Sensitivity of future Continental United States water deficit projections to General Circulation Model, evapotranspiration estimation method, and greenhouse gas emission scenario

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Abstract

Projecting water deficit under various possible future climate scenarios depends on the choice of General Circulation Model (GCM), reference evapotranspiration (ET_0) estimation method and Representative Concentration Pathway (RCP) trajectory. The relative contribution of each of these factors must be evaluated in order to choose an appropriate ensemble of future scenarios for water resources planning. In this study variance-based global sensitivity analysis and Monte Carlo filtering were used to evaluate the relative sensitivity of projected changes in precipitation (P), ET_0 and water deficit (defined here as $P - ET_0$) to choice of GCM, ET_0 estimation method and RCP trajectory over the continental United States (US) for two distinct future periods: 2030-2060 (future period 1) and 2070-2100 (future period 2). A total of 9 GCMs, 10 ET_0 methods and 3 RCP trajectories were used to quantify the range of future projections and

estimate the relative sensitivity of future projections to each of these factors. In general, for all regions of the Continental US, changes in future precipitation are most sensitive to the choice of GCM, while changes in future ET_0 are most sensitive to the choice of ET_0 estimation method. For changes in future water deficit, the choice of GCM is the most influential factor in the cool season (Dec – Mar) and the choice of ET_0 estimation method is most important in the warm season (May – Oct) for all regions except the South East US where GCM and ET_0 have approximately equal influence throughout most of the year. Although the choice of RCP trajectory is generally less important than the choice of GCM or ET_0 method, the impact of RCP trajectory increases in future period 2 over future period 1 for all factors. Monte Carlo filtering results indicate that particular GCMs and ET_0 methods drive the projection of wetter or drier future conditions much more than RCP trajectory; however the set of GCMs and ET_0 methods that produce wetter or drier projections varies substantially by region. Results of this study indicate that, in addition to using an ensemble of GCMs and several RCP trajectories, a range of regionally-relevant ET_0 estimation methods should be used to develop a robust range of future conditions for water resource planning under climate change.

1. Introduction

Climate change will result in significant impacts on hydrologic processes. The 2014 Fifth Assessment Report (AR5) of the Intergovernmental Panel on Climate Change (IPCC) reported that climate change will significantly affect future precipitation (P), temperature (T) and reference evapotranspiration (ET_0) and these changes will affect the quantity and quality of water resources. The most recent report of the National Climate Assessment and Development Advisory Committee (NCADAC, 2013) indicated that the average annual temperature in the United States (US) has increased by 0.7 °C to 0.9 °C since record keeping began in 1895 and is expected to continue to rise (Georgakakos et al., 2014; Walsh et al., 2014). The NCADAC report also indicated that Coupled Model Intercomparison Project 5 (CMIP5) General Circulation Model (GCM) precipitation projections show a consistent increase in Alaska and the far north of the continental US and a consistent decrease in the far Southwest US, but that GCM projections are inconsistent in the precipitation transition zone of the US continent. The uncertainty in climate change projections makes actionable water resources planning difficult in many regions.

In order to predict changes in the hydrologic cycle, and future water supply and demand, estimates of changes in P, T and ET_0 must be evaluated on a regional basis, and the uncertainty of these estimates must be quantified (Ishak et al., 2010).

Previous research has evaluated existing and potential future spatiotemporal changes in P, T and ET_0 for various regions around the globe (e.g. Chaouche et al., 2010; Chong-Hai and Ying, 2012; Johnson and Sharma, 2009; Kharin et al., 2013; Maurer and Hidalgo, 2008; Quintana Seguí et al., 2010; Sung et al., 2012; Thomas, 2000; Wang et al., 2013; Xu et al., 2006). It is well known that future GCM projections of temperature and precipitation vary significantly due to both the different radiative forcing assumptions of carbon dioxide scenarios (e.g. CMIP3 Special Report on Emissions Scenarios (SRES) and CMIP5 Representative Concentration Pathways (RCP trajectories)) and different GCM model physics (Hawkins and Sutton, 2009, 2010). Future ET_0 projections have been shown to depend on ET_0 estimation methods in addition to GCMs. For example, Wang et al. (2015) used projections from the CMIP3 HADCM3 model A2 scenario and found that the physically-based Penman-Monteith equation, which uses less reliable GCM projection data (including vapor pressure and solar radiation), and the empirical temperature-based Hargreaves equation showed similar patterns but different magnitudes for future ET_0 changes over the Hanjiang River Basin in China. Kingston et al. (2009) used 5 GCMs from the CMIP3 climate projections and 6 different ET_0 equations to estimate global ET_0 and found that the choice of ET_0 method contributes to different projections of the future state of water resources which varies by region. They found that the Hamon and Jensen-Haise ET_0 estimates showed the greatest changes in both humid and arid regions while the Penman-Monteith and Priestley-Taylor estimates frequently showed smallest change. Similarly McAfee (2013) used three ET_0 equations with 17 CMIP3 GCMs to evaluate the uncertainty of future global ET_0 projections and found that the Hamon equation showed more significant and consistently positive trends in ET_0 compared to the Priestley-Taylor and Penman methods.

Models developed to estimate future water supply and demand as a result of projected climate change use many different types of ET_0 estimation methods (Zhao et al., 2013). Because the choice of ET_0 estimation method may be as important as the choice of GCM or RCP trajectory, better understanding of the contribution of each of these factors to the overall

prediction uncertainty of future water availability or water deficit is necessary (Taylor et al., 2013). Kay and Davies (2008) compared the performance of the Penman-Monteith equation and a simple temperature-based ET_0 method using climate data from five global and eight regional climate models over Britain. They found that the two methods showed very different changes in ET_0 for the period 2071-2100 under the A2 emission scenario, and different flow predictions for three catchments when the data were used to force a rainfall-runoff model. Kay and Davies results suggest that hydrological prediction uncertainty due to ET_0 formulation was smaller than that due to GCM structure or RCM structure for their study region. Bae et al. (2011) evaluated the uncertainty contributed by choice of GCM and hydrologic model for the Chungju Dam basin, Korea. They found that hydrologic model structural differences contributed greater uncertainty than GCM selection to winter runoff prediction. Koedyk and Kingston (2016) found that for the Waikaia River, New Zealand ET_0 method contributed more uncertainty than GCM selection when predicting ET_0, but that runoff predictions were more sensitive to GCMs than to ET_0 methods. Thompson et al. (2014) evaluated the effect of using different GCMs and different ET_0 methods on discharge predictions for the Mekong River in Southeast Asia and found that GCM-related uncertainty was greater than the ET_0 method related uncertainty.

In this study we perform a comprehensive evaluation of the relative sensitivity of future P, ET_0 and water deficit (defined here as P- ET_0) projections to choice of GCM, ET_0 method and RCP trajectory over the continental USA using CMIP5 GCM model outputs to provide new insights that will inform more robust future water resource planning efforts. Variance-based global sensitivity analysis (Saltelli et al., 2010) and Monte Carlo Filtering (Rose et al., 1991) are used to quantify the uncertainty and important input factors controlling these projections. Global sensitivity analysis (GSA) apportions the total output uncertainty simultaneously onto all the uncertain input factors described by marginal probability density functions, and thus is preferred over the local, one factor at a time, sensitivity analyses that have been previously reported (Homma and Saltelli, 1996; Saltelli, 1999). Monte Carlo Filtering can identify sets of model simulations and input factors that meet a specified criteria or threshold. Thus global sensitivity analysis and Monte Carlo Filtering offer an opportunity to gain insight into the sources of uncertainty, and drivers of particular types of wet/dry behavior, when estimating future water deficit under projected climate change.

2. Methods

All retrospective and future climate variables were obtained from the CMIP5 archive (accessible for download at http://pcmdi9.llnl.gov/). The "historical" runs of CMIP5 were used for the retrospective period (1950-2005) and the same ensemble member runs (r1i1p1 ensemble) of CMIP5 were used for two future periods: future period 1 (2030-2060), and future period 2 (2070-2100). Data for three RCP trajectories, RCP2.6, RCP4.5 and RCP8.5 were included in the analyses. Taylor et al. (2012) described an overview of CMIP5 and RCP trajectories and compared the differences between CMIP5 and CMIP3 model projections.

Data from the CMIP5 archive were used to calculate monthly mean P, ET_0, and P- ET_0 (water deficit) for the retrospective and both future periods over each of the nine U.S. climate regions identified by the National Climatic Data Center (Karl and Koss, 1984 (Fig. 1)). Future changes in monthly mean P, ET_0, and P- ET_0 were estimated by subtracting the monthly mean value for the retrospective period from the monthly mean value for future period 1 or future period 2, as appropriate (Baker and Huang, 2014).

Ten commonly used reference evapotranspiration estimation methods (Hargreaves, Blaney-Criddle, Hamon, Kharrufa, Irmak-Rn, Irmak-Rs, Dalton, Meyer, Penman-Monteith and Priestley-Taylor) were used in this study. The methods can be further classified into temperature- (Hargreaves, Blaney-Criddle, Hamon and Kharrufa), radiation (Irmak-Rn, Irmak-Rs and Priestley-Taylor), mass transfer (Dalton and Meyer), and combination (Penman-Monteith) equations. These equations are well-described in many papers (e.g., Allen et al., 1998; Hargreaves and Allen, 2003; Irmak et al., 2003; Tabari, 2010; Tabari et al., 2013; Xu and Singh, 2001) and are summarized in Table 1 (hereafter precipitation is referred to as P, and reference evapotranspiration is referred to as ET_0 for convenience).

Variables directly used from the CMIP5 monthly model output included precipitation (pr, P in this study), maximum and minimum temperature (tasmax and tasmin), radiation (rlds, rlus, rsds, and rsus), air pressure (psl and ps), and wind speed (sfcWind). The abbreviations for these variables are as defined in the CMIP5 archive and explained in the PCMDI server (Program For Climate Model Diagnosis and Intercomparison, http://cmip-

pcmdi.llnl.gov/cmip5/docs/standard_output.pdf). Other variables needed in the ten reference evapotranspiration equations were calculated using the variables from CMIP5 monthly model output (for details see Table 1). Monthly output that included all the variables needed for the Penman-Monteith reference evapotranspiration method (the most data intensive method) was available for both the retrospective period, and for the RCP2.6, RCP 4.5, and RCP8.5 trajectories for the future periods, for 9 CMIP5 models. Table 2 lists the 9 models from the CMIP5 archive that were used in this study.

The sensitivity of changes in future P, ET_0 and water deficit (defined here as P- ET_0) to the choice of GCM, ET_0 estimation method, and RCP trajectory was evaluated using the variance-based GSA method of Saltelli et al. (2010). Given a model of the form $Y = f(X_1, X_2, \dots X_k)$, with Y a scalar, the variance-based first order effect for a generic factor X_i can be written (Saltelli et al., 2010):

$$V_{X_i}\left(E_{X_{\sim i}}(Y|X_i)\right) \quad (1)$$

where X_i is the i-th factor (in our case either GCM, ET_0 method or RCP trajectory) and $X_{\sim i}$ is the vector of all factors except X_i. The expectation operator $E_{X_{\sim i}}(Y|X_i)$ indicates that the mean of Y is taken over all possible values of X except X_i (i.e. $X_{\sim i}$) while keeping X_i fixed. The variance, V_{X_i}, is then taken of this quantity over all possible values of X_i.

The first order sensitivity coefficient is expressed as:

$$S_i = \frac{V_{X_i}(E_{X_{\sim i}}(Y|X))}{V(Y)} \quad (2)$$

Where $V(Y)$ the total variance of Y over all X_i. S_i is a normalized index varying between 0 and 1, because $V_{X_i}\left(E_{X_{\sim i}}(Y|X_i)\right)$ varies between 0 and $V(Y)$ according to the identity (Mood et al., 1974):

$$V_{X_i}\left(E_{X_{\sim i}}(Y|X_i)\right) + E_{X_i}\left(V_{X_{\sim i}}(Y|X_i)\right) = V(Y) \quad (3)$$

As indicated above $V_{X_i}\left(E_{X_{\sim i}}(Y|X_i)\right)$ is the first order effect of X_i on the model output Y, while $E_{X_i}\left(V_{X_{\sim i}}(Y|X_i)\right)$ is called the residual. The total effect index, including first order and higher order effects (i.e. interactions between factor X_i and other factors) of the factor X_i on the model output is calculated (Saltelli et al., 2010):

$$S_{T_i} = \frac{E_{X_{\sim i}}(V_{X_i}(Y|X_{\sim i}))}{V(Y)} = 1 - \frac{V_{X_{\sim i}}\left(E_{X_i}(Y|X_{\sim i})\right)}{V(Y)} \quad (4)$$

The first order sensitivity of estimated future changes in mean monthly P, ET_0, and P-ET_0 to choice of GCM, ET_0 estimation method and RCP trajectory were calculated over the nine US climate regions for each future period in order to evaluate the relative contributions of each of these factors on the uncertainty of future changes. A total of 270 simulations (9 GCMs × 10 evapotranspiration methods × 3 RCP trajectories) was used in the analysis. Sensitivity of projected changes in P were evaluated for both choice of GCM and choice of RCP trajectory. Sensitivity of projected changes in ET_0 and P- ET_0 were evaluated for choice of GCM, choice of ET_0 estimation method, and choice of RCP trajectory.

For projected changes in water deficit (P- ET_0) Monte Carlo filtering (Saltelli et al., 2008) was used to identify whether projected wetter or drier future conditions (i.e. larger or smaller water deficit) could be attributed to specific GCMs, ET_0 estimation methods, or RCP trajectories. For each future period the ensemble of 270 projections of change in water deficit were categorized as either wet future condition (mean change in $(P - ET_0) \geq 0$) or dry future condition (mean change in $(P - ET_0) < 0$). Next for each factor (X_i =GCM, ET_0 method, RCP trajectory) the histograms of wet $(f_{wet}|X_i)$ and dry $(f_{dry}|X_i)$ future conditions over the range of possible values of that factor were estimated. To identify the factors that are most responsible for driving the model into projected wet or dry future conditions for each factor, X_i, the distributions $(f_{wet}|X_i)$ and $(f_{dry}|X_i)$ were tested for significant difference using the X^2 two sample test for categorical variables with α=0.05 (Rao and Scott, 1981). If for a given factor X_i the two distributions are significantly different, then X_i is a key factor in driving into either a wet or dry condition (Saltelli et al., 2008).

Because GCM predictions are known to contain systematic biases (Hwang and Graham, 2013; Wood et al., 2002, 2004) we evaluated the sensitivity of the mean monthly change in raw climate predictions between retrospective and future periods to the choice of GCM, ET_0 estimation method and RCP trajectories. This is analogous to using the delta change GCM bias correction method that involves shifting the mean of a series of observed climate data by the mean difference in raw GCM output between the corresponding observed time period and the desired future period. Teutschbein and Seibert (2012) pointed out that all bias correction methods are based on the stationarity principle that assumes that similar biases occur in the retrospective and future predictions and thus the same bias-correction algorithm may be applied to both. Muerth et al. (2013) found that the impact of bias correction on the relative change of flow indicators between retrospective and future periods was weak for most indicators, however Pierce et al. (2015) found that some bias correction methods altered model-projected changes in mean precipitation and temperature. LaFond et al. (2014) found that the delta change GCM bias correction method was more useful for simulating hydrologic extreme events than the quantile mapping bias correction method as it preserved daily climate variability better. In this study, we differenced raw rather than bias corrected GCM outputs in order to prevent spurious alteration of the climate change signal between retrospective and future GCMs that might be induced by the bias correction method.

3. Results

3.1. Projected P, ET_0, and water deficit change in the 21st century

Future P, ET_0 and water deficit projections include large uncertainties stemming from different sources. Figures 2 and 3 present maps of the mean change (Fig. 2) and the standard deviation of change (Fig. 3) in annual P (top chart), ET_0 (middle) and water deficit ($P - ET_0$; bottom) over the continental US calculated over all GCMs, ET_0 estimation methods, and RCP trajectories for future period 2 (2070-2100). Major portions of the West, Southwest and South show a mean decrease in annual precipitation, while the rest of the continental US shows a mean increase (Fig. 2 (a)). Future annual ET_0 shows a mean increase over retrospective annual ET_0 over the entire US (Fig. 2 (b)), with the largest increase in the South region. Following the

patterns of P and ET_0, future annual water deficit ($P - ET_0$) shows a significant mean decrease in the West, Southwest and South regions and a slight decline, or negligible change in most other regions (Fig. 2 (c)). These mean changes in annual P, ET_0 and P- ET_0 are relatively small compared to the standard deviation of changes in annual P, ET_0, and $P - ET_0$ (Fig. 3). Water deficit in particular has a large standard deviation, resulting in coefficients of variation larger than one throughout the continental US. Similar results are shown in the Fig. S-1 and Fig. S-2 for future period 1 (2030-2060) in the supplemental materials.

Figure 4 shows the seasonal changes in the monthly mean and standard deviation of water deficit ($P - ET_0$) over the nine US regions. Blue and red lines represent the changes in monthly mean water deficit for future period 1 and future period 2, respectively and the error bars represent one standard deviation around each mean value. All regions of the continental US show drier conditions (negative mean changes) in the summer season (Jun – Aug). Southern regions (Southeast, South, Southwest and West) show drier conditions throughout the year, however northern portions of the US (i.e. the Northeast, Ohio Valley, Upper Midwest, Northern Rockies and Plains and Northwest) show wetter conditions (positive mean changes) in the winter season.

3.2. Global sensitivity analysis of projected changes

Figure 5 shows the first order sensitivity of change in P to GCM and RCP trajectory over the nine US climate regions for future periods 1 and 2. For projected changes in P, the choice of GCM is generally more important than choice of RCP trajectory for all regions and both future periods. First order sensitivities of mean change in ET_0 to GCM, ET_0 method and RCP trajectory are shown in Fig. 6. This figure clearly shows that the choice of ET_0 method is the most influential factor for projecting change in ET_0 for both future periods, except for the month of March in the Northeast, Upper Midwest and Northern Rockies and Plains. High sensitivity of mean change in ET_0 to GCM selection occurs in spring for several regions (Northeast, Upper Midwest and Northern Rockies and Plains), indicating a divergence of model predictions during this time. The influence of the RCP trajectory on ET_0 increases in future period 2 over future period 1, with a concomitant decrease in the influence of both ET_0 method and GCM. In future period 1 the GCM sensitivity coefficients are greater than the RCP trajectory sensitivity coefficients over most regions; however, in future period 2 the RCP sensitivity coefficients

become more important. Figure 7 shows that projected change in water deficit depend strongly on both the choice of GCM and ET_0 estimation method. In all regions except the Southeast projected change in water deficit is most sensitive to ET_0 estimation method in the warm season (May through October) and most sensitive to GCM in the cool season (December through March). For the Southeast region the sensitivity coefficients for GCM and ET_0 method are quite similar throughout the year. The sensitivity coefficients for RCP trajectory are very low in future 1, but increase in future 2, becoming approximately equal to the GCM sensitivity coefficients in the summer season in future 2.

3.3. Change in annual mean water deficit projections using different ET_0 methods

Figure 8 shows the change in annual mean water deficit over all 9 GCMs for the RCP 4.5 trajectory in future period 1 (2030-2060) predicted by the ten different ET_0 methods used in this study (a: Hargreaves, b: Blaney-Criddle, c: Hamon, d: Kharrufa, e: Irmak-Rn, f: Irmak-Rs, g: Dalton, h: Meyer, i: Penman-Monteith, j: Priestley-Taylor). This figure clearly shows that the changes in water deficit for future period 1 are diverse and depend strongly on the choice of ET_0 method. Except for the Hargreaves method (Fig. 8a) the temperature based methods (e.g. Blaney-Criddle (Fig. 8b), Hamon (Fig. 8c) and Kharrufa (Fig. 8d)) predict drier conditions over the continental US than the other methods. The mass transfer based methods (e.g Dalton (Fig. 8g) and Meyer (Fig. 8h)) predict generally wetter conditions over most of the continental US compared to other methods. The combination method (Penman Monteith (Fig. 8i)), and the radiation based methods (Irmak-Rn (Fig 8e), Irmak-Rs (Fig. 8f) and Priestley Taylor (Fig. 8j)) generally fall between the mass transfer based and temperature based methods, with the combination methods producing slightly drier conditions. Although most methods predict similar spatial patterns of water deficit over the continental US (generally drier conditions in the West, Southwest and South and generally wetter elsewhere), the Hamon method predicts a different pattern of water deficit over the Southwest, South and Northern Rockies and Plains regions.

3.4. Monte Carlo filtering

Monte Carlo filtering (Saltelli et al., 2008) was conducted to further investigate whether projected wetter or drier future conditions (i.e. larger or smaller annual mean water deficit) could be attributed to specific GCMs, ET_0 estimation methods, or RCP trajectories. Figures 9 shows

the histograms for wet conditions and dry conditions in future 2 over the Southeast US by GCM, ET_0 method and RCP trajectory for the example month of July. Figure 10 shows similar histograms for the Northern Rockies and Plains, a region with differing behavior from the Southeast US. Table 3 shows the P-value results for the X^2- test for all months in both futures for the Southeast and Northern Rockies and Plains regions. P-values greater than 0.05 (shaded in grey) indicate the two histograms are not significantly different from each other. Tables 4 – 6 show the fraction of time that a particular GCM (Table 4), ET_0 method (Table 5), or RCP trajectory (Table 6) projected drier future conditions in each of the nine US climate regions for each month, with fractions higher than 0.5 shaded in grey.

4. Discussion

Drier conditions in southern regions (Southeast, South, Southwest and West) and wetter conditions in northern regions (Northeast, Ohio Valley, Upper Midwest, Northern Rockies and Plains and Northwest) are consistent (Fig. 4) with those reported by McAfee (2013) who used 3 ET_0 methods (Hamon, Priestley-Taylor and Penman-Monteith) to estimate global changes in ET_0 over the entire globe. As found by Baker and Huang (2014) for both CMIP3 and CMIP5 projections, mean ET_0 is projected to be higher in future period 2 than in future period 1, and mean precipitation projections are approximately equivalent in future period 1 and future period 2. Thus the projected mean changes in water deficit for future period 2 (red lines in Fig. 4) are larger in magnitude than the projected changes for future period 1 (blue lines). In all regions, and for both future periods, the one standard deviation error bars bracket zero mean change indicating large uncertainty in the projections throughout the year.

The choice of GCM is generally more important than the choice of RCP trajectory for projected changes in P (Fig. 5). This is consistent with results found by Gaetani and Mohino (2013) and Knutti and Sedláček (2012) who showed significant differences in precipitation predictions among CMIP5 models. It should be noted that these results do not indicate that the choice of RCP trajectory does not affect the change in precipitation, only that the choice of RCP trajectory is less influential than the choice of GCM. There are no consistent seasonal patterns of the first-order sensitivity coefficients for either GCM or RCP trajectory in either future period.

However, during the spring months, the sensitivity of change in P to choice of RCP trajectory increases substantially in future 2 compared to future 1 in the Northeast, Ohio Valley, Upper Midwest, South, Southwest and West regions.

Higher sensitivity of mean change in ET_0 to the choice of ET_0 estimation method than the choice of GCM (Fig. 6) are consistent with those found by Kingston et al. (2009) who showed that projected increase in ET_0 varied by more than 100% between ET_0 methods, and Schwalm et al. (2013) who found the choice of ET_0 estimation method is sensitive and even more influential than the choice of GCM in predicting ET_0. However, neither of these studies looked at the influence of RCP trajectory on ET_0 projections, which increases in future period 2 over future period 1, causing a decrease in the sensitivity coefficient of both GCM and ET_0 method in future 2. Burke and Brown (2008) evaluated uncertainties in the projection of future drought using several drought indices. They found that there are large uncertainties in regional changes in drought and changes in drought are dependent on both index definition and GCM ensemble members. Similarly, our results for the projected change in water deficit vary by region, depend strongly on the choice of GCM and ET_0 estimation method, but are relatively less sensitive to RCP trajectory (Fig. 7). These findings are similar to results reported by Orlowsky and Seneviratne (2013) who found that the greenhouse gas emission scenario uncertainty is not as important as differences among GCMs or internal climate variability when predicting Standardized Precipitation Index (SPI) and soil moisture (SMA). However, they also found that uncertainty due to greenhouse gas emission scenario increased in later future periods. Taylor et al. (2013) showed the patterns of changes in future drought were similar between the A1B scenario in CMIP3 and the RCP2.6 trajectory in CMIP5, reinforcing our finding that the choice of RCP trajectory is less important than the choice of GCM and ET_0 estimation method when estimating future water deficit.

Similar to the results of Kay and Davies (2008) and Bae et al. (2011) the results of our GSA show that the choice of ET_0 method has important implications when making future ET_0 projections and future water deficit projections (Fig. 8). Kingston et al. (2009) recommended the use of different ET_0 equations to evaluate global ET_0, and Wang et al. (2015) found that although different methods predict similar future ET_0, there are important differences in uncertainties due to ET_0 estimation methods and input data reliability. Currently many hydrological models use a

single evapotranspiration method for simulation, which may substantially increase the uncertainty and reduce the reliability of future projections. Our results strongly indicate that an ensemble of ET_0 estimation methods should be used to understand potential future water availability and water deficit due to climate change.

Monte Carlo filtering results (Fig. 9 and 10, Table 3) indicate that GCM and ET_0 methods both produce statistically significant different wet condition and dry condition histograms in both the Southeast and Northern Rockies and Plains regions for almost all months in both future periods. This indicates that particular GCMs and ET_0 methods tend to systematically produce wet or dry conditions. Some GCMs (i.e. MIROC_ESM and BCC-CSM (Table 4)) and ET_0 methods (i.e. Priestley-Taylor, Blaney-Criddle, and Kharrufa (Table 5)) predict dry conditions a majority of the time for all regions in both future periods. However, the remaining GCMs and ET_0 methods project both wetter or drier futures depending on the region and future period. Results in Tables 4 through 6 show that for the South, West and Southwest regions drier conditions are predicted a majority of the time in both future periods by all GCMs and RCP trajectories, and all ET_0 methods except Hargreaves. For RCP trajectory, P-values indicate the histograms are statistically significantly different in fewer cases than for either GCM or ET_0 method for both future 1 and 2 (Table 3). These results are consistent with the first order sensitivity coefficients results that showed the RCP trajectory is not as important a factor as GCM or ET_0 method in driving differences in future projections, but that the sensitivity to choice of RCP trajectory increases in future period 2.

GCMs estimate some climate variables, such as temperature, with higher confidence than other variables (Randall et al., 2007). However, for some evapotranspiration estimation methods the effect of temperature on evaporation is smaller than other climate variables (Linacre, 1994; Roderick et al., 2009a, 2009b; Thom et al., 1981). We found that temperature and net radiation from the CMIP5 GCMs show increasing trends over the 2005-2100 time period, while wind speed and surface pressure are relatively constant (Fig. S-3). Because we considered various ET_0 estimation methods our results include the impacts of the different physics represented in the ET_0 methods, the projected changes each of the climate variables contributing to the different ET_0 methods, and the reliability of the predictions of each variable.

5. Summary and Conclusions

Future changes in precipitation and evapotranspiration will lead to changes in the hydrologic balance. This study clearly shows that the uncertainty caused by different GCMs, ET_0 methods, and RCP trajectories make actionable water resources planning based on climate change projections difficult. Understanding and quantifying how these projected changes vary with choice of GCM, ET_0 method and RCP trajectory is important for designing robust ensembles of scenarios to include in future water resources planning. This study assessed the future mean change in monthly precipitation, evapotranspiration and water deficit (P- ET_0) projected by CMIP5 simulations over the continental US and analyzed the sensitivity of the projected changes to the choice of GCM, ET_0 estimation method, and RCP trajectory. Nine GCMs, ten ET_0 estimation methods, and three RCP trajectories were included in the analyses. Variance-based global sensitivity analysis (Saltelli et al., 2010) was conducted in order to determine the relative contributions of the choice of GCMs, ET_0 estimation methods, and RCP trajectory to uncertainty in future prediction. Monte Carlo filtering was used to investigate whether particular GCMs, ET_0 methods, and/or RCP scenarios consistently led to wet or dry future projections.

The global sensitivity analyses showed that projected changes in precipitation are more sensitive to the choice of GCM than the choice of RCP trajectory over the entire continental US for both future periods. However, the choice of RCP trajectory becomes more important in future period 2. The most sensitive factor for the future ET_0 projections is the choice of ET_0 estimation method for all regions in both future periods. The first order sensitivity of projected change in future ET_0 to choice of RCP trajectory increases in future period 2 compared to future 1, with a concomitant decrease in the first order sensitivity to the choice of GCM. For projected change in future water deficit the choice of ET_0 method constitutes the dominant source of uncertainty in warmer months (May through September) and the choice of GCM is the dominant source of uncertainty in the cooler months (November through March) over all regions except the Southeast where the sensitivity of GCM and ET_0 method are roughly equal throughout the year. Sensitivity of change in future water deficit to RCP trajectory is very small for future period 1, but increased in future period 2.

401 Monte Carlo filtering results indicated that both GCMs and ET_0 methods produced
402 statistically different histograms for wetter or drier future conditions (i.e. larger or smaller mean
403 future water deficit) for almost all months in both future periods. Two GCMs (MIROC_ESM
404 and BCC-CSM) and three ET_0 methods (Priestley-Taylor, Blaney-Criddle, and Kharrufa)
405 predicted dry conditions a majority of the time for all regions in both future periods; however,
406 the remaining GCMs and ET_0 methods projected both wetter and drier futures depending on the
407 region.

408 Results of this study indicate that when predicting the effects of future climate on water
409 resources the choice of evapotranspiration method should be carefully evaluated. Rather than the
410 typical practice of using a single ET_0 method to drive a hydrologic model with future climate
411 projections, an ensemble of ET_0 methods should be used in addition to an ensemble of GCMs
412 and a variety of RCP trajectories. The GSA methodology adopted here assumed that all the
413 GCMs, ET_0 methods and RCP trajectories used in this study were equally appropriate for use in
414 all US regions (i.e. the sensitivity coefficients were evaluated by equally weighting each GCMs,
415 ET_0 method and RCP trajectory) which is likely not to be the case. When making future
416 projections potential climate change on water resources Reliability Ensemble Averaging (REA)
417 (Giorgi and Mearns, 2002) or Bayesian-based indicator-weighting (Asefa and Adams, 2013;
418 Tebaldi et al., 2005; Xing et al., 2014) could be used to weight the results of an ensemble of
419 GCMs and ET methods based on how close the retrospective GCM- ET_0 method predictions
420 agree with past observations (bias criterion) and how well the future GCM- ET_0 -RCP
421 projections agree with other future GCM- ET_0 -RCP predictions (convergence criterion).

422 This study assumed that ET_0 methods that have been developed and parameterized based
423 on vegetation response to current CO_2 levels and climatic conditions will be valid under future
424 CO_2 levels and climatic conditions. Future research should explore the validity of this
425 assumption by incorporating potential changes in plant transpiration (e.g. stomatal conductance)
426 to changing CO_2 levels into the ET_0 estimation methodologies.

427

428 **Acknowledgements**

This research was supported by Tampa Bay Water and the University of Florida Water Institute. We acknowledge the modeling groups participating in the Program for Climate Model Diagnosis and Inter-comparison (PCMDI) for their role in making the CMIP5 (Coupled Model Intercomparison Project) multi-model data set available.

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Table 1. Description of reference evapotranspiration estimation methods used in this study (ET_0: Reference evapotranspiration).

Methods	Equations[1]	Reference
(a) Hargreaves	$ET_0 = 0.0135K_T S_0(T + 17.8)\sqrt{\delta_T}$	Hargreaves and Allen (2003)
(b) Blaney-Criddle	$ET_0 = p(0.46T + 8.13)$	Xu and Singh (2002)
(c) Hamon	$ET_0 = 0.55\delta_T^2 P_t$	Xu and Singh (2002)
(d) Kharrufa	$ET_0 = 0.34pT^{1.3}$	Xu and Singh (2002)
(e) Irmak-Rn	$ET_0 = 0.486 + 0.289R_n + 0.023T$	Irmak et al. (2003)
(f) Irmak-Rs	$ET_0 = -0.611 + 0.149R_s + 0.079T$	Irmak et al. (2003)
(g) Dalton	$ET_0 = (0.3648 + 0.07223u)(e_s - e_a)$	Tabari et al. (2013)
(h) Meyer	$ET_0 = (0.375 + 0.05026u)(e_s - e_a)$	Tabari et al. (2013)
(i) Penman-Monteith	$ET_0 = \frac{0.408\Delta(R_n - G) + \gamma\frac{900}{T+273}u_2(e_s - e_a)}{\Delta + \gamma(1 + 0.34u_2)}$	Allen et al. (1998)
(j) Priestley-Taylor	$ET_0 = \alpha\frac{\Delta}{\Delta + \gamma}\frac{(R_n - G)}{\lambda}$	Allen et al. (1998)

[1]Variables (estimated from CMIP5 outputs): G: Soil heat flux (assumed 0); γ: Psychrometric constant; T: Average temperature; u_2: Wind speed at 2m surface; e_s: Saturated vapor pressure; e_a: Actual vapor pressure; Δ: Slope vapor pressure; K_T: Hargreaves-Samani coefficient; S_0: Extraterrestrial radiation (estimated by Julian date); δ_T: Difference between maximum and minimum temperature, p: Percentage of total daytime hours (Estimated by Julian date); R_n: Net radiation; R_s: Solar radiation; P_t: Saturated water vapor density; u: Wind speed

639 Table 2. Description of the CMIP5 models used in this study.

Model	Institute (country)	Resolutions	Calendar	Reference
(1) BNU-ESM	College of Global Change and Earth System Science, Beijing Normal University (China)	2.8° lat × 2.8° lon	No leap	Ji et al. (2014)
(2) CSIRO-MK3-6-0	University of New South Wales (Australia)	1.87° lat × 1.87° lon	No leap	Rotstayn et al. (2012)
(3) GFDL-CM3	NOAA/Geophysical Fluid Dynamics Laboratory (USA)	2.0° lat × 2.5° lon	No leap	Guo et al. (2014)
(4) GFDL-ESM2G	NOAA/Geophysical Fluid Dynamics Laboratory (USA)	2.0° lat × 2.5° lon	No leap	Taylor et al. (2012)
(5) MIROC-ESM	Atmosphere and Ocean Research Institute, National Institute for Environmental Studies, and Japan Agency for Marine-Earth Science and Technology (Japan)	2.8° lat × 2.8° lon	Leap year	Watanabe et al. (2011)
(6) MPI-ESM-LR	Max Planck Institute for Meteorology (Germany)	1.87° lat × 1.87° lon	Leap year	Block and Mauritsen (2013)
(7) MRI-CGCM3	Meteorological Research Institute (Japan)	1.12° lat × 1.12° lon	Leap year	Yukimoto et al. (2012)
(8) NorESM1-M	Norwegian Climate Centre (Norway)	1.9° lat × 2.5° lon	No leap	Bentsen et al. (2013)
(9) BCC-CSM1.1	Beijing Climate Center (China)	2.8° lat × 2.8° lon	No leap	Xiao-Ge et al. (2013)

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Table 3. P-values of Chi-square two sample test for difference among wet condition versus dry condition pdfs Southeast U.S (SE US) and Northern Rockies and Plains (NRP; West North Central) U.S. (Shaded cells indicate pdfs are not statistically significantly different at p=0.05)

Month		Future 1			Future 2		
		GCM	ET_0	RCP	GCM	ET_0	RCP
SE US	1	0.0000	0.0689	0.3701	0.0000	0.1823	0.1853
	2	0.0000	0.0889	0.4434	0.0000	0.0269	0.0000
	3	0.0000	0.0365	0.0306	0.0000	0.0000	0.1339
	4	0.0000	0.0000	0.6602	0.0000	0.0000	0.0001
	5	0.0000	0.0000	0.3223	0.0000	0.0000	0.0041
	6	0.0000	0.0000	0.0809	0.0000	0.0000	0.0006
	7	0.0000	0.0000	0.2855	0.0000	0.0000	0.0749
	8	0.0000	0.0000	0.2805	0.0000	0.0000	0.0074
	9	0.0000	0.0000	0.8646	0.0000	0.0000	0.0044
	10	0.0000	0.0000	0.0000	0.0000	0.0000	0.0001
	11	0.0000	0.0001	0.0000	0.0000	0.0001	0.2003
	12	0.0000	0.0117	0.3083	0.0000	0.0000	0.0000
NRP	1	0.0000	0.0000	0.1931	0.0000	0.0000	0.0000
	2	0.0000	0.0000	0.0010	0.0000	0.0000	0.7617
	3	0.0000	0.0000	0.0538	0.0000	0.0000	0.0769
	4	0.0000	0.0000	0.7882	0.0002	0.0000	0.8925
	5	0.0000	0.0000	0.4047	0.0000	0.0000	0.1103
	6	0.0000	0.0000	0.3839	0.0000	0.0000	0.0000
	7	0.0000	0.0000	0.5321	0.0001	0.0008	0.0000
	8	0.0000	0.0001	0.1544	0.0000	0.0686	0.0000
	9	0.0000	0.0000	0.4242	0.0000	0.0000	0.2002
	10	0.0000	0.0000	0.6688	0.0000	0.0213	0.0001
	11	0.0000	0.0000	0.1334	0.0000	0.0000	0.1948
	12	0.0000	0.0000	0.7617	0.0000	0.0000	0.6561

646 Table 4. The fraction of future dry conditions over all months by GCM (Future period 1 and 2).

	GCM	SE	South	West	NR	NE	NW	UM	SW	Ohio
Future period 1 - Dry condition	BNU_ESM	0.575	0.589	0.511	0.367	0.436	0.322	0.467	0.453	0.492
	CSIRO_mk3_6_0	0.489	0.689	0.639	0.547	0.297	0.519	0.381	0.653	0.481
	GFDL_CM3	0.414	0.608	0.686	0.419	0.403	0.525	0.383	0.647	0.425
	GFDL_ESM2G	0.731	0.900	0.758	0.453	0.486	0.486	0.397	0.828	0.617
	MIROC_ESM	0.631	0.594	0.822	0.625	0.636	0.708	0.686	0.658	0.611
	MPI_ESM_LR	0.375	0.747	0.694	0.542	0.597	0.611	0.558	0.756	0.575
	MRI_CGCM3	0.494	0.592	0.639	0.400	0.544	0.553	0.350	0.547	0.506
	NorESM1_M	0.492	0.764	0.778	0.475	0.400	0.611	0.475	0.753	0.508
	BCC_CSM	0.728	0.739	0.828	0.642	0.603	0.614	0.564	0.822	0.656
Future period 2 - Dry condition	BNU_ESM	0.608	0.775	0.597	0.400	0.522	0.461	0.478	0.522	0.572
	CSIRO_mk3_6_0	0.367	0.667	0.583	0.528	0.225	0.528	0.433	0.633	0.461
	GFDL_CM3	0.467	0.767	0.789	0.461	0.514	0.542	0.508	0.794	0.469
	GFDL_ESM2G	0.722	0.831	0.694	0.478	0.519	0.525	0.397	0.672	0.581
	MIROC_ESM	0.672	0.686	0.897	0.742	0.731	0.728	0.700	0.739	0.664
	MPI_ESM_LR	0.442	0.800	0.778	0.519	0.542	0.639	0.450	0.800	0.450
	MRI_CGCM3	0.508	0.703	0.581	0.422	0.481	0.528	0.439	0.517	0.556
	NorESM1_M	0.594	0.808	0.722	0.500	0.461	0.550	0.481	0.731	0.594
	BCC_CSM	0.628	0.697	0.875	0.708	0.567	0.708	0.556	0.825	0.603

647

648

649 Table 5. The fraction of future dry condition over all months by ET_0 estimation method and
650 region (Future period 1 and 2).

	ET_0	SE	South	West	NR	NE	NW	UM	SW	Ohio
Future period 1 -Dry condition	Hargreaves	0.302	0.426	0.559	0.333	0.309	0.466	0.321	0.485	0.324
	Blaney_Criddle	0.738	0.880	0.898	0.840	0.738	0.762	0.784	0.904	0.769
	Hamon	0.633	0.818	0.667	0.531	0.494	0.497	0.457	0.713	0.549
	Kharrufa	0.883	0.957	0.889	0.636	0.667	0.698	0.636	0.886	0.738
	Irmak_Rn	0.522	0.673	0.694	0.491	0.512	0.556	0.494	0.679	0.580
	Irmak_Rs	0.525	0.722	0.731	0.463	0.485	0.546	0.460	0.679	0.556
	Dalton	0.364	0.503	0.583	0.340	0.343	0.426	0.296	0.509	0.380
	Meyer	0.367	0.531	0.596	0.346	0.324	0.435	0.290	0.512	0.367
	PM	0.534	0.685	0.694	0.472	0.469	0.525	0.481	0.676	0.540
	PT	0.608	0.719	0.750	0.515	0.552	0.590	0.515	0.753	0.608
Future period 2 -Dry condition	Hargreaves	0.352	0.506	0.605	0.420	0.355	0.491	0.380	0.537	0.361
	Blaney_Criddle	0.765	0.907	0.880	0.877	0.769	0.818	0.830	0.901	0.806
	Hamon	0.633	0.861	0.679	0.552	0.491	0.528	0.460	0.719	0.574
	Kharrufa	0.883	0.954	0.898	0.704	0.713	0.728	0.682	0.883	0.784
	Irmak_Rn	0.515	0.738	0.710	0.494	0.491	0.574	0.503	0.685	0.543
	Irmak_Rs	0.534	0.796	0.753	0.485	0.497	0.562	0.478	0.719	0.562
	Dalton	0.349	0.596	0.620	0.389	0.358	0.475	0.315	0.540	0.373
	Meyer	0.352	0.596	0.630	0.383	0.349	0.488	0.309	0.546	0.361
	PM	0.543	0.744	0.701	0.475	0.485	0.531	0.463	0.679	0.528
	PT	0.639	0.784	0.765	0.509	0.562	0.593	0.515	0.716	0.608

651

652 Table 6. The fraction of future dry condition over all months by RCP trajectory and region
653 (Future period 1 and 2).

	RCP	SE	South	West	NR	NE	NW	UM	SW	Ohio
Future period 1 -Dry condition	2.6	0.551	0.657	0.665	0.507	0.502	0.543	0.495	0.644	0.553
	4.5	0.553	0.698	0.739	0.515	0.475	0.554	0.482	0.731	0.556
	8.5	0.539	0.719	0.715	0.468	0.491	0.554	0.443	0.665	0.515
Future period 2 -Dry condition	2.6	0.516	0.649	0.657	0.486	0.524	0.515	0.465	0.617	0.545
	4.5	0.490	0.731	0.712	0.510	0.476	0.584	0.494	0.658	0.528
	8.5	0.664	0.864	0.803	0.590	0.520	0.637	0.521	0.803	0.577

654

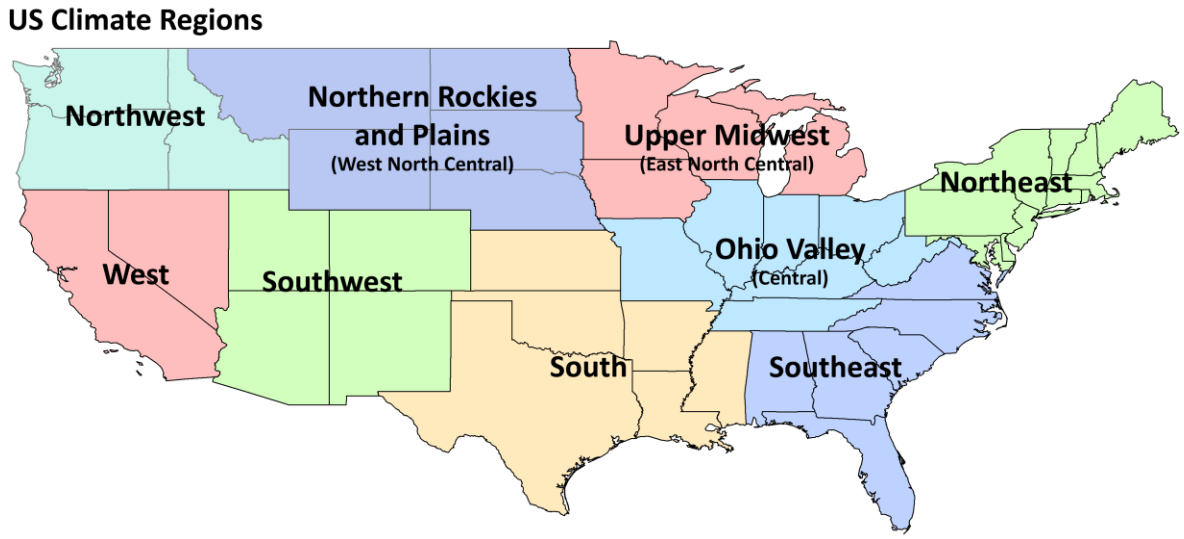


Figure 1. US climate regions identified by National Climate Data Center (Adapted from Karl and Koss, 1984, https://www.ncdc.noaa.gov/monitoring-references/maps/us-climate-regions.php)

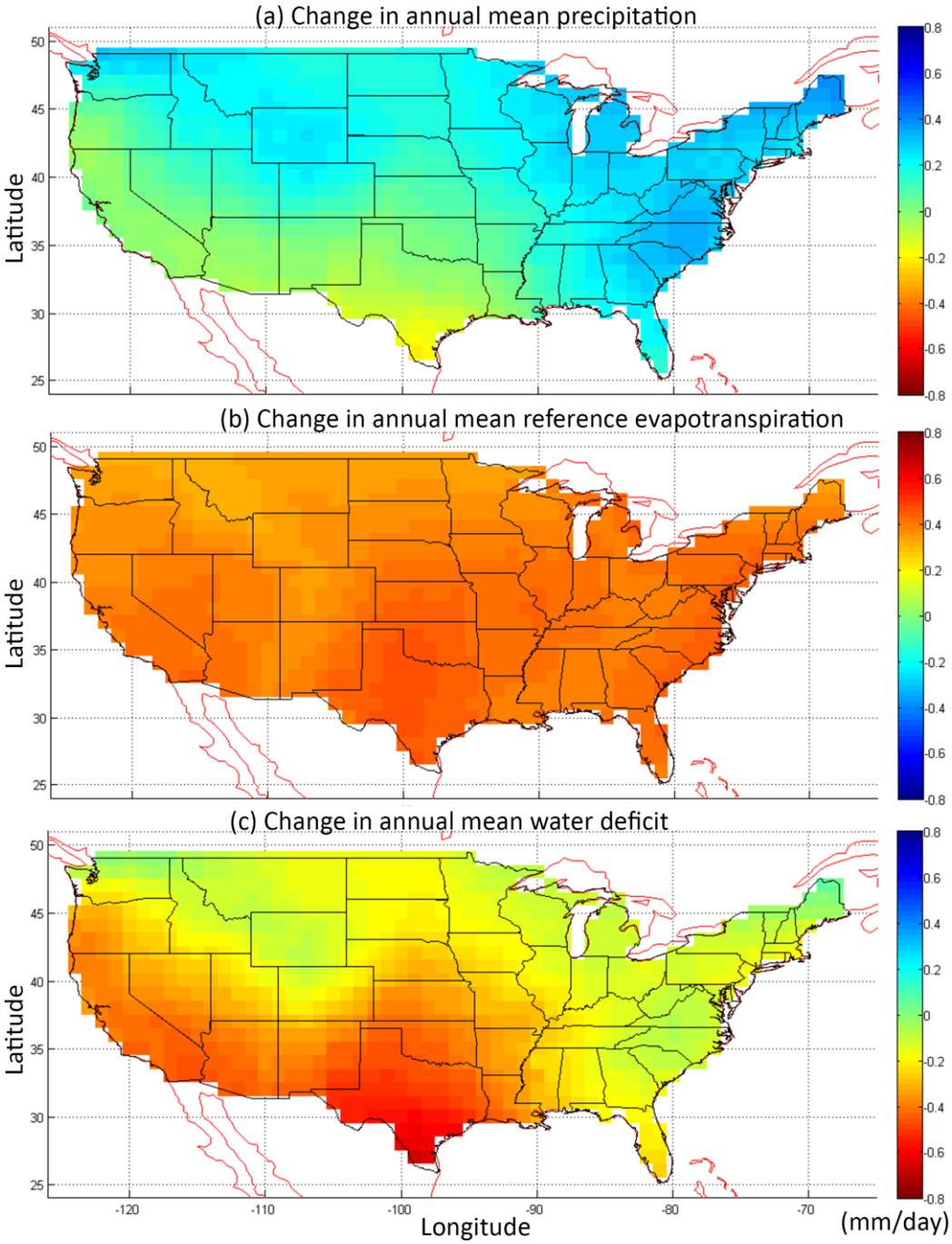


Figure 2. The change in the annual mean (a) P, (b) ET_0, and (c) P – ET_0 over U.S. All units are mm/day and the change is defined as the mean of 2070-2100 minus that of 1950-2005. These changes are averaged over all GCMs, ET_0 estimation methods, and RCP trajectories.

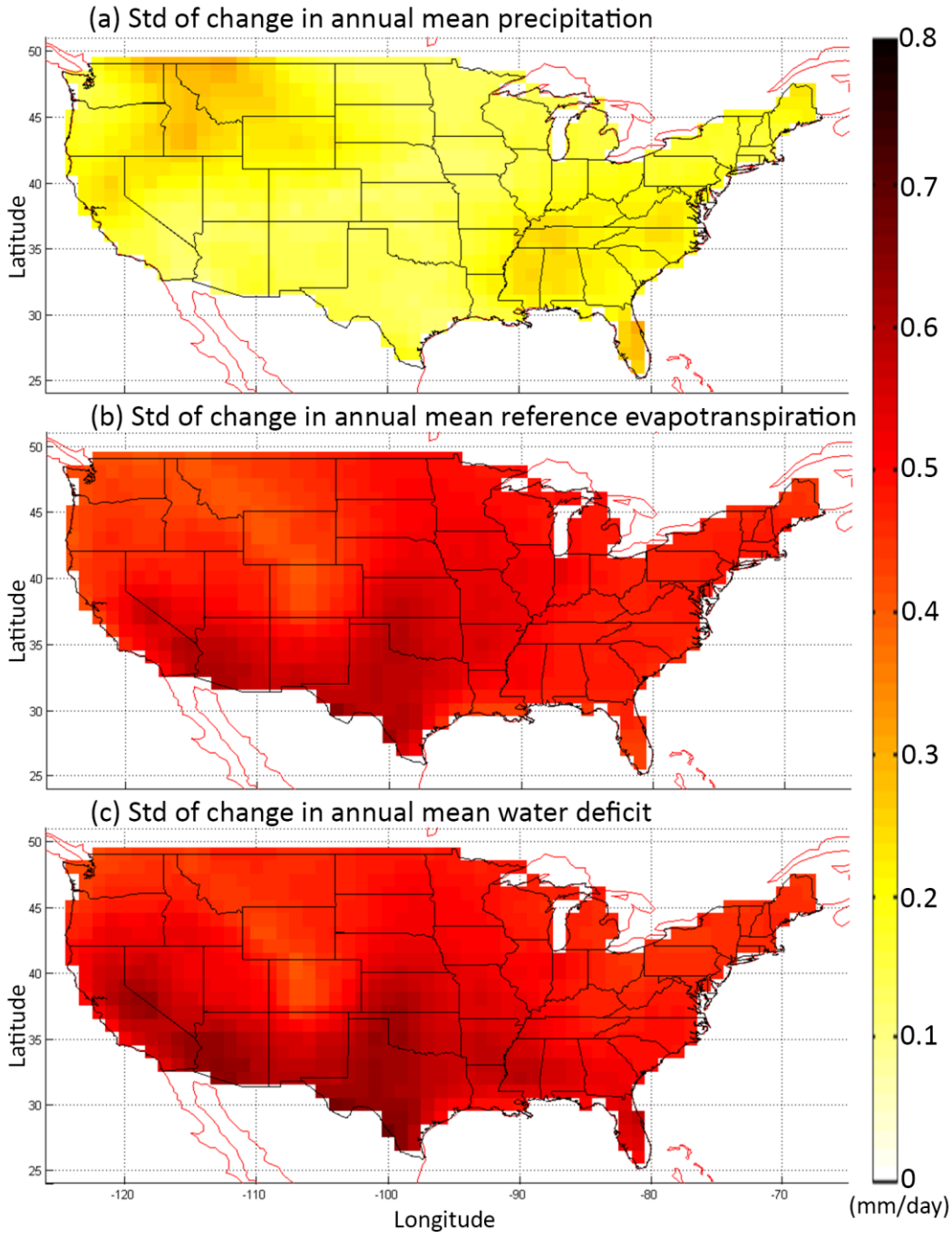
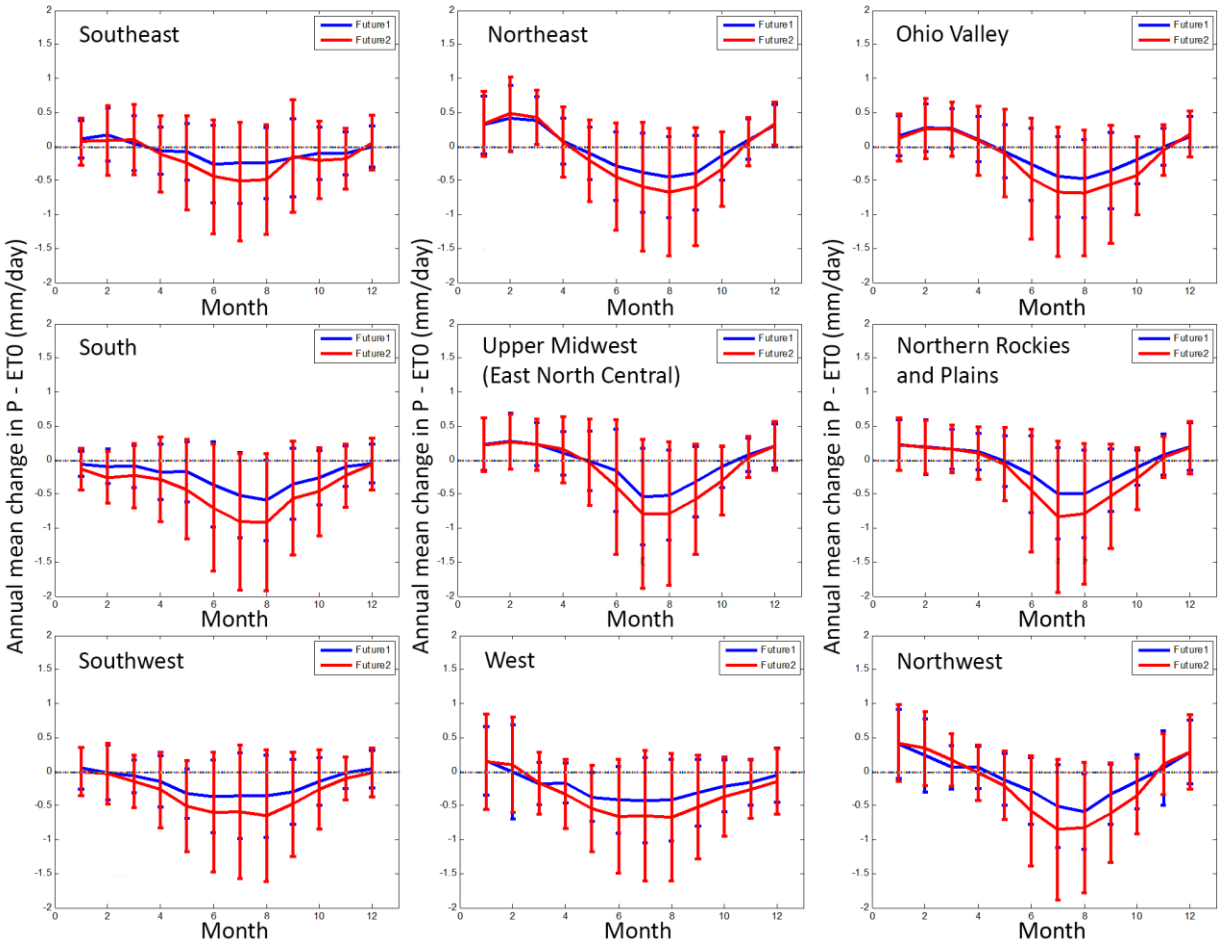


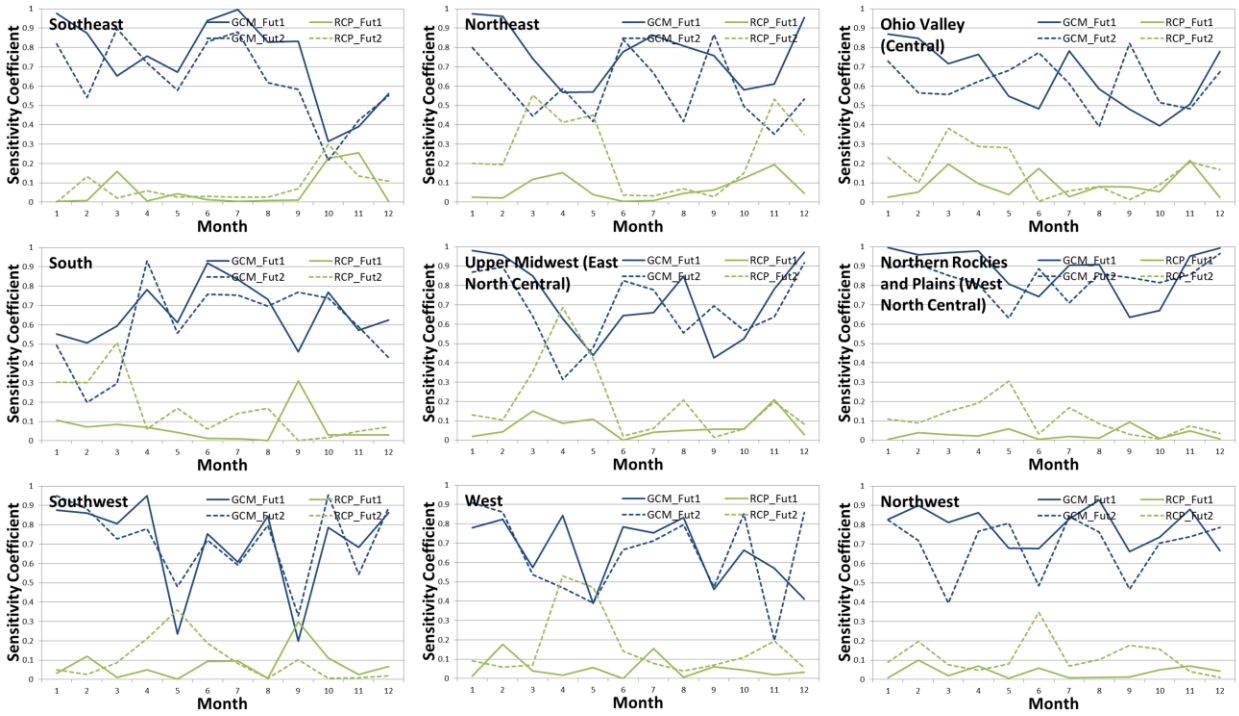
Figure 3. The standard deviation of the change in the annual mean (a) P, (b) ET_0, and (c) P – ET_0 over U.S. All units are mm/day and the change is defined as the average of 2070-2100 minus that of 1950-2005. The standard deviations are estimated over all GCMs, ET_0 estimation methods, and RCP trajectories.



667

668 Figure 4. The change of monthly mean water deficit ($P - ET_0$) over 9 different regions. Blue
669 lines represent future 1 period (2030-2060), and red lines represent future 2 period (2070-2100).
670 Error bars represent one standard deviation of each values. The change is defined as the mean of
671 future periods minus that of retrospective period (1950-2005).

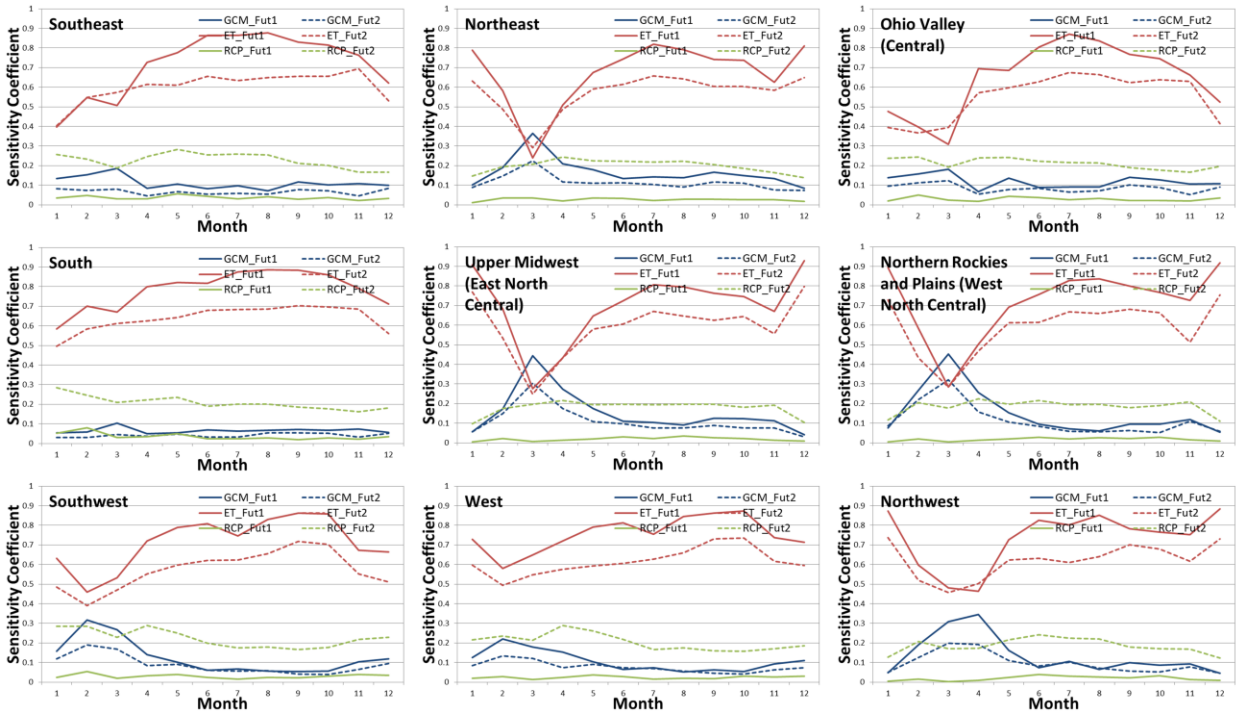
672



673

674 Figure 5. First order sensitivity analysis results of change in precipitation. Solid lines represent
675 the future period 1 (2030-2060) and dotted lines represent the future period 2 (2070-2100). Blue
676 lines represent the first order effect of GCMs and green lines represent the first order effect of
677 RCPs.

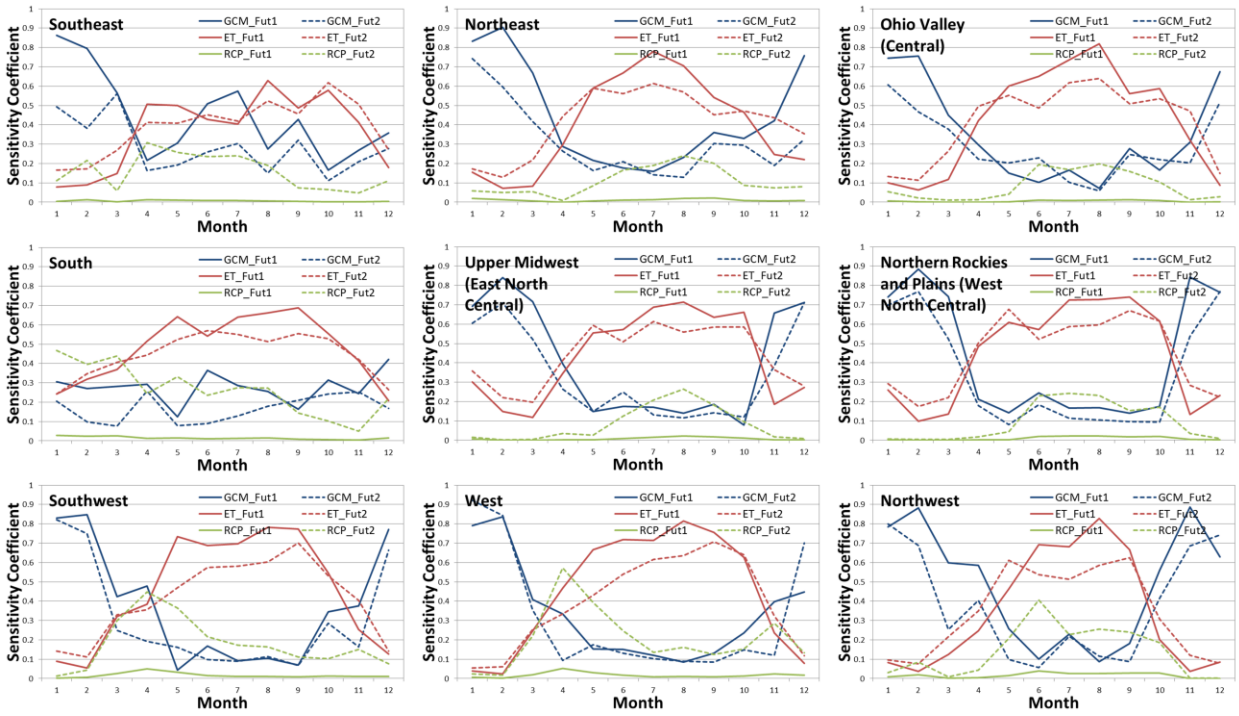
678



679

680 Figure 6. First order sensitivity analysis results of change in reference evapotranspiration. Solid
681 lines represent the future period 1 (2030-2060) and dotted lines represent the future period 2
682 (2070-2100). Blue lines represent the first order effect of GCMs, red lines represent the first
683 order effect of ET_0 estimation methods and green lines represent the first order effect of RCPs.

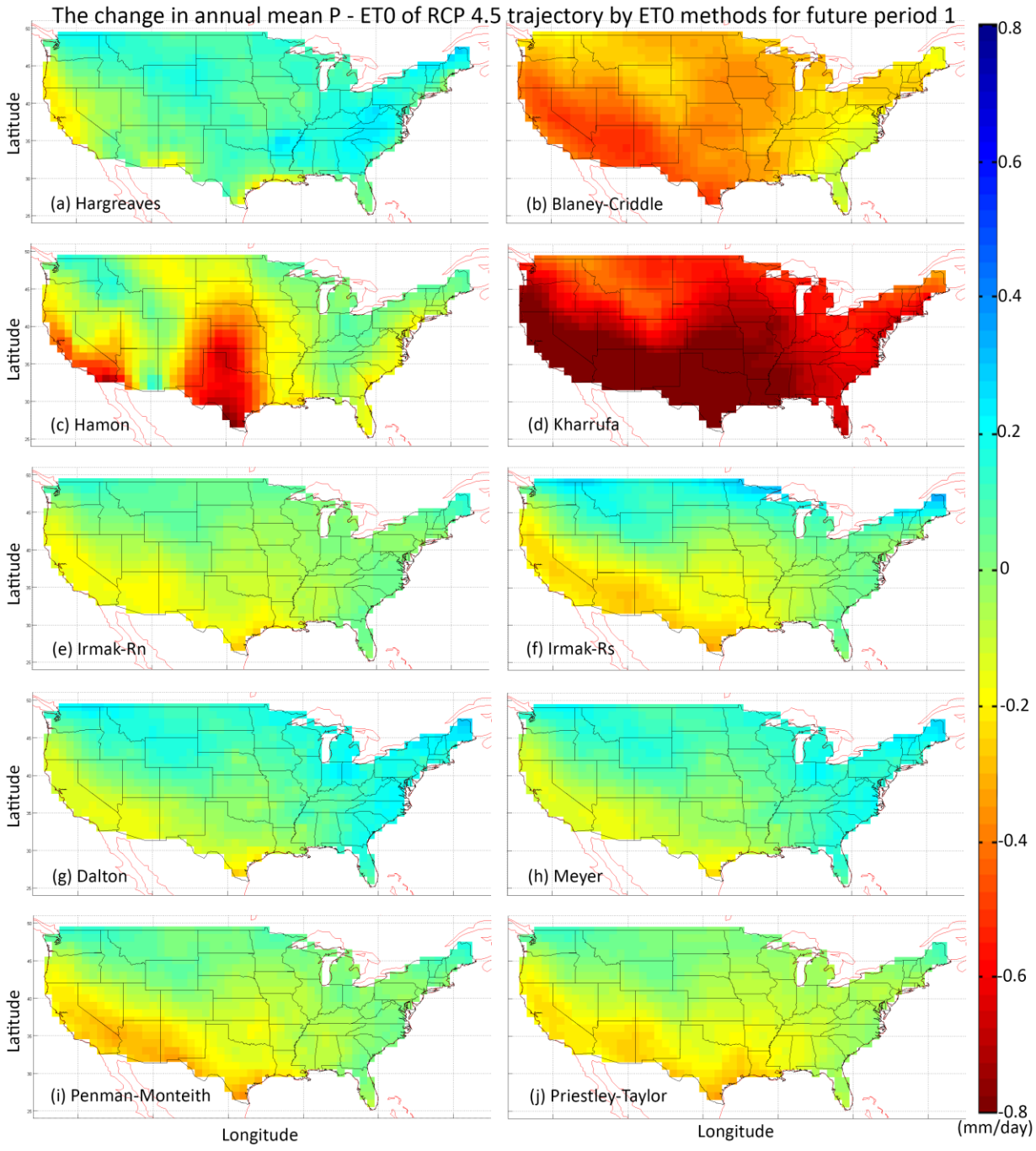
684



685

686 Figure 7. First order sensitivity analysis results of change in P - ET_0. Solid lines represent the
687 future period 1 (2030-2060) and dotted lines represent the future period 2 (2070-2100). Blue
688 lines represent the first order effect of GCMs, red lines represent the first order effect of ET_0
689 estimation methods and green lines represent the first order effect of RCPs.

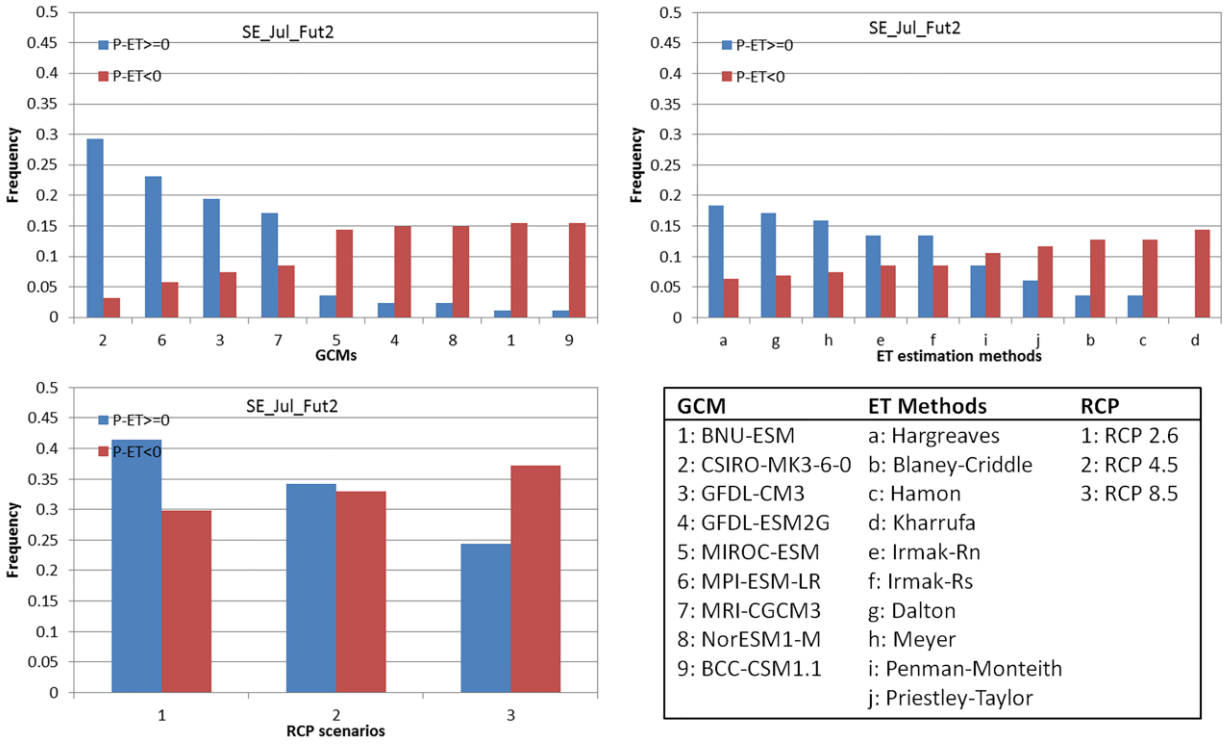
690



691

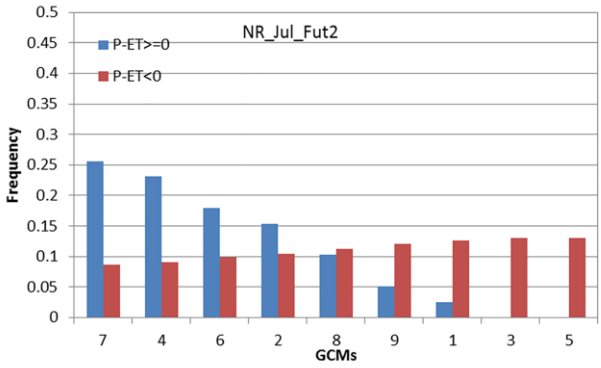
692 Figure 8. The change in the annual mean P – ET_0 of RCP 4.5 scenario by 10 different
693 evapotranspiration methods. All units are mm/day and the change is defined as the mean of
694 2030-2060 minus that of 1950-2005. (All results are interpolated to 1 degree * 1 degree grids and
695 averaged over 9 different GCMs)

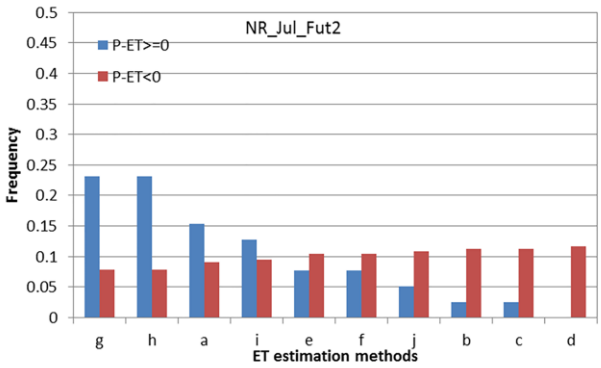
696

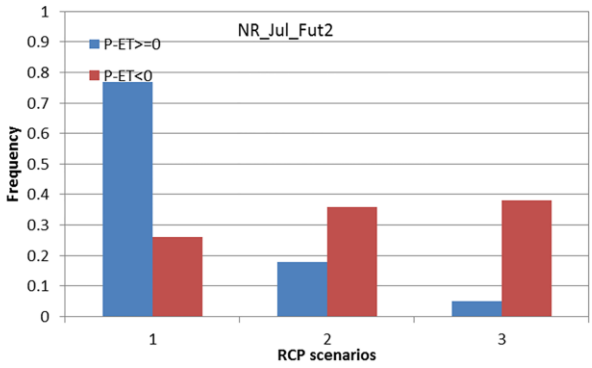


GCM	ET Methods	RCP
1: BNU-ESM	a: Hargreaves	1: RCP 2.6
2: CSIRO-MK3-6-0	b: Blaney-Criddle	2: RCP 4.5
3: GFDL-CM3	c: Hamon	3: RCP 8.5
4: GFDL-ESM2G	d: Kharrufa	
5: MIROC-ESM	e: Irmak-Rn	
6: MPI-ESM-LR	f: Irmak-Rs	
7: MRI-CGCM3	g: Dalton	
8: NorESM1-M	h: Meyer	
9: BCC-CSM1.1	i: Penman-Monteith	
	j: Priestley-Taylor	

Figure 9. Histograms for projected future 2 wet conditions and dry conditions in the Southeast US by GCM, ET_0 method and RCP trajectory for the month of July.







GCM	ET Methods	RCP
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2: CSIRO-MK3-6-0	b: Blaney-Criddle	2: RCP 4.5
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4: GFDL-ESM2G	d: Kharrufa	
5: MIROC-ESM	e: Irmak-Rn	
6: MPI-ESM-LR	f: Irmak-Rs	
7: MRI-CGCM3	g: Dalton	
8: NorESM1-M	h: Meyer	
9: BCC-CSM1.1	i: Penman-Monteith	
	j: Priestley-Taylor	

Figure 10. Histograms for projected future 2 wet conditions and dry conditions in the Northern Rockies and Plains US by GCM, ET_0 method and RCP trajectory for the month of July.

Appendix A: Supplemental figures

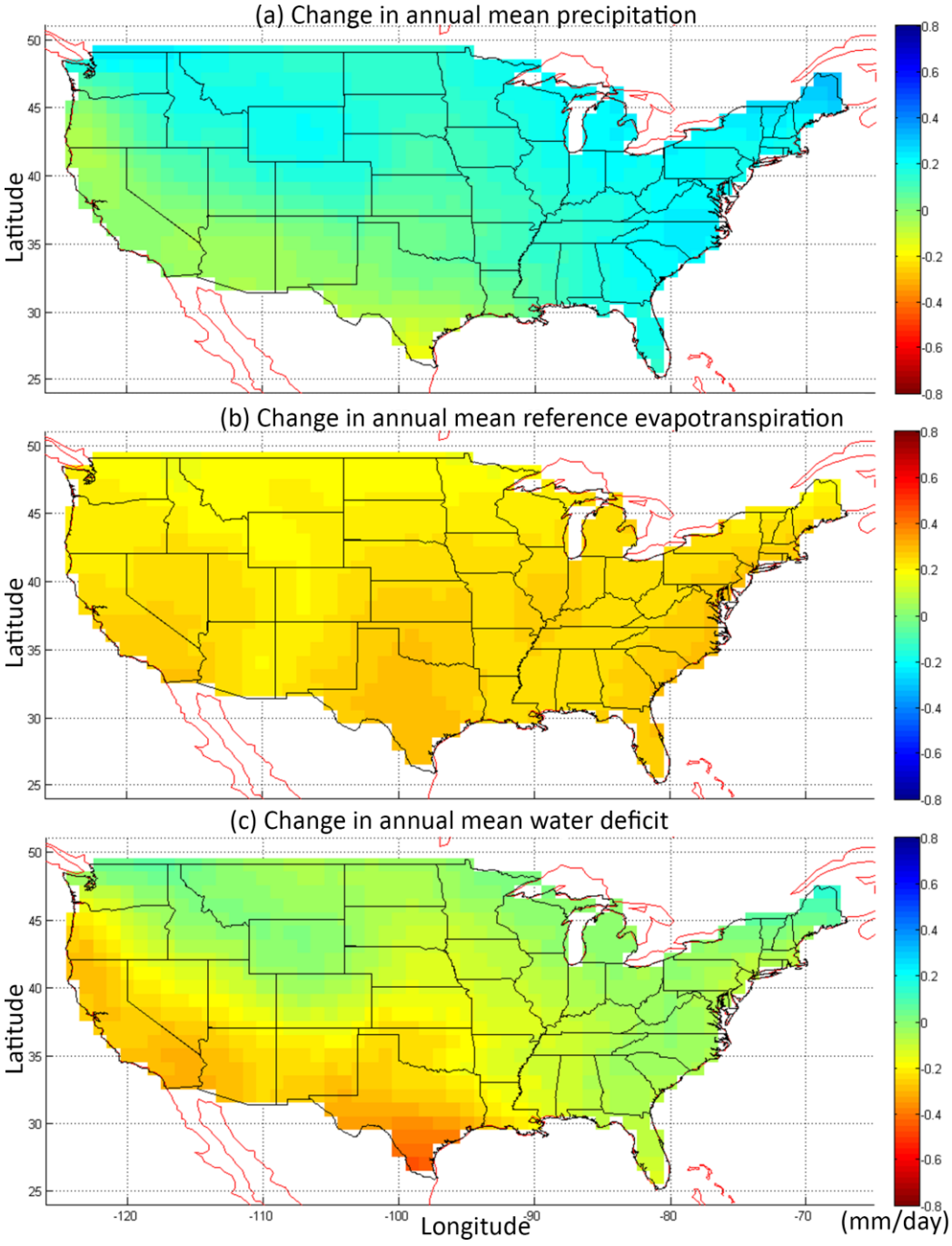
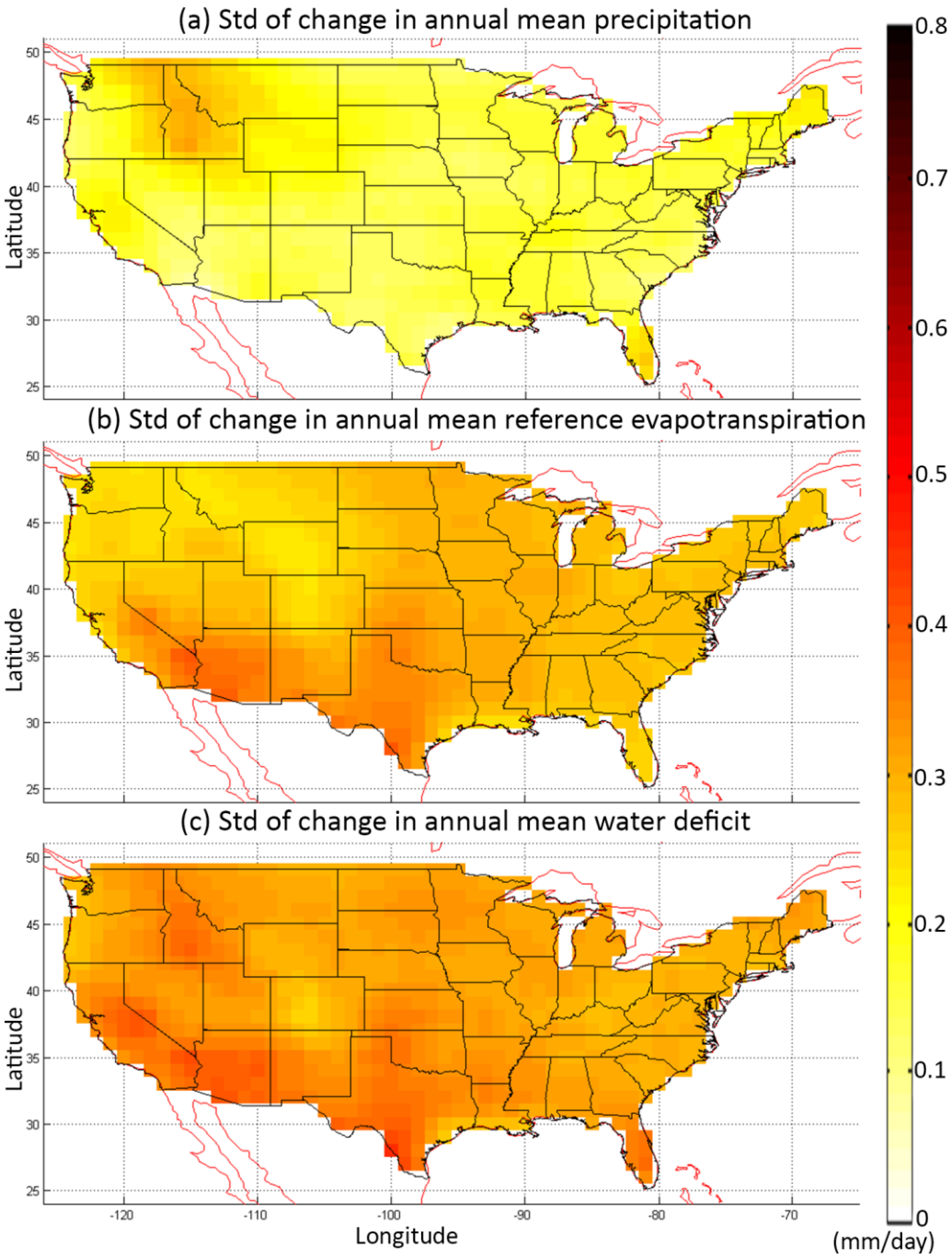


Fig. S-1 The change in the annual mean (a) P, (b) ET_0, and (c) P – ET_0 over U.S. All units are mm/day and the trend is defined as the average of 2030-2060 minus that of 1950-2005.



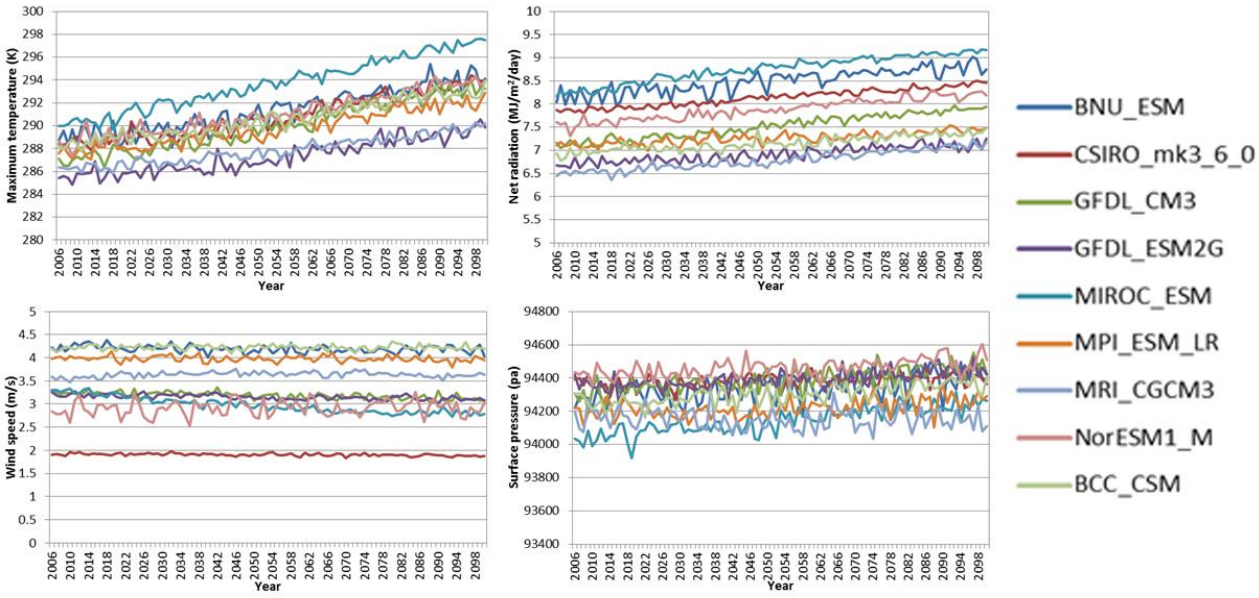
710

711 Fig. S-2 The standard deviation of the change in the annual mean (a) P, (b) ET_0, and (c) P – ET_0

712 over U.S. All units are mm/day and the trend is defined as the average of 2030-2060 minus that

713 of 1950-2005.

714



715

716 Fig. S-3 Mean maximum temperature, net radiation, wind speed at 2 m surface, and surface
717 pressure of CMIP5 for future period (RCP 8.5).

718