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1 Technical Note: A hydrological routing scheme for the

2 Ecosystem Demography model (ED2+R)



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14

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16 17

26

Abstract

- 18 Land surface models are excellent tools for studying how climate change and land use affect
- 19 surface hydrology. However, in order to assess the impacts of earth processes on river flows,
- 20 simulated changes in runoff need to be routed through the landscape using a hydrological
- 21 transport scheme. In this Technical Note we describe the integration of the Ecosystem
- Demography (ED2) model with a hydrological routing scheme. ED2 is a terrestrial biosphere 2
- 23 model capable of incorporating sub-grid scale ecosystem heterogeneity arising from land use
- 24 change, making it ideally suited for investigating combined impacts of changes in climate,
- 25 atmospheric carbon dioxide concentrations, and land cover on the water cycle. The resulting

ED2+R model calculates the lateral propagation of surface and subsurface runoff resulting from

- . . .
- 27 the terrestrial biosphere models' vertical water balance in order to determine spatio-temporal
- 28 patterns of river flows within the simulated region. We evaluated the ED2+R model in the

Summary of comments: hess-2016-114_review_final.pdf

Page:1

Number: 1 Author: Reviewer Subject: Note Date: 2016-06-17 22:33:13

- Text and language notes:
 -Avoid the repetition of the same idea in the different parts of the text and the excessive use of adjectives (i.e. substantial, serious, unique, substantially, etc.)
 Although, in general, the english is clear, consider final text edits by a native english..

Number: 2 Author: Reviewer Subject: Strikeout Date: 2016-06-17 23:00:16

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- 1 Tapajós, a large river basin in southeastern Amazonia, Brazil. The results showed that the
- 2 integration of ED2 with the lateral routing scheme substantially improves the ability of the
- 3 model to reproduce daily to decadal river flow dynamics in the Tapajós.

4

5

1 Introduction

- 6 Understanding the impacts of deforestation (e.g., Lejeune et al. 2015; Medvigy et al. 2011;
- 7 Andréassian 2004) and climate change (e.g., Jiménez-Cisneros et al. 2014) on the earth's water
- 8 cycle has been a topic of substantial interest in recent years because of potential serious 2
- 9 implications to ecosystems and society (e.g., Wohl et al. 2012; Brown et al., 2005). Analyses
- 10 of impacts of climate change on the earth's water cycle are increasingly using terrestrial
- biosphere models, which are capable of estimating changes in the vertical water balance (i.e., 3)
- 12 evapotranspiration, soil moisture, deep percolation, surface and sub surface runoff) as a
- 13 function of climate forcing and and/or land-use induced changes in canopy structure and
- 14 composition (Zulkafli et al. 2013).
- 15 Terrestrial biosphere models can mechanistically represent the multiple interactions among 4
- 16 land surface energy balance, the hydrological cycle, and the carbon cycle that occur in
- 18 and earth systems sciences include: the Joint UK Land Environment Simulator (JULES) (Best
- 19 et al. 2011; Clark et al. 2011); the Community Land Model (CLM) (Lawrence et al. 2011;
- Oleson et al. 2010); the Lund-Potsdam-Jena (LPJ) land model (Gerten et al. 2004; Sitch et al.
- 21 2003); the Max Plank Institute MPI-JSBACH model (Vamborg et al. 2011; Raddatz et al.
- 22 2007); and the Integrated Biosphere Simulator (IBIS) (Kucharik et al. 2000).
- 23 I formulations of the hydrological processes within terrestrial biosphere models were
- 24 based on simple "bucket" model formulations (Cox et al. 1999 after Carson 1982). Moisture
- 25 within each climatological grid cell of the domain was simulated in a single below-ground pool
- 26 in which surface temperature and specific soil moisture factors determined evaporation, while
- 27 runoff was equal to the bucket overflow (Cox et al. 1999; Carson 1982). Since that formulation,
- 28 the hydrologic schemes within terrestrial biosphere models have become increasingly
- 29 sophisticated. In the most recent generation of land surface models, water fluxes in and out of
- 30 the soil column are vertically-resolved and take into account feedbacks among the different
- 31 components, for instance, through an explicit formulation of the soil-plant-atmosphere
- 32 continuum that allows a better representation of the interactions between evapotranspiration,

- Number: 1 Author: Reviewer Subject: Underline Date: 2016-06-18 00:18:23
 - Actually, the study showed that the river routing method improved the model river representation, when compared to a 'no river representation'... isn't it obvious, or not? Also, river routing methods exists in a long time.. why is this result important, specially, when compared to other existing models, as you cited.
- Number: 2 Author: Reviewer Subject: Highlight Date: 2016-06-17 19:48:04
- Number: 3 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:48:14
- Number: 4 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:48:20
- Number: 5 Author: Reviewer Subject: Note Date: 2016-06-17 19:28:35

You can finish the first paragraph with the following... "Examples...

Number: 6 Author: Reviewer Subject: Note Date: 2016-06-18 00:16:29

This study focus on river routing... too much background information about the evolution of the vertical balance formulations, specially, when compared to literature of recent advances on large-scale river routing. Improve this aspect.

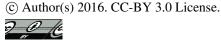
For instance, see Cama-Flood from Yamazaki et al. 2011 and other developments since then.

I suggest your introduction should convey the idea of "why river routing modeling is important and/or needed?"

Yazamaki et al. 2011 Water Resour. Res. 47, W04501, doi:10.1029/2010WR009726

Hydrology and Sciences

Discussions



1 soil moisture and runoff (Clark et al. 2015). In this way, terrestrial biosphere models can estimate the temporal and spatial distribution of water resources across the simulated domain under changing climate and land cover conditions. The accurate computation of the vertical water balance, however, is only part of the process of estimation of river flows, which are vital data for water resource management (e.g. flood control, hydropower, irrigation). To calculate 6 river flows from a land surface model that could be compared with actual river gauge? observations, water runoff must be routed through the studied landscape, considering the 7 8 topographic and geomorphological features that control water flow (Arora et al. 1999). 9 Consequently, terrestrial biosphere models have been integrated with routing schemes. For [3] ULES has been integrated with the Total Runoff Integrating Pathways (TRIP) (Oki 10 11 et al. 2001; Oki et al. 1999); LPJ with the routing scheme described in Rost et al. (2008); CLM 12 with the Variable Infiltration Capacity's river routing model (Liang et al. 1994); MPI-JSBACH with the Hydrological Discharge (MPI-HD) model (Hagemann & Gates 2001; Hagemann & 13 14 Dumenil 1997); and IBIS with the river transport model THMB (Coe et al. 2008). 15 Similar to the models mentioned above, the Ecosystem Demography (ED2) is a terrestrial lefere model that simulates the coupled water, carbon, and energy dynamics of terrestrial 16 land surfaces (Longo 2014; Medvigy et al. 2009; Moorcroft et al. 2001). One of the key benefits 17 18 of ED2's formal approach to scaling vegetation dynamics is its ability to describe, in a 19 physically consistent manner, the coupled water, carbon and energy dynamics of heterogeneous 20 landscapes (Hurtt et al. 2013; Medvigy et al. 2009; Mooreroft et al. 2001). ED2's ability to 6 21 incorporate sub grid scale ecosystem heterogeneity arising from land use change means that 22 the model is ideally suited for investigating of how the combined impacts of changes in climate, 23 atmospheric carbon dioxide concentrations, and land cover are affecting terrestrial ecosystems. 24 For example, ED2 was successfully used to simulate the carbon flux dynamics in the North7 25 American continent (Hurtt et al. 2002; Albani et al. 2006), and to assess the impacts on 26 Amazonian ecosystems of changes in climate, atmospheric carbon dioxide and land use (Zhang et al. 2015). Moreover, ED2, coupled with a regional atmospheric circulation component, has 28 been also successfully applied to assess the impacts of deforestation on the Amazonian climate 29 (Knox et al. 2015; Swann et al. 2015). ED2 is a unique tool to evaluate impacts from global and 30 regional changes on ecosystem function, and therefore, it could provide critical information for 31 hydrological studies. In this technical note, we describe the integration of ED2 with a flow 32 routing scheme. This exercise is aimed at calculating the lateral propagation and attenuation of q <u>33</u> the surface and subsurface runoff resulting from the vertical balance calculations, reproducing

Number: 1 Author: Reviewer Subject: Strikeout Date: 2016-06-17 23:04:34

You already talked about global issues in the first paragraph.. I suggest you go further with the global scale issues.. focus...

Number: 2 Author: Reviewer Subject: Underline Date: 2016-06-17 23:04:52

This sentence "that could be compared with actual river gauge observations.." is weak.

Despite "matching" modeled and observed data is needed during model development (i.e. calibration/validation) this is a weak motivation.

You are developing a process-based model... of course you want a good performance, but why? Describe your motivation in this perspective.

Number: 3 Author: Reviewer Subject: Note Date: 2016-06-17 19:35:30

There is a good opportunity to improve the description of the river routing models used in these studies. This is important to situate the ED2+R approach in the "state-of-art" here and further in discussion section

What was your motivation to use Muskingum-Cunge routing scheme in and not other?

Number: 4 Author: Reviewer Subject: Note Date: 2016-06-17 22:54:17

This paragraph has too many details and background on ED2.

Also, there is too much emphasizes on model capabilities, which are not specially relevant in this study For instance, I've found "ideally suited" and "unique tool" and "sucessfully" .. this is too much.

Number: 5 Author: Reviewer Subject: Strikeout Date: 2016-06-17 23:05:42

This second sentence repeats the overall idea of the first sentence...

Number: 6 Author: Reviewer Subject: Strikeout Date: 2016-06-17 23:06:15

excessive details and model 'capabilities'

Number: 7 Author: Reviewer Subject: Underline Date: 2016-06-17 23:05:57

This is interesting, but what was this studies findings? How could a river routing scheme in ED2 fill any scientific gaps concerning this past studies? Did any these studies indicate the need for a river routing method?

Number: 8 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:49:34

Number: 9 Author: Reviewer Subject: Underline Date: 2016-06-18 00:32:31

At the moment, the introduction indicates you implemented river routing mostly because ED2 didn't do it.. and that it could be useful.. ok...

Your scientific question is not clear.. based on background literature. Why are you doing this study? Again, why do you want to improve the river routing?

Why inland waters are important?

Cole et al. 2007 Ecosystems (2007) 10: 171–184 DOI: 10.1007/s10021-006-9013-8

Why modeling and remote sensing are needed at large-scale?

Some examples..

Alsdorf, D. E., E. Rodríguez, and D. P. Lettenmaier (2007), Measuring surface water from space, Rev. Geophys., 45, RG2002, doi:10.1029/2006RG000197.

Prigent et al. (2007) Global inundation dynamics inferred from multiple satellite observations, 1993-2000, J. Geophys. Res., 112, D12107, doi:10.1029/2006JD007847.

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in this way river flows through a large basin. T

2 to better predict the sensitivity of river flows to global and regional environmental changes,

3 combining the advantages of biosphere and hydrological models, bringing together global,

4 regional, and local scale hydrological dynamics in a single modelling framework. The product

obtained from this exercise was tested in the basin, a large river system in southeastern

6 Amazonia, Brazil.

7

8

1

2 Ecosystem Demography (ED2) model

9 ED2 is a biosphere simulation model capable of representing biological and physical processes 10 the dynamics of ecosystems using climate and soil properties. It is unique amongst 11 terrestrial biosphere models because, rather than using a conventional "ecosystem as big-leaf" assumption, ED2 is formulated at the scale of individual plants. The resulting acosystem-scale 12 dynamics and fluxes are then salculated through a formal recalling procedure that accurately 13 captures the resulting macroscopic behavior of the ecosystem within each climatorogical grid-14 15 cell. It simulates ecosystem structure and dynamics as well as the corresponding carbon, energy, and water fluxes (Figure 1; Hurtt et al. 2013; Medvigy et al. 2009; Moorcroft et al. 2001). ED2 16 17 simulates the dynamics of different plant functional types subdivided into tiles with a 18 homogeneous canopy (Swann et al. 2015; Medvigy et al. 2009). Generally, plant functional 19 types are represented by: early successional trees (fast growing, low wood density, and water-20 needy); mid successional trees; late successional trees (slow growing, shade tolerant, high 21 wood density); and C4 grasses (comprising also pasture and agriculture) (Swann et al. 2015; Medvigy et al. 2009). Each grid cell is subdivided into a series of donamic tiles that represent 22 the sub-grid scale heterogeneity within each cell. The size of the grid cell is the termined by the 23 24 resolution of meteoristical forcing and soil characteristics data, typical from 1 degree to 1 km. 13 on of regions 14 25 This characteristic of the ED2 model makes it suitable for a more realistic similar characterized by a mixture of natural and anthropogenically modified landscapes. ED2 26 27 simulates biosphere dynamics taking into consideration natural disturbances, such as forest fires 28 and plant mortality due to changing environmental conditions, as well as human-caused 29 disturbances, such as deforestation and forest harvesting (Medvigy et al. 2009; Albani et al. 30 2006). Disturbances are expressed in the model as annual transitions between primary 15 31 vegetation, secondary vegetation, and agriculture (cropland and pasture) (Albani et al. 2006). 32 Natural disturbance, such as wildfire, is represented in the model by the transition from primary 16

Number: 1 Author: Reviewer Subject: Note Date: 2016-06-17 23:07:13

How do you know this? Can you show this?

Number: 2 Author: Reviewer Subject: Note Date: 2016-06-13 18:43:55

Why the interest in Tapajos? And why in Tapajós only.

Number: 3 Author: Reviewer Subject: Note Date: 2016-06-13 17:45:40

This paragraph is huge. Again, too much details on model structure and abilities.

- Number: 4 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:50:04
- Number: 5 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:50:09
- Number: 6 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:50:16
- Number: 7 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:50:12
- Number: 8 Author: Reviewer Subject: Note Date: 2016-06-13 17:46:39
- Number: 9 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:50:19
- Number: 10 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:50:22
- Number: 11 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:50:25
- Number: 12 Author: Reviewer Subject: Note Date: 2016-06-13 17:39:18
- Number: 13 Author: Reviewer Subject: Note Date: 2016-06-17 19:38:11 describe the range either in degree, or in km.
- Number: 14 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:50:28
- Number: 15 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:50:35
- Number: 16 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:50:41

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vegetation (forest in the case of the Amazon) to grassland shrubland, and subsequently to secondary vegetation (forest re-growth); the abandonment of an agricultural area is represented with the conversion from grassland to secondary vegetation, while forest logging is represented by the transition from primary or secondary vegetation to grassland. The model is composed of several modules operating at multiple temporal and spatial scales, including plant mortality, 5 6 plant growth, phenology, biodiversity, soil biogeochemistry, disturbance, and hydrology 7 (Longo 2014; Medvigy et al. 2009). For a more complete description of the model, we refer the reader to the literature available (Zhang et al. 2015; Longo 2014; Medvigy et al. 2009; 8 9 Moorcroft et al. 2001). In this section, we describe in further detail the hydrological subcomponent, most related to the topic of this specific study. The hydrological module of the ED2 10 11 model is derived from the Land Ecosystem-Atmospheric Feedback model (LEAF-2) (Walko et 12 al. 2000). The model computes the water cycle through the vegetation, air-canopy space, and 13 soils, which results in daily estimates of subsurface and surface runoff from each grid cell, 14 isolated from the others in the domain. The number of soil layers and their thickness influence <u>15</u> the accuracy with which the model is able to represent the gradients near the surface. Hydraulic 16 conductivity of the soil layers is a function of soil texture and moisture (Longo 2014). 17 Groundwater exchange is a function of hydraulic conductivity, soil temperature and terrain topography. Water percolation is limited to the bottom layer by the subsurface drainage, 18 19 determining the bottom boundary conditions. A more detailed description of the hydrological sub-component of the ED2 model is available in Longo (2014). 20

21

22

- Daily runoff estimates from ED2 were computed for specific grid cells independently; therefore
 a hydrological routing scheme was linked to this model in order to estimate flow attenuation.
- 25 and accumulation as water moves through the landscape towards the basin outlet 6 The flow
- routing scheme chosen was adapted from the I TGB, a rainfall-runoff model that has been
- extensively used in large river basins in South America (Collischonn et al. 2007). The original 8
- 28 IPH MGB model is composed of four different sub models: soil water balance,
- 29 evapotranspiration, intra cell flow propagation, and inter cell routing through the river network.
- 30 Only the latter two sub-models were utilized as the processes accounted for by the first two are
- 31 estimated with ED2. The resulting ED2+R model computes the daily total volume of water
- 32 passing through any given grid cell in the resulting drainage network in two separate steps:

Number: 1 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:50:58

Number: 2 Author: Reviewer Subject: Underline Date: 2016-06-17 23:19:07

Break a section here

call it 'ED2 hydrology module' or a name that suits you better.

Number: 3 Author: Reviewer Subject: Underline Date: 2016-06-17 23:08:58

How is the soil/vegetation parameterization? ED2 uses a global scale dataset of soil, vegetation or it depends on application?

Number: 4 Author: Reviewer Subject: Note Date: 2016-06-17 19:39:07

In this section you can say the ED2+R is based on MGB-IPH catchment and river routing scheme.

MGB-IPH should be mentioned in the introduction. Why did you pick this specific method?

Number: 5 Author: Reviewer Subject: Underline Date: 2016-06-17 23:10:24

This is an important feature of river routing and should also be stressed to improve this study introduction/motivation.

Number: 6 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:51:26

Number: 7 Author: Reviewer Subject: Note Date: 2016-06-17 23:09:53

Number: 8 Author: Reviewer Subject: Strikeout Date: 2016-06-17 23:22:41

Include additional and more recent MGB-IPH studies, you can check a list for reading at (www.ufrgs.br/hge/publicacoes/).

It important to stress that although the typical application uses a Muskingum-Cunge approach for river routing, the new MGB-IPH already allows the use of hydrodynamic solution and floodplain coupling (i.e. local-inertial, Pontes et al. 2015). In the Amazon River Basin application (Paiva et al. 2013) a full hydrodynamic solution was also required to solve low slopes and floodplain inundation characteristic of this basin.

This MGB-IPH model improvements must also be described and could be taken into the discussion as well.. along with the other models.

PONTES et al. (2015) Modelagem hidrológica e hidráulica de grande escala com propagação inercial de vazões. Revista Brasileira de Recursos Hídricos, vol. 20, n. 4. 2015.

Number: 9 Author: Reviewer Subject: Note Date: 2016-06-17 23:10:30

It is enough to say the 'catchment and river routing methods' were utilized.

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1 First, ED2 estimates of daily surface and subsurface runoff from each grid cell are divided into 2 three linear reservoirs with different residence times to represent overland flow (surface 1 reservoir), interflow (intermediate reservoir) and groundwater flow (base reservoir) (Figure 2). 3 4 The reservoirs are used to determine the contribution and attenuation of river flow by different 5 soil layers, characterized by different propagation times. The sum of overland flow, interflow, 6 and groundwater flow is then moved from each grid cell into the drainage network computed from a digital elevation model (DEM) using the COTAT (Cell Outlet Tracing with an Area Threshold) algorithm (Reed 2003) and is enhanced with a parameter that accurately assigns flow directions to DEM grid cells over regions with meandering rivers (Annex A). Each DEM grid cell therefore becomes part of a flow path which then accumulates water to a final 10 downstream drainage network outlet (Figure 3 - Panel b). A complete description of the 11 12 technique for defining drainage networks from DEMs employed in this study can be found in 13 Paz et al. (2006). Once water reaches the drainage network, ED2+R solves the Muskingum-Cunge equation of 14 flow routing a sing a finite-difference method as a function of river length, width, height and 15 roughness as well as terrain elevation slope (Collischonn et al. 2007; Reed 2003). Statistical 16 relationships for the river morphology were obtained as a function of the drainage area based 18 on geomorphic data collected by Brazil's National Water Agency (ANA) and the Observation 19 Service for the geodynamical, hydrological and biogeochemical control of erosion/alteration 20 and material transport in the Amazon basin (HyBAM) at several gauging stations in the Amazon and Tocantins basins as presented by Coe et al. (2008). Later on, further studies successfully 21 employed these statistical relationships to estimate river geometric parameters to carry out 22 hydrodynamic simulations of the Amazon River system (Paiva et al., 2013; Paiva et al., 2011). 23 24 Multiple groups of grid cells with common hydrological features, or hydrological response 25 units, can be created in order to parameterize and calibrate ED2+R. In our approach, 26 hydrological traits associated with soil and land cover are primarily computed in ED2, thus we 27 calibrated ED2+R at the subbasin level as delineated considering the DEM. Details about the 28 calibration procedure are provided in the next section. 29

30

4 arameterization and evaluation for the Tapajós river basin application

We parameterized and evaluated the ED2+R formulation for the Tapajós River Basin, one of

32 the largest tributaries of the Amazon. For calibration purposes the based was divided into seven

Number: 1 Author: Reviewer Subject: Underline Date: 2016-06-17 23:11:22

groundwater or base reservoir? pick one. don't need any of the parenthesis.

Number: 2 Author: Reviewer Subject: Note Date: 2016-06-13 18:10:36

break the sentence at drainage network.

Number: 3 Author: Reviewer Subject: Underline Date: 2016-06-17 23:11:12

The DEM processing details are distracting and confusing at this point.

Is this pre-processing or COTAT runs during simulation?

Also, assuming you are not worried with floodplain terrain at the moment, the technique can be briefly explained with something like...
".. from a digital elevation model (Reed, 2003; Paz et al. 2006)"

Which DEM resolution are you using?

Number: 4 Author: Reviewer Subject: Underline Date: 2016-06-17 23:11:52

Muskingum-Cunge is a numerical scheme for the solution of the kinematic wave equation, which also accounts numerical diffusion to represent flow attenuation..

Number: 5 Author: Reviewer Subject: Underline Date: 2016-06-17 23:12:01

what do you mean by river height?

Number: 6 Author: Reviewer Subject: Underline Date: 2016-06-17 23:12:12

river flow routing

Number: 7 Author: Reviewer Subject: Underline Date: 2016-06-17 23:13:27

This sentence is ok, but as it is about the model application in Tapajos, it should be described in the section 4.

You should describe better how would you parameterize at continental or global scale?

Number: 8 Author: Reviewer Subject: Strikeout Date: 2016-06-17 23:12:37

This is not relevant for ED2+R method overview. Also, in Paiva et al. studies the authors derived their geomorphological relations, although the approach was similar to that of Coe et al. 2008...

Number: 9 Author: Reviewer Subject: Note Date: 2016-06-13 19:00:14

Change name for 'Study case: Tapajós river basin'

Number: 10 Author: Reviewer Subject: Note Date: 2016-06-13 18:59:36

Please, provide an overview of the Tapajós basin, such as hydrological features (i.e. precipitation, land-use, etc.)

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sub-basins, each of them with a corresponding gauge for which historical daily river flow 1 2 observations were available (Panel a in Figure 3). Simulations were carried out for the period 1970-2008. 2020 model was forced using reconstructed climate (Sheffield et al. 2006) and 3 4 land use/land cover data (Hurtt et al. 2006; Soares-Filho et al. 2006) at 1-degree spatial 5 resolution. The original meteorological dataset has a 3-hour temporal resolution, which was 6 downscaled to an hourly resolution, as described in Zhang et al. (2015). Surface and subsurface runoff calculated for each cell with ED2 are connected with the three linear reservoirs of the routing scheme (Figure 2). 9 Model Calibration: The ED2+R model was manually calibrated through a two-step procedure using gauge observations (HYBAM and ANA) spanning a period of 17 years, from 1976 to 10 11 1992 (the period 1970-1975 was not considered in order to avoid simulation initiation effects). In the first step, the flow partitioning between the ED2 surface and subsurface 12 13 reservoirs and the ED2+R surface, intermediate, and base reservoirs (parameters α and β in Figure 2) were adjusted. Following the methodology described by Anderson (2002), the 14 sensitivity of the α and β parameters was tested by running the model multiple times $\uparrow > 30$). For 15 16 each run, the goodness-of-fit was quantified comparing the results of the simulation to historical flow observations. The combination of the α and β parameters characterized by the highest 17 18 goodness-of-fit was selected. Parameters α and β were assumed to be uniform for the whole 19 basin. In the second step, the residence times (τ) of flow within the ED2+R reservoirs of each 20 grid cell in the domain were calibrated (CS, CI, and CB in Figure 2). The calibration procedure 21 characterizing the second step is similar to the previous one but in this case the calibration is 22 repeated for each subbasin sequentially; the calibration process was conducted from the furthest 23 upstream subbasins - headwaters - to the final outlet of the basin (Anderson 2002). The model 24 was run multiple times (between 30 and 50 per subbasin) with different combinations of the 25 three parameters (CS, CI, and CB in Figure 2); for each run, the goodness-of-fit was quantified. 26 This allowed us to design a sensitivity curve of the model to different combinations of the three 27 parameters for each of the seven subbasins, and to select the combination that best approaches 28 the historical observations. g observations in the river flow records were filled via linear 29 spatial and temporal interpolation between the series in neighboring gauge stations (Equation 30 1): 31 $Obs_{y}(t) = K + \beta_{1} \cdot Obs_{z}(t) + \beta_{2} \cdot Obs_{q}(t) + \beta_{3} \cdot Obs_{y}(t - 365) + \beta_{4} \cdot Obs_{y}(t + 365)$ 32 (1)

Number: 1 Author: Reviewer Subject: Note Date: 2016-06-13 18:57:00

What is the grid/spatial discretization for hydrologic and river routing in this application? Which DEM was used?

Number: 2 Author: Reviewer Subject: Note Date: 2016-06-17 19:43:10

Please provide more details on landuse and land cover.

Number: 3 Author: Reviewer Subject: Strikeout Date: 2016-06-17 23:14:11

this was described earlier.

Number: 4 Author: Reviewer Subject: Note Date: 2016-06-13 19:07:23

put the "two-step procedure" in the end of the sentence.

Number: 5 Author: Reviewer Subject: Note Date: 2016-06-17 23:25:41

It means the ED2 was calibrated against discharges? after that alfa and beta are fixed?

Number: 6 Author: Reviewer Subject: Underline Date: 2016-06-17 23:15:12

this partitioning, alfa and beta parameters must be described earlier in sections 3 or 4. In this way, this whole paragraph can be rewritten directly, as the calibration for alfa, beta and CB, CI, CS are much similar. Also, tau, CB, CI and CS nomenclature is superposing, thus confusing. Use one or another and fix figures/text accordingly.

Number: 7 Author: Reviewer Subject: Underline Date: 2016-06-17 23:14:40

Explain, how did you set the alfa and beta intervals between 0 and 1?

Number: 8 Author: Reviewer Subject: Underline Date: 2016-06-17 23:15:37

*highest?

goodness-of-fit is often use to evaluate regression models or distribution models fitting..

while calibration is often based on minimization of objective functions..

Number: 9 Author: Reviewer Subject: Note Date: 2016-06-13 19:33:36

Show detailed information (i.e. parameters, gages used, period, number of days filled, etc.) on this regression model for each gage where the interpolation was used.

Calibration of the model using filled data with high correlation (r>0.85) can produce improved statistics. Isn't this affecting your results? Was the interpolation step really necessary and why?

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1



2 Where z, y, and q are three gauge stations with timeseries highly correlated (Pearson's $r \ge 0.85$), 3 and t expresses time in days. The estimated β coefficients were used for the estimation of the 4 missing observations in the site y. For further details on the calibration procedure, see Appendix B. 5 The period 1993-2008 was used for model evaluation. Comparison between observations and 6 lated flows (goodness-of-fit) were carried out using Pearson's R correlation coefficient 7 (Pearson 1895), volume ratio, and the Nash-Sutcliffe (NSE) coefficient (Nash & Sutcliffe 1970) 8 9 (Figure 4). 10 Results = 3 11 12 The integration of the routing scheme with ED2 substantially increases the ability of the model to accurately reproduce the observed temporal variations in river flows at the basin outlet 13 [7] 5). This statement applies to all of the sub-basin 6 the application of the routing 14 scheme substantially wed the goodness of fit between simulated and observed values with 15 respect to all three measures, Nash-Sutcliffe (NSE) (Figure 4, panel a), Pearson's R correlation 16 coefficient (panel b in Figure 4), and volume ratio (panel c in Figure 4). Both routed (ED2+R) 17 and non-routed (ED2) simulation results manage to reproduce reasonably well the observed 18 water availability in the basin in terms of volume (panel c in Figure 4); however, the application 12 19 20 of the routing scheme improves the ability of the model to reproduce the spatio-temporal 14 propagation of water flows across the basin (panels a and b in Figure 4, and Figure 6). The 21 model's performance in simulating river flows is generally higher in the downstream sub-basins 22 23 and poorer in the headwaters; in the Upper Teles Pires and Upper Juruena, the model achieved the lowest NSE, and although water volumes are reproduced reasonably well, the seasonal 24 25 variability is less accurate. The NSE and correlation values increased substantially in the central and lower part of the basin (Figure 4 and Figure 6). The Jamanxim basin results, especially 26 during the validation period, are affected by the very short and fragmented observation time 27 28 series 29 duration curves, representing the probability of the flow values to exceed a specific value, highlight the substantial improvement of the model results after applying the routing scheme 30 31 (Figure 6). The simulated flow duration curves show an excellent match to the observations in

Number: 1 Author: Reviewer Subject: Note Date: 2016-06-14 15:29:27

Explain volume ratio statistic.

The more recent Kling-Gupta efficiency metric (Gupta et al. 2009) overcomes some of the Nash-Sutcliffe's flaws, please calculate it.

Gupta et al, 2009, Journal of Hydrology, doi:10.1016/j.jhydrol.2009.08.003

Number: 2 Author: Reviewer Subject: Underline Date: 2016-06-17 19:52:59

Number: 3 Author: Reviewer Subject: Note Date: 2016-06-17 23:45:24

You also have the opportunity to compare the results for:

versus ED2+ catchment routing

ED2+catchment+river routing

Number: 4 Author: Reviewer Subject: Note Date: 2016-06-17 19:45:56

Focus on important numbers and features... some of interpretations could be better used in the discussion...

Number: 5 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:53:28

Number: 6 Author: Reviewer Subject: Note Date: 2016-06-14 15:37:37

show time series for the seven basins.

Number: 7 Author: Reviewer Subject: Note Date: 2016-06-14 15:31:14

Results shown in Figure 5 can be summarized in a Table, which will also facilitate the reading of metric values.

Number: 8 Author: Reviewer Subject: Note Date: 2016-06-14 15:39:50

Describe this improvement, in values, in the text.

Number: 9 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:53:24

Number: 10 Author: Reviewer Subject: Strikeout Date: 2016-06-17 23:29:16

model skill or model performance.

Number: 11 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:27:33

what do you mean by reasonable well?

Number: 12 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:47:17

Number: 13 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:28:34

what do you mean by water availability?

Number: 14 Author: Reviewer Subject: Underline Date: 2016-06-17 23:29:05

so.. the routing scheme, improved the routing when compared to the model with no routing... and?

Number: 15 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:29:34

*higher?

Number: 16 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:27:55

reasonably well... what is this?

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1



2 Where z, y, and q are three gauge stations with timeseries highly correlated (Pearson's $r \ge 0.85$), 3 and t expresses time in days. The estimated β coefficients were used for the estimation of the 4 missing observations in the site y. For further details on the calibration procedure, see Appendix B. 5 6 The period 1993-2008 was used for model evaluation. Comparison between observations and lated flows (goodness-of-fit) were carried out using Pearson's R correlation coefficient 7 (Pearson 1895), volume ratio, and the Nash-Sutcliffe (NSE) coefficient (Nash & Sutcliffe 1970) 8 9 (Figure 4). 10 Results == 11 12 The integration of the routing scheme with ED2 substantially increases the ability of the model 13 to accurately reproduce the observed temporal variations in river flows at the basin outlet 14 re 5). This statement applies to all of the sub-basir the application of the routing scheme substantially oved the goodness of fit between simulated and observed values with 15 16 respect to all three measures, Nash-Sutcliffe (NSE) (Figure 4, panel a), Pearson's R correlation 17 coefficient (panel b in Figure 4), and volume ratio (panel c in Figure 4). Both routed (ED2+R) 18 and non-routed (ED2) simulation results manage to reproduce reasonably well the observed 19 water availability in the basin in terms of volume (panel c in Figure 4); however, the application 20 of the routing scheme improves the ability of the model to reproduce the spatio-temporal 21 propagation of water flows across the basin (panels a and b in Figure 4, and Figure 6). The 22 model's performance in simulating river flows is generally higher in the downstream sub-basins 23 and poorer in the headwaters; in the Upper Teles Pires and Upper Juruena, the model achieved the lowest NSE, and although water volumes are reproduced reasonably well, the seasonal 17 24 variability is less accurate. The NSE and correlation values increased substantially ingthe central 25 and lower part of the basin (Figure 4 and Figure 6). The Jamanxim basin results, especially 19 26 during the validation period, are affected by the very short and fragmented observation time 27 28 series 20 ration curves, representing the probability of the flow values to exceed a specific value, 29 highlight the substantial improvement pf the model results after applying the routing scheme 21 30 (Figure 6). The simulated flow duration curves show an excellent match 23the observations in 31

Number: 17 Author: Reviewer Subject: Underline Date: 2016-06-17 23:30:21

| Tan't see this result anywhere in figures or graphics..or anywhere...
| Number: 18 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:54:19

| Number: 19 Author: Reviewer Subject: Underline Date: 2016-06-17 23:30:54
| Tan't see this anywhere...
| Number: 20 Author: Reviewer Subject: Note Date: 2016-06-14 15:50:00
| Explain FDCs briefly in methods
| Number: 21 Author: Reviewer Subject: Underline Date: 2016-06-17 23:31:16
| at this point I know you are applying the routing scheme... use ED2 according ED2+R to avoid repetition
| Number: 22 Author: Reviewer Subject: Strikeout Date: 2016-06-17 19:54:29

| Number: 23 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:31:27

"Excelent.." I can see the significant improvement... Use metrics, please.

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- 1 the furthest upstream sub-basins, especially in the cases of the Upper Juruena and Upper Teles
- 2 Pires (panels a and b in Figure 6) For downstream subbasins, Lower Juruena and Lower Teles
- 3 Pires, flood duration curves show a general tendency of overestimating the lowest values of the
- 4 distribution (panels c to g in Figure 6) 3 his is also evident in the multiyear hydrograph (Figure
- 5), which shows that the ED2+R tend to overestimate the observations during the dry seasons
- 6 of the period under consideration.

7

8

6 Discussion

- 9 As the results in Figures 4-6 show, the integration of ED2 with a simple one-way routing 6
- scheme substantially gincreases the model's ability to reproduce daily water flows through a 7
- 11 large river basin. The results highlight the ability of the ED2+R model to more accurately
- capture the hydrological dynamics in the study domain in terms of both volumes (Figure 6) and o
- 13 seasonality of river flows (Figure 5). As seen in Figure 6, the performance of the model in
- simulating river flows in the basin is generally higher in the downstream sub-basins and poorer
- in the headwaters. This is due to both the relatively coarse spatial resolution of the model in 10
- 16 combination with the limitations typical of most land surface models in capturing the
- interactions with the deep groundwater (Lobligeois et al. 2014; Zulkafli et al. 2013; Smith et al.
- 18 2004). The combined effect of groundwater interactions and spatial resolution is more evident
- in the upstream part of the basin because of the greater marginal contribution of baseflow in 11
- 20 these areas. Further downstream, the effect of groundwater interactions and spatial resolution
- 21 <u>asked by the larger rainfall-runoff contribution and the overall flow accumulation from the 13</u>
- 22 <u>upstream subbasins.</u> Other recent hydrological simulations of the Tapajós have obtained higher
- accuracy (e.g. Mohor et al. 2015; Collischonn et al. 2008; Coe et al. 2008); however, these
- 24 simulations were set up discretizing the basin into a finer spatial resolution grid (9 to 20 km
- versus 55 km grid cells).
- The principal advantage of the ED2+R model is the ability to better predict the sensitivity of
- 27 the river flows to global environmental changes. Approximation of the river flows to global environmental changes. Approximation of the river flows to global environmental changes.
- advantages of biosphere and hydrological models, bringing together global, regional, and local
- 29 scale hydrological dynamics in a single modelling framework. This can be used to study how
- 30 different hydrological systems are being affected by changes in climate forcing and changes in
- 31 ecosystem composition and structure arising from the combination of: changes in climate, rising
- 32 atmospheric carbon dioxide, and land-transformation.



- Number: 1 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:32:42
 - (Figure 6a, Figure 6b)
- Number: 2 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:31:45

What do you mean by general tendency?

- Number: 3 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:33:00
- Number: 4 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:33:45
- Number: 5 Author: Reviewer Subject: Note Date: 2016-06-17 23:33:48 what happens in figure 6g, where ED2+R don't seem to improve lowflows when compared to ED2?
- Number: 6 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:34:08

 What is a simple one-way routing scheme? Where did this come from?
- Number: 7 Author: Reviewer Subject: Strikeout Date: 2016-06-17 23:34:25

 the performance of simulated daily discharges..
- Number: 8 Author: Reviewer Subject: Strikeout Date: 2016-06-17 22:03:49
- Number: 9 Author: Reviewer Subject: Underline Date: 2016-06-17 23:34:41
- Number: 10 Author: Reviewer Subject: Underline Date: 2016-06-17 23:36:18

I'm not sure, there are other things to consider like:

Can you explain why this would deep groundwater interactions are important in the Tapajos basin? What's the role of river hydraulics? What is the importance of evapotranspiration in this basin? How does this affect the model ability to simulate local to global scales?

Can't you calibrate or improve ED2 hydrology model parameterization to fix this? Isn't this associated to the calibrated alfa and beta at the first step?

Number: 11 Author: Reviewer Subject: Underline Date: 2016-06-17 23:36:23

greater marginal contribution? Do you mean baseflow to total flow? show this...

- Number: 12 Author: Reviewer Subject: Note Date: 2016-06-17 23:36:33
- Number: 13 Author: Reviewer Subject: Underline Date: 2016-06-17 23:37:45

"masked by?"

What do you mean by "larger rainfall-runoff contribution?"

Are you trying to say the river storage is more important than the groundwater?!

Number: 14 Author: Reviewer Subject: Note Date: 2016-06-17 22:08:45

So what do you mean by this?

Are these the only differences? What about the precipitation and climatological datasets, landuse vegetation?

Moreover, how is the river parameterization x river routing method x model performance affected at this basin scale?

- 📊 Number: 15 Author: Reviewer Subject: Highlight Date: 2016-06-17 22:09:04
- Number: 16 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:38:07

better than what?

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- 1 the furthest upstream sub-basins, especially in the cases of the Upper Juruena and Upper Teles
- 2 Pires (panels a and b in Figure 6). For downstream subbasins, Lower Juruena and Lower Teles
- 3 Pires, flood duration curves show a general tendency of overestimating the lowest values of the
- 4 distribution (panels c to g in Figure 6). This is also evident in the multiyear hydrograph (Figure
- 5 5), which shows that the ED2+R tend to overestimate the observations during the dry seasons
- 6 of the period under consideration.

7

8

6 Discussion

- 9 As the results in Figures 4-6 show, the integration of ED2 with a simple one-way routing
- scheme substantially increases the model's ability to reproduce daily water flows through a
- 11 large river basin. The results highlight the ability of the ED2+R model to more accurately
- 12 capture the hydrological dynamics in the study domain in terms of both volumes (Figure 6) and
- 13 seasonality of river flows (Figure 5). As seen in Figure 6, the performance of the model in
- simulating river flows in the basin is generally higher in the downstream sub-basins and poorer
- in the headwaters. This is due to both the relatively coarse spatial resolution of the model in
- 16 combination with the limitations typical of most land surface models in capturing the
- interactions with the deep groundwater (Lobligeois et al. 2014; Zulkafli et al. 2013; Smith et al.
- 18 2004). The combined effect of groundwater interactions and spatial resolution is more evident
- 19 in the upstream part of the basin because of the greater marginal contribution of baseflow in
- 20 these areas. Further downstream, the effect of groundwater interactions and spatial resolution
- 21 <u>hasked by the larger rainfall-runoff contribution and the overall flow accumulation from the</u>
- 22 <u>upstream subbasins.</u> Other recent hydrological simulations of the Tapajós have obtained higher
- accuracy (e.g. Mohor et al. 2015; Collischonn et al. 2008; Coe et al. 2008); however, these
- simulations were set up discretizing the basin into a finer spatial resolution grid (9 to 20 km
- versus 55 km grid cells).
- 26 The principal advantage of the ED2+R model is the ability to better predict the sensitivity of
- 27 the river flows to global environmental changes. According to the river flows to global environmental changes. According to the river flows to global environmental changes.
- advantages of biosphere and hydrological models, bringing together global, regional, and local 19
- 29 scale hydrological dynamics in a single modelling framework. This can be used to study how
- 30 different hydrological systems are being affected by changes in climate forcing and changes in
- 31 ecosystem composition and structure arising from the combination of: changes in climate, rising
- 32 atmospheric carbon dioxide, and land-transformation.

20

Number: 17 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:38:45

why are you repeating this idea?

Number: 18 Author: Reviewer Subject: Note Date: 2016-06-17 23:38:17

Number: 19 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:39:05

what is: local and regional scale?
Also, it was said before that the ED2+R showed limitations to simulate some groundwater processes in headwaters...

Is ED2+R really prepared to run at global scale? What about the computational effort to run the ED2+R in comparison to ED2? What about its ability represent more complex river systems (i.e. floodplains, backwater effects)?

Number: 20 Author: Reviewer Subject: Note Date: 2016-06-14 16:21:32

What are the current limitations? Where is ED2+R when compared to other more sophisticated models?

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1

2

7 Conclusions



Biosphere models are excellent tools to study hydrological dynamics under climate and land 2 3 use/land cover changing conditions. These models are usually set to simulate long periods in large regions, usually at global or continental scales. Their ability in reconstructing the water 5 balance at relatively fine geographical and temporal resolution, taking into consideration global environmental changes makes them powerful instruments for hydrological simulations. In order 8 to translate the results of the land surface simulation in terms of river flows, the simulated results need to be processed using a hydrological routing scheme. In this Technical Note, we 10 present the integration of the terrestrial biosphere model Ecosystem Demography 2 (ED2) with 11 the Muskingum-Cunge routing scheme. We tested the integrated model (ED2+R) in the Tapajós 12 river basin, a large tributary of the Amazon in Brazil, for the period 1970-2008. The results showed that the integration of a biosphere model with a routing scheme substantially improves 13 14 the ability of the land surface simulation to reproduce the hydrological and river flow dynamics 15 at the basin scale. The main limitations highlighted in this case study were linked to the relatively coarse spatial resolution of the model and the rough representation of groundwater 16 flow typical of this kind of models. Moreover, the terrestrial biosphere model ED2 and the 17 routing scheme are presented here in a one-way integration. The full coupling of the routing 18 19 scheme and ED2 could further improve the ability to reproduce the water balance considering flooded ecosystems a feature that could be extremely important especially in the simulation of 20 21 environments like the tropical forest, where local evapotranspiration plays a primary role in the 22 specific ecosystem's dynamics. Future efforts will be oriented towards the resolution of the 23 highlighted limitations and current research is focusing on the application of ED2+R on

26

27

24

25

Annex A – COTAT algorithm

28 Cell outlet tracing with an area threshold (COTAT) algorithm (retrieved from Reed et al. 2003):

understanding historical changes and future projections of the impacts of climate change and

29 , The basic rules for the COTAT algorithm are defined here:

deforestation on the Amazon's water resources.

- 30 1. Identify an outlet pixel in each coarse-resolution cell. The outlet pixel drains the largest
- 31 cumulative area of any pixel in that cell.

Number: 1 Author: Reviewer Subject: Note Date: 2016-06-17 23:42:11

Describe your main findings and its relevance..

- Number: 2 Author: Reviewer Subject: Strikeout Date: 2016-06-17 23:39:32

 This is background...
- Number: 3 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:41:24
- Number: 4 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:39:37
 what so you mean by this? and why is this relevant?
- Number: 5 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:40:10 not quite... muskingum-cunge is not really appropriate for floodplain dynamics, specially in large tropical floodplains.

Tot quie... muskingum-eurige is not really appropriate for noodplant dynamics, specially in large tropical noodplant

also, what do you mean by flooded ecosystems?

- Number: 6 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:39:54
- Number: 7 Author: Reviewer Subject: Note Date: 2016-06-17 22:20:59 don't think this section is needed.

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- 1 2. For each cell, trace downstream, from its outlet pixel, along the flow path defined by the
- 2 high-resolution flow directions.
- 3 . For each subsequent outlet pixel reached, determine its total drainage area and subtract the
- 4 drainage area of the starting outlet pixel.
- 5 Case 1: If this difference is greater than a user specified area threshold, stop tracing.
- 6 Case 2: Otherwise, continue tracing to subsequent outlets until either the area threshold is
- 7 exceeded or until the edge of the high-resolution grid is reached.
- 8 4. Assign the flow direction of the starting cell toward the neighboring cell with the farthest
- 9 outlet along the trace defined in steps 2 and 3" (from Reed et al. 2003 Section 3. Methodology,
- 10 page 2)

11

12

Annex B - Calibration of the ED2+R model for the Tapajós River Basin

- 13 In this annex, we present the calibration of the ED2+R model for the Tapajós river basin. The
- calibration process has two steps, as highlighted in Figure 2. The first step is the partitioning of
- 15 the flows from the two reservoirs of the ED2 biosphere model to the three reservoirs of the
- 16 ED2+R routed biosphere model. The second step regards the adjustment of the residence times
- 17 of the water flows in the three reservoirs for each of the grid cells in each of the subbasins
- 18 (overland, intermediate, and groundwater flows CS, CI, CB in Figure 2). Figure B.1 shows
- 19 the different combinations of the α and β parameters introduced in Figure 2. The color bar
- 20 indicates the Nash-Sutcliffe indicator (NSE) resulting from the comparison between the
- 21 simulated and observed river flow values obtained using different combinations of the
- parameters α (x axis) and β (y axis). The chosen combination (indicated by an x in Figure B.1)
- 23 lies in one of the optimal combination areas (NSE \sim 0.8).
- 24 The second step of calibration is represented by the adjustment of residence time of the
- 25 overland, intermediate, and groundwater flows (CS, CI, and CB in Figure 2). Figure B.2 shows
- 26 how the model is sensitive to marginal variation in initial conditions of baseflow, particularly
- 27 in the upstream section (i.e. UTP Upper Teles Pires, UJ Upper Juruena, and LTP Lower
- 28 Teles Pires). Changes in initial groundwater contributions in the downstream part of the basin
- are almost completely phinfluential for the overall representation of the river flows (i.e. UT and
- 30 LT Upper and Lower Tapajós).



Number: 1 Author: Reviewer Subject: Note Date: 2016-06-17 23:40:48

The only criteria here was the ENS?

This is confusing: 1.Did you calibrate the ED2 (without +R)first?

2. Do you calibrate alfa, beta with ED2+R or ED2 only?

Explain clearly.



Number: 2 Author: Reviewer Subject: Highlight Date: 2016-06-17 23:40:30

"almost completely?"

"unifluential?"

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- Figure B.3 describes instead the calibration of the residence time for each of the subbasins. The
- 2 directent combinations of the values assigned to the parameters CS, CI, and CB significantly
- 3 impact the overall goodness-of-fit of the river flow simulations (NSE indicator). The calibration
- 4 process was conducted from the furthest upstream subbasins headwaters (UTP Upper
- 5 Teles Pires, UJ Upper Juruena, and JA Jamanxim) to the final outlet of the basin (LT –
- 6 Lower Tapajós). The different combinations are marked with the corresponding NSE value; the
- 7 optimal combination is marked in red (Figure B.3).

8

9

Author's contribution

- 10 F. Pereira, P. Moorcroft and J. Briscoe designed the study; F. Pereira developed the model code;
- 11 F. Farinosi, M. Arias, and E. Lee carried out the analysis; F. Farinosi, M. Arias and P. Moorcroft
- wrote the paper.

13

14

Acknowledgements

- 15 This work was conducted while F. F. Pereira, F. Farinosi, E. Lee, and M. E. Arias were Giorgio
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- 17 also funded through a doctoral scholarship by Ca' Foscari University of Venice. Support from
- 18 Italy's Ministry for Environment, Land and Sea is gratefully acknowledged. We would like to
- 19 thank Marcos Longo for letting us use one of his figures, and Angela Livino for the useful
- 20 comments. The authors would like to dedicate this study to the late Professor John Briscoe
- 21 (1948 2014), who envisioned and co-led the Amazon Initiative of Harvard's Sustainability
- 22 Science Program.

23

24

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Number: 1 Author: Reviewer Subject: Note Date: 2016-06-14 16:53:49

How did you set the range of variation of each parameter? Does the final parameters have a reasonable physical meaning?

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No Comments.

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Figures

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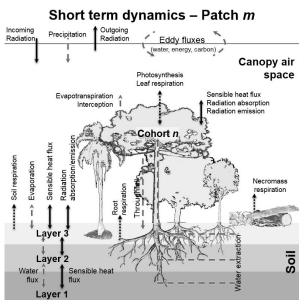


Figure 1. Schematic of the enthalpy fluxes (all arrows) and water fluxes (all but solid black arrows) that are solved in ED2. The schematic is based on Walko et al. (2000); and Medvigy et al. (2009). (Courtesy of Marcos Longo).

ED2 ED2+R First Calibration step: Second Calibration step: Partitioning of the flows Residence time Runoff α **Surface** (Surface reservoir runoff) Intermediate reservoir **Discharge** (Subsurface Streamflow Base runoff) reservoir **Grid Cell A Grid Cell B**

Figure 2. Schematic representation of the connection between the terrestrial biosphere model and the hydrological routing scheme. Calibrating parameters circled in red (Figure B.1 and Figure B.3). The reservoirs are used to determine the contribution of streamflow that comes from overland flow (surface reservoir), interflow (intermediate reservoir) and groundwater flow (base reservoir). The daily sum of these three reservoirs is then moved from each grid cell into the drainage network.

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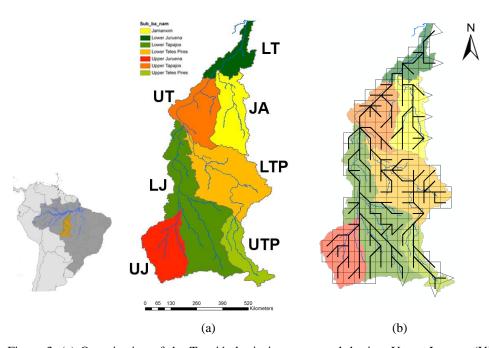
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- Figure 3. (a) Organization of the Tapajós basin into seven sub-basins: Upper Juruena (UJ);
- 3 Lower Juruena (LJ); Upper Teles Pires (UTP); Lower Teles Pires (LTP); Jamanxim (JA); Upper
- 4 Tapajós (UT); and Lower Tapajós (LT). (b) ED2+R represents the domain in grid cells with
- 5 0.5° resolution (~ 55 km). The black segments indicate flow accumulation network.

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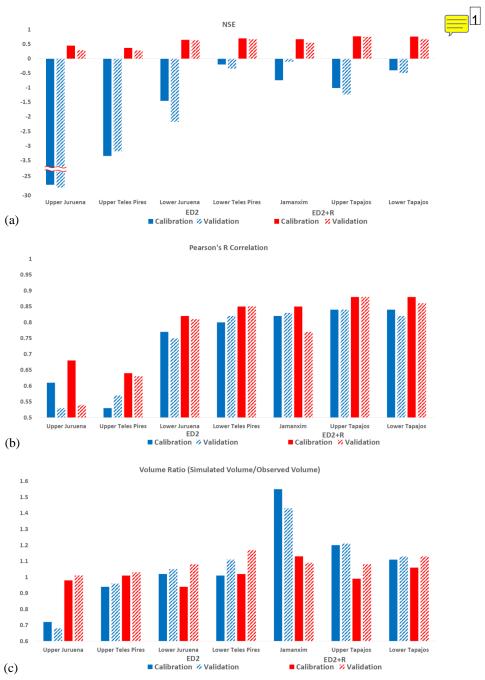


Figure 4. Calibration and validation results. (a) Nash-Sutcliffe, (b) Pearson's R, and (c) volume ratio, optimal values = 1; in red ED2+R results, in blue ED2. Filled bars corresponds to calibration period, shaded bars for validation period.

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a table would do it.. i think it is hard to read the values.

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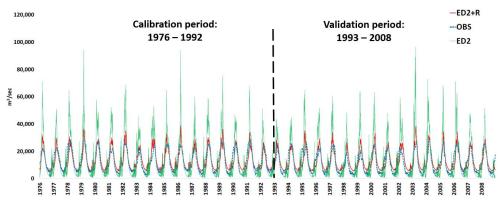


Figure 5. Calibration and validation of the river flow (m^3/sec) at Itaituba (farthest downstream river gauge – Lower Tapajós sub-basin). ED2 output (green line), ED2+R (red line), and Observations (blue dotted line). The dotted black line splits the calibration and validation periods.

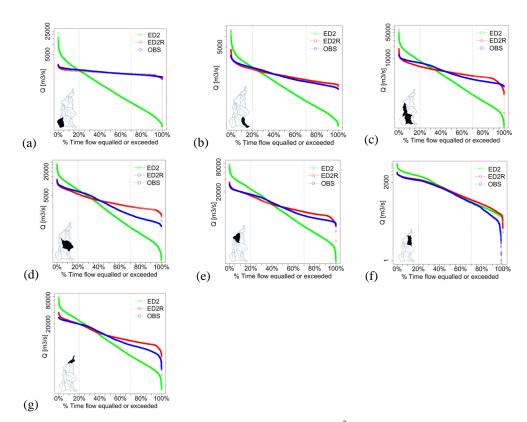


Figure 6. Flow duration curves (percentage of time that flow – m³/s – is likely to equal or exceed determined thresholds) of observed values (blue), ED2 outputs (green), ED2+R (red) at the outlet of the seven sub-basins. (a) Upper Juruena (UJ); (b) Upper Teles Pires (UTP); (c) Lower

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Juruena (LJ); (d) Lower Teles Pires (LTP); (e) Upper Tapajós (UT); (f) Jamanxim (JA); and (g) Lower Tapajós (LT).

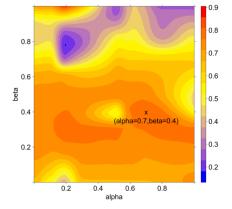


Figure B.1. Calibration of flow partitioning (parameters alpha and beta in Figure 2) between the ED2 and the ED2+R reservoirs. Color bar indicates the NSE values of the simulated versus the observed river flow values (0 very different, 1 very similar)

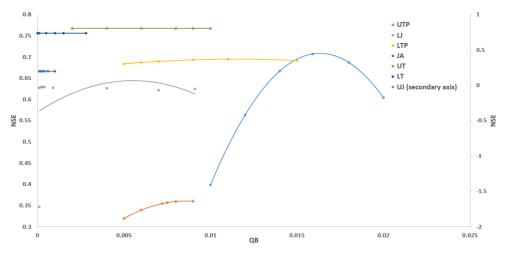
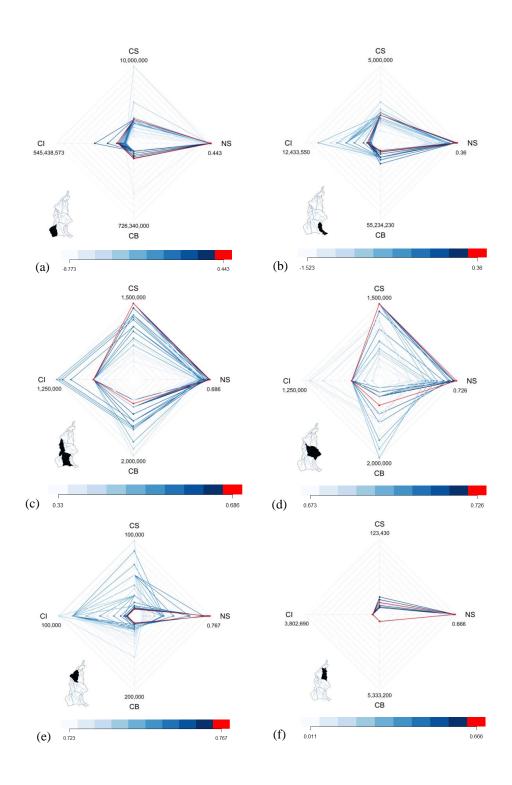


Figure B.2. Initial conditions of baseflow sensitivity for different ED2+R subbasins in the domain. Upper Juruena (UJ); Upper Teles Pires (UTP); Lower Juruena (LJ); Lower Teles Pires (LTP); Upper Tapajós (UT); Jamanxim (JA); and Lower Tapajós (LT).

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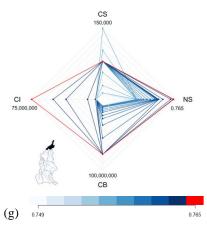


Figure B.3. Calibration of the residence times (τ) of the flow within the ED2+R reservoirs of different grid cells in the domain. Overland, intermediate and groundwater flows are indicated respectively by CS, CI, and CB (Figure 2). In red the chosen combination. (a) Upper Juruena (UJ); (b) Upper Teles Pires (UTP); (c) Lower Juruena (LJ); (d) Lower Teles Pires (LTP); (e) Upper Tapajós (UT); (f) Jamanxim (JA); and (g) Lower Tapajós (LT).