



1 **Simulated Hydrologic Response to Projected Changes in Precipitation and** 2 **Temperature in the Congo River Basin**

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8 **Abstract**

9 Assessing the impacts of climate change on water resources of the Congo River Basin (CRB) has
10 attracted widespread interest; however, efforts are hindered by the lack of long-term data availability.
11 Of particular interest to water resource planners and policy makers is the spatiotemporal variability of
12 runoff due to the projected changes in climate. Here, with the aid of a spatially explicit hydrological
13 model forced with precipitation and temperature projections from 25 global climate models (GCMs)
14 under two greenhouse gas emission scenarios, we elucidate the variability in runoff in the near (2016-
15 2035) and mid (2046-2065) 21st century compared to present. Over the equatorial, northern and
16 southwestern CRB, models project an overall increase in precipitation and, subsequently runoff. A
17 decrease in precipitation in the headwater regions of southeastern Congo, leads to a decline in runoff.
18 Climate model selection plays an important role in precipitation projections, for both magnitude and
19 direction of change. Model consensus on the magnitude and the sign (increase or decrease) of change is



20 strong in the equatorial and northern parts of the basin, but weak in the southern basin. The multi-model
21 approach reveals that near-term projections are not impacted by the emission scenarios. However, the
22 mid-term projections depend on the emission scenario. The projected increase in accessible runoff
23 (excluding flood runoff) in most parts of CRB presents new opportunities for augmenting human
24 appropriation of water resources; at the same time, the increase in quick runoff poses new challenges. In
25 the southeast, with the projected decrease, the challenge will be on managing the increasing demands
26 with limited water resources.



27 **1. Introduction**

28 Sustainable management of water resources (e.g. water for food production, reliable and safe
29 drinking water and adequate sanitation) presents immense challenges in many countries in Central
30 Africa where the Congo River Basin (CRB) is located [*IPCC*, 2014; *UNEP*, 2011; *World Food*
31 *Program*, 2014]. The economies of the nine countries that share the waters of the CRB are agriculture-
32 based [*World Bank Group*, 2014] and, therefore, are vulnerable to the impacts of climate change.
33 Despite the abundant water and land resources and favorable climates, the basin countries are net
34 importers of staple food grains, and are far behind in achieving Millennium Development Goals
35 [*Bruinsma*, 2003; *Molden*, 2007; *UNEP*, 2011]. Appropriation of freshwater resources is expected to
36 dominate in the future as the CRB countries develop and expand their economies. At the same time,
37 climate change related risks associated with water resources will also increase significantly [*IPCC*,
38 2014].

39 Historical, present and near-future greenhouse gas emissions in the CRB countries constitute a
40 small fraction of global emissions; however, the impacts of climate change on water resources are
41 expected to be severe due the region's heavy reliance on natural resources (e.g. agriculture and forestry)
42 [*Collier et al.*, 2008; *DeFries and Rosenzweig*, 2010; *Niang et al.*, 2014]. The limited adaptation
43 capacity in the CRB region is expected to cause severe water and food security challenges, which, in
44 turn, can lead to ecosystem degradation and increased greenhouse gas emissions [*Gibbs et al.*, 2010;
45 *IPCC*, 2014; *Malhi and Grace*, 2000].

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48 Competing pressures on water resources in the CRB, including revival of rural economies
49 (largely agriculture based), achieving millennium development goals and environmental conservation,
50 require detailed information on the spatial and temporal variability of water balance components under
51 different climate projection pathways. The effect of climate change on water resources can be
52 investigated by incorporating climate change projections (e.g. precipitation and temperature) in
53 simulation models that reliably represent the spatial and temporal variability of CRB's hydrology. Such
54 a predictive framework could be applied to forecast changes in storage and runoff, and hence freshwater
55 availability, under different socioeconomic pathways that affect climate trajectories.

56 A predictive framework of CRB hydrology is hindered by insufficient data and too few
57 evaluations of models against available data [*Beighley et al.*, 2011; *Wohl et al.*, 2012]. Basin scale water
58 budgets estimated from land-based and satellite-derived precipitation datasets reveal significantly
59 different results, and model-computed stream flows show only qualitative agreement with
60 corresponding observations [*Beighley et al.*, 2011; *Lee et al.*, 2011; *Schuol et al.*, 2008]. *Tshimanga and*
61 *Hughes* [2012; 2014] recently developed a semi-distributed hydrologic model capable of simulating
62 surface-water runoff in CRB. This work crucially identified approaches suitable for approximating
63 runoff generation at the basin scale, although the spatial resolution of the model predictions is rather
64 coarse for supporting regional water management and regional-planning efforts. These regional
65 planning efforts must take into account variability and uncertainties stemming from climate-model
66 selection and projected greenhouse gas emissions, but with respect to hydrological modeling of the
67 CRB these issues have been incompletely addressed.



68 The goals of this study are to i) develop a spatially explicit hydrology model that uses
69 downscaled output from general circulation models (GCMs) and is suitable for simulating the
70 spatiotemporal variability of surface-water runoff throughout the CRB; ii) test the ability of the
71 hydrological model to reproduce historical data on CRB river discharges using both observed and
72 GCM-simulated climate fields; (iii) quantify the sensitivity of hydrologic-model runoff predictions to
73 GCM selection; (iv) use the hydrologic model with individual GCMs and multi-GCM ensembles to
74 forecast near-term (2016-2035) and mid-term (2046-2065) changes in surface-water flows for two
75 greenhouse-gas emission scenarios. We focus on the runoff projections of the hydrologic model because
76 streams and rivers will serve as the primary sources of freshwater targeted for human appropriation
77 [Burney *et al.*, 2013; Molden, 2007].

78 We show that the hydrologic model that is forced with bias-corrected and downscaled outputs
79 from an ensemble of 25 GCMs and two emission scenarios reveal a range of projected changes in
80 precipitation and runoff, and that runoff yields and dynamics are highly sensitive to GCM-forcing. The
81 multi-model mean (MM, unweighted average of all GCMs) and the select-model mean (SM, selected
82 GCMs based on performance in the historical period and realistic representation of certain attributes in
83 the climate system) reveal 1-3% and 4-9% increase in precipitation and runoff, respectively in the CRB
84 in the near-term (2016-2035) relative to reference period (1985-2005). In the mid-term (2036-2065), on
85 the other hand, projections are GCM and emission-scenario dependent, with the high emission RCP85
86 scenario showing the highest increases in precipitation (2-5%) and runoff (7-14%). However, both MM
87 and SM show decreasing precipitation and runoff patterns in the southeastern headwater regions of
88 Congo.



89 2. Materials and Methods

90 2.1 The Congo River Basin

91 The Congo River Basin, with a drainage area of 3.7 million km², is the second largest in the
92 world by area and discharge (Figure 1, average discharge of ~41,000km³/s) [Runge, 2007]. The basin
93 extends from 9°N in the northern hemisphere to 14°S in the southern hemisphere. The longitudinal
94 extent is 11°E to 35°E. Nine countries share the water resources of the basin. Nearly a third of the basin
95 area lies north of the equator. Due to its equatorial location, the basin experiences a range of climate
96 regimes. The northern and southern parts have a strong dry and wet seasons, while the equatorial region
97 has a bimodal rainy season [Bultot and Griffiths, 1972]. Much of the rain in the northern and southern
98 CRB is received in Jun-Jul-Aug (JJA) and Dec-Jan-Feb (DJF), respectively. The primary and secondary
99 rainy seasons in the equatorial region are Sep-Oct-Nov (SON) and Mar-Apr-May (MAM, see [Bultot
100 and Griffiths, 1972] and Supplemental Information (SI) Figure S1). The mean annual precipitation is
101 about 1,500 mm. Rainforests occupy nearly 45% of the basin and are minimally disturbed compared to
102 the Amazon and Southeast Asian forests. Grassland and savannah ecosystems, characterized by the
103 presence of tall grasses, closed-canopy woodlands, low-trees and shrubs, occupy another 45% [Adams
104 et al., 1996; Bartholomé and Belward, 2005; Hansen et al., 2008; Laporte et al., 1998]. Water bodies
105 (lakes and wetlands) occupy nearly 2% of the area, but they are concentrated mostly in the southeastern
106 and western equatorial parts of CRB (Figure 1).

107 In order to compare regional patterns in precipitation and runoff, we divided the basin into four
108 regions: i) Northern Congo (NC), ii) Equatorial Congo (EQ), iii) Southwestern Congo (SW), and iv)



109 Southeastern Congo (SE). The EQ region covers most of the rainforest. The SE region consists of many
110 mostly interconnected lakes and wetlands. Most of the CRB's population is concentrated in the NC, SE
111 and SW regions [*Center for International Earth Science Information Network (CIESIN) Columbia*
112 *University et al., 2005*].

113 ***2.2 Hydrologic model for the Congo River Basin***

114 We used the Soil Water Assessment Tool (SWAT) [*Arnold et al., 1998; Neitsch et al., 2011*] to
115 simulate the hydrology of the CRB for historical climate (1950-2008) and for two scenarios of future
116 climate change. SWAT is a physically based, semi-distributed watershed-scale model that operates at a
117 daily time step. The hydrological processes simulated include evapotranspiration (ET), infiltration,
118 surface and subsurface flows, streamflow routing and groundwater recharge. The model has been
119 successfully employed to simulate river basin hydrology under wide variety of conditions and to
120 investigate climate change effects on water resources [*Faramarzi et al., 2013; Krysanova and White,*
121 *2015; Schuol et al., 2008; Trambauer et al., 2013; van Griensven et al., 2012*].

122 We delineated 1,575 watersheds within the CRB based on topography [*Lehner et al., 2008*].
123 Each watershed consists of one stream section, where near-surface groundwater flow and overland flow
124 accumulate before being transmitted through the stream channel to the watershed outlet. Watersheds
125 are further divided into Hydrologic Response Units (HRUs) based on land cover (16 classes)
126 [*Bartholomé and Belward, 2005*], soils (150 types) [*FAO/IIASA, 2009*] and topography. The runoff
127 generated within each watershed is routed through the stream network using the variable storage routing
128 method. The average watershed size and the number of HRUs within each watershed are 2,300 km² and



129 5, respectively. We also included wetlands and lakes as natural storage structures that regulate the
130 hydrological fluxes at different locations within CRB (Figure 1). Detailed information is not available
131 for the all the lakes; therefore, we incorporated the largest 16 lakes (SI Table S1).

132 Runoff, estimated for each HRU and aggregated at the watershed level, is generated via three
133 pathways: overland flow, lateral subsurface flow through the soil zone and release from shallow
134 groundwater storage. The Curve Number and a kinematic storage routing methods are used to predict
135 the first two, and a nonlinear storage-discharge relationship is used to predict groundwater contribution
136 (see *Arnold et al.* [1998]; *Neitsch et al.* [2011] and SI). A power law relationship is employed to
137 simulate the lake area-volume-discharge. The potential evapotranspiration is estimated using the
138 temperature-based Hargreaves method [*Neitsch et al.*, 2011]. The actual evapotranspiration is estimated
139 based on available soil moisture and the evaporative demand (i.e. potential evapotranspiration) for the
140 day. Additional details on model development are provided in the Supplementary Information.

141 ***2.3 Model simulation of historical hydrology with observed climate forcings***

142 We ran the hydrology model for the period 1950-2008. Estimates of observed daily
143 precipitation, and minimum and maximum temperatures needed to calculate potential
144 evapotranspiration were obtained from the Land Surface Hydrology Group at Princeton University
145 [*Sheffield et al.*, 2006]. In addition, measured monthly streamflows were obtained at 30 gage locations
146 (Figure 1) that had at least 10 years of records [*Global Runoff Data Center.*, 2011; *Lempicka*, 1971;
147 *Vorosmarty et al.*, 1998].



148 The model was calibrated using observed streamflows for the period 1950-1957 at 20 locations.
149 The number of model parameters estimated by calibration varied from 10 to 13, depending on the
150 location of flow gages (e.g. gages with lakes within their catchment area have more parameters). The
151 calibration involved minimizing an objective function defined as the sum-of-squared errors between
152 observed and simulated monthly average total discharge, baseflows (estimated by applying a baseflow
153 separation method [*Nathan and McMahon, 1990*]) and water yield. A Gauss-Marquardt-Levenberg
154 algorithm as implemented in a model independent parameter estimation tool [*Doherty, 2004*] was used
155 to adjust the fitted parameters and minimize the objective function. Parameter estimation was done at
156 two stages. First, parameters for the watersheds in the upstream gages were estimated. Then the
157 parameters for the downstream gages were estimated. To test the calibrated model, simulated stream
158 flows were compared to stream flows measured at the same 20 locations, but during a period outside of
159 calibration (i.e., 1958-2008), as well as at 10 additional locations that were not used in the calibration.

160 ***2.4 Hydrologic Simulations with Simulated Climate Forcing***

161 Historical climate simulations for the period 1950-2005 and climate projections to 2099 for two
162 emission scenarios, medium mitigation (RCP45) and high emission (RCP85), were used as a basis to
163 drive the hydrologic model. The RCP45 scenario employs a range of technologies and policies that
164 stabilize radiative forcing at 4.5 Wm^{-2} by 2100, whereas the RCP85 is a business-as-usual scenario,
165 where CO_2 emissions continue to increase and radiative forcing rises above 8.5 Wm^{-2} [*Moss et al.,*
166 2010; *Taylor et al., 2012*]. We used monthly precipitation and temperature outputs provided by 25
167 GCMs (SI Table S2) for the Fifth Assessment (CMIP5) of the Intergovernmental Panel on Climate
168 Change (IPCC).



169 GCM outputs may exhibit biases in simulating regional climate. These biases, which are
170 attributable to inadequate representation of physical processes by the models, prevent the direct use of
171 GCM output in climate change studies [Randall *et al.*, 2007; Salathé Jr *et al.*, 2007; Wood *et al.*, 2004].
172 Hydrological assessments that use GCM computations as input inherit the biases [Salathé Jr *et al.*,
173 2007; Teutschbein and Seibert, 2012]. To mitigate this problem, we implemented a statistical method
174 [Li *et al.*, 2010] to correct the biases in the monthly historical precipitation and temperature fields. In
175 brief, the method employs a quantile-based mapping of cumulative probability density functions for
176 monthly GCM outputs onto those of gridded observations in the historical period. The bias correction is
177 extended to future projections as well.

178 In order to be used in the CRB's hydrologic model, the simulated monthly precipitation and
179 temperature values must be temporally downscaled to daily values. We used the three-hourly and
180 monthly observed historical data developed for the Global Land Data Assimilation System [Rodell *et al.*
181 *et al.*, 2004; Sheffield *et al.*, 2006] and the bias-corrected monthly simulations to generate three-hourly
182 precipitation and temperature fields, which were subsequently aggregated to obtain daily values (see SI
183 Methods). The hydrological model was forced with the bias-corrected and downscaled daily climate
184 fields for the period 1950-2099. A total of 50 projections (25 RCP45 and 25 RCP85 projections) were
185 compiled and analyzed. Results of individual and multi-model means (un-weighted average of all (MM)
186 and selected (SM) GCM simulations) for the near-term (2016-2035) and mid-term (2046-2065)
187 projections are presented.



188 **3. Results and Discussion**

189 ***3.1 Historical simulations***

190 The GCM-simulated mean annual precipitation (1950-2008) of 1,450 mm/year in the CRB is in
191 good agreement with observations. We compared the GCM simulated annual precipitation with
192 observations within the catchment areas of 30 streamflow gage locations in the historical period (Figure
193 2). The modeled inter-annual variability among the climate models (vertical bars in Figure 2) lies within
194 the range of the observed variability (horizontal bars in Figure 2). The linear-regression slope of 1.16 (p
195 < 0.01 , Figure 2) between the annual observed and MM show that bias-corrected precipitation is slightly
196 over-estimated, but not significantly so. Similar conclusions are drawn for the seasonal precipitation (SI
197 Figure S2) and within the four regions identified in Figure 1 (SI Table S3).

198 We compared the simulated streamflows at 30 locations with observations. The colored points
199 (Figure 3A) compare observed mean annual runoff at the 30 gages with historical simulations (forced
200 with observed climate), while the vertical bars show the modeled inter-annual variability. The shades of
201 colors (from light-green to yellow and red) reveal the model's skill in simulating the monthly flows in
202 the historical period. The Nash-Sutcliff coefficient of efficiency (NSE), a measure of relative magnitude
203 of residual variance compared to the monthly observed streamflow variance [Legates and McCabe,
204 1999; Nash and Sutcliffe, 1970], varies between 0.01 and 0.86 (see color scale in Figure 3A). Seventeen
205 of the 30 gages show NSE greater than or equal to 0.5, a subjective but commonly considered
206 acceptable value for good model performance. Higher NSE values at locations on both sides of the
207 equator, particularly at major tributaries (NSE ~ 0.60 , gages 1 to 8 in Figure 1 and SI Figure S3) suggest



208 that the model reliably predicts streamflows under different climatic conditions. High NSE values also
209 indicate that the seasonal and annual runoff simulations, including the inter-annual variability in the
210 historical period, are in good agreement with observations. The catchment areas of the 30 gages vary
211 between 5,000 km² and 900,000 km² (excluding the last two downstream gages), indicating the
212 hydrology model's skill in simulating runoff satisfactorily over a wide range in watershed areas.

213 Comparison of modeled runoff forced with GCM-simulated and observed climate (Figure 3B)
214 reveals generally acceptable runoff simulations in the CRB. The black dots and red (blue) vertical bars
215 in Figure 3B show multi-model mean and maximum (minimum) range of inter-annual variability in the
216 25 historical GCM simulations. The results suggest that model-data agreement in precipitation translates
217 to similarly acceptable runoff simulations. The mean and the inter-annual variability of runoff within
218 individual models generally lie within the variability of observed runoff.

219 The asymmetric seasonality and magnitude in the rainfall regimes (see SI Figure S1) exhibit
220 strong linkages with runoff. For example, the observed peak runoff at gages 2 and 6 (Figure 1) located
221 north and south of the equator occur near the end of the rainy seasons – during Sep-Oct and Mar-Apr,
222 respectively (Figure 4). Augmented by flows from northern and southern tributaries (e.g. gages 1, 2, 4
223 and 6) and by high precipitation in the tropical equatorial watersheds during the two wet seasons (MAM
224 and SON), the main river flows (~ downstream of gage 3 in Figure 1) show low variability (Figure 4).
225 For example, the coefficient of variation in observed (simulated) monthly flows at the basin outlet (gage
226 8), northern tributary (gage 2) and southern tributary (gage 4) are 0.23 (0.24), 0.77 (0.80) and 0.40
227 (0.48), respectively.



228 Regionally, runoff in the northern (NC) and southern (SW and SE) watersheds is strongly
229 seasonal with long dry seasons, but this is not the case in the equatorial region (Figure 5). Average
230 watershed runoff varies between 20-70 mm during dry seasons to 100-140 mm during wet seasons in
231 the NC, SW and SE. In the equatorial region, seasonal runoff varies between 100-150mm with the
232 highest in SON. Overall, the precipitation-runoff ratio is about 0.30 in the CRB. The accessible runoff
233 (excluding runoff associated with flood events), which can be appropriated for human use, is about 70%
234 of the total runoff.

235 ***3.2 Future projections in precipitation and runoff***

236 The near-term (2016-2035) multi-model mean (MM) change in annual precipitation in the CRB
237 is 1% relative to the reference period 1986-2005, irrespective of the emission scenario. The mid-term
238 (2046-2065) MM projections of annual precipitation change are 1.7% and 2.1% for RCP45 and RCP85,
239 respectively. The inter quartile range (IQR) between model and emission scenarios vary between 1.7-
240 2.6% in the near-term and 2.6-5.8% in the mid-term, indicating considerable variability in rainfall
241 predictions across GCMs. The inter-model variability is larger in the mid-term, and even more so for
242 RCP85 (SI Table S4). Figure 6A-D shows the changes in precipitation in the near- and mid-term by the
243 MM, with indications of spatial patterns under the two emission scenarios. Although overall change in
244 the CRB is positive, the MM shows the decreasing patterns in the southern, and parts of northern CRB.

245 In general, the MM predicts decreasing precipitation in the driest parts of the southern CRB
246 (mostly in SE, but portions of SW as well). Under the RCP85 scenario, the northeastern CRB also
247 experiences reduction in precipitation in the near-term. The areas of decreased precipitation shrink in



248 the SE and SW in the mid-term; however, drying expands in parts of northern CRB under the two
249 emission scenarios (Figure 6C-D). Most GCMs (>15) predict an increase in the NC, EQ and most of
250 SW, whereas majority of them predict a decrease in the SE.

251 We also examined the seasonal changes in the four regions (see SI Table S4). Except in the
252 boreal summer (JJA), precipitation in the SE region is predicted to decrease under RCP45; the change is
253 modest under RCP85. The actual increases in the north (south) during DJF (JJA) are modest (~1mm) as
254 these are the dry seasons. The inter-model variability (SI Table S4) also exceeds the MM in all the
255 seasonal predictions. Notably, the variability is larger in the dry seasons (e.g. DJF predictions in the NC
256 and JJA predictions in the SE and SW). The temporal variation is further examined using monthly
257 climatology in the reference and near- and mid-term projection periods in Figure 7A-D, which also
258 shows the seasonal variations in the major climate regions (e.g. the bimodal rainy season in the EQ and
259 unimodal, but asymmetric wet-dry seasons in the NC, and SW and SE). The inter-model variability is
260 larger in the rainy seasons under RCP85, compared to RCP45. Larger variability under RCP85 highlight
261 that GCMs may have limited skills in simulating precipitation under high greenhouse gas emissions.

262 The spatial pattern of runoff change in the near- and mid-terms indicated by the MM is similar
263 to the precipitation changes, except in the northeastern CRB (3N-9N and 24E-30E) under RCP45
264 (Figure 6E-H). The MM runoff projections show an increase of 5% (IQR 5-7%) and 7% (IQR 7-11%)
265 in the near- and mid-terms under both RCPs. A reduction in runoff occurs in the SE and parts of the SE
266 under both RCPs. The area of decreasing runoff expands in the NC under both emission scenarios in the
267 mid-term. Although northern and equatorial CRB show an overall increase in precipitation, the decrease
268 in runoff in certain parts in the NC and EQ is caused by reduction in seasonal precipitation (i.e. limited



269 moisture supply) rather than an increase in ET; changes in temperature associated with the two emission
270 scenarios are relatively uniform within the GCMs (see *Aloysius et al.* [2016], and *IPCC* [2014]).
271 Larger reduction – up to 15% – in the SE covering most of northern Zambia is due to an overall
272 decrease in precipitation simulated by more the half of the GCMs. The inter-model variability of runoff
273 at monthly time scales in the four regions (Figure 7E-H) is similar to precipitation, but with a time lag.
274 The variability is larger NC and SE compared to EQ and SW during the rainy seasons.

275 Runoff in the EQ region, which receives the highest precipitation (~1,600mm/year) is projected
276 to increase between 4-7%; the increases are prominent in the secondary rainy season (MAM) than the
277 primary (SON, SI Table S5). However, runoff that can be appropriated for human use is generated
278 mostly in the NC, SE and SW, which at present varies from 130mm/year in SE to 250-400mm/year in
279 the NC and SW (SI Table S3). Runoff in the SW is projected to increase by 6% and 10% in the near-
280 and mid-terms. In the NC region, runoff is projected to increase by 2-4% in the near-term and decrease
281 in the mid-term, due to seasonal decreases (JJA and SON) in parts of NC (see Figure 6E-F and SI
282 Tables S5 and S6). Runoff generated in populated areas in the CRB, excluding most parts of EQ, has
283 the potential to support human needs including water supply, sanitation, food production and
284 hydropower; however, only a portion of the total runoff can be sustainably harnessed.

285 ***3.3 Role of multi-model ensembles***

286 Extensive coordination provided by CMIP5 enabled all climate modeling groups to use a
287 standard set of inputs, produce compatible historical and future model runs and provide their best
288 outputs to the IPCC data archives; thus, the multi-model ensemble approach in climate change



289 assessment presents an opportunity to examine outputs from a range of model structure biases, initial
290 conditions, parameter uncertainties in climate model design, which vary within GCMs [Stocker, 2013;
291 Taylor *et al.*, 2012]. Skill in simulating historical precipitation and temperature increases when outputs
292 from different GCMs are added (Pierce *et al.* [2009] and Pincus *et al.* [2008]). Along the same line, we
293 argue that the MM approach reduces future projection uncertainties; however, we should be able to do
294 better with a subset of models. How different are the projections if we use randomly selected subset of
295 models or a subset that realistically simulates certain aspects in the region of interest? First, we examine
296 the effect of MM projections based on outputs from randomly selected models out of the 25 simulations
297 for each RCP (SI Figure S4). Projections under this random model selection method converge to MM
298 projections as more models are added to the pool (compare values in SI Tables S4 and S5). However,
299 with fewer models, projections vary widely and are highly dependent on the choice of GCMs.

300 GCMs generally have large uncertainties in simulating precipitation in the CRB region [Aloysius
301 *et al.*, 2016; Washington *et al.*, 2013]. We examined a subset of models (SM – M6, M7, M18, M23 and
302 M24, see refs. Giorgetta *et al.* [2013]; [Good *et al.*, 2012; Jungclaus *et al.*, 2013]; Meehl *et al.* [2013];
303 Siam *et al.* [2013]; Voldoire *et al.* [2012]; Yukimoto *et al.* [2006] and Aloysius *et al.* [2016] for further
304 comparison of GCM performance) that reliably simulate regional climate as well as large-scale
305 mechanisms that modulate regional climate. Based on diagnostic analyses to identify processes related
306 to biases in atmospheric moisture and soil water balance in the CRB region, Siam *et al.* [2013] identifies
307 few models in SM as good candidates for climate change assessment.

308 Focusing on the NC, SE and SW regions, where human appropriation of runoff is expected to
309 increase, we find that the magnitude of annual projections (both precipitation and runoff) in SM are



310 twice that of MM in the northern region; and the extent of drying in the south is concentrated in the
311 southern upstream watersheds. From the viewpoint of water resources for human appropriation, the
312 changes by seasons are also important. In Figure 8, we highlight the projections in precipitation and
313 runoff for these regions for annual and four seasons in the form of box-and-whisker plots. Both MM
314 and SM means reveal that the projections under RCP45 are slightly higher than RCP85 in NC region,
315 and not so in other regions. Projection uncertainties are the largest in the dry seasons (DJF in the NC
316 and JJA in SW and SE). Figure 8 also shows moderate increase in the SW to decrease or no-change in
317 the SE during the rainy season (DJF). Our estimates also reveal that the upstream watersheds in the SE
318 and parts of SW are projected to get drier with decreasing runoff (SI Table S6).

319 Only part of the runoff may be appropriated for human use. In the CRB, the accessible runoff,
320 excluding runoff associated with flood events, is nearly 70%. Overall, the MM reveals a slightly higher
321 increase in accessible runoff (5% and 7% for near- and mid-terms for both RCPs), compared to
322 quick/flood runoff (3% in the near-term and 5-7% in the mid-term); the increase in the SM are nearly
323 twice that of MM. However, increase in flood runoff is nearly twice that of accessible runoff in the NC
324 region. On the other hand, both SM and MM consistently project drying in the southeastern and
325 northeastern headwater regions (see SI Table S6).

326 Rural population relies on runoff from the nearby streams for water supply. The impacts on rural
327 livelihoods due the changes in runoff are multifaceted. On the one hand, the increases in accessible
328 runoff enhance access to water resources; on the other hand, the increases in quick/flood runoff present
329 additional adaptation challenges. With reduced access to water resources, the impacts on rural
330 livelihoods and the environment in the SE and parts of NC will be severe. Further, we emphasize that



331 GCM-related variability in regional climate change predictions can be constrained by a subset of models
332 based on attributes that modulate large-scale circulations which, in turn affect regional climate (see
333 *Knutti and Sedlacek* [2013] and *Masson and Knutti* [2011]). This approach is particularly useful, since
334 regions like the CRB lack observational data; however, the mechanisms that moderate the climate
335 system, particularly precipitation are fairly well understood [*Hastenrath*, 1984; *Nicholson and Grist*,
336 2003; *Washington et al.*, 2013].

337 ***3.4 Variability in accessible flows***

338 Accessible flows (AF), which exclude flows associated with flood events (see SI Methods), are
339 largely under-utilized in the CRB, but their appropriation is expected to increase in the future, mostly in
340 the NC, SW and SE. We attempt to elucidate the uncertainty associated with climate model and scenario
341 selection by quantifying seasonal and inter-model variability in AF. The seasonal variation of AF at
342 eight major tributaries (identified in Figure 1) reveals substantial inter-model spread in the near-term
343 (Figure 9); the model spread widens in the mid-term (SI Figure S5). The inter-model spread is large
344 during the rainy seasons, in some cases the increase/decrease is over 50% compared to the reference
345 period. The inter-model consensus is strong in most of the northern and southwestern tributaries (e.g.
346 gages 1 and 6) where majority of the GCMs predict increasing precipitation. In contrast, the consensus
347 is weak in the southeastern tributaries (e.g. gage 4). The AF in the main river (gages 3 and 8) is
348 projected to increase in the two rainy seasons and as well as in the dry season (JJA). A close look at
349 tributaries in the NC and SW reveal a weaker agreement on increased AF in the wet season, but a
350 stronger agreement in the dry season (compare gages 1, 2, 6 and 7 in Figure 8). Our results also show



351 that the decrease in precipitation and AF in SE will have marginal effect on downstream flows in the
352 main river.

353 The spatial and temporal variations in the projected AF will have consequences in water
354 resources development and management. For example, uncertainty in predicting the AF near the
355 proposed Grand Inga Hydropower project (near gage 8, *Showers* [2009]) is low compared the
356 predictions near the proposed trans boundary water diversion in the southeast (near gage 5, *Lund et al.*
357 [2007]). Reductions in high and low flows in streams in the SE region will have implications on aquatic
358 life, channel maintenance and lake and wetland flooding.

359 **4. Conclusions**

360 From the point of view of climate change adaptation related to water resources, agriculture, land
361 and ecosystem management, the challenge faced by CRB countries is recognizing the value of making
362 timely decisions in the absence of complete knowledge. To be of use to planners, the spatial and
363 temporal variability of hydro-climatic change in the CRB is presented with sufficient details. Our
364 analyses highlight that precipitation and runoff changes under business-as-usual and avoided
365 greenhouse gas emission scenarios (RCP85 vs. RCP45) are rather similar in the near-term, but deviate
366 in the mid-term, which underscores the need for rapid action on climate change adaptation.
367 Development and implementation of adaptation strategies are often connected with large investments.
368 Precipitation projections by GCMs, and subsequently runoff projections reveal considerable differences,
369 which necessitate the need for multi-model evaluations of climate change impacts. With the focus on
370 runoff – often the primary and easily accessible source of water, we show that accessible water
371 resources increases in most parts of the CRB, with the exception in the southeast and parts of northeast.



372 Comparing the MM and SM projections, the increase in runoff in the mid-term are higher under
373 RCP85 (7-14%) than RCP45 (6-10%), however, both accessible and flood runoffs are increasing. The
374 projected increases in accessible runoff present new opportunities to meet the increasing demands (e.g.
375 drinking water, food production and sanitation), while the enhanced flood runoff poses new challenges.
376 On the other hand, water managers will face different challenges in the southeast where precipitation
377 and runoff are projected to decrease. The analyses presented in our work increase the degree of
378 confidence in using the results for policy and management.

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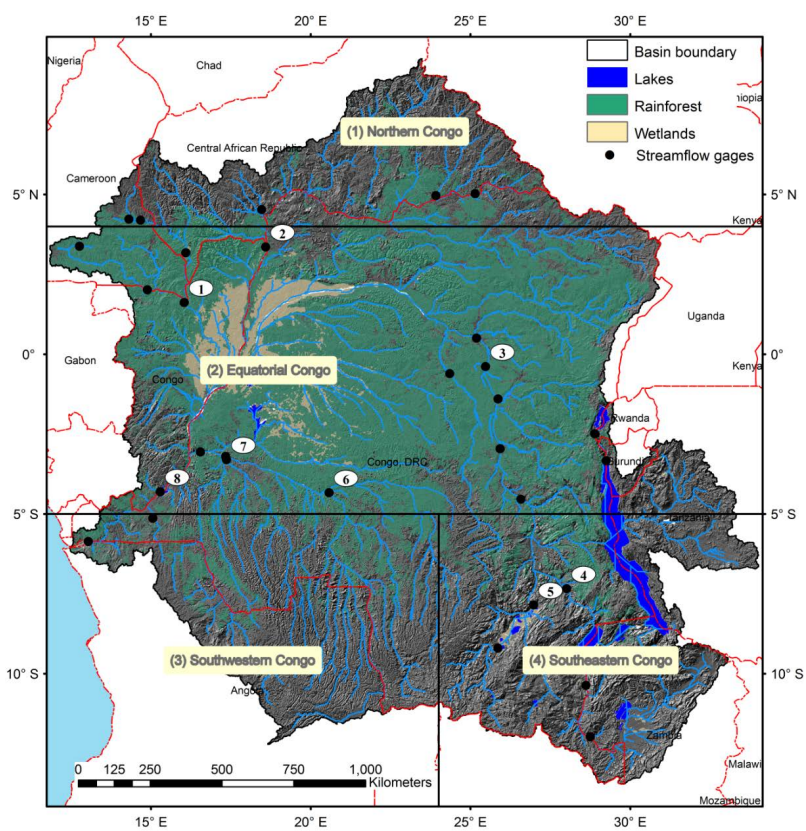
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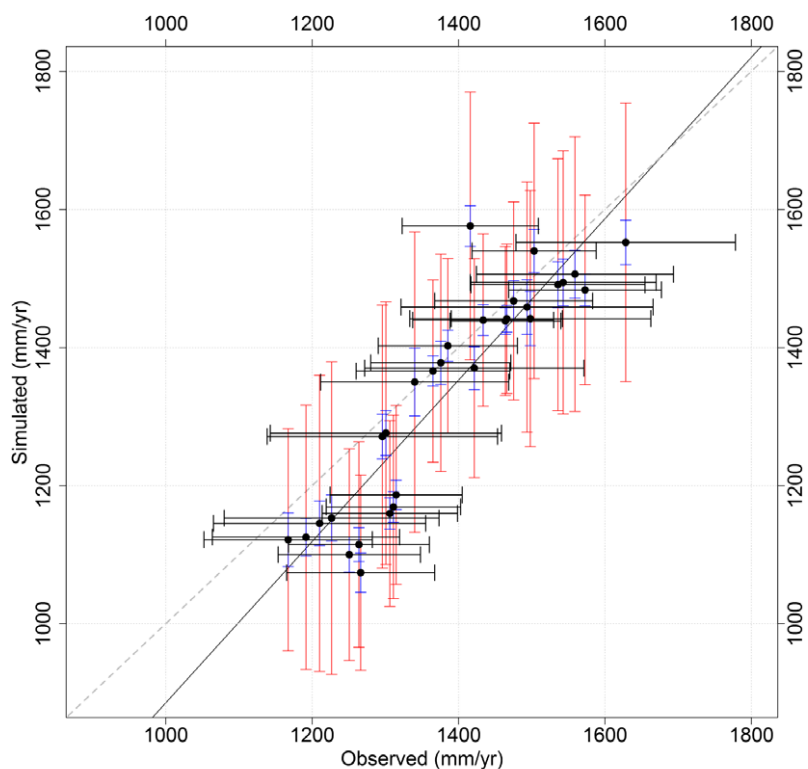


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572 Figure 1 Congo River Basin: the river basin boundary, the extent of the rainforest, locations of lakes and wetlands, and the locations of
573 streamflow gages are shown.

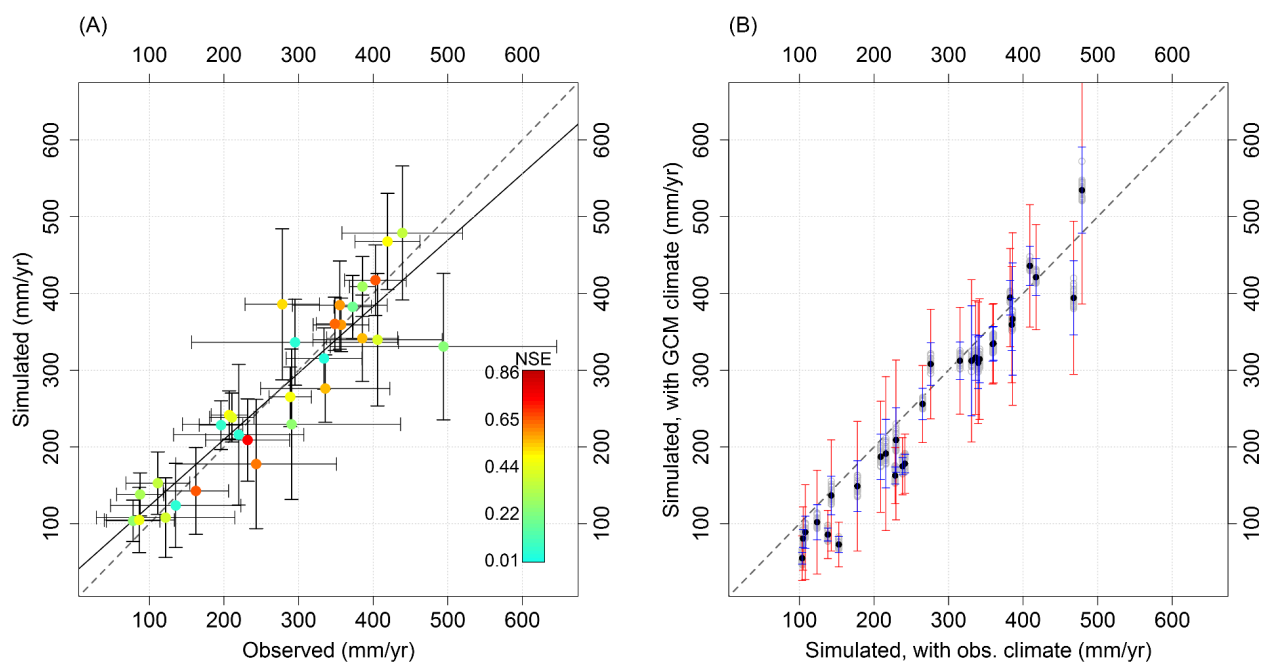


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575 Figure 2 Comparison of observed and GCM-simulated average annual catchment precipitation at 30 gage locations (shown in Figure 1) in
576 the historical period (1950-2005). Black dots compare multi-model means with observed precipitation, black horizontal bars show observed



577 inter-annual variability, and red (blue) vertical bars show maximum (minimum) range of modeled inter-annual variability within the 25
578 climate model outputs.
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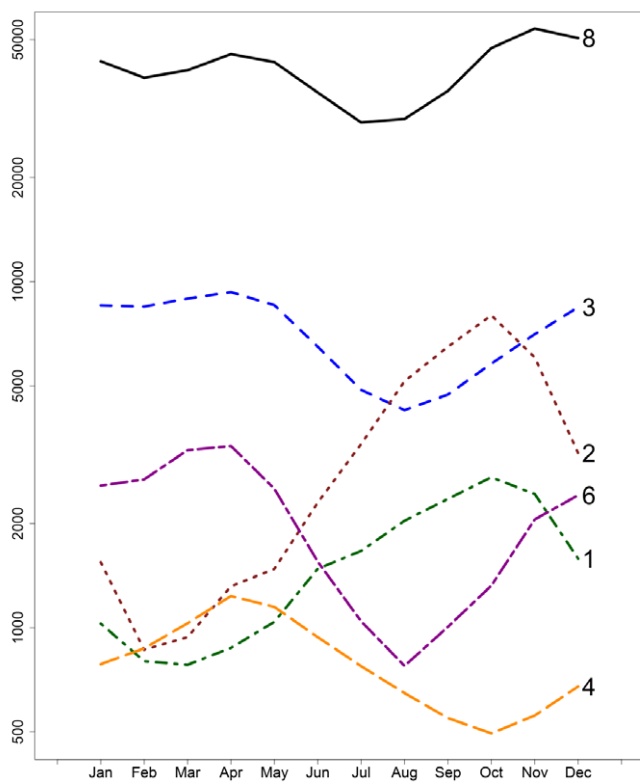


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582 Figure 3 Comparison of observed and simulated annual water yield at the 30 streamflow gage locations (shown in Figure 1). (A) Historical
583 simulations with observed climate: color dots compare the observed and simulated historical runoff, colored shades of the dots (see legend)
584 shows the Nash-Sutcliffe coefficient of efficiency (NSE) of observed vs. simulated monthly streamflows, black horizontal and vertical bars
585 show observed and modeled inter-annual variability. The black line is linear regression fit between annual simulated and observed runoff
586 ($y = 0.865 \pm 0.158x + 36.63, R^2 = 0.82, p < 0.001$), parameter bounds are the 95% confidence interval. (B) Simulations in the historical



587 period with GCM-simulated climate: black dots show the multi-model mean and red (blue) vertical bars show modeled (forced with GCM-
588 simulated historical climate) maximum (minimum) inter-annual variability within the 25 simulations, gray circles show multi-year mean of
589 individual GCM simulations. The gray dotted lines in A and B are 1:1 fit.

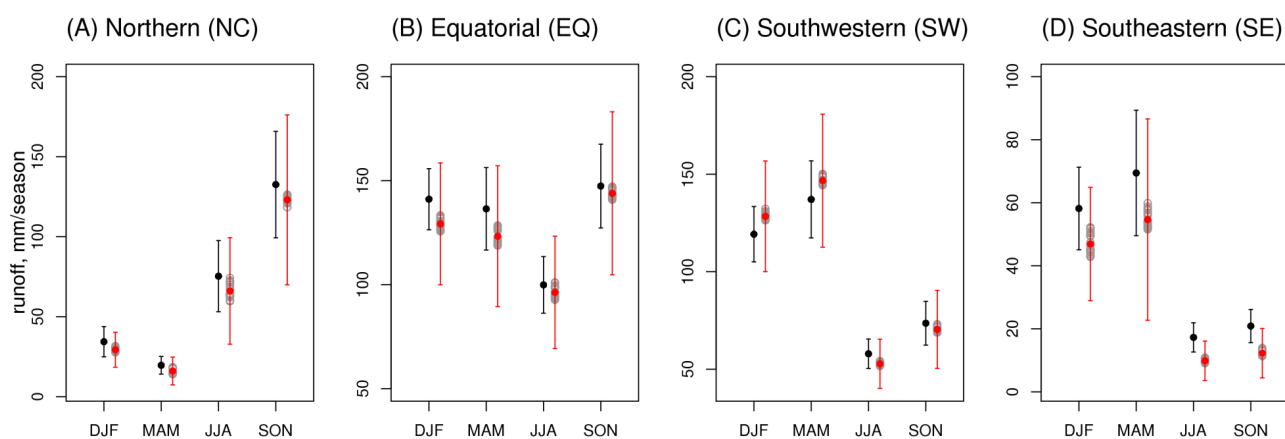


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591 Figure 4 Mean monthly flows at selected tributaries in the CRB. Flows are in m^3/s and gage numbers are identified in Figure 1. Monthly
592 values are based on simulated flows (forced with observed precipitation) for the period 1950-2005.

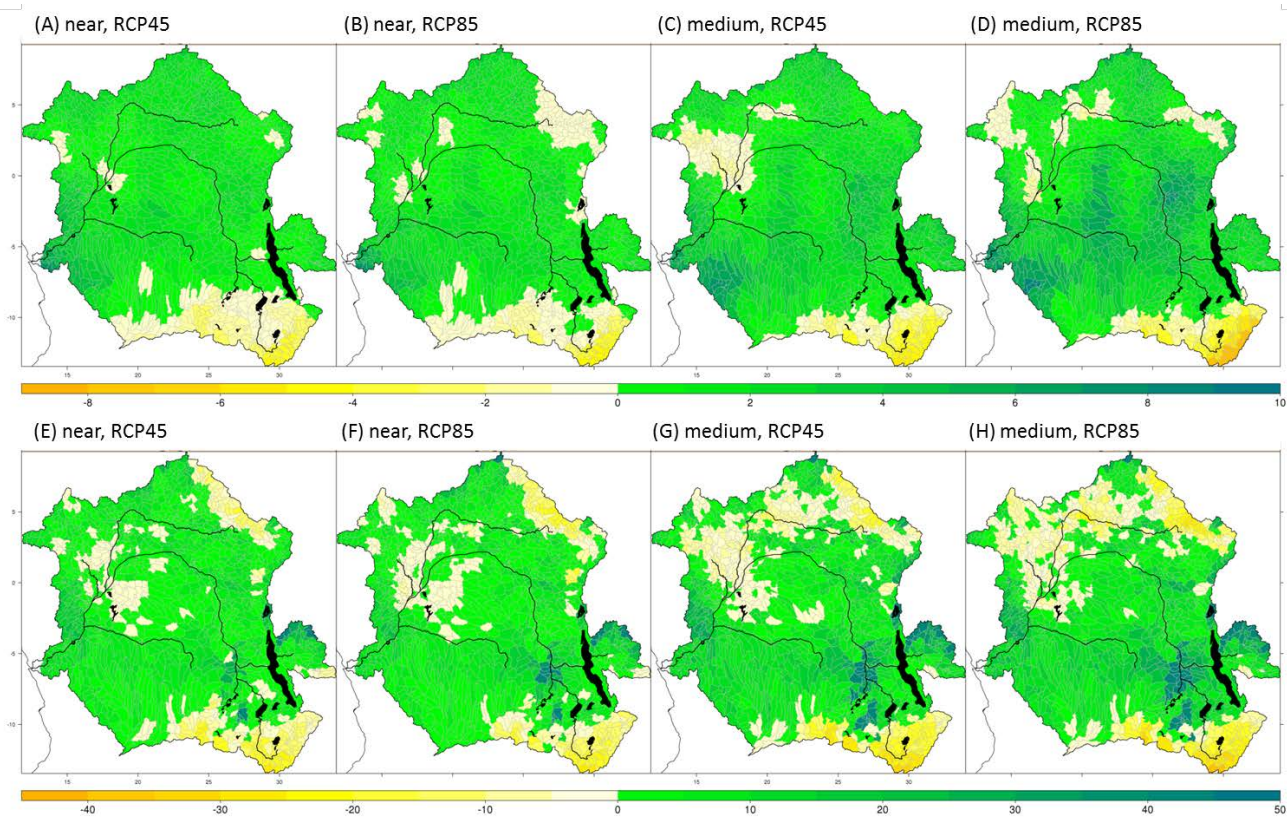


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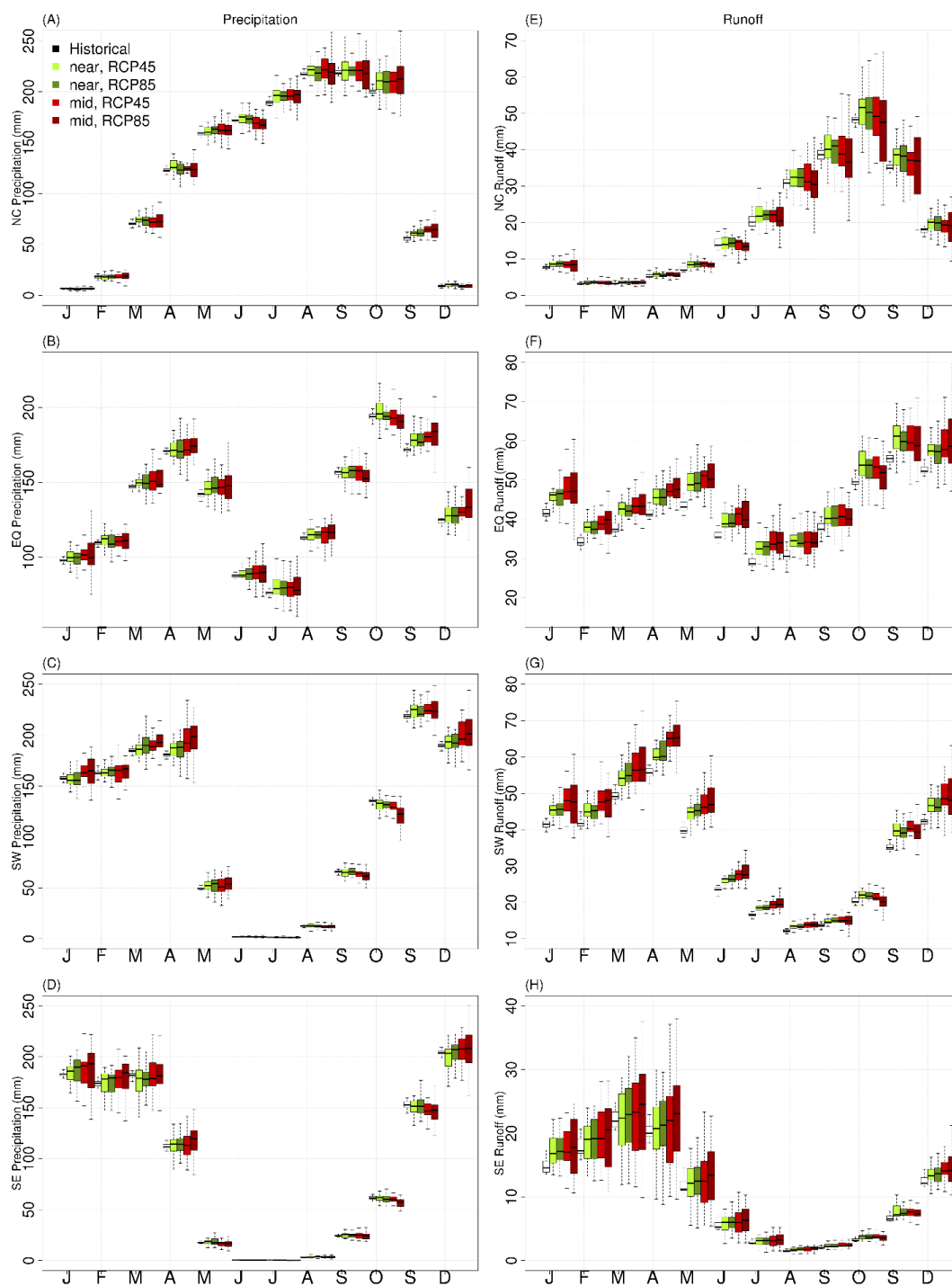
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595 Figure 5 Seasonal variation in runoff in (A) Northern, (B) Equatorial, (C) Southwestern and (D) Southeastern Congo River Basin. Black
596 dots and vertical bars show the modeled inter-annual variability forced with observed climate, red dots show the multi-model mean forced
597 with GCM-simulated climate, red vertical bars show the maximum range of inter-annual variability within the 25 models and the grey open
598 circles show the mean of individual models in the historical period, 1950-2005. Y-axis scale is different for each plot.





600 Figure 6 Multi-model mean changes in annual precipitation (A-D) and runoff (E-H), as percentage, for near-term (2016-2035) and mid-term
601 (2046-2065) relative to the reference period (1986-2005) under the Representative Concentration Pathways, RCP45 and RCP85. The
602 number of GCMs to calculate the multi-model mean is 25. Main rivers and lakes are shown as black lines and polygons.
603



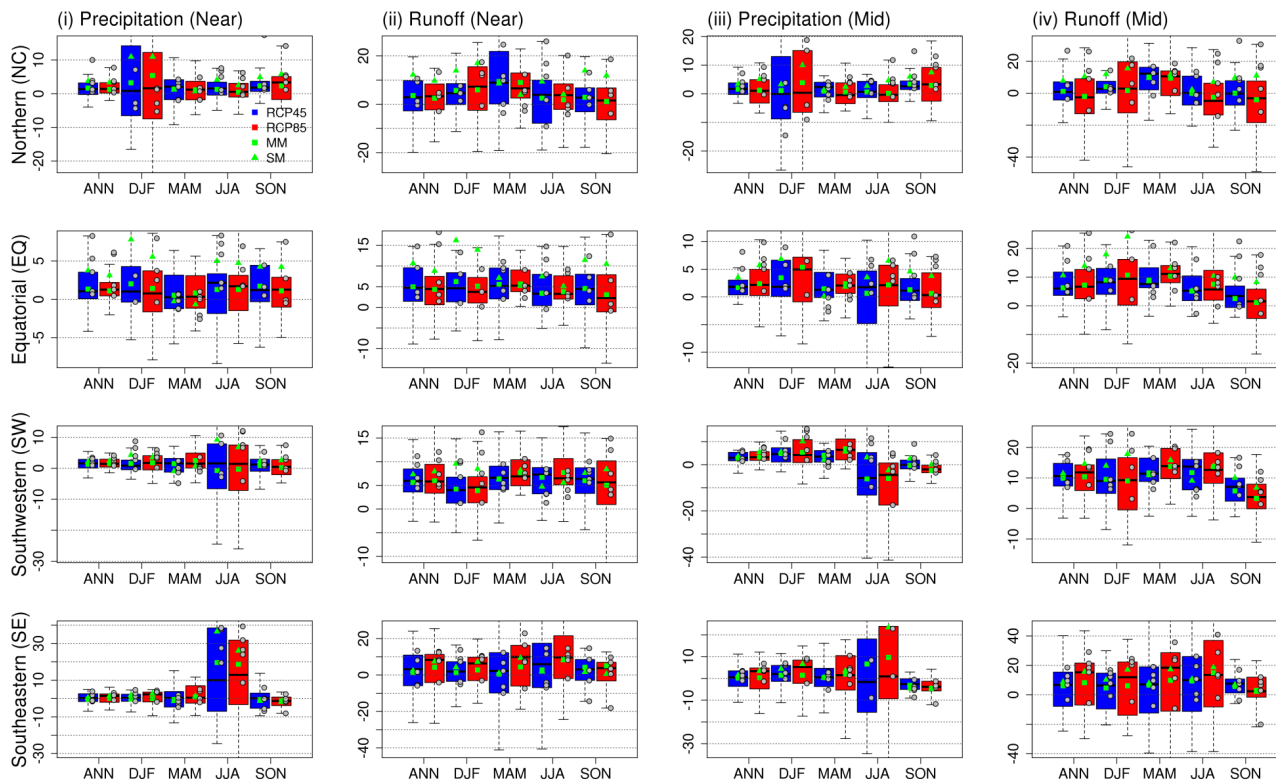


605 Figure 7 Monthly variation of precipitation (A-D) and runoff (E-H) in the four regions shown in
606 Figure 1A. Box-and-whiskers for each month shows the inter-model variability for the historical
607 period (black), near-term RCP45 (light green), near-term RCP85 (dark green), mid-term RCP45
608 (red) and mid-term RCP85 (brown). The upper and lower end of the boxes show the 75th and 25th
609 quartiles, the mid bar in each box shows the median, and the outer lines cover approximately
610 90% of the values. All values are in mm/month.

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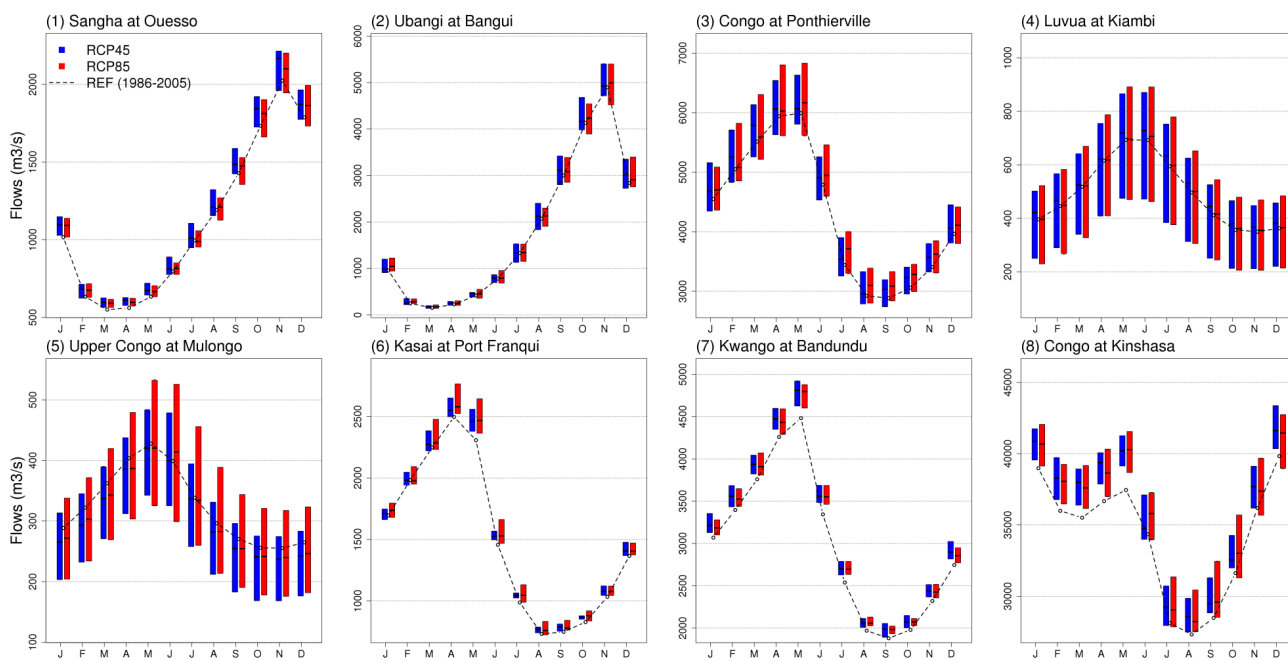
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614 Figure 8 Annual and seasonal precipitation and runoff projections (as percent relative to the reference period 1986-2005) for the
615 northern (NC, first row), equatorial (EQ, second row), southwestern (SW, third row) and southeastern (SE, fourth row) regions.
616 Columns (i) and (iii) are near- and mid-term precipitation projections, and columns (ii) and (iv) are runoff projections. Boxes show the
617 25th and 75th percentiles, the horizontal line within the boxes show median value and the whiskers mark the 5th and 95th percentiles.
618 Green squares (triangles) indicate the MM (SM) means and the grey dots indicate individual models in the SM. All values are
619 computed relative to the reference period 1986-2005 and reported as percentages. The y-axis range is limited to show the smaller
620 boxes. Y-axis values are in percent.
621



622



623

624 Figure 9 Accessible streamflow hydrographs in the near-term at selected locations shown in Figure 1A. Blue (red) bars show the inter-
625 model variability. Dotted black line shows the hydrograph in the reference period (1986-2005). Figure numbers 1-8 coincide with the
626 gage numbers in Figure 1.