retained in a reservoir and they found that the ANN model estimated the sediment volume with  
11 better accuracy and less effort as compared to conventional regression analysis. Rajaei et al.  
12 (2009) compared ANN with MLR and SRC models in daily simulation of suspended sediment  
13 concentration (SSC) using daily river discharge and SSC data from the Little Black River and  
14 Salt River stations in the USA. They indicated that the ANN model was more accurate than the  
15 MLR and SRC models in predicting SSC. Talebizadeh et al. (2010) made uncertainty analysis in  
16 sediment load estimation by using ANN and SWAT model. Melesse et al. (2011) used ANNs  
17 with an error back-propagation algorithm to predict the suspended sediment load from  
18 Mississippi, Missouri, and Rio Grande major rivers in USA. They evaluated different input  
19 combinations and compared the results with MLR, multiple nonlinear regression and  
20 autoregressive integrated moving average (ARIMA). Kisi and Aytok (2013) proposed explicit  
21 neural network (ENN) formulation for modeling daily suspended sediment-discharge relationship  
22 and compared with two different SRCs, MLR and nonlinear regression (NLR). They used daily  
23 streamflow and suspended sediment data from two stations on Tongue River in Montana, USA.  
24 The comparison results revealed that the ENN model performs better than the conventional SRC,



1 MLR and NLR. Kitsikoudis et al. (2014) employed ANN and ANFIS for prediction of bed load  
2 transport rates in gravel-bed steep mountainous streams and rivers in Idaho (USA). They  
3 compared ANN and ANFIS results with those of the symbolic regression (SR) based on genetic  
4 programming (GP) and widely applied bed load formulas. The ANN and ANFIS models  
5 performed equally well, better than SR and bed load formulas. Mustafa et al. (2012) compared  
6 different ANN training algorithms (gradient descent, gradient descent with momentum, scaled  
7 conjugate gradient, and Levenberg-Marquardt) in prediction of the suspended sediment discharge  
8 of Pari River at Silibin in Peninsular Malaysia and they found that Levenberg-Marquardt (LM)  
9 was faster and more powerful than the other algorithms. In the present study, also, the LM is used  
10 for training ANN models.

11 Fuzzy logic has also been successfully employed for suspended sediment estimation during  
12 recent years (Tayfur et al. 2003; Kisi 2009; Lohani et al. 2007; Kisi et al. 2006, 2008, 2009;  
13 Mirbagheri et al. 2010; Wieprecht et al. 2013; Kitsikoudis et al. 2014; Roushangar 2014). Tayfur  
14 et al. (2003) applied fuzzy logic approach for modeling runoff-induced sediment transport from  
15 bare soil surfaces and obtained satisfactory results. They compared the fuzzy model with those of  
16 the physics-based models in predicting the mean sediment loads from experimental runs. The  
17 results indicated that the fuzzy model performed better than the physically-based model under  
18 very high rainfall intensities over different slopes and over very steep slopes under different  
19 rainfall intensities. Kisi et al. (2006) applied fuzzy logic approach to 5-year period of continuous  
20 streamflow and sediment concentration data of Quebrada Blanca Station operated by the United  
21 States Geological Survey. They indicated that fuzzy rule based models performs better than the  
22 SRC models in prediction of daily suspended sediment concentration. Lohani et al. (2007) used  
23 adaptive neuro-fuzzy inference system (ANFIS) for developing stage-discharge-sediment  
24 concentration relationships by using data from two gauging sites in the Narmada basin in India.



1 Comparison results revealed that the ANFIS model significantly improved the magnitude of  
2 prediction accuracy and could be successfully applied for sediment concentration prediction. Kisi  
3 et al. (2008) modeled daily suspended sediment estimation by ANFIS models and compared with  
4 radial basis neural network (RBNN), feed-forward neural network (FFNN), generalized  
5 regression neural network (GRNN), MLR and SRC. They used daily streamflow and suspended  
6 sediment data of four stations in the Black Sea region of Turkey. They reported that the ANFIS  
7 model, in general, gave better estimates than the other models. Kisi et al. (2009) investigated the  
8 accuracy of an ANFIS computing technique in monthly suspended sediment estimation. They  
9 used monthly streamflow and suspended sediment data from two stations, Kuylus and Salur  
10 Koprusu, in Kizilirmak Basin in Turkey. They obtained better estimates than the conventional  
11 SRC. Mirbagheri et al. (2010) used ANFIS method for SSC prediction by using daily data from  
12 the Rio Rosario gauging station, Puerto Rico, USA. They found that proposed ANFIS model was  
13 able to improve on the RMSE value of the SRC method by about 44.32%. Roushangar (2014)  
14 applied ANFIS method for modeling of total bed material load through developing the accuracy  
15 level of the predictions of traditional models. They used data of Qotur River (Northwestern Iran).  
16 The comparison results indicated that the ANFIS models performed better than the sediment  
17 transport formulas in modeling total bed material load transport rate. They also found that the  
18 models based on stream power approach (used by Bagnold and Engelund-Hansen) were more  
19 reliable than those based on shear stress approach (used by Laursen) in estimating sediment  
20 transport rate. It is apparent from the literature that no work has reported the use of fuzzy genetic  
21 approach for modeling SSC.

22 This study investigates the applicability of fuzzy genetic approach for predicting daily SSC. The  
23 evolutionary fuzzy (EF) models are compared with those of the ANN and ANFIS with fuzzy c-  
24 means clustering (ANFIS-FCM) models. To the best knowledge of the author, this is the first



1 study that compares the accuracy of EF model with those of the ANN and ANFIS-FCM models  
2 in suspended sediment modeling.

3

## 4 **2. Methods and materials**

### 5 *2.1. Fuzzy logic approach*

6 Fuzzy logic is firstly introduced by Zadeh (1965) and used different scientific researches. The  
7 fuzzy concepts and algorithms can be found in many related textbooks (Kosko 1993; Ross 1995).  
8 The fuzzy logic (FL) theory has a mechanism for representing linguistic constructs such as  
9 “high”, “low”, “medium”, “few” etc. The FL has an inference system that enables human  
10 reasoning capabilities while the conventional binary set theory defines crisp events. The FL  
11 theory is based upon the notion of relative graded membership degree between 0 and 1.0. The  
12 fuzzy sets have ability to model indistinct or ambiguous data, often faced in real life (Sivanandam  
13 et al. 2007).

14 As seen from Figure 1, a typical fuzzy inference system is a rule-based system and composed of  
15 three conceptual components. These are; 1) a rule base comprising fuzzy IF-THEN linguistic  
16 rules relates the membership functions (MFs) of the input variables to the outputs’ MFs; 2) a  
17 database consisting membership functions used in fuzzy linguistic rules; 3) an inference  
18 mechanism that incorporate these rules to relate a set of outputs to a set of inputs and to obtain a  
19 reasonable output. In the fuzzification, input and/or output data are considered as having  
20 ambiguous characteristics and therefore, they are divided into subsets defined by linguistic terms  
21 (e.g., small, big) and membership degrees are determined. In the defuzzification, a crisp  
22 numerical value is computed from the fuzzy linguistic outputs obtained from the inference  
23 mechanism (Nayak et al. 2005). The part between IF and THEN is called antecedent, while the  
24 part after THEN is referred to consequent.



1 Let assume that the input and output variables are partitioned into subsets with Gaussian fuzzy  
2 MFs. If there are three input variables comprising two membership functions in the antecedent  
3 part, there should be  $2^3$  rules in the fuzzy rule base. Increasing number of subsets may results in  
4 better accuracy. In this case, however, the rule base gets larger and its construction will be  
5 difficult to construct (Şen 1998). Assume that we have two inputs with two fuzzy subsets or MFs  
6 labeled as “weak” and “strong” and one output then there should be four rules as follows:

7  $R_1$ : IF  $x_1$  is weak and  $x_2$  is weak THEN  $y_1$

8  $R_2$ : IF  $x_1$  is weak and  $x_2$  is strong THEN  $y_2$

9  $R_3$ : IF  $x_1$  is high and  $x_2$  is weak THEN  $y_3$

10  $R_4$ : IF  $x_1$  is strong and  $x_2$  is strong THEN  $y_4$

11 where  $x_1$  and  $x_2$  are input1 and input2 and  $y_1$ ,  $y_2$ ,  $y_3$  and  $y_4$  are constant or linear equations.

12 In each fuzzy model used in the present study, membership degrees,  $w_n$ , for  $x_1$  and  $x_2$  are  
13 computed to be assigned to the corresponding output  $y_n$  for each triggered rule. Thus, a single  
14 weighted output,  $y$ , is computed by weighting average of the outputs obtained from four rules as:

15 
$$y = \frac{\sum_{n=1}^4 w_n \cdot y_n}{\sum_{n=1}^4 w_n} \quad (1)$$

16 The output values,  $y$ , can be simply calculated from Eq. (1) for any input combination after  
17 setting up the rule base (Şen 1998).

18

## 19 2.2. Genetic algorithm

20 Holland (1975) explained in his book how to apply the principles of natural evolution to  
21 optimization problems and built the first genetic algorithms (GAs). In the last decades, GAs have  
22 been used as a powerful means for solving search and optimization problems (Sivanandam and





1 Deepa, 2008). The main idea in GAs is to simulate the natural evolution mechanisms of  
2 chromosomes, including the rudimentary elements of natural genetics for example reproduction,  
3 crossover, and mutation.

4 Three core steps are included in a typical form of a GA (Preis and Ostfeld, 2008):

- 5 i. Generation of initial population: GA produces a set of strings (or population), with each  
6 string (chromosome) containing a set of parameter values to be optimized.
- 7 ii. Strings fitness calculation: GA assesses the fitness of each string (i.e., the objective  
8 function value).
- 9 iii. Production of new generation: The next generation is produced by performing selection,  
10 crossover and mutation. Selection is used to choose chromosomes from the recent  
11 population for reproduction with respect to fitness values.

12 One of the main reproduction operator employed is bit-string crossover (Figure 2). In this  
13 operator, two strings are used as parents and new individuals are generated by swapping a sub-  
14 sequence between the two strings. The other main operator is bit-flipping mutation (Figure 3). In  
15 this operator, a single bit in the string is flipped to constitute a new offspring string. All operators  
16 in GA are delimited to manipulate the string in a parallel manner to the structural interpretation of  
17 genes. For instance, two genes in the same location on two strings may be exchanged between  
18 parents, but not merged based on their values. Individuals are usually selected to be parents  
19 probabilistically with respect to their fitness values, and the offspring that are formed replace the  
20 parents (Sivanandam and Deepa, 2008).

21 GA is a powerful method with regard to search the optimum solution to complex  
22 problems such as the choice of the MFs where it is hard or almost impossible to test for  
23 optimality (Ahmed and Sarma, 2005).

24 The main differences between GAs and conventional optimization methods are:



- 1       • The parameter sets are coded in GAs, not the parameters.
- 2       • Local optimum is explored from a population in GAs, not a single point.
- 3       • The objective function information is used in GAs, not adjutant knowledge (e.g.
- 4       derivatives).
- 5       • Probabilistic evolution rule is used in GAs, not deterministic rules (Goldberg, 1989).

6       The GA explores for the best potential solutions of a problem from existing solution sets.  
7       The problem is converted to binary form and the solutions are allowed to crossover and mate  
8       with a specified criterion to yield the optimal. The basics of the GA can be obtained from Wang  
9       (1991), Ahmed and Sarma (2005).

10

### 11   2.3. Evolutionary Fuzzy Approach

12   In this study, the EF was developed by the combination of two methods, fuzzy logic and genetic  
13   algorithm. The optimal parameters (e.g. antecedent and consequent parameters) of the fuzzy  
14   models were obtained by using genetic algorithms. Figure 4 demonstrates the flowchart of a  
15   fuzzy genetic model. Genetic algorithm optimization is done by minimizing the error (objective  
16   function) between model estimates and measured values. In this study, mean square error was  
17   used as objective function in genetic algorithm. The MSE can be expressed as

$$18 \quad MSE = \frac{1}{N} \sum_{i=1}^N (y_{i_{observed}} - y_{i_{model}})^2 \quad (2)$$

19   where  $N$  is the number of training data. Here, the objective function given in Eq. 2 was  
20   minimized by adjusting the MF parameters of the input and outputs. The optimization of the MFs  
21   is a complex problem for the supervised learning scheme. Genetic algorithm, however, has a non-  
22   supervised learning scheme and can be successfully applied to solve this problem (Goldberg  
23   1989, Ozger 2009).



1

2 2.4. Case Study

3 The daily streamflow and SSC data from two stations, upstream station near Dos Rios (station  
4 No: 11147000) and the downstream station at Scotia (station No: 11472150), on the Eel River in  
5 California were used in the present study. The stations are operated by the US Geological Survey  
6 (USGS). The drainage areas of the upstream and downstream stations respectively are 1368 km<sup>2</sup>  
7 and 8063 km<sup>2</sup>. Daily data were downloaded from the web server of the USGS  
8 (<http://webserver.cr.usgs.gov/sediment>). In the both stations, the data from October 01, 1966 to  
9 September 30, 1971 were used for training, the data from October 01, 1971 to September 30,  
10 1974 were used for validation and the data from October 01, 1974 to September 30, 1977 were  
11 used for models' testing. Streamflow and suspended sediment data of upstream and downstream  
12 stations are shown in Figures 5-6. In California rivers (e.g. Eel River), the geologic, climatic,  
13 physiographic, and land-use conditions are highly variable (Tramblay *et al.* 2010). An  
14 extraordinary flood was occurred on the Eel River near Scotia, California (downstream station,  
15 11477000) in 1964. This is one of the most widespread and destructive floods in the history of  
16 the West Coast (Waananen *et al.* 1971). The Eel River is the most exceptional flood-producing  
17 river in the United States (O'Connor and Costa 2004). On December 23, 1964, the Eel River at  
18 Scotia, California, peaked up at a stage of 72 ft and a discharge, designated by a rating curve  
19 extension, of 752,000 ft<sup>3</sup>/s. For measuring peak discharges above a threshold at this site, surface  
20 velocities measured by optical current meter are used. The Eel River is may be the only site in the  
21 US, where optical current meters are routinely used for high-flow discharge measurements (Costa  
22 and Jarrett 2008). Groundwater recharge, recreation, and industrial, agricultural and municipal  
23 water supply were supplied from the river (Brown and Ritter, 1971). The Eel River system is  
24 among the most dynamic in California due to the region's unsteady geology and the effect of



1 major Pacific storms. The discharge is highly variable in this river; average flows in January and  
2 February are over 100 times greater than in August and September (USGS 2013). The Eel River  
3 also conveys the highest suspended sediment load of any river of its size in the United States, in  
4 part as a result of the frequent landslides in the region. Unlike most areas, suspended sediment  
5 discharge per unit area in the river increases with catchment size (Brown and Ritter, 1971; Janda  
6 and Nolan, 1979). As a result of ongoing uplift, main channels are generally more deeply incised  
7 than their tributaries, and so streamside landslides, which are major sources of sediment, are  
8 mainly plentiful along main channels. Parent material is mostly soft and friable, and therefore,  
9 bed particles quickly break down into smaller sizes (Knott, 1971). Accordingly, suspended-  
10 sediment load grows downstream at the expense of bedload (Brown and Ritter, 1971; Lisle  
11 2013).

12 Statistical parameters of daily streamflow and SSC data are shown in Table 1 for the upstream  
13 and downstream stations. In this table,  $S_x$ ,  $C_v$ ,  $C_{sx}$ ,  $x_{mean}$ ,  $x_{max}$  and  $x_{min}$  are the standard deviation,  
14 variation coefficient, skewness coefficient, mean, maximum and minimum, respectively. From  
15 the table it is clear that the flow and SSC data have a considerably high skewed distribution  
16 (range 8.05-19.5 for the upstream station and range 7.11-14.5 for the downstream station). The  
17 validation and test data indicate much more skewed distribution than those of the training data for  
18 the both stations. The maximum-mean ratios ( $x_{max}/x_{mean}$ ) for SSC series are also quite high  
19 especially for the validation and test data (244-154 and 135-156 for the upstream and  
20 downstream, respectively). It is evident from these statistics that the discharge-sediment  
21 phenomenon has a highly complex behavior.

22

### 23 3. Results and discussion



1 Different EF models were tried in terms of number of membership functions and generations.  
 2 The EF models were compared with ANN and ANFIS-FCM models. Three different program  
 3 codes, including fuzzy logic, genetic algorithm and neural network toolboxes, were written in  
 4 MATLAB language for the simulations of EF, ANN and ANFIS-FCM models.

5 Root mean square errors (RMSE), mean absolute errors (MAE) and determination  
 6 coefficient ( $R^2$ ) were used for evaluation of the applied models. The RMSE, MAE and  $R^2$   
 7 statistics are expressed as

$$8 \quad RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (SSC_{i_{observed}} - SSC_{i_{predicted}})^2} \quad (2)$$

$$9 \quad MAE = \frac{1}{N} \sum_{i=1}^N |SSC_{i_{observed}} - SSC_{i_{predicted}}| \quad (3)$$

10

$$11 \quad R^2 = \left( \frac{\sum_{i=1}^N (SSC_{i_{observed}} - \overline{SSC}_{observed})(SSC_{i_{predicted}} - \overline{SSC}_{predicted})}{\sqrt{\sum_{i=1}^N (SSC_{i_{observed}} - \overline{SSC}_{observed})^2 \sum_{i=1}^N (SSC_{i_{predicted}} - \overline{SSC}_{predicted})^2}} \right)^2 \quad (4)$$

12

13 in which  $N$  is the number of data,  $SSC$  is the suspended sediment concentration,  $\overline{SSC}$  is mean of  
 14 the  $SSC$ .

15 Various input combinations including previous streamflows (Table 2) were tried to  
 16 estimate suspended sediment concentrations of the upstream station. In this table,  $Qt$  and  $Qt-1$   
 17 indicate the discharge at current and one previous days, respectively. Input combinations were  
 18 determined according to the correlation analysis given in Table 2 and following the related  
 19 literature (Jain, 2001; Kisi, 2005). For each input combination, optimum parameters of the EF,  
 20 ANN and ANFIS-FCM models were obtained by minimizing the objective function (MSE error  
 21 between calculated and observed  $SSC$  values) in validation period. The training and validation



1 results of the EF, ANN and ANFIS-FCM models are shown in Table 3 for the upstream station.  
2 The computing times required for the applied models are also compared in this table. In this  
3 table, the EF(3,gauss,5000) model has the 3 Gaussian MFs for the inputs,  $Q_t$ ,  $Q_{t-1}$ ,  $Q_{t-2}$  and  $Q_{t-3}$   
4 and 5000 generations. ANN(4,2,1) indicates an ANN model comprising 4 input, 2 hidden and 1  
5 output nodes. ANFIS-FCM(5) model has 5 cluster or 5 Gaussian MFs for each input. In all ANN  
6 models, the logarithm sigmoid activation function commonly used in the literature was used for  
7 the hidden and output nodes. It is evident from Table 3 that the ANFIS-FCM models generally  
8 perform better than the EF and ANN models in the validation period. The ANFIS-FCM models  
9 require less computing time for calibration than the other models. The EF models has the most  
10 computing time in calibration (training). Table 5 compares the accuracy of the applied models in  
11 the test period. It is obvious from the table that all the EF models generally have better accuracy  
12 than the ANN and ANFIS-FCM models. The relative RMSE and MAE differences between the  
13 optimal EF (input combination iii) and ANN (input combination iv) models are 13% and 50%,  
14 respectively. Figure 7 illustrates the scatterplots of the optimal EF, ANN and ANFIS-FCM  
15 models in the test period for the upstream station. The  $R^2$  value of ANN seems to be slightly  
16 higher than the EF model. However, the  $a$  and  $b$  fit line equation coefficients of the EF model  
17 (assume that the equation is  $y=ax+b$ ) respectively closer to the 1 and 0 than those of the ANN  
18 model. Figure 8 demonstrates the log-scaled scatterplots of the optimal models in test period. The  
19 peak SSC estimates of the ANN model seem to be closer to the exact line than those of the EF  
20 and ANFIS-FCM. However, the EF and ANFIS-FCM models seem to be better the ANN model  
21 in low sediment estimation. It should be noted that the distribution of the EF and ANFIS-FCM  
22 models' estimates are similar to each other. Table 6 reports the comparison of the models' SSC  
23 peak-estimates. It is evident from the table that the EF model gives better estimates of peak SSC  
24 values than the ANN and ANFIS-FCM models. The ANFIS-FCM is the second best in



1 estimating peak SSC. The EF and ANN models respectively estimated the observed total  
2 sediment load, 20,289,369 ton, as 30,308,073 ton and 31,820,879 ton with overestimations of  
3 49.4% and 56.8% while the ANFIS-FCM model resulted in 9,514,219 ton with an  
4 underestimation of 53.1%. The EF model seems to be slightly better than the other models in  
5 estimating total sediment load.

6 Same input combinations were used to estimate SSC values for downstream station. The  
7 training and validation results of the EF, ANN and ANFIS-FCM models are given in Table 4.  
8 The architectures of the EF, ANN and ANFIS-FCM models are also provided in the first column  
9 of this table. From the table, it is clear that the ANN models perform better than the EF and  
10 ANFIS-FCM in validation period. Here also ANFIS-FCM models require less computing time  
11 for calibration than the other models while the EF models has the most computing time in  
12 training. Comparison of Table 3 and 4 clearly reveals that the models' accuracies are better in  
13 upstream station than the downstream. The reason of this may be the fact that the downstream has  
14 much larger drainage area than the upstream and the SSC in downstream may be much more  
15 affected by perturbations (urbanization, land-use change, slope failures, forest fires, earthquakes,  
16 etc.). Table 5 compares the test accuracy of the models with respect to RMSE, MAE and  $R^2$   
17 values. Here, also the EF models perform better than the ANN and ANFIS-FCM models. The  
18 relative RMSE and MAE differences between the optimal EF (input combination iii) and ANN  
19 (input combination iv) models are 15% and 65%, respectively. The observed and estimated SSC  
20 values by the optimal EF, ANN and ANFIS-FCM models in the test period are shown in Figure 9  
21 for the upstream station. It is evident from the fit line equations and  $R^2$  values that the EF  
22 estimates are closer to the exact line than those of the ANN and ANFIS-FCM models. The log-  
23 scaled scatterplots of the optimal models are compared in Figure 10 for the test period. The EF  
24 model seems to have better accuracy in estimating average and low SSC values than the ANN



1 and ANFIS-FCM models. The comparison of the optimal models' peak SSC estimates are made  
2 in Table 6 for the downstream station. The superior accuracy of the EF model to the ANN and  
3 ANFIS-FCM models is clearly seen from this table. The EF model estimated total sediment load  
4 as 6,252,171,710 ton instead of observed value of 5,051,074,055 ton, with an overestimation of  
5 24% while the ANN and ANFIS-FCM models resulted in 6,422,653,088 ton and 6,464,368,673  
6 ton with overestimations of 27% and 28%, respectively. The results indicate that all applied  
7 models generally provided overestimations for the peak and total SSC in both stations. The main  
8 reason of this may be the differences between training, validation and testing datasets. It is clear  
9 from Table 1 that the validation data set has much higher SSC values (661,000 ton for the  
10 upstream and 6,230,000 ton for the downstream) than those of the test data set (86,500 ton for the  
11 upstream 2,870,000 ton for the downstream) in both upstream and downstream stations. The  
12 significantly high streamflow and suspended sediment values are also clearly seen from Figures  
13 5-6. It is clear from the figures that the high floods (e.g. 1590 m<sup>3</sup>/s and 9170 m<sup>3</sup>/s in 16 Jan 1974  
14 for the upstream and downstream, respectively) occurred in validation period causes high SSC  
15 values (e.g. 661,000 mg/l and 6,230,000 mg/l in 16 Jan 1974 for the upstream and downstream,  
16 respectively). The great changeability of Eel River in space and time can be obviously seen from  
17 the figures. The optimal models were obtained according to their minimum MSE errors in the  
18 validation period. Therefore, the high SSC values in this period lead models to give  
19 overestimations in the test period. The difference between the validation and test data sets may be  
20 due to the fact that extreme SSCs in Californian Rivers show a great changeability in space and  
21 time, and are interrelated with some physiographic features at the station locality scale (Tramblay  
22 *et al.* 2010). O'Connor and Costa (2004) reported that the Eel River is the most exceptional  
23 flood-producing river in the United States.





1           In overall, the EF models seem to be more adequate than the ANN and ANFIS-FCM for  
2 estimating SSC. The main disadvantage of the ANN is its black-box structure. The other  
3 disadvantage is it uses backpropagation (BP) methodology for adjusting the weights and it is very  
4 easy for the training process to get trapped in a local minimum (Kumar *et al.*, 2002; Sudheer *et*  
5 *al.*, 2003). By combining ANN and fuzzy (neuro-fuzzy), the individual strengths of each  
6 approach can be employed in a synergistic way for the building effective and powerful intelligent  
7 systems. Neuro-fuzzy (e.g., ANFIS) methods have the ability to get the benefits of both these  
8 fields in a single system. The drawback of fuzzy system design (getting a set of fuzzy if-then  
9 rules) is amended by ANFIS system where the learning ability of an ANN is used, automatic  
10 fuzzy if-then rules are generated and parameters are optimized (Jang, 1993; Nayak *et al.*, 2004).  
11 The EF and ANFIS models use transparent, linguistic representation of a fuzzy system and  
12 provide set of rules on which the model is based. This provides further insight into the modeled  
13 process (Sayed *et al.*, 2003). In ANFIS, however, gradient descent algorithm is used for the  
14 determination of membership functions (MFs). The main disadvantage of this algorithm is that it  
15 uses BP methodology for amending the weights and it is very easy for the calibration process to  
16 get trapped in a local minimum (Kumar *et al.*, 2002; Sudheer *et al.*, 2003). The main advantage  
17 of EF compared to ANFIS is that it uses genetic algorithm. Genetic algorithm combines  
18 stochastic and directed search elements and they offer global optimum without being trapped in  
19 local optima (Mantoglou *et al.*, 2004; Karterakis *et al.*, 2007). The main disadvantage of the EF is  
20 that it requires long time for calibration.

21

## 22 **6. Conclusions**

23 In this paper, the applicability of fuzzy genetic approach for prediction of daily suspended  
24 sediment concentration was investigated. The EF models' accuracy is compared with those of the



1 artificial neural networks and adaptive neuro-fuzzy inference system with fuzzy c-means  
2 clustering. The daily streamflow and SSC data from two stations on the Eel River in California  
3 were used in the applications. Various input combinations consisting previous streamflows were  
4 used as inputs to the EF, ANN and ANFIS-FCM models in order to estimate SSC of the upstream  
5 and downstream stations. For the both stations, the best EF and ANFIS-FCM models were  
6 obtained for the third input combination composed of current and two previous streamflow data  
7 while the ANN model gave the best accuracy for the inputs,  $Q_t$ ,  $Q_{t-1}$ ,  $Q_{t-2}$  and  $Q_{t-3}$  (fourth input  
8 combination). The comparison of the EF, ANN and ANFIS-FCM models showed that the EF  
9 models performed better than the ANN and ANFIS-FCM. The optimal EF, ANN and ANFIS-  
10 FCM models were also compared with each other in estimating peak and total suspended  
11 sediments and results indicated that the EF model generally provided better accuracy than the  
12 ANN and ANFIS-FCM. The results suggest that the EF can be successfully used for developing  
13 streamflow-sediment relationship in the rivers where the geologic, climatic, physiographic, and  
14 land-use conditions are highly variable.

15

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21

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- 2 **Figure Captions**
- 3 **Figure 1** A typical fuzzy inference system
- 4 **Figure 2** Bit-string crossover of parents (i) and (ii) to form offspring (iii) and (iv)
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- 6 **Figure 3** Bit-flipping mutation of parents (ii) to form offspring (ii)
- 7
- 8 **Figure 4** The flowchart of the fuzzy genetic model (Kisi and Tombul, 2013)
- 9 **Figure 5** Streamflow and suspended sediment data of upstream station.
- 10 **Figure 6** Streamflow and suspended sediment data of downstream station.
- 11 **Figure 7** Scatterplots of the observed and estimated SSC by EF, ANN and ANFIS-FCM -
- 12 Upstream station.
- 13 **Figure 8** Scatterplots of the observed and estimated SSC by EF, ANN and ANFIS-FCM
- 14 (logarithm scaled) - Upstream station.
- 15 **Figure 9** Scatterplots of the observed and estimated SSC by EF, ANN and ANFIS-FCM -
- 16 Downstream station.
- 17 **Figure 10** Scatterplots of the observed and estimated SSC by EF, ANN and ANFIS-FCM
- 18 (logarithm scaled) - Downstream station.
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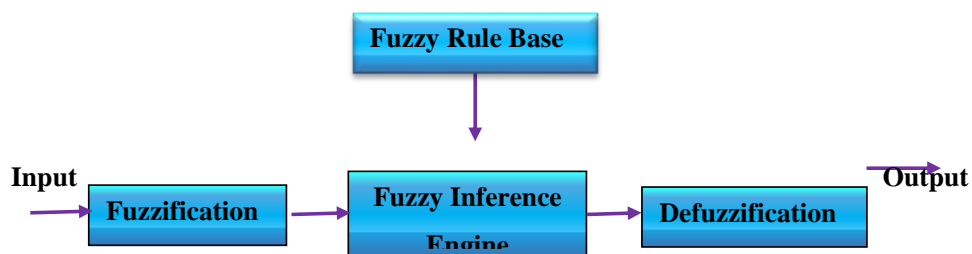
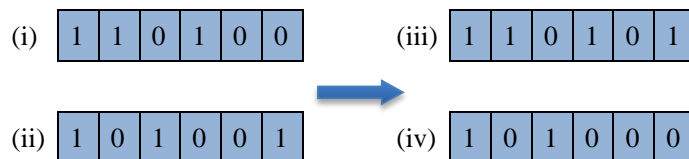


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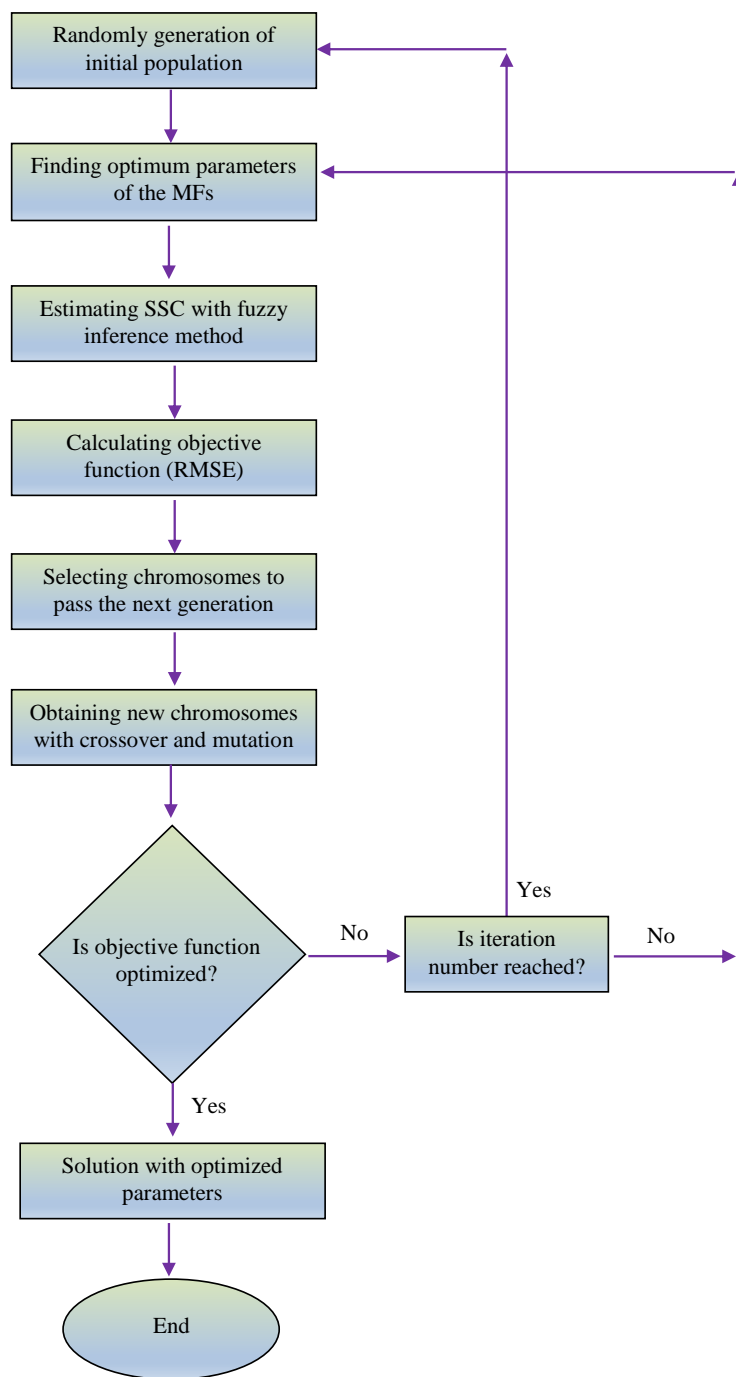
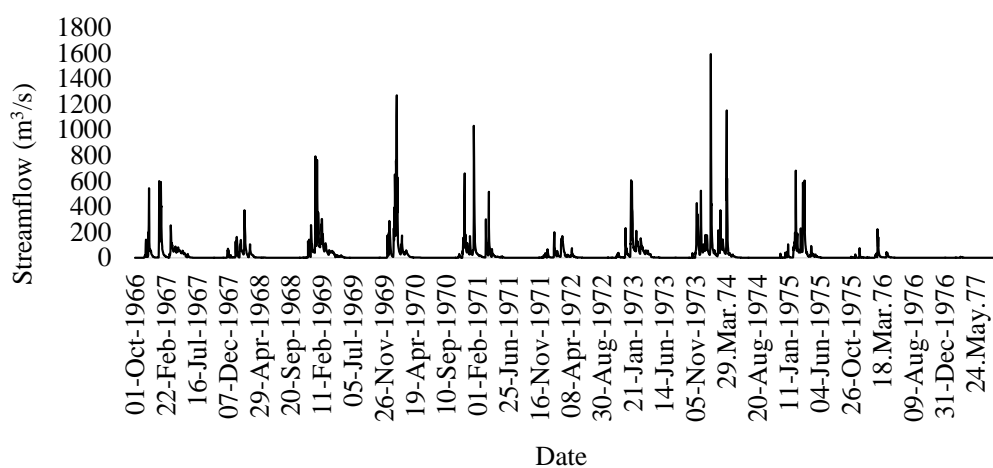


Figure 4

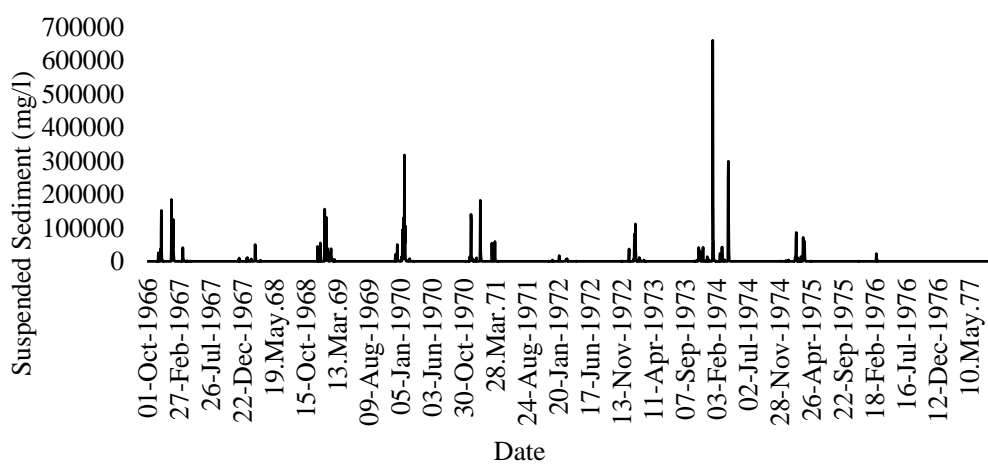


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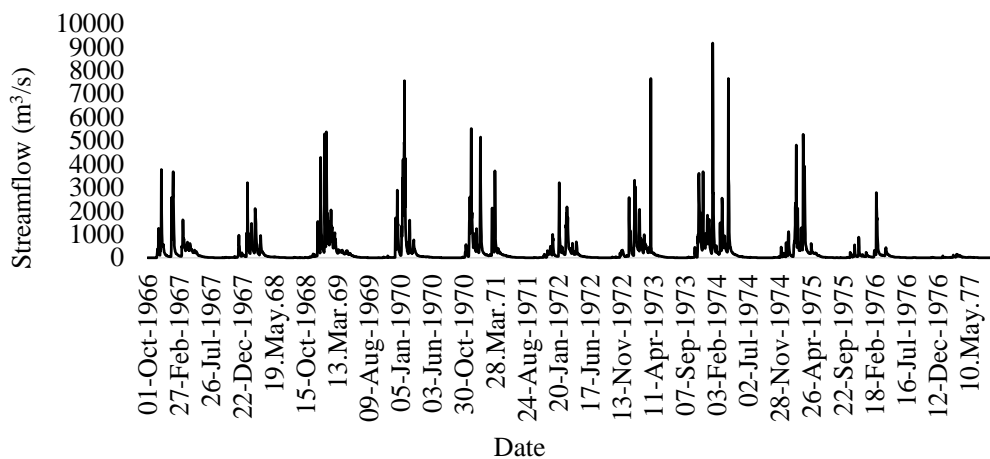
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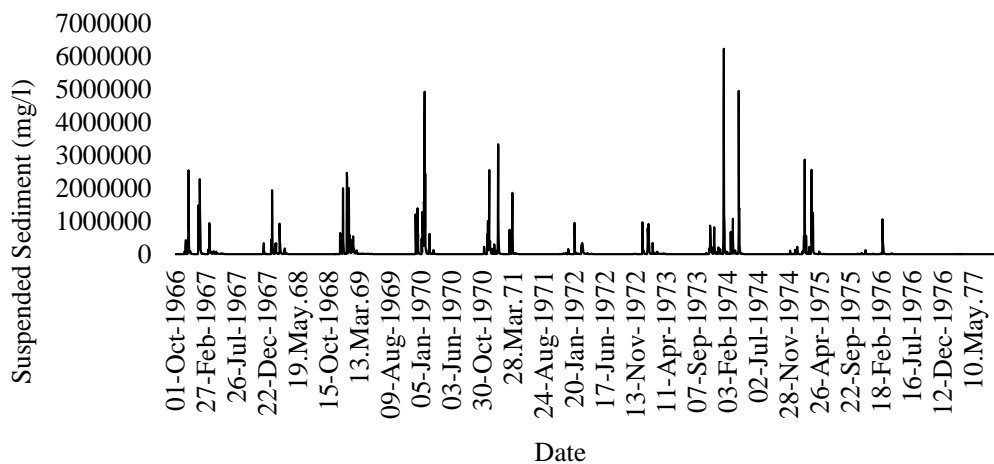
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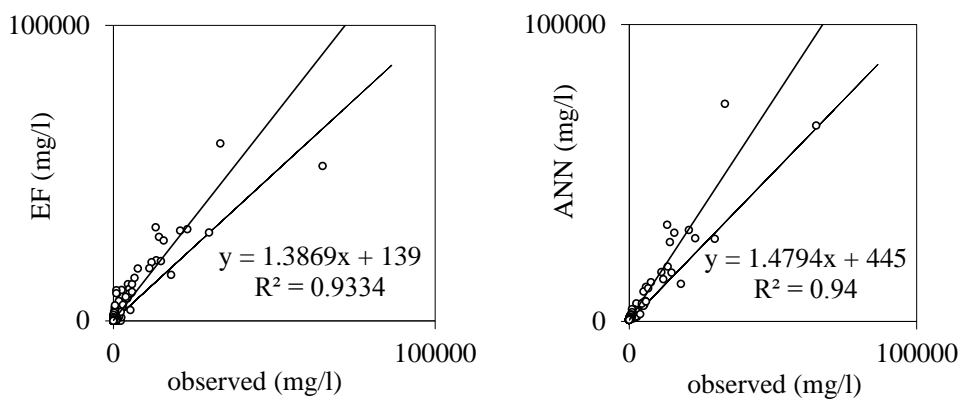


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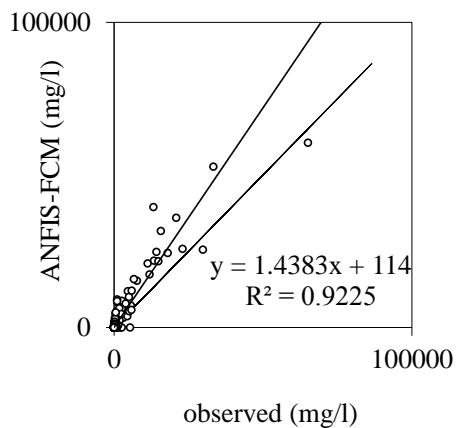
Figure 6



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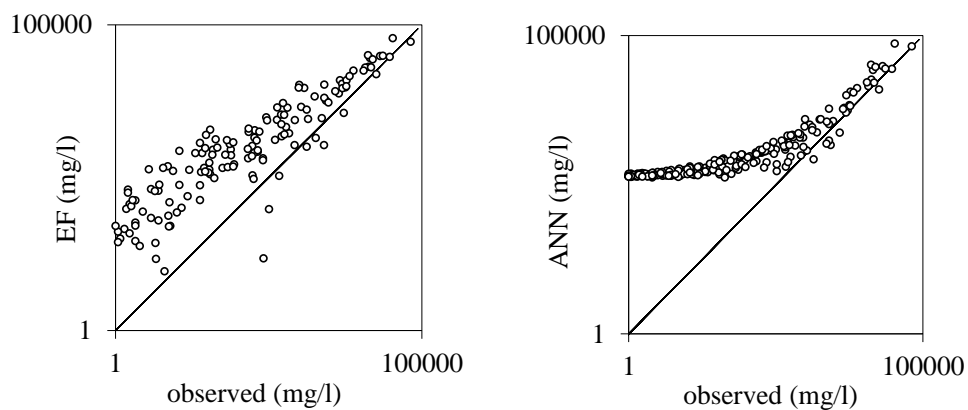
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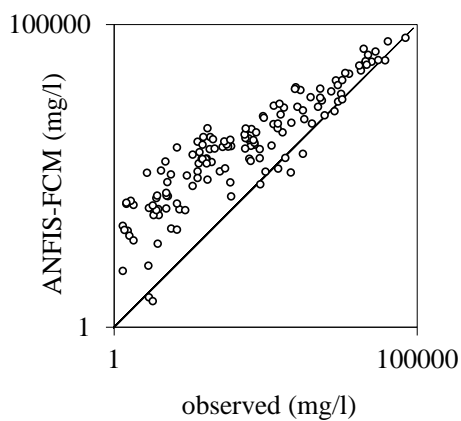


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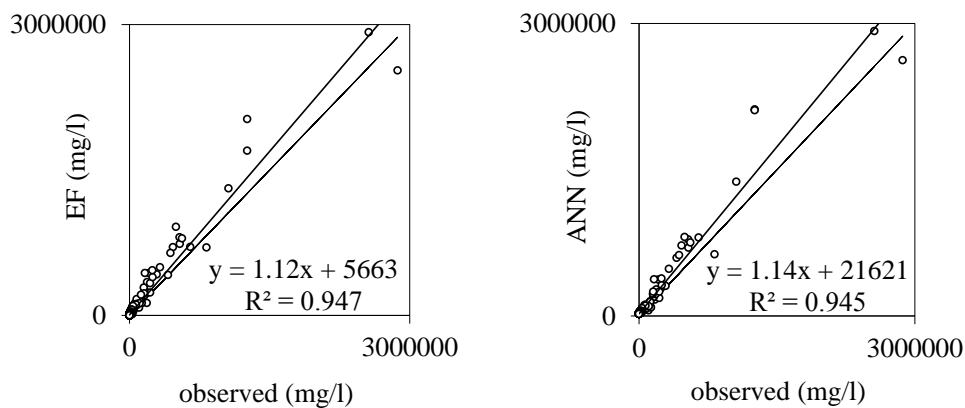
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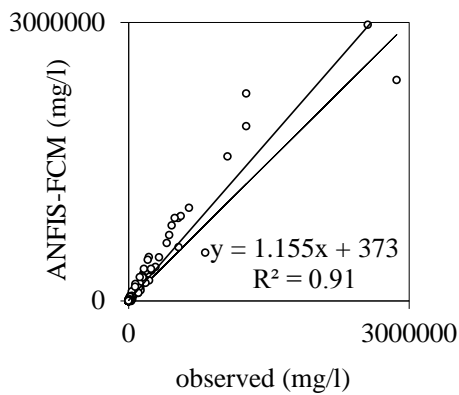


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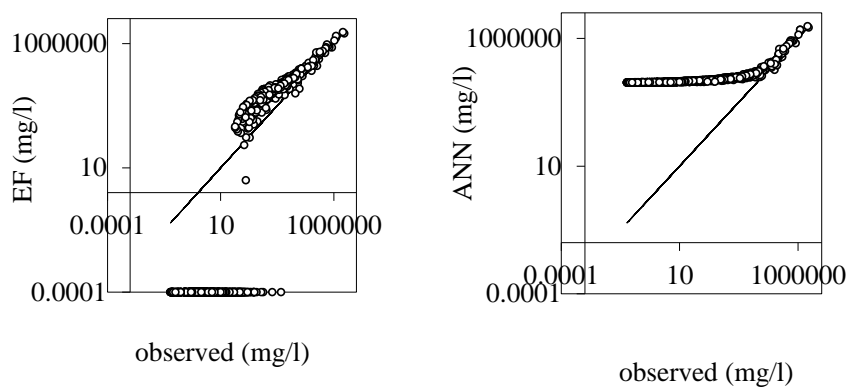
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**Figure 9**

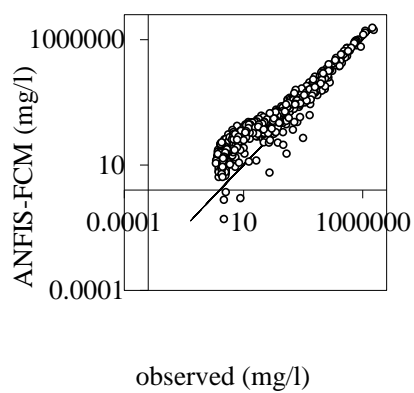


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**Figure 10**



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2 **TABLES**

3 **Table 1.** The daily statistical parameters of data set for the stations.

Data set	Station	Basin area (km <sup>2</sup> )	Data type	$x_{mean}$	$S_x$	$C_v$ ( $S_x/x_{mean}$ )	$C_{sx}$	$x_{max}$	$x_{min}$	$\frac{x_{max}}{x_{mean}}$
Training	Upstream (11472150)	1368	Flow (m <sup>3</sup> s <sup>-1</sup> )	32.7	92.8	2.83	6.31	1270	0.05	38.8
			Sediment (mg l <sup>-1</sup> )	2790	17076	6.12	10.8	318000	0	114
	Downstream (11477000)	8063	Flow (m <sup>3</sup> s <sup>-1</sup> )	266	625	2.35	5.01	7560	2.07	28.4
			Sediment (mg l <sup>-1</sup> )	60966	288396	4.73	8.50	4930000	0.23	80.9
Validation	Upstream (11472150)	1368	Flow (m <sup>3</sup> s <sup>-1</sup> )	33.3	95.5	2.86	8.02	1590	0.08	47.7
			Sediment (mg l <sup>-1</sup> )	2706	25108	9.28	19.5	661000	0	244
	Downstream (11477000)	8063	Flow (m <sup>3</sup> s <sup>-1</sup> )	296	693	2.34	6.32	9170	2.32	31.0
			Sediment (mg l <sup>-1</sup> )	46210	303083	6.55	14.4	6230000	0	135
Test	Upstream (11472150)	1368	Flow (m <sup>3</sup> s <sup>-1</sup> )	12.1	49.1	4.05	8.05	680	0	56.1
			Sediment (mg l <sup>-1</sup> )	561	4780	8.52	13.1	86500	0	154
	Downstream (11477000)	8063	Flow (m <sup>3</sup> s <sup>-1</sup> )	131	408	3.12	7.11	5270	0.71	40.3
			Sediment (mg l <sup>-1</sup> )	18432	143023	7.76	14.5	2870000	0.06	156

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8 **Table 2.** The cross-correlations between discharge and SSC in the upstream and downstream  
 9 stations.

	Qt	Qt-1	Qt-2	Qt-3	Qt-4	Qt-5
Upstream St	0.884	0.545	0.353	0.353	0.310	0.275
Downstream St	0.873	0.524	0.358	0.358	0.317	0.259

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5 **Table 3.** The training and validation performances of the EF, ANN and ANFIS-FCM models in  
 6 suspended sediment prediction – Upstream station

Models	Inputs	Training time (sec)	Training			Validation		
			RMSE (mg/l)	MAE (mg/l)	R <sup>2</sup>	RMSE (mg/l)	MAE (mg/l)	R <sup>2</sup>
EF(2,gauss,10000)	i) Qt	1047	5482	1378	0.898	9704	1604	0.912
EF(2,gauss,1000)	ii) Qt, Qt-1	144	4876	1190	0.919	9783	1415	0.908
EF(3,gauss,5000)	iii) Qt, Qt-1, Qt-2	2442	4579	983	0.928	9973	1259	0.908
EF(3,gauss,5000)	iv) Qt, Qt-1, Qt-2, Qt-3	5211	4436	1095	0.933	12232	1610	0.816
ANN(1,1,1)	i) Qt	6.96	6175	2242	0.874	13097	2389	0.818
ANN(2,2,1)	ii) Qt, Qt-1	7.64	4177	1149	0.941	11836	1568	0.824
ANN(3,2,1)	iii) Qt, Qt-1, Qt-2	7.78	4176	1152	0.941	11837	1567	0.838
ANN(4,2,1)	iv) Qt, Qt-1, Qt-2, Qt-3	7.92	4162	1173	0.941	11826	1593	0.841
ANFIS-FCM(8)	i) Qt	0.75	4703	943	0.924	20784	1602	0.315
ANFIS-FCM(7)	ii) Qt, Qt-1	0.31	3966	930	0.946	6390	1012	0.948
ANFIS-FCM(5)	iii) Qt, Qt-1, Qt-2	1.14	4379	1016	0.934	9032	1248	0.912
ANFIS-FCM(5)	iv) Qt, Qt-1, Qt-2, Qt-3	3.58	4319	1258	0.937	8524	1580	0.923

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 2 **Table 4.** The training and validation performances of the EF, ANN and ANFIS-FCM models in  
 3 suspended sediment prediction – Downstream station

Models	Inputs	Training time (sec)	Training			Validation		
			RMSE (mg/l)	MAE (mg/l)	R <sup>2</sup>	RMSE (mg/l)	MAE (mg/l)	R <sup>2</sup>
EF(2,gauss,20000)	i) Qt	2211	85898	23182	0.912	164866	32127	0.777
EF(5,gauss,1000)	ii) Qt, Qt-1	327	63122	16028	0.952	168184	31977	0.698
EF(2,gauss,50000)	iii) Qt, Qt-1, Qt-2	10354	75041	19719	0.932	214455	40579	0.610
EF(4,gauss,5000)	iv) Qt, Qt-1, Qt-2, Qt-3	8768	72437	19282	0.937	182543	34471	0.701
ANN(1,2,1)	i) Qt	7.56	93265	36014	0.898	160822	43381	0.734
ANN(2,2,1)	ii) Qt, Qt-1	7.74	75190	25994	0.933	139047	37361	0.823
ANN(3,1,1)	iii) Qt, Qt-1, Qt-2	7.46	89231	34060	0.907	168271	44889	0.708
ANN(4,1,1)	iv) Qt, Qt-1, Qt-2, Qt-3	8.68	89035	34651	0.907	167950	45222	0.709
ANFIS-FCM(2)	i) Qt	0.45	87038	24817	0.909	157874	34597	0.773
ANFIS-FCM(8)	ii) Qt, Qt-1	0.35	73337	16598	0.935	177645	26975	0.751
ANFIS-FCM(8)	iii) Qt, Qt-1, Qt-2	1.53	80011	17997	0.923	202776	29772	0.712
ANFIS-FCM(3)	iv) Qt, Qt-1, Qt-2, Qt-3	0.88	78597	20174	0.926	176095	34031	0.731

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2 **Table 5.** The test performances of the optimal EF, ANN and ANFIS-FCM models in suspended  
 3 sediment prediction

Models	Inputs	RMSE (mg/l)	MAE (mg/l)	R <sup>2</sup>
Upstream station				
EF(2,gauss,10000)	i) Qt	2588	503	0.892
EF(2,gauss,1000)	ii) Qt, Qt-1	2654	445	0.931
EF(3,gauss,5000)	iii) Qt, Qt-1, Qt-2	2583	413	0.933
EF(3,gauss,5000)	iv) Qt, Qt-1, Qt-2, Qt-3	2685	476	0.928
ANN(1,1,1)	i) Qt	3206	1583	0.875
ANN(2,2,1)	ii) Qt, Qt-1	2999	708	0.941
ANN(3,2,1)	iii) Qt, Qt-1, Qt-2	2989	709	0.941
ANN(4,2,1)	iv) Qt, Qt-1, Qt-2, Qt-3	2962	736	0.943
ANFIS-FCM(8)	i) Qt	3172	449	0.904
ANFIS-FCM(7)	ii) Qt, Qt-1	3065	401	0.926
ANFIS-FCM(5)	iii) Qt, Qt-1, Qt-2	2912	416	0.922
ANFIS-FCM(5)	iv) Qt, Qt-1, Qt-2, Qt-3	3022	499	0.935
Downstream station				
EF(2,gauss,20000)	i) Qt	47773	10285	0.929
EF(5,gauss,1000)	ii) Qt, Qt-1	44593	8414	0.939
EF(2,gauss,50000)	iii) Qt, Qt-1, Qt-2	42714	9032	0.947
EF(4,gauss,5000)	iv) Qt, Qt-1, Qt-2, Qt-3	45493	10149	0.948
ANN(1,2,1)	i) Qt	53083	25489	0.927
ANN(2,2,1)	ii) Qt, Qt-1	52215	18227	0.907
ANN(3,1,1)	iii) Qt, Qt-1, Qt-2	50986	24917	0.944
ANN(4,1,1)	iv) Qt, Qt-1, Qt-2, Qt-3	50491	25444	0.945
ANFIS-FCM(2)	i) Qt	50499	10994	0.921
ANFIS-FCM(8)	ii) Qt, Qt-1	54149	8591	0.919
ANFIS-FCM(8)	iii) Qt, Qt-1, Qt-2	45569	1498	0.940
ANFIS-FCM(3)	iv) Qt, Qt-1, Qt-2, Qt-3	51721	11229	0.937

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1 **Table 6.** The comparison of EF, ANN and ANFIS-FCM peak-estimates for the test period-  
 2 Upstream station.  
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Day	Peaks > 15000 (mg/l)	EF (mg/l)	ANN (mg/l)	ANFIS- FCM (mg/l)	Relative Error		
					EF (%)	ANN (%)	ANFIS- FCM (%)
135	65100	52375	65890	60511	-19.5	1.2	-7.0
136	86500	121380	124244	108905	40.3	43.6	25.9
137	18000	15494	12534	24360	-13.9	-30.4	35.3
168	29800	29905	27751	25478	0.4	-6.9	-14.5
169	71300	101871	116166	120171	42.9	62.9	68.5
170	33300	60004	73166	52681	80.2	120	58.2
172	20800	30555	30703	35895	46.9	47.6	72.6
175	15700	27199	29803	31601	73.2	89.8	101
176	59800	108508	116646	120463	81.5	95.1	101
514	23000	30997	27956	25787	34.8	21.5	12.1
Total (Absolute) =					<b>434</b>	<b>519</b>	<b>497</b>

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1 **Table 7.**The comparison of EF, ANN and ANFIS-FCM peak-estimates for the test period-  
 2 Downstream station.  
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Day	Peaks > 500000 (mg/l)	EF (mg/l)	ANN (mg/l)	ANFIS- FCM (mg/l)	Relative Error		
					EF (%)	ANN (%)	ANFIS- FCM (%)
132	538000	737820	700519	579618	37.1	30.2	7.7
133	535000	806291	783917	892406	50.7	46.5	66.8
135	822000	702169	631613	520127	-14.6	-23.2	-36.7
136	2870000	2526702	2624083	2381486	-12.0	-8.6	-17.0
137	649000	705882	802897	1004263	8.8	23.7	54.7
143	560000	793386	752534	915272	41.7	34.4	63.4
169	2560000	2920197	2922853	2976379	14.1	14.2	16.3
170	1260000	1699105	2116979	2235129	34.8	68.0	77.4
Total (Absolute) =					<b>298</b>	<b>346</b>	<b>436</b>

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