

1 Authors' response to reviewers.

2 Reviewer #1 Accept as is (no corrections)

3 Reviewer #2 minor revisions

4 Comment #1: The introduction is excellent but long (9 paragraphs). There is a fair amount of
5 theory/explanation that could potentially be moved to one of the sections describing the
6 methods. I would invite the authors to at least consider this.

7 Response #1: We reviewed the Introduction. We included quite a lot on the modelling method
8 because we need to to emphasize that this study used modelling techniques that are quite
9 novel for the field. We therefore decided to leave the text covering multi-level models as it is.
10 However, we moved the last sentence of the Introduction, which covers multi-model
11 inference (and includes the Grueber et al 2011 reference), to the Method section, thus
12 tightening the text and shortening it slightly.

13 Change #1: Last sentence of Introduction (P5 L10-13) deleted, and text added to Methods (P8
14 L19-20).

15 Comment #2: I would like to see a bit more discussion of the limitations of examining such
16 large-scale spatiotemporal temperature patterns. The authors explain well the advantages of
17 these scales (indeed this is a distinguishing characteristic of the paper), but what about the
18 disadvantages (i.e. the paper limitations)? What is not captured by considering higher
19 frequency patterns in time (e.g. heat waves and the role on ecosystems and other services)?
20 Also, what is missed by not considering smaller scale spatial variability (i.e. thermal diversity
21 or thermal refugia – see Kurylyk et al. 2015). Some of this 'large-scale' mindset influences
22 the writing in places. For example, it is stated in P2, L28-30 that climate controls are most
23 important, while hydrologic controls are of secondary importance. I would argue that order is
24 (or at least can be) switched when it comes to thermal diversity.

25 Kurylyk BL, MacQuarrie KTB, Linnansaari T, Cunjak RA, Curry RA. 2015 Preserving,
26 augmenting, and creating cold-water thermal refugia in rivers: concepts derived from research
27 on the Miramichi River (Canada). *Ecohydrology* 8(6)

28 Response #2: The study is indeed focusing on larger spatio-temporal scales, which we make
29 very explicit starting with the paper title (which includes such key words as “basin” and
30 “seasonal”), and as the reviewer points out, with the introduction. However, we thought it

1 would be useful to emphasize this even more clearly in the introduction and added a sentence
2 stating that we did not focus on higher frequency temporal patterns or smaller spatial patterns.

3 Change #2: Sentence added (P2 L26-28).

4 Comment #3: The results and discussion sections have unnecessary mini introductions that
5 should be deleted (e.g. P15, L27 – P16, L3)

6 Response #3: Agreed.

7 Change #3: Mini-introduction of sections 4 and 5 deleted.

8 Comment #4: The discussion around P18, L23 addresses the relationship between the climate
9 variables (and the WT sensitivity to them) and the basin conditions. I might be more careful
10 with some of the wording to be clear that the authors did not look at long term climate
11 sensitivity but rather how the seasonal climate data influenced the water temperature. For
12 example, it is stated: “Thus, water temperature in 24 impermeable basins appears to be more
13 sensitive to climate than in permeable basins”. The data in the paper do not support that this is
14 true on a multi-decadal basis but rather just a seasonal basis. Climate implies long terms.
15 Perhaps they should reword ‘climate’ as ‘seasonal climate data’ or something like this.

16 Response #4: We reviewed Discussion 5.2 and Conclusions sections, changing ‘climate’ for
17 ‘seasonal climate data’ in four locations, which clarify that other uses of ‘climate’ nearby (eg
18 within same paragraph or sub-section) has to be understood in that sense (ie not long-term
19 multi-decadal climate).

20 Comment #5: Table 2 and related text: Is it possible that precipitation was more a statistical
21 indicator of streamflow (and thus thermal inertia) rather than an indicator of surface advective
22 input? This seems like it could be especially true in headwater streams

23 Response #5: In Table 2, aimed to relate the climate variables from CHESS to an actual
24 physical process. So, with that perspective, precipitation would be an advective input indeed;
25 we left Table 2 and associated text unchanged as a consequence. However, the reviewer
26 makes the point that precipitation, as a predictor in the models, could be a proxy for the effect
27 of increased streamflow and thermal inertia. We added this point in the Discussion.

28 Change #5: Text added (P17 L24-25).

29 Minor comments

30 P2, L20, the ‘(a)’ is misplaced in this sentence causing the sentence to read awkwardly. **Done**

- 1 P4, L1, 'rarely' should be moved before 'coordinated' **Done**
- 2 P9, L7 typo (similar) **Done**
- 3 P9, L13, missing word(s) at end of sentence **Missing word ('sites') added at end of sentence**
- 4 P17, L1 should be 'only partly causal' **Done**
- 5 P18, L28 I don't think 'damper' is the right word (or a word) **We checked and it is actually**
- 6 **the right noun (something that dampens) but used as an adjective; we replaced it with**
- 7 **'dampening'.**
- 8 Figure 1 caption should be slightly reworded. It is a bit confusing **Caption edited**

1 **Climate and basin drivers of seasonal river water** 2 **temperature dynamics**

3 **C. L. R. Laizé^{1, 2}, C. Bruna Meredith^{2,*}, M. Dunbar^{1,**}, D.M. Hannah²**

4 [1]{Centre for Ecology and Hydrology, UK}

5 [2]{School of Geography, Earth, & Environmental Sciences, University of Birmingham, UK}

6 [*]{now at: Scottish Environment Protection Agency, UK}

7 [**]{now at: Environmental Agency, UK}

8 Correspondence to: C. L. R. Laizé (clai@ceh.ac.uk)

9 **Abstract**

10 Stream water temperature is a key control of many river processes (e.g. ecology,
11 biogeochemistry, hydraulics) and services (e.g. power plant cooling, recreational use).
12 Consequently, the effect of climate change and variability on stream temperature is a major
13 scientific and practical concern. This paper aimed (1) to improve the understanding of large-
14 scale spatial and temporal variability in climate–water temperature associations, and (2) to
15 assess explicitly the influence of basin properties as modifiers of these relationships. A dataset
16 was assembled including six distinct modelled climatic variables (air temperature, downward
17 shortwave and longwave radiation, wind speed, specific humidity, and precipitation) and
18 observed stream temperatures for the period 1984–2007 at 35 sites located on 21 rivers within
19 16 basins (Great Britain geographical extent); the study focused on broad spatio-temporal
20 patterns hence was based on three-month averaged data (i.e. seasonal). A wide range of basin
21 properties was derived. Five models were fitted (all seasons, winter, spring, summer, and
22 autumn). Both site and national spatial scales were investigated at once by using multi-level
23 modelling with linear multiple regressions. Model selection used Multi-Model Inference,
24 which provides more robust models, based on sets of good models, rather than a single best
25 model. Broad climate-water temperature associations common to all sites were obtained from
26 the analysis of the fixed coefficients, while site-specific responses, i.e. random coefficients,
27 were assessed against basin properties with ANOVA. All six climate predictors investigated
28 play a role as a control of water temperature. Air temperature and shortwave radiation are
29 important for all models/ seasons, while the other predictors are important for some models/

1 seasons only. The form and strength of the climate-stream temperature association vary
2 depending on season and on water temperature. The dominating climate drivers and physical
3 processes may change across seasons, and across the stream temperature range. The role of
4 basin permeability, size, and elevation as modifiers of the climate-water temperature
5 associations was confirmed; permeability has the primary influence, followed by size and
6 elevation. Smaller, upland, and/or impermeable basins are the most influenced by atmospheric
7 heat exchanges, while larger, lowland and permeable basins are least influenced. The study
8 showed the importance of accounting properly for the spatial and temporal variability of
9 climate-stream temperature associations and their modification by basin properties.

10 **1 Introduction**

11 River and stream water temperature (WT) is a key control of many river processes (e.g.
12 ecology, biogeochemistry, hydraulics) and services (e.g. power plant cooling, recreational
13 use); Webb et al. (2008). From the perspective of river ecology, WT's influence is both
14 direct—e.g. organism growth rates (Imholt et al., 2013), predator-prey interactions (Boscarino
15 et al., 2007), activity of poikilotherms, geographical distribution (Boisneau et al., 2008)—and
16 indirect, e.g. water quality (chemical kinetics), nutrient consumption, food availability
17 (Hannah and Garner, 2015).

18 Consequently, the effect of climate change and variability on stream temperature is a major
19 scientific and practical concern (Garner et al., 2014). River thermal sensitivity to climate
20 change and variability is controlled by complex drivers that need to be unravelled (a) to better
21 understand (a) patterns of spatio-temporal variability and (b) the relative importance of
22 different controls to inform water and land management, especially climate change mitigation
23 and adaptations strategies (Hannah and Garner, 2015). There is a growing body of river
24 temperature research but there is still limited understanding of large-scale spatial and
25 temporal variability in climate–WT associations, and of the influence of basin properties as
26 modifiers of these relationships (Garner et al., 2014). Due to the focus on large scales, this
27 paper is not investigating higher frequency temporal patterns (eg heat waves) or smaller
28 spatial variability (eg thermal diversity and refugia). This paper extends Laizé (2015).

29 River thermal regimes are complex because they involve many interacting drivers (Hannah et
30 al., 2004, 2008). Caissie (2006) identified atmospheric conditions as the primary group of
31 controls, with hydrology linked to basin physical properties (e.g. topography, geology) as
32 secondary influencing factors.

1 The main climate variables (Fig. 1) which constitute an ‘atmospheric conditions’ group, can
2 be identified by analysing the theoretical heat budget for a stream reach without tributary
3 inflow, which may be expressed as (adapted from Hannah and Garner, 2015):

$$4 \quad Q_n = Q^* + Q_h + Q_e + Q_{bhf} + Q_f + Q_a \quad \text{Equation 1}$$

5 where Q_n is the total net heat exchange, Q^* the heat flux due to net radiation, Q_h the heat flux
6 due to sensible transfer between air and water (sensible heat), Q_e the heat flux due to
7 evaporation and condensation (latent heat), Q_{bhf} the heat flux to and from the river bed, Q_f the
8 heat flux due to friction at the bed and banks, and Q_a the heat flux due to advective transfer by
9 precipitation and groundwater.

10 The different components of Eq. (1) correspond to different processes, related to climatic and
11 hydrological conditions. Q^* corresponds to shortwave radiation (insolation from the sun) and
12 longwave radiation (emitted towards the stream by clouds and overhanging surfaces such as
13 vegetation, and reemitted back to space (lost) at water surface temperature). Q_h corresponds to
14 convective energy exchanges between air and water (at the surface) causing heat loss or gain.
15 Q_e represents heat loss by evaporation or gain by condensation. Q_{bhf} and Q_f do not relate
16 directly to climate processes but rather local hydrological conditions. (Q_f can be assumed to
17 be negligible in many systems; e.g. Hannah et al., 2008). Q_a corresponds to advective heat
18 exchanges, e.g. inflow or outflow into the river reach, hyporheic exchange, groundwater. A
19 direct, climatic component of Q_a is precipitation inputs, which is thought to have a limited
20 contribution (Caissie, 2006).

21 These variables are not independent. Figure 1 features a schematic representation of the
22 interactions between these variables. Downward short and long wave radiations increase WT
23 but also air temperature, then there are exchanges between air and water, to influence sensible
24 heating. Additionally, wind plays a significant role by increasing evaporative cooling and in
25 modifying the air–water exchanges by increasing mixing (Hannah et al., 2008). The physical
26 equations underpinning the role of wind can be found in Caissie et al. (2007).

27 A review of recent international water temperature research can be found in Hannah and
28 Garner (2015). To date, most UK-focused studies (Table 1) tend to be either specific to a few
29 monitoring sites, to have a limited geographical extent (i.e. focused with specific region of the
30 country), and /or to consider few climate drivers. In addition, seasonality, which has huge
31 ecological relevance with regards to phenology, is only explored formally in a small number
32 of papers (e.g. Langan et al., 2001; Hrachowitz et al., 2010). A major difficulty is to pair WT

1 and climate monitoring sites, as monitoring is rarely coordinated ~~rarely~~, then to identify time
2 series with long enough common periods of record. For example, Garner et al. (2014)
3 undertook a England and Wales scale study and matched water temperature monitoring sites
4 with climate and hydrological monitoring sites for 38 temperature sites out of ~ 3,000 sites in
5 the Environment Agency's Freshwater Temperature Archive (Orr et al., 2014). Garner et al.
6 (2014) is one of the few studies internationally (eg Hrachowitz et al. (2010) in the UK; Isaak
7 and Hubert (2001), Nelitz et al. (2007), or Isaak et al. (2010) in North America) to consider
8 explicitly and empirically the role of a limited number of basin properties with regards to
9 stream temperature.

10 In most of these studies, analyses are done on a site by site basis, which limits the extent to
11 which broad patterns can be inferred (statistical results for a given site are only valid for that
12 site); Caissie, 2006 emphasized this as a limitation when having to work across different
13 spatial scales. In contrast, studies like Garner et al. (2014) group sites together using
14 classification techniques to identify regional patterns. However, doing so causes a loss of
15 information since data-points of all sites within a class are summarised and intra-class
16 differences lost, and inferences at group level are not necessarily valid at site level. An
17 alternative analytical/ statistical method, which can characterise broad patterns while
18 preserving individual site information, should be investigated.

19 The following research gaps are identified (above): (a) climate–WT studies in the UK used a
20 limited number of WT sites or climate explanatory variables (focus on air temperature links to
21 WT) and /or are limited in geographical extent; (b) limited formal analysis of seasonality; (c)
22 limited knowledge of role of basin properties as modifiers of climate–WT associations; and
23 (d) need for alternative analysis method to optimise data utility.

24 Given this context, the aims of this study are (1) to improve the understanding of large-scale
25 spatial and temporal variability in climate–WT associations, and (2) to assess explicitly the
26 influence of basin properties as modifiers of these relationships. This paper resolves the issue
27 of driving data availability by using a comprehensive and consistent set of modelled climate
28 data (see Table 2 below). With a period of records of 1984–2007 (24 years), for a total of 35
29 sites located on 21 rivers within 16 basins (providing a Great Britain wide geographical
30 extent) six distinct modelled climatic variables were taken within 1 km of the sites. The study
31 focuses on broad spatio-temporal patterns; hence it is based on three-month averaged data
32 (i.e. seasonal). Such a temporal scale limits issues of temporal auto-correlation often found in

1 water temperature time series (Caissie, 2006). The study also investigates a wider range of
2 basin properties than previous studies.

3 Innovatively, this paper investigates both site and national spatial scales at once. Multi-level
4 (ML) modelling with linear multiple regressions is applied as an alternative to site-specific or
5 to classification-based analyses because it allows pooling of all site data together while taking
6 into account data structure (i.e. observations at site, sites within same basin) as well as not
7 losing any information due to class-level data averaging (Zuur et al., 2009). With this
8 modelling technique, it is possible to investigate both study aims (i.e. the broad climate-WT
9 associations common to all sites, and the site-specific responses which may be related to basin
10 properties) within the same analysis framework. ~~In addition, model selection used Multi-
11 Model Inference (MMI), another state-of-the-art technique, which provides more robust
12 models based on sets of good models rather than selecting a single best model (Grueber et al.,
13 2011).~~

14 **2 Data**

15 With regards to research Aim 1 of this paper, observed river temperature data were assembled
16 with a view to maximise spatial and temporal coverage as much as practically possible. To
17 address the issue of mismatching monitoring networks, climate variables were obtained from
18 a modelled dataset. The paired climate–WT dataset used in this paper has been published
19 online via an open-access data repository (Laizé and Bruna Meredith, 2015). With regards to
20 Aim 2, a comprehensive and consistent set of basin properties were derived for all study sites.

21 **2.1 Water temperature data**

22 WT data (unit: °C) were collated from various research projects run by the UK’s Centre for
23 Ecology and Hydrology (CEH). The period of record, temporal resolution, and recording
24 method of the individual datasets vary. These datasets totalled 41 sites, of which 35 were
25 retained after quality-control (e.g. removal of duplicates; see Fig. 2). As often the case, water
26 temperature was not the main focus of these projects: fish for the River Frome (1 site, 1991-
27 2009, 15-min logger; Welton et al., 1999), Great Ouse (1 site, 1989-1993, hourly logger), and
28 Tadnoll (2 sites, 2005-2006, 15-min logger; Edwards et al., 2009) studies; impact of forestry
29 on water quality for the Plynlimon catchment project (4 sites, 1984-2008, weekly manual
30 recording; Neal et al., 2010); acidification monitoring for the UK Acid Water Monitoring
31 Network (UKAWMN) project (10 sites, 1988-2008, monthly (not necessarily on same day)

1 manual recoding; Evans et al., 2008); hydrological and biogeochemical processes for the
2 Lowland CAatchment Research (LOCAR) project (17 sites, 2002-2011, 15-min logger;
3 Wheeler et al., 2006). Whether recording was done manually or with a logger, measures are
4 instantaneous. Because these original projects were focused on natural rivers, the temperature
5 data used herein may be considered as largely free from artificial influences (e.g. no industrial
6 use for cooling or heated effluent discharges).

7 **2.2 Climate data**

8 The Climate Hydrology and Ecology research Support System (CHESS) dataset features six
9 climate variables (Table 2). CHESS is the forcing dataset for the Joint UK Land Environment
10 Simulator model (JULES; Best et al., 2011). CHESS is a UK-wide 1-km grid dataset derived
11 by downscaling the UK Meteorological Office Rainfall and Evaporation Calculation System
12 (MORECS) 40-km grids (Hough and Jones, 1997), except for precipitation that were derived
13 from observed rain gauge data by using the natural neighbour interpolation method, which is
14 a development of the Thiessen approach (Keller et al., 2006). For each 1-km cell, modelled
15 daily time series of all variables are available for the period 1971–2007. The processes linked
16 to AT, LWR, P, and SWR are given in the stream heat budget overview (see Introduction)
17 and summarised in Table 2. Specific humidity (SH) gives a measure of evaporation potential
18 (i.e. the more humidity, the less evaporation due to reduced vapour pressure gradients; e.g.
19 Hannah et al., 2008). Wind speed (WS) captures the various effects of wind in increasing
20 evaporation (cooling) and convective air-water exchanges (cooling or warming) Each CHESS
21 cell was matched to the study temperature site(s) it contained.

22 **2.3 Seasonal time series**

23 Firstly, sub-daily water temperature data were averaged at a daily time step (Frome, Great
24 Ouse, Tadnoll, LOCAR) while spot measurements (Plynlimon, UKAWMN) were assumed
25 representative of the day on which they were taken, although it is worth keeping in mind that
26 they are only representative of daylight conditions. Secondly, daily water temperature data
27 were matched by date to the daily climate data. Thirdly, seasonal averages were computed
28 from these daily data for all variables. Seasons were defined as: December–February (winter),
29 March–May (spring), June–August (summer), and September–November (autumn). For
30 winter, these seasonal data for year y were based on data from December of year $y-1$ to
31 February of year y (e.g. for 1976, December 1975, January and February 1976). Lastly, five

1 time series were derived from these data: one series per season at an annual time step (i.e.
2 winter 2000, winter 2001, winter 2002, etc.), and one series with all seasons at a seasonal time
3 step (i.e. autumn 2000, winter 2000, spring 2000, etc). These series and their related models
4 are referred to as thereafter ‘autumn’, ‘winter’, ‘spring’, ‘summer’, and ‘all seasons’.

5 **2.4 Basin properties**

6 Basin properties were derived from the UK Flood Estimation Handbook (FEH), the UK
7 ‘industry standard’ for flood regionalisation studies, which includes 19 basin descriptors
8 (Bayliss, 1999). A subset of descriptors was used. First, the 19 catchment descriptors were
9 derived for each site. Many basin properties co-vary, often substantially, and they are best
10 interpreted as groups of properties (‘meta-properties’) rather than on their own. Descriptor
11 specifications (Bayliss, 1999), pair plots, and correlation matrices were checked to identify
12 likely groups of descriptors (for example, all FEH rainfall descriptors capturing basin
13 wetness). Three groups were identified, which relate to basin elevation, permeability (ie
14 responsive impermeable v groundwater-fed basins), and size. These have been found to
15 modify climate-hydrology associations in UK basins (eg Bower et al., 2004; Laizé and
16 Hannah, 2010; Garner et al., 2014). Then, a test run of the basin property analysis outlined in
17 Section 3.3 (ANOVA) was performed in order to check that all FEH descriptors from a given
18 group of properties had consistent associations (positive or negative) with each model
19 predictor (considering basin properties significantly associated with site-specific coefficients
20 only), while one FEH descriptor was retained to represent each meta-property.

21 The following meta-properties and their corresponding FEH descriptors were thus selected for
22 the final analysis:

- 23 • Elevation/wetness (‘elevation’ hereafter): as noted in Laizé and Hannah (2010), basin
24 elevation and wetness are very strongly correlated in the UK; the meta-property ‘Elevation’ is
25 represented by the ‘mean basin elevation above sea level’ (m; FEH descriptor named
26 ‘ALTBAR’), and, for the winter model only, by the proportion of time basin soils are wet (%;
27 FEH descriptor named ‘PROPWET’), based on soil moisture time series classified as wet/dry
28 days; highly correlated to rainfall); elevation is also related to air temperature;
- 29 • Size: basin area (km²; ‘AREA’) using its natural log; area is a proxy for discharge,
30 thus for thermal capacity, and is also linked to elevation;

1 • Permeability: Base Flow Index from Hydrology of Soil Type (BFIHOST;
2 dimensionless); ranging from 0 (less permeable basin) to 1 (more permeable); temperature
3 regimes in groundwater-fed (permeable) basins are expected to be more influenced by
4 groundwater inputs than in impermeable basins.

5 The 35 study sites are representative of a wide range of UK basin types in terms of the above
6 properties: (1) upland/lowland (ALTBAR approximately within 20-700 m and PROPWET
7 within 24-80%); (2) small and medium size (AREA ~0.5-415 km²); (3)
8 impermeable/permeable (BFIHOST 0.24-0.92). In addition, the study sites feature
9 combinations of all three meta-properties.

10 **3 Methods**

11 This section describes the analytical methods used. Firstly, as stated in the introduction, linear
12 multiple regressions fitted with the Multi-level (ML) modelling technique was chosen as the
13 core method because it allowed to analyse the multiple-site data in terms of both overall
14 climate–WT associations (linked to research Aim 1) and site-specific responses (linked to
15 research Aim 2; role of basins as modifiers of those associations). Although linear regressions
16 are only approximating climate–WT associations (eg AT-WT associations are better
17 described with logistic models; Mohseni et al., 1998), they were considered a sensible
18 compromise. Secondly, with regards to overall climate-WT associations, ML model selection
19 was done with Multi-Model Inference (MMI), a state-of-the-art technique that selects sets of
20 good models rather than a single best model (Grueber et al., 2011), to yield more robust
21 models than with standard single model selection, especially given the number of climate
22 predictors used. Lastly, any relation between site-specific climate-WT responses and basin
23 properties were tested formally using an analysis of variance (ANOVA).

24 The study work flow is summarised in Fig. 3: (a) WT observed data linked with (b) modelled
25 climate variables, then (c) all converted to seasonal (three-month) average series used within
26 (d) ML modelling / MMI framework producing (e) five output models (individual seasons
27 and all seasons; Aim 1), and (f) sets of basin properties (Aim 2).

28 **3.1 Multi-level modelling**

29 To take into account the hierarchical nature of the water temperature dataset (e.g. data
30 measured at the same site, sites located on the same river), ML modelling was used to build
31 linear models with water temperature as the predicted variable, and the six climate variables

1 as explanatory variables. When analysing multiple-site datasets, there are two common
2 alternatives: (a) performing one regression for individual sites, or (b) one regression on all
3 sites pooled together. On the one hand, site-specific regressions can make results highly
4 uncertain (sites may have few data-points; fitting numerous regressions is more prone to
5 identify spurious relationships, ie Type II errors). Thus, drawing out general patterns (e.g.
6 variation between sites, effect of site characteristics) can be difficult. On the other hand, full
7 pooling of sites ignores the clustering of samples within groups (eg measurements from a
8 given site, or sites on the same river, may be more similar), which may hide important
9 differences between groups and may cause problems with statistical inference (e.g. violation
10 of the assumption of independence between samples, sites with large or small numbers of
11 samples equally influencing the model outcome).

12 To overcome these issues, ML modelling can take into account the hierarchical structure in a
13 dataset, ie the different ‘levels’ at which data can be grouped (eg data at sites, sites within
14 basins, basins within countries), thus allowing for the pooling of data from multiple sites. A
15 ML model has two components, which correspond to generic patterns (i.e. similar to a
16 regression on fully-pooled data) and to level-specific patterns. The generic patterns, which are
17 described by the explanatory variables as in a standard regression, are called the ‘fixed
18 component’ or ‘fixed effects’ of the model. The unexplained variation between levels (eg
19 patterns specific to a site) is termed the ‘random component’ or ‘random effects’. The random
20 component captures the fact that levels may respond differently to a given predictor. For
21 example, stream temperature could be very responsive to climate at one site (high slope
22 value) but unresponsive at another (low slope value). In some cases, levels may have the same
23 response to predictors but may have differing averages, ie differing with regards to their
24 intercepts (eg two sites with same temporal patterns but with one site systematically cooler
25 than another due to local characteristics or recoding procedure); such ML models are
26 commonly known as ‘random intercept only’.

27 In our analyses, a three-level data structure was applied: individual observations (level 1)
28 nested within monitoring sites (level 2) nested within river stretches (level 3). In addition, a
29 time variable was included as a predictor to take into account any linear trend in the time
30 series. To avoid instability issues when fitting models, the predictors were centred (i.e.
31 predictor values minus their mean).

1 **3.2 Model selection with multi-model inference**

2 Following standard ML modelling practice (e.g. Zuur et al., 2009), the model selection was
3 applied in two stages: (a) selection of the random component variables; (b) selection of the
4 fixed component variables.

5 First, the random component selection was done as follows. With all predictors included in
6 the fixed component, all combinations of predictors in the random component were fitted.
7 The models were then ranked using Akaike's Information Criterion (AIC; Akaike, 1974). AIC
8 is used to select models offering the best compromise between fit and predictor parsimony; a
9 model with a lower AIC achieves a better ratio of fit vs number of predictors. Note that a
10 variation of AIC was used: AICc, which is AIC corrected for small-size datasets. Selection
11 was done for the four seasonal series as well as the 'all season' series. In each case, the single
12 combination of predictors giving the lowest AICc was retained as the random component.

13 Secondly, with the random component selected, the fixed component model selection
14 followed the MMI approach, which selects sets of 'good' models rather a single 'best' one.
15 Using a traditional model selection technique, like stepwise regression, the single model with
16 the best (i.e. lowest) AICc would be selected. This presents two issues: (a) due to the
17 algorithms underlying these types of selection techniques, some model formulations may end
18 up not being tested thus causing a sub-optimal selection; (b) given models with similar AICc
19 values have similarly good performance, it is not statistically correct to keep the lowest AICc
20 model only as the best model and discard the others. MMI addresses these issues by selecting
21 sets of good models. In practice, all possible combinations of predictors using from one to six
22 of the climate variables described above were fitted. The resulting models were ranked based
23 their AICc. All models within four points of the lowest AIC were selected (Zuur et al., 2009).
24 Each set of models was then summarised as an 'average model' (predictor coefficients over
25 all models in the set are averaged). Akaike weights (Burnham and Anderson, 2002) were then
26 calculated; these are the re-scaled AICc scores of the models included in a MMI selection set.
27 The weights, which add up to 1, give an indication of how important relatively to each others
28 are the models within a MMI set. For example, results showed that the 'all seasons' model is
29 based on two models with Akaike weights 0.74 and 0.26: the former model has more
30 influence on the resulting average model than the latter.

31 The Akaike weights form the basis to calculate the Relative Importance (RI) of each
32 predictor: RI is how one reports on the role of each explanatory variable in MMI. For a given

1 predictor, RI is calculated as the sum of the Akaike weights (re-scaled AICc) of the models in
2 which that predictor is included. RI ranges from 0 (variable never included) to 1 (included in
3 all models). For example, results showed that the ‘all seasons’ model is based on two models
4 with Akaike weights 0.74 and 0.26; the explanatory variable P is only included in the latter
5 model, hence its RI is 0.26, while the other five predictors are in both models and have a RI of
6 1 (see Table 3 below). With MMI, RI is analogous conceptually to predictor significance,
7 assessed with p values, in standard regression Model. This is why p values are not calculated
8 nor given in the Results section, but instead RI values for predictors are featured (a predictor
9 with a higher RI is more significant). Grueber et al. (2011) cover the above points in details
10 and give a very good example of such an application of MMI in a natural sciences context.

11 **3.3 Analysis of basin property influence**

12 For those explanatory variables that were included in the random effects (i.e. different sites
13 can have different coefficients), any relation between site-specific coefficients and basin
14 properties was investigated by using maps and scatter plots of coefficients against basin
15 properties, and by applying ANOVA to confirm observed patterns. For each coefficient and
16 basin property, ANOVA is comparing formally (a) a model assuming there is no difference in
17 coefficient between sites against (b) a model assuming the coefficient is function of the basin
18 property. A basin property is considered having significant influence on the WT–climate
19 variable relationship when the ANOVA *p* value is <0.05. To quantify the influence of these
20 properties, either alone or combined, linear regressions of the site-specific coefficients against
21 these properties were fitted.

22 **4 Results**

23 ~~The result section has three parts:~~

- 24 ~~• Selection and performance of the five models (all seasons, winter, spring, summer,~~
25 ~~autumn).~~
- 26 ~~• Analysis of the fixed component of the five ML models to inform on climate-WT~~
27 ~~associations (research Aim 1); results are split in three sub-sections (relative~~
28 ~~importance of the predictors, form and strength of predictor-WT associations, relative~~
29 ~~contributions of predictors to modelled WT).~~

- ~~• Analysis of the random component of the five ML models to inform on site specific climate-WT responses (for those predictors included as random effects), followed by ANOVA to assess the role of basins as modifiers of the climate-WT associations. (research Aim 2).~~

4.1 Model selection and performance

As described above, selecting the five ML models was done in two stages. First, with all predictors included in the fixed component of the ML model, combinations of predictors as random effects were tested, and the combination yielding the lowest AICc was retained. As a result, the following variables were included as random effects (i.e. variables for which different sites have different coefficients): all seasons = AT and SWR; winter = SH; summer = P; autumn = SWR; no predictor was included for spring (random intercept only). Second, all combination of the predictors in the fixed components were tested with MMI. The number of models included in each final set as selected by MMI was: all seasons = 2; winter = 4; spring = 12; summer = 6; autumn = 14.

With ML models, standard R^2 are not appropriate; conditional R^2 (Nakagawa and Schielzeth, 2013), which are analogue to standard R^2 but designed for ML models, were calculated. Conditional R^2 were: 0.96 for both all seasons models; 0.88 for all four winter models; within 0.88-0.89 (mean 0.88) for the 12 spring models (mean 0.88); within 0.84-0.85 (mean 0.84) for the six summer models; within 0.88-0.89 (mean 0.88) for the 14 autumn models.

With MMI, each set of models is summarised as an ‘average model’, for which a given variable coefficient is its average value over all models in the set. The average model coefficients are presented in Table 3.. All average models have good fits consistent with conditional R^2 given above, and as evidenced by plots of modelled against observed water temperature data in Fig. 4. Thereafter, if unqualified, the term ‘model’ means the average model for a given set of selected models

4.2 Relative influence of climate drivers

4.2.1 Relative importance of the predictors

As explained above, within the MMI framework, the significance of a predictor is captured with its relative importance RI in the selected model sets (RI = 0, predictor never retained; RI

1 = 1, predictor retained in all models of set). Predictor RIs for all average models are given in
2 Table 3. First, there is no predictor with a zero RI for any average model. This means that all
3 predictors are used in all or part of the sets of selected individual models. Predictors can be
4 ordered by decreasing importance: AT (RI=1 for all models); SWR (RI=1 for four models,
5 and 0.64 for the summer one); WS (RI=1 for two models, and 0.33-0.68 for others); SH (RI=1
6 for two models, 0.34-0.53 for others); P (RI=1 for one model, 0.15-0.41 for others); LWR
7 (RI=1 for one model, 0.13-0.25 for others).

8 Second, each model has its own set of most important predictors (with $RI > 0.50$ as a
9 threshold, i.e. predictor included in half of the selected individual models): all seasons, all
10 predictors except P; winter, AT, SWR, WS, and SH; spring, AT, SWR, and WS; summer, all
11 predictors; autumn, AT and SWR.

12 4.2.2 Form and strength of associations between climate predictors and water 13 temperature

14 The section focuses on the fixed effect coefficients of the predictors (i.e. coefficients valid for
15 all sites). Predictors AT, SWR and SH have positive coefficients for all models (i.e. increases
16 of these predictors are associated with a consistent warming effect on water temperature).
17 Predictors LWR, WS, and P have positive or (mostly) negative coefficients (i.e. increases of
18 these predictors are associated with warming or cooling, depending on season; Table 3).

19 The strength of the association varies with season. Comparing the absolute value of the
20 seasonal coefficients for each variable (not between variables as they have different scales):
21 AT, lowest in winter, highest in autumn; SWR, lowest in autumn, highest in winter; LWR,
22 lowest in winter, highest in summer; WS, lowest in autumn, highest in summer; SH, lowest in
23 autumn, highest in winter; P, lowest in summer, highest in autumn.

24 4.2.3 Relative predictor contributions

25 By definition, the predictors may have different units and orders of magnitude. Their
26 coefficients cannot be compared directly to get an indication of their relative contribution to
27 WT predictions. Instead, for each generic average model (see coefficients in Table 3),
28 predicted WT values were generated for the whole period of record, then the percentage
29 contributions of each predictor to these predicted WT values were calculated (ie a time series
30 of predicted WT and of percentage contributions for the six predictors). Boxplots of the

1 percentage contributions for the six predictors and the five models are featured on the left-
2 hand side of Fig. 5 (for readability, outliers are not displayed). The thick black central line
3 corresponds to the median percentage contribution. The shorter the boxes and whisker extents
4 are, the more constant are predictor contributions to modelled WT, with longer extents
5 representing more variation. While, the boxplots inform about contribution differences
6 between models, plotting predictor contributions against modelled WT (right-hand side of
7 Fig. 5) shows that the contribution variability, for a given model, is in many cases related to
8 WT rather than random (i.e. some predictors are more or less influential depending on thermal
9 conditions).

10 AT is the main contributor except in winter (second to SH); its median contribution is around
11 12% for winter, and 30-35% for the other models. In all cases, AT contribution increases as
12 WT increases (AT has more influence at warmer WT).

13 SWR influence is quite constant for all models (medians ranging from +4.5% to 7.5%; up to a
14 maximum of +15.8% in winter) except autumn, for which it is very limited (median +0.13%).
15 Within each model, SWR contribution is fairly stable across the WT range but showing
16 slightly more variability for colder WT.

17 LWR is the second contributor for the 'all seasons' and the summer models. Its contribution is
18 negative except for spring, but in all cases, the contribution decreases as WT increases (i.e.
19 LWR has more influence on colder WT).

20 WS has a negative contribution for all models except autumn. WS is most influential for
21 colder WT (e.g. down to a minimum of -13.70% for all seasons model, -11.74% for summer);
22 its contribution decreases as WT becomes warmer (e.g. around -1% for most models). WS
23 contributions are more variable for colder WT (ie more scatter right-hand side plots; Fig. 5)
24 than for warmer WT. For autumn, WS has limited influence, with its contribution ranging
25 from +0.17% to +0.90%.

26 SH contribution is highest in winter (main contributor with median +27.20%) and for 'all
27 seasons', but otherwise limited for the other seasons (medians ranging +2.10% to +7.23%).
28 SH contributions are independent from WT.

29 P has limited influence with its contributions ranging from -1.13% (minimum, spring) to
30 +0.22% (maximum, winter). Its contributions show very little variability and no pattern in
31 relation to WT.

1 **4.3 Role of basin properties**

2 The site-specific coefficients were initially mapped against elevation and permeability to
3 explore basin modification of the WT–climate relationship, and any pattern linked to
4 easting/northing. While there was no clear easting/northing pattern, the maps showed
5 potential associations between coefficients and basin properties.

6 Then, ANOVA was run on those descriptors to identify the ones significantly associated with
7 the model site-specific coefficients. Associations between meta-properties/descriptors and
8 site-specific coefficients are showed in Table 4. Note: no property was found to be associated
9 with P coefficients in summer.

10 To quantify the influence of the properties, either alone, or combined, simple linear
11 regressions of the site-specific coefficients were fitted and ranked with AICc following the
12 MMI technique used above. Models are featured in Table 5. The best models are the ones
13 with the lowest AICc (displayed in bold characters); while all models featured are within four
14 AICc points, hence are considered equally good (Zuur et al., 2009). Depending on the site-
15 specific coefficient, the R^2 range from 0.125 (autumn SWR) to 0.411 ('all seasons' AT). In
16 each case, a single regression (on BFIHOST or ALTBAR) is the best model AICc-wise,
17 although most of the multiple regressions are within 4 AICc points so equally valid models. In
18 the UK context, these meta-properties are themselves not independent: (i) high upland basins
19 are more often impermeable because permeable geology predominantly occurs in the UK
20 lowlands; (ii) there are comparatively more larger basins at lower elevations. Results in Table
21 5 demonstrate this. For the 'all seasons' AT coefficient models, single regressions on
22 BFIHOST, $\ln(\text{AREA})$, and ALTBAR achieves a R^2 of 0.370, 0.284, and 0.127, respectively,
23 but the multiple regressions with either two or all of them only achieve R^2 within 0.381–
24 0.411. The comparatively small gain when adding several predictors is due to the three
25 properties co-varying. Similar comments can be made on the other models.

26 **5 Discussion**

27 ~~This section has two parts:~~

- 28 ~~• Discussion of the ML modelling fixed components (national-scale patterns of climate-~~
29 ~~WT associations; research Aim 1); this includes outcomes of MMI, physical~~
30 ~~interpretation of the models, and dependence between climate-WT association and~~
31 ~~season/temperature.~~

- ~~• Discussion of the ML modelling random components (site specific climate WT responses to assess their modification by basin properties; research Aim 2); identified basin properties are first considered individually, then combined.~~

5.1 Influence of climate drivers

This section discusses results related to the fixed component of the ML models, which provide information on national-scale patterns (i.e. patterns valid for every sites used in the analysis). As explained above, these patterns would be analogue conceptually to those sought by using cluster analysis or fully-pooled regressions but without their shortcomings (e.g. loss of information, issues with dependent observations). The use of ML modelling adressed one of the limitation of empirical regression-based models, for which temperatures are predicted at specific sites only. Note: the four seasonal models are by definition related to the ‘all seasons’ model, since they are based on subsets of the same original dataset, so that seasonal patterns are not independent from the ‘all seasons’ patterns.

The six climate predictors investigated were identified as significant within the MMI framework (note: MMI applied to the selection of the fixed component part of the ML models only). Standard model selection techniques (e.g. stepwise) would have most likely excluded the predictors that are not retained in all models of the MMI selected model sets (i.e. predictors with lower RI values). In this regard, this study illustrated how MMI can be useful in picking the effect of secondary controls, otherwise masked by dominant primary drivers.

The models broadly make sense against known physical processes. In interpreting model results, it important to bear in mind that the aim of the study was to assess the relative empirical associations between WT and the set of climate drivers, therefore the models are not explicitly process-based. In addition, the climate variables are inter-related in some extent (e.g. P associated with more cloud cover, hence reduced SWR and greater SH), and the analysis is based on 3-month averaged data, which may cause some aspects of the physical processes to be lost by the averaging (e.g. distinction between variable like SWR, only contributing during daylight and others like LWR contributing continuously).

All models flag a close association between AT and WT. This finding is consistent with the literature: it is well documented that AT and WT are both influenced by similar climatic drivers (e.g. incoming radiation), and tend towards thermodynamic equilibrium (Caissie, 2006). Both variables consequently tend to co-vary positively, making AT a very useful predictor (as it has been widely demonstrated in the literature; e.g. Webb and Nobilis, 1997),

1 although the association is only partly causal ~~only~~ (Johnson, 2003). SWR (insolation from
2 sun) is physically a positive input of energy; and it is appropriately captured in the models
3 with positive coefficients. In this study, LWR is the downward component of longwave
4 radiation (see Table 2). From an energy budget perspective, LWR therefore corresponds to a
5 positive flux toward the river water. Consequently, LWR contribution to WT should be
6 positive. Results (Table 3 and Fig. 5) show this is not necessarily the case. LWR corresponds
7 to radiation diffused by clouds, so co-varies positively with cloud cover (in addition, a
8 pairwise plot of the study dataset shows that within a given season LWR inversely co-varies
9 with SWR). Therefore, the negative WT-LWR associations would either be due to LWR
10 acting as a proxy for processes driving colder water temperatures (e.g. cloud cover), or be a
11 model artefact due to the LWR/SWR collinearity. SH represents the mass of water vapour in
12 moist air. The rate of evaporation at the water surface is directly proportional to the SH
13 gradient (the more humid the air, the lower the evaporation rate). All models give a positive
14 association between SH and WT. As SH increases, the evaporation rate decreases, and
15 consequently, cooling due energy loss as latent heat decreases as well. WS has a cooling
16 effect by increasing evaporation at the water surface, which would be captured by a negative
17 contribution to WT. In addition, WS plays a significant role in air–water energy exchanges by
18 increasing mixing, which would manifest as increased cooling or warming depending on the
19 AT-WT gradient. For all models but autumn, WS has an overall negative contribution
20 (cooling). For the autumn model, the variable RI and its percentage contribution are both low,
21 so the positive association has to be considered with caution. P have positive or negative
22 coefficients depending on model. When rainfall occurs, its temperature may be higher or
23 lower than that of the river depending on season. In addition, P can also act as a proxy for
24 cloud cover, thus for reduced SWR and increased LWR; in some cases it might also capture
25 the effect of increased streamflow and thermal inertia. P has limited importance and
26 percentage contribution in all the models, which is probably due to precipitations being event-
27 based whereas other variables are continuous (e.g. AT).

28 The form and strength of the climate-WT association vary depending on season and on WT
29 range, as showed by the variability in predictor coefficients and contributions. This most
30 likely captures that the dominating climate drivers and physical processes (e.g.
31 evaporation/condensation, radiative fluxes; see energy budget above) may change from one
32 season to another, or within the same season, from colder to warmer weather conditions. As a
33 consequence, the impact of short (e.g. seasonal climatic drought) and long term climate

1 variability or change, and of mitigation schemes (e.g. increasing riparian tree shading) on
2 stream temperature may not be uniform across time (e.g. higher long-term temperature
3 increases in winter and spring; Langan et al., 2001).
4 Probably because AT performs very well as a predictor (e.g. Webb and Nobilis, 1997), most
5 empirical models have been based on single AT-WT regressions (Caissie, 2006) with very
6 few using other climate predictors (e.g. AT and solar radiation; Jeppesen and Iversen, 1987).
7 The present study demonstrated the potential of several other climate variables to contribute
8 explanatory power (even if they are weaker predictors than AT), which can be beneficial
9 when trying to tease out the relative influences of the various interconnected processes
10 controlling water temperature regimes.- Although this was not the primary objective of the
11 study, the models could be used to generate seasonal water temperatures for the whole spatial
12 and temporal extent of the CHESS datasets (whole country, 1971–2007 period of records), for
13 example allowing to investigate broader geographical pattern, or the impact of extreme events
14 like drought.

15 **5.2 Role of basin properties**

16 The analysis of the random component of the models (i.e. site-specific) identified
17 permeability, elevation, and basin size as the main modifiers of the climate-WT response
18 (note: unlike for the fixed component, the random predictors were selected using standard
19 AIC, i.e. there is only one random component formulation for each of the five models). The
20 use of ML modelling addressed the limitations of empirical regression-based models to work
21 across different spatial scales (see above; Caissie, 2006). The basin properties are first
22 reviewed individually, then together to assess how their respective influences may combine
23 within a basin (i.e. are all influences cumulating, or one property dominating?)

24 For all models and for all predictors (all seasons AT, autumn SWR, winter SH), the more
25 (less) permeable the basin, the lower (higher) the coefficients. Thus, water temperature in
26 impermeable basins appears to be more sensitive to seasonal climate data than in permeable
27 basins. Indeed, in permeable basins, the temperature regime is comparatively more influenced
28 by the groundwater input to the river; groundwater temperature tends to have more inertia and
29 to have a dampening effect on river WT (groundwater warmer than river in winter, cooler in
30 summer) - see for example, Webb and Zhang (1999), Hannah et al. (2004), Caissie, 2006,
31 Kelleher et al. (2012). This pattern is consistent with Garner et al. (2014), which used

1 different temperature monitoring sites and basin properties to investigate air–water
2 temperature associations only.

3 With regard to basin size, –with the ‘all seasons’ model, WT in smaller basins is more
4 sensitive to AT but less sensitive to SWR than in larger basins. With the autumn model, WT
5 in smaller basins is more sensitive to SWR. With the winter model, WT in smaller basins is
6 more sensitive to SH.

7 Although, there are seemingly contradictory patterns for SWR, this can be explained by the
8 modelling. Where studies typically use only one variable to represent the whole climate (e.g.
9 AT, Garner et al., 2014), several climate predictors are considered herein. As noted in the
10 Introduction, AT and SWR co-vary in some extent. In the ‘all seasons’ model, AT and SWR
11 were both selected to capture the between-site variability of the climate-WT response, while
12 in the autumn model, only SWR was retained. As a consequence, in the autumn model, SWR
13 represents climate control, most probably capturing part of the WT variability explained by
14 AT when both variables are included as in the ‘all seasons’ model. Overall, WT is more
15 sensitive to seasonal climate data in smaller basins. Then, the inclusion of both AT and SWR
16 in ‘all seasons’ allows to refine the assessment of river thermal sensitivity beyond climate as a
17 whole, to different types of energy processes: smaller streams are more sensitive to air-water
18 heat exchanges but less sensitive to radiative fluxes than larger streams. One can hypothesize
19 that smaller streams have a lower volume of water to heat up than larger streams but also are
20 likely to experience greater relative shading by riparian trees than wider rivers downstream.

21 This finding, at first, looks partly inconsistent with Garner et al. (2014), who concluded that
22 larger basins were more sensitive to climate than smaller ones, because (i) headwater stream
23 being located at the start of the network have less time than larger streams to reach
24 equilibrium with AT further downstream, and (ii) headwater streams are more likely to be
25 shaded (riparian woodlands, topography). However, Garner et al. (2014) was based on cluster
26 analysis; small basins were included in one cluster only, which also included permeable
27 basins. As a consequence, it is likely that permeability and size influences were in some
28 extent confounded. In contrast, the sites used in this paper cover all combinations of
29 size/permeability basin types. Secondly, as noted by Kelleher et al. (2012), within the small
30 stream type, one needs to distinguish between shaded (i.e. due to with riparian woodland or
31 topography) and exposed streams, with shaded streams behaving more like permeable
32 streams. Only basin-wide land cover information was available for 29 out of 35 sites: 27

1 basins are under 20% woodland. While one cannot exclude woodland being concentrated on
2 the riparian corridor of each site, it is sensible to assume the 35 sites have a mix of shaded and
3 exposed streams. Although it would explain the pattern with ‘all seasons’ SWR (more
4 shading, less incoming sun), the shaded headwater argument has to be considered
5 inconclusive in relation to the wider climate controls.

6 With regard to basin elevation, results can be summarised as follows: (i) ‘all seasons’ model,
7 WT in higher elevation basins is more sensitive to AT but less sensitive to SWR; (ii) winter
8 model, WT in higher elevation basins is more sensitive to SH. These patterns can be
9 explained partly by elevation, partly by the fact that permeability, size and elevation are not
10 strictly independent in the UK. As noted above, elevation and rainfall co-vary greatly in the
11 UK, so that upland basins are wetter than lowland basins, hence associated with greater
12 precipitation (i.e. with more cloud cover and consequently, less influenced by SWR). In terms
13 of basin types, the study sites have no upland permeable basins (the UK geology is such that
14 this type hardly occurs in any case), plus high elevation basins tend to be smaller basins. The
15 patterns observed with elevation, which are consistent with those for permeability and size,
16 are most likely partly reflecting the upland basins are also largely impermeable and smaller.

17 Although each property has been statistically identified as having an influence, the latter point
18 leads to investigating how these influences may combine. The regression models of site-
19 specific coefficients against permeability, size, and elevation presented in Table 5 provide
20 some quantification of the influence of basin properties, both on their own, and combined. In
21 each case, the best model uses a single basin property, although the retention of other
22 properties in the MMI sets confirms the role of all three. In three cases out of four (‘all
23 seasons’ AT, autumn SWR, winter SH), permeability (BFIHOST) is dominant. Therefore, the
24 patterns described above would be primarily set by basin permeability, then by size and
25 elevation. At one end of the spectrum, small, upland, and/or impermeable basins are the most
26 exposed to atmospheric heat exchanges, at the other end, large, lowland, and permeable
27 basins are the least exposed.

28 **6 Conclusions**

29 By focusing on a nation-wide set of water temperature sites and extensive climate dataset, this
30 study addressed some of the limits of previous UK papers (limited number of WT sites,
31 climate predictors, and /or geographical extent); it also investigated formally seasonal

1 patterns, and, by using a wide range of basin descriptors, improved knowledge of the role of
2 basin properties as modifiers of climate–WT associations.

3 With regards to the need to explore alternative modelling techniques to maximise data utility,
4 ML modelling allowed to model climate-WT responses both at site and at national scales,
5 thereby addressing the limitation of empirical regression-based models compared to
6 deterministic models (Caissie, 2006). While the present ML models took into account
7 discrepancies in temperature sampling (eg data from sites with 15-min recording may show
8 different patterns from sites with weekly data), the effect of these discrepancies were not
9 investigated explicitly, and would merit further research. In addition, the model selection
10 based on the MMI approach permitted to investigate climate variables that would be most
11 likely excluded by standard selection techniques, and identify their influence as secondary
12 controls.

13 In relation to research Aim 1 (improved understanding of large-scale climate–WT
14 associations), the modelling exercise showed that all of the six climate predictors investigated
15 in this study play a role as a control of water temperature. AT and SWR are important for all
16 models/ seasons, while LWR, SH, and WS are important for some models/ seasons only. The
17 form and strength of the seasonal climate data-stream temperature association vary depending
18 on season and on water temperature. The dominating climate drivers and physical processes
19 may change across seasons, and across the stream temperature range. The impact of climate
20 variability or change, whether short or long term (e.g. seasonal supra-seasonal, or inter-annual
21 climatic drought, long-term air temperature increaseses), and the benefit of mitigation
22 measures (e.g. increasing shading) on stream temperatures need to be assessed accordingly.
23 While this study focused on wider spatial patterns, it is noteworthy that stream temperature
24 could also be influenced by micro-climate effects (as far as metadata could be scrutinised, the
25 study sites were free of such effects), future research could investigate how micro-climate and
26 climate data spatial resolution may influence the models.

27 In relation to research Aim 2 (assessing influence of basin properties as modifiers of climate-
28 WT associations), the study confirmed the role of basin permeability, size, and elevation as
29 modifiers of the climate-WT associations. The primary modifier is basin permeability, then
30 size and elevation. Smaller, upland, and/or impermeable basins are the ones most influenced
31 by atmospheric heat exchanges, while the larger, lowland and permeable basins are least
32 influenced (note: some basin types occur less frequently or hardly in the UK, e.g. upland
33 permeable). This means that, in addition to seasons and temperature range, the impact of

1 seasonal climate data on stream temperatures and the benefits of mitigation schemes may vary
2 with location. This study shows the importance of accounting properly for the spatial and
3 temporal variability of climate-stream temperature associations and their modification by
4 basin properties.

5 **Data availability**

6 The dataset used in this paper is available from the NERC EIDC open-access data repository
7 (Laizé and Bruna Meredith, 2015).

8 **Acknowledgements**

9 The authors would like to thank CEH colleagues for their help with (a) sourcing the water
10 temperature datasets, Mike Bowes, Francois Edwards, Ned Hewitt, Mike Hutchins, and
11 Gareth Old; and (b) retrieving the CHES data, Eleanor Blyth, Douglas Clark, and Richard
12 Ellis. The authors acknowledge financial support from the Natural Environment Research
13 Council (NERC) through its National Capability funding to the Centre for Ecology and
14 Hydrology, and its PhD funding to the first author. Some of the material in this paper was
15 taken from the first author's PhD thesis.

16 **References**

- 17 Akaike, H.: A new look at the statistical model identification, *IEEE Trans. Autom. Control*,
18 19(6), 716-723, 1974.
- 19 Bayliss, A.: *Flood Estimation Handbook, Volume 5: Catchment Descriptors*, Institute of
20 Hydrology, Wallingford, UK, 1999.
- 21 Best, M. J., Pryor, M., Clark, D. B., Rooney, G. G., Essery, R. L. H., Menard, C. B., Edwards,
22 J. M., Hendry, M. A., Porson, A., Gedney, N., Mercado, L. M., Sitch, S., Blyth, E., Boucher,
23 O., Cox, P. M., Grimmond, C. S. B. and Harding, R. J.: The Joint UK Land Environment
24 Simulator (JULES), model description - Part 1: Energy and water fluxes, *Geosci. Model Dev.*,
25 4(3), 677-699, 2011.
- 26 Boisneau, C., Moatar, F., Bodin, M. and Boisneau, P.: Does global warming impact on
27 migration patterns and recruitment of Allis shad (*Alosa alosa* L.) young of the year in the
28 Loire River, France?, *Hydrobiologia*, 602, 179-186, 2008.
- 29 Boscarino, B. T., Rudstam, L. G., Mata, S., Gal, G., Johannsson, O. E. and Mills, E. L.: The
30 effects of temperature and predator-prey interactions on the migration behavior and vertical
31 distribution of *Mysis relicta*, *Limnol. and Oceanogr.*, 52(4), 1599-1613, 2007.

- 1 Bower, D., Hannah, D. M. and McGregor, G. R.: Techniques for assessing the climatic
2 sensitivity of river flow regimes, *Hydrol. Process.*, 18(13), 2515-2543, 2004.
- 3 Broadmeadow, S. B., Jones, J. G., Langford, T. E. L., Shaw, P. J. and Nisbet, T. R.: The
4 Influence of Riparian Shade on Lowland Stream Water Temperatures in Southern England
5 and Their Viability for Brown Trout, *River Res. Appl.*, 27(2), 2011.
- 6 Brown, L. E., Cooper, L., Holden, J. and Ramchunder, S. J.: A comparison of stream water
7 temperature regimes from open and afforested moorland, Yorkshire Dales, northern England,
8 *Hydrol. Process.*, 24(22), 3206-3218, 2010.
- 9 Burnham, K. P. and Anderson, D. R.: Model selection and multimodel inference: a practical
10 information-theoretic approach, Springer, 2002.
- 11 Caissie, D.: The thermal regime of rivers: a review, *Freshwater Biol.*, 51(8), 1389-1406, 2006.
- 12 Caissie, D., Satish, M. G. and El-Jabi, N.: Predicting water temperatures using a deterministic
13 model: Application on Miramichi River catchments (New Brunswick, Canada), *J. Hydrol.*,
14 336(3-4), 303-315, 2007.
- 15 Crisp, D. T.: Water temperature of Plynlimon streams, *Hydrol. Earth Syst. Sci.*, 1(3), 535-
16 540, 1997.
- 17 Edwards, F. K., Lauridsen, R. B., Fernandes, W., Beaumont, W. R., Ibbotson, A. T., Scott, L.,
18 Davies, C. E. and Jones, J. I.: Re-introduction of Atlantic salmon, *Salmo salar* L., to the
19 Tadmoll Brook, Dorset, *Proceedings of the Dorset Natural History and Archaeological*
20 *Society*, 2009.
- 21 Evans, C. D., Monteith, D. T., Reynolds, B. and Clark, J. M.: Buffering of recovery from
22 acidification by organic acids, *Sci. Total Environ.*, 404(2-3), 316-325, 2008.
- 23 Evans, E. C., McGregor, G. R. and Petts, G. E.: River energy budgets with special reference
24 to river bed processes, *Hydrol. Process.*, 12(4), 575-595, 1998.
- 25 Garner, G., Hannah, D. M., Sadler, J. P. and Orr, H. G.: River temperature regimes of
26 England and Wales: spatial patterns, inter-annual variability and climatic sensitivity, *Hydrol.*
27 *Process.*, 28(22), 5583-5598, 2014.
- 28 Grueber, C. E., Nakagawa, S., Laws, R. J. and Jamieson, I. G.: Multimodel inference in
29 ecology and evolution: challenges and solutions, *J. Evolution. Biol.*, 24(7), 1627-1627, 2011.

- 1 Hannah, D. and Garner, G.: River water temperature in the United Kingdom: changes over the
2 20th century and possible changes over the 21st century, *Prog. Phys. Geogr.*, 2015.
- 3 Hannah, D. M., Malcolm, I. A., Soulsby, C. and Youngson, A. F.: Heat exchanges and
4 temperatures within a salmon spawning stream in the Cairngorms, Scotland: Seasonal and
5 sub-seasonal dynamics, *River Res. Appl.*, 20(6), 635-652, 2004.
- 6 Hannah, D. M., Malcolm, I. A., Soulsby, C. and Youngson, A. F.: A comparison of forest and
7 moorland stream microclimate, heat exchanges and thermal dynamics, *Hydrol. Process.*,
8 22(7), 919-940, 2008.
- 9 Hough, M. N. and Jones, R. J. A.: The United Kingdom Meteorological Office rainfall and
10 evaporation calculation system: MORECS version 2.0-an overview, *Hydrol. Earth Syst. Sci.*,
11 1(2), 227-239, 1997.
- 12 Hrachowitz, M., Soulsby, C., Imholt, C., Malcolm, I. A. and Tetzlaff, D.: Thermal regimes in
13 a large upland salmon river: a simple model to identify the influence of landscape controls
14 and climate change on maximum temperatures, *Hydrol. Process.*, 24(23), 3374-3391, 2010.
- 15 Imholt, C., Soulsby, C., Malcolm, I. A., Hrachowitz, M., Gibbins, C. N., Langan, S. and
16 Tetzlaff, D.: Influence of Scale on Thermal Characteristics in a Large Montane River Basin,
17 *River Res. Appl.*, 29(4), 403-419, 2013.
- 18 Jeppesen, E. and Iversen, T. M.: 2 Simple-Models for Estimating Daily Mean Water
19 Temperatures and Diel Variations in a Danish Low Gradient Stream, *Oikos*, 49(2), 149-155,
20 1987.
- 21 Johnson, S. L.: Stream temperature: scaling of observations and issues for modelling, *Hydrol.*
22 *Process.*, 17(2), 497-499, 2003.
- 23 Kelleher, C., Wagener, T., Gooseff, M., McGlynn, B., McGuire, K. and Marshall, L.:
24 Investigating controls on the thermal sensitivity of Pennsylvania streams, *Hydrol. Process.*,
25 26(5), 771-785, 2012.
- 26 Keller, V., Young, A. R., Morris, D. G. and Davies, H.: Continuous Estimation of River
27 Flows (CERF) Technical Report: task 1.1: Estimation of Precipitation Inputs. UK: 1-36, 2006.
- 28 Laizé, C. L. R.: Controls and modification of large-scale climate–hydrology–ecology
29 associations, PhD thesis, University of Birmingham, 2015.

- 1 Laizé, C. L. R. and Bruna Meredith, C.: Water temperatures for the period 1984 to 2007 at 35
2 sites on 21 UK rivers, doi:10.5285/65400133-9cfb-4cf4-bffd-97f1c3752025, NERC-EIDC,
3 2015.
- 4 Laizé, C. L. R. and Hannah, D. M.: Modification of climate-river flow associations by basin
5 properties, *J. Hydrol.*, 389(1-2), 186-204, 2010.
- 6 Langan, S. J., Johnston, L., Donaghy, M. J., Youngson, A. F., Hay, D. W. and Soulsby, C.:
7 Variation in river water temperatures in an upland stream over a 30-year period, *Sci. Total*
8 *Environ.*, 265(1-3), 195-207, 2001.
- 9 Malcolm, I. A., Hannah, D. M., Donaghy, M. J., Soulsby, C. and Youngson, A. F.: The
10 influence of riparian woodland on the spatial and temporal variability of stream water
11 temperatures in an upland salmon stream, *Hydrol. Earth Syst. Sci.*, 8(3), 449-459, 2004.
- 12 Mohseni, O., Stefan, H.G., Erickson, T.R.: A non-linear regression model for weekly stream
13 temperatures. *Water Res. Res.* 34(10), 2685–2693, 1998.
- 14 Nakagawa, S. and Schielzeth, H.: A general and simple method for obtaining R^2 from
15 generalized linear mixed-effects models, *Methods in Ecology and Evolution*, 4, 133-142,
16 2013.
- 17 Neal, C., Robinson, M., Reynolds, B., Neal, M., Rowland, P., Grant, S., Norris, D., Williams,
18 B., Sleep, D. and Lawlor, A.: Hydrology and water quality of the headwaters of the River
19 Severn: Stream acidity recovery and interactions with plantation forestry under an improving
20 pollution climate, *Sci. Total Environ.*, 408(21), 5035-5051, 2010.
- 21 Orr, H. G., Simpson, G. L., Clers, S., Watts, G., Hughes, M., Hannaford, J., Dunbar, M. J.,
22 Laizé, C. L., Wilby, R. L. and Battarbee, R. W.: Detecting changing river temperatures in
23 England and Wales, *Hydrol. Process.*, 2014.
- 24 Webb, B. W., Clack, P. D. and Walling, D. E.: Water-air temperature relationships in a Devon
25 river system and the role of flow, *Hydrol. Process.*, 17(15), 2003.
- 26 Webb, B. W., Hannah, D. M., Moore, R. D., Brown, L. E. and Nobilis, F.: Recent advances in
27 stream and river temperature research, *Hydrol. Process.*, 22(7), 2008.
- 28 Webb, B. W. and Nobilis, F.: Long-term perspective on the nature of the air-water
29 temperature relationship: a case study, *Hydrol. Process.*, 11(2), 1997.

- 1 Webb, B. W. and Zhang, Y.: Spatial and seasonal variability in the components of the river
2 heat budget, *Hydrol. Process.*, 11(1), 79-101, 1997.
- 3 Webb, B. W. and Zhang, Y.: Water temperatures and heat budgets in Dorset chalk water
4 courses, *Hydrol. Process.*, 13(3), 309-321, 1999.
- 5 Welton, J. S., Beaumont, W. R. C. and Ladle, M.: Timing of migration and changes in age
6 structure of Atlantic salmon, *Salmo salar* L., in the River Frome, a Dorset chalk stream, over a
7 24-year period, *Fisheries Manag. Ecol.*, 6(6), 437-458, 1999.
- 8 Wheater, H. S., Neal, C. and Peach, D.: Hydro-ecological functioning of the Pang and
9 Lambourn catchments, UK: An introduction to the special issue, *J. Hydrol.*, 330(1-2), 1-9,
10 2006.
- 11 Wilby, R. L., Johnson, M. F. and Toone, J. A.: Nocturnal river water temperatures: Spatial
12 and temporal variations, *Sci. Total Environ.*, 482, 157-173, 2014.
- 13 Zuur, A., Ieno, E. N., Walker, N., Saveliev, A. A. and Smith, G. M.: *Mixed effects models
14 and extensions in ecology with R*, Springer, 2009.
- 15

1 Table 1. Climate–water temperature studies carried out in the UK.

| Reference | Number of Sites | Number of Basins | Location | Number of Climatic Variables | Length of Study Period |
|----------------------------------|-----------------|------------------|--------------------|------------------------------|------------------------|
| Wilby <i>et al.</i> (2014) | 36 | 2 | central England | 1 | 2 years |
| Garner <i>et al.</i> (2014) | 38 | 38 | England & Wales | 1 | 18 years |
| Broadmeadow <i>et al.</i> (2011) | 10 | 2 | south England | 3 | 3 years |
| Brown <i>et al.</i> (2010) | 6 | 1 | north England | 2 | 2 years |
| Hrachowitz <i>et al.</i> (2010) | 25 | 1 | northeast Scotland | 0 | 2 years |
| Hannah <i>et al.</i> (2008) | 2 | 1 | northeast Scotland | 7* | 2 years |
| Malcolm <i>et al.</i> (2004) | 6 | 1 | northeast Scotland | 1 | 3 years |
| Hannah <i>et al.</i> (2004) | 1 | 1 | northeast Scotland | 9* | 6 months |
| Webb <i>et al.</i> (2003) | 4 | 1 | southwest England | 1 | 5 years |
| Langan <i>et al.</i> (2001) | 1 | 1 | northeast Scotland | 1 | 30 years |
| Webb and Zhang (1999) | 2 | 2 | South England | 5 | 2 seasons |
| Evans <i>et al.</i> (1998) | 1 | 1 | west England | 9* | 17 days |
| Crisp (1997) | 5 | 1 | northwest Wales | 1 | 3 years |
| Webb and Zhang (1997) | 11 | 1 | southwest England | 4 | 2 seasons |

2 * includes different measurements of related climatic variables

3

1

Table 2. CHESS data.

| Climate Variable | Abbreviation | Units | Process |
|----------------------|--------------|---|--|
| Air temperature | AT | $^{\circ}\text{K}$ | Convective energy exchanges at water surface; energy loss or gain |
| Long wave radiation | LWR | W m^{-2} | Downward energy bounced back by clouds; energy gain |
| Specific humidity | SH | kg kg^{-1} | Air moisture content; higher humidity reduces evaporation rate; energy loss (evaporation) or gain (condensation) |
| Precipitation | P | $\text{kg m}^{-2}\text{d}^{-1}$ (mm d^{-1}) | Advective exchanges; energy loss or gain |
| Short wave radiation | SWR | W m^{-2} | Downward direct energy (i.e. insolation); energy gain |
| Wind speed | WS | m s^{-1} | Increases evaporation (energy loss) and convective exchanges (air mixing; energy loss or gain) |

2

1 Table 3. Generic response for the five average models.

| | all seasons | | winter | | spring | | summer | | autumn | |
|-----|-------------|-------------|---------|-------------|---------|-------------|---------|-------------|---------|-------------|
| | Coef. | <i>RI</i> | Coef. | <i>RI</i> | Coef. | <i>RI</i> | Coef. | <i>RI</i> | Coef. | <i>RI</i> |
| AT | 0.5824 | <i>1.00</i> | 0.3955 | <i>1.00</i> | 0.6815 | <i>1.00</i> | 0.4969 | <i>1.00</i> | 0.6860 | <i>1.00</i> |
| SWR | 0.0055 | <i>1.00</i> | 0.0193 | <i>1.00</i> | 0.0073 | <i>1.00</i> | 0.0049 | <i>0.64</i> | 0.0003 | <i>1.00</i> |
| LWR | -0.0149 | <i>1.00</i> | 0.0001 | <i>0.13</i> | 0.0020 | <i>0.18</i> | -0.0126 | <i>0.52</i> | -0.0013 | <i>0.25</i> |
| WS | -0.1348 | <i>1.00</i> | -0.0685 | <i>0.68</i> | -0.0774 | <i>0.63</i> | -0.3028 | <i>1.00</i> | 0.0181 | <i>0.33</i> |
| SH | 0.4664 | <i>1.00</i> | 0.6658 | <i>1.00</i> | 0.0772 | <i>0.34</i> | 0.1542 | <i>0.53</i> | 0.0507 | <i>0.37</i> |
| P | 0.0003 | <i>0.26</i> | 0.0007 | <i>0.15</i> | -0.0041 | <i>0.38</i> | -0.0004 | <i>1.00</i> | -0.0045 | <i>0.41</i> |

2

1 Table 4. Basin descriptors significantly related to site-specific model coefficients (ANOVA;
 2 $p \leq 0.05$).

| Model | Predictor | Basin Meta-property | FEH Descriptor | Type of Association |
|-------------|-----------|---------------------|----------------|---------------------|
| all seasons | AT | Elevation | ALTBAR | Positive |
| | | Permeability | BFIHOST | Negative |
| | | Size | AREA* | Negative |
| all seasons | SWR | Elevation | ALTBAR | Negative |
| | | Size | AREA | Positive |
| autumn | SWR | Permeability | BFIHOST | Negative |
| | | Size | AREA* | Negative |
| winter | SH | Elevation | PROPWET | Positive |
| | | Permeability | BFIHOST | Negative |
| | | Size | AREA* | Negative |

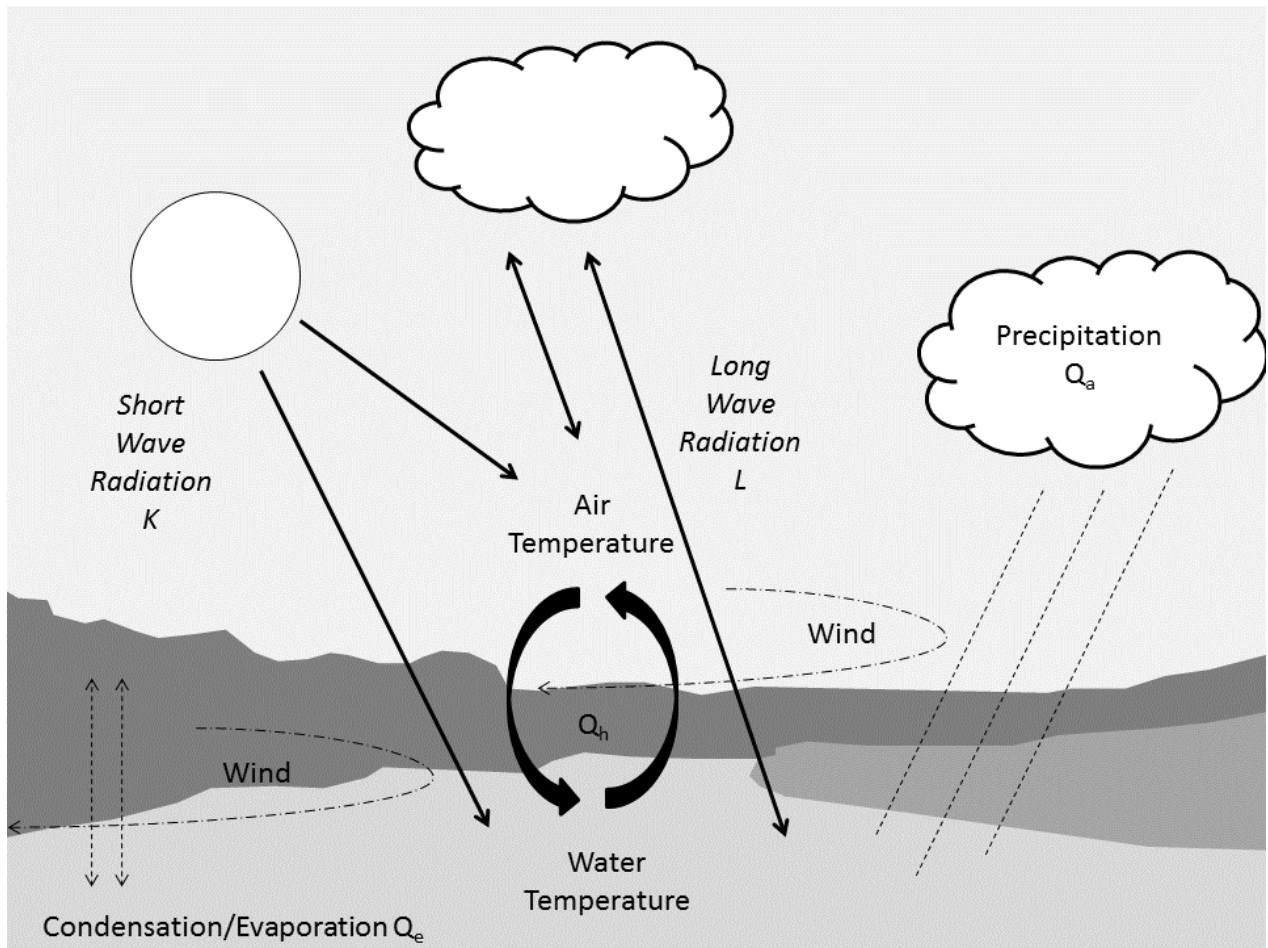
3 *tested on natural log

4

1 Table 5. Linear regressions of site-specific coefficients as function of basin properties
 2 (models ordered by increasing AICc; best model in bold characters, all other models are
 3 within four AICc points of best model hence selected via MMI).

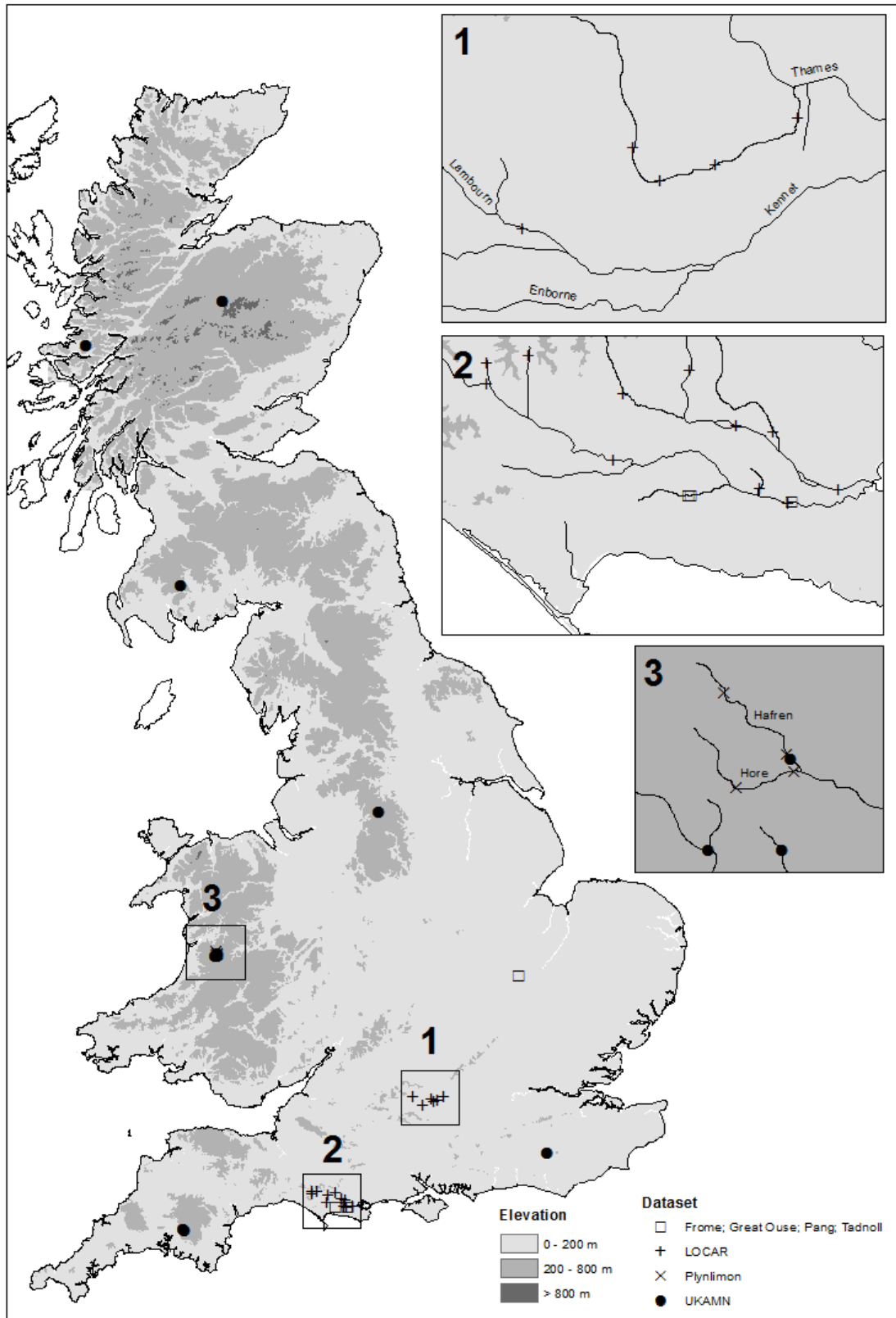
| WT Model | Coefficient | Linear Regression | R ² | AICc |
|-------------|-------------|-------------------------|----------------|---------------|
| all seasons | AT | BFIHOST | 0.370 | -31.3 |
| | | BFIHOST+ALTBAR | 0.403 | -30.1 |
| | | BFIHOST+ln(AREA) | 0.381 | -29.3 |
| | | BFIHOST+ln(AREA)+ALTBAR | 0.411 | -28.3 |
| all seasons | SWR | ALTBAR | 0.177 | -277.5 |
| | | ALTBAR+ln(AREA) | 0.183 | -275.2 |
| | | ln(AREA) | 0.089 | -274.0 |
| autumn | SWR | BFIHOST | 0.125 | -223.1 |
| | | ln(AREA) | 0.115 | -222.6 |
| | | BFIHOST+ln(AREA) | 0.136 | -220.9 |
| winter | SH | BFIHOST | 0.192 | 48.7 |
| | | ln(AREA) | 0.162 | 50.0 |
| | | BFIHOST+ln(AREA) | 0.203 | 50.8 |
| | | BFIHOST+PROPWET | 0.192 | 51.3 |
| | | PROPWET | 0.123 | 51.6 |
| | | PROPWET+ln(AREA) | 0.178 | 51.9 |

4



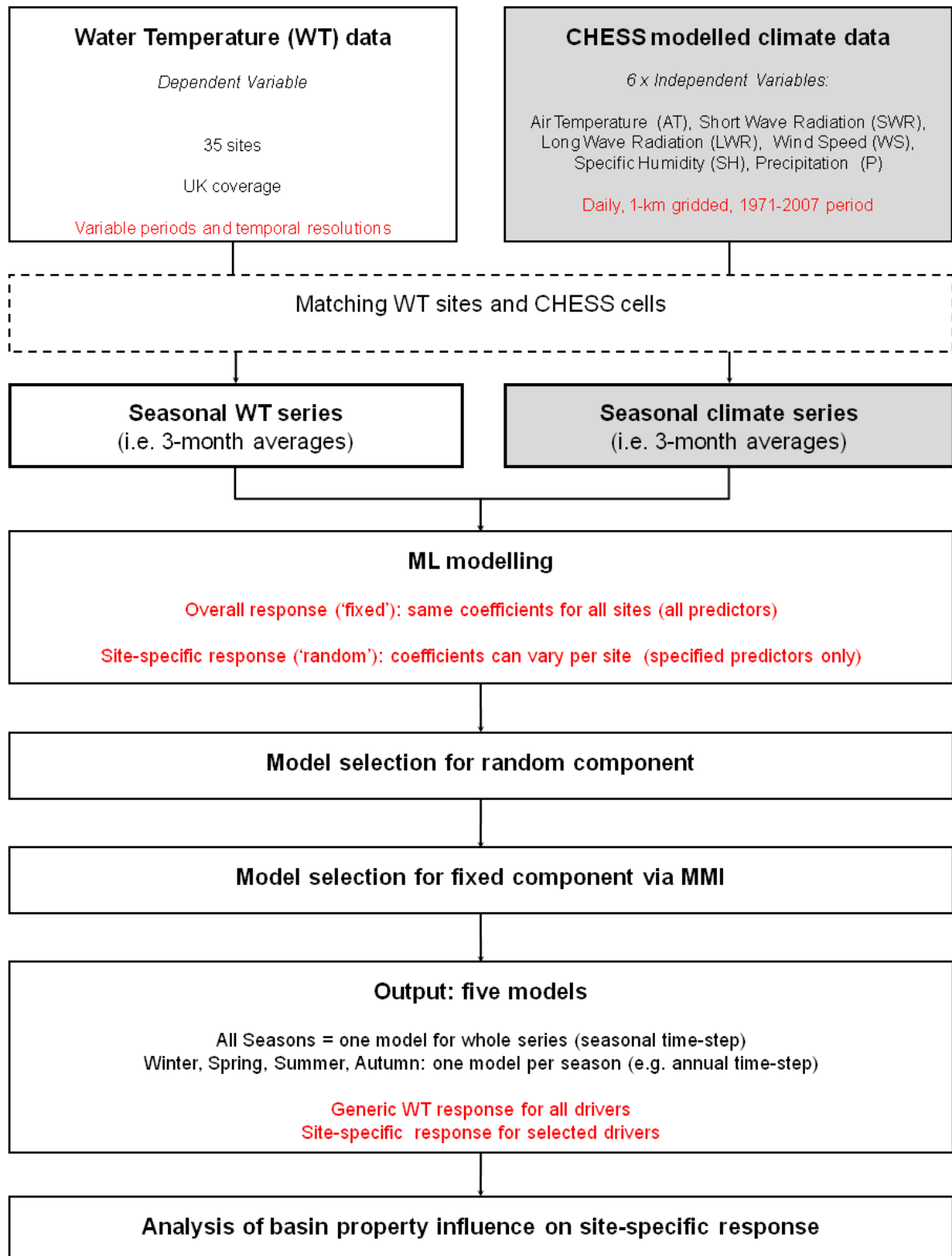
1

2 Figure 1. Multiple interdependent climate controls of water temperature; Q^* is the sum of K
 3 and L corresponds to Q^* (heat flux due to net radiation); Q_a corresponds to advective heat
 4 exchanges, which include precipitation (direct climatic component) and also smaller advective
 5 fluxes due to inflow/outflow into river, hyporheic exchange, or groundwater (not shown on
 6 figure); [adapted from Caissie (2006) and Hannah et al. (2008)].



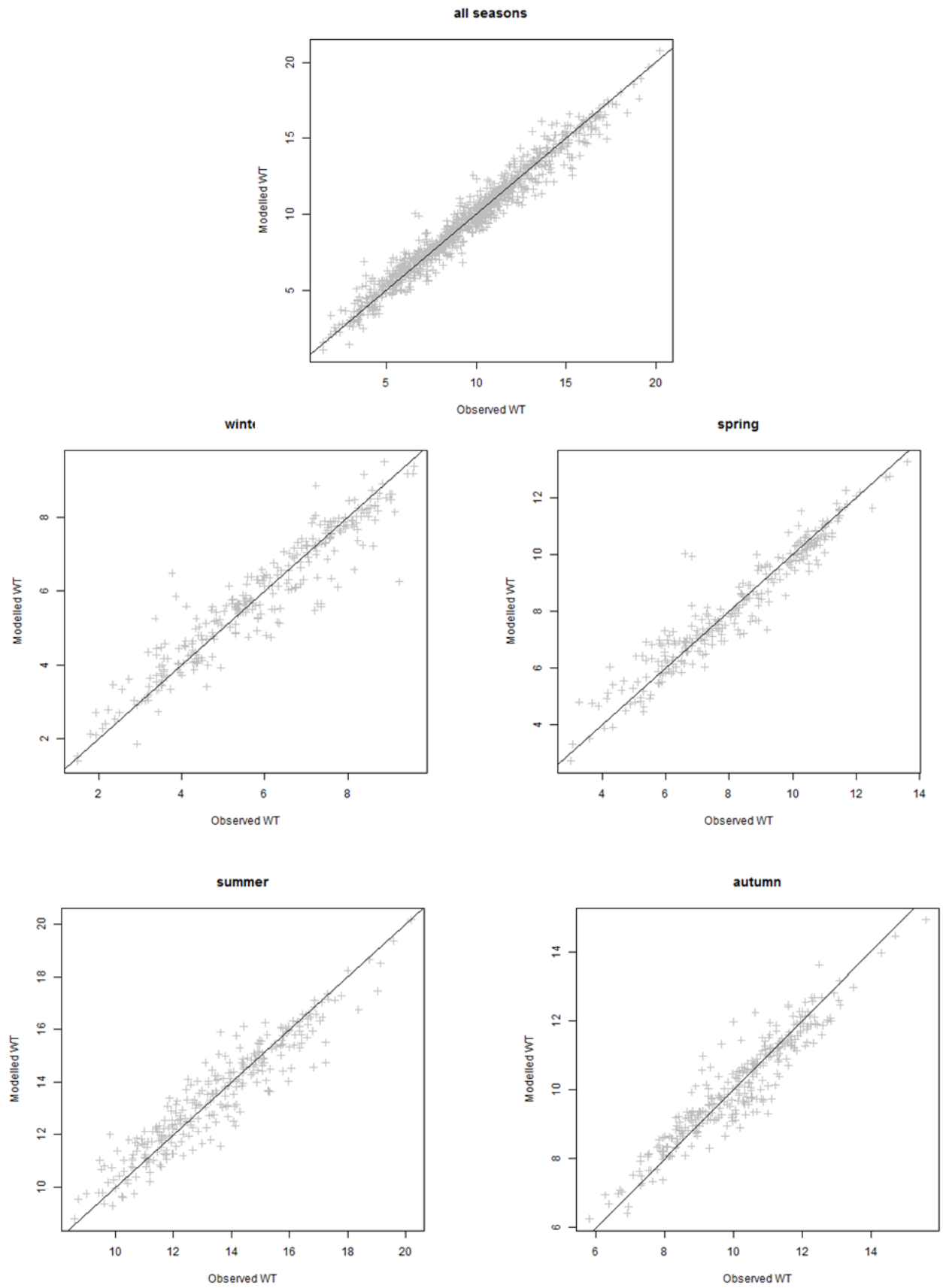
1

2 Figure 2. Location map of the study sites.



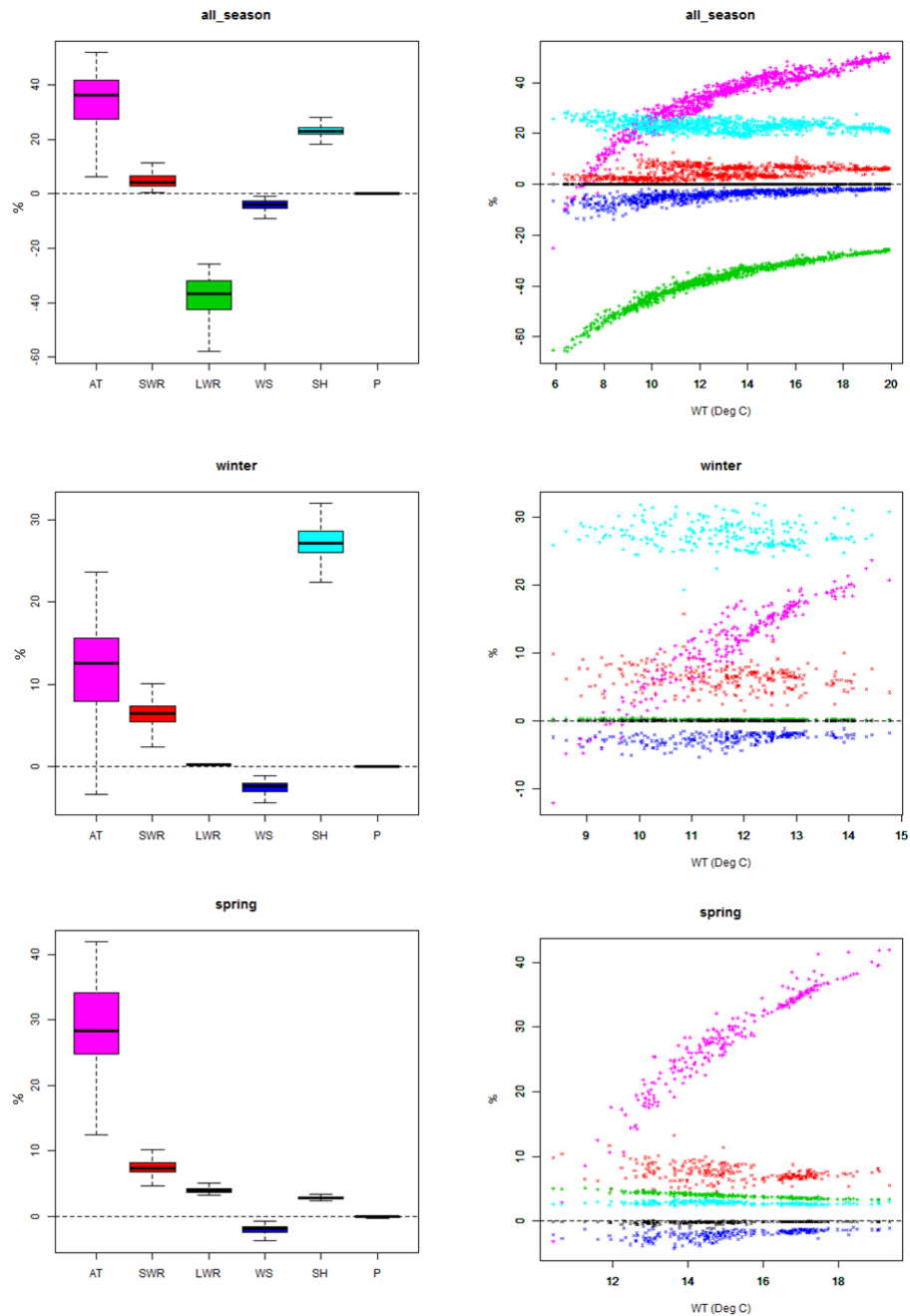
1

2 Figure 3. Study flow chart.



1

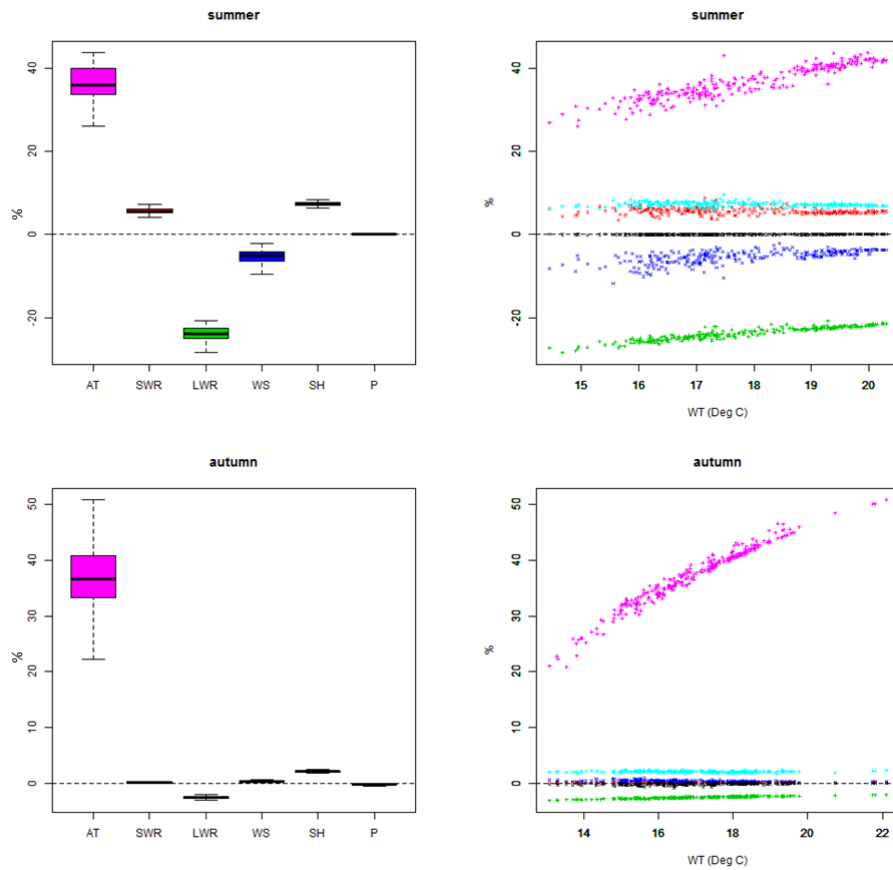
2 Figure 4. Plots of observed and modelled water temperature for the five models.



1

2 Figure 5a. Contributions of climate predictors to modelled WT (all seasons, winter, and
 3 spring): left-hand side, boxplots of percentage contributions of climate predictors to modelled
 4 WT values for all data-points (except outliers); right-hand side, scatter plots of percentage
 5 contributions of climate predictors to modelled WT values against modelled WT values for all
 6 data-points; colour-coding for all plots: magenta, AT; red, SWR; green, LWR; dark blue, WS;
 7 cyan, SH; black, P.

8



1

2 Figure 5b. Contributions of climate predictors to modelled WT (summer and autumn): left-
 3 hand side, boxplots of percentage contributions of climate predictors to modelled WT values
 4 for all data-points (except outliers); right-hand side, scatter plots of percentage contributions
 5 of climate predictors to modelled WT values against modelled WT values for all data-points;
 6 colour-coding for all plots: magenta, AT; red, SWR; green, LWR; dark blue, WS; cyan, SH;
 7 black, P.