



1 Comparison of satellite based evapotranspiration

2 estimates over the Tibetan Plateau

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16 Abstract

17 The Tibetan Plateau (TP) plays a major role in regional and global climate. Land-atmosphere interactions are largely influenced by surface latent heat fluxes 18 19 through evapotranspiration. Despite its importance, accurate estimation of ET over the TP remains challenging, due to its unique and special geographical position and 20 physical environment. Satellite observations allow for ET estimation at high temporal 21 and spatial scales. The purpose of this paper is to provide a detailed cross comparison 22 23 of existing ET products over the TP. Six available ET products based on different 24 approaches and using different forcing data are included for comparison. Results show that all products capture well the seasonal variability with minimum ET in the 25 26 summer and maximum ET in the winter. Regarding the spatial pattern, the High Resolution Land Surface Parameters from Space (HOLAPS) ET demonstrator dataset 27 28 is very similar to the LandFlux-EVAL dataset (a benchmark ET product from the 29 Global Energy and Water Cycle Experiment), with ET decreases from the southeast to northwest over the TP. Further comparison against the LandFlux-EVAL over four 30 sub-regions reveals that HOLAPS agrees best with LandFlux-EVAL having the 31 highest correlation coefficient (R) and lowest Root Mean Square Difference (RMSD). 32 These results indicate the potential for the application of the HOLAPS demonstrator 33 34 dataset in understanding the and-atmosphere-biosphere interactions over the TP.

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Keywords: HOLAPS; Tibetan Plateau; Evapotranspiration; Latent heat flux; Water
 fluxes; Land-atmosphere interactions

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41 1. Introduction

42 Evapotranspiration (ET) is an essential nexus of energy and water cycles through the 43 mass and energy interactions between land and atmosphere (Jung et al., 2010; Peng et al., 2013). In recent years, the estimation of the global distribution of ET has been 44 45 advanced by several studies generating novel ET data products (Fisher et al., 2008; Jung et al., 2009; Miralles et al., 2011; Miralles et al., 2015). However, the spatial and 46 temporal resolution of these products are normally around one degree in space and 47 48 monthly means in time, which are still quite coarse for regional studies (Jiménez et al., 2011; Mueller et al., 2011). To address these limitations, Loew et al. (2015) 49 introduced a new framework called HOLAPS (High resOlution Land Atmosphere 50 surface Parameters from Space), which allows for the estimation of land surface 51 52 energy and water fluxes at sub-hourly timescales and spatial resolutions at the 53 kilometer scale. HOLAPS is currently the only approach that provides surface energy 54 and water fluxes at comparably high spatial and temporal resolutions. It therefore allows resolving much more spatial and temporal details of land-atmosphere 55 56 interactions than other existing ET data products. In addition, excellent performance of HOLAPS was found when validated against global FLUXNET observations, with 57 Root Mean Square Difference (RMSD) of 53.0 (35.1) W/m² for hourly (daily) latent 58 59 heat flux (Loew et al., 2015). Note that the energy equivalent for ET is referred as latent heat flux (LE), which is used interchangeable with ET in this paper. 60

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62 The Tibetan Plateau (TP), known as the third pole of the Earth (Qiu, 2008), is the highest and largest plateau in the world, with very complex terrain and an average 63 64 elevation higher than 4000 m above sea level (asl) (Figure 1) (Frauenfeld et al., 2005; 65 Ma et al., 2008). Due to its unique and special geographical position and physical environment, the TP has profound thermal and dynamical impacts on atmospheric 66 67 circulation over China, the whole East Asia and even the entire globe (Cui and Graf, 2009; You et al., 2014). Quantitative estimation of the energy and water cycles over 68 the TP is of great significance for the study of land-atmosphere-biosphere interactions 69 70 (Sellers et al., 1997; Yang et al., 2014). In order to characterize the distribution of ET over the TP, different methods using micrometeorological measurements or remote 71 sensing products have been investigated over the last decades (Chen et al., 2013; Ma 72 73 et al., 2014; Zhang et al., 2007). However, accurate estimation of ET over TP is still a challenge due to the limited in situ observations, coarse temporal and spatial 74 resolution of remote sensing products, and complicated topography of the TP (Shi and 75 76 Liang, 2013).







Figure 1: Map of the location and topography of the Tibetan Plateau, and four sub-regions used in this study.

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The existing global ET products especially HOLAPS are great potential datasets that can be used in hydrological studies over the TP. Although these global ET products have been validated against FLUXNET measurements, the spatial and temporal patterns of them over the TP are still unknown. Therefore, the main objective of this study is to provide a detailed cross comparison of the different existing ET products over the TP.

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89 2. Data and methods

90 The WACMOS-ET project has recently evaluated several evaporation retrieval algorithms driven by the same forcing dataset at both local and global scales (Michel 91 et al., 2015; Miralles et al., 2015). These algorithms include the Penman-Monteith 92 93 (PM) algorithm, the Priestley-Taylor (PT) model and the Surface Energy Balance System (SEBS). These three process-based models are widely used for the estimation 94 95 of ET and are based on different assumptions and parameterizations. The 96 WACMOS-ET project found that the Priestley-Taylor based products performed best 97 among other algorithms over most ecosystems and climate regimes (Michel et al., 98 2015). Similar to their study, three LE datasets driven by same forcing data are evaluated over the TP. These datasets are SEBS_{SRB-PU}, PT_{SRB-PU} and PM_{SRB-PU}, which 99 are respectively based on PM, PT, and SEBS algorithms but driven by the same input 100 101 radiation from Surface Radiation Budget (SRB) and meteorological forcing datasets from Princeton University (PU) (Vinukollu et al., 2011). In addition, to investigate the 102 impact of forcing data on the estimation of LE, another recent released SEBS dataset 103 104 (SEBS_{Chen}) is also included in this study (Chen et al., 2014). Different from 105 SEBS_{SRB-PU}, SEBS_{Chen} is driven by the meteorological forcing data obtained from the Institute of Tibetan Plateau Research, Chinese Academy of Sciences (ITP, CAS). 106





108 The HOLAPS LE demonstrator dataset is estimated with the HOLAPS framework, which makes use of meteorological drivers coming exclusively from globally 109 available satellite and re-analysis datasets and is based on a state-of-the-art land 110 111 surface scheme (Loew et al., 2015). HOLAPS can ensure internal consistency of the different energy and water fluxes and provide estimates at high temporal (< 1h) and 112 spatial (~5 km) resolutions. Good agreement with in situ measurements have also 113 been found by Loew et al. (2015) when compared against 49 FLUXNET stations 114 115 worldwide. The details of the HOLAPS framework and relevant evaluation results can be found in (Loew et al., 2015). 116

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118 Since there are no reliable in-situ measurements available over the TP for the 119 current study period, the above LE datasets are cross-compared with LandFlux-EVAL benchmark product in the current analysis. LandFlux-EVAL is a merged synthesis LE 120 product based on a total of 14 datasets including land surface model output, 121 122 observations-based estimates, and atmospheric reanalyses (Mueller et al., 2013). It should be noted here that LandFlux-EVAL also includes satellite-based LE datasets 123 that are estimated from PM and PT algorithms. However, the PM_{SRB-PU} and PT_{SRB-PU} 124 125 datasets used in the current analysis are different from those datasets. They are based 126 on revised PM and PT approaches, which also account for the evaporation from canopy intercepted precipitation (Vinukollu et al., 2011). In addition, the forcing 127 128 datasets used for PM_{SRB-PU} and PT_{SRB-PU} are also different from that used for PM and PT datasets in LandFlux-EVAL. For example, the radiation used for the PM_{SRB-PU} is 129 130 from SRB, while PM dataset from LandFlux-EVAL uses radiation from International Satellite Cloud Climatology Project (ISCCP). A summary of these datasets is given in 131 Table 1. For a detailed information about each product, the reader is referred to the 132 relevant publications. 133

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Table 1: Summary of the datasets used in our study.

Tuolo 1. Summary of the unused used in our study.							
Dataset ET scheme		Spatial resolution	Temporal resolution	Reference			
PM _{SRB-PU}	Penman-Monteith	1°	daily	(Vinukollu et al., 2011)			
PT _{SRB-PU}	Priestley-Taylor	1°	daily	(Vinukollu et al., 2011)			
SEBS _{SRB-PU}	SEBS	1°	daily	(Vinukollu et al., 2011)			
SEBS _{Chen}	SEBS	0.1°	daily	(Chen et al., 2014)			
HOLAPS	Priestley-Taylor	5 km	half hourly	(Loew et al., 2015)			
LandFlux-EVAL	Synthesis product	1°	monthly	(Mueller et al., 2013)			

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In order to compare the accuracy of these LE products, all of them are firstly aggregated to monthly mean values over the common time period 2001 -2005 which corresponds to the time period currently covered by the HOLAPS demonstrator dataset (Loew et al., 2015). Then the spatial and temporal variation of different LE products are compared and analyzed over the whole TP. The performance of different

142 LE products is also evaluated against the LandFlux-EVAL benchmark product over





143 the whole TP and four sub-regions (see Figure 1).

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145 **3 Results and discussion**

146 3.1 Spatial and temporal variability of different LE products

Figure 2 displays the spatial pattern of annual mean values for different LE datasets. 147 148 Although these LE products have been reported performing well against FLUXNET measurements at point scale, they exhibit differently in terms of spatial pattern over 149 the TP. The differences between SEBS_{SRB-PU}, PT_{SRB-PU} and PM_{SRB-PU} are attributed to 150 151 the differences of the models. But also for the same model, different forcing data lead to different results (SEBS_{SRB-PU} and SEBS_{Chen}). These results suggest that model and 152 153 forcing are equally critical for the estimation of ET (Vinukollu et al., 2011). Overall, 154 the HOLAPS dataset is found to have good agreement with the benchmark product (LandFlux-EVAL) with similar spatial pattern of LE. The LE decreases from the 155 southeast to northwest over the TP, which also corresponds well with the variation of 156 157 elevation. The Figure 3 further shows the annual mean spatial patterns of 25th-percentile and 75th-percentile of the LandFlux-EVAL multi-datasets ensemble. 158 It can be seen that HOLAPS is within the range of different independent LE products. 159 In addition to the spatial comparisons of annual average values, the time evolution of 160 all datasets is also explored to test their self-consistency. Figure 4 presents the time 161 series of the area mean LE for different LE datasets, and the inter-quartile range 162 between 25th-percentile and 75th-percentile of the LandFlux-EVAL ensemble. In 163 164 general, all products capture well the seasonal variability with minimum LE in the summer and maximum LE in the winter. However, the mean values of different LE 165 products differ substantially. There is a spread of about 35 W/m² at the annual cycle 166 peak. Compared with the other products, the HOLAPS seems to be closer to the 167 168 LandFlux-EVAL benchmarking product. The SEBS_{SRB-PU} and SEBS_{Chen} seem to be more distinctive with LE from most months outside the inter-quartile of 169 LandFlux-EVAL ensemble. However, when compared to the climatology calculated 170 from flux tower measurements around the TP the SEBS estimates seem to be close to 171 the flux tower measurements (Chen, 2011). Typical monthly mean LE fluxes over 172 summer time typically range between 80 W/m² and 100 W/m² (Chen, 2011), while the 173 LandFluxEval estimates range between 60 W/m² and 80 W/m². In the next section, 174 175 the performance of each product will be further discussed based on the comparison results against the LandFlux-EVAL benchmark product. 176 177







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Figure 3: The annual mean spatial patterns of 25th-percentile and 75th-percentile of the 185

LandFlux-EVAL multi-datasets ensemble. 186

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Figure 4: Temporal variability of the area averaged LE for each dataset over the TP. The greyshadow displays the inter-quartile range between 25th-percentile and 75th-percentile of the

- 192 LandFlux-EVAL multi-datasets ensemble.
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194 3.2 Comparison of LE datasets against LandFlux-EVAL benchmark

195 product

Figure 5 presents the monthly mean scatter plots of LE between the LandFlux-EVAL 196 197 benchmark product and other products for four sub-regions. The detailed statistics are listed in Table 2. It can be seen that the model performance varies among different LE 198 products with statistical indices values ranging from 0.81 to 0.99 for correlation 199 coefficient (R), and from 2.75 to 26.73 W/m² for RMSD for all sub-regions. Overall, 200 201 the HOLAPS appears to yield the closest agreement with the LandFlux-EVAL benchmark product, with R higher than 0.98 and RMSD less than 12.46 W/m². The 202 relatively poorer performance of the HOLAPS for region 4 explains the slight 203 underestimation of LE when compared with the benchmark dataset (Figure 4). 204 Similarly, the PT_{SRB-PU} and PM_{SRB-PU} also present a lower estimate of LE in region 4 205 compared to the benchmark dataset. However, the products based on SEBS perform 206 better than in other regions. The possible underlying reasons for these biases are 207 208 related to the uncertainties of the models and the forcing data. Quantification and reduction of these uncertainties are required in the future studies. Our results suggest 209 that the HOLAPS provides a unique high resolution LE product and thereby can 210 211 contribute to a better understanding of the water and energy cycles over the TP. 212







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 $\label{eq:214} \mbox{Figure 5: The monthly mean scatter plots of LE between the LandFlux-EVAL benchmark}$

215 product and other products over the whole TP and its sub-regions.

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217 Table 2. Statistics of the LE comparisons between the LandFlux-EVAL benchmark product

and other products over the whole TP and its sub-regions.

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	HOLAPS		PM _{SRB-PU}		PT _{SRB-PU}		SEBS _{SRB-PU}		SEBS _{Chen}	
	R	RMSD (W/m ²)	R	RMSD (W/m ²)	R	RMSD (W/m ²)	R	RMSD (W/m ²)	R	RMSD (W/m ²)
Region1	0.98	2.75	0.95	9.68	0.95	9.91	0.9	21.5	0.96	17.71
Region2	0.99	3.96	0.93	6.48	0.94	5.57	0.86	17.58	0.86	17.8





Region3	0.99	2.94	0.97	17.07	0.97	19.06	0.81	26.73	0.97	18.97
Region4	0.98	12.46	0.98	10.91	0.98	8.07	0.96	7.3	0.96	18.48
Tibetan Plateau	0.99	3.46	0.98	5.66	0.98	7.05	0.92	17.2	0.96	16.67

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4 Conclusions

224 This study provides a comprehensive intercomparison of existing LE products 225 over the TP for the period 2001-2005. Comparing the HOLAPS LE to the LandFlux-EVAL benchmark product, similarities can be found regarding the spatial 226 patterns with increased LE from northwest to southeast. The other LE products 227 228 (SEBS_{SRB-PU}, SEBS_{Chen}, PT_{SRB-PU} and PM_{SRB-PU}) display different spatial patterns, which indicates the equal importance of model structure and forcing data. 229 230 Nevertheless, all products capture well the seasonal variability with maximum LE in the summer and minimum LE in the winter. Further comparison against 231 232 LandFlux-EVAL benchmark dataset over the four sub-regions and whole TP reveals that the HOLAPS LE product has the best performance with highest R and lowest 233 RMSD. However it needs to be emphasized that this study only could provide a 234 235 cross-comparison of the different datasets. Further, more comprehensive validation of 236 these satellite datasets against flux tower measurements is required to evaluate the actual accuracies of the different products. This will be investigated in further studies. 237 238

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