

# Age-ranked hydrological budgets and a travel time description of catchment hydrology

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**Abstract.** The theory of travel time and residence time distributions is reworked from the point of view of the hydrological storages and fluxes involved. The forward and backward travel time distribution functions are defined in terms of conditional probabilities. An inconsistency in previous approaches is derived. We explain Niemi's formula and show how it can be interpreted as an expression of the Bayes theorem. Some connections between this theory and population theory are identified by introducing an expression which connects life expectancy with travel times. The theory can be applied to conservative solutes, including a method of estimating the storage selection functions. An example, based on the Nash hydrograph, illustrates some key aspects of the theory. Generalisation to arbitrary number of reservoirs is presented in one Appendix.

## 10 1 Introduction

Hydrological travel times have been studied extensively for many years. Various investigators have published different aspects of the time of travel. Some looked at the construction of the hydrologic response using geographical information (Rodriguez-Iturbe and Valdes,1979; Rinaldo and Rodriguez-Iturbe,1996; Rodriguez-Iturbe et al.,1999; Rigon et al.,2015). Others, e.g. (Birkel et al.,2014; Uhlenbrook and Leibundgut,2002), used travel times to understand catchment processes in relation to tracers experiments that new techniques allow for detailed isotope analyses (Berman et al.,2009; Birkel et al.,2011). The latter studies were increasingly used to identify suitable model structures (Fenicia et al.,2008;McMillan et al., 2012; Hrachowitz et al.,2013; Clark et al.,2011) built as an assembly of storages (reservoirs), designed to model both the spatial organisation of the catchment and the set of interactions between processes. The couplings necessary to give proper hydrological results (e.g., Klemeš,1986; Kirchner,2006) lead to quite complex systems that travel time analysis

of fluxes helps us disentangle (e.g., Tetzlaff et al.,2008), opening the way for explicit unification of geomorphic theories and storage-based modelling [Rigon et al., 2015].

25 A unique framework for understanding all catchments processes was made possible by the recent work of Rinaldo and others (Rinaldo et al.,2011; Botter et al.,2011) that started a new branch of research, which is the focus of the present work. In particular, Botter et al. (2010) and Botter et al. (2011) introduced a newly formulated StorAge Selection function (SAS) related to the probability density function (pdf) of the water age or backward travel-time distribution. With the aid of an apriori assigned SAS, they were able to write a “master equation” for the travel time probability distribution and solve it, thus producing a machinery to systematically connect the solution of the catchment water budget to travel times aspects of the hydrological flows. Older applications of the travel time theory mostly assumed the simplest case of complete mixing, within the control volume, which SASs allow to relax. Subsequently others (van der Velde et al.,2012;Benettin et al.,2013; Benettin et al., 2015; Harman,2015b) introduced a new form of the SAS and the age-ranked distribution of water and associated compounds. Firstly, van der Velde et al. (2012) made the SAS a function of the residence time pdfs using actual time, rather than using the “injection time”. Subsequently, Harman (2015b) reformulated the SAS to be a function of the watershed storage and actual time.

30 These approaches have opened the possibility of exploring the nature of storage-discharge relationships, which are usually parameterised within rainfall-runoff models, and can provide fundamental insight into the catchment functions invoked previously (e.g., Seibert and McDonnell,2002; 40 Kirchner, 2009). While also the traditional work on groundwater flow and catchment scale transport can be associated the same ideas, but it covers time invariant travel time distributions (e.g., Dagan,1984). Instead, Botter et al. (2011) used an approach that is inherently non-stationary and has immediately attracted the attention of researchers in that field (e.g., van der Velde et al.,2012; 45 Cvetkovic et al.,2012; Cvetkovic,2013; Ali et al.,2014). A more detailed history of these concepts can be found in Benettin et al. (2013) and Hrachowitz et al. (2016) and Appendix B, is more specifically related to this paper.

All of these were valuable advances to the theory, but the literature remains obscured by different terminologies and notations, as well as model assumptions that are not fully explained.

50 There remains a need for theoretical developments that are clearly explained and developed using a consistent set of notations. Questions arise, like: Does the theory contain hidden parts that are not consistent or explained well? How does it relate to the instantaneous unit hydrograph theories? How can it be used? What generates time varying backward probabilities? Does the theory fully account for those phenomena which are involved in mobilizing old water (e.g., McDonnell and Beven,2014; 55 Rinaldo et al.,2015; Kirchner, 2016a)?

Questions also remain about how to apply the theory of age-ranked distributions in terms of the model form and parameter estimation. Harman (2015a) noted the importance of selecting an appropriate SAS, but until very recently (Harman, 2015b), there was no proposed method for selecting the

form of an SAS and estimating it from available data. Selection of the SAS for a given watershed  
 60 remains a topic of importance, since it should not be imposed arbitrarily.

Our work includes a short review of existing concepts that were collected from many (mostly  
 theoretical) papers, which used different conventions and approaches. In the following sections, the  
 theory to date is synthesized into a framework using consistent notation. Besides presenting the con-  
 cept in a new and organized way, our paper contains some non-trivial answers to the above questions.

65 Clarifications and extensions will be presented and summarized in an integrated manner. These con-  
 ceptual developments are followed by improved methods for selecting the appropriate form of SAS  
 and estimating its parameters. Guidance for hierarchical approaches to parameter estimation is given,  
 based on available data. Finally, the proposed framework and methods are illustrated using data from  
 experimental watersheds.

## 70 2 Definitions of age-ranked quantities

Residence time, travel time and life expectancy of water particles and associated constituents flowing  
 through watersheds are three related quantities whose meaning is well defined by the following  
 equation:

$$T = \underbrace{(t - t_{in})}_{T_r} + \underbrace{(t_{ex} - t)}_{L_e} \quad (1)$$

75 where  $T$  [T] ([T] means time units) is the travel time,  $t$  [T] is the actual time measured by a clock,  $t_{in}$   
 [T] is the injection time (*i.e.*, the time at which a certain amount of water enters the control volume)  
 and  $t_{ex}$  [T] is the exit time (*i.e.*, the time at which a certain amount of water exits the control volume).  
 Based upon these definitions,  $T_r := t - t_{in}$  [T] is the so called residence time, or the age of water  
 entered at time  $t_{in}$ , and  $L_e := t_{ex} - t$  [T] is the life expectancy of the same water molecules which  
 80 are inside of the control volume.

Consider, for example, a control volume as the one shown in figure 1. Its (bulk) water budget is  
 written as:

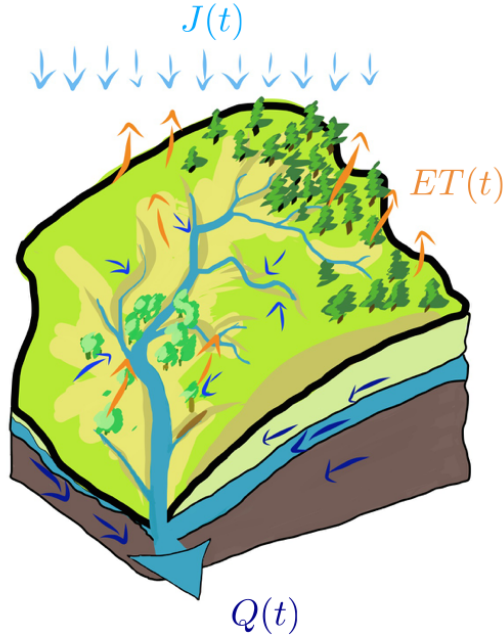
$$\frac{dS(t)}{dt} = J(t) - Q(t) - AE_T(t) \quad (2)$$

85 where  $S(t)$  [ $L^3$ ] is the time evolution of the water storage, ([L] denotes length units), but instead  
 of volume, we can measure the storage either as mass, or a depth of water [L] (volume per unit area),  
 $J(t)$  [ $L^3T^{-1}$ ] is the precipitation, usually a given (measured) quantity, while the discharge and  
 the actual evapotranspiration,  $Q(t)$  [ $L^3T^{-1}$ ] and  $AE_T(t)$  [ $L^3T^{-1}$ ], are modeled. Common simple  
 estimates for the two latter quantities are:

$$Q(t) = \frac{1}{\lambda} S^b(t) \quad (3)$$

90 and

$$AE_T(t) = \frac{S(t)}{S_{max}} E(t) \quad (4)$$



**Figure 1.** A single control volume is considered in which the fluxes are the total precipitation, evapotranspiration and discharge.

where  $\lambda [T]$  and  $b$  are the parameters of the non-linear reservoir model,  $S_{max}$  is the maximum water storage and  $E(t)$  is the potential ET, temporal function of the radiation inputs and atmospheric conditions. Assuming that radiation and various parameters used to model  $Q$  and  $AE_T$  are given, eq.(2) can be solved and  $S(t)$  obtained. If  $b = 1$  the budget is a linear ordinary differential equation, and its solution is analytic as in Coddington and Levinson (1955); otherwise, the solution can be obtained through an appropriate numerical solver (e.g. Butcher,1987). **We made the simplification here to use a single storage for illustrative purposes. However, extending the formalism to multiple storages is straightforward, as shown in appendix C.**

100 Being interested in knowing the age of water we need to consider a more general set of equations.

Assume that the water storage  $S(t)$  can be decomposed in its sub-volumes  $s(t, t_{in}) [L^3 T^{-1}]$  which refer to water injected into the system at time  $t_{in} \in [0, t_p]$ . Thus:

$$S(t) = \int_0^{\min(t, t_p)} s(t, t_{in}) dt_{in} \quad (5)$$

105 where the initial time  $t = 0$  comes before any input into the control volume, and  $t_p$  represent the end of the last precipitation considered in the analysis. The variable  $t$  represents the actual time at which the storage is considered. In the following equations, the reference to  $t_p$  will be dropped for notational simplicity, and any quantity will consider a limited time interval. The functional form of

$s(t, t_{in})$ , as well as the functions we define below, can vary with  $t$  and  $t_{in}$ , so they should be labeled appropriately  $s_{(t, t_{in})}(t, t_{in})$  but this has been avoided for keeping notations simple.

110 Analogously,  $Q(t)$  [ $L^3 T^{-1}$ ] is the discharge out of the control volume, and  $q(t, t_{in})$  [ $L^3 T^{-2}$ ] is the part of the discharge exiting the control volume at time  $t$  composed of water molecules that entered at time  $t_{in} \in [0, t_p]$ :

$$Q(t) = \int_0^{\min(t, t_p)} q(t, t_{in}) dt_{in} \quad (6)$$

Actual evapotranspiration,  $AE_T(t)$  [ $L^3 T^{-1}$ ], is the sum of its parts  $ae_T(t, t_{in})$  [ $L^3 T^{-2}$ ] as:

$$115 \quad AE_T(t) = \int_0^{\min(t, t_p)} ae_T(t, t_{in}) dt_{in} \quad (7)$$

Finally, let  $J(t)$  [ $L^3 T^{-1}$ ] denote the input to the control volume. This input can have an "age", and therefore, it can be defined

$$J(t) = \int_0^{\min(t, t_p)} j(t, t_{in}) dt_{in} \quad (8)$$

120 All these bivariate functions of  $t$  and  $t_{in}$ ,  $s(t, t_{in})$ ,  $q(t, t_{in})$ , and  $ae_T(t, t_{in})$  are null for  $t < t_{in}$  and can present a derivative discontinuity at the origin ( $t = t_{in}$ ). Given the above definitions, we can rewrite the water budget as a set of age-ranked budget equations:

$$\frac{ds(t, t_{in})}{dt} = j(t, t_{in}) - q(t, t_{in}) - ae_T(t, t_{in}), \quad (9)$$

These equations were introduced first by van der Velde et al. (2012) and named by Harman (2015a), even if similar ones were present in previous literature, as discussed in Appendix B.

### 125 **3 Backward and forward approaches**

"Backward" and "forward" are well known concepts in the study of travel time distributions. They were first introduced by Niemi (1977), then by Cornaton and Perrochet (2006), for example, and recently refined by Benettin et al. (2015). Benettin et al. (2015), in particular, related the backward concept to the residence time (or age), while the concept of travel time is both a forward or backward.

130 However, according to us, these previous works didn't fully disclose the inner meaning of the two concepts. In fact, in our theory, the probabilities are defined as backward when they "look" in time to the history of water molecules and forward when they "look" in time till their exit from the control volume. According to the previous statements, we can define a backward residence time probability, which is conditioned to  $t$  and "looks" backward to  $t_{in}$ , and a forward residence time probability,

135 which is conditioned to  $t_{in}$  and "looks" forward to  $t$ . In the same way, we can define a backward life

expectancy probability, which is conditioned to  $t_{ex}$  and "looks" backward to  $t$ , and a forward life expectancy probability, which is conditioned to  $t$  and "looks" forward to  $t_{ex}$ . All these concepts will be clarified further in the following sections.

#### 4 Backward Probabilities

140 Based on the previous definitions, it is easy to define the pdfs of the residence time, travel time and evapotranspiration time. In particular, the pdf of residence time, conditional on the actual time  $t$ , of water particles in storage,  $p_S(T_r|t)$ , can be defined as:

$$p_S(T_r|t) \equiv p_S(t - t_{in}|t) \equiv p(t_{in}|t) := \frac{s(t, t_{in})}{S(t)} [\text{T}^{-1}] \quad (10)$$

where " $\equiv$ " means equivalence, and " $:=$ " a definition. Benettin et al. (2015) denoted  $p_S(T_r|t)$  as  $\overleftarrow{p}_S(T_r, t)$  but since this probability density is conditional to the actual time, standard probability notation is clear and unambiguous.

It is evident that this probability is time variant, since the integral and the integrand in equation (5) keep a dependence on the clock time  $t$ .

150 The pdf of travel time is  $p_Q(t - t_{in}|t)$ , where  $t_{ex} = t$ , since we are considering the water exiting the control volume as discharge. It can be defined as:

$$p_Q(t - t_{in}|t) := \frac{q(t, t_{in})}{Q(t)} [\text{T}^{-1}], \quad (11)$$

**This definition for the probability is very restrictive, and can imply inconsistencies in those papers which assume a time invariant backward distribution to obtain tracers concentration, as shown in Appendix D.** Eventually, the pdf of travel time for water exiting the control volume as water vapor,

155  $p_{E_T}(t - t_{in}|t)$ , can be defined as:

$$p_{E_T}(t - t_{in}|t) := \frac{ae_T(t, t_{in})}{AE_T(t)} [\text{T}^{-1}], \quad (12)$$

It is also possible to define the mean age of water for any of the two outlets, which is given by:

$$\langle T_r(t) \rangle_i = \int_0^{\min(t, t_p)} (t - t_{in}) p_i(t - t_{in}|t) dt_{in} \quad (13)$$

for  $i \in \{Q, E_T\}$ , which is a function of the sampling time **(and the rainfall chosen to be used)**.

160 After the above definitions, the age-ranked equation (9), can be rewritten as:

$$\frac{d}{dt} [S(t)p_S(T_r|t)] = J(t)\delta(t - t_{in}) - Q(t)p_Q(t - t_{in}|t) - AE_T(t)p_{E_T}(t - t_{in}|t) \quad (14)$$

when a single "new water" injection of mass is considered, and the bulk quantities  $S(t)$ ,  $Q(t)$ ,  $AE_T(t)$  are known as soon as the bulk water budget, equation (2), is solved.  $\delta(t - t_{in})$  is a Delta-dirac

function to account for the water particles in precipitation with age zero. The travel time probabilities on the right side of (14) are not known. Consequently Botter et al. (2011) introduced a storage selection function,  $\omega(t, t_{in})$  [-], for each of the outputs, so that:

$$p_Q(t - t_{in}|t) := \omega_Q(t, t_{in})p_S(T_r|t) \quad (15)$$

and:

$$p_{E_T}(t - t_{in}|t) := \omega_{E_T}(t, t_{in})p_S(T_r|t) \quad (16)$$

Therefore equation (14), after the proper substitutions, becomes:

$$\frac{d}{dt}[S(t)p_S(T_r|t)] = J(t)\delta(t - t_{in}|t) - Q(t)\omega_Q(t, t_{in})p_S(T_r|t) - AE_t(t)\omega_{E_T}(t, t_{in})p_S(T_r|t) \quad (17)$$

Once assigned the  $\omega(t, t_{in})$  values on the basis of some heuristic, as in Botter et al. (2011), equation (17) represents a linear ordinary differential equation and can be solved exactly as:

$$p_S(T_r|t) = e^{-\int_{t_{in}}^t g(x, t_{in})dx} \left[ p(0|t) + \int_{t_{in}}^t \frac{J(y)\delta(y - t_{in})}{S(y)} e^{\int_{t_{in}}^y g(x, t_{in})dx} dy \right] \quad (18)$$

where :

$$g(x, t_{in}) = \frac{1}{S(x)} \left[ \frac{dS(x)}{dt} + Q(x)\omega_Q(x, t_{in}) + AE_t(x)\omega_{E_T}(x, t_{in}) \right] \quad (19)$$

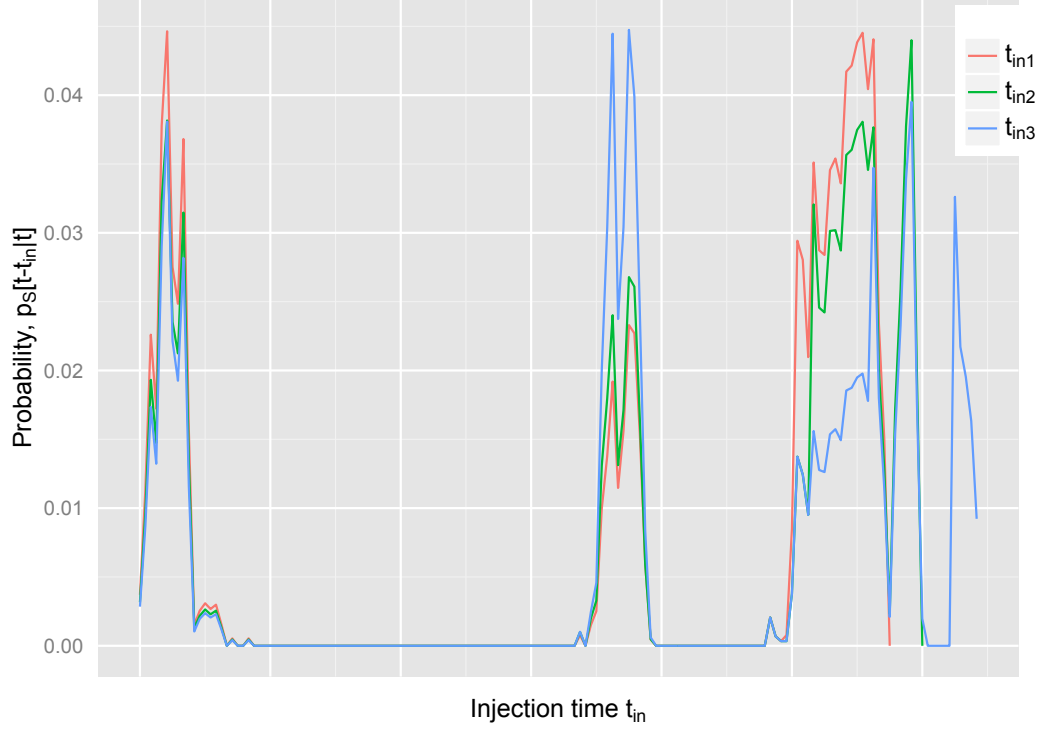
and  $p(0|t)$  is the initial condition. This is only valid if equation (17) is linear, i.e.  $\omega(t, t_{in})$  is not a function of  $p_S(T_r|t)$ . Figure 2 shows the variation of the  $p_S(T_r|t)$  with the injection time, while the chronological time is kept fixed. The curves were obtained considering three different injections at  $t_{in1}$ ,  $t_{in2}$  and  $t_{in3}$ , and assuming  $\omega_Q(t, t_{in}) = \omega_{E_T}(t, t_{in}) = 1$ . The conditional probability  $p_S(T_r|t)$  properly integrates to one, as shown in figure 3, when it is integrated in  $t_{in}$ . In particular, figure 3 shows that  $p_S(T_r|t) = const$ , when  $J(t) = 0$ . In fact, if we consider  $\omega_Q(t, t_{in}) = \omega_{E_T}(t, t_{in}) = 1$ , equation (17) is simplified in:

$$\frac{d}{dt}[S(t)p_S(T_r|t)] = -Q(t)p_S(T_r|t) - AE_t(t)p_S(T_r|t) \quad (20)$$

and, therefore,

$$\frac{dp_S(T_r|t)}{dt} = -\frac{p_S(T_r|t)}{S(t)} \left[ \frac{dS(t)}{dt} - Q(t) - AE_t(t) \right] = 0 \quad (21)$$

Figure 4 shows the evolution of  $p_S(T_r|t)$  with the actual time  $t$  and the injection time kept fixed. The integral of the area under the three curves, obtained for the same three injections, in this case, is not equal to 1, since the functions are not pdfs in  $t$ .



**Figure 2.** Representation of the evolution of the backward pdf for three injection times ( $t_{in_i}$ , where  $i = 1,3$ ) as varying with the injection time  $t_{in}$ . The time shift between the three injections was dropped for a direct comparison of the curves.

## 190 5 Forward Probabilities

Consider again the age-ranked equation (9). Since we want to track the evolution of a water particle while crossing the catchment, we can write its integral form over  $dt$ , as:

$$s(t, t_{in}) = J(t_{in}) - \int_0^t q(t, t_{in}) dt - \int_0^t a e_T(t, t_{in}) dt \quad (22)$$

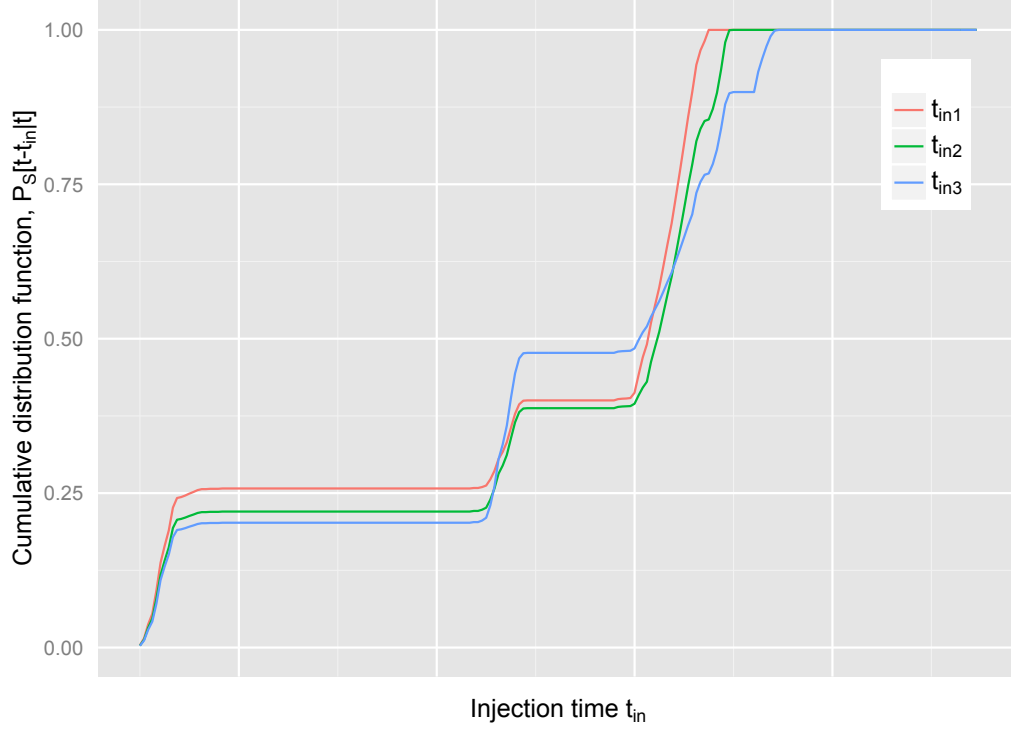
It can be rewritten as a probability conditional to  $t_{in}$ :

$$195 \quad P_S[t - t_{in} | t_{in}] := 1 - \frac{s(t, t_{in})}{J(t_{in})} = \frac{V_Q(t, t_{in})}{J(t_{in})} + \frac{V_{E_T}(t, t_{in})}{J(t_{in})} \quad (23)$$

having defined:

$$V_Q(t, t_{in}) := \int_0^t q(t, t_{in}) dt \quad (24)$$





**Figure 3.** Representation of the backward cumulative distribution function for three injection times ( $t_{in_i}$ , where  $i = 1, 3$ ), as varying with the actual time  $t$ . The time shift between the three injections was dropped for a direct comparison of the curves.

and

$$V_{AE_T}(t, t_{in}) = \int_0^t ae_T(t, t_{in}) dt \quad (25)$$

200  $P_S[t - t_{in}|t_{in}]$ , as shown in figure 5, varies (with  $t$ ), as expected, between 0 and 1 and has density:

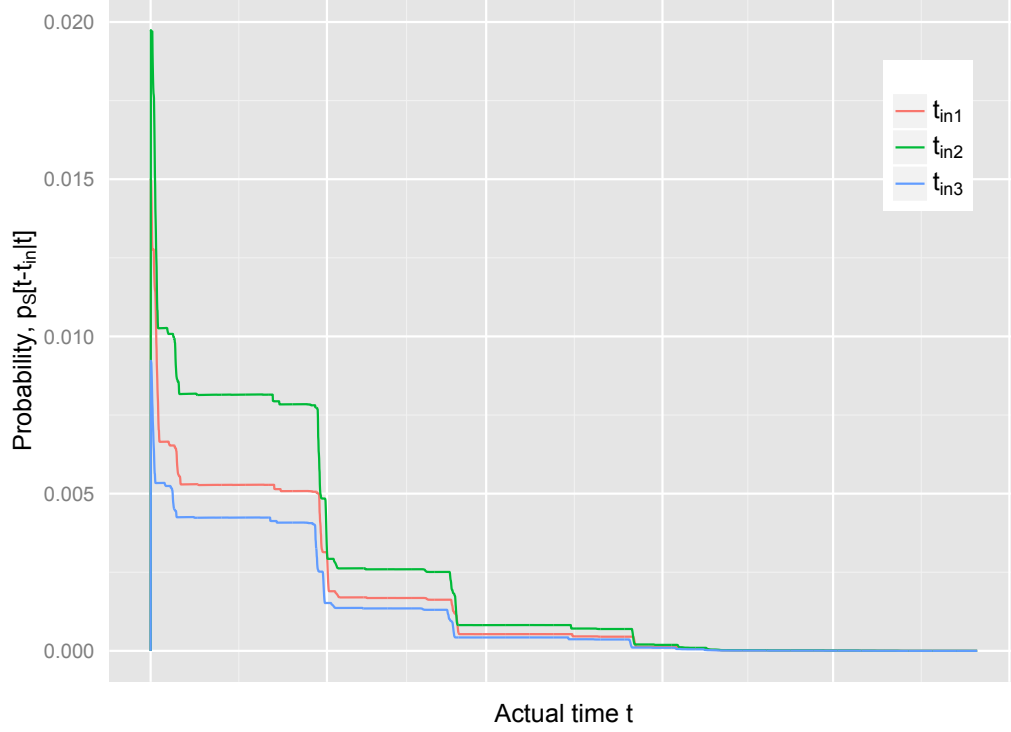
$$p_S(t - t_{in}|t_{in}) = -\frac{1}{J(t_{in})} \frac{ds(t, t_{in})}{dt} = \frac{q(t, t_{in})}{J(t_{in})} + \frac{ae_T(t, t_{in})}{J(t_{in})} \quad (26)$$

It can be observed instead that:

$$\mathcal{F}(t - t_{in}|t_{in}) := \frac{V_Q(t, t_{in})}{J(t_{in})} \quad (27)$$

and

$$205 \quad \mathcal{G}(t - t_{in}|t_{in}) := \frac{V_{E_T}(t, t_{in})}{J(t_{in})} \quad (28)$$



**Figure 4.** Representation of the evolution of the backward pdf versus the actual time  $t$ . The time shift between the three injections was dropped for a direct comparison of the curves. In this case, the area below the curves is not equal to 1.

are not probability functions, because, their asymptotic value is not 1. Because the forward probabilities are derived, in the case we are describing, on empirical bases from the budgets terms, and not assumed apriori, their complete shape is known only at  $t \rightarrow \infty$ . For any finite time, the actual knowledge we have, is better represented in Figure 6, which shows that the progress of the three curves  $P$ ,  $\mathcal{F}$  and  $\mathcal{G}$  is unknown for future times.

In order to normalize  $\mathcal{F}$  and  $\mathcal{G}$ , the asymptotic value of the partitioning coefficient is defined among the  $Q$  and  $E_T$ :

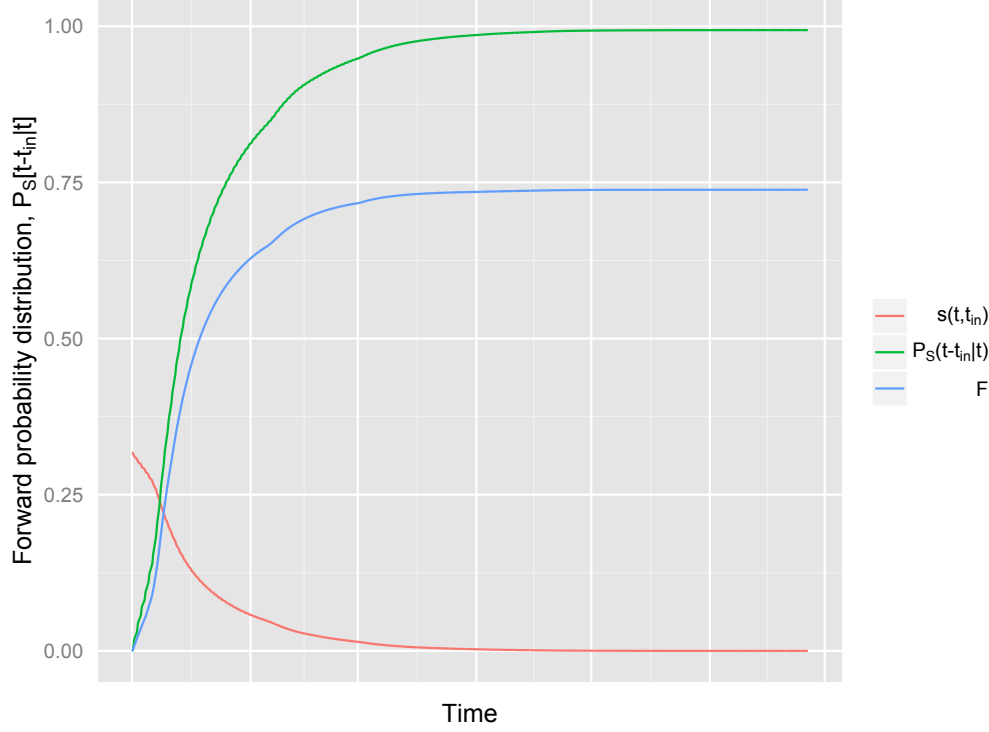
$$\Theta(t_{in}) := \lim_{t \rightarrow \infty} \Theta(t, t_{in}) := \lim_{t \rightarrow \infty} \frac{V_Q(t, t_{in})}{V_Q(t, t_{in}) + V_{E_T}(t, t_{in})} \quad (29)$$

Then, it is easy to show that:

$$p_Q(t - t_{in} | t_{in}) := \frac{q(t, t_{in})}{\Theta(t_{in})J(t_{in})} \quad (30)$$

and

$$p_{E_T}(t - t_{in} | t_{in}) := \frac{ae_T(t, t_{in})}{(1 - \Theta(t_{in}))J(t_{in})} \quad (31)$$



**Figure 5.** Forward probability distribution: in red the relative storage, in green the forward distribution and in blue the relative discharge function.

are the forward probabilities density function of discharges and evapotranspiration, which properly normalize to 1 when integrated over  $t$ . The two probability density functions  $p_Q$  and  $p_{E_T}$  are related through:

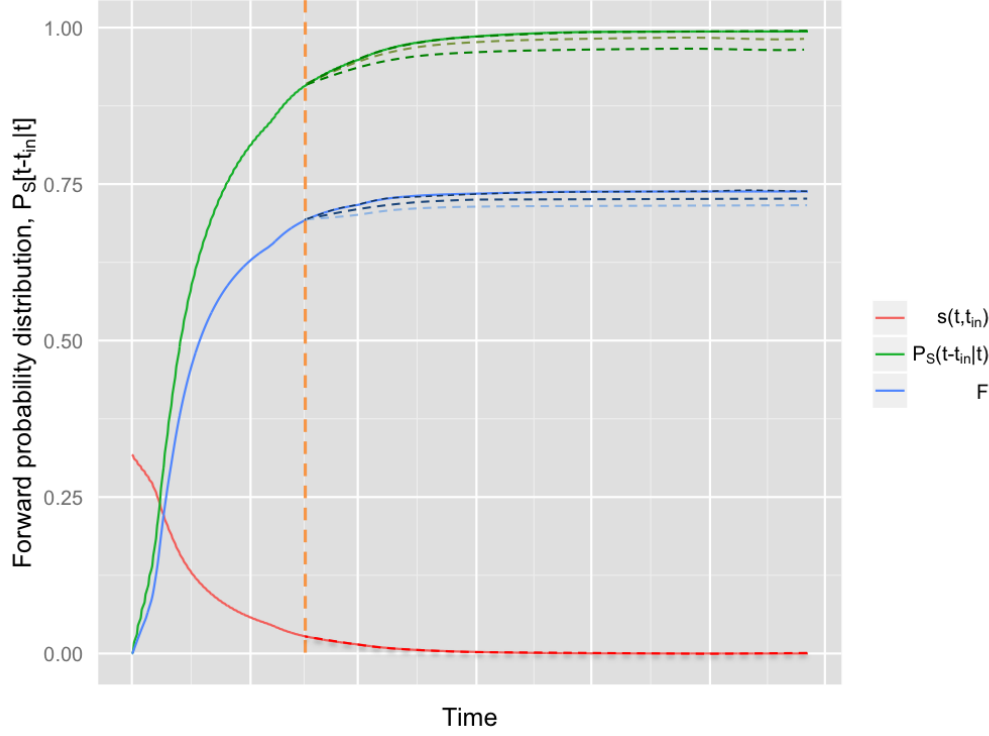
$$p_S(t - t_{in}|t_{in}) = \Theta p_Q(t - t_{in}|t_{in}) + (1 - \Theta) p_{E_T}(t - t_{in}|t_{in}) \quad (32)$$

For discharge, the result is:

$$Q(t) = \int_0^{\min(t, t_p)} p_Q(t - t_{in}|t_{in}) \Theta(t_{in}) J(t_{in}) dt_{in} \quad (33)$$

which can be seen as a generalization of the instantaneous unit hydrograph.

225 Although  $\Theta$  maybe unknown at any finite time, the actual state of the system is obtained by solving the budget equation. More information and details on this partitioning coefficient are provided in the next section.



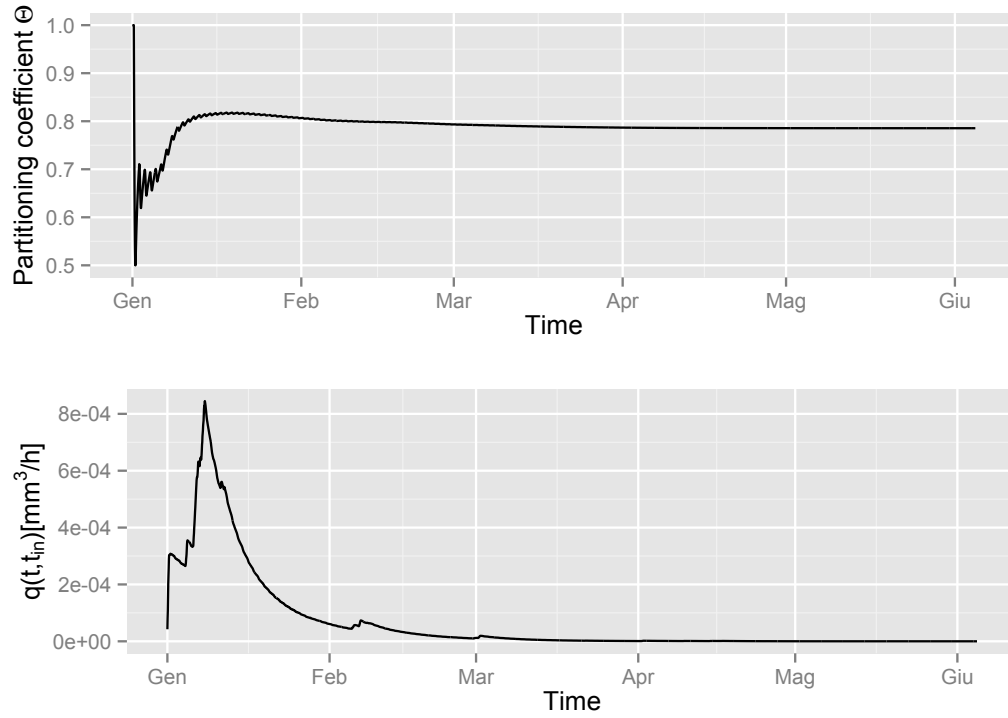
**Figure 6.** Representation of the forward probability of the outputs: in red the relative storage,  $s(t, t_{in})$ , in green the output probability,  $P[t - t_{in}|t_{in}]$  and in blue the relative discharge function  $\mathcal{F}$ , defined in the text. The difference between  $P[t - t_{in}|t_{in}]$  and  $\mathcal{F}$  is the function  $\mathcal{G}$ , defined in the text. The orange dashed line represents the generic instant  $t$ , after which  $P[t - t_{in}|t_{in}]$  and  $\mathcal{F}$  are unknown.

## 6 The partitioning coefficient $\Theta$

$\Theta(t_{in})$  has been introduced to complete the algebra of probabilities, in presence of more than one outflow. However estimation of the coefficient is important by itself, because it summarizes the relevant partitioning of hydrologic fluxes.

The first plot in figure 7 shows a time-series of  $\Theta(t, t_{in})$  values obtained from a single injection time considering the complete mixing case ( $\omega_Q(t, t_{in}) = \omega_{E_T}(t, t_{in}) = 1$ ). It uses data from the Posina River generated from the simulation of the hydrological budget reported in Abera et al., 2016 (submitted). At the beginning  $\Theta(t_{in})$  (figure 7, top) shows large oscillations due to hourly and daily oscillations, especially in evapotranspiration. Because  $\Theta(t_{in})$  is defined through integrals, these oscillation are progressively damped and become irrelevant after a couple of weeks (when discharge is still higher than baseflow, as appears from the age-ranked discharge in figure 7, bottom).

Figure 8 shows different time-series of the partitioning coefficient: each curve represents the time evolution of  $\Theta(t, t_{in})$  obtained considering twelve precipitation events, one for each month of a year



**Figure 7.** Variation of the partitioning coefficient in time, for a single injection time in January: after a time scale of 5 months its oscillation became irrelevant and its value tends to its final value of 0.78

of rainfall data. The highest values of the coefficient ( $\Theta(t_{in}) = 0.75$ , in this case, are achieved during the coldest months of the year, in which the evapotranspiration flux is lower. On the contrary, smaller  $\Theta(t_{in})$  values were obtained in the summer months, with a minimum in June around 0.25.

## 7 Niemi's relation

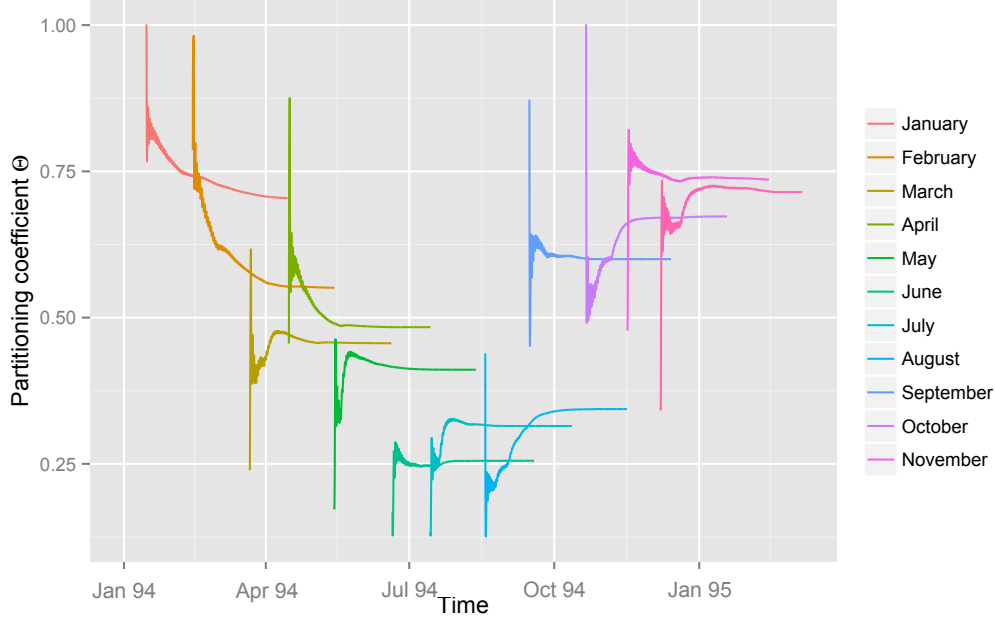
245 As a result of definitions made in sections (4) and (5) two relations exist involving  $q(t, t_{in})$ , i.e. equations (11) and (30), and  $ae_T(t, t_{in})$ , i.e. equations (12) and (31). Equating the corresponding two expression, results in:

$$Q(t)p_Q(t - t_{in}|t) = \Theta(t_{in})p_Q(t - t_{in}|t_{in})J(t_{in}) \quad (34)$$

and:

250  $AE_T(t)p_{E_T}(t - t_{in}|t) = [1 - \Theta(t_{in})]p_{E_T}(t - t_{in}|t_{in})J(t_{in}) \quad (35)$

where  $t = t_{ex}$  since we are considering the particles leaving the control volume as discharge and evapotranspiration. The above relations are known in literature as Niemi's relations or formulas, after Niemi (1977).



**Figure 8.** Evolution of the partitioning coefficient in one year of hourly simulation: the highest value are achieved in January while the lowest in June. However, the figure does not represent a simple oscillation. March coefficient is lower than April. October and November present almost the same value.

Defining the total volume of water injected in the system in  $[0, t_p]$ :

$$255 \quad V_S(t_p) := \int_0^{\min(t, t_p)} J(t_{in}) dt_{in} = \int_0^{\min(t, t_p)} Q(t) + AE_T(t) dt \quad (36)$$

it can be observed that:

$$p_J(t_{in}) := \frac{J(t_{in})}{V_S(t_p)} \quad (37)$$

can be considered the marginal pdf of the injection times, **or the fraction of precipitation fallen at a certain  $t_{in}$  with respect to the whole precipitation fallen in  $[0, t_p]$ .** Analogously

$$260 \quad p_Q(t) := \frac{Q(t)}{\Theta(t_{in})V_S(t_{in})} \quad (38)$$

is the marginal pdf of the outflow as discharge, **or the fraction of discharge at a certain  $t$  generated by precipitation in the same  $[0, t_p]$ .** Then, Niemi's relation (34) becomes:

$$p_Q(t - t_{in}|t)p_Q(t) = p_Q(t - t_{in}|t_{in})p_J(t_{in}) \quad (39)$$

which has the form of the well known Bayes theorem. This shows that the interpretation of the backward and forward probabilities as conditional ones is fully consistent. On the other hands, this

reveals that the joint probability of  $T_r$  and  $t$  is:

$$p_S(T_r, t) = p_Q(t - t_{in}|t)p_Q(t) = p_Q(t - t_{in}|t_{in})p_J(t_{in}) \quad (40)$$

Because future is unknown, as remarked in section 5, there should be a working Niemi's relation for any finite time  $t$ , which does not require the knowledge of the asymptotic value  $\Theta(t_{in})$ . This can be easily derived after having defined:

$$g(t - t_{in}|t_{in}) := \frac{ae_t(t, t_{in})}{J(t_{in})} \equiv \frac{d\mathcal{G}}{dt} \quad (41)$$

and

$$f(t - t_{in}|t_{in}) := \frac{q(t, t_{in})}{J(t_{in})} \equiv \frac{d\mathcal{F}}{dt} \quad (42)$$

From these definitions,

$$q(t, t_{in}) = f(t - t_{in}|t_{in})J(t_{in}) \quad (43)$$

and

$$ae_t(t, t_{in}) = g(t - t_{in}|t_{in})J(t_{in}) \quad (44)$$

and, therefore,

$$Q(t)p_Q(t - t_{in}|t) = f(t - t_{in}|t_{in})J(t_{in}) \quad (45)$$

for discharges, and

$$AE_T(t)p_{AE_T}(t - t_{in}|t) = g(t - t_{in}|t_{in})J(t_{in}) \quad (46)$$

for evapotranspiration.

As a byproduct, the SAS and the forward functions are shown to be related. For discharge at any time  $t$ , for example,

$$f(t - t_{in}|t_{in}) = \frac{Q(t)\omega_q(t, t_{in})p_S(t - t_{in}|t)}{J(t_{in})} \quad (47)$$

## 8 Residence times, travel times and life expectancy

The forward probabilities can be related with the life expectancy, i.e. the expected time the water molecules remain in the storage.

In the control volume, we can conceptually denote the subsets of the storage which contains the water molecules expected to exit at time  $t_{ex}$  as:

$$s_{t_{ex}}(t, t_{ex}) \quad (48)$$

Analogously to what was done before, we can observe that the quantity

$$p_{t_{ex}}(t_{ex} - t|t) := \frac{s_{t_{ex}}(t, t_{ex})}{S(t)} \quad (49)$$

has the structure of a probability density function once integrated over all  $t_{ex}$ -s, and it is reasonable  
295 to call it the probability density of storage-life expectancy for particles in the control volume at time  $t$ .

Based on equation (1), and assuming statistical independence of residence time and life expectancy, for any  $t$ :

$$p_S(T_r|t) = p_{t_{ex}}(t_{ex} - t|t) * p(t - t_{in}|t) \quad (50)$$

300 where  $*$  indicates convolution of two probability density functions.

However,  $p_{t_{ex}}(t_{ex} - t|t)$  can also be related to the forward probabilities discussed in the previous section. In fact, it can be observed that the probability of storage-life expectancy satisfies the following relation with the age-ranked forward quantities:

$$s_{t_{ex}}(t, t_{ex}) = \int_0^{\min(t, t_p)} [q(t_{ex}, t_{in}) + ae_t(t_{ex}, t_{in})] dt_{in} - \int_0^{\min(t, t_p)} [q(t, t_{in}) + ae_t(t, t_{in})] dt_{in} \quad (51)$$

305 where, according to the definitions:

$$\int_0^{\min(t, t_p)} [q(t_k, t_{in}) + ae_t(t_k, t_{in})] dt_{in} = \int_0^{\min(t, t_p)} [\Theta(t_{in})p_Q(t_k - t_{in}|t_{in}) + (1 - \Theta(t_{in}))p_{AE_t}(t_k - t_{in}|t_{in})] J(t_{in}) dt_{in} \quad (52)$$

The label  $k = 0$  indicates the exit time and  $k = 1$  the actual time. The integral spans the time interval up to  $t_p$  because we are considering the storage derived for precipitation fallen in the finite interval  $[0, t_p]$ . In (51) the equality says that the life-storage at time  $t$  is equal to the water injected for any  
310 time time  $t_{in} \in [0, t_p]$  which is expected to exit as discharge or evapotranspiration at time  $t_{ex}$ . The water still inside of the control volume at clock time  $t$  is, however, all water that entered the volume up to time  $t$ , minus the water that already flowed out.

This integral is not effectively known at time  $t$ , because what is happening between time  $t$  and  $t_{ex}$  is unknown, and so the pdfs (as in Figure 5), unless they are specified from some educated guess, as  
315 made in the last section of this paper. It follows:

$$p_S(t_{ex} - t|t) = \frac{\sum_k (-1)^k \int_0^{\min(t, t_p)} [\Theta(t_{in})p_Q(t_k - t_{in}|t_{in}) + (1 - \Theta(t_{in}))p_{AE_t}(t_k - t_{in}|t_{in})] J(t_{in}) dt_{in}}{S(t)} \quad (53)$$

Thus, either as a convolution (50) or as related to forward probabilities (53), the relation between the storage-life expectancy and the previously introduced backward and forward probabilities is mediated by an integral equation.



320 **9 Passive and reactive solutes**

The formalism developed in sections 2 to 6 applies in principle to any conservative substance, indicated by a superscript  $i$ . Therefore we have a bulk budget equation for the mass of the substance  $i$ , and age-ranked budget for the same substance:

$$\frac{dS^i(t)}{dt} = J^i(t) - Q^i(t) + R^i(S(t)) \quad (54)$$

325 and

$$\frac{ds^i(t, t_{in})}{dt} = j^i(t, t_{in}) - q^i(t, t_{in}) + r^i(s(t - t_{in})) \quad (55)$$

which represent trivial extensions of equations (2) and (9). To simplify this illustration, we have neglected evapotranspiration, which will be re-introduced eventually, **but we have added a sink/source term including any physical or chemical reactions, extending Duffy (2010)**. However, if the substance is dissolved in water, it is usually treated as concentration (either in terms of mass, moles or volume per the same quantity of water). Because we have various terms in the equations, concentrations are possibly as many as the terms that appear. In this case, three:

$$C_S^i(t) := \frac{S^i(t)}{S(t)} \quad (56)$$

for the concentration in storage;

$$335 \quad C_J^i(t) := \frac{J^i(t)}{J(t)} \quad (57)$$

for concentration in input; and

$$C_Q^i(t) := \frac{Q^i(t)}{Q(t)} \quad (58)$$

for discharges. The latter is actually the one which is usually covered in the literature, since it is the one measured at the outlet of a control volume/catchment. For the solute discharge, an integral expression like,

$$340 \quad Q^i(t) = \int_0^{\min(t, t_p)} \Theta(t_{in}) p_Q(t - t_{in} | t_{in}) J^i(t_{in}) dt_{in} \quad (59)$$

is assumed to be valid, where the  $i$  has been dropped from the probability distribution function, assuming that a passive solute moves with the water. Dividing (59) by the water discharge, it is obtained:

$$345 \quad C_Q^i(t) = \int_0^{\min(t, t_p)} \frac{\Theta(t_{in}) p_Q(t - t_{in} | t_{in}) J^i(t_{in}) dt_{in}}{Q(t)} \quad (60)$$

and, finally, applying the Niemi's formula:

$$C_Q^i(t) = \int_0^{\min(t, t_p)} p_Q(t - t_{in}|t) \frac{J^i(t_{in})}{J(t_{in})} dt_{in} = \int_0^{\min(t, t_p)} p_Q(t - t_{in}|t) C_J^i(t_{in}) dt_{in} \quad (61)$$

Therefore the concentration of the passive solute in discharge is known once the concentration of the solute in input is known together with the backward probability (Rinaldo et al., 2011). The concentration estimated in this way groups substances injected at any time, in agreement with measurement  
350 practices. When a sample is taken, the action implies perfect mixing of all the age-ranked water and their load of substance. The bulk substance budget can instead be written as:

$$\frac{dS^i(t)}{dt} = \frac{dC_S^i(t)S(t)}{dt} = J^i(t) - Q^i(t) + R^i(S(t)) = J^i(t) - C_Q^i(t)Q(t) + R^i(S(t)) \quad (62)$$

and the missing concentration  $C_S^i(t)$  can be easily estimated with the help of (56) since  $S(t)$  is also  
355 known.

The above is essentially the same of equation (12) in Duffy (2010), but the age-ranked formalism can be used to understand a little more about the processes in action. Starting from the quantities that appear in equation (55), the backward probability can be defined as:

$$p^i(t - t_{in}|t) := \frac{S^i(t, t_{in})}{S^i(t)} \quad (63)$$

360 and analogous definitions (e.g. equation 11) can be given for the discharge and the inputs, such as to obtain, after the appropriate substitutions:

$$\frac{d}{dt} C_S^i(t) S(t) p(t - t_{in}|t) = J^i(t) \delta(t - t_{in}) - C_Q^i(t) Q(t) \underbrace{\omega_Q(t, t_{in}) p(t - t_{in}|t)}_{p_q(t - t_i|t)} + r^i(t, t_{in}) \quad (64)$$

which is the master equation (equation 17) for the substance  $i$ . Many of the superscripts  $i$  were dropped, because the  $i$ -substance does not modify the velocity (i.e., it behaves like water).

365 The braces were added to emphasize that  $p_Q(t - t_{in}|t)$  should have been left, and we could solve the system of equations directly for  $p(t - t_{in}|t)$  and  $p_Q(t - t_{in}|t)$ , obtaining eventually the age-ranked quantities, using (54).

In fact, in (64) all the quantities are known, either because solution of the solute budget (54) or the water master equation (equation 17), or a known input ( $J(t)$ ). The only quantity that is unknown  
370 (and usually guessed) is  $\omega_Q(t, t_{in})$ . However, (64) and (17) can be seen as two coupled equations in  $p(t - t_{in}|t)$  and  $\omega_Q(t, t_{in})$ , and we can conclude that the SAS can be derived rather than arbitrarily imposed.

From a practical point of view there could be some obstacles in the correct determination of the SAS, because the distribution of the input of the substance can be unknown. In this case (64) can be  
375 used to back-trace the the passive solute injection, after educated guesses on the SAS. In presence of more than one solute, any of them must return the same probabilities. This redundancy can then be used for improving their estimation by using the appropriate statistical techniques.

For the sake of simplicity we neglected evapotranspiration. However, now that the concepts are established, we can observe that incorporating  $AE_T$  involves a second SAS, which remains undetermined. Various approaches can be chosen to overcome this fact. For instance, it can be assumed that  $\omega_Q(t, t_{in}) = \omega_{E_T}(t, t_{in})$ . Nevertheless the main experimental approach would be to find a second passive tracer transported through vegetation. In this case, if a third equation similar to (64), but containing evapotranspiration, would hold, it would permit the determination of the missing SAS coefficient.

Duffy (2010), as in Carrera and Medina (1999), added an equation for water age to our (54) and (64). This is necessary when dealing with spatially distributed properties (see Appendix B) but not at our coarse grained scales. In fact, in our case, water age can be estimated directly from its definition (13), since the probability distribution of residence time is known.

Finally, in order to clarify this theory, an example of  $r^i$  could be:

$$r^i(t, t_{in}) := k_1(s^i(t, t_{in}) - k_2 s_{eq}^i) \quad (65)$$

where  $k_1$  and  $k_2$  are suitable reaction's constants and  $s_{eq}^i$  represents an equilibrium storage. Whilst more complex reactions can be envisioned, this type of reaction (or sink term), being linear, does not alter the essential traits of the theory described above.

## 10 An example of the other way around

With the scope to further clarify the formalism, we assume in this section that the forward pdfs introduced in the previous sections are known. We use the concept of linear reservoir, which has a long history in surface hydrology, e.g. Dooge (2003).

First consider only one outflow, the bulk equation for the water budget of a single linear reservoir is:

$$\frac{dS(t)}{dt} = \sum_{t_{in}=1}^n R_{t_{in}} - \frac{1}{\lambda} S(t) \quad (66)$$

where it has been assumed, for simplicity, that  $J(t) = \sum_{t_{in}=1}^n R_{t_{in}}$ , i.e. that the precipitation is accounted as a sequence of instantaneous impulses at different times  $t_{in}$ s. By definition of the linear reservoir:

$$Q(t) = \frac{1}{\lambda} S(t) \quad (67)$$

where  $\lambda$  [T] is the mean travel time in the reservoir. If this is the case, the age-ranked water budgets can be written as:

$$\frac{ds(t, t_{in})}{dt} = R_{t_{in}} \delta(t - t_{in}) - \frac{1}{\lambda} s(t, t_{in}) \quad (68)$$

where it is

$$q(t, t_{in}) = \frac{1}{\lambda} s(t, t_{in}) \quad (69)$$

410 Equation (68), after integration over  $t_{in}$  reduces to equation (66). By definition, it is  $s(t, t_{in}) = 0$  for  $t < t_{in}$  and the solution, for  $t > t_{in}$  is well known as:

$$s(t, t_{in}) = R_{t_{in}} e^{\frac{t_{in}-t}{\lambda}} \quad (70)$$

The equivalent solution, for  $S(t)$  gives:

$$S(t) = \int_{t_{in}}^t R_{t_{in}} e^{-(t-t_{in})/\lambda} dt_{in} \quad (71)$$

415 and the backward probability can be written, then as:

$$p_S(t - t_{in}|t) = \frac{R_{t_{in}} e^{\frac{t-t_{in}}{\lambda}}}{\int_{t_{in}}^t R_{t_{in}} e^{-(t-t_{in})/\lambda} dt_{in}} \quad (72)$$

If, and only if,  $R_{t_{in}} = \text{const}$  the probability simplifies, and it is time invariant, i.e. dependent only on the residence time  $T_r = t - t_{in}$ . Please notice that, in this case, we did not appeal to equation (17) to estimate the backward probability. Instead we used the definitions in equation (72).

420 Because discharge is just linearly proportional to the storage, it is easy to show that  $p_q(t - t_{in}|t) = p_S(t - t_{in}|t)$  and, therefore, in this case,  $\omega(t, t_{in}) = 1$ . This shows that the linear reservoir case, where for all injection times the mean residence time is equal (to  $\lambda$ ), the SAS function is necessarily unitary. However, a more general case, can be set if the mean residence time is a function of  $t_{in}$ , meaning that equation (68) can be modified into:

$$425 \frac{ds(t, t_{in})}{dt} = R_{t_{in}} \delta(t - t_{in}) - \frac{1}{\lambda_{t_{in}}} s(t, t_{in}) \quad (73)$$

and its solution for  $t > t_{in}$  is the same as (70), but with  $\lambda$  muted into  $\lambda_{t_{in}}$ . However, due to the dependence of  $\lambda_{t_{in}}$  on the injection time, the SAS is not anymore a constant, being equal to:

$$\omega_Q(t, t_{in}) := \frac{p_q(t - t_{in}|t)}{p_S(t - t_{in}|t)} = \lambda_{t_{in}}^{-1} \frac{\int_{t_{in}}^t R_{t_{in}} e^{-(t-t_{in})/\lambda_{t_{in}}} dt_{in}}{\int_{t_{in}}^t \lambda_{t_{in}}^{-1} R_{t_{in}} e^{-(t-t_{in})/\lambda_{t_{in}}} dt_{in}} = \lambda_{t_{in}}^{-1} \frac{\int_{t_{in}}^t R_{t_{in}} e^{+t_{in}/\lambda_{t_{in}}} dt_{in}}{\int_{t_{in}}^t \lambda_{t_{in}}^{-1} R_{t_{in}} e^{t_{in}/\lambda_{t_{in}}} dt_{in}} \quad (74)$$

This seems to suggest that imposing the characteristics of the pdf could completely determine the  
430  $\omega_Q(t, t_{in})$ . Vice versa, as already known, assigning  $\omega_Q(t, t_{in})$  from some heuristic, obviously, would determine a mean residence time dependence on the injection time.

Non trivial  $\omega(t, t_{in})$  can also be derived from assuming a sequence of linear reservoirs, as in the so called Nash model, Dooge (2003). Without entering in details, a sequence of linear reservoirs implies that just the last reservoir maintains a linear relation between storage and outflow. Instead  
435 a nonlinear relationship exists between the whole storage and the same outflow, implying also a nonlinear SAS.

Even if semi-analytical results are not feasible using non-linear reservoirs, suitably tuning the parameters of each age-ranked equation cannot change the form of the SAS, as is also suggested by arguments below.

440 Other aspects come into play when there are multiple outputs. Expanding the previous linear case to include evapotranspiration, the bulk equation, becomes:

$$\frac{dS(t)}{dt} = \sum_{t_{in}=1}^n R_{t_{in}} - \left( \frac{1}{\lambda} - aet(t) \right) S(t) \quad (75)$$

where the actual evapotranspiration is assumed to equal:

$$AE_T(t) = S(t)aet(t) \quad (76)$$

445 with a linear dependence on the soil water content, as for instance in Rodriguez-Iturbe et al. (1999). The equations of water budget for the generations becomes:

$$\frac{ds(t, t_{in})}{dt} = R_{t_{in}} \delta(t - t_{in}) - \left( \frac{1}{\lambda_{t_{in}}} + ae(t, t_{in}) \right) s(t, t_{in}) \quad (77)$$

where the bivariate dependence of  $ae(t, t_{in})$  on the actual time and the injection time can be justified by arguing that, water of different ages is not perfectly mixed in the control volume and plant roots

450 sample water of different ages in different modes, according to their spatial distributions. Since equation (77) remains a linear ordinary differential equation, it can be solved analytically, and:

$$s(t, t_{in}) = R_{t_{in}} e^{-\Lambda(t, t_{in})} \quad (78)$$

where:

$$\Lambda(t, t_{in}) := \int_{t_{in}}^t \left( \frac{1}{\lambda_{t_{in}}} + ae(t', t_{in}) \right) dt' \quad (79)$$

455 and:

$$S(t) = \int_0^t R_{t_{in}} e^{-\Lambda(t, t_{in})} dt_{in} \quad (80)$$

Notably, the outflows terms can be expressed as a function of the storage:

$$q(t, t_{in}) + aet(t, t_{in}) = \mu(t, t_{in}) s(t, t_{in}) \quad (81)$$

the problem remains linear and analytically solvable. The quantity  $\mu(t, t_{in})$  is usually called age and mass-specific output rate, Calabrese and Porporato (2015). Solving equation (77) it is not even  
460 necessary to show that:

$$\omega_{E_T}(t, t_{in}) \neq 1 \quad (82)$$

The latter condition is regained if and only if  $aet(t, t_{in}) = aet(t)$ , i.e. it depends only on the current time (which is a condition that requires the perfect mixing of aged waters). In fact, in case a  
 465 dependence on  $t_{in}$  remains, then, trivial algebra says that:

$$p_{E_T}(t - t_{in}|t) = \frac{ae(t, t_{in})s(t, t_{in})}{\int_{t_{in}}^t ae(t, t_{in})s(t, t_{in})dt_{in}} \quad (83)$$

which implies:

$$\omega_{E_T}(t, t_{in}) := \frac{p_{E_T}(t - t_{in}|t)}{p_S(t - t_{in}|t)} = \frac{ae(t, t_{in}) \int_{t_{in}}^t R_{t_{in}} e^{-\Lambda(t, t_{in})}}{\int_{t_{in}}^t ae(t, t_{in})S(t, t_{in})dt_{in}} \quad (84)$$

Obviously these results, obtained by imposing a travel time probability, can be inconsistent with  
 470 tracers results, because both approaches require estimates of the  $\omega$  functions, which are not known well.

## 11 Conclusions

We reviewed existing concepts that were collected from many different papers, and presented them in a new systematic way. We established a consistent framework that offers a unified view of the  
 475 travel time theories across surface water and groundwater. It contains several clarifications and extensions.

Clarifications include:

- the concepts of forward and backward conditional probabilities and a small but important change in notation;
- 480 – their one-to-one relation with the water budget (and the age-ranked functions) from which the probabilities were derived (after the choice of SASs);
- the proper way to choose backward probabilities. Specifically, it was shown that the usual way to assign time invariant backward probabilities is inappropriate. We also show how to do it correctly, and introducing a minimal time variability.
- 485 – the fact that time-invariant forward probabilities usually imply time-varying backward probabilities, i.e. travel time distributions.
- the rewriting of the Botter, Bertuzzo and Rinaldo’s master equation as an ordinary differential equation (instead of a partial differential equation).
- The role and nature of the partitioning coefficient between discharge and evapotranspiration  
 490 (which is unknown at any time except asymptotically).
- the significance of the SAS functions with examples.

– the relationship of the present theory with the well known theory of the instantaneous unit hydrograph.

495 – We also add information and clarify some links of the present theory with [Delhez et al. (1999) and [Duffy (2010)].

Extensions include:

– new relations among the probabilities (including the relation between expectancy of life and forward residence time probabilities).

– an analysis of the partitioning coefficients (which are shown to vary seasonally)

500 – an explicit formulation of the equations for solutes which would permit a direct determination of the SAS on the basis of experimental data.

– Tests of the effect of various hypotheses, i.e. of assuming a linear model of forward probability and gamma model for the backward probabilities.

– an extension of Niemi's relation (and a new normalization).

505 – the presentation of Niemi's relation as a case of the Bayes Theorem.

– a system of equations from which to obtain the SAS experimentally.

The extension of the theory to any passive substance diluted in water clearly opens the way to new developments of the theory and applications of tracers.

510 Finally, as a proof of concept, this paper includes examples derived from a real case (Posina river basin) and comes with open source code that implements the theory, available to any researcher.

## Appendix A: Symbols, Acronyms, and Notation

Symbol	Name	Units
$ae_T(t, t_{in})$	age-ranked evapotranspiration	$L^3 T^{-2}$
$ae_T(t, t_{ex})$	age-ranked evapotranspiration conditioned to the exit time	$L^3 T^{-2}$
$b$	exponent of the non-linear reservoir model	—
$f(t - t_{in} t_{in})$	time derivative of the relative discharge function	$T^{-1}$
$f_{up}$	partitioning coefficient between upper and saturated reservoirs	—
$g(t - t_{in} t_{in})$	time derivative of the relative evapotranspiration function	$T^{-1}$
$g(T_r)$	incomplete Gamma distribution	$T^{-1}$
$j(t, t_{in})$	age-ranked rainfall rate	$L^3 T^{-2}$
$j^i(t, t_{in})$	age-ranked input of the substance $i$	$L^3 T^{-2}$
$k_{1,2}$	reaction's constants	—
$p^i(t - t_{in} t)$	travel time backward pdf of the substance $i$	$T^{-1}$
$p_{E_T}(t - t_{in} t)$	evapotranspiration time backward pdf	$T^{-1}$
$p_{E_T}(t - t_{in} t_{in})$	evapotranspiration time forward pdf	$T^{-1}$
$p_J(t_{in})$	marginal pdf of the outflow as discharge	—
$p_{low}(t - t_{in} t)$	travel time backward pdf of the lower storage	$T^{-1}$
$p_Q(t - t_{in} t)$	travel time backward pdf	$T^{-1}$
$p_Q(t - t_{in} t_{in})$	travel time forward pdf	$T^{-1}$
$p_Q(t_{in})$	marginal pdf of the injection times	—
$p_S(T_r t)$	residence time backward pdf	$T^{-1}$
$p_S(t - t_{in} t_{in})$	residence time forward pdf	—
$p_{S_{t_{ex}}}(t_{ex} - t t)$	life expectancy forward pdf	$T^{-1}$
$p_{sat}(t - t_{in} t)$	travel time backward pdf of the saturated storage	$T^{-1}$
$p_{sup}(t - t_{in} t)$	travel time backward pdf of the upper storage	$T^{-1}$
$q(t, t_{in})$	age-ranked discharge	$L^3 T^{-2}$
$q(t, t_{ex})$	age-ranked discharge conditioned to the exit time	$L^3 T^{-2}$
$q^i(t, t_{in})$	age-ranked output of the substance $i$	$L^3 T^{-2}$
$q_{low}(t, t_{in})$	age-ranked discharge for the lower reservoir	$L^3 T^{-2}$
$q_{sat}(t, t_{in})$	age-ranked discharge for the saturated reservoir	$L^3 T^{-2}$
$r^i(t, t_{in})$	age-ranked sink/source term	$L^3 T^{-2}$
$s(t, t_{in})$	age-ranked water storage	$L^3 T^{-1}$
$s^i(t, t_{in})$	age-ranked water storage of the substance $i$	$L^3 T^{-2}$
$s_{eq}^i$	equilibrium storage	$L^3 T^{-1}$



Symbol	Name	Units
$s_{ex}(t, t_{ex})$	age-ranked water storage conditioned to the exit time	$L^3 T^{-1}$
$s_{low}(t, t_{in})$	age-ranked water storage for the lower reservoir	$L^3 T^{-1}$
$s_{up}(t, t_{in})$	age-ranked water storage for the upper reservoir	$L^3 T^{-1}$
$s_{sat}(t, t_{in})$	age-ranked water storage for the saturated reservoir	$L^3 T^{-1}$
$t$	actual time	T
$t_{ex}$	exit time	T
$t_{in}$	injection time	T
$t_p$	time of the end of the last precipitation considered in the analysis	T
$AE_T(t)$	actual evapotranspiration	$L^3 T^{-1}$
$C_J^i(t)$	concentration in input	—
$C_S^i(t)$	concentration in storage	—
$C_Q^i(t)$	concentration in discharge	—
$E(t)$	potential evapotranspiration	$L^3 T^{-1}$
$\mathcal{F}(t - t_{in} t_{in})$	relative discharge function	—
$\mathcal{G}(t - t_{in} t_{in})$	relative evapotranspiration function	—
$J(t)$	rainfall rates	$L^3 T^{-1}$
$J^i(t)$	input rates of the substance $i$	$L^3 T^{-1}$
$J(t_{in})$	precipitation fallen at a certain $t_{in}$	$L^3 T^{-1}$
$P_S(t - t_{in} t_{in})$	residence time forward probability function	—
$L_e$	life expectancy	T
$T$	travel time	T
$T_r$	residence time	T
$S(t)$	volume of water stored in a control volume	$L^3$
$Q(t)$	discharge	$L^3 T^{-1}$
$Q^i(t)$	output rates of the substance $i$	$L^3 T^{-1}$
$Q_1$	recharge to the saturated reservoir	$L^3 T^{-1}$
$Q_l$	runoff produced by the lower reservoir	$L^3 T^{-1}$
$Q_{sat}$	outflow from the saturated storage	$L^3 T^{-1}$
$R^i(S(t))$	sink/source term	$L^3 T^{-1}$
$R(t)$	recharge to the lower reservoir	$L^3 T^{-1}$
$R(t, t_{in})$	input to the lower reservoir	$L^3 T^{-1}$
$R_{t_{in}}$	sequence of instantaneous impulses at different $t_{in}s$	$L^3$

Symbol	Name	Units
$S^i(t)$	stored mass of the substance $i$ stored	$L^3$
$S_{low}$	storage in the lower reservoir	$L^3$
$S_{max}$	maximum value of the storage	$L^3$
$S_{sat}$	amount of water stored in the saturated storage	$L^3$
$S_{up}$	storage in the upper reservoir	$L^3$
$V_{AET}(t, t_{in})$	time integral of the age-ranked evapotranspiration	$L^3 T^{-1}$
$V_S(t_p)$	total volume injected in the volume in $[0, t_p]$	$L^3 T^{-1}$
$V_Q(t, t_{in})$	time integral of the age-ranked discharge	$L^3 T^{-1}$
$\alpha$	coefficient of the gamma distribution	—
$\delta(t - t_{in})$	Delta-dirac distribution	$T^{-1}$
$\gamma$	coefficient of the gamma distribution	—
$\lambda$	coefficient of the non-linear reservoir model	$T$
$\mu(t, t_{in})$	age and mass-specific output rate	—
$\omega_{ET}(t, t_{in})$	SAS for evapotranspiration	—
$\omega_{low}(t, t_{in})$	SAS for runoff produced by the lower reservoir	—
$\omega_Q(t, t_{in})$	SAS for discharge	—
$\omega_{Q_1}(t, t_{in})$	SAS for the recharge to the saturated reservoir	—
$\omega_R(t, t_{in})$	SAS for the recharge to the lower reservoir	—
$\omega_{Q_{sat}}(t, t_{in})$	SAS for runoff produced by the saturated storage	—
$\Theta(t_{in})$	partitioning coefficient	—
$\Gamma$	Gamma function	—

## Appendix B: A little critical review of contributions on age related equations

Without the need to be comprehensive, since some review of the topic were recently made available, 515 Benettin et al. (2013), Hrachowitz et al. (2016), we believe it could be useful to summarize the contributions of some milestone papers in relation with our. We choose here those references that have a direct theoretical influence, and leave out those, already cited in the main text, that have more relevance in connection with experimental research, and model identification. We do not mention also Dagan's important work that we already commented in Introduction.

520 We also do not mention travel time theories which emanate from the instantaneous unit hydrograph since, they were extensively discussed in Rigon et al. (2015). The formal center of this paper contribution is equation (9). Being substantially a mass budget, it can be argued that it has been central in many scientific disciplines, and hydrology's sub-disciplines. However, as stated in the main

text, the first contribution where the equation appears in the same exact form we use is (van der  
525 Velde et al. (2012)).

One of the older papers on this subject is Campana (1987) who wrote an equation for water age  
distribution, but he used a discrete time formalism, that is not easily translatable into our derivation.  
The remarkable work of Carrera and Medina (1999) was directly paying attention to the question  
of water ages by finding one partial differential equation (pde) for the residence time distributions,  
530 and one pde for water ages. A similar approach was also followed by Ginn (1999). Their contri-  
butions fall in the area of advection-dispersion type of equations and were implemented, almost at  
the same time, in Delhez et al. (1999) and Deleersnijder et al. (2001). The latter were concerned  
with the oceanography domain. Parallel developments in atmospheric sciences are instead reviewed  
in Waugh and Hall (2002). All the researchers above worked at a finer scale than our, describing  
535 fields of properties, dependent on location, time and age, while we work at an scale integrated over  
a whole control volume (a catchment or a hydrologic response unit), where any reference to space  
disappears. Let us call “local” their approach and “coarse grained” our. Their local approach used  
directly concentrations, our coarse grained one put emphasis on residence (and travel) time probabili-  
ties. Both concentration and probability vary between zero and one but the first are mass (volume)  
540 normalised over the total mass (volume) of all substances present in a given location, the second are  
mass (volumes) of a substance injected at a certain time over the mass (volume) of the same sub-  
stance coming from all the injection times. We show in section 9 how the two approaches match at  
the coarse grained scale, following the work of Duffy (2010). Another relevant difference between  
the local and coarse grained theories is the different parameterisation of the fluxes. In our treatment  
545 we distinguish the sources (precipitation, recharge, etc) and the outputs (discharges and evapotran-  
spiration). Local theories usually implement an advection-dispersion term and include a sink-source  
term, which is important only when solutes are involved. We also introduced a sink-source term,  
but when appropriate, in section 9. An explicit integration of the local theory to obtain the coarse  
grained one was recently presented in Duffy (2010) who first made clear that the equation for con-  
550 centration and mass budget form a dynamical system. He also added an age equation which we, in  
our formalism, do not need.

Porporato and Calabrese (2015) and Calabrese and Porporato (2015) in their effort to merge the  
travel time approach with population dynamics, dated back the age ranked equation back to the work  
of McKendric and Von Voester (e.g., M’Kendrick,1925; Foerster,1959). However M’Kendrick and  
555 Foerster version of the master equation emphasises more the birth and death terms (i.e. the sink and  
sources of the local theories mentioned above), instead of the flows at the interfaces, as it is usually  
done when dealing with hydrological budgets. This approach is interesting, however, as Rinaldo  
et al. (2015) notes, it is very difficult to work out hydrology in term of the loss function which is,  
instead, central in the population dynamic. If population dynamics theories could be considered an

560 ancestor of our, they do not convey directly the same information. With the same argument can be commented Rotenberg (1972) work.

A different but interleaved group of papers, e.g. Kirchner (2016a, b), and references therein, Hrachowitz et al. (2010), analyses the topic of tracers flow, by directly assigning the backward probability, in (61). This approach, as well IUH related ones (shown in the main text), could determine  
565 the forward travel time distribution through the Niemi's relation. However, as shown in appendix D, this approach is not respecting the definition of probabilities we gave, and actually has some mathematical inconsistency which should, in future, be corrected.

### Appendix C: An example of generalisation to many embedded reservoirs

570 It is usually recognised, (e.g., Kirchner,2009) that a single reservoir is not able to reproduce experimental results, and more than one "embedded" reservoir are necessary to reproduce the behavior of the catchment. For instance, concerns that regard discrepancies between the velocity of the solute transport and celerity of the pressure signals that travel across the control volumes must be completely addressed with an appropriate choice of embedded reservoirs.

575 The theory developed in the main text can be extended easily to these cases with multiple reservoirs. As an illustrative example we take a simple model from Birkel et al. (2010) and Soulsby et al. (2015).

The system is composed by three reservoirs (e.g. Figure 2 in Soulsby et al. (2015)). The lower reservoir is a responsible for groundwater description and represents a large storage which has also  
580 the function to dump the solute concentration. The other two reservoirs are at the surface. The first takes precipitation  $J$ , produces evapotranspiration  $ET$ , and returns recharge  $R$  for the lower reservoir and some outflow that goes into the second reservoir. This second is assumed to reproduce the behavior of a saturated riparian zone that originates the surface runoff into channels. The budget equations are written below.

$$585 \frac{dS_{up}(t)}{dt} = (1 - f_{sup})J(t) - ET(t) - Q_1(t) - R(t) \quad (C1)$$

where  $S_{sup}$  is the amount of water stored in the upper reservoir,  $f_{sup}$  is a coefficient that separates the amount of water and evapotranspiration that pertain to the upper storage from those of the saturated reservoir,  $Q_1$  is the discharge into the saturated reservoir, and  $R$  is the recharge to the groundwater (lower) storage. In this budget equation  $f_{sup}$  is a given parameter, and  $ET$  is a measured function  
590 (but making it a modeled quantity dependent on water storage does not change anything substantially). Both the other outflows are determined as linear functions of the storage  $S_{sup}$  as:

$$Q_1(t) = a S_{up}(t) \quad (C2)$$

and

$$R(t) = bS_{sup}(t) \quad (C3)$$

595 where the two coefficients  $a$  and  $b$  are assumed to be given, after an appropriate process of calibration. With all of these assumption Eq. (C1) is analytically solvable, and  $S_{sup}$  can be considered known. Applying the theory developed in the main text, the age-ranked equations for this storage are given by:

$$\frac{dS_{sup}(t)p_{sup}(t-t_{in}|t)}{dt} = (1-f_{sup})J(t_{in})\delta(t-t_{in})-ET(t)-Q_1(t)\omega_{Q_1}(t,t_{in})p_{sup}(t-t_{in}|t)-\omega_R(t,t_{in})R(t)p_{sup}(t-t_{in}|t) \quad (C4)$$

600 Once the two SASs in Eq. (C4), i.e.  $\omega_{Q_1}(t,t_{in})$  and  $\omega_R(t,t_{in})$ , are assigned, also the probability  $p(t-t_{in}|t)$ , and the age-ranked storage  $s(t,t_{in})$  can be determined. As usual, in these cases, the authors assumed  $\omega_{Q_1}(t,t_{in}) = \omega_R(t,t_{in}) = 1$ .

The lower reservoir obeys the following budget equation:

$$\frac{dS_{low}(t)}{dt} = R(t) - kS_{low}(t) \quad (C5)$$

605 where  $Q_2 = kS_{low}(t)$  is the runoff produced by seepage, and  $k$  is a calibration coefficient. Since  $R(t)$  is known from solving the upper reservoir, also Eq. (C5), is solvable. Eq. (C5) can be associated with the age-ranked master equation:

$$\frac{dS_{low}(t)p_{low}(t-t_{in}|t)}{dt} = R(t,t_{in}) - bS_{low}(t)\omega_{low}(t,t_{in})p_{low}(t-t_{in}|t) \quad (C6)$$

610 where  $R(t,t_{in})$  is the input to the second reservoir which comes with aged waters, and is given by solving Eq. (C4) because it is  $R(t,t_{in}) = R(t)p(t-t_{in}|t)$ . In turn Eq. (C6) is solvable and can be used to obtain all the age-ranked functions relative to the lower storage. Notably, all the above four differential equations are linear and therefore analytically solvable as functions of the inputs, even if these analytic solutions are not reported here.

Finally, the storage equation for the saturated storage is:

$$615 \frac{dS_{sat}(t)}{dt} = f_{sup}(J(t) - ET(t)) + Q_1(t) - Q_{sat}(t) \quad (C7)$$

where  $Q_1$  is the input from the upper reservoir and the outflow to channels is described with a non-linear reservoir law:

$$Q_{sat}(t) = rS_{sat}^{1+\beta} \quad (C8)$$

620 and  $r$  and  $\beta$  are two further coefficients to be calibrated. In total, this system of embedded reservoirs contains five parameters for calibration,  $a$ ,  $b$ ,  $k$ ,  $r$  and  $\beta$ .

Following the same arguments as for the other two reservoirs, the age-ranked version of the budget becomes:

$$\frac{dS_{sat}(t)p_{sat}(t-t_{in}|t)}{dt} = f_{sup}(J(t_{in})\delta(t-t_{in})-ET(t))+Q_1(t,t_{in})-Q_{sat}(t)\omega_{Q_{sat}}(t,t_{in})p_{sat}(t-t_{in}|t)$$

(C9)

As in the case of the lower reservoir, the saturated reservoir receives aged waters from the upper  
 625 one. The equation is not analytically solvable, but well known numerical methods can produce the  
 solution easily.

The overall system is the sum of the three reservoirs where:

$$S(t) = S_{up}(t) + S_{low}(t) + S_{sat}(t) \quad (C10)$$

and

$$630 \quad s(t, t_{in}) = s_{up}(t, t_{in}) + s_{low}(t, t_{in}) + s_{sat}(t, t_{in}) \quad (C11)$$

Therefore

$$p_S(t - t_{in}|t) := \frac{s(t, t_{in})}{S(t)} \quad (C12)$$

is the backward residence time distribution for the compound system. Because

$$Q(t) = Q_{low}(t) + Q_{sat}(t), \quad (C13)$$

635 and

$$q(t, t_{in}) = q_{low}(t, t_{in}) + q_{sat}(t, t_{in}), \quad (C14)$$

the global travel time distribution is:

$$p_Q(t - t_{in}|t) := \frac{q(t, t_{in})}{Q(t)} \quad (C15)$$

It follows that the compound systems behaves like having a SAS given by:

$$640 \quad \omega(t, t_{in}) = \frac{p_S(t - t_{in}|t)}{p_Q(t - t_{in}|t)} \quad (C16)$$

On the basis of the global probability distribution functions, the behavior of a tracer  $i$  can be obtained  
 from Niemi's relations as:

$$C_Q^i(t) = \int_0^{\min(t, t_p)} p_Q(t - t_{in}|t) C_J^i(t_{in}) dt_{in} \quad (C17)$$

This concentration does not distinguish between waters coming from the saturated and the lower  
 645 reservoir. However, the theory can do it by substituting Eq. (C17) in place of  $p_Q(t - t_{in}|t)$ ,  $p_{Q_{low}}(t - t_{in}|t)$  or  $p_{Q_{sat}}(t - t_{in}|t)$ . Because it must be:

$$p_Q(t - t_{in}|t) = (1 - \Theta_Q(t))p_{Q_{low}}(t - t_{in}|t) + \Theta_Q(t)p_{Q_{sat}}(t - t_{in}|t) \quad (C18)$$

where:

$$\Theta_Q(t) = \frac{Q_{sat}(t)}{Q_{sat}(t) + Q_{low}(t)} \quad (C19)$$

650 is the appropriate partitioning coefficient. To demonstrate the last equations, it is sufficient to apply the definitions for the probabilities. The case treated is general enough to show that any set of coupled reservoirs can be analyzed from the travel time point of view, no matter how complex the system is.

#### Appendix D: An observation on fixing the functional form of the backward probability

655 It can be observed that the backward probability, as defined in (10) is quite restrictive, and not very compatible with the assumption of a time invariant backward distribution, often made in literature, e.g. Kirchner et al., 2000; 2016a , Hrachowitz et al., 2010. Most of these papers use a gamma distribution, i.e.

$$g(T_r) = \frac{T_r^{\alpha+1} e^{-\frac{T_r}{\gamma}}}{\gamma^\alpha \Gamma(\alpha)} \quad (D1)$$

660 where  $g$  is the incomplete gamma distribution,  $T_r := t - t_{in}$  is the residence time,  $\alpha$  and  $\gamma$  are the two coefficient of the incomplete  $\Gamma$  distribution,  $\Gamma$  is the gamma function.  $g(T_r)$  in (D1) is certainly a distribution though over the whole domain of  $T_r$ . However, equation (10) requires that  $g(T_r)$  would be a probability for any clock time  $t$ , i.e. that:

$$\int_0^{\min(t, t_p)} p_Q(t - t_{in}|t) dt_{in} = 1 \quad (D2)$$

665 This is, clearly not obtained with (D1) (or any other classical distribution), and, in fact,

$$\int_0^{\min(t, t_p)} \frac{(t - t_{in})^{\alpha+1} e^{-\frac{(t-t_{in})}{\gamma}}}{\gamma^\alpha \Gamma(\alpha)} dt_{in} \neq 1 \quad (D3)$$

where in the formula the injection time variable has been made explicit. It could be argued that the above integral could be approximately equal to unity in real cases, and, seen the success of gamma based approaches to interpret experimental data, this could be true. However, a better choice for the

670 backward probability should be a little more complex. For instance:

$$p_Q(t - t_{in}|t) = \frac{g(t - t_{in})}{\int_0^{\min(t, t_p)} g(t - t_{in}) dt_{in}} = \frac{\frac{(t - t_{in})^{\alpha+1} e^{-\frac{(t-t_{in})}{\gamma}}}{\gamma^\alpha \Gamma(\alpha)}}{\int_0^{\min(t, t_p)} \frac{(t - t_{in})^{\alpha+1} e^{-\frac{(t-t_{in})}{\gamma}}}{\gamma^\alpha \Gamma(\alpha)} dt_{in}} \quad (D4)$$

works the right way.

#### Appendix E: Reproducible research

For interested researchers to replicate or extend our results, our codes are made available at <https://github.com/geoframecomponents>. Instructions for using the code can be found at: <http://geoframe.blogspot.com>. All the material, with further information, is also linked at <http://abouthydrology.blogspot.com/search/label/Residence%20time>.

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