

1 **Simulated Hydrologic Response to Projected Changes in Precipitation**  
2 **and Temperature in the Congo River Basin**

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13 **Abstract**

14 Assessing the impacts of climate change on water resources of the Congo River Basin  
15 (CRB) has attracted widespread attention. Of particular interest to water resource  
16 planners is the spatiotemporal variability of runoff due to the projected changes in  
17 climate. Here, with the aid of a spatially explicit hydrological model forced with  
18 precipitation and temperature projections from 25 global climate models (GCMs) under  
19 two greenhouse gas emission scenarios, we elucidate the variability in runoff in the near  
20 (2016-2035) and mid (2046-2065) 21<sup>st</sup> century compared to present. Over the equatorial,  
21 northern and southwestern CRB, models project an overall increase in precipitation and,  
22 subsequently runoff. A decrease in precipitation in the headwater regions of southeastern  
23 Congo, leads to a decline in runoff. Climate model selection plays an important role in  
24 precipitation projections, for both magnitude and direction of change. Consensus on the  
25 magnitude and the sign (increase or decrease) of change is strong in the equatorial and  
26 northern parts of the basin, but weak in the southern basin. The multi-model approach  
27 reveals that near-term projections are not impacted by the emission scenarios. However,  
28 the mid-term projections depend on the greenhouse gas emission scenario. The projected  
29 increase in accessible runoff (excluding flood runoff) in most parts of CRB presents new  
30 opportunities for augmenting human appropriation of water resources; at the same time,  
31 the increase in quick runoff poses new challenges. In the southeast, with the projected  
32 decrease in accessible runoff, the challenge will be on managing the increasing demands  
33 with limited water resources. Uncertainties in precipitation and subsequently in runoff  
34 projections vary widely, and therefore adaptation and robust planning strategies will vary  
35 within the river basin, and will depend on the risk attitudes of resource planners.

## 36 **1. Introduction**

37 Sustainable management of water resources (e.g. water for food production,  
38 reliable and safe drinking water and adequate sanitation) presents immense challenges in  
39 many countries in Central Africa where the Congo River Basin (CRB) is located [*IPCC*,  
40 2014; *UNEP*, 2011; *World Food Program*, 2014]. The economies of the nine countries  
41 that share the waters of the CRB are agriculture-based [*World Bank Group*, 2014] and,  
42 therefore, are vulnerable to the impacts of climate change. Despite the abundant water  
43 and land resources and favorable climates, the basin countries are net importers of staple  
44 food grains, and are far behind in achieving Millennium Development Goals [*Bruinsma*,  
45 2003; *Molden*, 2007; *UNEP*, 2011]. Appropriation of freshwater resources is expected to  
46 dominate in the future as the CRB countries develop and expand their economies. At the  
47 same time, climate change related risks associated with water resources will also increase  
48 significantly [*IPCC*, 2014].

49 Historical, present and near-future greenhouse gas emissions in the CRB countries  
50 constitute a small fraction of global emissions; however, the impacts of climate change  
51 on water resources are expected to be severe due to the region's heavy reliance on natural  
52 resources (e.g. agriculture and forestry) [*Collier et al.*, 2008; *DeFries and Rosenzweig*,  
53 2010; *Niang et al.*, 2014]. The limited adaptation capacity in the CRB region is expected  
54 to cause severe water and food security challenges, which, in turn, can lead to ecosystem  
55 degradation and increased greenhouse gas emissions [*Gibbs et al.*, 2010; *IPCC*, 2014;  
56 *Malhi and Grace*, 2000].

57

58           Competing pressures on water resources in the CRB, including revival of rural  
59 economies (largely agriculture based), achieving millennium development goals and  
60 environmental conservation, would benefit from detailed information on the spatial and  
61 temporal variability of water balance components under different climate projection  
62 pathways. The effect of climate change on water resources can be investigated by  
63 incorporating climate change projections (e.g. precipitation and temperature) in  
64 simulation models that reliably represent the spatial and temporal variability of CRB's  
65 hydrology. Such a framework could be applied to project changes in storage and runoff,  
66 and hence freshwater availability, under different socioeconomic pathways that affect  
67 climate trajectories.

68           A predictive framework of CRB hydrology is hindered by insufficient data and  
69 too few evaluations of models against available data [*Beighley et al.*, 2011; *Wohl et al.*,  
70 2012]. Basin scale water budgets estimated from land-based and satellite-derived  
71 precipitation datasets reveal significantly different results, and model-computed stream  
72 flows show only qualitative agreement with corresponding observations [*Beighley et al.*,  
73 2011; *Lee et al.*, 2011; *Schuol et al.*, 2008]. *Tshimanga and Hughes* [2012; 2014] recently  
74 developed a semi-distributed hydrologic model capable of simulating surface-water  
75 runoff in CRB. This work crucially identified approaches suitable for approximating  
76 runoff generation at the basin scale, although the spatial resolution of the model  
77 predictions is rather coarse for supporting regional water management and regional-  
78 planning efforts. These regional planning efforts must take into account variability and  
79 uncertainties stemming from climate-model selection and projected greenhouse gas

80 emissions, but with respect to hydrological modeling of the CRB these issues have been  
81 incompletely addressed.

82         The goals of this study are to i) develop a spatially explicit hydrology model that  
83 uses downscaled output from general circulation models (GCMs) and is suitable for  
84 simulating the spatiotemporal variability of surface-water runoff throughout the CRB; ii)  
85 test the ability of the hydrological model to reproduce historical data on CRB river  
86 discharges using both observed and GCM-simulated climate fields; (iii) quantify the  
87 sensitivity of hydrologic-model runoff predictions to GCM selection; (iv) use the  
88 hydrologic model with individual GCMs and multi-GCM ensembles to forecast near-term  
89 (2016-2035) and mid-term (2046-2065) changes in surface-water flows for two  
90 greenhouse-gas emission scenarios. We focus on the runoff projections of the hydrologic  
91 model because streams and rivers will serve as the primary sources of freshwater targeted  
92 for human appropriation [Burney *et al.*, 2013; Molden, 2007].

93         We show that the hydrologic model that is forced with bias-corrected and  
94 downscaled outputs from an ensemble of 25 GCMs and two emission scenarios reveal a  
95 range of projected changes in precipitation and runoff, and that runoff yields and  
96 dynamics are highly sensitive to GCM-forcing. The multi-model mean (MM, unweighted  
97 average of all GCMs) and the select-model mean (SM, selected GCMs based on  
98 performance in the historical period and realistic representation of certain attributes in the  
99 climate system) reveal 1-3% and 4-9% increase in precipitation and runoff, respectively  
100 in the CRB in the near-term (2016-2035) relative to reference period (1985-2005). In the  
101 mid-term (2036-2065), on the other hand, projections are GCM and emission-scenario  
102 dependent, with the high emission RCP8.5 scenario showing the highest increases in

103 precipitation (2-5%) and runoff (7-14%). However, both MM and SM show decreasing  
104 precipitation and runoff patterns in the southeastern headwater regions of Congo.

## 105 **2. Materials and Methods**

### 106 ***2.1 The Congo River Basin***

107 The Congo River Basin, with a drainage area of 3.7 million km<sup>2</sup>, is the second  
108 largest in the world by area and discharge (Figure 1, average discharge of ~41,000 m<sup>3</sup>s<sup>-1</sup>)  
109 [Runge, 2007]. The basin extends from 9°N in the northern hemisphere to 14°S in the  
110 southern hemisphere. The longitudinal extent is 11°E to 35°E. Nine countries share the  
111 water resources of the basin. Nearly a third of the basin area lies north of the equator.  
112 Due to its equatorial location, the basin experiences a range of climate regimes. The  
113 northern and southern parts have a strong dry and wet seasons, while the equatorial  
114 region has a bimodal rainy season [Bultot and Griffiths, 1972]. Much of the rain in the  
115 northern and southern CRB is received in Jun-Jul-Aug (JJA) and Dec-Jan-Feb (DJF),  
116 respectively. The primary and secondary rainy seasons in the equatorial region are Sep-  
117 Oct-Nov (SON) and Mar-Apr-May (MAM, see [Bultot and Griffiths, 1972] and  
118 Supplemental Information (SI) Figure S1). The mean annual precipitation is about 1,500  
119 mm. Rainforests occupy nearly 45% of the basin and are minimally disturbed compared  
120 to the Amazon and Southeast Asian forests[Gibbs *et al.*, 2010; Nilsson *et al.*, 2005].  
121 Grassland and savannah ecosystems, characterized by the presence of tall grasses, closed-  
122 canopy woodlands, low-trees and shrubs, occupy another 45% [Adams *et al.*, 1996;  
123 Bartholomé and Belward, 2005; Hansen *et al.*, 2008; Laporte *et al.*, 1998]. Water bodies  
124 (lakes and wetlands) occupy nearly 2% of the area, but they are concentrated mostly in  
125 the southeastern and western equatorial parts of CRB (Figure 1). Soil mapping reveals

126 that soils in the CRB vary from highly weathered and leached Ultisols to Alfisols,  
127 Inceptisols and Oxisols [FAO/IIASA, 2009; Matungulu, 1992]. Most types are deep and  
128 well-drained, but they are very acidic, deficient in nutrients, have low capacity to supply  
129 potassium and exhibit a low cation exchange capacity [Matungulu, 1992].

130 In order to compare regional patterns in precipitation and runoff, we divided the  
131 basin into four regions: i) Northern Congo (NC), ii) Equatorial Congo (EQ), iii)  
132 Southwestern Congo (SW), and iv) Southeastern Congo (SE). The EQ region covers most  
133 of the rainforest. The SE region consists of many mostly interconnected lakes and  
134 wetlands. Most of the CRB's population is concentrated in the NC, SE and SW regions  
135 [Center for International Earth Science Information Network (CIESIN) Columbia  
136 University et al., 2005].

## 137 ***2.2 Hydrologic model for the Congo River Basin***

138 We used the Soil Water Assessment Tool (SWAT) [Arnold et al., 1998; Neitsch et  
139 al., 2011] to simulate the hydrology of the CRB for historical climate (1950-2008) and  
140 for two scenarios of future climate change. SWAT is a physically based, semi-distributed  
141 watershed-scale model that operates at a daily time step. The hydrological processes  
142 simulated include evapotranspiration (ET), infiltration, surface and subsurface flows,  
143 streamflow routing and groundwater recharge. The model has been successfully  
144 employed to simulate river basin hydrology under wide variety of conditions and to  
145 investigate climate change effects on water resources [Faramarzi et al., 2013; Krysanova  
146 and White, 2015; Schuol et al., 2008; Trambauer et al., 2013; van Griensven et al.,  
147 2012].

148           We delineated 1,575 watersheds within the CRB based on topography [*Lehner et*  
149 *al.*, 2008]. Watershed elevations vary between 15m and 2,700m with a mean value of  
150 680m above mean sea level. Each watershed consists of one stream section, where near-  
151 surface groundwater flow and overland flow accumulate before being transmitted through  
152 the stream channel to the watershed outlet. Watersheds are further divided into  
153 Hydrologic Response Units (HRUs) based on land cover (16 classes) [*Bartholomé and*  
154 *Belward*, 2005], soils (150 types) [*FAO/IIASA*, 2009] and topography. The runoff  
155 generated within each watershed is routed through the stream network using the variable  
156 storage routing method. The average watershed size and the number of HRUs within each  
157 watershed are 2,300 km<sup>2</sup> and 5, respectively. We also included wetlands and lakes as  
158 natural storage structures that regulate the hydrological fluxes at different locations  
159 within CRB (Figure 1). Detailed information is not available for the all the lakes;  
160 therefore, we incorporated the largest 16 lakes (SI Table S1).

161           Runoff, estimated for each HRU and aggregated at the watershed level, is  
162 generated via three pathways: overland flow, lateral subsurface flow through the soil  
163 zone and release from shallow groundwater storage. The Curve Number and a kinematic  
164 storage routing methods are used to predict overland and lateral subsurface flows, and a  
165 nonlinear storage-discharge relationship is used to predict groundwater contribution (see  
166 *Arnold et al.* [1998]; *Neitsch et al.* [2011] and SI). A power law relationship is employed  
167 to simulate the lake area-volume-discharge (see SI and *Neitsch et al.* [2011]). The  
168 potential evapotranspiration is estimated using the temperature-based Hargreaves method  
169 [*Neitsch et al.*, 2011]. The actual evapotranspiration is estimated based on available soil



170 moisture and the evaporative demand (i.e. potential evapotranspiration) for the day.  
171 Additional details on model development are provided in the Supplementary Information.

### 172 ***2.3 Model simulation of historical hydrology with observed climate forcings***

173 We ran the hydrology model for the period 1950-2008. Estimates of observed  
174 daily precipitation, and minimum and maximum temperatures needed to calculate  
175 potential evapotranspiration were obtained from the Land Surface Hydrology Group at  
176 Princeton University [*Sheffield et al.*, 2006]. In addition, measured monthly stream flows  
177 were obtained at 30 gage locations (Figure 1) that had at least 10 years of records [*Global*  
178 *Runoff Data Center.*, 2011; *Lempicka*, 1971; *Vorosmarty et al.*, 1998].

179 The model was calibrated using observed streamflows for the period 1950-1957 at  
180 20 locations. The number of model parameters estimated by calibration varied from 10 to  
181 13, depending on the location of flow gages (e.g. gages with lakes within their catchment  
182 area have more parameters). The calibration involved minimizing an objective function  
183 defined as the sum-of-squared errors between observed and simulated monthly average  
184 total discharge, baseflows (estimated by applying a baseflow separation method [*Nathan*  
185 *and McMahon*, 1990]) and water yield. A Gauss-Marquardt-Levenberg algorithm as  
186 implemented in a model independent parameter estimation tool [*Doherty*, 2004] was used  
187 to adjust the fitted parameters and minimize the objective function. Parameter estimation  
188 was done at two stages. First, parameters for the watersheds in the upstream gages were  
189 estimated. Then the parameters for the downstream gages were estimated. To test the  
190 calibrated model, simulated stream flows were compared to stream flows measured at the  
191 same 20 locations, but during a period outside of calibration (i.e., 1958-2008), as well as  
192 at 10 additional locations that were not used in the calibration.

## 193 ***2.4 Hydrologic Simulations with Simulated Climate Forcing***

194 Historical climate simulations for the period 1950-2005 and climate projections  
195 to 2099 for two greenhouse gas emission scenarios, mid-range mitigation emission  
196 (RCP4.5) and high emission (RCP8.5), were used as a basis to drive the hydrologic  
197 model. The RCP4.5 scenario employs a range of technologies and policies that reduce  
198 greenhouse gas emissions and stabilize radiative forcing at  $4.5 \text{ W m}^{-2}$  by 2100, whereas  
199 the RCP8.5 is a business-as-usual scenario, where greenhouse gas emissions continue to  
200 increase and radiative forcing rises above  $8.5 \text{ W m}^{-2}$  [Moss *et al.*, 2010; Taylor *et al.*,  
201 2012]. We used monthly precipitation and temperature outputs provided by 25 GCMs (SI  
202 Table S2) for the Fifth Assessment (CMIP5) of the Intergovernmental Panel on Climate  
203 Change (IPCC).

204 GCM outputs may exhibit biases in simulating regional climate. These biases,  
205 which are attributable to inadequate representation of physical processes by the models,  
206 prevent the direct use of GCM output in climate change studies [Randall *et al.*, 2007;  
207 Salathé Jr *et al.*, 2007; Wood *et al.*, 2004]. Hydrological assessments that use GCM  
208 computations as input inherit the biases [Salathé Jr *et al.*, 2007; Teutschbein and Seibert,  
209 2012]. To mitigate this problem, we implemented a statistical method [Li *et al.*, 2010] to  
210 correct the biases in the monthly historical precipitation and temperature fields. In brief,  
211 the method employs a quantile-based mapping of cumulative probability density  
212 functions for monthly GCM outputs onto those of gridded observations in the historical  
213 period. The bias correction is extended to future projections as well.

214 In order to be used in the CRB's hydrologic model, the simulated monthly  
215 precipitation and temperature values must be temporally downscaled to daily values. We

216 used the three-hourly and monthly observed historical data developed for the Global  
217 Land Data Assimilation System [Rodell *et al.*, 2004; Sheffield *et al.*, 2006] and the bias-  
218 corrected monthly simulations to generate three-hourly precipitation and temperature  
219 fields, which were subsequently aggregated to obtain daily values (see SI Methods). The  
220 hydrological model was forced with the bias-corrected and downscaled daily climate  
221 fields for the period 1950-2099. A total of 50 projections (25 RCP4.5 and 25 RCP8.5  
222 projections) were compiled and analyzed. Results of individual and multi-model means  
223 (un-weighted average of all (MM) and selected (SM) GCM simulations) for the near-term  
224 (2016-2035) and mid-term (2046-2065) projections are presented.

### 225 **3. Results and Discussion**

#### 226 ***3.1 Historical simulations***

227 The bias-corrected GCM-simulated mean annual precipitation (1950-2005) of  
228 1,450 mm in the CRB is in good agreement with observations. We compared the GCM-  
229 simulated annual precipitation with observations within the catchment areas of 30  
230 streamflow gage locations in the historical period (Figure 2). The modeled inter-annual  
231 variability among the climate models (vertical bars in Figure 2) lies within the range of  
232 the observed variability (horizontal bars in Figure 2). The linear-regression slope of 1.16  
233 ( $p < 0.001$ , Figure 2) between the annual observed and MM shows that bias-corrected  
234 precipitation is slightly over-estimated, but not significantly so. Similar conclusions are  
235 drawn for the seasonal precipitation (SI Figure S2) and within the four regions identified  
236 in Figure 1 (mean values within the regions are given in SI Table S3).

237           We compared the simulated streamflows at 30 locations with observations. The  
238 colored points (Figure 3A) compare observed mean annual runoff at the 30 gages with  
239 historical simulations (forced with observed climate), while the vertical bars show the  
240 modeled inter-annual variability. The shades of colors (from light-green to yellow and  
241 red) reveal the model's skill in simulating the monthly flows in the historical period. The  
242 Nash-Sutcliff coefficient of efficiency (NSE), a measure of relative magnitude of residual  
243 variance compared to the monthly observed streamflow variance [*Legates and McCabe,*  
244 *1999; Nash and Sutcliffe, 1970*], varies between 0.01 and 0.86 (see color scale in Figure  
245 3A). The NSE ranges between negative infinity to 1, with values between 0.5 and 1 are  
246 considered satisfactory [*Moriasi et al., 2007*]. Seventeen of the 30 gages show NSE  
247 greater than or equal to 0.5, a subjective but commonly considered acceptable value for  
248 good model performance. Higher NSE values at locations on both sides of the equator,  
249 particularly at major tributaries (NSE ~0.60, gages 1 to 8 in Figure 1 and SI Figure S3)  
250 suggest that the model reliably predicts streamflows under different climatic conditions.  
251 High NSE values also indicate that the seasonal and annual runoff simulations, including  
252 the inter-annual variability in the historical period, are in good agreement with  
253 observations. The catchment areas of the 30 gages vary between 5,000 km<sup>2</sup> and 900,000  
254 km<sup>2</sup> (excluding the last two downstream gages) and encompass a range of land cover and  
255 climate regions on both sides of the equator, which indicate the hydrology model's skill  
256 in simulating runoff satisfactorily over a wide range in watershed conditions.

257           Comparison of modeled runoff forced with GCM-simulated and observed climate  
258 (Figure 3B) reveals generally acceptable runoff simulations in the CRB. The black dots  
259 and red (blue) vertical bars in Figure 3B show multi-model mean and maximum

260 (minimum) range of inter-annual variability in the 25 historical GCM simulations. The  
261 results suggest that model-data agreement in precipitation translates to similarly  
262 acceptable runoff simulations. The mean and the inter-annual variability of runoff within  
263 individual models generally lie within the variability of observed runoff.

264         The asymmetric seasonality and magnitude in the rainfall regimes (see SI Figure  
265 S1) exhibit strong linkages with runoff. For example, the observed peak runoff at gages 2  
266 and 6 (Figure 1) located north and south of the equator occur near the end of the rainy  
267 seasons – during Sep-Oct and Mar-Apr, respectively (Figure 4). Augmented by flows  
268 from northern and southern tributaries (e.g. gages 1, 2, 4 and 6) and by high precipitation  
269 in the tropical equatorial watersheds during the two wet seasons (MAM and SON), the  
270 main river flows (~ downstream of gage 3 in Figure 1) show low variability (Figure 4).  
271 For example, the coefficient of variation in observed (simulated) monthly flows at the  
272 basin outlet (gage 8), northern tributary (gage 2) and southern tributary (gage 4) are 0.23  
273 (0.24), 0.77 (0.80) and 0.40 (0.48), respectively.

274         Regionally, runoff in the northern (NC) and southern (SW and SE) watersheds is  
275 strongly seasonal with long dry seasons, but this is not the case in the equatorial region  
276 (Figure 5). Average watershed runoff varies between 20-70 mm during dry seasons to  
277 100-140 mm during wet seasons in the NC, SW and SE. In the equatorial region, seasonal  
278 runoff varies between 100-150mm with the highest in SON. Overall, the precipitation-  
279 runoff ratio is about 0.30 in the CRB. The accessible runoff (excluding runoff associated  
280 with flood events), which can be appropriated for human use, is about 70% of the total  
281 runoff.

### 282 ***3.2 Future projections in precipitation and runoff***

283 The near-term (2016-2035) multi-model mean (MM) change in annual  
284 precipitation in the CRB is 1% relative to the reference period 1986-2005, irrespective of  
285 the emission scenario. The mid-term (2046-2065) MM projections of annual precipitation  
286 change are 1.7% and 2.1% for RCP4.5 and RCP8.5, respectively. The inter quartile range  
287 (IQR) between model and emission scenarios varies between 1.7-2.6% in the near-term  
288 and 2.6-5.8% in the mid-term, indicating considerable variability in rainfall projections  
289 across GCMs. The inter-model variability is larger in the mid-term, and even more so for  
290 RCP8.5 (SI Table S4). Although overall change in the CRB is positive, the multi-model  
291 ensembles reveal that the model agreement varies spatially (SI Figure S4 and ref.  
292 *Aloysius et al.* [2016]). Model agreement on increasing precipitation is greater in the  
293 equatorial, northern and southwestern CRB.

294 In general, the GCMs predict decreasing precipitation in the driest parts of the  
295 southern CRB (mostly in SE, but portions of SW as well). Under the RCP8.5 scenario,  
296 the northeastern CRB also experiences reduction in precipitation in the near-term. The  
297 areas of decreased precipitation shrink in the SE and SW in the mid-term; however,  
298 drying expands in parts of northern CRB under the two emission scenarios (SI Figure  
299 S4). Most GCMs (>15) predict an increase in the NC, EQ and most of SW, whereas  
300 majority of them predict a decrease in the SE.

301 We also examined the seasonal changes in the four regions (see SI Table S4).  
302 Except in the boreal summer (JJA), precipitation in the SE region is predicted to decrease  
303 under RCP4.5; the change is modest under RCP8.5. The actual increases in the north  
304 (south) during DJF (JJA) are modest (~1mm) as these are the dry seasons. The inter-

305 model variability (SI Table S4) also exceeds the MM in all the seasonal predictions.  
306 Notably, the variability is larger in the dry seasons (e.g. DJF predictions in the NC and  
307 JJA predictions in the SE and SW). The temporal variation is further examined using  
308 monthly climatology in the reference and near- and mid-term projection periods in Figure  
309 7A-D, which also shows the seasonal variations in the major climate regions (e.g. the  
310 bimodal rainy season in the EQ and unimodal, but asymmetric wet-dry seasons in the  
311 NC, and SW and SE). The inter-model variability is larger in the rainy seasons under  
312 RCP8.5, compared to RCP4.5. Larger variability under RCP8.5 highlight that GCMs may  
313 have limited skills in simulating precipitation under high greenhouse gas emissions.

314         The spatial pattern of runoff change in the near- and mid-terms is similar to the  
315 precipitation changes, except in the northeastern CRB (3N-9N and 24E-30E) under  
316 RCP4.5 (Figure 6). The MM runoff projections show an increase of 5% (IQR 5-7%) and  
317 7% (IQR 7-11%) in the near- and mid-terms under both RCPs. A reduction in runoff  
318 occurs in the SE and parts of the SW under both RCPs. The area of decreasing runoff  
319 expands in the NC under both emission scenarios in the mid-term. Although the northern  
320 and equatorial CRB show an overall increase in precipitation, the decrease in runoff in  
321 certain parts in the NC and EQ is caused by reduction in seasonal precipitation (i.e.  
322 limited moisture supply) rather than an increase in ET; changes in temperature associated  
323 with the two emission scenarios are relatively uniform within the GCMs (see *Aloysius et*  
324 *al.* [2016], and *IPCC* [2014]). Larger reduction – up to 15% – in the SE covering most of  
325 northern Zambia is due to an overall decrease in precipitation simulated by more the half  
326 of the GCMs (see SI Figure 4). The inter-model variability of runoff at monthly time  
327 scales in the four regions (Figure 7E-H) is similar to precipitation, but with a time lag.

328 The runoff variability is larger in NC and SE compared to EQ and SW during the rainy  
329 seasons.

330 Runoff in the EQ region, which receives the highest precipitation is projected to  
331 increase between 4-7%; the increases are prominent in the secondary rainy season  
332 (MAM) than the primary (SON, Figure 7E-H, SI Table S5). However, runoff that can be  
333 appropriated for human use is generated mostly in the NC, SE and SW, which at present  
334 varies from 130mm/year in the SE to 250-400mm/year in the NC and SW (SI Table S3).  
335 Runoff in the SW is projected to increase by 6% and 10% in the near- and mid-terms. In  
336 the NC region, runoff is projected to increase by 2-4% in the near-term and decrease in  
337 the mid-term under RCP8.5, due to seasonal decreases (JJA and SON) in parts of NC (see  
338 Figure 6 and SI Tables S5 and S6).

### 339 ***3.3 Role of multi-model ensembles***

340 Extensive coordination provided by CMIP5 enabled all climate modeling groups  
341 to use a standard set of inputs, produce compatible historical and future model runs and  
342 provide their best outputs to the IPCC data archives; thus, the multi-model ensemble  
343 approach in climate change assessment presents an opportunity to examine outputs from  
344 a range of model structure biases, initial conditions, parameter uncertainties in climate  
345 model design, which vary within GCMs [Stocker, 2013; Taylor et al., 2012]. Skill in  
346 simulating historical precipitation and temperature increases when outputs from different  
347 GCMs are added (Pierce et al. [2009] and Pincus et al. [2008]). At the same time, the  
348 range of projections presented here for the two emission scenarios also highlight the  
349 uncertainties planners will encounter when making climate-related decisions. For  
350 example, broader agreement on increase in runoff in parts of the CRB (see Figure 6)



351 would help make robust decisions, whereas weaker agreement in the southern CRB calls  
352 for greater scrutiny of regional climate drivers and their representation in climate models  
353 (see *Weaver et al.* [2013] for further discussion). Along these lines, we argue that the  
354 MM approach help explore and reveal future projection uncertainties; however, we  
355 should be able to do better with a subset of models. How different are the projections if  
356 we use randomly selected subset of models or a subset that realistically simulates certain  
357 aspects in the region of interest? First, we examine the effect of MM projections based on  
358 outputs from randomly selected models out of the 25 simulations for each RCP (SI Figure  
359 S5). Projections under this random model selection method converge to MM projections  
360 as more models are added to the pool (compare values in SI Tables S4 and S5). However,  
361 with fewer models, projections vary widely and are highly dependent on the choice of  
362 GCMs.

363 GCMs generally have large uncertainties in simulating precipitation in the CRB  
364 region [*Aloysius et al.*, 2016; *Washington et al.*, 2013]. We examined a subset of models  
365 (SM – M6, M7, M18, M23 and M24, see refs. *Giorgetta et al.* [2013]; *Good et al.* [2012];  
366 *Jungclaus et al.* [2013]; *Meehl et al.* [2013]; *Siam et al.* [2013]; *Voldoire et al.* [2012];  
367 *Yukimoto et al.* [2006] and *Aloysius et al.* [2016] for further comparison of GCM  
368 performance) that reliably simulate regional climate as well as large-scale mechanisms  
369 that modulate regional climate. Based on diagnostic analyses to identify processes related  
370 to biases in atmospheric moisture and soil water balance in the CRB region, *Siam et al.*  
371 [2013] identifies few models in SM as good candidates for climate change assessment.

372 Focusing on the NC, SE and SW regions, where human appropriation of runoff is  
373 expected to increase, we find that the magnitude of annual projections (both precipitation

374 and runoff) in SM are twice that of MM in the northern region; and the extent of drying  
375 in the south is concentrated in the southern upstream watersheds. From the viewpoint of  
376 water resources for human appropriation, the changes by seasons are also important. In  
377 Figure 8, we highlight the projections in precipitation and runoff for these regions for  
378 annual and four seasons in the form of box-and-whicker plots. Both MM and SM means  
379 reveal that the projections under RCP4.5 are slightly higher than RCP8.5 in NC region,  
380 and not so in other regions. Projection uncertainties are the largest in the dry seasons  
381 (DJF in the NC and JJA in SW and SE). Figure 8 also shows moderate increase in the  
382 SW and decrease or no-change in the SE during the rainy season (DJF). Our estimates  
383 also reveal that the upstream watersheds in the SE and parts of SW are projected to get  
384 drier with decreasing runoff (SI Table S6).

385         Only part of the runoff may be appropriated for human use. In the CRB, the  
386 accessible runoff, excluding runoff associated with flood events, is nearly 70%. Overall,  
387 the MM reveals a slightly higher increase in accessible runoff (5% and 7% for near- and  
388 mid-terms for both RCPs), compared to quick/flood runoff (3% in the near-term and 5-  
389 7% in the mid-term); the increase in the SM are nearly twice that of MM. However,  
390 increase in flood runoff is nearly twice that of accessible runoff in the NC region. On the  
391 other hand, both SM and MM consistently project drying in the southeastern and  
392 northeastern headwater regions (see SI Table S6).

393         The impacts on rural livelihoods due the changes in runoff are multifaceted. On  
394 the one hand, the increases in accessible runoff enhance access to water resources; on the  
395 other hand, the increases in quick/flood runoff present additional adaptation challenges.  
396 With reduced access to water resources, the impacts on rural livelihoods and the

397 environment in the SE and parts of NC will be severe. Further, we emphasize that GCM-  
398 related variability in regional climate change predictions can be constrained by a subset  
399 of models based on attributes that modulate large-scale circulations which, in turn affect  
400 regional climate (see *Knutti and Sedlacek* [2013] and *Masson and Knutti* [2011]). This  
401 approach is particularly useful, since regions like the CRB lack complete coverage of  
402 observational data; however, the mechanisms that moderate the climate system,  
403 particularly precipitation are fairly well understood [*Hastenrath*, 1984; *Nicholson and*  
404 *Grist*, 2003; *Washington et al.*, 2013].

### 405 ***3.4 Variability in accessible flows***

406 Accessible flows (AF), which exclude flows associated with flood events (see SI  
407 Methods), are largely under-utilized in the CRB, but their appropriation is expected to  
408 increase in the future, mostly in the NC, SW and SE. We attempt to elucidate the  
409 uncertainty associated with climate model and scenario selection by quantifying seasonal  
410 and inter-model variability in AF. The seasonal variation of AF at eight major tributaries  
411 (identified in Figure 1) reveals substantial inter-model spread in the near-term (Figure 9);  
412 the model spread widens in the mid-term (SI Figure S6). The inter-model spread is large  
413 during the rainy seasons, in some cases the increase/decrease is over 50% compared to  
414 the reference period. The inter-model consensus is strong in most of the northern and  
415 southwestern tributaries (e.g. gages 1 and 6) where majority of the GCMs predict  
416 increasing precipitation. In contrast, the consensus is weak in the southeastern tributaries  
417 (e.g. gage 4). The AF in the main river (gages 3 and 8) is projected to increase in the two  
418 rainy seasons and as well as in the dry season (JJA). A close look at tributaries in the NC  
419 and SW reveals a weaker agreement on increased AF in the wet season, but a stronger

420 agreement in the dry season (compare gages 1, 2, 6 and 7 in Figure 8). Our results also  
421 show that the decrease in precipitation and AF in SE will have marginal effect on  
422 downstream flows in the main river.

423 The spatial and temporal variations in the projected AF will have consequences in  
424 water resources development and management. For example, uncertainty in predicting  
425 the AF near the proposed Grand Inga Hydropower project (near gage 8, *Showers* [2009])  
426 is low compared the predictions near the proposed trans boundary water diversion in the  
427 southeast (near gage 5, *Lund et al.* [2007]). Reductions in high and low flows in streams  
428 in the SE region will have implications on aquatic life, channel maintenance and lake and  
429 wetland flooding.

#### 430 **4. Conclusions**

431 From the point of view of climate change adaptation related to water resources,  
432 agriculture, land and ecosystem management, the challenge faced by CRB countries is  
433 recognizing the value of making timely decisions in the absence of complete knowledge.  
434 To be of use to planners, the spatial and temporal variability of hydro-climatic change in  
435 the CRB is presented with appropriate details. The results presented here show a range of  
436 runoff projections under two broad assumptions, that i) individual GCM biases will  
437 cancel and that MM mean projections are more likely correct and ii) selection of GCMs  
438 that simulate mechanisms reliably is a better option for climate change assessment.

439 Our analyses highlight that precipitation and runoff changes under business-as-  
440 usual and avoided greenhouse gas emission scenarios (RCP8.5 vs. RCP4.5) are rather  
441 similar in the near-term, but deviate in the mid-term, which underscores the need for  
442 rapid action on climate change adaptation. Development and implementation of

443 adaptation strategies are often connected with large investments. Precipitation projections  
444 by GCMs, and subsequently runoff projections reveal considerable differences, which  
445 necessitate the need for multi-model evaluations of climate change impacts. With the  
446 focus on runoff – often the primary and easily accessible source of water, we show that  
447 accessible water resources increases in most parts of the CRB, with the exception in the  
448 southeast and parts of northeast.

449 Comparing the MM and SM projections, the increase in runoff in the mid-term  
450 are higher under RCP8.5 (7-14%) than RCP4.5 (6-10%), however, both accessible and  
451 flood runoffs are increasing. The projected increases in accessible runoff present new  
452 opportunities to meet the increasing demands (e.g. drinking water, food production and  
453 sanitation), while the enhanced flood runoff poses new challenges (e.g. flood protection  
454 and erosion control). On the other hand, water managers will face different challenges in  
455 the southeast where precipitation and runoff are projected to decrease. Projection  
456 uncertainties vary widely by region within the CRB, and therefore adaptation and robust  
457 planning strategies will vary within the river basin, and will depend on the risk attitudes  
458 of resource planners.

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