

1 **Technical Note: A hydrological routing scheme for the** 2 **Ecosystem Demography model (ED2+R)**

3
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19 20 **Abstract**

21 Land surface models are excellent tools for studying how climate change and land use affect
22 surface hydrology. However, in order to assess the impacts of earth processes on river flows,
23 simulated changes in runoff need to be routed through the landscape. In this Technical Note,
24 we describe the integration of the Ecosystem Demography (ED2) model with a hydrological
25 routing scheme. The purpose of the study was to create a tool capable of incorporating the
26 terrestrial ecosystem responses to climate, carbon dioxide, and land-use change –as simulated
27 with terrestrial biosphere models– to hydrological predictions. The resulting ED2+R model
28 calculates the lateral routing of surface and subsurface runoff resulting from the terrestrial

1 biosphere models' vertical water balance in order to determine spatio-temporal patterns of river
2 flows within the simulated region. We evaluated the ED2+R model in the Tapajós, a 476,674
3 km² river basin in southeastern Amazonia, Brazil. The results showed that the integration of
4 ED2 with the lateral routing scheme results in an adequate representation (Nash Sutcliffe
5 Efficiency up to 0.76, Kling Gupta Efficiency up to 0.86, Pearson's R up to 0.88, and Volume
6 Ratio up to 1.06) of daily to decadal river flow dynamics in the Tapajós. These results are a
7 consistent step forward with respect to the 'no river representation' common among terrestrial
8 biosphere models as the native version of ED2.

9

10 **1 Introduction**

11 Understanding the impacts of deforestation (e.g., Lejeune et al. 2015; Medvigy et al. 2011;
12 Andréassian 2004) and climate change (e.g., Jiménez-Cisneros et al. 2014) on the earth's water
13 cycle has been a topic of substantial interest in recent years because of potential implications to
14 ecosystems and society (e.g., Wohl et al. 2012; Brown et al., 2005). Analyses of impacts of
15 climate change on the earth's water cycle are increasingly using terrestrial biosphere models,
16 which are capable of estimating changes in the vertical water balance as a function of climate
17 forcing and and/or land-use induced changes in canopy structure and composition (Zulkaflī et
18 al. 2013). Terrestrial biosphere models actively used for hydrological and earth systems
19 sciences include: the Joint UK Land Environment Simulator (JULES) (Best et al. 2011; Clark
20 et al. 2011); the Community Land Model (CLM) (Lawrence et al. 2011; Oleson et al. 2010);
21 the Lund-Potsdam-Jena (LPJ) land model (Gerten et al. 2004; Sitch et al. 2003); the Max Plank
22 Institute MPI-JSBACH model (Vamborg et al. 2011; Raddatz et al. 2007); and the Integrated
23 Biosphere Simulator (IBIS) (Kucharik et al. 2000).

24 Initial formulations of the hydrological processes within terrestrial biosphere models were
25 based on simple "bucket" model formulations (Cox et al. 1999 after Carson 1982). Moisture
26 within each climatological grid cell of the domain was simulated in a single below-ground pool
27 in which surface temperature and specific soil moisture factors determined evaporation, while
28 runoff was equal to the bucket overflow (Cox et al. 1999; Carson 1982). Since that formulation,
29 the hydrologic schemes within terrestrial biosphere models have become increasingly
30 sophisticated. In the most recent generation of land surface models, water fluxes in and out of
31 the soil column are vertically-resolved and take into account feedbacks among the different
32 components, for instance, through an explicit formulation of the soil-plant-atmosphere

1 continuum that allows a detailed representation of the interactions between evapotranspiration,
2 soil moisture and runoff (Clark et al. 2015).

3 To couple the calculation of the one-dimensional water balance to the estimation of daily river
4 flows, there is the need to simulate multiple hydrological dynamics involved in the lateral flow
5 propagation through the landscape, including the most complex hydraulic features of
6 floodplains, lakes, and wetlands (Yamazaki et al. 2011). The first step towards representing the
7 finer scale hydrodynamic processes responsible for patterns in river gauge observations, is to
8 consider the topographic and geomorphological features that control water flow (Arora et al.
9 1999). The coarse spatial resolution of regional land surface models, due to computational
10 constraints, does not allow to properly simulate complex hydrological dynamics determined by
11 fine scale topography in river channels and floodplains (Yamazaki et al. 2011; Kauffeldt et al.
12 2016). However, the combination of the terrestrial models with routing schemes can be used to
13 simulate the implications of global and regional environmental changes for flood/drought
14 forecasting, water resources planning and management, and infrastructure development
15 (Andersson et al. 2015). Consequently, several terrestrial biosphere models have been
16 integrated with routing schemes. For example, JULES has been integrated with the Total
17 Runoff Integrating Pathways (TRIP) to evaluate the accuracy of its estimates of annual
18 streamflow (Oki et al. 1999). This integrated model was used after to investigate the status of
19 the global water budget (Oki et al. 2001). Rost et al. (2008) also used a modelling framework
20 composed of the global dynamic vegetation model, LPJ, and a simple water balance model to
21 quantify the global consumption of water for rainfed and irrigated agriculture. An offline
22 coupling of the dynamic vegetation model, ISIS, and HYDRA – which simulates the lateral
23 transport of water through river, lakes and wetlands – was proposed in Coe et al. (2008) with
24 the purpose of reproducing linkages between land use, hydrology and climate. Moreover, Liang
25 et al. (1994) developed and tested the coupling of the well-known VIC model with a general
26 circulation model (GCM) to improve the GCM's capability to capture the interactions between
27 surface hydrology and atmosphere. For the same purpose, the MPI hydrological discharge
28 model was validated with NCEP reanalysis and parametrized for simulating the river routing
29 for climate analysis at global scale (Hagemann and Gates 2001; Hagemann and Dumenil 1997).
30 Several routing schemes have been designed over time, including: normal depth, modified
31 pulse, simple Muskingum, and Muskingum Cunge (USACE 1991). In particular, the semi-
32 distributed kinematic wave routing Muskingum Cunge method has been recognized for its
33 stability over different spatial and temporal modeling resolutions (USACE 1991; Miller and

1 Cunge 1975; Cunge 1969), and it was adopted in the most widely used regional scale
2 hydrological models, such as VIC, SWAT, and MGB-IPH.

3 Recent studies have investigated regional patterns of rainfall and biosphere temperature as
4 influenced by land- use (Ostberg et al. 2015; Bahn et al. 2014; Pearson et al. 2013). These
5 studies have used historical reconstructions of land-use based on satellite information and data
6 on agricultural production and population (Hurtt et al. 2006; Goldewijk 2001; Ramankutty and
7 Foley 1999). These studies evidenced the occurrence of conversion of land from its natural state
8 over the same time frame as observed fluctuations of rainfall and air temperature occurred,
9 aspects fully analysed by terrestrial biosphere models. However, these modeling frameworks
10 tend to assume global and regional changes in the biosphere as result of dynamics of vegetation
11 in a collection of landscapes given by forests, deserts, and farmland only. Inland surface waters
12 (e.g. rivers, lakes and wetlands) were not considered as an interactive component of the
13 biosphere, and hence the climate system (Cole et al. 2007). A modeling framework that
14 represents changes in inland surface waters (e.g. surface water area and volume) comes as one
15 of the steps to understand the interactions between surface hydrology and climate.

16 The Ecosystem Demography (ED2) is a terrestrial biosphere model that simulates the coupled
17 water, carbon, and energy dynamics of terrestrial land surfaces (Longo 2014; Medvigy et al.
18 2009; Moorcroft et al. 2001) to describe the coupled water, carbon and energy dynamics of
19 heterogeneous landscapes (Hurtt et al. 2013; Medvigy et al. 2009; Moorcroft et al. 2001). ED2's
20 ability to incorporate sub-grid scale ecosystem heterogeneity arising from land-use change
21 makes the model suited for investigating how the combined impacts of changes in climate,
22 atmospheric carbon dioxide concentrations, and land-cover affect terrestrial ecosystems. For
23 example, ED2 was successfully used to simulate the carbon flux dynamics in the North
24 American continent (Hurtt et al. 2002; Albani et al. 2006), and to assess the impacts on
25 Amazonian ecosystems of changes in climate, atmospheric carbon dioxide and land use (Zhang
26 et al. 2015). Moreover, ED2, coupled with a regional atmospheric circulation component, has
27 been also successfully applied to assess the impacts of deforestation on the Amazonian climate
28 (Knox et al. 2015; Swann et al. 2015). The mentioned studies were not aimed at assessing
29 hydrological implications of changes in land use and climate. These works proved the validity
30 of ED2 as a tool able to assess impacts from global and regional changes on ecosystem function,
31 and built the basis for a possible development of an integrated tool aimed at analyzing
32 hydrological implications. In this technical note, we describe the integration of ED2 with a

1 hydrological routing scheme. The hydrological routing scheme chosen was adapted from the
2 MGB-IPH (Collischonn et al. 2007). This exercise is aimed at calculating the lateral
3 propagation and attenuation of the surface and subsurface runoff resulting from the vertical
4 balance calculations, reproducing in this way daily river flows through a large river basin. The
5 advantage of the proposed model is the ability to predict the sensitivity of river flows to global
6 and regional environmental changes as climate and land-use changes. The new product
7 combines the advantages of biosphere and hydrological models, bringing together global,
8 regional, and local scale hydrological dynamics in a single modeling framework. The resulting
9 model is intended to be used as computational tool to explore the following research questions:

10 (1) How do current and future climate and land cover affect water availability in river
11 systems?

12 (2) How can land-use driven changes influence the water availability for human activities
13 (hydropower, food production, urban supply)?

14 (3) What are the implications of those changes for management of water and land
15 resources?

16 These research questions are in line with key problems raised in the literature, focusing on the
17 importance of large scale modelling and remote sensing to fill knowledge gaps in water
18 resources and hydrological dynamics (Alsdorf et al. 2007; Prigent et al. 2007). The product
19 obtained from this exercise was tested in the Tapajós basin, a large river system in southeastern
20 Amazonia, Brazil.

21

22 **2 Ecosystem Demography (ED2) model**

23 ED2 is a terrestrial biosphere simulation model capable of representing biological and physical
24 processes driving the dynamics of ecosystems as a function of climate and soil properties.
25 Rather than using a conventional “ecosystem as big-leaf” assumption, ED2 is formulated at the
26 scale of functional and age groups of plants. Ecosystem-scale dynamics and fluxes are
27 calculated through a scaling procedure to reproduce macroscopic behavior of the ecosystem
28 within each climatological grid-cell. It simulates ecosystem structure and dynamics as well as
29 the corresponding carbon, energy, and water fluxes (Figure 1; Hurtt et al. 2013; Medvigy et al.
30 2009; Moorcroft et al. 2001). ED2 simulates the dynamics of different plant functional types
31 subdivided into tiles with a homogeneous canopy (Swann et al. 2015; Medvigy et al. 2009).

1 The dynamic tiles represent the sub-grid scale heterogeneity in ecosystem composition within
2 each cell. Grid cell size is determined by the resolution of meteorological forcing and soil
3 characteristics data, typically from 1 to 0.001 degrees (~ 110 to 1 km). ED2 simulates biosphere
4 dynamics taking into consideration natural disturbances, such as forest fires and plant mortality
5 due to changing environmental conditions, as well as human-caused disturbances, such as
6 deforestation and forest harvesting (Medvigy et al. 2009; Albani et al. 2006). Disturbances are
7 expressed in the model as annual transitions between primary vegetation, secondary vegetation,
8 and agriculture (cropland and pasture) (Albani et al. 2006). Natural disturbance, such as
9 wildfire, is represented in the model by the transition from primary vegetation (forest in the
10 case of the Amazon) to grassland-shrubland, and subsequently to secondary vegetation (forest
11 re-growth); the abandonment of an agricultural area is represented with the conversion from
12 grassland to secondary vegetation, while forest logging is represented by the transition from
13 primary or secondary vegetation to grassland. The model is composed of several modules
14 operating at multiple temporal and spatial scales, including plant mortality, plant growth,
15 phenology, biodiversity, soil biogeochemistry, disturbance, and hydrology (Longo 2014;
16 Medvigy et al. 2009). A selection of the main parameters and the input used for this study are
17 presented in Table 1, and for a more complete description of the model, we refer the reader to
18 the literature available (Zhang et al. 2015; Longo 2014; Kim et al. 2012; Medvigy et al. 2009;
19 Moorcroft et al. 2001).

20

21 2.1 ED2 hydrology module

22 In this section, we describe in further detail the hydrological sub-component, most related to
23 the topic of this specific study. The hydrological module of the ED2 model is derived from the
24 Land Ecosystem-Atmospheric Feedback model (LEAF-2) (Walko et al. 2000). The model
25 computes the water cycle through the vegetation, air-canopy space, and soils, which results in
26 daily estimates of subsurface and surface runoff from each grid cell, isolated from the others in
27 the domain. The number of soil layers and their thickness influence the accuracy with which
28 the model is able to represent the gradients near the surface. Soil composition was derived from
29 Quesada et al. (2010) and from the IGBP-DIS global soil data (Global Soil Data Task 2014).
30 As described in Zhang et al. (2015), the mean fraction values of sand and clay were assigned to
31 each grid-cell at 1 km resolution and then aggregated at 1 degree resolution. Due to limited data
32 availability, soils were assumed to be homogeneous for a depth of 6 meters. Hydraulic

1 conductivity of the soil layers is a function of soil texture and moisture (Longo 2014).
2 Groundwater exchange is a function of hydraulic conductivity, soil temperature and terrain
3 topography. Water percolation is limited to the bottom layer by the subsurface drainage,
4 determining the bottom boundary conditions. Vegetation historical records and land use
5 transitions were derived from the Global Land Use Dataset (Hurt et al. 2006). A more detailed
6 description of the hydrological sub-component of the ED2 model is available in Longo (2014).

7

8 **3 ED2 runoff routing scheme (ED2+R)**

9 River routing schemes are commonly used to compute the lateral movement of water over land
10 in hydrology models for large river basins. In this way, the prediction performance of models
11 can be evaluated using river discharge measurements. The use of routing schemes was then
12 extended to earth system models in order to capture the impacts of man-made structures (e.g.
13 dams and reservoirs) and floodplain wetlands on the climate system (Li et al., 2011; Yamazaki
14 et al., 2011).

15 Daily runoff estimates from ED2 were computed for specific grid cells independently; therefore
16 a hydrological routing scheme was linked to this model in order to estimate flow attenuation
17 and accumulation as water moves through the landscape. The hydrological routing scheme
18 chosen was adapted from the original formulation of the MGB-IPH, a rainfall-runoff model that
19 has been used extensively in large river basins in South America (Collischonn et al. 2007). This
20 model was later developed using hydrodynamic solutions and floodplain coupling (Pontes et
21 al. 2015; Paiva et al. 2013). Although the later development increased the modeling capabilities
22 of the MGB-IPH in representing fine scale dynamics, given the regional application of our tool,
23 for the ED2+R we decided to use the typical application of the MGB-IPH characterized by the
24 Muskingum-Cunge approach. The original MGB-IPH model is composed of four different sub-
25 models: soil water balance, evapotranspiration, intra-cell flow propagation, and inter-cell
26 routing through the river network; only the catchment and river routing methods were utilized.
27 The resulting ED2+R model computes the daily total volume of water passing through any
28 given grid cell in the resulting drainage network in two separate steps: first, ED2 estimates of
29 daily surface and subsurface runoff from each grid cell are divided into three linear reservoirs
30 with different residence times to represent overland flow, interflow and groundwater flow
31 (Figure 2). The reservoirs are used to determine the contribution and attenuation of river flow
32 by different soil layers, characterized by different routing times. The sum of overland flow,

1 interflow, and groundwater flow is then moved from each grid cell into the drainage network,
 2 designed in the pre-processing phase using data from a digital elevation model (DEM) from the
 3 Shuttle Radar Topography Mission at a 90-meter resolution and the Cell Outlet Tracing with
 4 an Area Threshold algorithm (COTAT) (Reed 2003). Each DEM grid cell therefore becomes
 5 part of a flow path, which then accumulates water to a final downstream drainage network
 6 outlet. A complete description of the technique for defining drainage networks from DEMs
 7 employed in this study can be found in Paz et al. (2006). Once water reaches the drainage
 8 network, ED2+R adopts the Muskingum-Cunge numerical scheme for the solution of the
 9 kinematic wave equation, which also accounts for flow attenuation, using a finite-difference
 10 method as a function of river length, width, depth and roughness, as well as terrain elevation
 11 slope (Collischonn et al. 2007; Reed 2003). Statistical relationships for the river morphology
 12 were obtained as a function of the drainage area based on geomorphic data collected by Brazil's
 13 National Water Agency (ANA) and the Observation Service for the geodynamical, hydrological
 14 and biogeochemical control of erosion/alteration and material transport in the Amazon basin
 15 (HyBAM) at several gauging stations in the Amazon and Tocantins basins as presented by Coe
 16 et al. (2008). Further studies successfully derived geomorphological relations to estimate river
 17 geometric parameters and carry out hydrodynamic simulations of the Amazon River system
 18 using a similar approach (Paiva et al., 2013; Paiva et al., 2011). Multiple groups of grid cells
 19 with common hydrological features, or hydrological response units, can be created in order to
 20 parameterize and calibrate ED2+R. In our approach, hydrological traits associated with soil and
 21 land cover are primarily computed in ED2, thus we calibrated ED2+R at the subbasin level as
 22 delineated based on the DEM. Details about the calibration procedure are provided in the next
 23 section.

24 Model's performance was calculated through the adoption of widely used indicators:

25 - Pearson's R correlation coefficient (Pearson 1895), calculated as in Equation 1:

$$26 \quad R = \frac{\sum sim*obs - \frac{(\sum sim)(\sum obs)}{n}}{\sqrt{\left(\sum sim^2 - \frac{(\sum sim)^2}{n}\right)\left(\sum obs^2 - \frac{(\sum obs)^2}{n}\right)}} \quad (1)$$

27 Where *sim* and *obs* are the simulated and observed time series, while *n* is the number of time
 28 steps of the simulation period.

- 1 - Volume Ratio, calculated as ratio of the simulated (*sim*) and observed (*obs*) total water
 2 volume in the simulation period without consideration for the seasonal distribution of
 3 flow, as in Equation 2:

$$4 \quad VR = Vol_{sim} / Vol_{obs} \quad (2)$$

- 7 - Nash-Sutcliffe Efficiency (NSE) coefficient (Nash & Sutcliffe 1970), calculated as in
 8 Equation 3:

$$10 \quad NSE = 1 - \frac{\sum_1^n |obs_i - sim_i|^2}{\sum_1^n |obs_i - \overline{obs_i}|^2} \quad (3)$$

11 Where obs_i and sim_i are the observed and simulated data at time i , $\overline{obs_i}$ is the mean of the
 12 observed data, and n is number of time steps of the simulation period.

- 13 - Kling Gupta Efficiency (KGE) index, both 2009 and 2012 versions, calculated as in
 14 Equation 4:

$$15 \quad KGE = 1 - \sqrt{(s[1](r - 1))^2 + (s[2](vr_{2009 \text{ or } 2012} - 1))^2 + (s[3](\beta - 1))^2} \quad (4)$$

17 Where, s are scaling factors; r is the Pearson's correlation coefficient; β is the ratio between
 18 the mean of the observed values and the mean of the simulated values; vr is the variability ratio,
 19 defined as vr_{2009} (simulated vs observed standard deviation ratio, Equation 5) for the 2009
 20 method, and vr_{2012} (ratio of coefficient of variation of simulated and coefficient of variation
 21 of observed values, Equation 6) for the 2012 method (Kling et al. 2012; Gupta et al. 2009).

$$22 \quad vr_{2009} = \sigma_{sim} / \sigma_{obs} \quad (5)$$

$$24 \quad vr_{2012} = \frac{CV_{sim}}{CV_{obs}} = \frac{\sigma_{sim} / \mu_{sim}}{\sigma_{obs} / \mu_{obs}} \quad (6)$$

26 The optimal value for the Pearson's R, VR, NSE, and KGE indexes is 1: the closer to this value,
 27 the more accurately the model reproduces the observed values.

28 Missing observations in the river flow records (HYBAM and ANA) were filled via linear spatial
 29 and temporal interpolation between the series in neighboring gauge stations (Equation 7):

$$31 \quad Obs_y(t) = K + \beta_1 \cdot Obs_z(t) + \beta_2 \cdot Obs_q(t) + \beta_3 \cdot Obs_y(t - 365) + \beta_4 \cdot Obs_y(t + 365) \quad (7)$$

1

2 Where z , y , and q are three gauge stations with time series highly correlated (Pearson's $r \geq 0.85$),
3 and t expresses time in days. The estimated β coefficients in Equation 7 were used for the
4 estimation of the missing observations in the site y (Table 2). The interpolation of the gauge
5 historical records was necessary to have continuous time series with a sufficient number of
6 observations to calibrate and validate the ED2+R application in the basin.

7 For the presentation of the results, in order to compare the simulated and observed values, we
8 also used flow duration curves (FDCs). FDCs are a cumulate frequency plots that show the
9 percentage of simulations steps (days in the case presented in this study) in which the discharge
10 is likely to equal or exceed a specific value, without taking into consideration the sequence of
11 the occurrence.

12

13 **4 Case Study: Tapajós river basin**

14 We parameterized and evaluated the ED2+R formulation for the Tapajós River Basin, the fifth
15 largest tributary of the Amazon. It drains an area of 476,674 km² in southeastern Amazonia,
16 within the Brazilian states of Mato Grosso, Pará and Amazonas. The main rivers in the basin
17 are the Tapajós (with a length greater than 1,800 km and average discharge of 11,800 m³ s⁻¹),
18 Juruena (length of approximately 1,000 km and discharge of 4,700 m³ s⁻¹), and Teles Pires
19 (also known with the name Sao Manoel, about 1,600 km long and average discharge of 3,700
20 m³ s⁻¹). The river system flows northwards, with terrain elevation ranging from about 800
21 meters above sea level in the southern part, to a few meters above sea level in its confluence
22 with the Amazon river (ANA, 2011). The basin ecosystems are mainly represented by tropical
23 evergreen rainforests in the northern part (in the states of Amazonas and Pará), and Cerrado dry
24 vegetation in the south (Mato Grosso). Precipitation range from about 1,500 mm y⁻¹ in the
25 headwaters (southern part), to about 2,900 mm y⁻¹ towards the basin's outlet (Figure 3 a - b).
26 Rainfall temporal distribution is characterized by a clear seasonal distinction; total precipitation
27 in the wet season (September to May) could be as high as 400 mm month⁻¹ in the most tropical
28 areas, whereas in the dry season (June to August), precipitation is close to zero in the Cerrado
29 and as low as 50 mm month⁻¹ in the wetter areas (Mohor et al., 2015). As a result of the large
30 rainfall seasonal variability, river flows are also extremely variable: the mean monthly flow of
31 the Tapajós river range between about 2,300 and 28,600 m³ s⁻¹ according to the historical
32 records used for the calibration of our model. Soils vary from those typically seen in the

1 Brazilian shield in the south of the basin to alluvial sediments in the north. Land-use, almost
2 completely represented by primary forest until the 1970s, was radically changed in recent
3 decades. As estimated from the land-use/land-cover dataset set used in this study (Hurtt et al.
4 2006), in the late 2000s only about 56% of the basin (270,000 km²) was covered by the original
5 vegetation cover. Large parts of the basin laying in the territory of Mato Grosso, were cleared
6 to make room for agricultural and livestock production, while vast areas around the border
7 between the state of Pará and Mato Grosso were cleared for cattle production. The northern
8 portion of the basin is largely protected by natural parks or indigenous lands, but large
9 deforestation hotspots could be identified around the cities of Santarem and Itaituba and along
10 the main transportation routes (Figure 3c). For a more detailed description of the basin's
11 physical characteristics and historical analysis of trends in deforestation, precipitation and
12 discharge, we refer the reader to Arias et al. and Farinosi et al. (under review).

13 For calibration purposes the basin was divided into seven sub-basins, each of them with a
14 corresponding gauge for which historical daily river flow observations were available (Figure
15 4a). The domain was gridded with a spatial resolution of 0.5° by 0.5°, roughly corresponding to
16 55 km by 55 km. Simulations were carried out for the period 1970-2008. The ED2 model was
17 forced using reconstructed climate (Sheffield et al. 2006) and land use/land cover data (Hurtt et
18 al. 2006; Soares-Filho et al. 2006) at 1-degree spatial resolution. The original meteorological
19 dataset has a 3-hour temporal resolution, which was downscaled to an hourly resolution, as
20 described in Zhang et al. (2015). In this technical note, we describe the calibration of the flow
21 routing component of the ED2+R. The parameterization of the ED2 terrestrial biosphere model
22 was developed and evaluated independently using eddy-flux tower observations of carbon,
23 water, and energy fluxes and forest inventory observations of above-ground biomass dynamics.
24 Further details are available elsewhere (Zhang et al. 2015, Longo 2014).

25 *ED2+R Model Calibration:* The ED2+R model was manually calibrated using gauge
26 observations (HYBAM and ANA) spanning a period of 17 years, from 1976 to 1992 (the period
27 1970-1975 was not considered in order to avoid simulation initiation effects) through a two-
28 step procedure, as highlighted in Figure 2. The first step is the partitioning of the flows from
29 the two reservoirs (surface and sub-surface) of the ED2 biosphere model to the three reservoirs
30 (surface, intermediate, base) of the ED2+R routed biosphere model (parameters α and β in
31 Figure 2). In particular, α (ranging from 0 to 1, or from 0% to 100%) represents the share of
32 ED2 surface runoff allocated to the ED2+R surface reservoir. The remaining part ($1 - \alpha$) is

1 allocated to the ED2+R intermediate reservoir. β represents a similar partitioning coefficient
2 for the ED2 sub-surface reservoir to the ED2+R intermediate and base reservoirs. The second
3 step relates to the adjustment of the residence times of the water flows in the three reservoirs
4 for each of the grid cells in each of the subbasins (overland, intermediate, and groundwater
5 flows – CS , CI , CB in Figure 2).

6 In the first step, following the methodology described by Anderson (2002), the sensitivity of
7 the α and β parameters was tested by running the model multiple times (>30). For each run, the
8 Nash-Sutcliffe indicator (NSE) (Nash & Sutcliffe 1970) was quantified comparing the results
9 of the simulation to historical flow observations. The combinations of the α and β parameters
10 characterized by the largest NSE were selected. Parameters α and β were assumed to be uniform
11 for the whole basin. Figure 5 shows the different combinations of the α and β parameters
12 introduced in Figure 2. The color bar indicates the NSE resulting from the comparison between
13 the simulated and observed river flow values obtained using different combinations of the
14 parameters α (x axis) and β (y axis). The chosen combination (indicated by an x in Figure 5)
15 lies in one of the optimal combination areas (NSE ~ 0.8).

16 In the second step, the residence times (τ) of flow within the ED2+R reservoirs of each grid cell
17 in the domain were calibrated (CS , CI , and CB in Figure 2). The calibration procedure
18 characterizing the second step is similar to the previous one but in this case the calibration is
19 repeated for each subbasin sequentially; the calibration process was conducted from the furthest
20 upstream subbasins – headwaters – to the final outlet of the basin (Anderson 2002). The model
21 was run multiple times (between 30 and 50 per subbasin) with different combinations of the
22 three parameters (CS , CI , and CB in Figure 2); for each run, the goodness-of-fit was quantified.
23 This allowed us to design a sensitivity curve of the model to different combinations of the three
24 parameters for each of the seven subbasins, and to select the combination that best approaches
25 the historical observations. Figure 6 shows how the model is sensitive to marginal variation in
26 initial conditions of baseflow, particularly in the upstream section (i.e. UTP - Upper Teles Pires,
27 UJ – Upper Juruena, and LTP – Lower Teles Pires). Changes in initial groundwater were
28 controlled by the initialization five year period, thus contributions to the downstream part of
29 the basin had minimal impact (i.e. UT and LT - Upper and Lower Tapajós).

30 Figure 7 describes the calibration of the residence time for each of the subbasins. The different
31 combinations of the values assigned to the parameters CS , CI , and CB significantly affect the
32 overall goodness-of-fit of the river flow simulations (NSE indicator). The calibration process

1 was conducted from the furthest upstream subbasins – headwaters – (UTP – Upper Teles Pires,
2 UJ – Upper Juruena, and JA – Jamanxim) to the final outlet of the basin (LT – Lower Tapajós).
3 The different combinations are marked with the corresponding NSE value; the optimal
4 combination is marked in red (Figure 7).

5 The period 1993-2008 was used for model evaluation. Comparison between observations and
6 simulated flows (goodness-of-fit) were carried out using Pearson's R correlation coefficient
7 (Pearson 1895), volume ratio (VR), the Nash-Sutcliffe Efficiency (NSE) coefficient (Nash &
8 Sutcliffe 1970), and the Kling Gupta Efficiency (KGE) index (Kling et al. 2012; Gupta et al.
9 2009) (Table 3).

10

11 **5 Results**

12 The integration of the routing scheme with ED2 increases the ability of the model to reproduce
13 the observed temporal variations in river flows at the basin outlet (Figure 8). This statement
14 applies to all of the sub-basins, as the application of the routing scheme improved the model's
15 performance between simulated and observed values with respect to all the four measures
16 selected (Nash-Sutcliffe (NSE), Kling Gupta (KGE), Pearson's R correlation, and volume
17 ratio) (Table 3). Both routed (ED2+R) and non-routed (ED2) simulation results manage to
18 reproduce the observed water availability (quantity of water available) in the basin in terms of
19 volume. The volume ratio at the furthest downstream sub-basin (Lower Tapajós), in fact, ranges
20 around the optimal value for both validation and calibration periods (ED2 1.11-1.13, ED2+R
21 1.06-1.13). The routing scheme improves the ability of the model to reproduce the spatio-
22 temporal distribution of water flows across the basin: both the NSE and the KGE indexes
23 reached values ranging between 0.76 and 0.86 in the calibration, and 0.68-0.80 in the validation
24 period (Table 3). Also, the correlation values confirm the results of the other indexes, reaching
25 0.88 for the calibration and 0.86 for the validation period. The performance of the presented
26 tool is evident also analyzing FDCs (Figure 9 a - g). The adoption of the river routing scheme
27 allows a more realistic representations of the high discharge values (flow equaled or exceeded
28 0 to 20/30% of the time), and low discharge values (flow equaled or exceeded 60 to 100% of
29 the time) in all the sections of the basin (Figure 9). The model's performance in simulating river
30 flows is generally more robust in the downstream sub-basins (NSE 0.68-0.77, and KGE 0.76-
31 0.84 in the Upper and Lower Tapajós) and poorer in the headwaters (NSE 0.28-0.45, and KGE
32 0.38-0.61 in the Upper Juruena and Upper Teles Pires). In the Upper Teles Pires and Upper

1 Juruena, the model achieved the lowest NSE (0.28 and 0.29 respectively in the calibration, and
2 0.37 and 0.45 in the validation period), and KGE values (0.61 and 0.50 calibration, and 0.63
3 and 0.38 validation). Although water volumes are correctly reproduced in both the sub-basins
4 (VR between 1.01 and 0.98 in the calibration, and 1.03 and 1.01 in the validation period), the
5 seasonal variability is less accurate (correlation 0.64-0.68, and 0.63-0.54). The KGE, NSE and
6 correlation indices are closer to the optimal value in the central and lower part of the basin, in
7 particular in the Lower Juruena (calibration - NSE 0.65, KGE 0.64, correlation 0.82; validation
8 - NSE 0.63, KGE 0.67, correlation 0.81), Lower Teles Pires (calibration - NSE 0.71, KGE 0.67,
9 correlation 0.85; validation - NSE 0.67, KGE 0.60, correlation 0.85), Upper Tapajós
10 (calibration - NSE 0.77, KGE 0.82, correlation 0.88; validation - NSE 0.75, KGE 0.81,
11 correlation 0.88), and Lower Tapajós (calibration - NSE 0.76, KGE 0.83, correlation 0.88;
12 validation - NSE 0.68, KGE 0.76, correlation 0.82) (Table 3).

13 FDCs, representing the probability of the flow values to exceed a specific discharge, highlight
14 the positive effect of the application of the routing scheme in ED2+R across the entire range of
15 flow variability (Figure 9). The simulated FDCs follow the same shape of the observed ones in
16 the furthest upstream subbasins, especially in the cases of the Upper Juruena and Upper Teles
17 Pires, implying that the routing scheme is effective in maintaining the simulated discharge range
18 (Upper Juruena 1,200-2,480 m³ sec⁻¹, Upper Teles Pires 393-4,130 m³ sec⁻¹) in line with the
19 observations (1,030-2,400 and 302-2,767 m³ sec⁻¹, respectively). This is especially true for the
20 lowest flows, where the error between simulated and observed curves is lower than 15% (Figure
21 9 a-b, Figure A.1). Regarding the intermediate subbasins, Lower Juruena and Lower Teles
22 Pires, flood duration curves show that the model overestimates the lowest values of the
23 distribution up by approximately 30% of the observed values (flow equaled or exceeded 60 to
24 100% of the time in Figure 9 c-d). Similar overestimation of the model could be noticed in the
25 furthest downstream subbasins, Upper and Lower Tapajós (Figure 9 e-g). The overestimation
26 of the lower discharge values highlighted in Figure 9g, is also evident in the multiyear
27 hydrograph (Figure 8), which shows that the ED2+R simulation results overestimate (by about
28 40% on average in the discharge values included in the range 60 to 100% in Figure 9 g) the
29 observations during the dry seasons of the period under consideration.

30

1 **6 Discussion**

2 As the results in Table 3 and Figures 8 - 9 show, the one-way integration of ED2 with a routing
3 scheme increases the performance of simulated daily discharges. Although this could appear
4 obvious from a hydrological modeling perspective, the significance of this study lies in the fact
5 that terrestrial biosphere models, which are widely applied to examine the impacts of climate
6 and land use on the hydrology of the land surface, are typically “no river representation”
7 models. The incorporation of ecosystem responses to climate, carbon dioxide, and land-use
8 changes simulated by terrestrial biosphere models with hydrological modeling improves the
9 representation of the hydrological characteristics of basins characterized by large forest cover
10 and/or large deforestation rates. In applications in the tropics, the one-way integration of the
11 terrestrial biosphere model and the routing scheme (i.e. the two tools are not fully coupled)
12 could lead to a partially inaccurate representation of the seasonally flooded ecosystems, a
13 relevant aspect as documented in the literature (Cole et al. 2007).

14 As seen in Figure 9, the performance of the model in simulating river flows in the basin is
15 generally higher in the downstream sub-basins and poorer in the headwaters. Several factors
16 are likely to cause this issue, both from the simulation of the hydrological dynamics in ED2,
17 the flow partitioning (α and β parameters), and the basin hydraulic characteristics in ED2+R.
18 The accurate calibration of the biosphere model with flux tower observations (Zhang et al.
19 2015; Longo et al. 2014) and the optimization of the flow partitioning, make us believe that this
20 is due to the relatively coarse spatial resolution of the model in combination with the limitations
21 typical of most land surface models in capturing the interactions with deep groundwater
22 (Lobligeois et al. 2014; Zulkafli et al. 2013; Smith et al. 2004). We believe that the error is
23 arising from the complexities associated with deep soils present in the headwaters of the
24 Tapajós basin. In particular, in the model application developed, soil layers are represented to
25 a depth of 6 meters (Table 1), which might be too shallow to more realistically represent the
26 conditions in the headwaters of the basin. The importance of groundwater is also evident from
27 the calibration of the residence time of the groundwater flow: as shown in Figure 7, in fact,
28 especially in the headwaters, even small variations in the CB parameter largely affect the model
29 performance (specifically quantified with NSE in Figure 7). The combined effect of
30 groundwater interactions and spatial resolution is more evident in the upstream subbasins
31 because of the greater marginal contribution of baseflow in these areas. Surface flow
32 accumulation, in fact, is lower in the headwaters. Therefore, in relative terms, the role of

1 baseflow is more relevant in this portion of any basin. Further downstream, the effect of
2 groundwater interactions and spatial resolution is, at least in part, masked by the larger rainfall-
3 runoff contribution and the overall flow accumulation from the upstream subbasins. Other
4 recent hydrological simulations of the Tapajós have obtained higher accuracy (e.g. Mohor et al.
5 2015; Collischonn et al. 2008; Coe et al. 2008); however, these simulations were set up
6 discretizing the basin into a finer spatial resolution grid (9 to 20 km versus ~ 55 km grid cells)
7 and using hydrological tools able to reproduce highly detailed hydrodynamic characteristics of
8 complex river systems (i.e. floodplain, lakes, wetlands, backwater effects) that are out of the
9 scope of the tool presented in this study. The advantage of the ED2+R model is the ability to
10 study the sensitivity of the river flows to global and regional changes as computed by traditional
11 terrestrial biosphere models, but adding a more detailed hydrological feature with respect to a
12 very simplistic- of no-river representation. The coarse spatial resolution of the global datasets
13 used as input for ED2+R is, however, a limiting factor. Higher resolution climatological data,
14 vegetation, and land use datasets, while allowing a finer resolution of the hydrological grid, are
15 expected to improve the performance of the model providing more detailed hydrological
16 processes. In general, the tool can be used to study how different hydrological systems are being
17 affected by changes in climate forcing and changes in ecosystem composition and structure
18 arising from the combination of: changing climate, rising atmospheric carbon dioxide, and land-
19 use transformation. Additionally, ED2+R could potentially bridge one of the missing gaps for
20 diagnosing and assessing feedbacks between atmosphere and biosphere with inland surface
21 waters being represented as a dynamic system.

22

23 **7 Conclusion**

24 In this Technical Note, we present the integration of the terrestrial biosphere model Ecosystem
25 Demography 2 (ED2) with the Muskingum-Cunge routing scheme. We tested the integrated
26 model (ED2+R) in the Tapajós river basin, a large tributary of the Amazon in Brazil, for the
27 period 1970-2008. The results showed that the integration of a biosphere model with a routing
28 scheme improves the ability of the land surface simulation to reproduce the hydrological and
29 river flow dynamics at the basin scale. The main limitations highlighted in this case study were
30 linked to the relatively coarse spatial resolution of the model and the rough representation of
31 groundwater flow typical of this kind of models. Moreover, the terrestrial biosphere model ED2
32 and the routing scheme are presented here in a one-way integration. The full coupling of the

1 routing scheme and ED2 could further improve the ability to reproduce the water balance
2 considering flooded ecosystems, a relevant feature in the simulation of environments like the
3 tropical forest, where local evapotranspiration plays a primary role in the specific ecosystem's
4 dynamics. In this first integration, our goal was to give the possibility to the terrestrial biosphere
5 model to reproduce river flows through a routing scheme. With a fully coupled (i.e. two-way)
6 integration, the model would be able to determine the grid cells that are likely to be saturated
7 and use this information for the modeling of the ecosystem's dynamics. For instance, this could
8 determine the increase of the mortality rate of plants that are sensitive to inundation. An
9 additional limitation of the model, could be identified in its inability to reproduce highly
10 detailed hydrological dynamics of complex river systems (as for instance, floodplain hydraulic
11 features, or backwater effects), however, such a detailed hydrological complexity was out of
12 the scope of this study. Future efforts will be oriented towards the resolution of the highlighted
13 limitations and current research is focusing on the application of ED2+R on understanding
14 historical changes and future projections of the impacts of climate change and deforestation on
15 the Amazon's water resources.

16

17 **Author's contribution**

18 F. Pereira, P. Moorcroft and J. Briscoe designed the study; F. Pereira developed the ED2+R
19 model code; F. Farinosi, M. Arias, and E. Lee calibrated the model and carried out the analysis;
20 F. Farinosi, M. Arias and P. Moorcroft wrote the paper.

21

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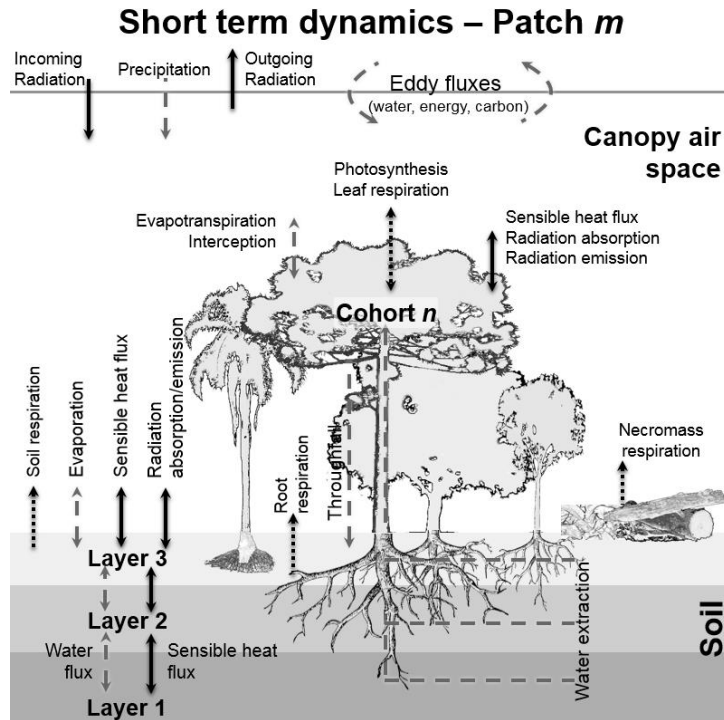
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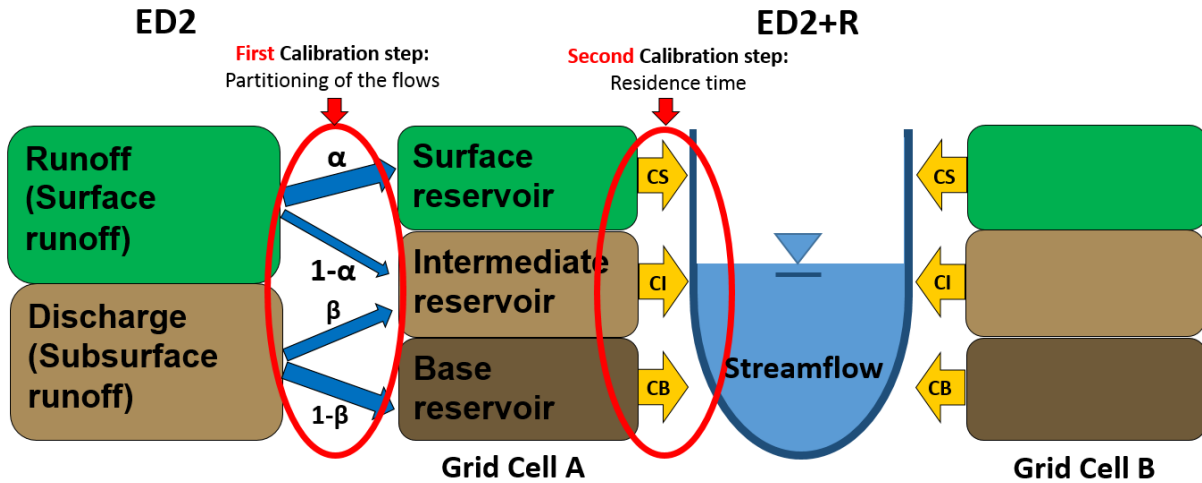
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4

1 **Figures**



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Figure 1. Schematic of the enthalpy fluxes (all arrows) and water fluxes (all but solid black arrows) that are solved in ED2. The schematic is based on Walko et al. (2000); and Medvigy et al. (2009). (Courtesy of Marcos Longo).



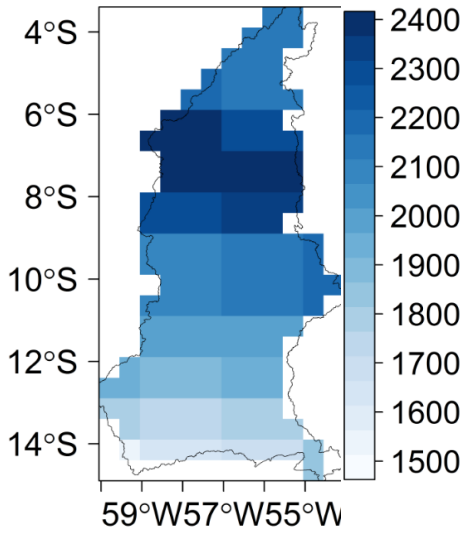
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Figure 2. Schematic representation of the connection between the terrestrial biosphere model and the hydrological routing scheme. Calibrating parameters circled in red. The reservoirs are used to determine the contribution of streamflow that comes from overland flow, interflow and groundwater flow. The daily sum of these three reservoirs is then moved from each grid cell into the drainage network.

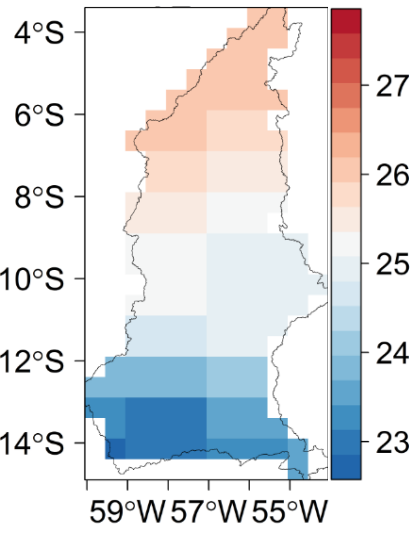
Average yearly precipitation

Average temperature (°C)

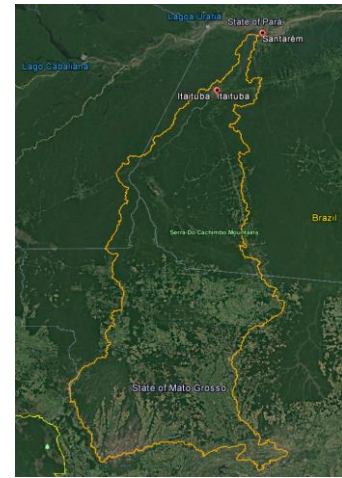
(mm year⁻¹)



(a)



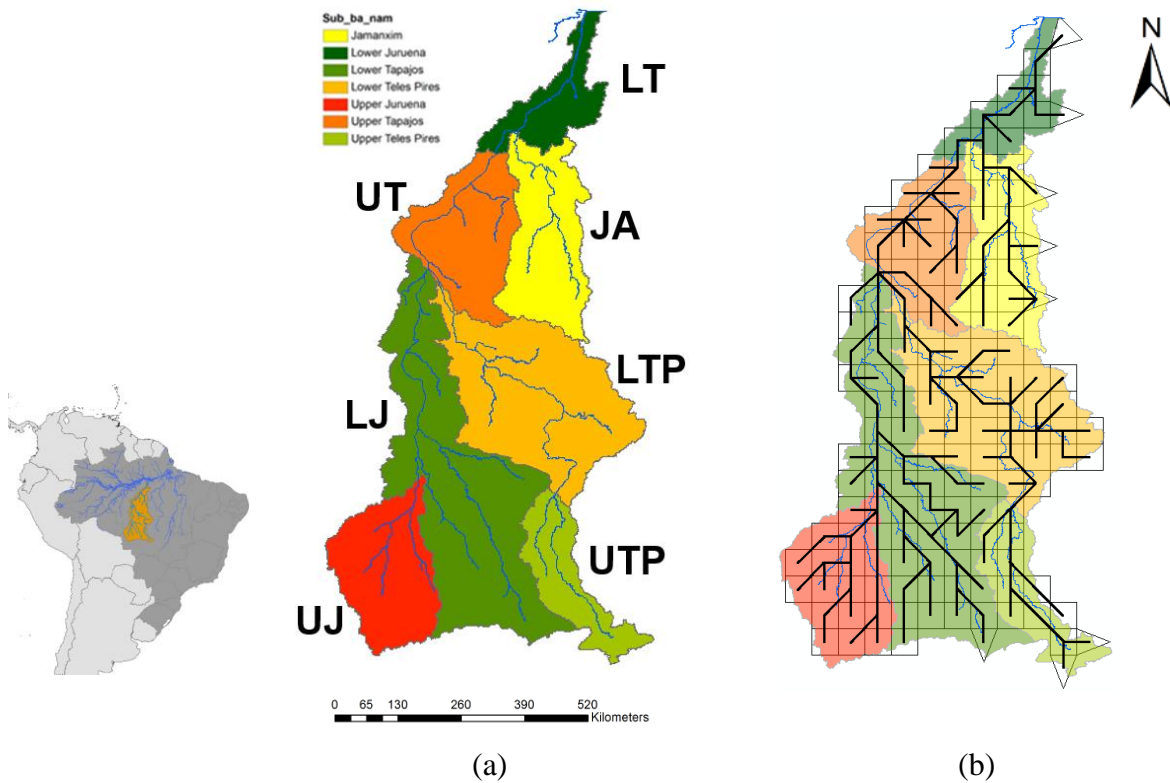
(b)



(c)

1 Figure 3. Average precipitation (a) and temperature (b) in the Tapajós river basin (1986 - 2005).
 2 Redrafted from Farinosi et al. (under review). (c) Land use in the Tapajós river basin. Source:
 3 Google Earth Pro.

4

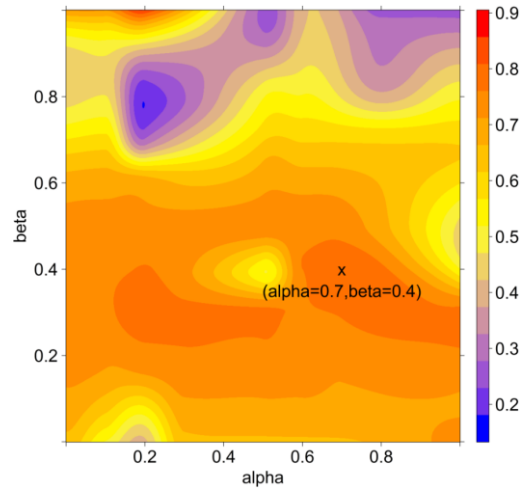


(a)

(b)

1 Figure 4. (a) Organization of the Tapajós basin into seven sub-basins: Upper Juruena (UJ);
 2 Lower Juruena (LJ); Upper Teles Pires (UTP); Lower Teles Pires (LTP); Jamaxim (JA); Upper
 3 Tapajós (UT); and Lower Tapajós (LT). (b) ED2+R represents the domain in grid cells with
 4 0.5° resolution (~ 55 km). The black segments indicate flow accumulation network.

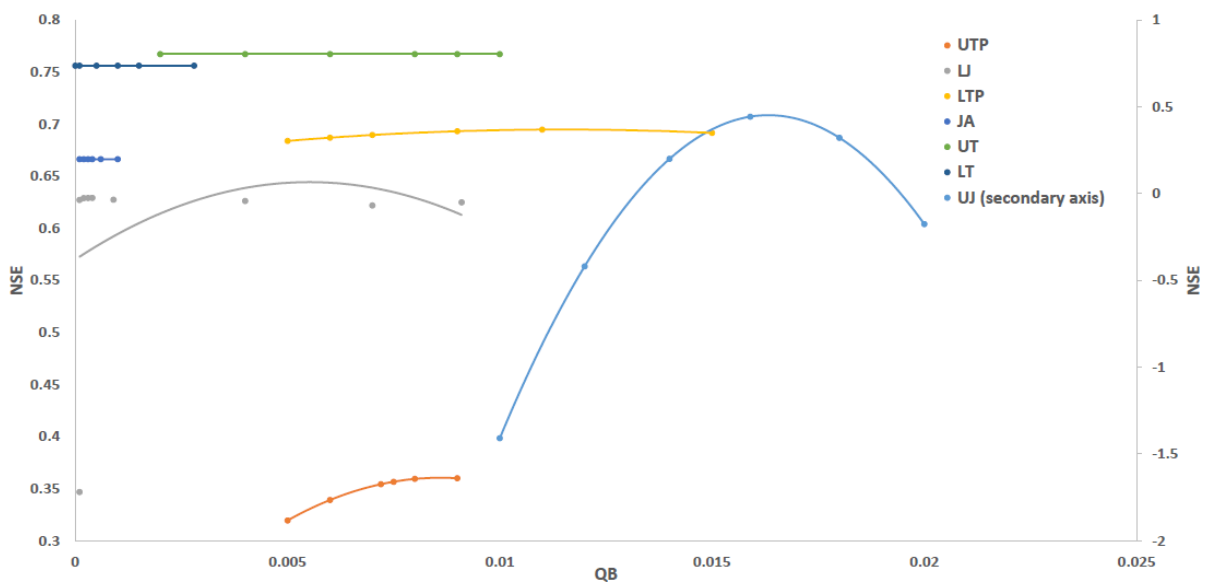
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7 Figure 5. Calibration of flow partitioning (parameters alpha and beta in Figure 2) between the
 8 ED2 and the ED2+R reservoirs. Color bar indicates the NSE values of the simulated versus the
 9 observed river flow values (0 very different, 1 very similar)

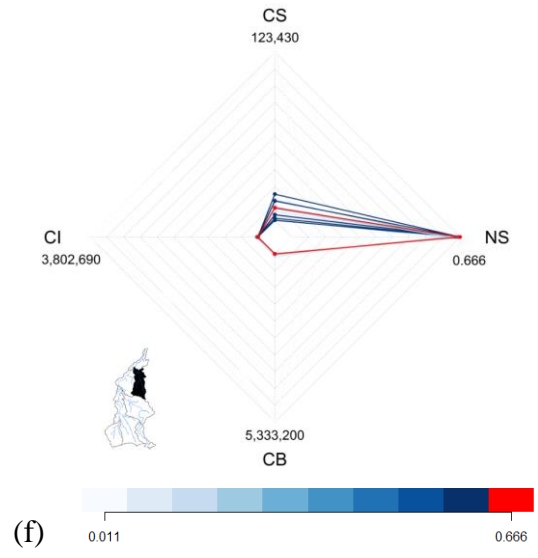
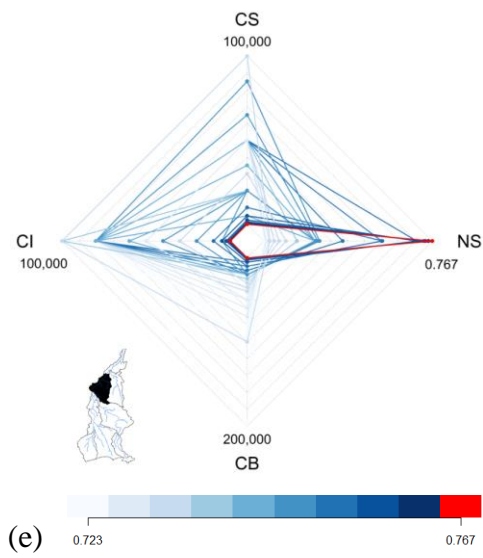
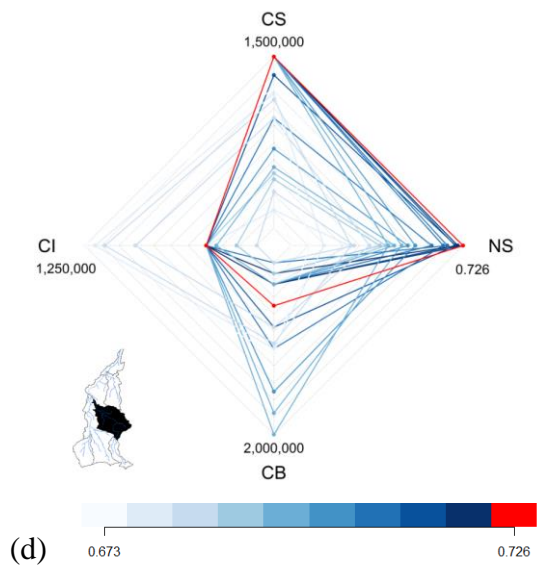
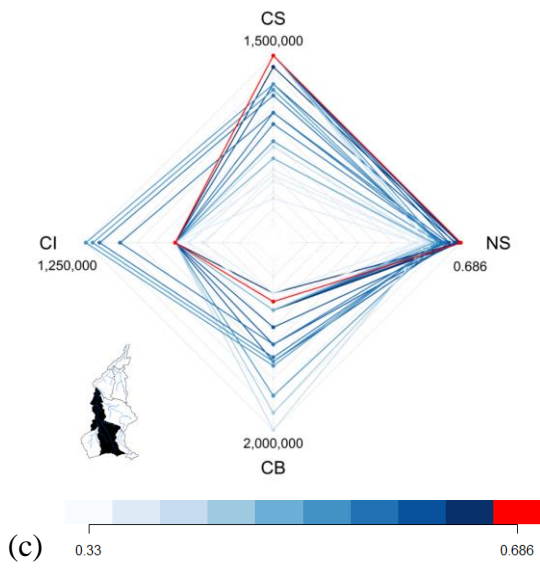
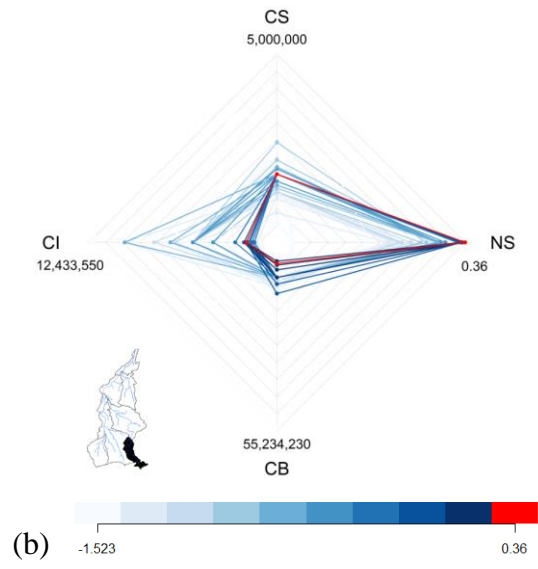
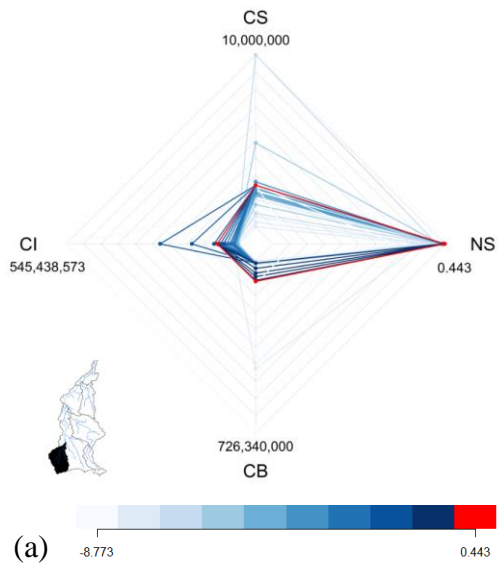
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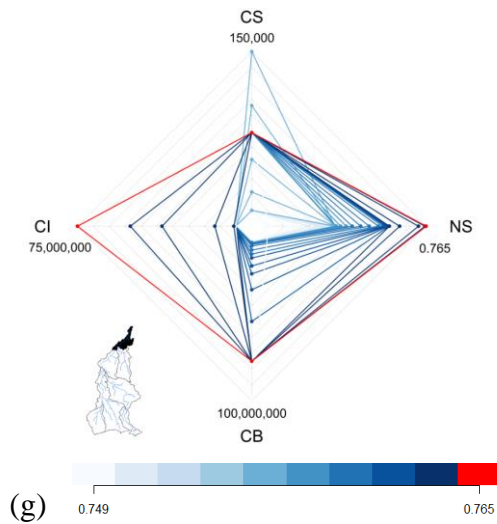


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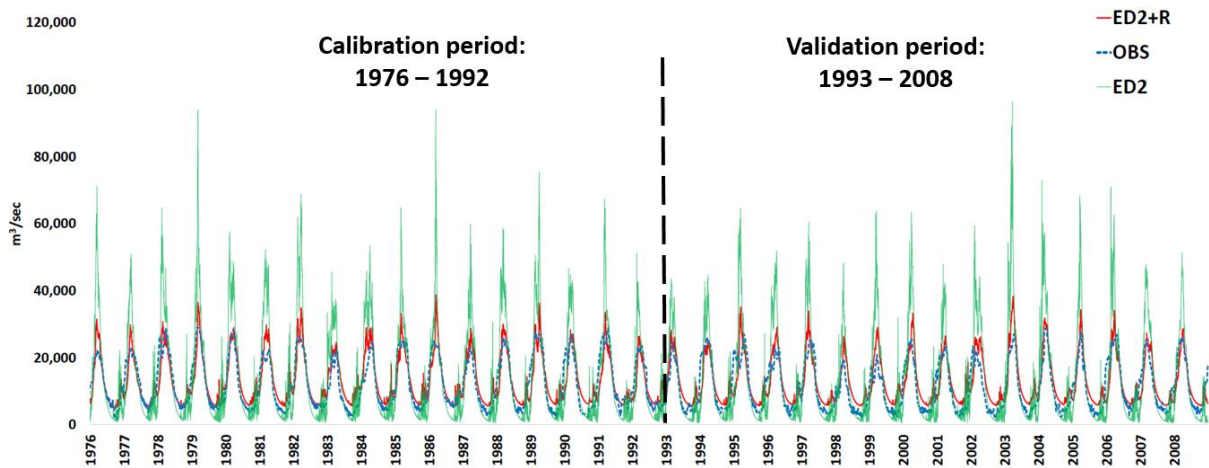
12 Figure 6. Initial conditions of baseflow sensitivity for different ED2+R subbasins in the domain.
 13 Upper Juruena (UJ); Upper Teles Pires (UTP); Lower Juruena (LJ); Lower Teles Pires (LTP);
 14 Upper Tapajós (UT); Jamaxim (JA); and Lower Tapajós (LT).

15

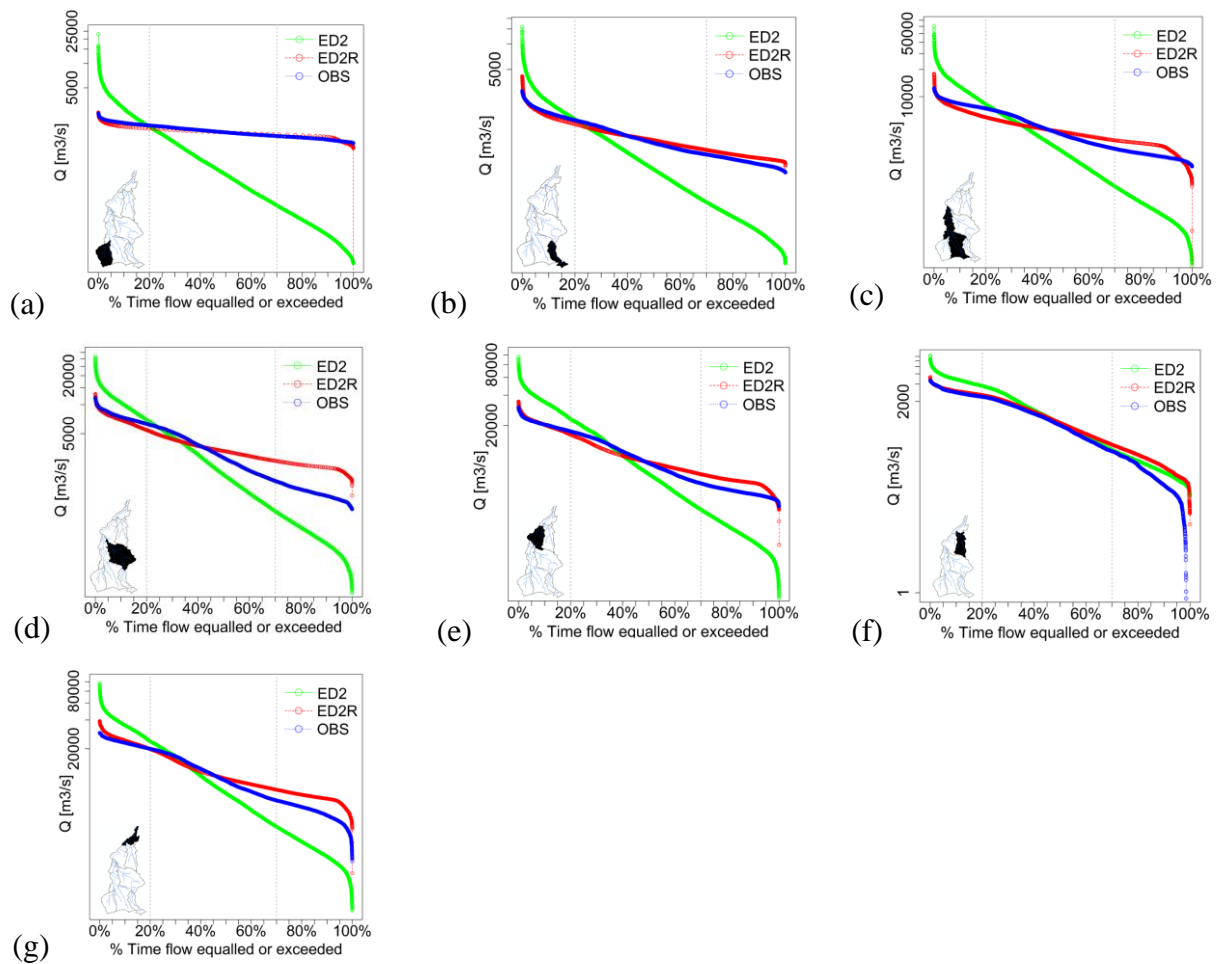




1 Figure 7. Calibration of the residence times (τ) of the flow within the ED2+R reservoirs of
 2 different grid cells in the domain. Overland, intermediate and groundwater flows are indicated
 3 respectively by CS, CI, and CB (Figure 2). In red the chosen combination. (a) Upper Juruena
 4 (UJ); (b) Upper Teles Pires (UTP); (c) Lower Juruena (LJ); (d) Lower Teles Pires (LTP); (e)
 5 Upper Tapajós (UT); (f) Jamanxim (JA); and (g) Lower Tapajós (LT).
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 9 Figure 8. Calibration and validation of the river flow (m^3/sec) at Itaituba (farthest downstream
 10 river gauge – Lower Tapajós sub-basin). ED2 output (green line), ED2+R (red line), and
 11 Observations (blue dotted line). The dotted black line splits the calibration and validation
 12 periods. Similar comparison for each of the 7 sub-basins is available in Annex A.
 13



1 Figure 9. Flow duration curves (percentage of time that flow – m^3/s – is likely to equal or exceed
 2 determined thresholds) of observed values (blue), ED2 outputs (green), ED2+R (red) at the
 3 outlet of the seven sub-basins. (a) Upper Juruena (UJ); (b) Upper Teles Pires (UTP); (c) Lower
 4 Juruena (LJ); (d) Lower Teles Pires (LTP); (e) Upper Tapajós (UT); (f) Jamaxim (JA); and
 5 (g) Lower Tapajós (LT).

6

1 Tables

2 Table 1. ED2+R parameters (based on Zhang et al., 2015; Longo et al., 2014; Knox et al., 2012)

Input		Source	
Meteorological forcing		Sheffield et al. (2006)	
Land use		Hurtt et al. (2006)	
Topography (DEM)		SRTM, Shuttle Radar Topography Mission 90 mt resolution	
Soil data		Quesada et al. (2010) -IGBP-DIS global soil data (Global Soil Data Task 2014)	
Geomorphological relations		Coe et al. (2008)	
Streamflow observations		HYBAM - ANA	
Carbon dioxide concentration		378 ppm	
Process		Method	
Integration scheme		4 th order Runge-Kutta method	
Energy and water cycles		Knox (2012) and Longo (2014)	
Temperature-dependent function for photosynthesis		Q ₁₀ function	
Canopy radiation scheme		Two-stream model	
Allometry for height		Based on Poorter et al. (2006)	
Allometry for above-ground biomass		Based on Eqn. (2) of Baker et al. (2004)	
Allometry for leaf biomass		Based on Cole & Ewel (2006) and Calvo-Alvarado et al. (2008)	
Parameter	Value	Units	
Biophysics time step	600	<i>s</i>	
Number of soil layers	16	-	
Depth of the deepest soil layer	6	<i>m</i>	
Depth of the shallowest soil layer	0.02	<i>m</i>	
Cohort water holding capacity	0.11	$kg_w m_{leaf+wood}^{-2}$	
Residual stomatal conductance	10,000	$\mu mol m^{-2} s^{-1}$	
Leaf-level water stress parameter	0.016	$mol_{H_2O} mol_{Air}^{-1}$	
Oxygenase/carboxylase ratio at 15°C	4000	-	
Power base for oxygenase/carboxylase ratio	0.57	-	
Power base for carboxylation rate	2.4	-	
Power base for dark respiration rate	2.4	-	
Environmentally-determined parameters		Value	Units
Weight factor for stress due to light		1.0	-
Maximum environmentally-determined mortality rate		5.0	<i>yr</i> ⁻¹
Steepness of logistic curve		10.0	-
Band-dependent radiation parameters (*)		Value	Units
Dry soil reflectance		(0.20; 0.31; 0.02)	-
Wet soil reflectance		(0.10; 0.20; 0.02)	-
Leaf transmittance		(0.05; 0.20; 0.00)	-
Leaf reflectance (grasses)		(0.10; 0.40; 0.04)	-
Leaf reflectance (trees)		(0.10; 0.40; 0.05)	-
Wood transmittance		(0.05; 0.20; 0.00)	-
Wood reflectance (trees)		(0.05; 0.20; 0.10)	-
Plant Functional Type	PFT-dependent parameters (**)	Value	Units
Leaf orientation factor		(0.10; 0.10; 0.10)	-
Leaf clumping factor		(0.80; 0.80; 0.80)	-

Leaf characteristic size	(0.10; 0.10; 0.10)	<i>m</i>
Max. carboxylation rate at 15°C	(18.75; 12.50; 6.25)	$\mu\text{mol}_C m_{leaf}^{-2} s^{-1}$
Dark respiration rate at 15°C	(0.272; 0.181; 0.091)	$\mu\text{mol}_C m_{leaf}^{-2} s^{-1}$
Quantum yield	(0.080; 0.080; 0.080)	-
Slope parameter for stomatal conductance	(9.0; 9.0; 9.0)	-
Fine root conductance parameter	(600; 600; 600)	$m^2 kg_{root}^{-1} yr^{-1}$
River Routing Parameters (Section 4)		
	Value	Units
Grid-cell size (Figure 4)	0.5x0.5	<i>degrees</i>
Flow partitioning parameters (α ; β) (Figure 5)	(0.70; 0.40)	-
Residence time Overland (CS), intermediate (CI), and groundwater flows (CB) (Figure 7) (CS; CI; CB)	Upper Juruena (2,600; 70,000; 90,000) Upper Teles Pires (1,600; 1,750; 2,500) Lower Juruena (1,500; 600; 500) Lower Teles Pires (1,500; 650; 800) Jamanxim (10; 10; 11) Upper Tapajós (75; 75,000; 75,000) Lower Tapajós (75; 75,000; 75,000)	<i>x1'000 (***)</i>
Initial conditions of the baseflow (Figure 6)	Upper Juruena (0.0159) Upper Teles Pires (0.009) Lower Juruena (0.0004) Lower Teles Pires (0.011) Jamanxim (0.0001) Upper Tapajós (0.0080) Lower Tapajós (0.0005)	$m^3 km^2$
(*) Radiation-dependent parameters are given in the format (xPAR; xNIR; xTIR) corresponding to values for photosynthetically active, near infrared and thermal infrared, respectively.		
(**) PFT-dependent parameters are given in the format (xETR; xMTR; xLTR) corresponding to the values for early-, mid-, and late-successional cohorts, respectively.		
(***)The residence time parameters are dimensionless and used to correct the Kirpich formula for time of concentration as explained in Collischonn et al. (2007). Their magnitude is influenced by the size of the grid-cell.		

1

2

1 Table 2. Statistics about the gauge information filling procedure (correlation with the station to be filled, number of original observations, filled
 2 number of observations).

3

Sub-basin name	Main river gauge station - z in Equation 7	Original number of daily gauge records (number of daily observations)	Gap filling station 1 – q in Equation 7 – [correlation with z]	Gap filling station 2 – y in Equation 7 – [correlation with z]	Number of daily records after filling procedure (number of daily observations)
Jamanxin	Jamanxim	1,928	Jardim do Ouro [0.97]	Novo Progresso [0.96]	5,382
Upper Teles Pires	Cachoeirão	10,356	Teles Pires [0.91]	Indeco [0.94]	11,524
Upper Juruena	Fontanilhas	10,469	Foz do Juruena [0.94]	Barra do Sao Manuel [0.89]	11,688
Lower Teles Pires	Tres Marias	8,682	Barra do Sao Manuel [0.98]	Santa Rosa [0.98]	10,640
Lower Juruena	Foz do Juruena	2,074	Barra do Sao Manuel [0.98]	Jatoba [0.97]	11,447
Upper Tapajós	Jatoba	10,218	Fortaleza [0.99]	Barra do Sao Manuel [0.98]	11,517
Lower Tapajós	Itaituba	5,789	Fortaleza [0.99]	Jatoba [0.98]	11,688

4

1 Table 3. Calibration and validation results. Nash-Sutcliffe Efficiency, Kling Gupta (2009 and 2012 methods), Pearson's R correlation, and
 2 volume ratio. Optimal values = 1 (statistics were calculated using the R package hydroGOF - Zambrano-Bigiarini 2014).

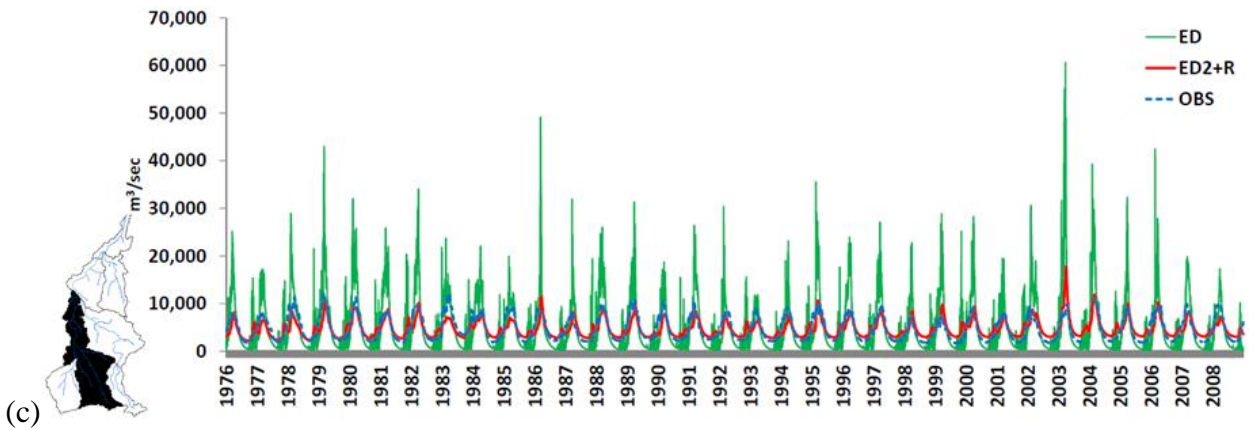
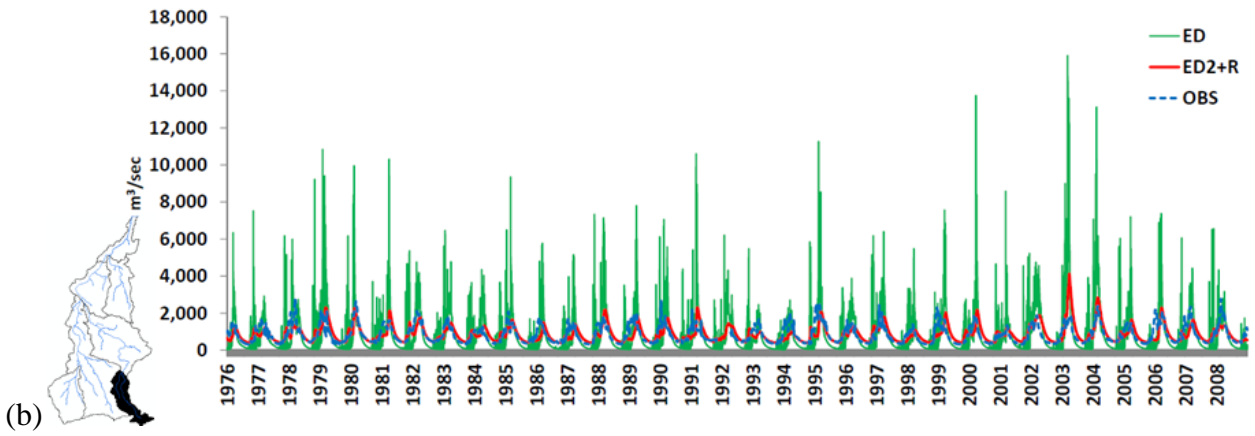
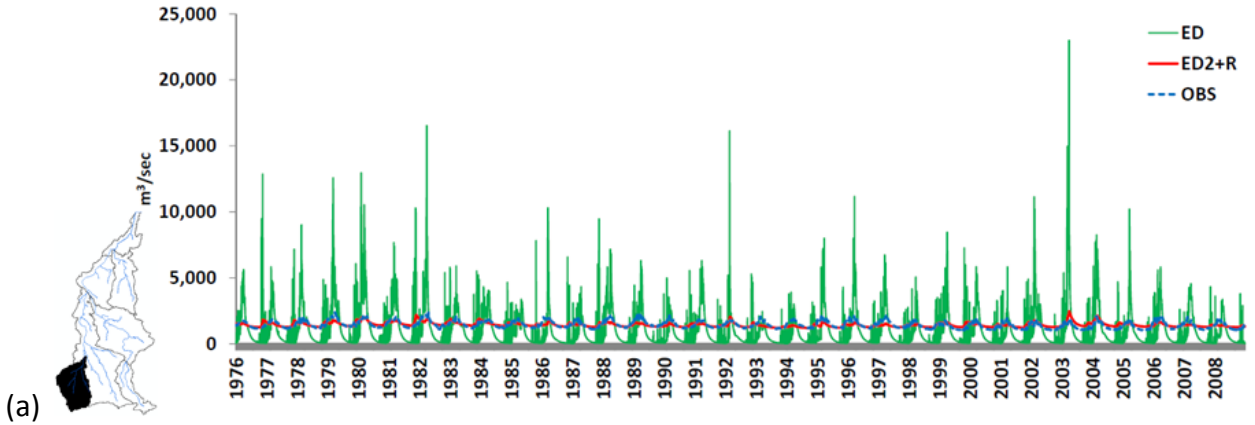
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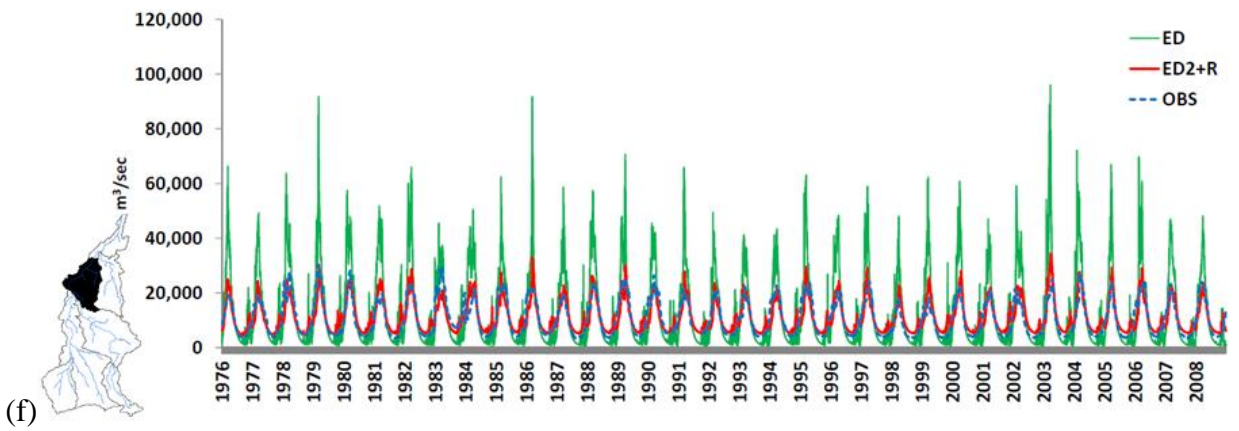
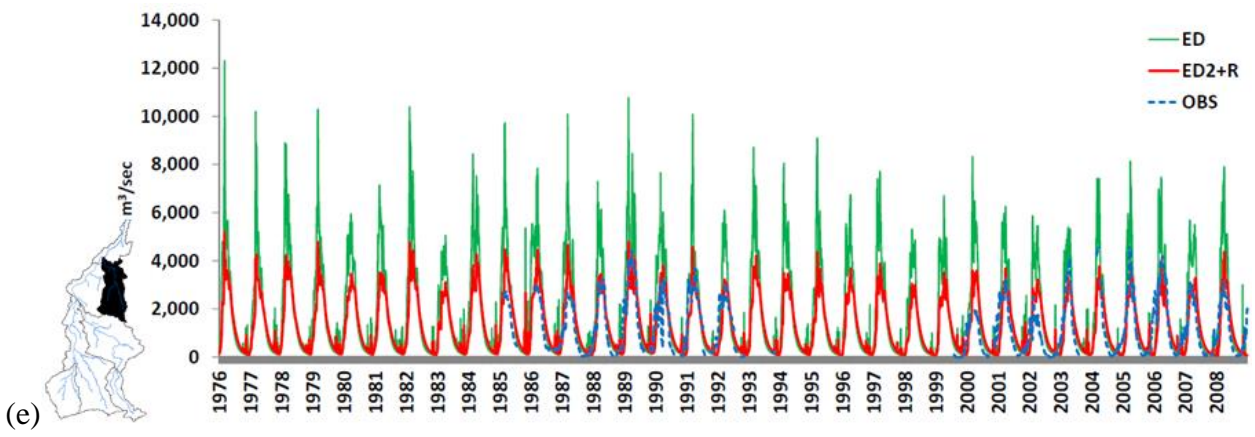
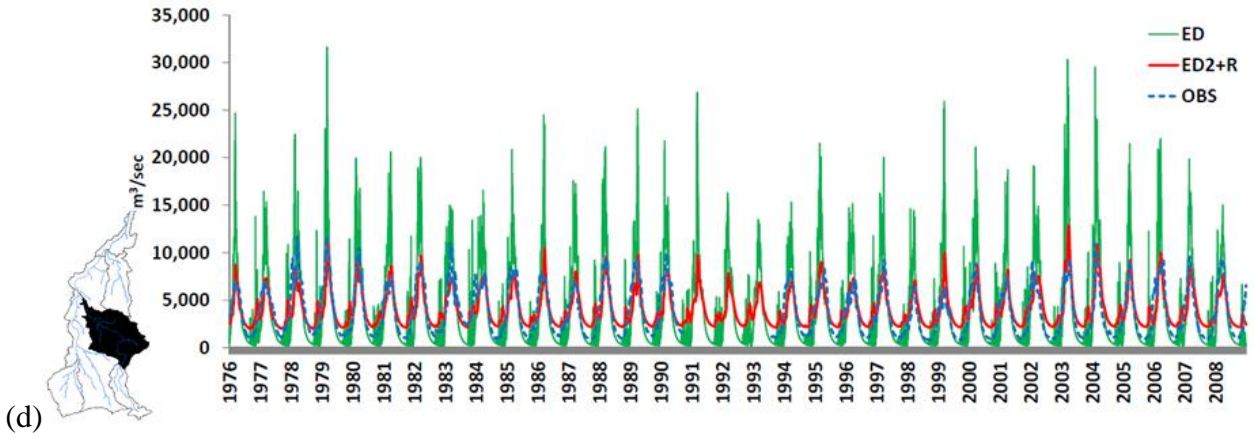
Sub-basin	Calibration period (1976-1992)								Validation period (1993-2008)							
	Nash-Sutcliffe		Kling-Gupta [method 2009] {method 2012}		Pearson's R Correlation		Volume Ratio Vol sim/Vol Obs		Nash-Sutcliffe		Kling-Gupta [method 2009] {method 2012}		Pearson's R Correlation		Volume Ratio Vol sim/Vol Obs	
	ED vs OBS	ED2 +R vs OBS	ED vs OBS	ED2+ R vs OBS	ED vs OBS	ED2+ R vs OBS	ED vs OBS	ED2+ R vs OBS	ED vs OBS	ED2 +R vs OBS	ED vs OBS	ED2+ R vs OBS	ED vs OB S	ED2+ R vs OBS	ED vs OB S	ED2+ R vs OBS
Upper Juruena	-26.88	0.45	[-3.60] {-5.75}	[0.50] {0.51}	0.61	0.68	0.72	0.98	-27.47	0.29	[-3.54] {-6.10}	[0.39] {0.38}	0.53	0.54	0.68	1.01
Upper Teles Pires	-3.35	0.37	[-0.51] {-0.64}	[0.61] {0.61}	0.53	0.64	0.94	1.01	-3.19	0.28	[-0.51] {-0.59}	[0.63] {0.63}	0.57	0.63	0.96	1.03
Lower Juruena	-1.45	0.65	[-0.23] {-0.18}	[0.64] {0.67}	0.77	0.82	1.02	0.94	-2.17	0.63	[-0.43] {-0.30}	[0.72] {0.67}	0.75	0.81	1.05	1.08
Lower Teles Pires	-0.20	0.71	[0.25] {0.27}	[0.68] {0.67}	0.80	0.85	1.01	1.02	-0.34	0.67	[0.17] {0.34}	[0.69] {0.60}	0.82	0.85	1.11	1.17
Jamanxim	-0.74	0.67	[0.01] {0.39}	[0.79] {0.78}	0.82	0.85	1.55	1.13	-0.10	0.55	[0.23] {0.52}	[0.75] {0.73}	0.83	0.77	1.43	1.09
Upper Tapajós	-1.01	0.77	[-0.13] {0.21}	[0.82] {0.83}	0.84	0.88	1.20	0.99	-1.23	0.75	[-0.22] {0.16}	[0.84] {0.81}	0.84	0.88	1.21	1.08
Lower Tapajós	-0.40	0.76	[-0.09] {0.28}	[0.86] {0.83}	0.84	0.88	1.11	1.06	-0.50	0.68	[0.09] {0.29}	[0.80] {0.76}	0.82	0.86	1.13	1.13

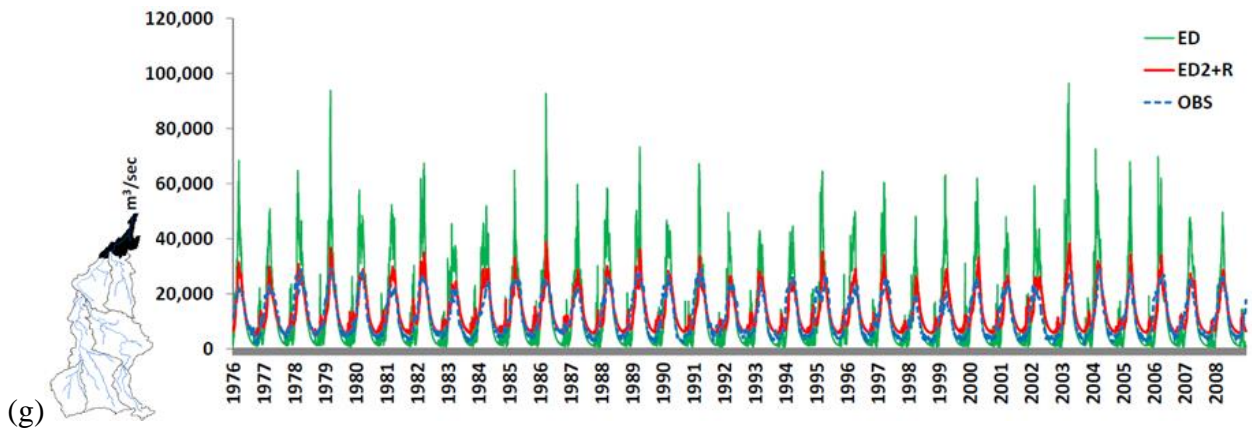
4

1 Annex A

2







1 Figure A1. Time series of river flow (m³/sec) at the outlet of each sub-basins. ED2 output
 2 (green line), ED2+R (red line), and Observations (blue dotted line). (a) Upper Juruena (UJ); (b)
 3 Upper Teles Pires (UTP); (c) Lower Juruena (LJ); (d) Lower Teles Pires (LTP); (e) Jamanxim
 4 (JA); (f) Upper Tapajós (UT); and (g) Lower Tapajós (LT).