

1 **Simulated Hydrologic Response to Projected Changes in Precipitation and**
2 **Temperature in the Congo River Basin**

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13 **Abstract**

14 Despite their global significance, the impacts of climate change on water resources and
15 associated ecosystem services in the Congo River Basin (CRB) have been understudied.
16 Of particular need for decision makers is the availability of spatial and temporal
17 variability of runoff projections. Here, with the aid of a spatially explicit hydrological
18 model forced with precipitation and temperature projections from 25 global climate
19 models (GCMs) under two greenhouse gas emission scenarios, we explore the variability
20 in modeled runoff in the near (2016-2035) and mid (2046-2065) century. We find that
21 total runoff from the CRB is projected to increase by 5% [-9%; 20%] (mean [min and
22 max] across model ensembles) over the next two decades and by 7% [-12%; 24%] by
23 midcentury. Projected changes in runoff from sub-watersheds distributed within the CRB
24 vary in magnitude and sign. Over the equatorial region and in parts of northern and
25 southwestern CRB, most models project an overall increase in precipitation and,
26 subsequently, runoff. A simulated decrease in precipitation leads to a decline in runoff
27 from head-water regions located in the northeastern and southeastern CRB. Climate
28 model selection plays an important role in future projections, for both magnitude and
29 direction of change. The multi-model ensemble approach reveals that precipitation and
30 runoff changes under business-as-usual and avoided greenhouse gas emission scenarios
31 (RCP8.5 vs. RCP4.5) are relatively similar in the near-term, but deviate in the mid-term,
32 which underscores the need for rapid action on climate change adaptation. Our
33 assessment demonstrates the need to include uncertainties in climate model and emission
34 scenario selection during decision making processes related to climate change mitigation
35 and adaptation.

36 **1. Introduction**

37 Sustainable management of water resources for food production, supply of safe
38 drinking water, and provision of adequate sanitation presents immense challenges in
39 many countries of Central Africa where the Congo River Basin (CRB) is located [*IPCC*,
40 2014; *UNEP*, 2011; *World Food Program*, 2014]. The economies of the nine countries
41 that share the waters of the CRB are agriculture-based [*World Bank Group*, 2014] and,
42 therefore, are vulnerable to the impacts of climate change. Despite the abundant water
43 and land resources and favorable climates, the basin countries are net importers of staple
44 food grains and are far behind in achieving Millennium Development Goals [*Bruinsma*,
45 2003; *Molden*, 2007; *UNEP*, 2011]. Appropriation of freshwater resources is expected to
46 grow in the future as the CRB countries develop and expand their economies. At the
47 same time, climate change related risks associated with water resources will also increase
48 significantly [*IPCC*, 2014].

49 Historical, present and near-future greenhouse gas emissions in the CRB countries
50 constitute a small fraction of global emissions; however, the impacts of climate change
51 on water resources are expected to be severe due to the region's heavy reliance on natural
52 resources (e.g. agriculture and forestry) [*Collier et al.*, 2008; *DeFries and Rosenzweig*,
53 2010; *Niang et al.*, 2014]. The limited adaptation capacity in the CRB region is expected
54 to cause water and food security challenges, which, in turn, can lead to ecosystem
55 degradation and increased greenhouse gas emissions [*Gibbs et al.*, 2010; *IPCC*, 2014;
56 *Malhi and Grace*, 2000].

57

58 Strategies for addressing stresses on CRB water resources, including revival of
59 rural economies (largely agriculture based), achieving millennium development goals and
60 environmental conservation, would benefit from detailed information on the spatial and
61 temporal variability of water balance components under different climate projection
62 pathways. The effect of climate change on water resources can be investigated by
63 incorporating climate change projections (e.g. precipitation and temperature) in
64 simulation models that reliably represent the spatial and temporal variability of CRB's
65 hydrology. Such a framework could be applied to project changes in storage and runoff,
66 and hence freshwater availability, under different socioeconomic pathways that affect
67 climate trajectories.

68 A predictive framework of the CRB's hydrology is hindered by insufficient data
69 and too few evaluations of models against available data [*Beighley et al.*, 2011; *Wohl et*
70 *al.*, 2012]. Basin scale water budgets estimated from land-based and satellite-derived
71 precipitation datasets reveal significantly different results, and modeled runoff shows
72 only qualitative agreement with corresponding observations [*Alsdorf et al.*, 2016;
73 *Beighley et al.*, 2011; *Lee et al.*, 2011; *Schuol et al.*, 2008]. *Tshimanga and Hughes*
74 [2012; 2014] recently developed a semi-distributed hydrologic model capable of
75 simulating runoff in CRB. This work crucially identified approaches suitable for
76 approximating runoff generation at the basin scale, although the spatial resolution of the
77 model predictions is rather coarse for supporting regional water management and
78 regional-planning efforts. These regional planning efforts must take into account
79 variability and uncertainties stemming from climate-model selection and projected

80 greenhouse gas emissions, but, with respect to freshwater runoff projections for the CRB,
81 these issues have been inadequately addressed.

82 The goals of this study are to i) develop a spatially explicit hydrology model that
83 uses downscaled output from general circulation models (GCMs) and is suitable for
84 simulating the spatiotemporal variability of runoff in the CRB; ii) test the ability of the
85 hydrological model to reproduce historical data on CRB river discharges using both
86 observed and GCM-simulated climate fields; (iii) quantify the sensitivity and uncertainty
87 of modeled runoff projections to GCM selection; (iv) use the hydrologic model with
88 individual GCMs and multi-GCM ensembles to project near-term (2016-2035) and mid-
89 term (2046-2065) changes in runoff for two greenhouse-gas emission scenarios. We
90 focus on the runoff projections because streams and rivers will serve as the primary
91 sources of freshwater targeted for human appropriation [*Burney et al.*, 2013; *Molden*,
92 2007].

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94 **2. Materials and Methods**

95 ***2.1 The Congo River Basin***

96 The Congo River Basin, with a drainage area of 3.7 million km², is the second
97 largest in the world by area and discharge (Figure 1, average discharge of ~41,000 m³s⁻¹)
98 [*Runge*, 2007]. The basin extends from 9°N to 14°S, while the longitudinal extent is 11°E
99 to 35°E. Nine countries share the water resources of the basin. Nearly a third of the basin
100 area lies north of the equator. Due to its equatorial location, the basin experiences a range
101 of climate regimes. The northern and southern parts have strong dry and wet seasons,

102 while the equatorial region has a bimodal rainy season [*Bultot and Griffiths*, 1972]. Much
103 of the rain in the northern and southern CRB occurs in Jun-Jul-Aug (JJA) and Dec-Jan-
104 Feb (DJF), respectively. The primary and secondary rainy seasons in the equatorial
105 region are Sep-Oct-Nov (SON) and Mar-Apr-May (MAM, see *Bultot and Griffiths*
106 [1972] and Supplemental Information (SI) Figure S1). The mean annual precipitation is
107 about 1,500 mm. Rainforests occupy nearly 45% of the basin and are minimally disturbed
108 compared to the Amazon and Southeast Asian forests [*Gibbs et al.*, 2010; *Nilsson et al.*,
109 2005]. Grassland and savannah ecosystems, characterized by the presence of tall grasses,
110 closed-canopy woodlands, low-trees and shrubs, occupy another 45% [*Adams et al.*,
111 1996; *Bartholomé and Belward*, 2005; *Hansen et al.*, 2008; *Laporte et al.*, 1998]. Water
112 bodies (lakes and wetlands) occupy nearly 2% of the area and are concentrated mostly in
113 the southeastern and western equatorial parts of the CRB (Figure 1). Soils of the CRB
114 vary from highly weathered and leached Ultisols to Alfisols, Inceptisols and Oxisols
115 [*FAO/IIASA*, 2009; *Matungulu*, 1992]. Most soils are deep and well-drained, but they are
116 very acidic, deficient in nutrients, have low capacity to supply potassium and exhibit a
117 low cation exchange capacity [*Matungulu*, 1992].

118 In order to compare regional patterns in precipitation and runoff, we divided the
119 basin into four regions: i) Northern Congo (NC), ii) Equatorial Congo (EQ), iii)
120 Southwestern Congo (SW), and iv) Southeastern Congo (SE). The EQ region covers most
121 of the rainforest. The SE region consists of numerous interconnected lakes and wetlands.
122 Most of the CRB's population is concentrated in the NC, SE and SW regions [*Center for*
123 *International Earth Science Information Network (CIESIN) Columbia University et al.*,
124 2005].

125 ***2.2 Hydrologic model for the Congo River Basin***

126 We used the Soil Water Assessment Tool (SWAT), a physically based, semi-
127 distributed watershed-scale model that operates at a daily time step [Arnold *et al.*, 1998;
128 Neitsch *et al.*, 2011]. The hydrological processes simulated include evapotranspiration,
129 infiltration, surface and subsurface flows, streamflow routing and groundwater recharge.
130 The model has been successfully employed to simulate river basin hydrology under wide
131 variety of conditions and to investigate climate change effects on water resources
132 [Faramarzi *et al.*, 2013; Krysanova and White, 2015; Schuol *et al.*, 2008; Trambauer *et*
133 *al.*, 2013; van Griensven *et al.*, 2012].

134 We delineated 1,575 watersheds within the CRB based on topography [Lehner *et*
135 *al.*, 2008]. Watershed elevations varied between 15 m and 2,700 m with a mean value of
136 680 m above mean sea level. Each watershed consisted of one stream section, where
137 near-surface groundwater flow and overland flow accumulated before being transmitted
138 through the stream channel to the watershed outlet. Watersheds were further divided into
139 Hydrologic Response Units (HRUs) based on land cover (16 classes, Bartholomé and
140 Belward [2005]), soils (150 types, FAO/IIASA [2009]) and topography. The runoff
141 generated within each watershed was routed through the stream network using the
142 variable storage routing method. The average watershed size and the number of HRUs
143 within each watershed were 2,300 km² and 5, respectively. We also included wetlands
144 and lakes as natural storage structures that regulated the hydrological fluxes at different
145 locations within CRB (Figure 1). Detailed information was not available for the all the
146 lakes; therefore, we incorporated the largest 16 lakes (SI Table S1).

147 Simulated runoff, estimated for each HRU and aggregated at the watershed level,
148 was generated via three pathways: overland flow, lateral subsurface flow through the soil
149 zone and release from shallow groundwater storage. The Curve Number and a kinematic
150 storage routing methods were used to simulate overland and lateral subsurface flows, and
151 a nonlinear storage-discharge relationship was used to simulate groundwater contribution
152 (see *Arnold et al.* [1998]; *Neitsch et al.* [2011] and SI). A power law relationship was
153 employed to simulate the lake area-volume-discharge (see SI and *Neitsch et al.* [2011]).
154 The potential evapotranspiration was estimated using the temperature-based Hargreaves
155 method [*Neitsch et al.*, 2011]. The actual evapotranspiration was estimated based on
156 available soil moisture and the evaporative demand (i.e. potential evapotranspiration) for
157 the day. Additional details on model development and calibration are provided in the
158 Supplementary Information.

159 ***2.3 Model simulation of historical hydrology with observed climate data***

160 We ran the hydrology model for the period 1950-2008. Estimates of observed
161 daily precipitation, and minimum and maximum temperatures needed to calculate
162 potential evapotranspiration were obtained from the Land Surface Hydrology Group at
163 Princeton University [*Sheffield et al.*, 2006]. In addition, measured monthly stream flows
164 were obtained at 30 gage locations (Figure 1) that had at least 10 years of records [*Global*
165 *Runoff Data Center.*, 2011; *Lempicka*, 1971; *Vorosmarty et al.*, 1998].

166 The model was calibrated using observed streamflows for the period 1950-1957 at
167 20 locations. The locations of streamflow gages and time period were chosen such that
168 they adequately captured climatic, land cover and topographic variability within the
169 CRB. The number of model parameters estimated by calibration varied from 10 to 13,

170 depending on the location of flow gages (e.g. gages with lakes within their catchment
171 area have more parameters). The calibration involved minimizing an objective function
172 defined as the sum-of-squared errors between observed and simulated monthly average
173 total discharge, baseflows (estimated by the baseflow separation method of *Nathan and*
174 *McMahon* [1990]) and water yield. The Gauss-Marquardt-Levenberg algorithm, as
175 implemented in a model independent parameter estimation tool [*Doherty*, 2004], was
176 used to adjust the fitted parameters and minimize the objective function. Parameter
177 estimation was done in two stages. First, parameters for the watersheds in the upstream
178 gages were estimated. Then the parameters for the downstream gages were estimated. To
179 test the calibrated model, simulated stream flows were compared to stream flows
180 measured at the same 20 locations, but during a period outside of calibration (i.e., 1958-
181 2008), as well as at 10 additional locations that were not used in the calibration.

182 ***2.4 Hydrologic Simulations with Simulated Climate***

183 Historical climate simulations for the period 1950-2005 and climate projections
184 to 2065 for two greenhouse gas emission scenarios (Representative Concentration
185 Pathway – RCP), mid-range mitigation emission (RCP4.5) and high emission (RCP8.5),
186 were used to drive the hydrologic model. The RCP4.5 scenario employs a range of
187 technologies and policies that reduce greenhouse gas emissions and stabilize radiative
188 forcing at 4.5 W m^{-2} by 2100, whereas the RCP8.5 is a business-as-usual scenario, where
189 greenhouse gas emissions continue to increase and radiative forcing rises above 8.5 W m^{-2}
190 [*Moss et al.*, 2010; *Taylor et al.*, 2012]. We used monthly precipitation and temperature
191 outputs provided by 25 GCMs (Table 1) for the Fifth Assessment (CMIP5) of the
192 Intergovernmental Panel on Climate Change (IPCC).

193 GCM outputs may exhibit biases in simulating regional climate. These biases,
194 which are attributable to inadequate representation of physical processes by the models,
195 prevent the direct use of GCM output in climate change studies [*Randall et al.*, 2007;
196 *Salathé Jr et al.*, 2007; *Wood et al.*, 2004]. Hydrological assessments that use GCM
197 computations as input inherit the biases [*Salathé Jr et al.*, 2007; *Teutschbein and Seibert*,
198 2012]. To mitigate this problem, we implemented a statistical method [*Li et al.*, 2010] to
199 bias-correct the monthly historical precipitation and temperature data. In brief, the
200 method employs a quantile-based mapping of cumulative probability density functions
201 for monthly GCM outputs onto those of gridded observations in the historical period. The
202 bias correction is extended to future projections as well. The observed data used in the
203 modeling and bias-correction has some limitations. That is, the number of precipitation
204 gages decreased over the period from 1950 to 1990, and the density of the gages is sparse
205 compared to the size of the river basin (see Section 3.4 and SI). However, we assumed
206 that the available ground-based observations combined with satellite-based and reanalysis
207 data adequately captured the spatiotemporal variability in precipitation. Studies by
208 *Munzimi et al.* [2014] and *Nicholson* [2000] draw similar conclusions.

209 The simulated monthly precipitation and temperature values were temporally
210 downscaled to daily values for use in the CRB hydrology model. We used the three-
211 hourly and monthly observed historical data developed for the Global Land Data
212 Assimilation System [*Rodell et al.*, 2004; *Sheffield et al.*, 2006] and the bias-corrected
213 monthly simulations to generate three-hourly precipitation and temperature data, which
214 were subsequently aggregated to obtain daily values (see SI Methods). The hydrological
215 model was forced with the bias-corrected and downscaled daily climate for the period

216 1950-2065. Due to the lack of information on the effect of CO₂ on the 16 land cover
217 classes simulated, the ambient CO₂ concentration was maintained at 330 ppm throughout
218 the simulation period. A recent study suggests that, in tropical rainforest catchments,
219 elevated CO₂ has little impact on evapotranspiration, but results in increased plant
220 assimilation and light use efficiency [Yang *et al.*, 2016].

221 A total of 50 projections (25 RCP4.5 and 25 RCP8.5 projections, see Table 1)
222 were compiled and analyzed. Results of individual and multi-model means (un-weighted
223 average of all models (MM) and an average of select models (SM)) for the near-term
224 (2016-2035) and mid-term (2046-2065) projections are presented.

225 Accessible flows (AF), which exclude surface runoff associated the storm events,
226 were estimated by applying a baseflow separation method described in *Nathan and*
227 *McMahon* [1990].

228 **3. Results and Discussion**

229 ***3.1 Historical simulations***

230 Historical observations of average annual precipitation vary from 1,100 mm in the
231 southeastern portion of the CRB to 1,600 mm in the CRB's equatorial region. We
232 compared the GCM-simulated annual precipitation and its inter-annual variability during
233 the historical period with observations from 30 locations within the CRB (Figure 2). The
234 simulated inter-annual variability among the climate models (vertical bars in Figure 2)
235 lies within the range of the observed variability (horizontal bars in Figure 2). The linear-
236 regression slope of 1.16 ($p < 0.001$, Figure 2) between the annual observed and the multi-
237 model mean shows that bias-corrected precipitation is slightly over-estimated, but not

238 significantly so. Observations of seasonal precipitation are reproduced similarly well by
239 the GCM models (SI Figure S2 and Table S2). The good agreement between GCM-
240 simulated and observed rainfall is expected given our bias correction of the GCM output.

241 We compared the simulated monthly runoff at 30 locations with observations
242 (Figure 3A and SI Table S3). The colored points compare observed mean annual runoff
243 at the 30 gage locations with historical simulations (hydrological model forced with
244 observed climate), while the vertical and horizontal bars show the modeled and observed
245 inter-annual variability, respectively. The shades of colors (from light-green to yellow
246 and red) reveal the model's skill in simulating the monthly flows in the historical period.
247 The Nash-Sutcliff coefficient of efficiency (NSE), a measure of relative magnitude of
248 residual variance compared to the monthly observed streamflow variance [*Legates and*
249 *McCabe*, 1999; *Nash and Sutcliffe*, 1970], varies between 0.01 and 0.86 (color scale in
250 Figure 3A). The NSE can range from negative infinity to 1, with values between 0.5 and
251 1 considered satisfactory [*Moriasi et al.*, 2007]. Seventeen of the 30 gages show NSE
252 greater than or equal to 0.5. Higher NSE values at locations on both sides of the equator,
253 particularly at major tributaries (NSE ~ 0.60, gages 1 to 8 in Figure 1 and SI Figure S3)
254 suggest that the model reliably simulates stream flows under different climatic
255 conditions. High NSE values also indicate that the seasonal and annual runoff
256 simulations, including the inter-annual variability in the historical period, are in good
257 agreement with observations. The catchment areas of the 30 gages vary between 5,000
258 km² and 900,000 km² (excluding the last two downstream gages, SI Table S3) and
259 encompass a range of land cover and climatic regions on both sides of the equator; thus,

260 the hydrology model exhibits reasonable skill in simulating runoff over a wide range of
261 watershed conditions.

262 Comparison of modeled runoff forced with GCM-simulated and observed climate
263 (Figure 3B) reveals generally acceptable runoff simulations in the CRB. The black dots
264 and red (blue) vertical bars in Figure 3B show multi-model mean and maximum
265 (minimum) range of inter-annual variability in the 25 historical GCM simulations. The
266 results suggest that model-data agreement in precipitation translates to similarly
267 acceptable runoff simulations.

268 Runoff patterns reflect seasonal rainfall that varies asymmetrically on either side
269 of the equator (see SI Figure S1). For example, the observed peak runoff at streamflow
270 gages 2 and 6 located north and south of the equator (see Figure 1) occur near the end of
271 the rainy seasons – during Sep-Oct and Mar-Apr, respectively (Figure 4). Augmented by
272 flows from northern and southern tributaries (e.g. gages 1, 2, 4 and 6) and by high
273 precipitation in the tropical equatorial watersheds during the two wet seasons (MAM and
274 SON), the main river flows (downstream of gage 3 in Figure 1) show low variability
275 (Figure 4). Differences in stream-flow variability between the main river and its
276 tributaries are illustrated through comparison of the coefficient of variation, which equals
277 only 0.23 at the basin outlet (gage 8), but 0.77 and 0.40 along the northern tributary (gage
278 2) and southern tributary (gage 4), respectively.

279 Runoff in the northern (NC) and southern (SW and SE) watersheds is strongly
280 seasonal with long dry seasons, but this is not the case in the equatorial region (Figure 5).
281 Average watershed runoff varies between 20-70 mm during dry seasons to 100-140 mm
282 during wet seasons in the NC, SW and SE. In the equatorial region, seasonal runoff varies

283 between 100-150 mm with the highest in SON. Overall, the precipitation-runoff ratio is
284 about 0.30 in the CRB. The accessible runoff (AF) that can be appropriated for human
285 use, and hence excludes runoff associated with flood events, is about 70% of the total
286 runoff.

287 ***3.2 Future projections in precipitation and runoff***

288 ***3.2.1 Precipitation***

289 *Aloysius et al. [2016]* showed that GCM projections of temperature generally
290 increase under both emission scenarios in line with the historical upward trend for Africa
291 [*Hulme, 2001*]; however, precipitation projections contain large uncertainties. The
292 modeled near-term (2016-2035) precipitation projections in the CRB vary between -4%
293 and 6% with a multi-model mean (MM) change of 1% under the two emission scenarios
294 relative to the reference period (1986-2005). Regionally, the northern CRB shows the
295 largest annual increase in precipitation followed by southwestern and equatorial regions.
296 However, the inter-model variability is larger than the MM in all regions, indicating
297 greater projection uncertainties in both emission scenarios (Table 2). The mid-term
298 (2046-2065) projections of annual precipitation vary between -5% and 9%, with the MM
299 of 1.7% and 2.1% for RCP4.5 and RCP8.5, respectively. More than 70% of the
300 ensembles in both RCPs project an increase in annual precipitation in the CRB over the
301 mid-term. The multi-model mean of all ensembles that project an increase (decrease) in
302 precipitation is 2.7% (-2.4%) for RCP4.5 and 4.0% (-2.9%) for RCP8.5.

303 The GCMs project considerable spatial and seasonal variations in precipitation
304 (Table 2 and Figure 6). However, the standard deviation of annual and seasonal

305 projections within the four regions exceed or equal the MM, indicating little agreement
306 on the direction of change. The spatial patterns (Figure 6), on the other hand, show
307 regions where modeled projections strongly agree on increasing or decreasing
308 precipitation. For example, decreasing precipitation is projected in most of the headwater
309 catchments in the southern and parts of northern CRB.

310 In general, the GCMs project decreasing precipitation in the driest parts of the
311 southern CRB (mostly in Southeastern CRB, but portions of Southwestern as well).
312 Under the RCP8.5 scenario, parts of northeastern CRB also experiences a reduction in
313 precipitation in the near-term (regions in Figure 6 with fewer GCMs projecting an
314 increase in precipitation). The areas of decreased precipitation shrink in the southeast and
315 southwest in the mid-term; however, drying expands in parts of northern CRB under the
316 two emission scenarios. Most GCMs (14-20) project a precipitation increase outside of
317 southeastern CRB.

318 Inter-model variability in precipitation projections are sensitive to seasons and
319 climate region (Figure 7A-D). At monthly scale, the northern and southern regions
320 receive less than 50 mm of precipitation for at least three months, which persist in the
321 future under both emission scenarios. The dry season is more prolonged in the southeast
322 compared to the rest of the CRB. The inter-model variability is larger in the rainy seasons
323 under RCP8.5, compared to RCP4.5. Larger variability under RCP8.5 highlights that
324 GCMs may have limited skill in simulating precipitation under high greenhouse gas
325 emissions.

326 3.2.2 *Runoff*

327 In general, modeled runoff increases, and its inter-annual variability within GCMs
328 is larger during high flow periods compared to low flow periods, except in the equatorial
329 region (Figure 7E-H, see Figure 1 for regions). The model projection uncertainty
330 increases towards the middle of century, particularly under the RCP8.5 emission
331 scenario. The temporal patterns of runoff in the near- and mid-terms are similar to the
332 precipitation patterns, but with a time lag. As with precipitation, the monthly runoff
333 shows prolonged periods of low values in the northern and southern CRB in both
334 projection periods. Parts of northern, southeastern, and southwestern CRB also show
335 reduced runoff projections relative to the reference period under both RCPs; these
336 reductions are predominantly in the areas where fewer GCMs agree on the increase in
337 modeled precipitation (see Figure 6 and SI Tables S4 and S5). The area of decreasing
338 runoff expands in the northern CRB under both emission scenarios in the mid-term (see
339 Figure 6, which shows that more models agree on decreasing precipitation in parts of
340 northern CRB that subsequently results in decreasing runoff). Although the northern and
341 equatorial CRB show an overall increase in precipitation, the decrease in runoff in certain
342 parts in the northern and equatorial CRB is caused by reduction in seasonal precipitation
343 (e.g. JJA and SON, see SI Table S4). A larger reduction – up to 15% – in the southeastern
344 CRB covering most of northern Zambia is due to an overall decrease in precipitation
345 simulated by more the half of the GCMs (see Figure 6).

346 The multi-model mean of total runoff from the CRB shows an increase of 5%
347 ($\pm 6\%$, one standard deviation, $n = 25$) and 7% ($\pm 8\%$) in the near- and mid-terms under
348 both RCPs relative to the reference period (1986-2005). Annual runoff in the equatorial

349 region, which receives the highest precipitation, is projected to increase by up to 5%
350 ($\pm 7\%$) in the near-term to 6% ($\pm 8\%$) and 7% ($\pm 9\%$) in the mid-term for RCP4.5 and
351 RCP8.5, respectively. The increases are greater in the secondary rainy season (MAM)
352 than the primary (SON, Figure 7 B and F). The majority of the ensembles project an
353 increase in monthly runoff within the equatorial CRB, with the RCP8.5 ensembles
354 exhibiting larger variability (Figure 7F).

355 Runoff that can be appropriated for human use is generated mostly in the
356 northern, southeastern and southwestern CRB, which at present varies from 130 mm/year
357 in the southeastern CRB to 250-400mm/year in the northeastern and southwestern CRB.
358 Runoff is projected to increase in all three of these regions. However, the inter-model
359 variability is greater than twice the MM in nearly all the regions and during all four
360 seasons (Figure 8 and Table 3). In most cases, the largest uncertainties are in non-rainy
361 seasons and under high emission RCP8.5 scenario (e.g. DJF in the northern CRB, Figure
362 8B, and JJA in the southeastern CRB, Figure 8H).

363 ***3.3 Variability in accessible flows***

364 Only part of the runoff may be appropriated for human use. In the CRB, the
365 accessible runoff (AF), excluding runoff associated with flood events, is about 70%. The
366 AF is largely under-utilized, but its appropriation is expected to increase in the future,
367 mostly in the populated areas of northern, southwestern and southeastern CRB. We
368 present the uncertainty associated with GCM and scenario selection by quantifying
369 seasonal and inter-model variability in AF at eight major tributaries (identified in Figure
370 1) that drain watersheds across a range of climatic regions on both sides of the equator
371 (Figure 9). Modeled AF exhibits substantial inter-model spread in the near-term and

372 widens in the mid-term (SI Figure S4). The inter-model variability is larger during high
373 flow periods compared to low flow periods.

374 Following the general pattern of increasing precipitation and runoff in the
375 northern and southwestern watersheds, we find that AF increases with greater model
376 agreement in tributaries that drain these watersheds (e.g. gages 1, 2 and 6 in Figure 9). A
377 closer look at tributaries in the northern and southwestern CRB reveals better agreement
378 of increased AF during low flow periods compared to high flow periods (compare gages
379 1, 2, 6 and 7 in Figure 9). In contrast, tributaries that drain southeastern watersheds
380 exhibit greater variability in modeled AF with majority of the ensembles projecting a
381 reduction (e.g. gages 4 and 5 in Figure 7). Overall, the AF in the main tributary (gages 3
382 and 8) is projected to increase, partly due to the contributions from the northern and
383 southwestern tributaries. The decrease in modeled precipitation and AF in the
384 southeastern CRB appears to have marginal effect on downstream flows in the main
385 river.

386 The spatial and temporal variations in the projected AF have consequence for
387 water resources development and management. For example, projections of increased
388 AF near the proposed Grand Inga Hydropower project (near gage 8, *Showers* [2009]) is
389 robust compared to the large variations near the proposed trans boundary water diversion
390 in the southeast (near gage 5, *Lund et al.* [2007]). Reductions in high and low flows in
391 streams in the southeastern region will have implications to aquatic life, channel
392 maintenance and lake and wetland flooding.

393 ***3.4 Sources of uncertainty***

394 The sources of uncertainty encountered in this work can be broadly categorized
395 into i) observational uncertainty, particularly the sparse and declining network of
396 precipitation and stream flow gages and ii) model uncertainty, which in the GCMs
397 includes model structure, model initialization, parameterization and climate sensitivity
398 (i.e., the response of global temperature to a doubling of CO₂ relative to pre-industrial
399 levels). We used only one hydrological model, which is also a source of uncertainty.
400 However, variation in climate signals between GCMs and emissions scenarios,
401 particularly precipitation projections, may be a larger source of uncertainty than the
402 choice of hydrology model [*Thompson et al.*, 2014; *Vetter et al.*, 2016].

403 The climate data used for bias-correction and for historical hydrologic simulations
404 has its own uncertainties. Gage-based, satellite derived data and reanalysis outputs are
405 used to develop the historical observations [*Sheffield et al.*, 2006]. Precipitation gages
406 were more numerous at the beginning of the simulation period and declined in number
407 toward the end of the 20th century [*Mitchell and Jones*, 2005; *Washington et al.*, 2013].
408 Available gage data varied both spatially and temporally (SI Figure S5 and S6). For
409 example, the equatorial region – nearly a third of CRB – had about 70 rain gages through
410 early 1990s, but only 10% of these were functioning by 2005 (SI Figure S5). The
411 southeastern and parts of northern CRB also had good rainfall-gage coverage, which has
412 similarly decreased since the 1990s [*Mitchell and Jones*, 2005]. However, satellite-based
413 and sparsely distributed gage data has been used to demonstrate that spatiotemporal
414 distribution of precipitation can be sufficiently described in the CRB region [*Munzimi et*

415 *al.*, 2014; *Nicholson*, 2000; *Samba et al.*, 2008]. We assume that, even with these
416 limitations, the available historical data are adequate to model the hydrology of the CRB.

417 In addition to climate data, observed runoff data are another limitation that could
418 restrict proper validation of hydrological models. However, we utilized a time period
419 (1950-1959) when the CRB had maximum coverage of both precipitation and runoff data
420 to calibrate and test the hydrology model (for example see evidence in *L'vovich* [1979]).
421 Where available, we used additional runoff data to further test model outputs during the
422 historical period. The runoff gage locations are distributed within the CRB (see Figure 1)
423 such that they adequately capture climatic, land cover and topographic variability.

424 For future projections, the largest sources of uncertainty arise from the GCMs and
425 emission scenarios. GCMs do not consistently capture observed rainfall seasonality and
426 heavy rainfall in regions of the central CRB, and in most cases do not show key features
427 such as seasonality and heavy rainfall regions of central CRB [*Aloysius et al.*, 2016;
428 *Washington et al.*, 2013]. The biases in the GCM-simulated precipitation, particularly in
429 the tropical regions, have been attributed to multiple factors including poorly resolved
430 physical processes such as the mesoscale convection systems, inadequately resolved
431 topography due to the coarse horizontal resolution and inadequate observations to
432 constrain parameterization schemes. These limitations are unavoidable in the current set
433 of CMIP5 projections. We assume that the combination of GCM outputs used in our
434 work, and the bias-correction method, which maintains key statistical properties in the
435 original GCM outputs (see *Aloysius et al.* [2016] and *Li et al.* [2010]), adequately
436 captures the uncertainties in GCM and emission scenarios. Based on monthly

437 precipitation climatology, *Aloysius et al.* [2016] found no significant shift in seasonality
438 in modeled future precipitation projections.

439 The range of projections presented here for the two emission scenarios also
440 highlight the uncertainties planners would encounter when making climate-related
441 decisions. For example, broader agreement on increase in runoff in parts of the CRB
442 would help make robust decisions, whereas weaker agreement in the southern CRB calls
443 for greater scrutiny of regional climate. Generally, the MM approach reduces the
444 uncertainty because averaging tends to offset errors across models. However, one could
445 also ask whether this approach would work with fewer models.

446 *Washington et al.* [2013] and *Siam et al.* [2013] presented evidence that
447 evaluating atmospheric moisture flux (which is modulated by wind patterns and
448 humidity) and soil water balance is a better way to diagnose GCM performance in data
449 scarce regions like the CRB. *Balas et al.* [2007], *Hirst and Hastenrath* [1983] and
450 *Nicholson and Dezfuli* [2013] have shown that sea surface temperature (SST) anomalies
451 in the Atlantic and Indian ocean sectors could partly explain precipitation in the CRB
452 region. Along the same lines, *Aloysius et al.* [2016] identified five models as suitable
453 candidates. We examined this subset of GCM projections (M6, M7, M18, M23 and
454 M24), which we refer to as the select model average, or SM (see refs. *Giorgetta et al.*
455 [2013]; *Good et al.* [2012]; *Jungclaus et al.* [2013]; *Meehl et al.* [2013]; *Siam et al.*
456 [2013]; *Voldoire et al.* [2012]; *Yukimoto et al.* [2006] and *Aloysius et al.* [2016] for
457 further comparison of GCM performance). By evaluating seasonal atmospheric moisture
458 and soil water balance in 11 CMIP5 GCMs in the CRB and Nile River basin regions,

459 *Siam et al.* [2013] identified M7, M18 and M24 as good candidates for climate change
460 assessment.

461 Focusing on the northern, southeastern and southwestern CRB, where human
462 appropriation of runoff is expected to increase, we find that the projected increase of
463 annual runoff in SM is more than that of MM (20% to 50% higher in the SM compared to
464 MM). And, the extent of reduction in runoff in the south is concentrated in the
465 southeastern upstream watersheds in both MM and SM, although the magnitude of
466 decrease is smaller in SM (SI Table S4 and S5).

467 From the viewpoint of water resources for human appropriation, the changes by
468 seasons are also important. Future changes and uncertainties in modeled seasonal runoff
469 averaged over the four regions are presented Figure 8. In comparison with the CRB
470 projections, the uncertainties in sub-regions are larger. Nearly all the MM and SM
471 projections show an increase in runoff in all the four seasons; however, there is
472 substantial inter-model variability. The uncertainties increase under the high emission
473 RCP8.5 scenario during the mid-century. Considering the southeastern region as an
474 example, under RCP8.5 emission scenario, uncertainties reported as one inter-model
475 standard deviation in the mid-term are $\pm 20\%$, $\pm 27\%$, $\pm 26\%$ and $\pm 13\%$, respectively for
476 DJF, MAM, JJA and SON seasons, and are greater than the MM and SM. Further, the
477 deviation of uncertainty within the sub-regions of CRB increases under high emission
478 RCP8.5 scenario. For example, the inter-model projection ranges are larger in the
479 northern and southeastern CRB (Figure 8 B and H) compared to the equatorial and
480 southwestern CRB (Figure 8 D and F). Finally, the uncertainty assessment presented here
481 represents climate model uncertainty arising from emission scenarios, different response

482 to the same external forcing, different model structures and parameterization schemes.
483 While these uncertainties in projections pose challenges for robust decision making, they
484 also provide insights into where further research might be most valuable.

485 **4. Conclusions**

486 From the point of view of climate change adaptation related to water resources,
487 agriculture, and ecosystem management, the challenge faced by CRB countries is
488 recognizing the value of making timely decisions in the absence of complete knowledge.
489 In some settings, climate change presents opportunities as well as threats in the CRB. The
490 projected increases in accessible runoff imply new opportunities to meet increasing
491 demands (e.g. drinking water, food production and sanitation), while the enhanced flood
492 runoff would pose new challenges (e.g. flood protection and erosion control). On the
493 other hand, water managers could face different challenges in the southeast where
494 precipitation and runoff are projected to decrease.

495 GCM-related variability in regional climate projections could be constrained by a
496 subset of models based on attributes that modulate large-scale circulations (see *Knutti*
497 *and Sedlacek* [2013] and *Masson and Knutti* [2011]). This approach is particularly useful
498 because regions like the CRB lack complete coverage of observational data but the
499 mechanisms that moderate the climate system, particularly precipitation, are fairly well
500 understood [*Hastenrath*, 1984; *Nicholson and Grist*, 2003; *Washington et al.*, 2013]. Yet,
501 the span in rainfall predictions among the MM, SM, and individual GCMs suggest that,
502 despite the advances in climate modeling, significant uncertainties in precipitation
503 projections for CRB persist.

504 Rather than providing a narrow pathway for decision-making, our results, for the
505 first time for CRB, provide a framework to i) assess implications under various climate
506 model assumptions and uncertainties, ii) characterize and expose vulnerabilities and iii)
507 provide ways to guide the search for impact-oriented and actionable policy alternatives,
508 as emphasized by *Weaver et al.* [2013]. Projections and associated uncertainties vary
509 widely by region within the CRB, and therefore diverse but robust planning strategies
510 might be advisable within the river basin. We emphasize that projections provided here
511 could be considered as part of the process of incorporating multiple stressors into climate
512 change adaptation and engaging stakeholders in the decision making process.

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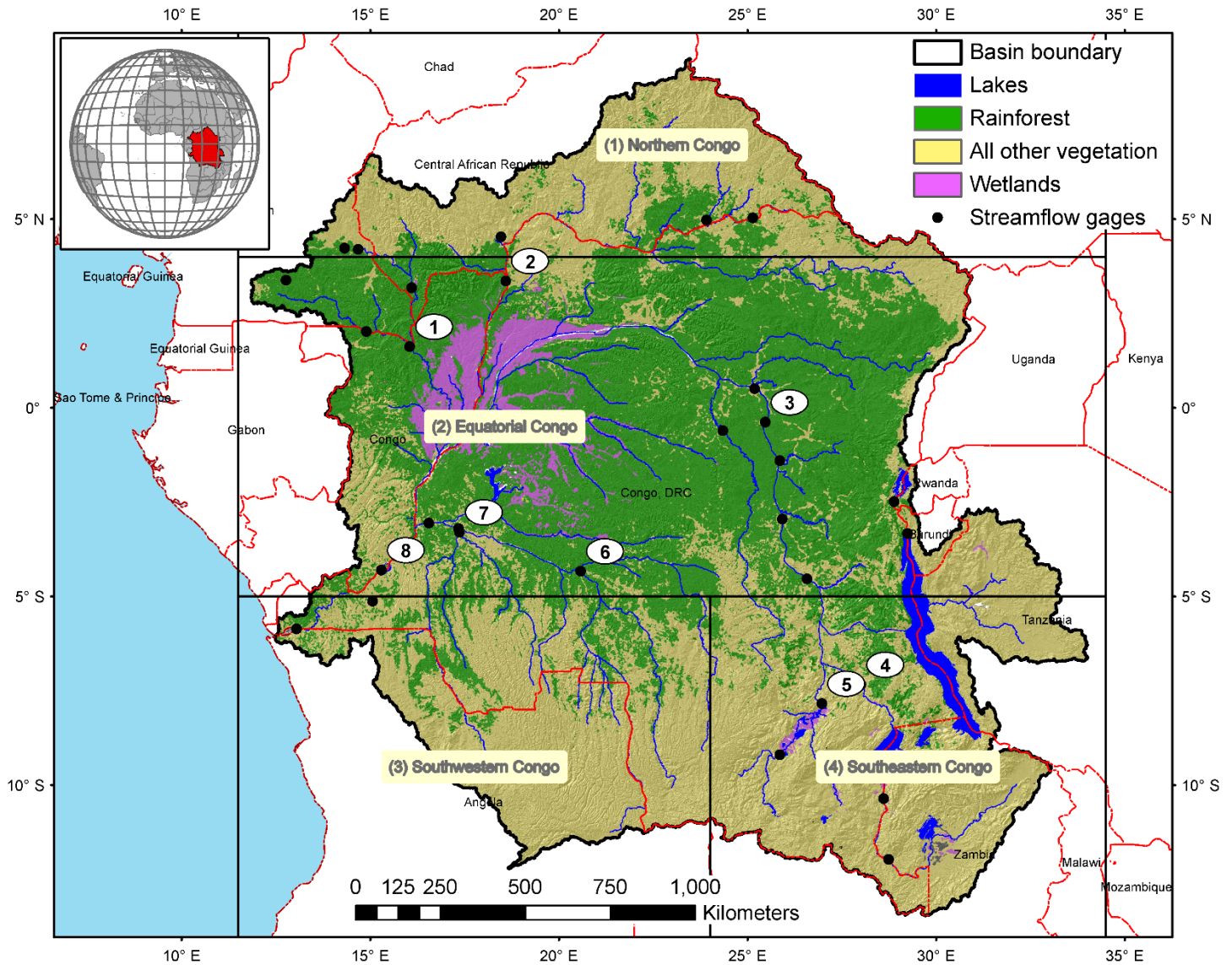
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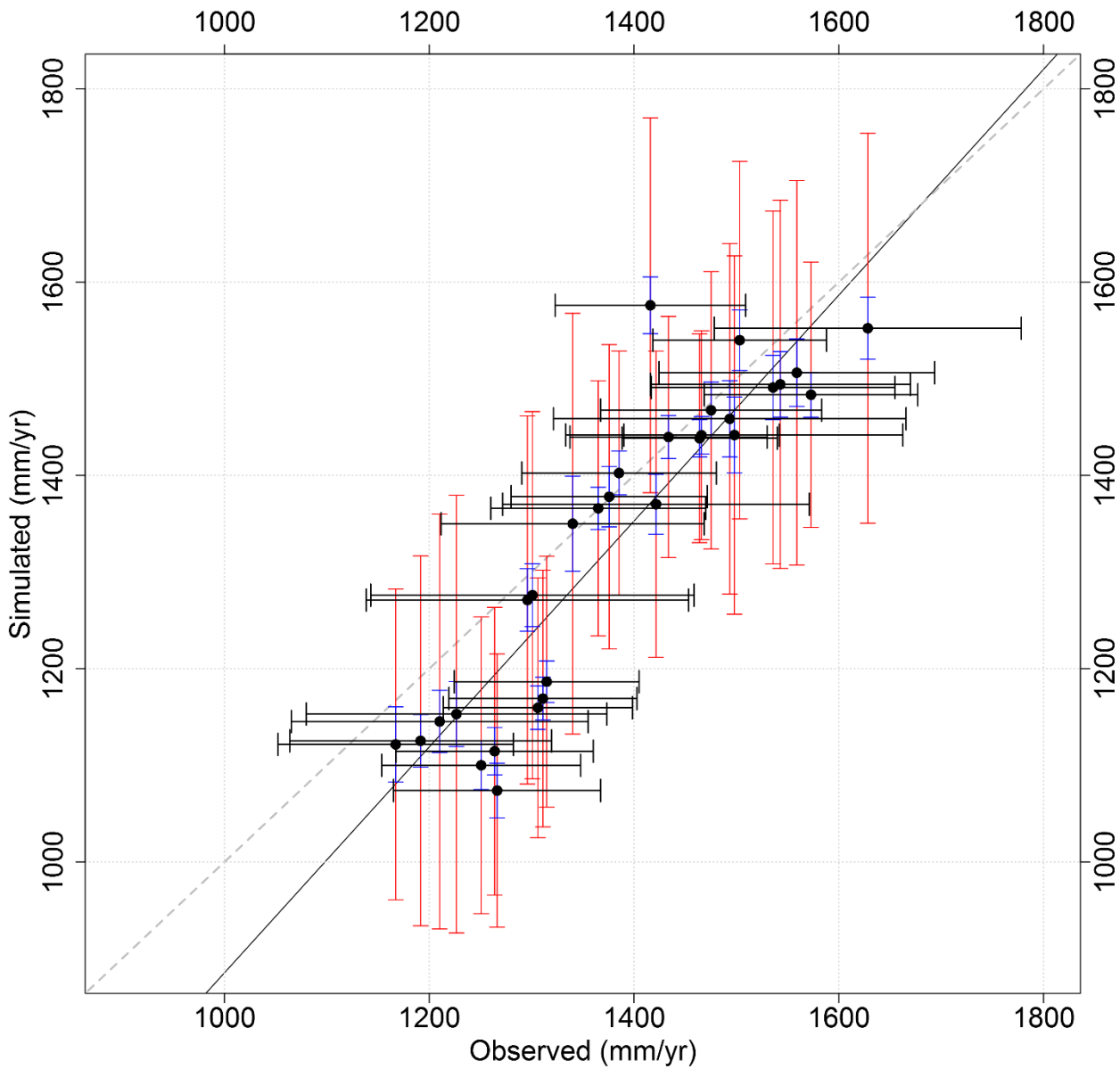
772 **1 Figures in the main text**

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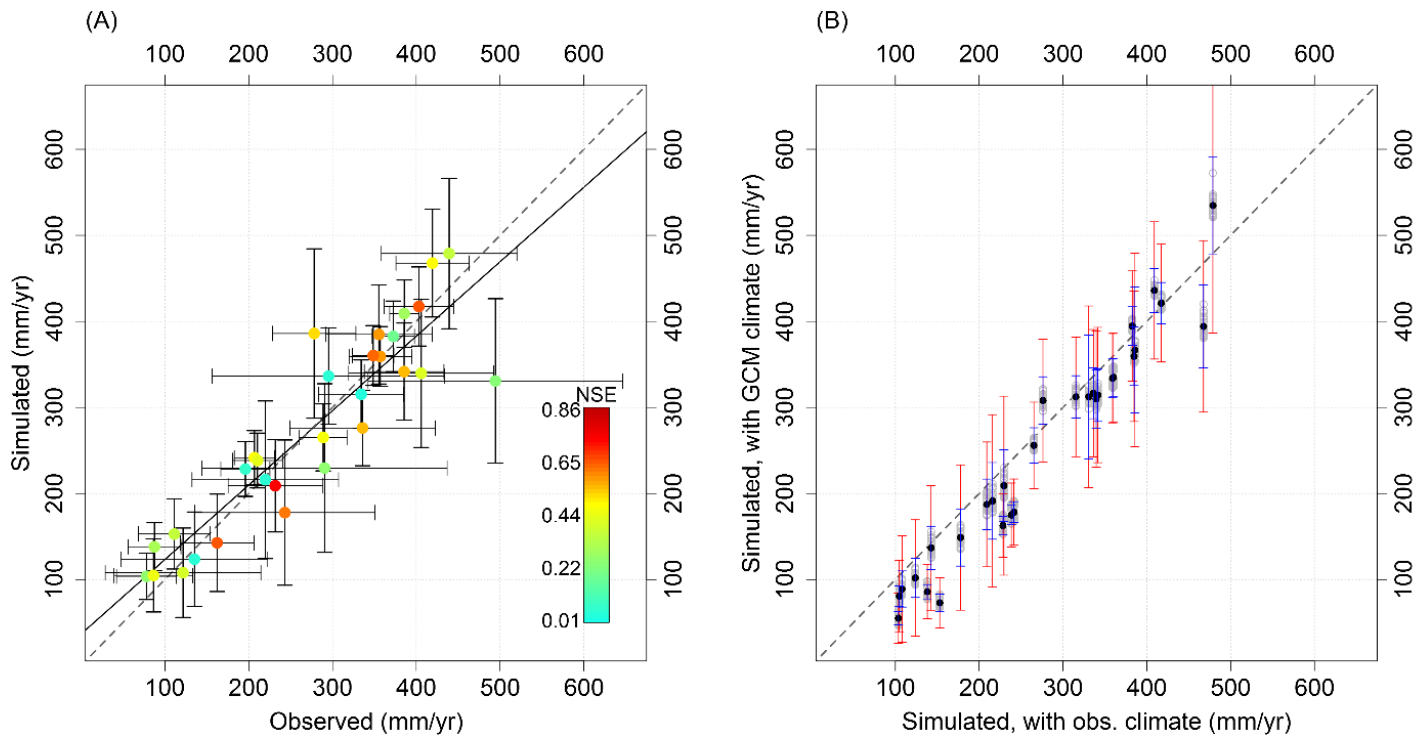
775 Figure 1 Congo River Basin: the river basin boundary, the extent of the rainforest, locations of lakes and
 776 wetlands, and the locations of streamflow gages are shown. The “all other vegetation” category includes
 777 grasslands and savanna ecosystems, and all managed areas. Bartholome et al., (2005) provide further details on
 778 land cover in the Congo River basin.



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780 Figure 2 Comparison of observed and bias-corrected GCM-simulated average annual precipitation for 30
 781 catchments with stream-flow gages (shown in Figure 1) in the historical period (1950-2005). Y-axis values are
 782 statistically downscaled GCM-simulated precipitation. Black dots compare multi-model means with observed
 783 precipitation, black horizontal bars show observed inter-annual variability (\pm one standard deviation), and red
 784 (blue) vertical bars show maximum (minimum) range of modeled inter-annual variability (\pm one standard
 785 deviation) within the 25 climate model outputs. The black line is linear regression fit between observed and
 786 multi-model mean of simulated precipitation ($y = 1.16 \pm 0.204x - 283.4, p < 0.001, R^2 = 0.825$); parameter
 787 bounds are 95% confidence interval. The gray dashed line is the 1:1 line.

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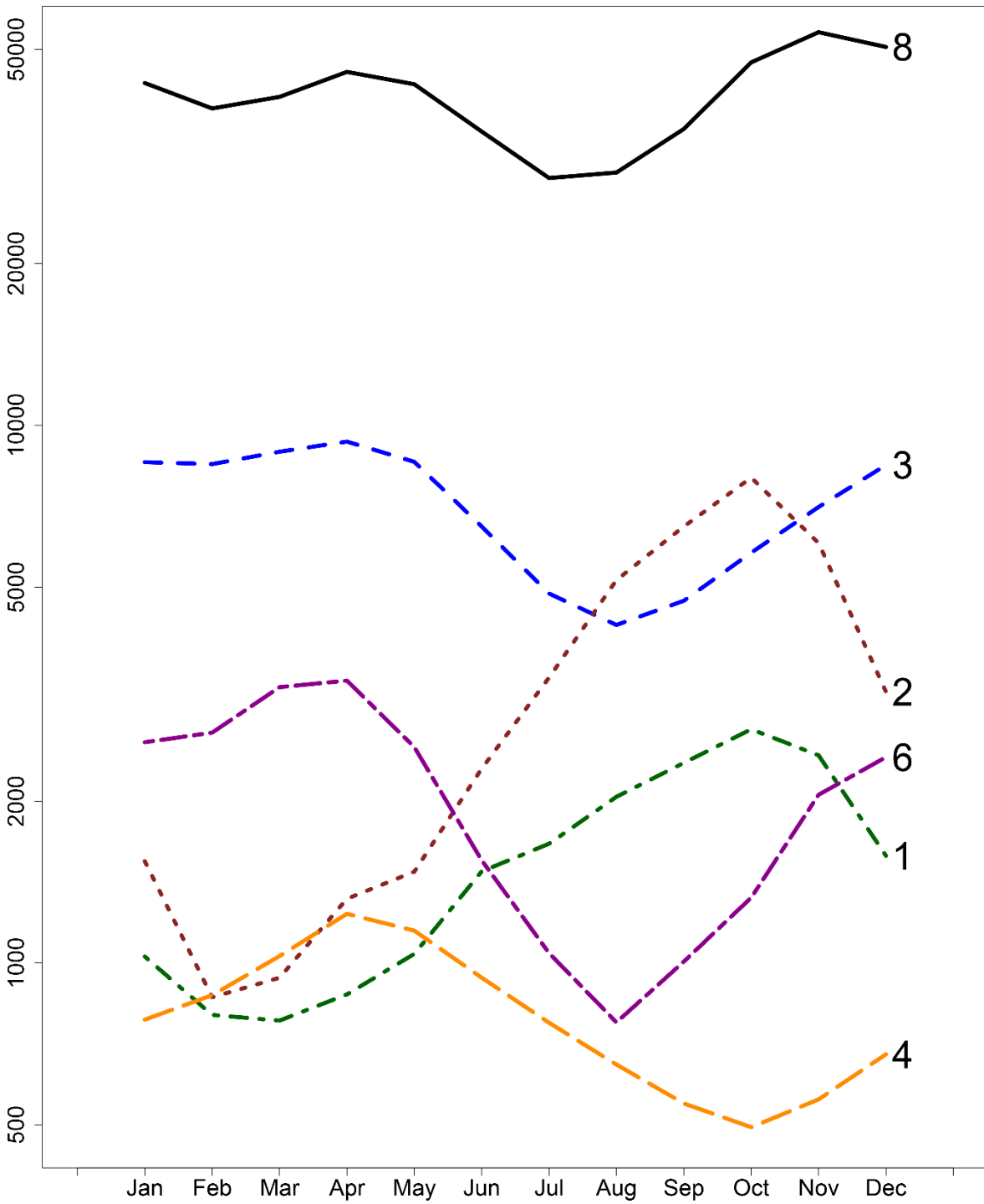
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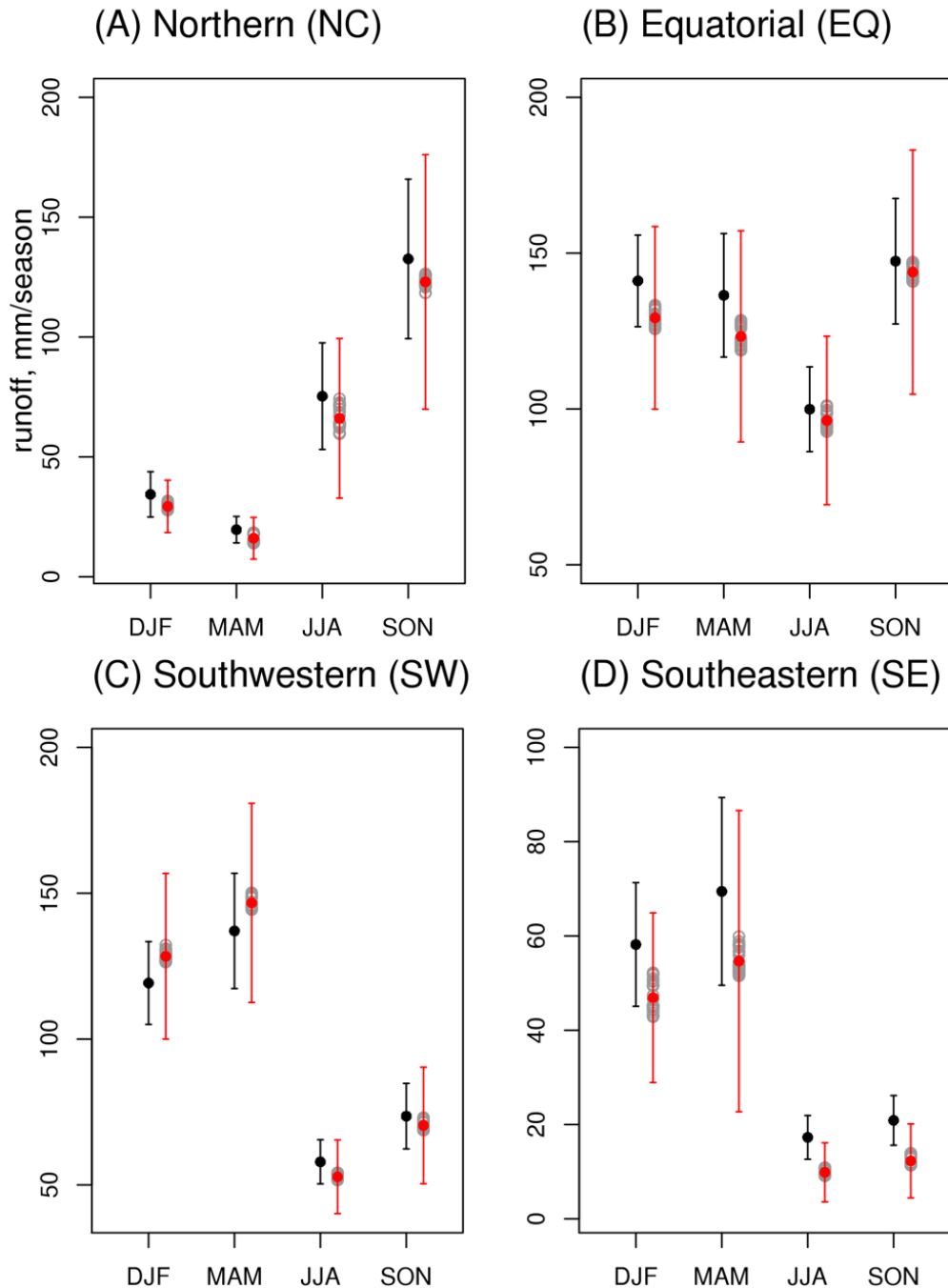
Figure 3. Comparison of observed and simulated annual runoff at the 30 streamflow gage locations (shown in Figure 1). (A) Historical simulations with observed climate: the positions of the colored dots compare annual values of observed and simulated historical runoff; the dots' colors (see legend) show the Nash-Sutcliffe coefficient of efficiency (NSE) of observed vs. simulated monthly stream flows; and the black horizontal and vertical bars show observed and modeled inter-annual variability (\pm one standard deviation), respectively. The black line is linear regression fit between annual simulated and observed runoff ($y = 0.865 \pm 0.158x + 36.63$, $p < 0.001$, $R^2 = 0.82$), parameter bounds are the 95% confidence interval. (B) Simulations in the historical period with GCM-simulated climate: black dots show the multi-model mean; red (blue) vertical bars show modeled (forced with GCM-simulated historical climate) maximum (minimum) inter-annual variability (\pm one standard deviation) within the 25 simulations; and gray circles show multi-year mean of individual GCM simulations. The gray dashed lines in A and B are 1:1 line. The GCM-simulated outputs are statistically downscaled and bias-corrected.



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804 Figure 4 Mean monthly flows at selected tributaries in the CRB. Flows are in m^3/s and gage numbers are
 805 identified in Figure 1. Monthly values are based on simulated flows (forced with observed precipitation) for the
 806 period 1950-2005.

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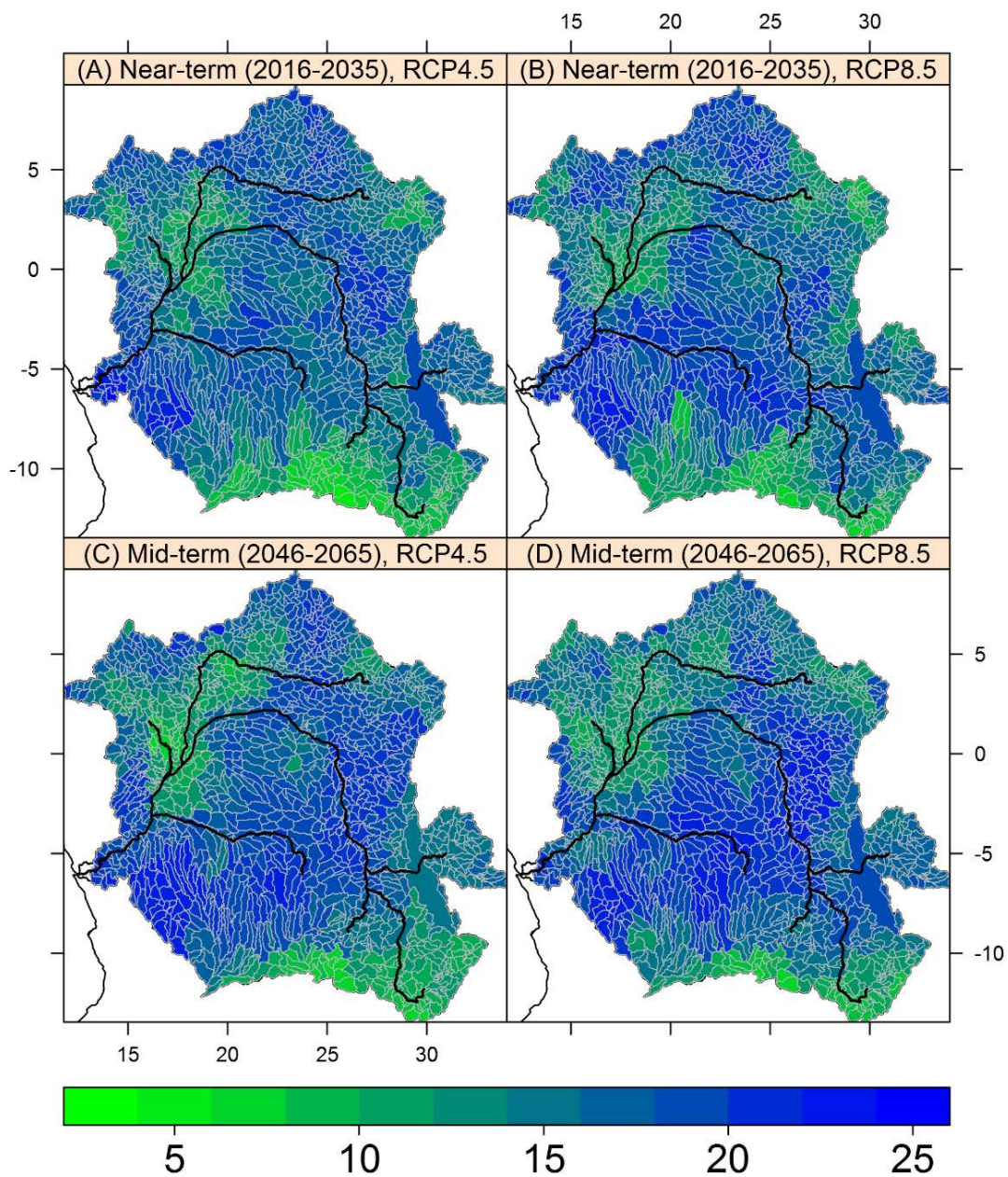
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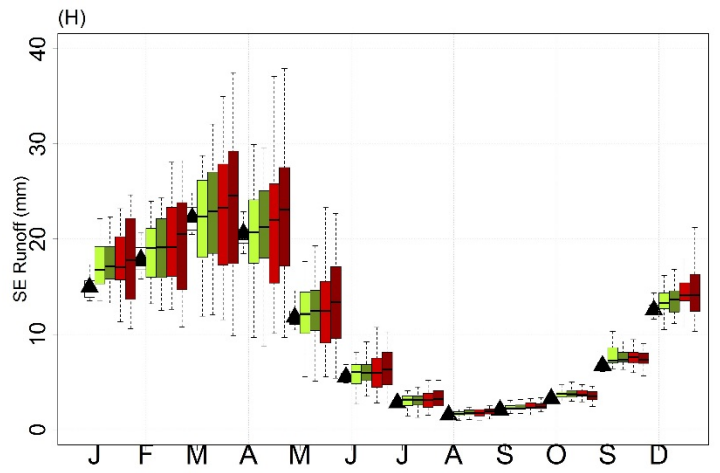
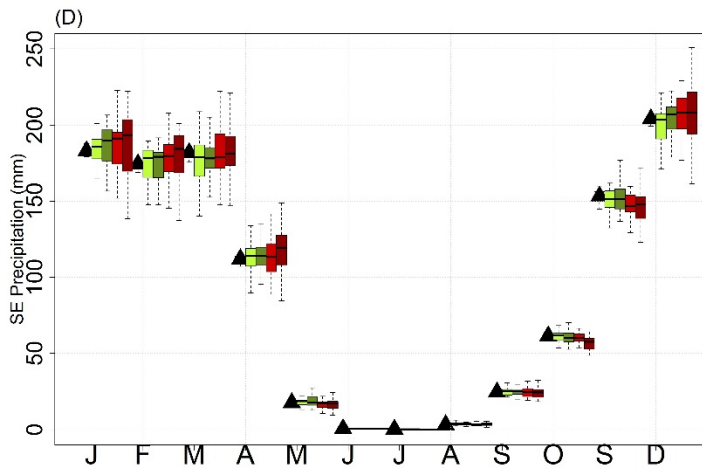
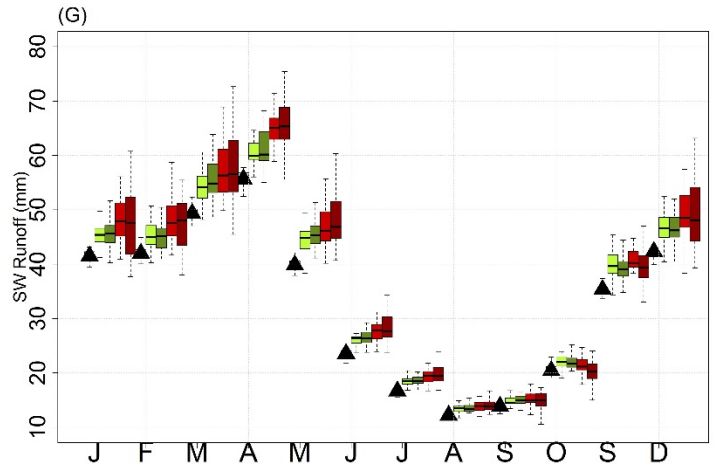
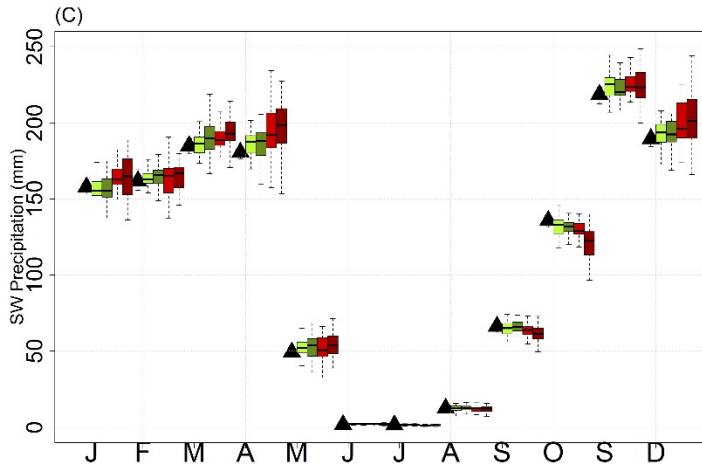
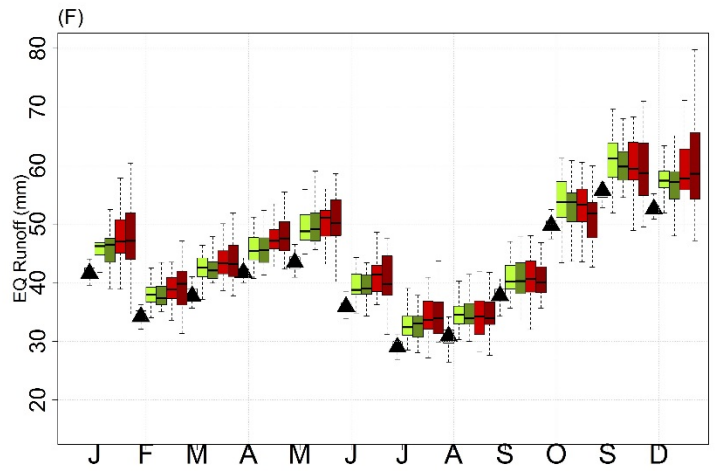
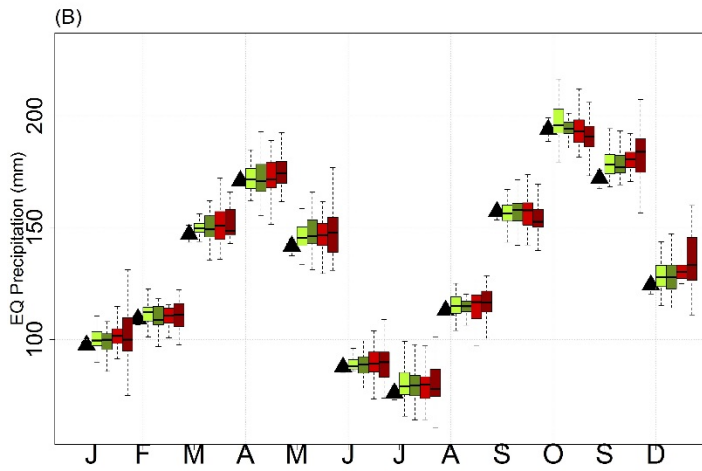
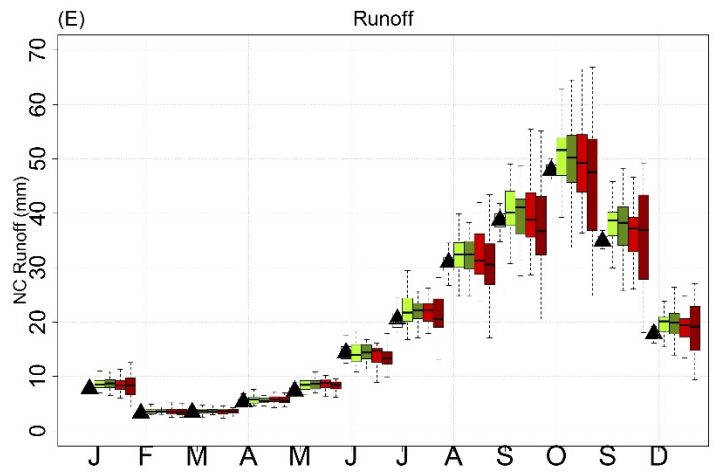
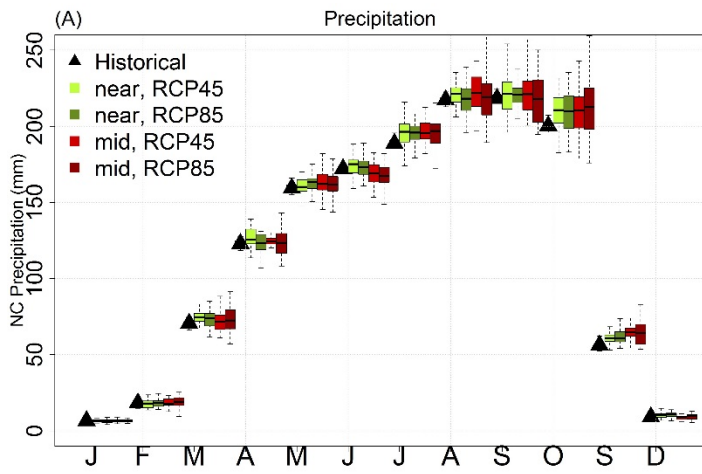
Figure 5 Seasonal variation in runoff in (A) Northern, (B) Equatorial, (C) Southwestern and (D) Southeastern Congo River Basin for the historical period, 1950-2005. The seasonal total runoff are calculated for Dec-Jan-Feb (DJF), Mar-Apr-May (MAM), Jun-Jul-Aug (JJA) and Sep-Oct-Nov (SON). Black dots and vertical bars show the modeled inter-annual variability forced with observed climate, red dots show the multi-model mean (MM) forced with GCM-simulated climate, red vertical bars show the maximum range of inter-annual variability within the 25 models and the grey open circles show the mean of individual models. Y-axis scale is different for each plot.



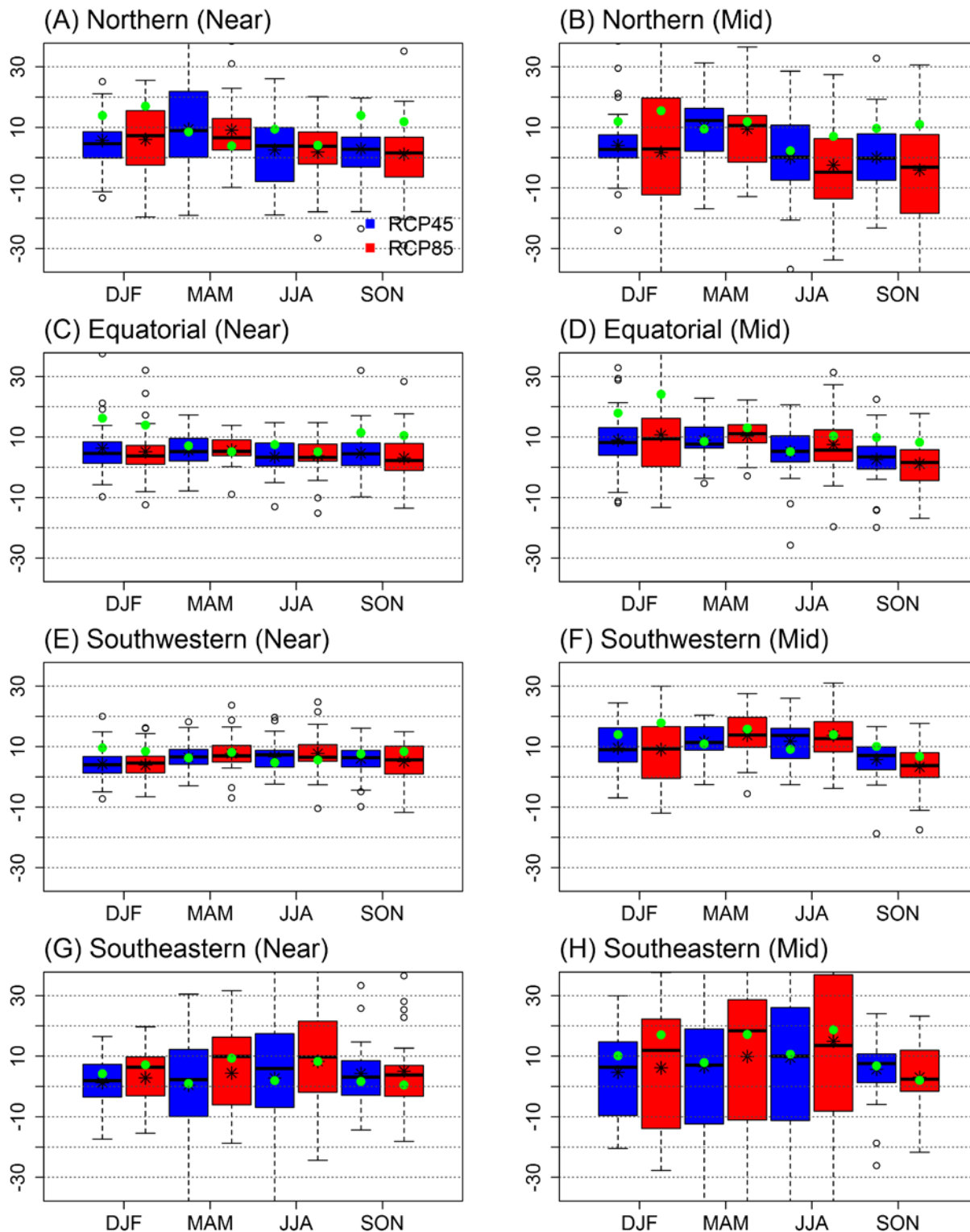
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819 Figure 6 Number of climate model outputs projecting an increase in precipitation in the (A) near-term, 2016-
 820 2035, RCP4.5, (B) near-term RCP8.5, (C) mid-term, 2046-2065, RCP4.5 and (D) mid-term RCP8.5. The
 821 number of modeled precipitation outputs considered is 25. Main rivers and lakes are shown.

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824 Figure 7 Monthly variation of precipitation (A-D) and runoff (E-H) in the four regions shown in Figure 1. Box-
825 and-whiskers for each month shows the inter-model variability for the historical period (black), near-term
826 RCP4.5 (light green), near-term RCP85 (dark green), mid-term RCP4.5 (red) and mid-term RCP8.5 (brown).
827 The upper and lower end of the boxes show the 75th and 25th quartiles, the bar inside each box shows the
828 median, and the whiskers cover approximately 90% of the values. The multi-model mean value for the
829 reference period is shown as triangles for clarity. All values are in mm/month. NC – northern, EQ – equatorial,
830 SE – southeast and SW – southwest, see Figure 1 for locations.



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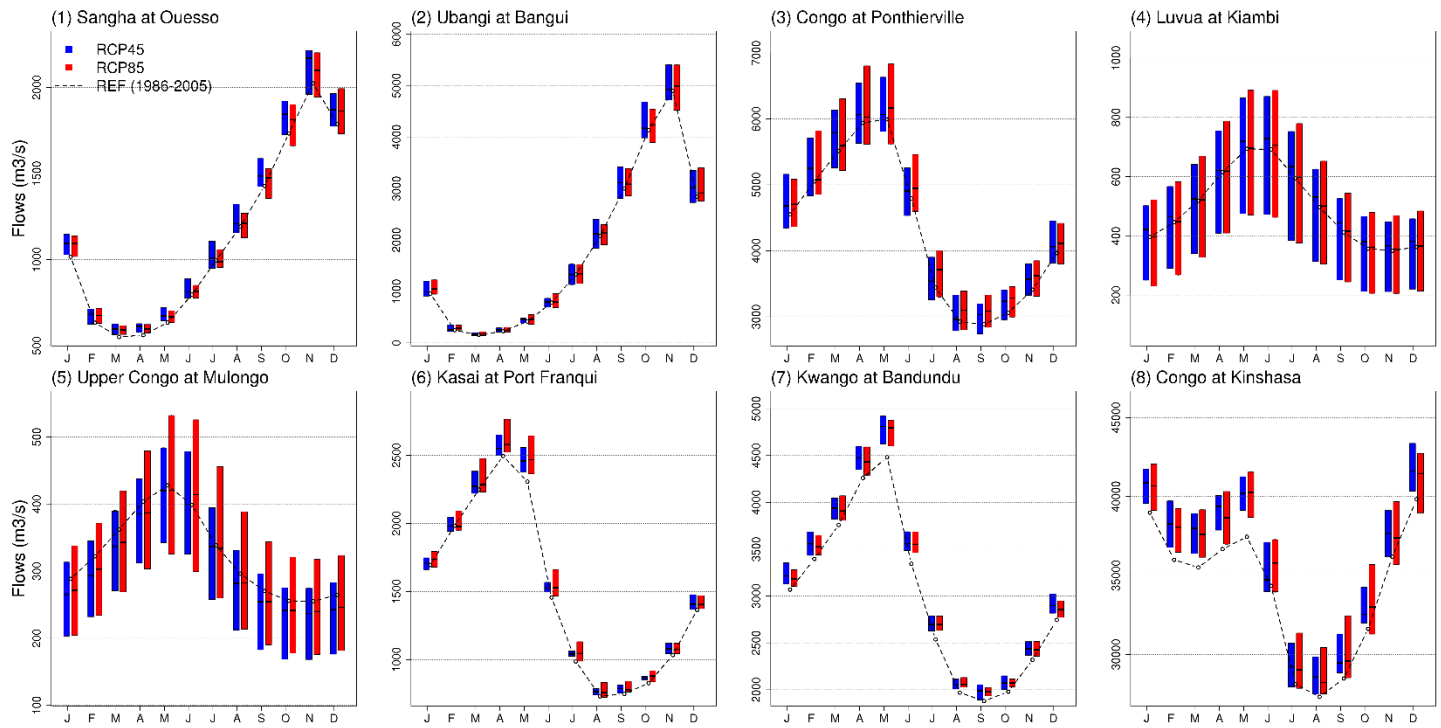
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Figure 8 Seasonal runoff projections (as percent relative to the reference period 1986-2005) for the near-term (2016-2035) and mid-term (2046-2065) projection periods for northern (A-B), equatorial (C-D), southwestern (E-F) and southeastern (G-H) regions. Boxes show the 25th and 75th percentiles, the horizontal line within the boxes show median value and the whiskers mark the 5th and 95th percentiles. The multi-model mean (asterisks) and the select-model mean (green dots) are also shown. The y-axis range is limited to show the smaller boxes. Y-axis values are in percentages.



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Figure 9 Accessible streamflow hydrographs in the near-term at selected locations shown in Figure 1A. Blue and red bars (RCP 4.5, RCP 8.5, respectively) show the inter-model variability. The dotted black line shows the hydrograph in the reference period (1986-2005). Plot numbers 1-8 coincide with the gage numbers in Figure 1.

845 **2 Tables in the main text**

846

847 Table 1 Global Climate Models whose outputs are used in this study. Further details about comparison of model
848 outputs and key references for GCMs are given in Aloysius et al., 2016.

Model Number	Model Name
M1	ACCESS1-3
M2	bcc-csm1-1
M3	BNU-ESM
M4	CanESM2
M5	CCSM4
M6	CESM1-CAM5
M7	CNRM-CM5
M8	CSIRO-Mk3-6-0
M9	EC-EARTH
M10	FIO-ESM
M[11-13]*	GISS-E2-H*
M[14-16]*	GISS-E2-R*
M17	HadGEM2-CC

M18	HadGEM2-ES
M19	INM-CM4
M20	IPSL-CM5A-LR
M21	MIROC5
M22	MIROC-ESM
M23	MPI-ESM-LR
M24	MRI-CGCM3
M25	NorESM1-M

849 * These climate models provide outputs from three different physics ensembles. We treat each a separate model.

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852 Table 2 Multi-model mean (MM) of projected changes in precipitation (%) in the four regions within the Congo
 853 River Basin (see Figure 1) for the near-term (2016-2035) and the mid-term (2046-2065) relative to the reference
 854 period of 1986-2005. The regions are identified in Figure 1. The standard deviation values across the 25 GCM-
 855 simulations are provided in parenthesis. DJF: Dec-Jan-Feb, MAM: Mar-Apr-May, JJA: Jun-Jul-Aug and SON:
 856 Sep-Oct-Nov.

	Northern (NC)		Equatorial (EQ)		Southwestern (SW)		Southeastern (SE)	
	RCP4.5	RCP8.5	RCP4.5	RCP8.5	RCP4.5	RCP8.5	RCP4.5	RCP8.5
<u>Near future (2016-2035)</u>								
Annual	1.6 (3.0)	1.3 (2.9)	1.3 (2.9)	1.1 (2.7)	1.3 (2.3)	1.5 (2.6)	-0.4 (3.7)	0.1 (4.2)
DJF	3.3 (13.3)	5.4 (21)	2.0 (4.9)	1.4 (4.7)	1.6 (3.2)	1.8 (4.0)	-0.3 (3.7)	0.04 (4.8)
MAM	1.4 (4.5)	1.1 (3.7)	0.5 (2.9)	0.8 (2.8)	1.5 (4.2)	2.5 (5.2)	-0.5 (7.8)	0.9 (8.3)
JJA	1.3 (3.3)	0.4 (4.2)	1.3 (4.2)	1.3 (4.7)	-0.7 (14.6)	-0.3 (15.7)	19.6 (32.0)	18.7 (31.6)
SON	2.3 (4.6)	2.3 (4.7)	1.7 (4.1)	1.1 (4.0)	0.9 (3.6)	0.2 (3.8)	-0.6 (5.4)	-1 (4.8)
<u>Mid-term (2046-2065)</u>								
Annual	1.6 (3.8)	1.2 (4.9)	1.7 (3.4)	2.4 (3.9)	2.9 (2.9)	3.3 (4.0)	0.2 (5.4)	0.3 (7.4)
DJF	1.1 (15.2)	3.9 (18.8)	3.5 (6.3)	5.3 (9.4)	4.8 (5.1)	5.4 (7.4)	1.5 (6.4)	1.4 (9.6)
MAM	0.9 (4.4)	0.6 (5.4)	1.5 (3.5)	2.4 (3.5)	4.1 (5.1)	6.9 (5.8)	0.4 (9.6)	2 (11.0)
JJA	0.6 (4.3)	0.1 (5.5)	0.7 (5.8)	2.2 (7.3)	-6.1 (14.8)	-5.9 (19)	6.7 (30.6)	9.7 (32.0)
SON	3.4 (6.2)	2.9 (7.3)	1.3 (4.0)	0.6 (4.1)	-0.3 (4.2)	-2.5 (4.6)	-3.2 (5.2)	-4.6 (5.8)

859 Table 3 Multi-model mean (MM) of projected changes in runoff (%) in the four regions within the Congo River
860 Basin for the near-term (2016-2035) and the mid-term (2046-2065) relative to the reference period of 1986-
861 2005. The regions are identified in Figure 1. The standard deviation values across the 25 GCM-simulations are
862 provided in parenthesis. The asterisks (*) show the degree of agreement that projected runoff > 0 in more than
863 50% of the ensembles. DJF: Dec-Jan-Feb, MAM: Mar-Apr-May, JJA: Jun-Jul-Aug and SON: Sep-Oct-Nov.

	Northern (NC)		Equatorial (EQ)		Southwestern (SW)		Southeastern (SE)	
	RCP4.5	RCP8.5	RCP4.5	RCP8.5	RCP4.5	RCP8.5	RCP4.5	RCP8.5
<u>Near future (2016-2035)</u>								
Annual	3.6 (12.1)	2.5 (11.2)	5.0 (7.0)*	4.3 (6.7)*	5.6 (4.8)*	6.0 (5.4)*	1.4 (12.8)	4.2 (12.1)
DJF	5.7 (13.3)	6.0 (14.1)	6.2 (9.8)*	5.1 (9.5)*	4.2 (6.1)*	3.9 (6.4)*	1.3 (9.3)	2.8 (9.8)
MAM	9.4 (15.0)*	9.1 (11.1)*	5.5 (6.3)*	5.7 (4.9)*	6.3 (5.1)*	7.7 (6.3)*	0.4 (18.4)	4.4 (17.3)
JJA	2.6 (12.1)	1.9 (10.2)	3.4 (6.3)*	3.8 (6.9)*	6.7 (5.5)*	7.7 (7.1)*	2.8 (20.7)	8.3 (19.6)
SON	2.8 (13.5)	1.1 (13.3)	4.6 (9.1)*	3.1 (9.4)	6.0 (6.4)*	5.0 (6.4)*	4.3 (10.7)	5.0 (12.6)
<u>Mid-term (2046-2065)</u>								
Annual	1.2 (15.4)	-2.0 (17.1)	6.3 (8.1)*	7.2 (8.5)*	9.9 (5.9)*	10.4 (8.2)	6.1 (18.8)	8.3 (20.6)
DJF				10.7				
	4.0 (18.0)	1.7 (21.9)	8.9 (11.2)*	(14.7)*	9.6 (7.9)*	9.0 (12.4)	4.7 (14.9)	6.2 (20.3)
MAM	10.1							
	(13.4)*	9.5 (17.1)	8.9 (7.1)*	10.3 (6.2)*	11.7 (6.1)*	13.7 (8.0)*	6.5 (26.2)	9.9 (26.6)
JJA	-0.02							
	(14.5)	-2.5 (15.8)	5.2 (9.8)*	7.5 (11)*	11.8 (7.1)*	13.7 (8.6)*	9.5 (25.9)	14.9 (25.7)
SON	0.04 (17.7)	-4.1 (19.4)	2.5 (9.3)*	1.1 (8.5)	5.7 (7.2)*	3.3 (7.7)	5.6 (11.2)*	3.1 (12.6)

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