



1 **Water Scarcity under Various Socio-economic Pathways and its Potential Effects on**
2 **Food Production in the Yellow River Basin**

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7 **Abstract:** Increasing population and socio-economic development have put great pressure on water
8 resources of the Yellow River (YR) basin. The anticipated climate and socio-economic changes may
9 further increase water stress. Many studies have investigated the changes in renewable water resources
10 under various climate change scenarios but few have considered the joint pressure from both climate
11 change and socio-economic development. In this study, we assess water scarcity under various socio-
12 economic pathways with an emphasis on the impact of water scarcity on food production. The water
13 demands in the 21st century are estimated based on the newly developed Shared Socio-economic
14 Pathways (SSPs) and the renewable water supply is obtained from the climate projections under the
15 RCP 8.5 scenario. The assessment predicts that the renewable water resources and domestic water
16 demand are projected to first increase and then decrease, while the industrial water demand is
17 projected to rapidly increase in the basin during the 21st century. The water demands will put the
18 middle and lower reaches in conditions of severe water scarcity beginning in the next a few decades
19 (during 1990s-2040s). The industrial water demand is the main contributing factors to water scarcity.
20 The irrigation water demand is another important contributing factor under SSP3. If more than 10% of
21 the renewable water resources are used to sustain ecosystems, a portion of irrigated land would have
22 to be converted to rain-fed agriculture which would lead to a 9-38% reduction in food production.
23 This study highlights the links between water, food and ecosystems in a changing environment and
24 suggests that trade-offs should be considered when developing regional adaptation strategies.

25 **Key words:** water scarcity; Shared Socio-economic Pathways; climate change; Yellow River basin



26 1 Introduction

27 The Yellow River (YR) is the second-longest river in China and is regarded as the cradle of Chinese
28 civilization. The YR plays an important role in the development of the regional economy as the major
29 source of freshwater for a large amount of people living there. As of 2010, there were 113.7 million
30 inhabitants and 12.6 million hectares of cultivated land in the basin (YRCC, 2013). In addition, the
31 lower reaches of the river support the freshwater for 2.86 million hectares of irrigated area and a
32 population of 54.73 million located outside the basin (Fu et al., 2004). Increasing population and
33 socio-economic development have put great pressure on the water resources of the basin. Anticipated
34 climate and socio-economic changes may further increase water scarcity. The water managers of the
35 basin will face great challenges meeting the human and environmental requirements for water. This
36 water crisis in the YR basin has received much attention for many years.

37 Climate change and human water use are two major reasons for water crisis in the YR basin (Fu et al.,
38 2004; Tang et al., 2008a; Wang et al., 2012). Numerous studies have investigated the changes in water
39 supply due to climate change. Since the 1950s, the streamflow of the river has decreased partly
40 because of the decrease in precipitation and increase in temperature (Tang et al., 2008b; Xu, 2011;
41 Wang et al., 2012). Some recent studies showed that there has been a substantial recovery of natural
42 runoff over the past decade as a response to changes in precipitation, radiation and wind speed (Tang
43 et al., 2013; Liu et al., 2014). Climate projections suggest that temperature will continue to rise but
44 renewable water resources might decrease over the next few decades (Leng et al., 2015). Renewable
45 water resources of the YR are likely to decrease due to both precipitation decrease and temperature
46 increase over the next few decades (Li et al., 2012; Davie et al., 2013; Haddeland et al., 2014).
47 However, water resources might increase by the end of 21st century due to an increase of precipitation
48 (Liu et al., 2011; Leng et al., 2015). The change in water availability under climate change suggests
49 the need for adaptation.

50 Along with rapid economic development and population growth, water withdrawals from the YR
51 basin for industrial and household use have increased significantly. Water consumption for irrigation



52 has induced a streamflow decrease by about half in the past half century (Tang et al., 2007; Shi et al.,
53 2012). The lower reaches of the YR frequently ran dry (i.e. no streamflow in the low flow season) in
54 the 1980s and 1990s (Tang et al., 2008b). Thereafter, the Yellow River Conservancy Commission
55 (YRCC) implemented a water flow regulation rule, which enforced an upper limit on water
56 withdrawals for the eight provinces that rely on water supply from the river (Cai and Rosegrant, 2004).
57 The expected increase in economic prosperity together with a growing population, both within and
58 outside of the basin, will increase water demand from the river and thus water scarcity may impose
59 further constraints on development and social well-being (Schewe et al., 2014). As water becomes
60 increasingly scarce, there will be more competitions and conflicts among different water use sectors
61 and regions (provinces). The current water flow regulation rule, which has been enforced since the late
62 1990s, might not be applicable in the 21st century.

63 Many studies have investigated the changes in water supply under various climate change but few
64 have considered the joint pressure from both climate change and socio-economic development. It
65 becomes important to develop qualitative scenario storylines to assess future water scarcity in a
66 changing environment at the regional scale. These storylines would provide a grant figure of the water
67 use competitions among different sectors and regions and thus offer information facilitating the
68 development of an adaptation strategy for the river basin. A few studies have tried to describe the
69 main characteristics of future climate change scenarios and development pathways at the global scale
70 (Elliott et al., 2014; Schewe et al., 2014). These efforts, though important, are too coarse for
71 vulnerability assessment at the regional scale. For example, the global studies assumed an upper
72 availability of 40% of total annual blue water supply for human use but the human water appropriation
73 has been much higher than 40% in the YR basin (YRCC, 2013). Moreover, the river supplies water for
74 the irrigation districts in the lower reaches, which are located outside of the basin. The water demands
75 outside the basin are generally not considered in the global scale assessments. In this study, we present
76 a multi-model analysis of water supply and demand narratives under different climate change
77 scenarios and socio-economic pathways at the sub-basin scale (Figure 1 and Table 1). The objectives
78 of the analysis are: i) to describe the water supply and demand changes in a changing environment; ii)



79 to identify the possible time horizon when current management practices may no longer be sustainable;
80 iii) to investigate the contributions of different water demand sectors to water scarcity; and iv) to
81 assess the potential impacts of water scarcity on agricultural production.

82 2 Study area and Data

83 2.1 Study area

84 The YR originates in the northern foothills of the Tibetan Plateau, runs through nine provinces and
85 autonomous regions, and discharges into the Bohai Gulf (Figure 1). Total area of the basin is 75.2
86 thousand km². The YR basin lies in a temperate continental climate zone, and most parts of the basin
87 belong to arid or semi-arid regions. The mean temperature ranges from -5°C to 15°C in 1981-2010 in
88 the basin, and it increases from north to south as consequence of the decrease in latitude to the south
89 (Figure 2 (a)). Precipitation has large spatial variation within the whole river basin. The mean annual
90 precipitation ranges from 60mm to 900mm in 1981-2010, and shows an increasing trend from
91 northwest to southeast (Figure 2 (b)). The temperature and precipitation are projected to increase
92 during the 21st century under the RCP 8.5 emission scenario (see Figure S1 in Supplemental material).

93 There are six land cover types in the basin (Figure 2 (c)). The dominant land cover types are
94 grasslands (47.6%), croplands (26.1%), and forest and shrub-lands (13.4%). The urban and built-up
95 land are concentrated along the river. The croplands are mainly distributed in the lower reach of the
96 YR. The land-cover change influence the hydrological cycle (Tang et al., 2008b; Tang et al., 2012),
97 and may alter runoff (Sterling et al., 2013). However, interactions among land cover change, climate
98 change, and hydrological cycle are complicated. The fixed land cover map was used in this study,
99 which focuses on runoff responses to climatic variations.

100 In 2010, the population within the basin boundary was more than 100 million, representing about 9%
101 of China's population. The basin's GDP was represented 8% of China's GDP in 2010. Both
102 population and GDP are concentrated along the river (Figure 2 (d) and (e)). The projected population
103 increases first and then decreases during the 21st century (see Figure S2 (a) in Supplemental material).
104 The range of projected population at the end of the 21st century varies from 50 million to more than



105 100 million, with SSP5 at the bottom of the range and SSP3 at the top. The range of projected GDP at
106 the end of the 21st century varies from 21,000 billion yuan to more than 40,000 billion yuan. SSP5,
107 with its focus on development, has the highest GDP projections, and SSP3 representing the scenario
108 with lowest international co-operation has the lowest income projection (O'Neill et al., 2015).

109 2.2 Data

110 The data used in this study are summarized in Table 2. The simulated runoff data for the period 1971-
111 2099, and the simulated irrigation water use and crop yield data for the period 1981-2099 were
112 obtained from the Inter-Sectoral Impact Model Intercomparison Project (ISI-MIP) (Warszawski et al.,
113 2014). These model simulated data were provided at a spatial resolution of 0.5°×0.5°. The runoff data
114 were produced by six global gridded hydrological models (GGHMs), namely H80, MPI-HM, PRC-
115 GLOBWB, VIC, WaterGAP, and WBM (see Table S1 in Supplemental material). The irrigation water
116 use and crop yield data were produced by six global gridded crop models (GGCMs), namely EPIC,
117 GEPIC, LPJmL, LPJ-GUESS, pDSSAT and PEGASUS (see Table S2 in Supplemental material2).
118 Forcing data bias-corrected by the ISI-MIP team for the GGHMs and GGCMs were derived from
119 climate projections of five global climate models (GCMs), namely HadGEM2-ES, IPSL-CM5A-LR,
120 MIROC-ESM-CHEM, GFDL-ESM2M, and NorESM1 (see Table S3 in Supplemental material) under
121 the RCP 8.5 scenario (Warszawski et al., 2014). The global irrigated and rain-fed crop area data
122 (MIRCA2000), which consist of all major food crops such as wheat, rice, maize, and soybean, were
123 also obtained from ISI-MIP. The MIRCA2000 data set refers to the crop area over the period of 1998-
124 2002 (Portmann et al., 2010).

125 The gridded population and Gross Domestic Product (GDP) datasets over China were provided by the
126 Institute of Geographic Sciences and Resources Research (IGSRR), Chinese Academy of Sciences
127 (CAS). The population and GDP datasets refer to the conditions in 2005 (Fu et al., 2014; Huang et al.,
128 2014). The datasets were developed based on remote sensing-derived land use data and the statistical
129 population and GDP data of each county in China. The population and GDP data were provided with a
130 spatial resolution of 1 km and were resampled to 0.5° in this study with ArcGIS. The annual total
131 population and GDP data of China during 1981-2013 were obtained from the National Bureau of



132 Statistics of China (NBC). Using a simple linear downscaling method (Gaffin et al., 2004), we
133 downscaled the annual total population and GDP data to the gridded maps. The future water demand
134 should be closely related to the growth of GDP and population growth in the basin, and the SSPs offer
135 the possibility for describing different conditions in terms of future sectoral water demand. We used
136 three SSPs: SSP2 (middle population and GDP growth), SSP3 (high population and low GDP growth),
137 SSP5 (low population and high GDP growth) (Chateau et al., 2012) in this study. Quantitative
138 projections for population and GDP were developed for the 2010-2099 period based on the Shared
139 Socioeconomic Pathways (SSP) Scenario Database data available at [https:// secure.iiasa.ac.at/web-](https://secure.iiasa.ac.at/web-apps/ene/SspDb)
140 [apps/ene/SspDb](https://secure.iiasa.ac.at/web-apps/ene/SspDb). The population and GDP projections were provided at country level at five-year
141 intervals. The country level population data were gridded to 0.5° according to the 2010 Gridded
142 Population of the World (GPWv3) dataset provided by the Center for International Earth Science
143 Information Network (CIESIN), Columbia University. The country-level GDP data were provided in
144 U.S. dollars at five-year intervals. The GDP data were converted to Chinese Yuan using the official
145 exchange rate provided by the World Bank. The GDP data from the SSP Scenario Database were
146 regridded to the 0.5° GDP of China grid and were linearly interpolated in time to obtain annual values
147 (Gaffin et al., 2004). The assumption underlying the downscaling method is that the annual growth
148 rate of GDP at each grid, at any year, is equal to the growth rate of China. The domestic and industrial
149 water consumptions over 1997-2013 in the river basin were obtained from the China Water Resources
150 Bulletin (MWR, 2013). Domestic and industrial water consumptions were missing in 1998. The
151 observed runoff data over 1971-2000 of four major hydrologic stations at the main stream of the YR
152 (Lanzhou, Longmen, Sanmenxia and Huanyuankou) were collected from the Hydrological Year Book
153 by the Hydrological Bureau of the Ministry of Water Resources of China.

154 3 Method

155 The river basin was divided into eight sub-basins in order to understand the regional patterns of water
156 abundance and scarcity (Figure 1). The area of sub-basins varies from 40 to 185 thousand km² (Table
157 1). There are seven sub-basins along the main stream of the basin and one endorheic basin (sub-basin



158 VIII) that does not flow to the main stream of the river. Because the irrigation districts located outside
159 of the basin in lower reaches get water supply from the river, the sub-basin in the lowest reaches (sub-
160 basin VII) consists of one part in the river basin and these irrigation districts.

161 The mean annual runoff, including both the subsurface and surface runoff, is assumed to be the
162 renewable water resource (Oki and Kanae, 2006). In order to assess the performance of the GGHM in
163 the YR basin, we compared the simulated runoff of seven GGHMs with the observed runoff at the
164 four selected hydrological stations (see Table S4 in Supplemental material). The simulated runoff
165 agrees well with the observed runoff, suggesting the GGHMs products may be used in the basin. The
166 GGHM simulated runoff was aggregated for each sub-basin and the river basin as a whole. In addition,
167 we assume only a part of the renewable water resource is available for human use. The ratio of human
168 water appropriation (hereafter RHWA) was about 50% during 1980s (Zhang et al., 2004) and is more
169 than 70% (YRCC, 2013) presently. Because the ratio largely determines water supply availability and
170 it may be adjusted as water stress conditions change, a range of ratio values (50, 70 and 90%) were
171 used in this study. It should be noted that a higher ratio means less water for environmental flow
172 which is detrimental to ecosystems and human society. The water flow regulation rule currently
173 implemented by YRCC (YRCC, 2013) sets the upper limit on water withdrawals for each sub-basin.
174 According to the rule, the maximum water use proportion is prescribed for each sub-basin (Table 1).
175 The annual water supply was calculated for each GCM-GGCM pair. There were five GCMs and six
176 GGHMs, making 30 model pairs. The multi-model-ensemble median of water supply from all the
177 available model pairs was calculated.

178 On the water demand side, the consumptive agricultural, domestic and industrial water demands were
179 considered. Agricultural water demand consists of the demands for irrigation and livestock. As the
180 livestock demand is relatively small and the related statistical data were unavailable in the basin
181 (YRCC, 2013), only irrigation demand was estimated. The GGCM estimated irrigation water demand
182 (IrrWD) for the major crops, namely wheat, rice, maize and soybean, was used. The IrrWD was
183 aggregated for each sub-basin and the river basin as a whole. The multi-model-ensemble median of
184 IrrWD from all the available GCM-GGCM pairs (five GCMs \times six GGCMs) was calculated.



185 Domestic and industrial water demands were linked to the main driving forces of water in the
186 domestic and industrial sectors, i.e. population and GDP, respectively (Alcamo et al., 2003).
187 Following Alcamo et al. (2003) and Flörke et al. (2013), the water use intensity (per unit use of water)
188 in each sector was estimated. In the domestic sector, water use intensity should rapidly grow along
189 with income growth (GDP per capita). Eventually, after a maximum level is reached, water use
190 intensity should either stabilize or decline as income continues to grow. This process can be
191 represented by a sigmoid curve. Using the historical GDP per capita and domestic water user per
192 capita data collected in the YR basin, a sigmoid curve was established (see Figure S3(3) in
193 Supplemental material). In the industrial sector, the water use intensity would rapidly decrease along
194 with the growth in income, and eventually level off with increasing income. This process can be
195 represented by a hyperbolic curve. Using the historical GDP per capita and industry water user per
196 capita data, a hyperbolic curve was constructed for the basin (see Figure S3(b) in Supplemental
197 material). These curves, together with the GDP and population scenario data, were used to estimate
198 future domestic and industrial water demands. Technological advance, which could lead to
199 improvements in the efficiency of water use and a decrease in water intensity, was accounted for using
200 a technological change (TC) rate. In the domestic sector, TC was set as 1% per year. In the industry
201 sector, TC was set to 2.4% per year between 1981 and 1999, and 1% per year thereafter following
202 Flörke et al. (2013).

203 The water supply stress index (WaSSI), defined as the ratio of water demand to water supply
204 (McNulty et al., 2010; Averyt et al., 2013), was calculated for each sub-basin and the whole basin to
205 assess water abundance/scarcity condition. To investigate the contributions of different water demand
206 sectors to water scarcity, WaSSI was calculated for each major sector (domestic, industry and
207 irrigation) at the end of the 21st century. If the WaSSI is projected to be greater than 1, water
208 resources cannot sustain the socio-economic development and water scarcity occurs. The greater the
209 WaSSI value, the greater the water scarcity. We assume that irrigated agriculture has the lowest
210 priority of all water consumers under water stress. When water scarcity occurs in a given year for a
211 given sub-basin, irrigation was constrained by reducing the irrigated fraction of the cropland (Elliott et



212 al., 2014). The agricultural production of the sub-basin, calculated as calorie content of the major crop
213 yields, would be the sum of production over the expanded rain-fed fraction of the cropland and the
214 shrunken irrigated fraction. If water abundance in a given year for a given sub-basin, we assume that
215 no rain-fed areas were converted for irrigation.

216 The water supply and demands were assessed for each year but the 30-year moving averages during
217 1981-2099 were computed and illustrated. The 30-year window ensures that year-to-year variability
218 does not dominate the signal. The center year of the 30-year moving average was used to denote the
219 30-year period. For example, the average of the historical period of 1981-2010 was denoted as 1995.

220 4 Results

221 4.1 Changes of supply water

222 Figure 3 shows the supply water during 1995-2084 in the YR basin and eight sub-basins under three
223 different ratios of human water appropriation (RHWA). With the increase of RHWA, the supply water
224 is projected to increase during the 21st century in the YR basin. The average supply water is 34.8, 48.8
225 and 62.7 billion m³ per year during the historical period under three RHWA -- 50, 70 and 90%,
226 respectively. The supply water is projected to decrease from 1995-2058 due to the increase of air
227 temperature (see Figure S1 in Supplemental material), and is projected to decrease from 2059-2084
228 due to the increase of precipitation under all RHWAs (see Figure S1 in Supplemental material). The
229 result is consistent with the conclusions from Zhao et al. (2009). The supply water is projected to be
230 36, 51 and 65 billion m³ per year at the end of the 21st century under RHWA50, RHW70 and
231 RHWA90, respectively, with increasing by about 4% compared with the supply water during the
232 historical period. The supply water is also projected to first decrease and then increase, and the supply
233 water is also projected to increase with the increase of RHWA in each sub-basin during the 21st
234 century. The average supply water of sub-basin III has the maximum value of 13 billion m³ per year
235 during the historical period and rises to 23.5 billion m³ per year by the end of the 21st century under
236 RHWA50. The average supply water of sub-basin VIII has the minimum value of 0.09 billion m³ per



237 year during the historical period and rises to 0.16 billion m³ per year by the end of the 21st century
238 under RHWA50.

239 4.2 Changes of total and sectoral water demand

240 Figure 4 and 5 show the estimated total and sectoral (domestic, industrial and irrigation) water demand
241 in the YR basin and eight sub-basins under three SSPs from 1995 to 2085. In the YR basin, the total
242 water demand is projected to increase from 27.8 billion m³ yr⁻¹ in 1995 to close to 55, 44 and 69
243 billion m³ yr⁻¹ in 2084 under SSP2, SSP3 and SSP5, respectively. This increase is primarily driven by
244 the growth in the industrial water demand, accounting for large than 53% of the total in 2084.
245 Irrigation is the dominant water use sector during the period 1995-2035, but industry is the dominant
246 water use sector during the period 2036-2084. Domestic water demand accounts for less than 13%,
247 and is projected to increase and then decrease during 1995-2084. The domestic water demand is
248 projected to change from 3 billion m³ yr⁻¹ in 1995 to 2.8, 3.6, and 2.3 billion m³ yr⁻¹ in 2084 under
249 SSP2, SSP3 and SSP5, respectively. The industrial water demand is projected to increase from 4.6
250 billion m³ yr⁻¹ in 1995 to about 35, 23, and 50 billion m³ yr⁻¹ in 2084 under SSP2, SSP3 and SSP5,
251 respectively. Industrial water demand is projected to rapidly increasing during the 21st century. The
252 rate of industrial water demand is about 4.3, 2.4 and 6.2 billion m³ per ten years. The irrigation water
253 demand is projected to increase from 20 billion m³ yr⁻¹ in 1995 to close to 17 billion m³ yr⁻¹ in 2084
254 under RCP 8.5. Irrigation water demand is projected to not increase substantially during 1995-2030,
255 and is projected to decrease during 2031-2084, with decreasing by close to 16% compared with the
256 irrigation water in 1995. The total water demand and industrial water demand is also projected to
257 increase, and the domestic water demand is also projected to increase and then decrease in each sub-
258 basin during the 21st century under all SSPs. In the sub-basin III, although the industrial water demand
259 would increase rapidly, irrigation is always the dominant water use sector during the 21st century. In
260 the sub-basin I, II, IV, and VI, the industry is always the dominant water use sector during the 21st
261 century.



262 4.3 Water abundance/scarcity and sectoral contributions to water scarcity

263 Figure 6 shows the average annual WaSSI for the YR basin and eight sub-basins throughout the 21st

264 century under three different RHWAs and three different SSPs. The WaSSI is projected to increase

265 due to the water demand increase during the 21st century. Under RHWA50, the YR basin is projected

266 to have a WaSSI greater than 1 after 2010s for SSP2 and SSP3 as well as after 2000s for SSP5,

267 meaning that water demand outstrip supply water. The WaSSI is projected to decrease with the

268 increase of RHWA. Under RHWA90, the water scarcity would only occur after 2050 for SSP5. The

269 upper reaches of the YR basin (sub-basins I, II, and III) are projected to have a WaSSI less than 1,

270 meaning that the water would be abundant, during the 21st century for all SSPs under all RHWAs. The

271 endorheic basin of the YR basin (sub-basin VIII) is the only region in which the WaSSI is always

272 larger than 1, meaning that the water would be scarce, during the 21st century for all SSPs under all

273 RHWAs. In the middle and lower reaches of the YR basin (sub-basins IV, V, VI, and VII), the WaSSI

274 would begin to be large than 1 at the beginning of the 21st century under RHWA50. With the increase

275 of RHWA, a water resource scarcity would begin to occur later. When the RHWA reaches to 90%, the

276 water would be abundant during 1995-2084 in sub-basins IV and VII under SSP2 and SSP3.

277 Figures 7 shows the WaSSI for total water demand and for sectoral (domestic, industrial and irrigation)

278 water demands for the YR basin and eight sub-basins at the end of the 21st century under three

279 different SSPs under RHWA50. In the YR basin, the WaSSI for total water demand is large than 1

280 under each SSP, meaning that the water would be scarce at the end of the 21st century. Among the

281 three different water demand sectors, industrial sector is projected to have the largest WaSSI large

282 than 1 for SSP2 and SSP5, and domestic sector is projected to have the smallest WaSSI less than 0.1.

283 The WaSSI based only agricultural demand is about 0.5. With the increase of RHWA, the WaSSI for

284 each water demand sectors would decrease (see Figure S4 and S5 in Supplemental material). A large

285 amount of GDP would lead the industrial water demand to be the main contributing factor to WaSSI

286 for all sub-basins except sub-basin III. In sub-basin III, the irrigation water demand is the main

287 contributing factor to WaSSI, and the industrial water demand is another important contributing factor.



288 Because both population and GDP are concentrated in the middle and lower reaches of the basin, the
289 WaSSI for those sub-basins is larger than one for the sub-basins located in the upper reaches.

290 4.4 Agricultural loss due to irrigation water scarcity

291 The climate change and the scarcity of water available for irrigation in the YR basin would have
292 significant implications for the food security of these regions. Considering the CO₂ fertilization effect,
293 the agricultural production would be enhanced by climate change, and is projected to increase by close
294 to 23% compared with the production during the historical period in the YR basin at the end of the
295 century (Figure 8). Irrigation water scarcity could necessitate the reversion of cropland from irrigated
296 to rain-fed management, and would lead to decreased agricultural production. Under RHWA50,
297 irrigation water scarcity in the basin could necessitate the reversion of all cropland from irrigated to
298 rain-fed management under SSP2 and SSP5, and the reversion of 45 thousand km² of cropland from
299 irrigated to rain-fed management under SSP3 by the end-of-21st-century. Considering the CO₂
300 fertilization effect, irrigation water scarcity would lead to 38% of present-day total production
301 reduction under SSP2 and SSP5, and 21% of present-day total production reduction under SSP3 in
302 2084 (Figure 8). The change rate of production is projected to decrease with the increase of RHWA.
303 Under RHWA90, the reduction of agriculture production (close to 10%) in 2084 only occurs under
304 SSP5. Considering the climate and water supply stress impact, the reduction of agriculture production
305 in 2084 is about 10% for SSP2 and SSP5 under RHWA50 as well as SSP5 under RHWA70. Under
306 RHWA90, the agriculture production is projected to increase under each SSP at the end of the 21st
307 century.

308 5 Discussion

309 The renewable water resource will be affected by projected changes in precipitation and temperature
310 (Schewe et al., 2014), and the RHWA. The water supply in the YR basin would first decrease and then
311 increase in varying degrees due to the impact of temperature and precipitation rise over the 21st
312 century (see Figure S1 in Supplemental material). However, the true water shortage might be larger
313 because the CMIP5 models may overestimate the magnitude of precipitation in the YR basin during



314 the 21st century (Chen and Frauenfeld, 2014). The RHWA of the YR basin has increased to 75.6%
315 during the beginning of the 21st century (Shi et al., 2012) from about 50% in 1980s (Zhang et al.,
316 2004). The increase in RHWA tends to result in an increase in water supply, and results in a reduction
317 in irrigation water scarcity and a loss of agriculture production (Figure 1 and Figure 8). Therefore,
318 improvement of the RHWA could alleviate the water shortages in this region. However, because of
319 the different geographical and economic conditions among the sub-basins, the impact of the RHWA
320 should be considered when we analyze the water resource of the sub-basins.

321 To quantify domestic and industrial water demand are complicated because the future of the water
322 demand will be influenced by a combination of social, economic, and political factors. However, a
323 few of the hydrologic modeling frameworks have associated methods to estimate water demand, e.g.
324 H08 (Hanasaki et al., 2010; Hanasaki et al., 2013a and 2013b), PCR-GLOBWB (Wada et al., 2011;
325 Wada et al., 2014), WaterGAP (Flörke et al., 2013). The differences in these approaches result in
326 significantly different projections even with same set of scenario assumptions (Wada et al., 2016).
327 Wada's research showed that in China, WaterGAP used in this study projects a much larger industrial
328 water demand than H08 and PCR-GLOBWB (Wada et al., 2016). So our study might overestimate the
329 water scarcity and the contribution of industrial water demand to water scarcity.

330 The decrease in runoff and the increase in domestic and industrial water use are the main factors
331 leading to the water resource crisis from 1995-2020, while the increase in industrial water use is the
332 main factor leading to the water resource crisis after 2020 in the YR basin and the sub-basins located
333 in the middle and lower reaches. The structural changes in water intensity for both domestic and
334 industrial use are associated with living standards and levels of industrialization (Alcamo et al., 2003;
335 Flörke et al., 2013). In this study, we assumed that the structural changes in water intensity for
336 domestic and industrial use in the eight sub-basins were the same. This assumption might lead to an
337 overestimate of the domestic and industrial water use in the middle and lower reaches and to an
338 underestimate of the domestic and industrial water use in the upper reaches. Therefore, the difference
339 of the structural changes in water use intensity should be considered when we analyze the water
340 resource of the sub-basins.



341 With the currently implemented water flow regulation rule, water is projected to be scarce in sub-
342 basins located the middle and lower reaches of the YR basin characterized by a generally large
343 population and GDP, while water is projected to be abundant in sub-basins located in the upper
344 reaches of the YR basin characterized by a small population and GDP during the 21st century. In order
345 to alleviate the water shortages in the middle and lower reaches, a new water flow regulation rule
346 could be adopted.

347 In order to solve the problem of water resource shortages in the more arid and industrialized north of
348 China, the South-to-North Water Diversion Project has been undertaken. One aim of the project is to
349 channel the fresh water from the Yangtze River in southern China to the YR basin (YRCC, 2013). By
350 2030, about 9.7 billion m³ of fresh water from the Yangtze River would be drawn to the YR basin
351 (YRCC, 2013). This could alleviate the water shortage in the YR basin to some degree.

352 6 Conclusions

353 In this study, we assessed the change in renewable water resource of the YR basin under climate
354 change and the changes in domestic and industrial water demand in the basin under socio-economic
355 change in the 21st century. The results show that the renewable water resources are projected to first
356 increase and then decrease in the YR basin and each sub-basin with the increase of temperature and
357 precipitation under RCP 8.5 in the 21st century. Irrigation is the dominant water use sector during the
358 period 1995-2035, but industry is the dominant water use sector during the period 2036-2084. With
359 social and economic development, domestic water demand is projected to increase and then decrease,
360 and industrial water demand is projected to rapidly increasing during the 21st century.

361 Water is always scarce in the endorheic basin, while water is always abundant in the sub-basins
362 located in the upper reaches of the YR basin in the 21st century under all RHWAs and SSPs. Due to
363 water demand increase in industrial sectors, the available water resources cannot sustain all the water
364 use sectors beginning in the next a few decades in the YR basin and the sub-basins located in the
365 middle and lower reaches of the basin. The water resource shortage is most serious under the
366 conventional development scenario (SSP5) and 90% of the renewable water resources cannot sustain



367 all the water use sectors in the YR basin. With the three water demand sectors considered, the
368 industrial water demand is the main contributing factors to water scarcity. The irrigation water
369 demand is another important contributing factor under SSP3.

370 Although climate change may have a positive impact on agriculture through the CO₂ fertilization
371 effect in most regions of the YR basin (Yin et al., 2015), irrigation water scarcity would lead to the net
372 loss of agricultural production. With the CO₂ fertilization effect, the irrigation water scarcity in the YR
373 basin could necessitate losses of production (more than about 10% of present-day total). However, the
374 difference of the structural changes in water use intensity and the difference of RHWA have not been
375 considered in this study. This might lead to an overestimate of the water abundance and scarcity.
376 Nevertheless, this study highlights the linkage between water and food security in a changing
377 environment in the YR basin, and suggests that the trade-off should be considered when developing
378 regional adaptation strategies.

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500 Table captions

501 Table 1 The eight sub-basins of the Yellow River (YR) basin.

Sub-basins		Area ($\times 10^3$ km ²)	Water use pro- portion (%)	Irrigated area (km ²)	Rain-fed area (km ²)	Note
Upper reaches	I	127	0.57	219	27	Above Longyangxia station
	II	87	8.23	2, 680	1, 706	LYX to LZ
	III	157	37.45	23, 692	2, 106	LZ to HKZ
Middle reaches	IV	107	3.5	1, 591	3, 940	HKZ to LM
	V	185	16.64	25, 422	12, 311	LM to SMX
	VI	40	6.16	5, 717	2, 956	SMX to HYK
Lower reaches	VII	50.6	27.2	42, 824	2, 430	HYK to LJ, and irrigation districts outside the basin
Endorheic basin	VIII	42	0.25	446	225	Endorheic basin

502 Note: LYX (Longyangxia), LZ (Lanzhou), HKZ (Hekouzhen), LM (Longmen), SMX (Sanmenxia), HYK

503 (Huanyuankou), LJ (Lijin), YL basin (Yellow River basin). Sub-basin consists partly of the river basin but

504 also includes irrigation districts outside the basin that have water supplied by the river.



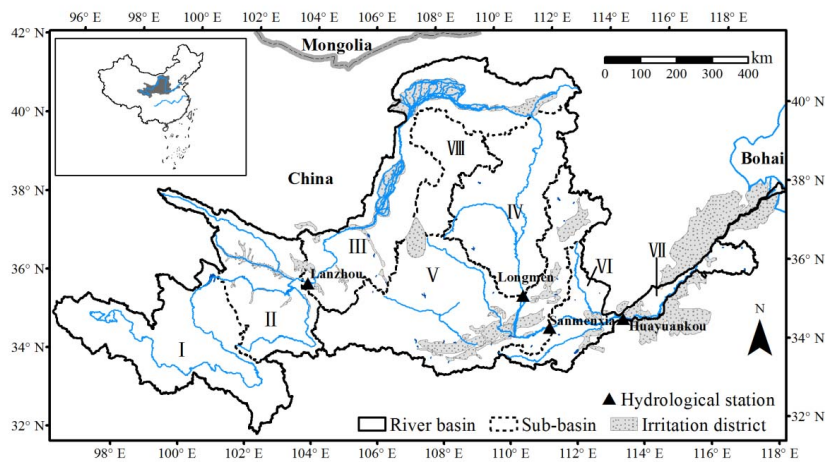
505 Table 2 Datasets used in this study.

Datasets		Spatial and temporal resolution	Source
Simulated runoff data		0.5°×0.5°; 1971-2099	The Inter-Sectoral Impact Model Intercomparison Project (ISI-MIP)
Simulated yield data		0.5°×0.5°; 1971-2099	
Simulated irrigation water data		0.5°×0.5°; 1971-2099	
Rain-fed and irrigation area data		0.5°×0.5°; 2000	
Popu- lation data	1 km grid population dataset of China	1km×1km; 2005	Institute of Geographic Sciences and Natural Resources Research
	Historical population data of China	country; 1981-2013	National Bureau of Statistics of China
	SSP population data ^a	0.5°×0.5°; 2010-2099	ISI-MIP
GDP data	1 km grid GDP dataset of China	1km×1km; 2005	Institute of Geographic Sciences and Natural Resources Research
	Historical GDP data of China	country; 1981-2013	National Bureau of Statistics of China
	SSP GDP data ^a	country; 2010-2099	Organization for Economic Co-operation and Development (OECD)
Official exchange rate data		country; 2005	World bank
Domestic water consumption data of Yellow River Basin		Yellow River basin; 1997, 1999-2013	China Water Resources Bulletin (1997, 1999-2013)
Manufacture water consumption data of Yellow River Basin		Yellow River basin; 1997, 1999-2013	
Observed runoff data		1971-2000	Hydrological Bureau of the Ministry of Water Resources of China

506 Note: a SSP is short for Shared Socioeconomic Pathways.

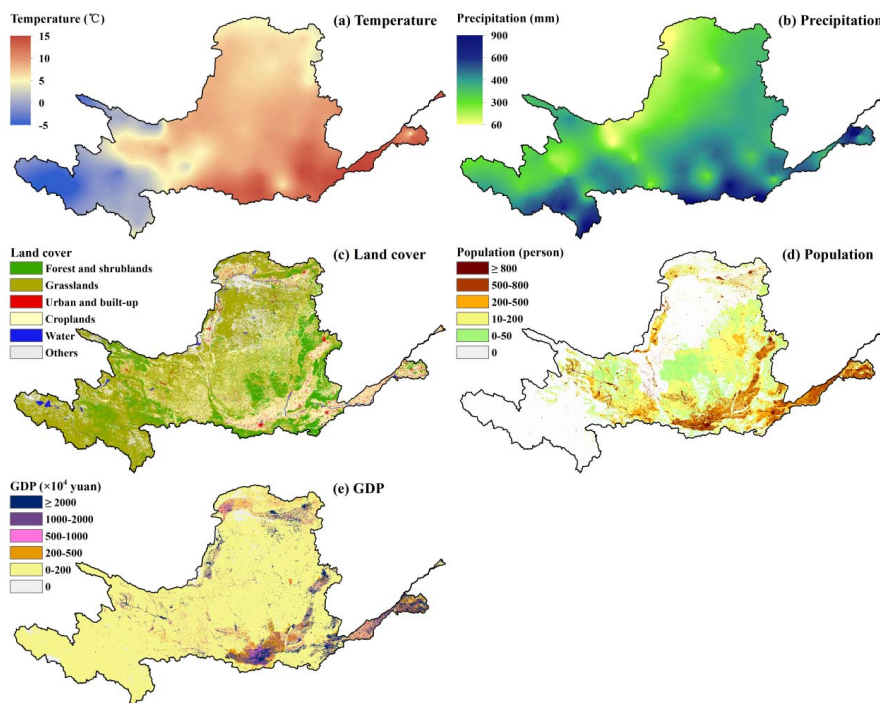


507 Figure captions



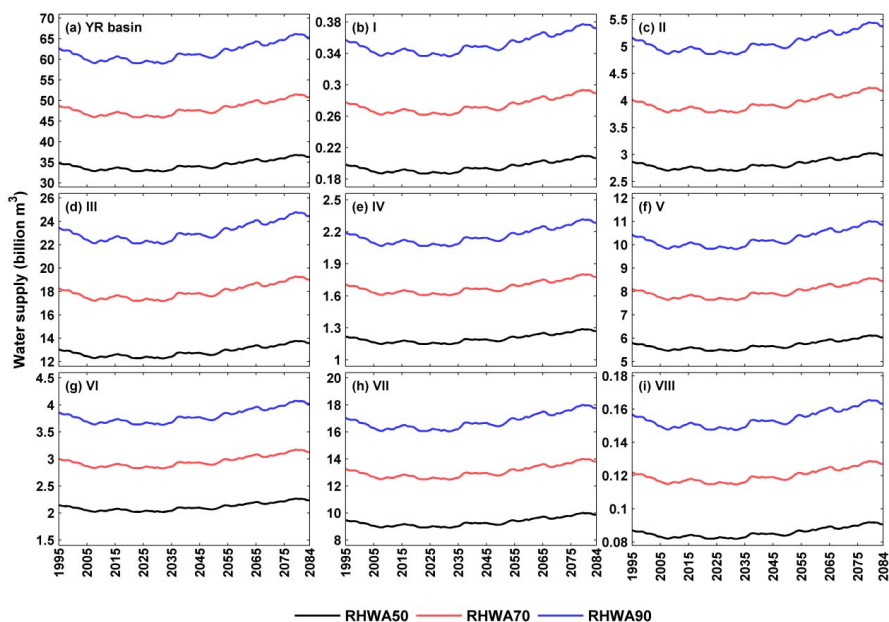
508

509 Figure 1 The Yellow River (YR) basin and the eight sub-basins, and location of the
510 hydrological stations used in this study.



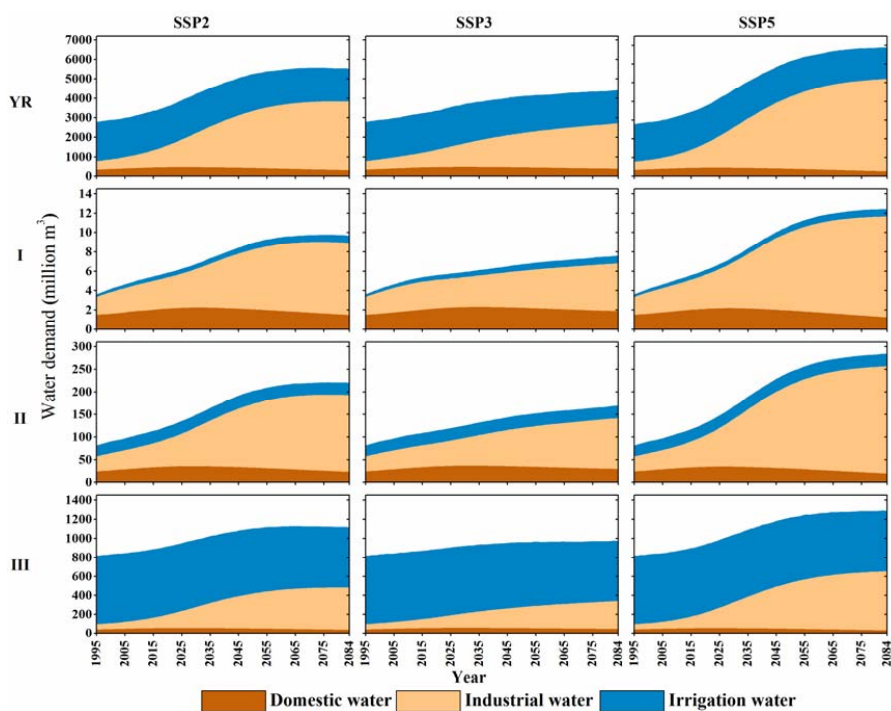
511

512 Figure 2 Maps of (a) mean temperature (1981-2010), (b) annual mean precipitation (1981-
513 2010), (c) land cover in 2010, (d) population in 2010, and (e) gross domestic product (GDP)
514 in 2010 in the Yellow River (YR) Basin.



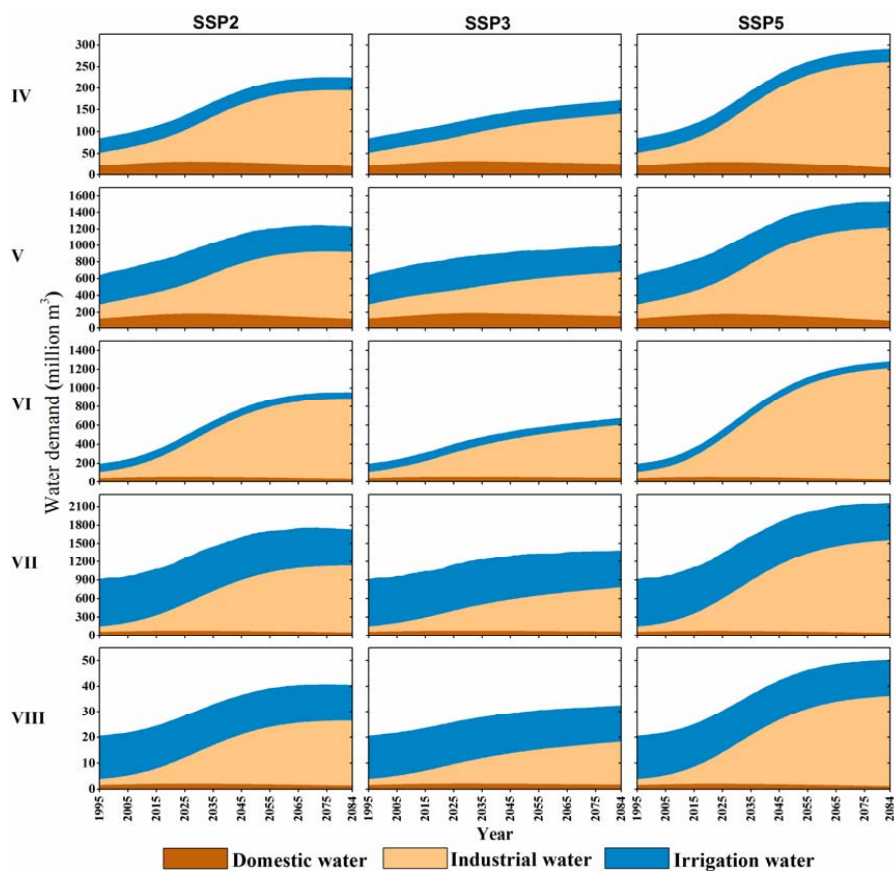
515

516 Figure 3 Supply water during 1995-2084 in the Yellow River (YR) basin and eight sub-basins
517 under three different ratios of human water appropriation (RHWA). The three ratios of human
518 water appropriation are 50%, 70% and 90%, respectively.



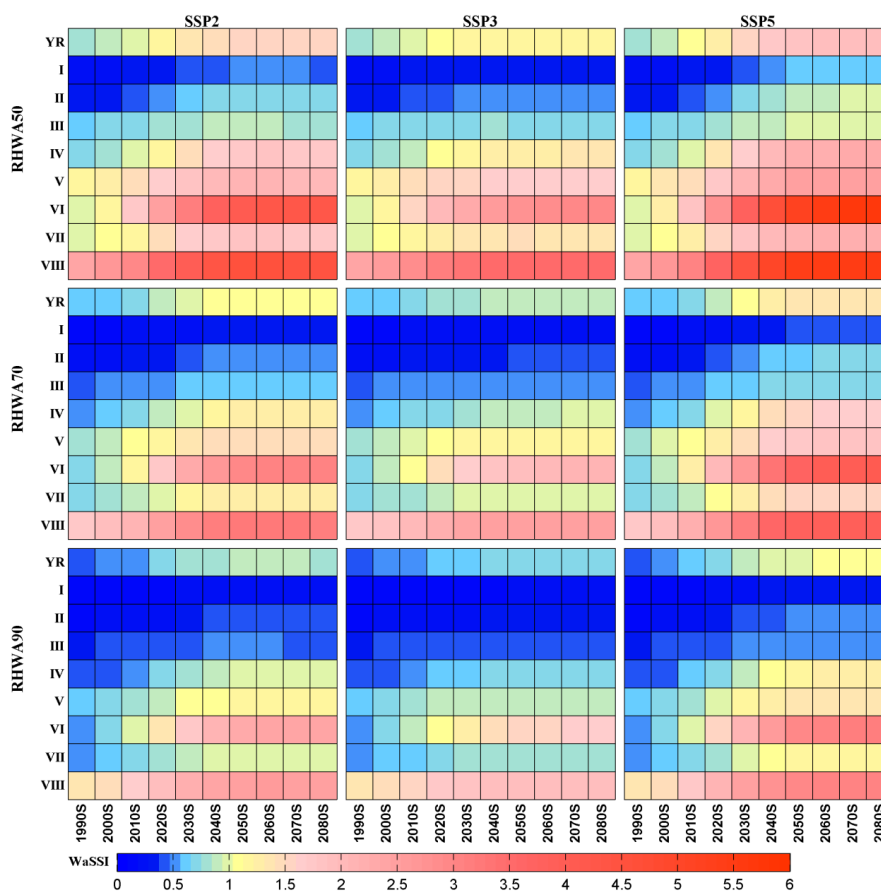
519

520 Figure 4 Estimated sectoral (domestic, industrial and irrigation) and total water demand in
 521 Yellow River (YR) basin and sub-basins in the upper reaches from 1995 to 2085 in million
 522 $\text{m}^3 \text{yr}^{-1}$.



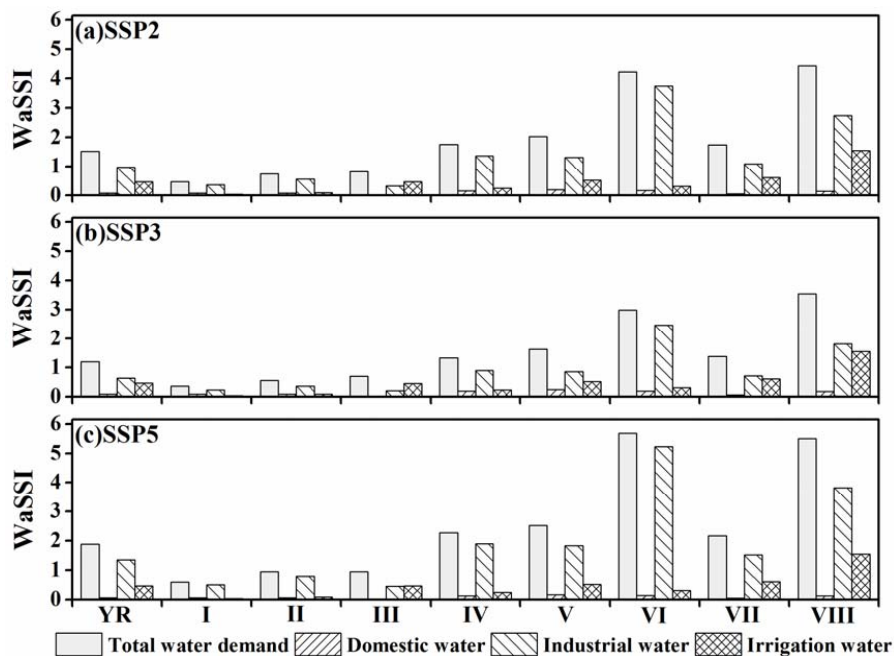
523

524 Figure 5 Estimated sectoral (domestic, industrial and irrigation) and total water demand in
 525 sub-basins in the middle and lower reaches from 1995 to 2085 in million m³ yr⁻¹.



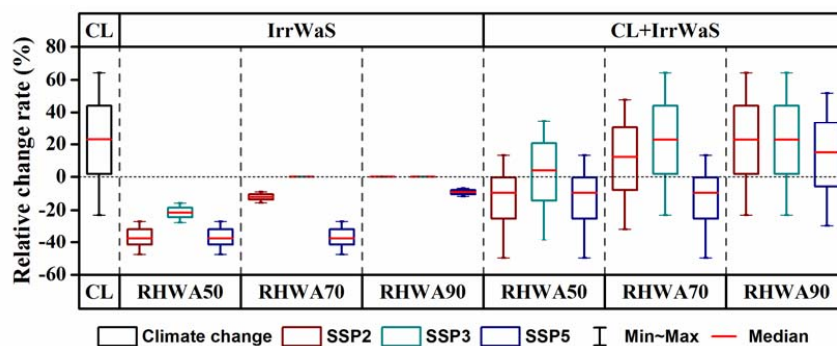
526

527 Figure 6 Average annual water supply stress index (WaSSI) for the Yellow River (YR) basin
 528 and eight sub-basins throughout the 21st century under three different ratios of human water
 529 appropriation (RHWA) and three different Shared Socio-Economic Pathways (SSPs). The
 530 WaSSI are calculated for each decade. The water stress occurs in a given basin when WaSSI
 531 is greater than 1.



532

533 Figure 7 WaSSI for total water demand and for sectoral (domestic, industrial and irrigation)
 534 water demands for the Yellow River (YR) basin and eight sub-basins at the end of the 21st
 535 century under three different Shared Socio-Economic Pathways (SSPs) under RHWA50.



536

537 Figure 8 Comparison of relative change rate of agriculture production for only climate impact
 538 (CL), only irrigation water scarcity impact (IrrWaS), and climate and irrigation water scarcity
 539 impact (CL+ IrrWaS) in the Yellow River (YR) basin at the end of the 21st century (%).