



- 1 Vegetative impacts upon bedload transport capacity and
- 2 channel stability for differing alluvial planforms in the
- 3 Yellow River Source Zone
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# 15 Abstract

16 The influence of vegetation upon bedload transport and channel morphodynamics is 17 examined along a channel stability gradient ranging from meandering through anabranching through anabranching-braided to fully braided planform conditions along trunk and tributary 18 19 reaches of the Upper Yellow River in western China. Although the regional geology and 20 climate are relatively consistent across the study area, there is a distinct gradient in the 21 presence and abundance of riparian vegetation for these reaches atop the Qinghai-Tibet 22 Plateau (elevations in the study area range from 2800-3400 m a.s.l.). The hydraulic and 23 geomorphic role of riparian vegetation varies as follows: trees exert the strongest influence in 24 the anabranching reach, the meandering reach flows through meadow vegetation, the 25 anabranching-braided reach has a grass, herb, and sparse shrub cover, and the braided reach 26 has no riparian vegetation. A non-linear relation between vegetative cover on the valley floor 27 and bedload transport capacity is evident, wherein bedload transport capacity is highest for 28 the anabranching reach, followed by the anabranching-braided, braided and meandering 29 reaches respectively. The relationship between the bedload transport capacity of a reach and





sediment supply from upstream exerts a significant influence upon channel stability. Bedload transport capacity during the flood season (June-September) in the braided reach is much less than the rate of sediment supply, inducing bed aggradation and dynamic channel adjustments. Rates of channel adjustment are less pronounced for the anabranching-braided and anabranching reaches, while the meandering reach is relatively stable (i.e. this is a passive meandering reach).

7

### 8 1 Introduction

9 Transitions in river character and behaviour are a key focal point of enquiry in fields such as 10 geomorphology, hydrology, and sedimentology. Such concerns have significant management 11 applications, especially relating to issues such as management of flood risk and sedimentation 12 hazards. These issues are likely to become even more pronounced in the future, as rivers 13 adjust in response to climate and land use changes, and management actions. Putting aside 14 concerns for terminological issues associated with differentiation of river types and their morphological attributes (see Lewin and Ashworth, 2014, Carling et al., 2014, Tadaki et al., 15 16 2014), it is clear that a concerted effort is required to generate process-based understandings 17 of morphodynamic adjustments to address concerns for prospective future river changes 18 (Beechie et al., 2010). Here we evaluate the influence of riparian vegetation upon these 19 process interactions, focussing upon relatively understudied reaches of the Upper Yellow 20 River atop the Qinghai-Tibet Plateau in western China.

21 Channel bars are products of instream deposition of bedload materials, whether at the channel 22 margin (bank-attached forms) or mid-channel features (bars) (Brierley and Fryirs, 2005). 23 Typically, bars mutually adjust with channel geometry, such that they scale to the size of the 24 channel in which they form (Task Force on Bed Forms in Alluvial Channels, 1966; Nicholas 25 et al., 2013). If these features become vegetated and stabilized, they are referred to as islands. 26 Unit bars (migrating lobate bed forms with heights and lengths that scale with channel depth 27 and width) are differentiated from larger, more complex compound bars (e.g. Bridge, 1993; 28 Brierley, 1989, 1991; Smith, 1974). Compound bars are products of multiple phases of 29 accretion and reworking, with stacked sequences of unit bar, dune, and smaller bed form 30 deposits that are often trimmed at their margins by bank erosion processes or dissected by 31 chute channels (Ashworth et al., 2011; Best et al., 2003; Bridge, 2003; Brierley and Fryirs, 32 2005; McGowen and Garner, 1970; Reesink et al., 2014; Sambrook Smith et al., 2009).





Various studies have characterized the main morphological elements of large bars and islands,
 while other studies have developed conceptual models of bar evolution (e.g. Ashworth et al.,
 2000; Gurnell et al., 2001, Latrubesse and Franzinelli, 2002; Mertes et al., 1996). Moreover,
 Brierley and Hickin (1991) and Brierley (1996) highlight how analyses of sediment sequences
 made up of facies and element-scale assemblages of bar deposits cannot be used to
 differentiate among channel planform types.

7 There is notable variability in the presence, form and hydraulic/sedimentologic 8 (morphodynamic) role of bars along the continuum of channel planform (Bridge, 1993; 9 Brierley, 1996). By definition, as suspended-load rivers have limited bedload-calibre 10 materials, they have very few, if any, bars. The prominence of fine-grained (silt-clay) deposits 11 under low energy conditions (often very low channel gradient) promotes passive channel 12 behaviour, typically with a low sinuosity, passive meandering or anabranching (anastomosing) 13 planform (Eaton et al. 2010; Fryirs and Brierley, 2012; Makaske, 2001; Wang et al., 2005). 14 Patterns of bar formation in mixed- and bedload-dominated rivers reflect the flow-sediment 15 balance along any given reach, with a spectrum of planform types ranging from active 16 meandering and wandering variants through to fully braided rivers (see Ashworth, 1996; 17 Ashworth and Lewin, 2012; Burge, 2006; Church and Rice, 2009). Braiding results from the 18 inability of flow to transport all sediments that are made available to the channel, such that 19 mid-channel sedimentation occurs (i.e. competence and/or capacity limits are exceeded, 20 wherein sediment is either too coarse to be transported, or there is too much sediment for the 21 flow to transport, respectively). Recurrent reworking of bedload materials via thalweg shift 22 during flood events alters the number, shape, and location of bars. Bar dissection and avulsion 23 create multi-thread channel systems with a disorderly river planform, extremely unstable bars, 24 and inconstant flow paths (Ashmore, 1991; Ashworth et al., 2000; Jerolmack and Mohrig, 25 2007). However, if channel boundary conditions induce sufficient bank strength, and flows 26 are able to transport available bedload sediments, the river adopts a configuration with better-27 defined, less mobile channels with a much lower width-depth ratio, whether within a singlechannel configuration (typically passive meandering) or a multi-channel anabranching 28 29 configuration (Eaton et al., 2010; Song and Bai, 2015). Controversy abounds in our 30 theoretical understanding of process controls upon anabranching river behaviour (see Carling 31 et al., 2014; Nicholas et al., 2013). While Huang and Nanson (2007) and Jansen and Nanson 32 (2004, 2010) attribute an anabranching configuration to the least action principle, wherein 33 channels adjust their form to transport available sediment in the most hydraulically efficient





1 manner, Eaton and co-workers postulate quite opposite situations in which anabranching 2 channels adjust to minimize their capacity to transport materials (Eaton and Church, 2004, 3 2007; Eaton et al., 2010). This builds upon long-standing awareness of the differing 4 environmental conditions under which anabranching and anastomosing planform types are 5 observed, ranging from tropical to arid zone settings (see Nanson and Knighton, 1996; 6 Nanson, 2013). This perhaps suggests that different factors can result in these channel 7 configurations (the principle of geomorphic convergence, or equifinality). It is not our 8 concern here to address this issue directly. Rather, our focus lies with analysis of relationships 9 between bedload transport capacity and channel morphodynamics along a continuum of 10 channel planform types along the Upper Yellow River. This continuum is coincident with a 11 gradation in riparian vegetation cover (Yu et al., 2014).

12 In some instances, vegetation may support the long-term stable development of sandbars 13 within a stable multi-channel system -a variant of an anabranching river (Latrubesse, 2008; 14 Nanson and Knighton, 1996; Murray and Paola, 2003; Tal and Paola, 2010). Bar stability is 15 the key distinguishing attribute of braided and anabranching rivers. Vegetation increases flow 16 resistance and stabilizes the channel bed and bank in the latter instance, thereby altering 17 channel geometry, bedload transport rates, and the resulting rates and patterns of bed 18 deposition or erosion. Once a particular morphology has been formed, the configuration of 19 channels and associated distribution of bars and roughness elements fashions process 20 responses to subsequent flood events (Hooke, 1986, 2015; Hooke and Yorke, 2011; Luchi et 21 al., 2010). If critical threshold conditions are exceeded, alterations to the balance and patterns 22 of erosion and deposition processes may bring about transitions to different planform types.

23 Mutual adjustments between patterns of vegetation types (size, spacing, and density) and 24 flow-sediment dynamics (patterns and rates of erosion and deposition) vary at different 25 positions on the valley floor. Vegetation encroachment by pioneer species and successional 26 processes induce abiotic and biotic transitions in geomorphic processes from the unvegetated 27 channel bed and bar surfaces to grassland, shrubs, and treed areas at the margins of 28 bars/islands and on floodplains (Corenblit et al., 2007, 2011; Gurnell, 2014; Hickin, 1984; 29 Hupp and Osterkamp, 1996; Millar, 2000; Tooth and Nanson, 2000). Vegetation attributes 30 influence the pattern of roughness elements and the associated distribution of flow energy, 31 thereby affecting the distribution of erosional and depositional processes, and resulting 32 morphological attributes (including the grain size distribution of bed/bar materials). Hence,





vegetative controls influence the stability and behaviour of alluvial bed and bars, and the
 influence of vegetation upon flow-sediment interactions, vary for differing planform types
 (Gran and Paola, 2001; Gradzinski et al., 2003; Jang and Shimizu, 2007; McBride et al.,
 2007).

5 Although the prominence of seasonal low flow stages and nutrient-rich fine sands may 6 support the growth of annual or perennial herbs and shrubs on mid-channel and transverse 7 bars in braided rivers, this sparse vegetation cover has negligible impact upon sediment 8 deposition and erosion patterns, and is removed easily at flood stage (Coulthard, 2005). This 9 mutual interaction between vegetation and erosion-deposition can be viewed as a threshold condition: if sufficient vegetation establishment occurs, resistance may exceed the erosion-10 11 deposition capability of a normal flood such that stabilization ensues, prospectively altering 12 sedimentation patterns, increasing bank strength, and reducing channel width-depth ratio 13 (Gran and Paola, 2001; Coulthard, 2005; Eaton et al. 2010). In anabranching channels the 14 vegetation cover on mid-channel bars inhibits lateral migration, inducing a stable branching 15 channel condition. During lower frequency floods, when bars are partially or completely 16 submerged by flow, vegetation increases flow resistance, traps sediment, and inhibits erosion.

17 This study builds upon previously-reported exploratory analyses of river diversity in the 18 source zone of the Yellow River (Blue et al., 2013; Brierley and Huang, 2013; Li et al., 2013; 19 Yu et al., 2014). In this region, herbs and sparse shrubs that establish on the sand/gravel bars 20 of braided rivers have a trivial influence upon channel morphodynamics, while establishment 21 of dense shrubs and sparse trees on sand/gravel bars promotes the emergence of anabranching 22 channel configurations. Building on these observations, a vegetative gradient of river 23 morphologic adjustments is established for four reaches: Dari and Maqu reaches of the 24 Yellow River main stream, and Daheba and Lanmucuo River tributaries of the Upper Yellow 25 River (Table 1). Dari reach has a semi-stable braided channel, where sandbars are covered by 26 herbaceous vegetation and sparse shrubs. Maqu reach has a very stable anabranching channel 27 with dense willows (Salix atopantha) on sandbars. The study reach along Lanmucuo River 28 has a stable gravel meandering river with herb coverage. The study reach along Daheba River 29 has a very unstable gravel braided channel without vegetation cover. We develop and apply a 30 simplified model to explain the interaction of sediment transport capacity and river bed 31 deposition in these reaches, examining the effect of vegetation resistance and adjustment of





- 1 fluvial hydraulic geometry. From this, we quantitatively analyse the stability and evolution of
- 2 braided, anabranching, and meandering reaches during flood events.

### 3 2 Study area and methods

Upstream of Tangnaihai hydrological station the source zone of the Yellow River drains an 4 area of 122,000 km<sup>2</sup> (see Fig.1). In the 1950s the Yellow Water Conservancy Commission 5 established four hydrological stations in this area, namely (from upstream to downstream), 6 7 Huangheyan station in Maduo County, Jimai station in Dari County, Magu station in Magu County, and Tangnaihai station in Xinhai County. The reach from Huangheyan to Jimai 8 station is 325 km long and drains an area of 24,089 km<sup>2</sup>. In this reach the valley is guite wide, 9 with semi-braided and semi-anabranching planform morphologies characterized by disordered 10 11 channels with a large number of bars. The reach from Jimai to Maqu is 585 km long and drains an area of 41,029 km<sup>2</sup>. The upper section of this reach has a deeply incised (confined), 12 13 sinuous valley between the Anyemagen and Bayan Har Mountains. Flowing into the Ruoergai 14 alluvial basin, there is a diverse array of planform types (Blue et al., 2013; Li et al., 2013). The reach from Maqu to Tangnaihai station is 373 km long and drains an area of 35,924 km<sup>2</sup>. 15 16 Most of this reach comprises a steep and incised canyon.

17 For this study, field investigations of vegetative influences upon bed/bar geomorphic 18 processes were conducted four times in the summers of 2011-2014. Particle size distributions 19 of bed and bank materials size were analyzed using a laser particle size analyzer (Mastersizer 20 2000) and field sieves were used to test ten samples of river bed and bank materials in each 21 reach. As a supplement, photographs of gravel and cobbles on the bed/bar surface were taken 22 to visually estimate bed particle size. To estimate bedload transport capacity, water depth was 23 measured approximately in the field and channel width using remote sensing images of the 24 branching channel network. Remote sensing images from 2005-2014 were downloaded from 25 Google Earth (resolution of about 0.24 m).

The best available hydrological data that could be accessed for this study were daily stagedischarge data from Jimai (1964-1985), monthly stage-discharge data from Maqu (1959-1970), monthly cross-section elevation change data from Shangcun station along the Daheba River (1.8 km upstream from its confluence with the Yellow River; 2009-2011), and 2011-2014 field data for the Lanmucuo River (a tributary of the Yellow River in Maqu-Tangnaihai section, at an elevation of 3400-4200 m a.s.l., for which upstream and mid-catchment reaches have a typically meandering channel, while the downstream reach has a confined bedrock





1 channel). There are no intensive human activities in this area of the Yellow River Source

2 Zone.

## 3 3 Basic characteristics of four alluvial reaches

Fig.2 shows the planform morphology of the four channel reaches, and Figure 3-6 show bars,
the channel bed, and bed sediment. Basic channel characteristics of the study reaches are
summarized in Table 2.

Dari reach has a semi-braided and semi-anabranching channel in a wide valley (Fig.2 (R1) and Fig. 3). This braided-anabranching transition zone is considered to be semi-stable, with an active channel zone that is around 1 km wide. The braided part of the channel is made up of many small mid-channel and transverse bars, with multiple connected branching channels. In the anabranching part, the large bars/islands are covered by dense grassland vegetation. Given the extensive width of the active channel zone, annual floods during June-September exert negligible impacts upon these relatively stable surfaces.

The Maqu reach is located in a wide alluvial valley. The dense tree cover of the vegetated islands is indicative of a stable channel configuration (see Fig. 2(R2) and Fig. 4). During the flood season, tree trunks are partly submerged into water, but the dense trees are sufficiently strong to limit bed erosion. As a result, the anabranching system as a whole is quite stable with high bedload transport capacity.

19 Lanmucuo River is a meadow meandering river with nearly 100% vegetation cover(see Fig. 20 2(R3) and Fig. 5). The root system of riparian grasses induces considerable protection from 21 near-bank erosion. Field investigation from 2011-2014 indicate that the lateral migration 22 induced by cantilever bank failure occurred at a rate less than 0.2 m/yr. The gravel-bed 23 channel has a low bedload transport rate in the flood season. In some local sections, mid-24 channel bars with dense grass coverage have developed at the apex of bends. The whole 25 channel is quite stable, in spite of short-term outer bank failures and long-term meander neck 26 cutoffs.

Daheba River has incised into the Gonghe-Xinhai sedimentary basin. Severe gully erosion has incised river-lacustrine sediments to a depth of 50-100 m, supplying large volumes of gravel/cobble to the middle and lower Daheba channel. Excessive sediment supply has resulted in continuous aggradation of the channel bed along middle and lower courses of the Daheba River. Alluvial fans in gully outlets not only supply additional sediment, but also push the channel to the opposite side of the valley floor (a big fan is shown near D point in





Fig. 2(R4) and Fig. 6). As a result, the main branching channels are subjected to frequent and recurrent avulsion. Flows erode new small branching channels during the flood season, but a main channel coexists with several branching channels in the non-flood season. Unstable midchannel bars are unvegetated other than sparse vegetation coverage (grass and shrubs) on riparian banks. The gravel-cobble bed and high bedload transport rate restrict vegetation establishment and growth, resulting in a typically unstable braided river.

7 Bank strength induced by sediment material mix and vegetation root networks exerts a critical 8 influence upon the stability of alluvial channels (Eaton and Giles, 2009). Reinforcement of 9 bank strength reinforced by grass, shrub, and tree roots is related to the density, depth, and 10 spatial structure of the root network (Abernethy and Rutherfurd, 2001). Fig.7 shows 11 representative photographs of river banks in the four study reaches. The diverse bank material 12 composition and vegetation cover affect the relative strength of banks and their capacity to 13 resist nearbank flow scour. The river bank in Dari reach has a two-layer structure, with a 20-14 30 cm deep soil-root layer ( $d_{50} = 0.02$  mm) lying atop a gravel-sand layer ( $d_{50} = 6.0$  mm) (Fig. 15 7(a)). The river bank in Maqu reach has a dense grass, shrub, and tree cover (Figure 7 (b)), 16 with no indication of flow scour in the flood season. The study reach along Lanmucuo River 17 has a typical composite bank sedimentology of a mixed load river (Fig. 7(c)). An upward-18 fining sequence is characterized by a basal gravel unit ( $d_{50} = 5.5$  mm) extending to a 10-30 cm 19 thick silt/sand layer ( $d_{50} = 0.03$  mm) that is capped by a 10-50 cm thick fine-grained soil-root 20 complex ( $d_{50} = 0.02$  mm). Conversely, the bank of the middle Daheba River has characteristic deposits of a bedload-dominated river, with gravel and a sparse grass cover (Fig.7(d)). 21 22 Adjacent terraces that are more than 10m high limit the capacity for channel widening, while 23 actively supplying gravels. Mobile gravel banks influence the braided characteristics of 24 Daheba River. In summary, bank strength of the four study reaches varies from high to low as 25 follows: Maqu reach, Lanmucuo River, Dari reach, and Daheba River.

#### 26 4 Estimation of bedload transport capacity

Given the lack of observed data of bed load transport rate, bedload transport capacity has been estimated for a rectangular cross-section using the theoretical bed load formulae outlined below. Channel flow follows the laws of flow continuity, flow resistance and sediment transport with flow continuity law taking the form:





$$1 \qquad Q = AV \,. \tag{1}$$

- 2 where Q, A, and V are flow discharge, channel cross-sectional area, and average flow velocity,
- 3 respectively, A = WH, W is channel width, H is water depth.

Field observations shows that anabranching rivers on the Northern Plains of arid central and
northern Australia flow over largely plane beds (e.g. Tooth and Nanson, 1999, 2000; Tooth,
2000; Jansen and Nanson, 2004), so this study adopts the Manning formula to embody the
law of flow resistance for uniform alluvial channel flow:

8 
$$V = \frac{1}{n} R^{2/3} S^{1/2}$$
. (2)

9 where *R* is hydraulic radius, R=WH/(2H+W), *S* is flow energy slope, *n* is Manning's 10 roughness coefficient. In this study, following Chow (1959), n = 0.050 if no vegetation in 11 gravel-bed channels at high stages, n = 0.030 in floodplain with short grass, n = 0.050 in 12 floodplain with scattered brush and heavy weeds, and n = 0.150 in floodplain with dense 13 willows at flood stage.

Bedload transport fashions channel form and evolution for these gravel-bed rivers. Among numerous bedload formulae, the Meyer-Peter and Muller equation has been extensively and successfully applied (Meyer-Peter and Müller, 1948). The modification developed by Wong and Parker (2006) has been used in this study:

18 
$$\Phi = 3.97(\Psi - 0.0495)^{3/2}$$
. (3)

19 where  $\Phi$  and  $\Psi$  are the dimensionless bedload transport rate per unit channel width and the 20 dimensionless flow shear stress, respectively, that are defined as

21 
$$\Phi = \frac{q_b}{\sqrt{\left(\rho_s / \rho - 1\right)gd^3}}.$$
 (4)

$$22 \qquad \Psi = \frac{RS}{\left(\rho_s / \rho - 1\right)d}.$$
(5)

where  $q_b$  is the dimensional bedload transport rate per unit channel width,  $\rho_s$  is the density of sediments transported,  $\rho$  is the density of water, g is the acceleration of gravity, d is the median sediment size.





1 Cross-section and water depth were measured based on field survey and remote sensing 2 images (see Table 2). Estimated hydraulic parameters and bedload transport capacity for the 3 four reaches, derived using Eq.(1)-(5), are summarised in Table 3. Note that channel width is 4 effective bankfull width in the flood season, not valley width due to the existence of bars. The 5 adopted mean grain size is lower than bed sediment size. These results are considered to be 6 approximations, at best, and are analysed here solely in relational rather than absolute terms. 7 Results show that the bedload transport capacity of the four reaches from high to low is as 8 follows: Maqu, Lanmucuo, Dari, and Daheba reaches.

9 5 Effect of vegetation and bedload capacity on channel stability

### 10 **5.1.1** Dari reach (braided/anabranching river with grass and shrub cover)

11 Dari reach is a wide semi-braided and semi-anabranching channel, where the channel width is 12 up to 1600 m (Fig.3(a)). Some large stable gravel bar or islands have a dense grass and sparse 13 shrub cover. Many unstable bars with low vegetation cover are subjected to recurring erosion 14 and channel adjustment. Vegetation may inhibit erosion and enhance bar stability at middle 15 flood stage, but the resistance effect of vegetation at high flood stage is very limited. As a result, the whole channel may be eroded at high flow stage, resulting in disordered patterns of 16 17 mid-channel gravel bars. The estimated bedload transport capacity per unit channel width is 18 1.77 kg/m/s for 2.0 m water depth (see Table 2). If the water depth increases to 3.0 m in the 19 flood season, bedload transport capacity per unit width significantly increases up to 14.93 20 kg/m/s. It is likely that these flow depths cause intense erosion that divides the stable bars into 21 many unstable bars.

22

23 Fig. 8(a) and (b) show monthly stage-discharge relationships for 1968 and 1984, respectively. 24 Since Dari reach is a multi-thread channel system, the stage-discharge relationship is not a 25 single function relationship. In non-flood months (December, January, February, March, and 26 April) the river bed is frozen. May and November are pivotal times in the stage-discharge 27 relationship (the former reflects ice melt, the latter freezing). In flood months (June, July, 28 August, and September) the stage-discharge relationship adjusts due to strongly erosion and 29 deposition within the channel. For instance, different discharges for the same flow stage in 30 June and July 1968 are considered to reflect erosion of the channel (Fig. 8(a)). In the other 31 instance shown here, the maximum discharge in 1984 occurred in July (Fig.8(b)), probably 32 marking the transition from erosion to deposition phases.





1 Fig.9 shows the stage-discharge relationships of the Upper Yellow River at Dari from June to 2 September in 1964-1984. Apparently, the stages of 1975 are out of line with 1978, perhaps 3 indicating that the elevation benchmark of the station occurred in 1976 or 1977. In the same 4 month of different years, the stage-discharge relationship does not have a simple 5 corresponding relation, especially in August and September. This may reflect: 1) responses of 6 the channel bed to strong deposition in June and July, and thereafter the high stage 7 corresponds to low discharge such as August in 1978-1984 and September in 1964-1975; 2) 8 the channel bed strongly erodes in June and July, and thereafter the high stage corresponds to 9 high discharge such as August in 1964-1975 and September in 1978-1984. Overall, Figures 7 10 and 8 indicate that the channel of Dari reach is quite unstable during the flood season, with 11 erosion and deposition changing the stage-discharge relationship. A sketch showing how flow 12 erosion divides bars and deposits to form new bars is shown in Fig. 10.

### 13 **5.1.2** Maqu reach (anabranching river with tree cover)

14 Maqu reach in wide Ruoergai basin is covered by dense tress (Salix atopantha) and has a 15 stable anabranching channel planform (Fig. 4a). It is postulated that a herb and shrub cover 16 gradually supports the stabilization of new bars, facilitating sediment deposition on the body 17 of the bar during low and middle flood stages, and protecting the bar from erosion at high 18 flood stages. Subsequent development of trees presents a tall green barrier in the flood period 19 (Fig. 12). Although the water floods trees, their density induces sufficient resistance to 20 decrease the flow velocity and trap fine sand and gravel on the body of the bar. Therefore, this 21 anabranching channel system is basically stable over a decadal timescale.

22 Water stage change at Maqu station from 1959-1970 is shown in Fig. 11. The stage peak 23 occurs in July and September. The maximum difference of 2.43 m occurred between June and 24 September in 1963. If the water depth increases to 8.0 m from 5.5 m, bedload transport 25 capacity increases to 18.52 kg/s/m from 7.63 kg/s/m. As a result, the branching channel bed 26 may erode if upstream sediment supply exceeds the transport capacity. However, protection by trees is strong enough to inhibit erosion of bars. In contract, if the transport capacity 27 28 surpasses the upstream sediment supply, increasing bed deposition with flow stage further 29 increases the transport capacity of the reach. This agrees with analyses by Huang and Nanson 30 (2007) who stated that anabranching channels can achieve the optimal transport efficient 31 without increasing bed gradient. Even though these reaches may appear to promote deposition 32 on the channel bed during extreme floods (see Fig. 12), the flow erodes the bed later in the





- 1 flood season, thereby maintaining an equilibrium cross-section. As a result, the anabranching
- 2 channel of Maqu reach maintains a long-term stable situation.

# 3 5.1.3 Lanmucuo River (passive meandering river with meadow cover)

4 Lanmucuo River is a typical meandering river covered by dense meadow. Although typically 5 characterized by large bends in a flat valley, mid-channel gravel bar covered by herbs sometimes form at the apex of bends (Fig. 5(a)). The meandering channel and bars are very 6 7 stable because of low sediment supply in the flood season and good vegetation coverage. The 8 tight root-soil complex on concave banks inhibits flow scour. When cantilevered bank failures 9 do occur, slump blocks restrict further erosion of the bank. Grass develops on the point bars 10 of convex banks. When the overbank flow submerges the point bar, the herbaceous vegetation 11 can increase flow resistance and promote fine sand deposition (Fig.13), thereby maintaining 12 channel geometry with a relatively low migration rate. Growth of herbs on mid-channel bars 13 an apices (Fig.5(a)) helps to increase the flow resistance and trap fine sediment, facilitating 14 channel stability.

# 15 **5.1.4 Daheba River (unvegetated braided river)**

16 The gravel bed of Daheba River is characterized by deposition in the flood season and erosion 17 in the non-flood season. This makes it difficult for vegetation to develop on bars and banks of 18 the braided channels. Fig.14 shows morphological changes of the riverbed before and after 19 the flood season in 2005. The main branching and sub-branching channels of the channel 20 completely changed, with an initial phase of sediment deposition followed by flood-induced 21 division of bars and the re-emergence of a multi-thread braided system. Table 3 shows 22 derived estimates of the bed load transport capacity per width,  $q_b=0.47$  kg/s/m. This capacity 23 is seemingly unable to efficiently transport the excess sediment supply from upstream. As a 24 result, serious deposition occurs along Daheba River in the flood season.

Adjustments to channel geometry as a result of erosion and deposition processes before, during and after the flood season are shown in Fig.15. The elevation of the riverbed on July 29 2009 was 0.27 m higher than on April 1 2009. Other than slight erosion of the left bank, the subsequent phase was depositional, with up to 1.59 m of aggradation occurring by October 23 2009. The elevation of riverbed was increased by 0.27 m after the flood season in 2010. The elevation of the riverbed in July 1 2011 was 0.26 m higher than on April 29 2011. Trivial deposition occurred from July 1 to July 8, but 0.24 m of erosion occurred by July 23,





1 with subsequent deposition of 0.27 m by October 23. As a result, the riverbed elevation was 2 0.24 m higher after flood season in 2011, but multiple phases of deposition and erosion has 3 occurred. The deposition-erosion-deposition phases may reflect lower bedload transport 4 capacity relative to sediment supply in the early flood season, but widespread deposition 5 increases the local bed slope, thereby increasing bedload transport capacity. According to Eq. 6 (3), a 10% increase in bed slope increases the transport capacity by 85% in Daheba reach, so 7 bed erosion occurs again. Bed erosion decreases the bed slope until the transport capacity has 8 adjusted to reduced sediment supply, thereby inducing riverbed deposition once more. 9 Consequently, alterative deposition and erosion leads to the extreme instability in the middle 10 and lower Daheba River.

#### 11 6 Discussion and Conclusions

12 This study has outlined the complex interplay between vegetation and bedload transport and 13 channel stability in four reaches of the Yellow River source zone. Bar morphodynamics are 14 shown to exert a key control upon the behaviour of braided, anabranching, and meandering 15 channels (Hooke, 1986; Kleinhans, 2010; Kleinhans and van den Berg, 2010; Church and 16 Ferguson, 2015). Bar development and stability reflect the ability of vegetation to trap 17 sediments and stabilize banks, which in turn is directly influenced by flow energy 18 relationships (i.e. these are mutual adjustments; Corenblit et al., 2007; Gurnell et al., 2012; 19 Gurnell, 2014; Osterkamp and Hupp, 2010; Pietsch and Nanson, 2011). In this study, riparian 20 vegetation and its root network are considered to restrict channel width and increase hydraulic 21 efficiency, inducing greater bedload transport capacity in multi-thread channels 22 (Allmendinger et al., 2005; Huang and Nanson, 2007). Islands and floodplains are able to trap 23 more fine-grained sediment in the flood season, enhancing the longer-term (decadal) stability 24 of anabranching channels, as shown by the stable islands of Maqu reach.

25 Relative to the passive (resisting) role of vegetation, bedload transport actively affects shortterm patterns and rates of bed erosion and deposition. This, in turn, is affected by 26 27 relationships between the flow regime (especially flood events and formative flows) and the 28 influence of sediment supply upon bedload transport for differing river types (Church and 29 Ferguson, 2015; Dunne et al., 2010). The supply of bed material sediment to an alluvial 30 channel accelerates the growth of mid-channel, transverse, and point bars, thereby enhancing 31 thalweg development and locally increasing flow velocity. Non-equilibrium between 32 sediment supply and transport induces local channel instability, accentuating either bed





erosion or deposition (Jansen and Nanson, 2010; Nanson and Huang, 2008). In this study, a channel stability gradient accords with both sediment movement and vegetation cover, wherein bedload transport capacity (a function of bed slope, hydraulic geometry, and sediment particle size) is related to the influence of riparian vegetation upon channel geometry/planform.

6 In summary, channel stability of four alluvial reaches in the Yellow River source zone reflects 7 interactions between channel geometry/planform, bedload transport capacity, sediment supply 8 in the flood season, and the geomorphic/hydrodynamic role of vegetation cover on the valley 9 floor. Although the elevation of four reaches is different (Dari = 3960 m, Maqu = 3465 m, 10 Lanmucuo River = 3604 m, and Daheba = 2832 m), the precipitation, temperature, and bed 11 sediment size are basically similar (Yu et al., 2014). Nevertheless, vegetation coverage in the 12 four reaches is quite different. The Dari reach (anabranching-braided) has a herb and shrub 13 cover, Maqu (anabranching) reach has trees, Lanmucuo River (meandering) has meadow, and 14 Daheba River (braided) has no vegetation cover. We contend that the differing vegetation 15 cover and planform response reflects the delicate balance between erosion and deposition on 16 the channel bed and bank as influenced by bedload sediment supply in the flood season. Only 17 when the bedload transport capacity is equivalent or greater than sediment supply, does 18 vegetation act as a key determinant of channel stability.

19

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- 21





1 Table 1. Characteristics of the four study reaches (Flood season = June-September)

Alluvial	Planform type	Catchment	Flood-season Channel		Vegetation
reach		area	mean gradient		cover
		$(km^2)$	discharge		
			$(m^{3}/s)$		
Dari	braided-	45020	270	0.00120	dense grasses/
	anabranching				sparse brush
Maqu	anabranching	86000	920	0.00050	dense trees
Lanmucuo	meandering	660	15	0.00150	dense grass
Daheba	braided	5200	70	0.00144	non-vegetation





1	Table 2.	Characteristics and bed material of alluvial channels in the four study reaches	
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Alluvial	Channel	Water	Bed	Branching	Stability
reach	width	depth	material	channels	
	(m)	(m)	<i>d</i> <sub>50</sub> (m)		
Dari	450-1600	1.0-3.0	0.025	>5	semi-stable
Maqu	300-1000	2.0-5.0	0.015	>3	very stable
Lanmucuo	10-20	0.3-1.0	0.030	<=2	very stable
Daheba	150-500	0.5-2.0	0.060	>3	unstable

2





Bankfull	Bankfull	Channel	Mean	Manning	Average	Channel	$q_b (kg/s/m)$
channel	water	gradient	grain	coefficient	velocity	discharge	()
width	depth		size		(m/s)	(m <sup>3</sup> /s)	
(m)	(m)		(m)				
200	2.0	0.00120	0.015	0.05	0.90	269.67	1.77
400	5.5	0.00050	0.015	0.15	0.46	1003.55	7.63
20	0.8	0.00150	0.010	0.03	1.06	16.91	2.35
50	1.5	0.00144	0.020	0.05	0.96	71.75	0.47
	hannel /idth m) 00 00 00 0	hannel       water         /idth       depth         m)       (m)         00       2.0         00       5.5         0       0.8         0       1.5	Annel       Water       gradient         hannel       water       gradient         /idth       depth       (m)         00       2.0       0.00120         00       5.5       0.00050         0       0.8       0.00150         0       1.5       0.00144	hannel       water       gradient       grain         hannel       water       gradient       grain         hannel       depth       size       size         m)       (m)       (m)       (m)         00       2.0       0.00120       0.015         00       5.5       0.00050       0.015         0       0.8       0.00150       0.010         0       1.5       0.00144       0.020	Annel water       gradient       grain       coefficient         hannel water       gradient       grain       coefficient         /idth       depth       size       size         m)       (m)       (m)         00       2.0       0.00120       0.015       0.05         00       5.5       0.00050       0.015       0.15         0       0.8       0.00150       0.010       0.03         0       1.5       0.00144       0.020       0.05	minutin       Damatian       Online in the initial initinitialinininitial initial initininitial initial initi	nameDefinitionChannelInternal<

1 Table 3. Estimation of hydraulic coefficients and bedload transport rates







2 Figure 1. The course of the Upper Yellow River. R1 is Dari reach, R2 is Maqu reach, R3 is

- 3 Lanmucuo River, and R4 is Daheba River.
- 4







1

Figure 2. Planform morphology of the study reaches (R1 is Dari reach, R2 is Maqu reach, R3
is Lanmucuo River reach, and R4 is Daheba River reach). R1, R2, and R4 are Google Earth
images and R3 is a photograph taken from nearby hills. Points A, B, C, and D are the location
of photographs shown in Figures 3-6.







2 Figure 3. Channel morphology and gravel bed of Dari reach (photographs taken on 2 July,

3 2012, 33.7553 N, 99.6414 E, 3960 m elevation).







2 Figure 4. Channel morphology and gravel bed of Maqu reach (photographs taken on 8 July,

3 2012, 33.3594 N, 102.0553 E, 3465 m elevation).

4







- 2 Figure 5. Channel morphology and gravel bed of a grass covered bar in middle Lanmucuo
- 3 River (to photographs taken on 5 July, 2012, 34.4287 N, 101.4663 E, 3604 m elevation).

4







- 2 Figure 6. Channel morphology and gravel bed of middle Daheba River (photographs taken on
- 3 6 August, 2011, 35.5169 N, 100.0183 E, 2832 m elevation).

4







1

2 Figure 7. River bank of the study reaches (a) Dari reach, (b) Maqu reach, (c) Lanmucuo River

3 reach, and (d) Daheba River reach.









 $4 \qquad 1968 \ \text{(b)} \ 1984 \ \text{(Note: number refers to month, e.g. 1 for January and 12 for December)} \ .$ 







Figure 9. Annual stage-discharge relationship (1964-1984) of Dari reach in Jimai hydrological
station.







2 Figure 10. Sketch of channel bed deposition and erosion in flood season in Dari reach.

3







2 Figure 11. Monthly stage change of Maqu hydrological station (1959-1970).

3







- 2 Figure 12. Sketch of branching channel deposition and stage increasing in flood season in
- 3 Maqu reach
- 4







2 Figure 13. Sketch of submerged bend apex with a mid-channel bar in Lanmucuo River







- 2 Figure 14. Braided channels evolution of the middle Daheba River in 2005 (a) in non-flood
- 3 season, (b) in flood season
- 4







2 Figure 15. Elevation change of cross-section in Shangcun hydrological station (2009-2011)

<sup>3 (</sup>left for left bank, right for right bank)