Author's response letter for "Sensitivity of future Continental United States water deficit projections to General Circulation Model, evapotranspiration estimation method, and greenhouse gas emission scenario" by S. Chang et al.

# MS No.: HESS-2015-408; MS Type: Research article

We appreciate the thoughtful comments from the reviewers, which have helped us to improve the original manuscript. We explain in detail how we responded to each of the reviewer's comments, with line numbers referring to the revised manuscript unless otherwise noted. We changed our title to "Sensitivity of future Continental United States water deficit projections to General Circulation Model, evapotranspiration estimation method, and greenhouse gas emission scenario" in response to reviewers comments. In addition, we upload revised manuscript, supplemental material, and responses to reviewers as our supplement.

#### Referee #1

Index		Comments					
1	Referee review Author's	Abstract, first sentence, and elsewhere. The authors need to clarify immediately that in this case, water availability refers to the meteorological water balance (i.e. P-PET). Particularly in a hydrology-related journal such as HESS, water availability implies surface hydrological processes as well – in which case future water availability would depend on many other factors as well (e.g. irrigation abstractions, land use, water management strategies). We agree this could have been confusing. We replaced the term " <i>water</i>					
	response	the abstract and in body of the manuscript in order to clarify this.					
2	Referee review	The Introduction section needs to better acknowledge that method-based PET uncertainty under climate change has been explored beyond just the meteorological water balance, to consider river flow as well (via hydrological models). Such studies include: Bae, D.H., Jung, I.W. & Lettenmaier, D.P. 2011 Hydrologic uncertainties in climate change from IPCC AR4 GCM simulations of the Chungju Basin, Korea. Journal of Hydrology 401 90-105. Kay, A.L. & Davies, H.N. 2008 Calculating potential evaporation from climate model data: A source of uncertainty for hydrological climate change impacts. Journal of Hydrology 358 221-239. Koedyk, L.P. & Kingston, D.G. 2016, Potential evapotranspiration method influence on climate change impacts on river flow: a mid-latitude case study. Hydrology Research DOI: 10.2166/nh.2016.152. Thompson, J.R., Green, A.J. & Kingston, D.G. 2014 Potential evapotranspiration related uncertainty in climate change impacts on river flow: An assessment for the Mekong River basin. Journal of Hydrology 510 259-279.					
	Author's	We introduced the references suggested in the introduction section and discussed					
1	response	differences among these studies and our study in the discussion section. For					

		example after line 19 on page 4 we added: "Kay and Davies (2008) compared the
		performance of the Penman-Monteith equation and a simple temperature-based
		evapotranspiration method using climate data from five global and eight regional
		climate models over Britain. They found that the two methods showed very
		different changes in potential evapotranspiration for the period 2071-2100 under
		the A2 emission scenario, and different flow predictions for three catchments
		when the data were used to force a rainfall-runoff model. Kay and Davies results
		suggest that hydrological prediction uncertainty due to potential
		evapotranspiration formulation was smaller than that due to GCM structure or
		RCM structure for their study region Bae et al. (2011) evaluated the uncertainty
		contributed by choice of GCM and hydrologic model for the Chungiu Dam basin
		Korea. They found that hydrologic model structural differences contributed
		areater uncertainty than CCM selection to winter runoff prediction. Koadyk and
		greater uncertainty than GCM selection to winter runojj prediction. Koedyk und Vingston (2016) found that for the Waikaia Piwer, New Zealand potential
		Kingston (2010) Jouna inai jor the waikata Kiver, New Zeatana potential
		evapotranspiration methoa contributed more uncertainty than GCM selection
		when predicting potential evapotranspiration, but that runoff predictions were
		more sensitive to GCMs than to potential evapotranspiration methods. Thompson
		et al. (2014) evaluated the effect of using different GCMs and different potential
		evapotranspiration methods on discharge predictions for the Mekong River in
		Southeast Asia and found that GCM-related uncertainty was greater than the
		potential evapotranspiration method related uncertainty.
		Our study adds to the literature by comprehensively evaluating the relative
		sensitivity of future P, $ET_0$ and water deficit (defined here as P- $ET_0$ ) projections
		to choice of GCM, $ET_0$ method and RCP trajectory over the continental US."
3	Referee	The results and discussion are combined into a single section. Although I
	review	generally prefer these to be separated, the section is well written. At the very least,
		I would like to see the different aspects of the analysis divided into sub-sections,
		to help the reader follow the steps in the analysis.
	Author's	We divided the previously combined section into separate results and discussion
	response	sections as suggested.
4	Referee	P11, line 13; referring back to point $2 - ves$ , hydrological modelling studies that
	review	use only one PET method effectively ignore PET uncertainty, but there have been
		a series of studies that explicitly investigate this.
	Author's	In addition to the revisions to the introductions noted in point 2 above, we
	response	changed the sentence on line 13 nage 11 from "Many hydrological models use a
	response	single evapotranspiration method for simulation which may substantially
		increase the uncertainty and reduce the reliability of future projections" to
		"Similar to the results of Kay and Davies (2008) and Rae at al. (2011) the results
		similar to the results of Kay and Davies (2008) and Dae et al. (2011) the results of our CSA show that the choice of ET method has important implications when
		of our OSA show that the choice of $EI_0$ method has important implications when making future ET, projections and future water deficit projections (Fig. 8)
		making junite $EI_0$ projections and junite which deficit projections (Fig. 8).
		Kingston et al. (2009) recommended the use of different $EI_0$ equations to
		evaluate global $EI_0$ , and wang et al. (2015) found that although different methods
		predict similar future $EI_0$ , there are important differences in uncertainties due to
		$EI_0$ estimation methods and input data reliability. Currently many hydrological
		models use a single evapotranspiration method for simulation, which may
		substantially increase the uncertainty and reduce the reliability of future
		projections. Our results strongly indicate that an ensemble of $ET_0$ estimation
		methods should be used to understand potential future water availability and
		water deficit due to climate change."
5	Referee	According to the IPCC AR4 Glossary

	review	(http://www.ipcc.ch/pdf/assessmentreport/ar5/wg1/WG1AR5_AnnexIV_FINAL.p
		the term Global Climate Model and replacing with General Circulation Model
	Author's	We replaced 'Global Climate Model' with 'General Circulation Model'
	response	throughout the manuscript
6	Referee	P4 line 9: Priestley-Taylor is missnelt
0	review	r, me y. r nestey rugior is misspele.
	Author's	We replaced 'Preistly-Taylor' with 'Priestley-Taylor'
	response	The replaced Treasily Taylor with Treasiley Taylor.
8	Referee	P5 line 27: Priestley-Taylor is a radiation based method – it only requires the
(There's	review	slope of the vapour pressure curve (derived from temperature) and net radiation
no 7 <sup>th</sup>	Author's	We changed the classification of the Priestley-Taylor method to a radiation based
commen	response	method
t in the	response	
review		
note.)		
9	Referee	P6 line 3. RET is not defined in the paper. I presume RET means reference ET
-	review	but the commonly used abbreviation for this is ETO (as used in the Table 1
	10,10,10	caption)
	Author's	We have changed the abbreviation for reference ET to ET <sub>0</sub> throughout the
	response	manuscript.
10	Referee	P6. On line 3 precipitation is abbreviated to P: on line 5 it is abbreviated pr.
10	review	
	Author's	The paragraph on P 6 line 3 explains the CMIP5 archive. In the CMIP5 archive
	response	they use different abbreviations for precipitation and other climate variables than
	response	are conventionally used in hydrology and than we use in this manuscript. We have
		revised the paragraph to note these differences.
		"Variables directly used from the CMIP5 monthly model output included
		precipitation (pr. P in this study), maximum and minimum temperature (tasmax
		and tasmin), radiation (rlds, rlus, rsds, and rsus), air pressure (psl and ps), and
		wind speed (sfcWind) The abbreviations for these variables are as defined in the
		CMIP5 archive and explained in the PCMDI server (Program For Climate Model
		Diagnosis and Intercomparison, http://cmin-
		pcmdi llnl gov/cmin5/docs/standard_output pdf) "
11	Referee	P7 line 11: spell out the number in this instance: nine_not 9 climate regions
11	review	17, me 11. spon out the number in this instance. Inne, not y enniate regions.
	Author's	We replaced '9' with 'nine'
	response	We replaced > with nine .
12	Referee	P10 line 15: typo: "sKingston"
12	review	1 To, me 15. typo. sixingston .
	Author's	We replaced 's Kingston' with 'Kingston'
	response	We replaced skingsion with kingsion.
13	Referee	P11 line 11: the acronym GSA is undefined
15	review	1 11, line 11. the actonym OSA is undermed.
	Author's	We defined CSA in the revised introduction section
	rasponso	"Clobal sensitivity analysis (CSA) apportions the total output uncertainty
	response	Global sensitivity analysis (GSA) apportions the total output uncertainly simultaneously onto all the uncertain input factors described by marcinal
		probability density functions, and thus is preferred over local, one factor at a
		time sensitivity analysis (Homma and Saltalli, 1006; Saltalli, 1000)"
		inne, sensitivity analysis (110mina and Salletti, 1990, Salletti, 1999).

# Referee # 2

Index		Comments
1	Referee review	Before using the GCMs output to force hydrological model (even estimate RET), the some forms of prior bias correction are always conducted due that GCM often show strong bias over historic period (Wood et al., 2002; 2004). I can only believe the authors use the raw data causing I did not find any information associated with the bias correction description in the paper. So how about the matching degree between the GCM-simulated variables and historical observation? And whether some bias correction jobs should be done before employing these GCMs output.
	Author's response	We added an explanation in the methods section regarding why we focused on the sensitivity of changes in raw GCM predictions rather than changes in bias-corrected GCM predictions. "Because GCM predictions are known to contain systematic biases (Hwang and Graham, 2013; Wood et al., 2002, 2004) we evaluated the sensitivity of the mean monthly <u>change</u> in raw climate predictions between retrospective and future periods to the choice of GCM, ET <sub>0</sub> estimation method and RCP trajectories. This is analogous to using the delta change GCM bias correction method that involves shifting the mean of a series of observed climate data by the mean difference in raw GCM output between the corresponding observed time period and the desired future period. Teutschbein and Seibert (2012) pointed out that all bias correction methods are based on the stationarity principle that assumes that similar biases occur in the retrospective and future predictions and thus the same bias-correction algorithm may be applied to both. Muerth et al. (2013) found that the impact of bias correction on the relative change of flow indicators between retrospective and future periods was weak for most indicators, however Pierce et al. (2015) found that the delta change GCM bias correction method was more useful for simulating hydrologic extreme events than the quantile mapping bias correction method as it preserved daily climate variability better. In this study, we differenced raw rather than bias corrected GCM outputs in order to prevent spurious alteration of the climate change signal between retrospective and future future for simulating hydrologic externe extends that the delta change for work in order to prevent spurious alteration of the climate change for bias correction method was more useful for simulating hydrologic extreme events than the quantile mapping bias correction method as it preserved daily climate variability better. In this study, we differenced raw rather than bias corrected GCM outputs in order to prevent spurious alteration of

2, 3	Referee review	GCM simulated temperature is commonly considered to have high confidence than other climatic variables such as vapor pressure and radiation (Randall et al., 2007). The differences of estimated ET between temperature-based ET equations and radiation based equations maybe due to the uncertain input data quality rather than the method selection as the authors declared. In fact, temperature- based equations have been considered not competent in RET change (e.g., Roderick et al., 2009) due that a steady increase in temperature over time will translate into a calculated steady increase in evapotranspiration. Generally, using combination equations maybe more suitable for projection future RET. However, as the above comment pointed out, the GCM-simulated temperature was also widely considered to have relatively high confidence in comparison with other meteorological variables. The different combinations between methods and data should be discussed (see some literatures, Kingston et al., 2009; Wang et al., 2015).
	Author's response	The main finding of our paper is that the choice of ET estimation method is as important as GCM selection and the effects of ET estimation method vary depending on region and season. We agree that the effects of the ET estimation method depend both on the physics represented in the method and the reliability of the parameters needed for the method. We revised the manuscript to make this point more clearly and included discussion of the references suggested above on P12: "Kingston et al. (2009) recommended the use of different ET <sub>0</sub> equations to evaluate global ET <sub>0</sub> , and Wang et al. (2015) found that although different methods predict similar future ET <sub>0</sub> , there are important differences in uncertainties due to ET <sub>0</sub> estimation methods and input data reliability. Currently many hydrological models use a single evapotranspiration method for simulation, which may substantially increase the uncertainty and reduce the reliability of future projections. Our results strongly indicate that an ensemble of ET <sub>0</sub> estimation methods should be used to understand potential future water availability and water deficit due to climate change."
		Furthermore we added a paragraph in the discussion section and a new plot in the supplemental material (Fig. S-3). "GCMs estimate some climate variables, such as temperature, with higher confidence than other variables (Randall et al., 2007). However, for some evapotranspiration estimation methods the effect of temperature on evaporation is smaller than other climate variables (Linacre, 1994; Thom et al., 1981, Roderick et al., 2009a, 2009b). We found that temperature and net radiation from the CMIP5 GCMs show increasing trends over the 2005-2100 time period, while wind speed and surface pressure are relatively constant (Fig. S-3). Because we considered various $ET_0$ estimation methods our results include the impacts of the different physics represented in the $ET_0$ methods, the projected changes each of the climate variables contributing to the different $ET_0$ methods, and the reliability of the predictions of each variable.

4	Referee review	ET always mean actual evapotranspiration, it may be better use
		RET/ET0 to represent reference evapotranspiration.
	Author's response	We changed this for clarity and refer to reference evapotranspiration
		as $ET_0$ throughout the manuscript.
5,6	Referee review	It is better to divide the results into several sub-sections.
		Results should be presented as such and not mingled with
		explanations (analysis section), so please separate the results section
		and discussion section.
	Author's response	We divided the previous combined section into separate results and
		discussion sections.

Plot added to Supplementary Materials



Fig. S-3 Projections of mean maximum temperature, net radiation, wind speed at 2 m surface, and surface pressure of CMIP5 from 2005 to 2010 for RCP 8.5.

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1	Sensitivity of future Continental United States water deficit
<mark>2</mark>	projections to General Circulation Model, evapotranspiration
3	estimation method, and greenhouse gas emission scenario
4	
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13	

## 14 Abstract

Projecting water deficit under various possible future climate scenarios depends on the 15 choice of General Circulation Model (GCM), reference evapotranspiration (ET<sub>0</sub>) estimation 16 method and Representative Concentration Pathway (RCP) trajectory. The relative contribution of 17 each of these factors must be evaluated in order to choose an appropriate ensemble of future 18 scenarios for water resources planning. In this study variance-based global sensitivity analysis 19 20 and Monte Carlo filtering were used to evaluate the relative sensitivity of projected changes in precipitation (P),  $ET_0$  and water deficit (defined here as  $P - ET_0$ ) to choice of GCM,  $ET_0$ 21 estimation method and RCP trajectory over the continental United States (US) for two distinct 22 23 future periods: 2030-2060 (future period 1) and 2070-2100 (future period 2). A total of 9 GCMs, 10 ET<sub>0</sub> methods and 3 RCP trajectories were used to quantify the range of future projections and 24 25 estimate the relative sensitivity of future projections to each of these factors. In general, for all 26 regions of the Continental US, changes in future precipitation are most sensitive to the choice of

27 GCM, while changes in future  $ET_0$  are most sensitive to the choice of  $ET_0$  estimation method. For changes in future water deficit, the choice of GCM is the most influential factor in the cool 28 29 season (Dec – Mar) and the choice of  $ET_0$  estimation method is most important in the warm season (May – Oct) for all regions except the South East US where GCM and  $ET_0$  have 30 approximately equal influence throughout most of the year. Although the choice of RCP 31 trajectory is generally less important than the choice of GCM or ET<sub>0</sub> method, the impact of RCP 32 trajectory increases in future period 2 over future period 1 for all factors. Monte Carlo filtering 33 results indicate that particular GCMs and  $ET_0$  methods drive the projection of wetter or drier 34 future conditions much more than RCP trajectory; however the set of GCMs and ET<sub>0</sub> methods 35 that produce wetter or drier projections varies substantially by region. Results of this study 36 indicate that, in addition to using an ensemble of GCMs and several RCP trajectories, a range of 37 38 regionally-relevant  $ET_0$  estimation methods should be used to develop a robust range of future conditions for water resource planning under climate change. 39

40

#### 41 **1. Introduction**

Climate change will result in significant impacts on hydrologic processes. The 2014 Fifth 42 Assessment Report (AR5) of the Intergovernmental Panel on Climate Change (IPCC) reported 43 that climate change will significantly affect future precipitation (P), temperature (T) and 44 45 reference evapotranspiration ( $ET_0$ ) and these changes will affect the quantity and quality of water resources. The most recent report of the National Climate Assessment and Development 46 Advisory Committee (NCADAC, 2013) indicated that the average annual temperature in the 47 United States (US) has increased by 0.7 °C to 0.9 °C since record keeping began in 1895 and is 48 49 expected to continue to rise (Georgakakos et al., 2014; Walsh et al., 2014). The NCADAC report also indicated that Coupled Model Intercomparison Project 5 (CMIP5) General Circulation 50 51 Model (GCM) precipitation projections show a consistent increase in Alaska and the far north of the continental US and a consistent decrease in the far Southwest US, but that GCM projections 52 53 are inconsistent in the precipitation transition zone of the US continent. The uncertainty in climate change projections makes actionable water resources planning difficult in many regions. 54 55 In order to predict changes in the hydrologic cycle, and future water supply and demand,

estimates of changes in P, T and  $ET_0$  must be evaluated on a regional basis, and the uncertainty of these estimates must be quantified (Ishak et al., 2010).

58 Previous research has evaluated existing and potential future spatiotemporal changes in P, 59 T and  $ET_0$  for various regions around the globe (e.g. Chaouche et al., 2010; Chong-Hai and Ying, 2012; Johnson and Sharma, 2009; Kharin et al., 2013; Maurer and Hidalgo, 2008; Quintana 60 Seguí et al., 2010; Sung et al., 2012; Thomas, 2000; Wang et al., 2013; Xu et al., 2006). It is 61 62 well known that future GCM projections of temperature and precipitation vary significantly due to both the different radiative forcing assumptions of carbon dioxide scenarios (e.g. CMIP3 63 Special Report on Emissions Scenarios (SRES) and CMIP5 Representative Concentration 64 Pathways (RCP trajectories)) and different GCM model physics (Hawkins and Sutton, 2009, 65 66 2010). Future  $ET_0$  projections have been shown to depend on  $ET_0$  estimation methods in addition to GCMs. For example Kingston et al. (2009) used 5 GCMs from the CMIP3 climate projections 67 68 and 6 different  $ET_0$  equations to estimate global  $ET_0$  and found that the choice of  $ET_0$  method contributes to different projections of the future state of water resources which varies by region. 69 70 They found that the Hamon and Jensen-Haise ET<sub>0</sub> estimates showed the greatest changes in both humid and arid regions while the Penman-Monteith and Priestley-Taylor estimates frequently 71 72 showed smallest change. Similarly McAfee (2013) used three  $ET_0$  equations with 17 CMIP3 GCMs to evaluate the uncertainty of future global  $ET_0$  projections and found that the Hamon 73 74 equation showed more significant and consistently positive trends in  $ET_0$  compared to the Priestley-Taylor and Penman methods. 75

Models developed to estimate future water supply and demand as a result of projected 76 climate change use many different types of  $ET_0$  estimation methods (Zhao et al., 2013). Because 77 78 the choice of  $ET_0$  estimation method may be as important as the choice of GCM or RCP 79 trajectory, better understanding of the contribution of each of these factors to the overall 80 prediction uncertainty of future water availability or water deficit is necessary (Taylor et al., 2013). Kay and Davies (2008) compared the performance of the Penman-Monteith equation and a 81 82 simple temperature-based  $ET_0$  method using climate data from five global and eight regional climate 83 models over Britain. They found that the two methods showed very different changes in  $ET_0$  for the period 2071-2100 under the A2 emission scenario, and different flow predictions for three catchments 84 85 when the data were used to force a rainfall-runoff model. Kay and Davies results suggest that

- 86 hydrological prediction uncertainty due to  $ET_0$  formulation was smaller than that due to GCM structure or
- 87 RCM structure for their study region. Bae et al. (2011) evaluated the uncertainty contributed by choice of
- 88 GCM and hydrologic model for the Chungju Dam basin, Korea. They found that hydrologic model
- 89 structural differences contributed greater uncertainty than GCM selection to winter runoff prediction.
- 90 Koedyk and Kingston (2016) found that for the Waikaia River, New Zealand  $ET_0$  method contributed
- 91 more uncertainty than GCM selection when predicting  $ET_0$ , but that runoff predictions were more
- 92 sensitive to GCMs than to  $ET_0$  methods. Thompson et al. (2014) evaluated the effect of using different
- **93** GCMs and different  $ET_0$  methods on discharge predictions for the Mekong River in Southeast Asia and
- 94 (found that GCM-related uncertainty was greater than the  $ET_0$  method related uncertainty.
- 95 Our study adds to the literature by comprehensively evaluating the relative sensitivity of future
- 96 P,  $ET_0$  and water deficit (defined here as P-  $ET_0$ ) projections to choice of GCM,  $ET_0$  method and
- 97 **RCP** trajectory over the continental US. Variance-based global sensitivity analysis (Saltelli et al.,
- 98 2010) and Monte Carlo Filtering (Rose et al., 1991) are used to quantify the uncertainty and
- <sup>99</sup> important input factors controlling these projections. Global sensitivity analysis (GSA)
- 100 apportions the total output uncertainty simultaneously onto all the uncertain input factors
- 101 described by marginal probability density functions, and thus is preferred over local, one factor
- 102 at a time, sensitivity analysis (Homma and Saltelli, 1996; Saltelli, 1999). Monte Carlo Filtering
- 103 can identify sets of model simulations and input factors that meet a specified criteria or threshold.
- 104 Thus global sensitivity analysis and Monte Carlo Filtering offer an opportunity to gain insight
- 105 into the sources of uncertainty, and drivers of particular types of wet/dry behavior, when
- 106 estimating future water deficit under projected climate change.

### 108 **2. Methods**

All retrospective and future climate variables were obtained from the CMIP5 archive (accessible for download at <u>http://pcmdi9.llnl.gov/</u>). The "historical" runs of CMIP5 were used for the retrospective period (1950-2005) and the same ensemble member runs (r1i1p1 ensemble) of CMIP5 were used for two future periods: future period 1 (2030-2060), and future period 2 (2070-2100). Data for three RCP trajectories, RCP2.6, RCP4.5 and RCP8.5 were included in the analyses. Taylor et al. (2012) described an overview of CMIP5 and RCP trajectories and
compared the differences between CMIP5 and CMIP3 model projections.

Data from the CMIP5 archive were used to calculate monthly mean P,  $ET_0$ , and P-  $ET_0$ (water deficit) for the retrospective and both future periods over each of the nine U.S. climate regions identified by the National Climatic Data Center (Karl and Koss, 1984 (Fig. 1)). Future changes in monthly mean P,  $ET_0$ , and P-  $ET_0$  were estimated by subtracting the monthly mean value for the retrospective period from the monthly mean value for future period 1 or future period 2, as appropriate (Baker and Huang, 2014).

122 Ten commonly used reference evapotranspiration estimation methods (Hargreaves, 123 Blaney-Criddle, Hamon, Kharrufa, Irmak-Rn, Irmak-Rs, Dalton, Meyer, Penman-Monteith and Priestley-Taylor) were used in this study. The methods can be further classified into temperature-124 125 (Hargreaves, Blaney-Criddle, Hamon and Kharrufa), radiation (Irmak-Rn, Irmak-Rs and Priestley-Taylor), mass transfer (Dalton and Meyer), and combination (Penman-Monteith) 126 127 equations. These equations are well-described in many papers (e.g., Allen et al., 1998; Hargreaves and Allen, 2003; Irmak et al., 2003; Tabari, 2010; Tabari et al., 2013; Xu and Singh, 128 129 2001) and are summarized in Table 1 (hereafter precipitation is referred to as P, and reference evapotranspiration is referred to as  $ET_0$  for convenience). 130

# 131 Variables directly used from the CMIP5 monthly model output included precipitation (pr,

- 132 P in this study), maximum and minimum temperature (tasmax and tasmin), radiation (rlds, rlus,
- (133) (rsds, and rsus), air pressure (psl and ps), and wind speed (sfcWind). The abbreviations for these
- 134 variables are as defined in the CMIP5 archive and explained in the PCMDI server (Program For
- 135 Climate Model Diagnosis and Intercomparison, <u>http://cmip-</u>
- 136 <u>pcmdi.llnl.gov/cmip5/docs/standard\_output.pdf</u>). Other variables needed in the ten reference
- evapotranspiration equations were calculated using the variables from CMIP5 monthly model
- 138 output (for details see Table 1). Monthly output that included all the variables needed for the
- 139 Penman-Monteith reference evapotranspiration method (the most data intensive method) was
- available for both the retrospective period, and for the RCP2.6, RCP 4.5, and RCP8.5 trajectories
- 141 for the future periods, for 9 CMIP5 models. Table 2 lists the 9 models from the CMIP5 archive
- 142 that were used in this study.

- 143 The sensitivity of changes in future P,  $ET_0$  and water deficit (defined here as P-  $ET_0$ ) to
- the choice of GCM, ET<sub>0</sub> estimation method, and RCP trajectory was evaluated using the
- 145 variance-based GSA method of Saltelli et al. (2010). Given a model of the form Y =
- 146  $f(X_1, X_2, ..., X_k)$ , with Y a scalar, the variance-based first order effect for a generic factor  $X_i$  can
- 147 be written (Saltelli et al., 2010):

$$V_{X_i}\left(E_{X_{\sim i}}(Y|X_i)\right) \tag{1}$$

where  $X_i$  is the *i*-th factor (in our case either GCM, ET<sub>0</sub> method or RCP trajectory) and  $X_{\sim i}$  is the vector of all factors except  $X_i$ . The expectation operator  $E_{X_{\sim i}}(Y|X_i)$  indicates that the mean of Y is taken over all possible values of X except  $X_i$  (i.e.  $X_{\sim i}$ ) while keeping  $X_i$  fixed. The variance,  $V_{X_i}$ , is then taken of this quantity over all possible values of  $X_i$ .

152 The first order sensitivity coefficient is expressed as:

$$S_i = \frac{V_{X_i}(E_{X_{\sim i}}(Y|X))}{V(Y)} \tag{2}$$

Where V(Y) the total variance of Y over all  $X_i$ .  $S_i$  is a normalized index varying between 0 and 1, because  $V_{X_i}(E_{X_{\sim i}}(Y|X_i))$  varies between 0 and V(Y) according to the identity (Mood et al., 155 1974):

$$V_{X_i}\left(E_{X_{\sim i}}(Y|X_i)\right) + E_{X_i}\left(V_{X_{\sim i}}(Y|X_i)\right) = V(Y)$$
(3)

As indicated above  $V_{X_i}(E_{X_{\sim i}}(Y|X_i))$  is the first order effect of  $X_i$  on the model output Y, while  $E_{X_i}(V_{X_{\sim i}}(Y|X_i))$  is called the residual. The total effect index, including first order and higher order effects (i.e. interactions between factor  $X_i$  and other factors) of the factor  $X_i$  on the model output is calculated (Saltelli et al., 2010):

$$S_{T_{i}} = \frac{E_{X_{\sim i}}(V_{X_{i}}(Y|X_{\sim i}))}{V(Y)} = 1 - \frac{V_{X_{\sim i}}\left(E_{X_{i}}(Y|X_{\sim i})\right)}{V(Y)}$$
(4)

The first order sensitivity of estimated future changes in mean monthly P, ET<sub>0</sub>, and P ET<sub>0</sub> to choice of GCM, ET<sub>0</sub> estimation method and RCP trajectory were calculated over the nine

162 US climate regions for each future period in order to evaluate the relative contributions of each

- 163 of these factors on the uncertainty of future changes. A total of 270 simulations (9 GCMs  $\times$  10
- 164 evapotranspiration methods × 3 RCP trajectories) was used in the analysis. Sensitivity of
- 165 projected changes in P were evaluated for both choice of GCM and choice of RCP trajectory.
- 166 Sensitivity of projected changes in  $ET_0$  and P-  $ET_0$  were evaluated for choice of GCM, choice of
- 167  $ET_0$  estimation method, and choice of RCP trajectory.
- 168 For projected changes in water deficit (P-  $ET_0$ ) Monte Carlo filtering (Saltelli et al., 2008) was used to identify whether projected wetter or drier future conditions (i.e. larger or smaller 169 water deficit) could be attributed to specific GCMs, ET<sub>0</sub> estimation methods, or RCP trajectories. 170 For each future period the ensemble of 270 projections of change in water deficit were 171 172 categorized as either wet future condition (mean change in  $(P - ET_0) \ge 0$ ) or dry future condition (mean change in  $(P - ET_0) < 0$ ). Next for each factor ( $X_i = GCM$ ,  $ET_0$  method, RCP 173 trajectory) the histograms of wet  $(f_{wet}|X_i)$  and dry  $(f_{drv}|X_i)$  future conditions over the range of 174 possible values of that factor were estimated. To identify the factors that are most responsible for 175 driving the model into projected wet or dry future conditions for each factor,  $X_i$ , the distributions 176  $(f_{wet}|X_i)$  and  $(f_{dry}|X_i)$  were tested for significant difference using the  $X^2$  two sample test for 177 categorical variables with  $\alpha$ =0.05 (Rao and Scott, 1981). If for a given factor  $X_i$  the two 178 distributions are significantly different, then  $X_i$  is a key factor in driving into either a wet or dry 179 condition (Saltelli et al., 2008). 180
- 181 Because GCM predictions are known to contain systematic biases (Hwang and Graham, 182 2013; Wood et al., 2002, 2004) we evaluated the sensitivity of the mean monthly change in raw 183 climate predictions between retrospective and future periods to the choice of GCM,  $ET_0$ 184 estimation method and RCP trajectories. This is analogous to using the delta change GCM bias **185** correction method that involves shifting the mean of a series of observed climate data by the 186 mean difference in raw GCM output between the corresponding observed time period and the 187 desired future period. Teutschbein and Seibert (2012) pointed out that all bias correction methods <mark>188</mark> are based on the stationarity principle that assumes that similar biases occur in the retrospective 189 and future predictions and thus the same bias-correction algorithm may be applied to both. Muerth et al. (2013) found that the impact of bias correction on the relative change of flow 190 191 indicators between retrospective and future periods was weak for most indicators, however

Pierce et al. (2015) found that some bias correction methods altered model-projected changes in mean precipitation and temperature. LaFond et al. (2014) found that the delta change GCM bias correction method was more useful for simulating hydrologic extreme events than the quantile mapping bias correction method as it preserved daily climate variability better. In this study, we differenced raw rather than bias corrected GCM outputs in order to prevent spurious alteration of the climate change signal between retrospective and future GCMs that might be induced by the bias correction method.

199

## 200 **3. Results**

Future P, ET<sub>0</sub> and water deficit projections include large uncertainties stemming from 201 different sources. Figures 2 and 3 present maps of the mean change (Fig. 2) and the standard 202 deviation of change (Fig. 3) in annual P (top chart),  $ET_0$  (middle) and water deficit (P –  $ET_0$ ; 203 bottom) over the continental US calculated over all GCMs, ET<sub>0</sub> estimation methods, and RCP 204 205 trajectories for future period 2 (2070-2100). Major portions of the West, Southwest and South show a mean decrease in annual precipitation, while the rest of the continental US shows a mean 206 207 increase (Fig. 2 (a)). Future annual  $ET_0$  shows a mean increase over retrospective annual  $ET_0$ over the entire US (Fig. 2 (b)), with the largest increase in the South region. Following the 208 209 patterns of P and ET<sub>0</sub>, future annual water deficit  $(P - ET_0)$  shows a significant mean decrease in the West, Southwest and South regions and a slight decline, or negligible change in most other 210 211 regions (Fig. 2 (c)). These mean changes in annual P,  $ET_0$  and P-  $ET_0$  are relatively small compared to the standard deviation of changes in annual P,  $ET_0$ , and P –  $ET_0$  (Fig. 3). Water 212 213 deficit in particular has a large standard deviation, resulting in coefficients of variation larger 214 than one throughout the continental US. Similar results are shown in the Fig. S-1 and Fig. S-2 for future period 1 (2030-2060) in the supplemental materials. 215

Figure 4 shows the seasonal changes in the monthly mean and standard deviation of water deficit  $(P - ET_0)$  over the nine US regions. Blue and red lines represent the changes in monthly mean water deficit for future period 1 and future period 2, respectively and the error bars represent one standard deviation around each mean value. All regions of the continental US show drier conditions (negative mean changes) in the summer season (Jun – Aug). Southern

regions (Southeast, South, Southwest and West) show drier conditions throughout the year,

however northern portions of the US (i.e. the Northeast, Ohio Valley, Upper Midwest, Northern
Rockies and Plains and Northwest) show wetter conditions (positive mean changes) in the winter
season.

225 Figure 5 shows the first order sensitivity of change in P to GCM and RCP trajectory over the nine US climate regions for future periods 1 and 2. For projected changes in P, the choice of 226 227 GCM is generally more important than choice of RCP trajectory for all regions and both future periods. First order sensitivities of mean change in ET<sub>0</sub> to GCM, ET<sub>0</sub> method and RCP 228 trajectory are shown in Fig. 6. This figure clearly shows that the choice of  $ET_0$  method is the 229 most influential factor for projecting change in  $ET_0$  for both future periods, except for the month 230 231 of March in the Northeast, Upper Midwest and Northern Rockies and Plains. High sensitivity of mean change in  $ET_0$  to GCM selection occurs in spring for several regions (Northeast, Upper 232 233 Midwest and Northern Rockies and Plains), indicating a divergence of model predictions during this time. The influence of the RCP trajectory on ET<sub>0</sub> increases in future period 2 over future 234 235 period 1, with a concomitant decrease in the influence of both ET<sub>0</sub> method and GCM. In future period 1 the GCM sensitivity coefficients are greater than the RCP trajectory sensitivity 236 237 coefficients over most regions; however, in future period 2 the RCP sensitivity coefficients become more important. Figure 7 shows that projected change in water deficit depend strongly 238 239 on both the choice of GCM and ET<sub>0</sub> estimation method. In all regions except the Southeast projected change in water deficit is most sensitive to  $ET_0$  estimation method in the warm season 240 (May through October) and most sensitive to GCM in the cool season (December through 241 March). For the Southeast region the sensitivity coefficients for GCM and ET<sub>0</sub> method are quite 242 243 similar throughout the year. The sensitivity coefficients for RCP trajectory are very low in future 1, but increase in future 2, becoming approximately equal to the GCM sensitivity coefficients in 244 the summer season in future 2. 245

Figure 8 shows the change in annual mean water deficit over all 9 GCMs for the RCP 4.5 trajectory in future period 1 (2030-2060) predicted by the ten different  $ET_0$  methods used in this study (a: Hargreaves, b: Blaney-Criddle, c: Hamon, d: Kharrufa, e: Irmak-Rn, f: Irmak-Rs, g: Dalton, h: Meyer, i: Penman-Monteith, j: Priestley-Taylor). This figure clearly shows that the changes in water deficit for future period 1 are diverse and depend strongly on the choice of  $ET_0$ 

251 method. Except for the Hargreaves method (Fig. 8a) the temperature based methods (e.g. 252 Blaney-Criddle (Fig. 8b), Hamon (Fig. 8c) and Kharrufa (Fig. 8d)) predict drier conditions over 253 the continental US than the other methods. The mass transfer based methods (e.g Dalton (Fig. 8g) and Meyer (Fig. 8h)) predict generally wetter conditions over most of the continental US 254 compared to other methods. The combination method (Penman Monteith (Fig. 8i)), and the 255 radiation based methods (Irmak-Rn (Fig 8e), Irmak-Rs (Fig. 8f) and Priestley Taylor (Fig. 8j)) 256 257 generally fall between the mass transfer based and temperature based methods, with the combination methods producing slightly drier conditions. Although most methods predict similar 258 spatial patterns of water deficit over the continental US (generally drier conditions in the West, 259 260 Southwest and South and generally wetter elsewhere), the Hamon method predicts a different pattern of water deficit over the Southwest, South and Northern Rockies and Plains regions. 261

Monte Carlo filtering (Saltelli et al., 2008) was conducted to further investigate whether 262 263 projected wetter or drier future conditions (i.e. larger or smaller annual mean water deficit) could be attributed to specific GCMs, ET<sub>0</sub> estimation methods, or RCP trajectories. Figures 9 shows 264 265 the histograms for wet conditions and dry conditions in future 2 over the Southeast US by GCM, ET<sub>0</sub> method and RCP trajectory for the example month of July. Figure 10 shows similar 266 267 histograms for the Northern Rockies and Plains, a region with differing behavior from the Southeast US. Table 3 shows the P-value results for the  $X^2$ - test for all months in both futures for 268 the Southeast and Northern Rockies and Plains regions. P-values greater than 0.05 (shaded in 269 270 grey) indicate the two histograms are not significantly different from each other. Tables 4-6show the fraction of time that a particular GCM (Table 4), ET<sub>0</sub> method (Table 5), or RCP 271 trajectory (Table 6) projected drier future conditions in each of the nine US climate regions for 272 273 each month, with fractions higher than 0.5 shaded in grey.

274

#### 275 **4. Discussion**

Drier conditions in southern regions (Southeast, South, Southwest and West) and wetter
conditions in northern regions (Northeast, Ohio Valley, Upper Midwest, Northern Rockies and
Plains and Northwest) are consistent (Fig. 4) with those reported by McAfee (2013) who used 3
ET<sub>0</sub> methods (Hamon, Priestley-Taylor and Penman-Monteith) to estimate global changes in ET<sub>0</sub>

over the entire globe. As found by Baker and Huang (2014) for both CMIP3 and CMIP5 projections, mean  $ET_0$  is projected to be higher in future period 2 than in future period 1, and mean precipitation projections are approximately equivalent in future period 1 and future period 2. Thus the projected mean changes in water deficit for future period 2 (red lines in Fig. 4) are larger in magnitude than the projected changes for future period 1 (blue lines). In all regions, and for both future periods, the one standard deviation error bars bracket zero mean change indicating large uncertainty in the projections throughout the year.

The choice of GCM is generally more important than the choice of RCP trajectory for 287 projected changes in P (Fig. 5). This is consistent with results found by Gaetani and Mohino 288 (2013) and Knutti and Sedláček (2012) who showed significant differences in precipitation 289 290 predictions among CMIP5 models. It should be noted that these results do not indicate that the choice of RCP trajectory does not affect the change in precipitation, only that the choice of RCP 291 292 trajectory is less influential than the choice of GCM. There are no consistent seasonal patterns of the first-order sensitivity coefficients for either GCM or RCP trajectory in either future period. 293 294 However, during the spring months, the sensitivity of change in P to choice of RCP trajectory increases substantially in future 2 compared to future 1 in the Northeast, Ohio Valley, Upper 295 296 Midwest, South, Southwest and West regions.

Higher sensitivity of mean change in  $ET_0$  to the choice of  $ET_0$  estimation method than the 297 choice of GCM (Fig. 6) are consistent with those found by Kingston et al. (2009) who showed 298 299 that projected increase in  $ET_0$  varied by more than 100% between  $ET_0$  methods, and Schwalm et 300 al. (2013) who found the choice of  $ET_0$  estimation method is sensitive and even more influential 301 than the choice of GCM in predicting  $ET_0$ . However, neither of these studies looked at the 302 influence of RCP trajectory on ET<sub>0</sub> projections, which increases in future period 2 over future period 1, causing a decrease in the sensitivity coefficient of both GCM and ET<sub>0</sub> method in future 303 304 2. Burke and Brown (2008) evaluated uncertainties in the projection of future drought using several drought indices. They found that there are large uncertainties in regional changes in 305 306 drought and changes in drought are dependent on both index definition and GCM ensemble members. Similarly, our results for the projected change in water deficit vary by region, depend 307 308 strongly on the choice of GCM and ET<sub>0</sub> estimation method, but are relatively less sensitive to 309 RCP trajectory (Fig. 7). These findings are similar to results reported by Orlowsky and

310 Seneviratne (2013) who found that the greenhouse gas emission scenario uncertainty is not as important as differences among GCMs or internal climate variability when predicting 311 Standardized Precipitation Index (SPI) and soil moisture (SMA). However, they also found that 312 uncertainty due to greenhouse gas emission scenario increased in later future periods. Taylor et 313 al. (2013) showed the patterns of changes in future drought were similar between the A1B 314 scenario in CMIP3 and the RCP2.6 trajectory in CMIP5, reinforcing our finding that the choice 315 of RCP trajectory is less important than the choice of GCM and ET<sub>0</sub> estimation method when 316 estimating future water deficit. 317

<mark>318</mark>

Similar to the results of Kay and Davies (2008) and Bae et al. (2011) the results of our

**GSA** show that the choice of  $ET_0$  method has important implications when making future  $ET_0$ 

320 projections and future water deficit projections (Fig. 8). Kingston et al. (2009) recommended the

use of different  $ET_0$  equations to evaluate global  $ET_0$ , and Wang et al. (2015) found that although

322 different methods predict similar future  $ET_0$ , there are important differences in uncertainties due

323 to ET<sub>0</sub> estimation methods and input data reliability. Currently many hydrological models use a

324 single evapotranspiration method for simulation, which may substantially increase the

325 uncertainty and reduce the reliability of future projections. Our results strongly indicate that an

326 ensemble of  $ET_0$  estimation methods should be used to understand potential future water

327 availability and water deficit due to climate change.

Monte Carlo filtering results (Fig. 9 and 10, Table 3) indicate that GCM and ET<sub>0</sub> methods 328 329 both produce statistically significant different wet condition and dry condition histograms in both 330 the Southeast and Northern Rockies and Plains regions for almost all months in both future 331 periods. This indicates that particular GCMs and  $ET_0$  methods tend to systematically produce 332 wet or dry conditions. Some GCMs (i.e. MIROC\_ESM and BCC-CSM (Table 4)) and ET<sub>0</sub> methods (i.e. Priestley-Taylor, Blaney-Criddle, and Kharrufa (Table 5)) predict dry conditions a 333 334 majority of the time for all regions in both future periods. However the remaining GCMs and  $ET_0$  methods project both wetter or drier futures depending on the region and future period. 335 336 Results in Tables 4 through 6 show that for the South, West and Southwest regions drier conditions are predicted a majority of the time in both future periods by all GCMs and RCP 337 338 trajectories, and all  $ET_0$  methods except Hargreaves. For RCP trajectory, P-values indicate the histograms are statistically significantly different in fewer cases than for either GCM or  $ET_0$ 339

method for both future 1 and 2 (Table 3). These results are consistent with the first order
sensitivity coefficients results that showed the RCP trajectory is not as important a factor as

- 342 GCM or  $ET_0$  method in driving differences in future projections, but that the sensitivity to choice
- of RCP trajectory increases in future period 2.

#### GCMs estimate some climate variables, such as temperature, with higher confidence than 344 other variables (Randall et al., 2007). However, for some evapotranspiration estimation methods <mark>345</mark> 346 the effect of temperature on evaporation is smaller than other climate variables (Linacre, 1994; Roderick et al., 2009a, 2009b; Thom et al., 1981). We found that temperature and net radiation 347 from the CMIP5 GCMs show increasing trends over the 2005-2100 time period, while wind 348 349 speed and surface pressure are relatively constant (Fig. S-3). Because we considered various $ET_0$ 350 estimation methods our results include the impacts of the different physics represented in the $ET_0$ 351 methods, the projected changes each of the climate variables contributing to the different $ET_0$

352 methods, and the reliability of the predictions of each variable.

353

#### 354 **5. Conclusions**

355 Future changes in precipitation and evapotranspiration will lead to changes in the hydrologic balance. This study clearly shows that the uncertainty caused by different GCMs, ET<sub>0</sub> 356 357 methods, and RCP trajectories make actionable water resources planning based on climate change projections difficult. Understanding and quantifying how these projected changes vary 358 359 with choice of GCM, ET<sub>0</sub> method and RCP trajectory is important for designing robust ensembles of scenarios to include in future water resources planning. This study assessed the 360 361 future mean change in monthly precipitation, evapotranspiration and water deficit (P-  $ET_0$ ) projected by CMIP5 simulations over the continental US and analyzed the sensitivity of the 362 projected changes to the choice of GCM,  $ET_0$  estimation method, and RCP trajectory. Nine 363 GCMs, ten  $ET_0$  estimation methods, and three RCP trajectories were included in the analyses. 364 365 Variance-based global sensitivity analysis (Saltelli et al., 2010) was conducted in order to 366 determine the relative contributions of the choice of GCMs,  $ET_0$  estimation methods, and RCP trajectory to uncertainty in future prediction. Monte Carlo filtering was used to investigate 367

whether particular GCMs, ET<sub>0</sub> methods, and/or RCP scenarios consistently led to wet or dry
future projections.

The CMIP5 results, when averaged over nine GCMs, ten ET<sub>0</sub> methods, and three RCP 370 371 trajectories, indicate that the West, Southwest, and South US are projected to experience a decrease in annual precipitation, while all other regions of the continental US are projected 372 experience an increase in annual mean precipitation for both future periods 1 and 2. An increase 373 374 in annual mean  $ET_0$  is predicted over the entire continental US for both future periods, with the largest increases in West, South and Southeast. Future water deficit is projected to significantly 375 decrease in the West, Southwest, and South regions of the continental US. A slight decline or 376 negligible change is projected in most other regions. The standard deviations of projected 377 378 changes in P, ET<sub>0</sub> and water deficit are large compared to the mean changes, making actionable water resources planning based on these climate change projections difficult. 379

The global sensitivity analyses showed that projected changes in precipitation are more 380 381 sensitive to the choice of GCM than the choice of RCP trajectory over the entire continental US for both future periods. However, the choice of RCP trajectory becomes more important in future 382 period 2. The most sensitive factor for the future  $ET_0$  projections is the choice of  $ET_0$  estimation 383 method for all regions in both future periods. The first order sensitivity of projected change in 384 future  $ET_0$  to choice of RCP trajectory increases in future period 2 compared to future 1, with a 385 concomitant decrease in the first order sensitivity to the choice of GCM. For projected change in 386 future water deficit the choice of ET<sub>0</sub> method constitutes the dominant source of uncertainty in 387 warmer months (May through September) and the choice of GCM is the dominant source of 388 389 uncertainty in the cooler months (November through March) over all regions except the 390 Southeast where the sensitivity of GCM and  $ET_0$  method are roughly equal throughout the year. Sensitivity of change in future water deficit to RCP trajectory is very small for future period 1, 391 but increased in future period 2. 392

Monte Carlo filtering results indicated that both GCMs and ET<sub>0</sub> methods produced statistically different histograms for wetter or drier future conditions (i.e. larger or smaller mean future water deficit) for almost all months in both future periods. Two GCMs (MIROC\_ESM and BCC-CSM) and three ET<sub>0</sub> methods (Priestley-Taylor, Blaney-Criddle, and Kharrufa) predicted dry conditions a majority of the time for all regions in both future periods; however,

the remaining GCMs and  $ET_0$  methods projected both wetter and drier futures depending on the region.

Results of this study indicate that when predicting the effects of future climate on water 400 401 resources the choice of evapotranspiration method should be carefully evaluated. Rather than the typical practice of using a single  $ET_0$  method to drive a hydrologic model with future climate 402 projections, an ensemble of ET<sub>0</sub> methods should be used in addition to an ensemble of GCMs 403 404 and a variety of RCP trajectories. The GSA methodology adopted here assumed that all the GCMs, ET<sub>0</sub> methods and RCP trajectories used in this study were equally appropriate for use in 405 all US regions (i.e the sensitivity coefficients were evaluated by equally weighting each GCMs, 406  $ET_0$  method and RCP trajectory) which is likely not to be the case. When making future 407 408 projections potential climate change on water resources Reliability Ensemble Averaging (REA) (Giorgi and Mearns, 2002) or Bayesian-based indicator-weighting (Asefa and Adams, 2013; 409 410 Tebaldi et al., 2005) could be used to weight the results of an ensemble of GCMs and ET methods based on how close the retrospective GCM-  $ET_0$  method predictions agree with past 411 412 observations (bias criterion) and how well the future GCM- ET<sub>0</sub> -RCP projections agree with other future GCM- ET<sub>0</sub> -RCP predictions (convergence criterion). 413

This study assumed that  $ET_0$  methods that have been developed and parameterized based on vegetation response to current  $CO_2$  levels and climatic conditions will be valid under future  $CO_2$  levels and climatic conditions. Future research should explore the validity of this assumption by incorporating potential changes in plant transpiration (e.g. stomatal conductance) to changing  $CO_2$  levels into the  $ET_0$  estimation methodologies.

419

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Methods	Equations <sup>1</sup>	Reference
(a) Hargreaves	$ET_0 = 0.0135K_TS_0(T+17.8)\sqrt{\delta_T}$	Hargreaves and
		Allen (2003)
(b) Blaney-Criddle	$ET_0 = p(0.46T + 8.13)$	Xu and Singh
		(2002)
(c) Hamon	$ET_0 = 0.55\delta_T^2 P_t$	Xu and Singh
		(2002)
(d) Kharrufa	$ET_0 = 0.34 pT^{1.3}$	Xu and Singh
		(2002)
(e) Irmak-Rn	$ET_0 = 0.486 + 0.289R_n + 0.023T$	Irmak et al. (2003)
(f) Irmak-Rs	$ET_0 = -0.611 + 0.149R_s + 0.079T$	Irmak et al. (2003)
(g) Dalton	$ET_0 = (0.3648 + 0.07223u)(e_s - e_a)$	Tabari et al.
		(2013)
(h) Meyer	$ET_0 = (0.375 + 0.05026u)(e_s - e_a)$	Tabari et al.
		(2013)
(i) Penman-	$0.408\Delta(R_{m}-G) + \gamma \frac{900}{m} u_{2}(e_{0}-e_{0})$	Allen et al. (1998)
Monteith	$ET_0 = \frac{\Delta + \gamma (1 + 0.34u_2)}{\Delta + \gamma (1 + 0.34u_2)}$	
(j) Priestley-Taylor	$ET_0 = \alpha \frac{\Delta}{\Delta + \gamma} \frac{(R_n - G)}{\lambda}$	Allen et al. (1998)

Table 1. Description of reference evapotranspiration estimation methods used in this study (ET<sub>0</sub>:Reference evapotranspiration).

<sup>1</sup>Variables (estimated from CMIP5 outputs): G: Soil heat flux (assumed 0); γ: Psychrometric constant; T: Average
 temperature; u<sub>2</sub>: Wind speed at 2m surface; e<sub>s</sub>: Saturated vapor pressure; e<sub>a</sub>: Actual vapor pressure; Δ: Slope vapor
 pressure; K<sub>T</sub>: Hargreaves-Samani coefficient; S<sub>0</sub>: Extraterrestrial radiation (estimated by Julian date); δ<sub>T</sub>: Difference
 between maximum and minimum temperature, p: Percentage of total daytime hours (Estimated by Julian date); R<sub>n</sub>:
 Net radiation; R<sub>s</sub>: Solar radiation; P<sub>t</sub>: Saturated water vapor density; u: Wind speed

Model	Institute (country)	Resolutions	Calendar	Reference
(1) BNU-ESM	College of Global Change and Earth	$2.8^{\circ}$ lat $\times$	No leap	Ji et al. (2014)
	System Science, Beijing Normal	$2.8^{\circ}$ lon		
	University (China)			
(2) CSIRO-MK3-6-	University of New South Wales	1.87° lat $\times$	No leap	Rotstayn et al.
0	(Australia)	$1.87^{\circ}$ lon		(2012)
(3) GFDL-CM3	NOAA/Geophysical Fluid Dynamics	$2.0^{\circ}$ lat $\times$	No leap	Guo et al. (2014)
	Laboratory (USA)	$2.5^{\circ}$ lon		
(4) GFDL-ESM2G	NOAA/Geophysical Fluid Dynamics	$2.0^{\circ}$ lat $\times$	No leap	Taylor et al. (2012)
	Laboratory (USA)	$2.5^{\circ}$ lon		
(5) MIROC-ESM	Atmosphere and Ocean Research	$2.8^{\circ}$ lat $\times$	Leap year	Watanabe et al.
	Institute, National Institute for	$2.8^{\circ}$ lon		(2011)
	Environmental Studies, and Japan			
	Agency for Marine-Earth Science and			
	Technology (Japan)			
(6) MPI-ESM-LR	Max Planck Institute for Meteorology	1.87° lat $\times$	Leap year	Block and
	(Germany)	$1.87^{\circ}$ lon		Mauritsen (2013)
(7) MRI-CGCM3	Meteorological Research Institute	$1.12^{\circ}$ lat $\times$	Leap year	Yukimoto et al.
	(Japan)	$1.12^{\circ}$ lon		(2012)
(8) NorESM1-M	Norwegian Climate Centre (Norway)	1.9° lat $\times$	No leap	Bentsen et al. (2013)
		$2.5^{\circ}$ lon		
(9) BCC-CSM1.1	Beijing Climate Center (China)	$2.8^{\circ}$ lat $\times$	No leap	Xiao-Ge et al.
		2.8° lon		(2013)

Table 2. Description of the CMIP5 models used in this study.

Table 3. P-values of Chi-square two sample test for difference among wet condition versus dry

631 condition pdfs Southeast U.S (SE US) and Northern Rockies and Plains (NRP; West North

632	Central) U.S.	(Shaded cells	indicate pdfs are	not statistically	significantly	different at p=0.05)
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			Future 1		Future 2			
Mor	nth	GCM	ET <sub>0</sub>	RCP	GCM	ET <sub>0</sub>	RCP	
	1	0.0000	0.0689	0.3701	0.0000	0.1823	0.1853	
	2	0.0000	0.0889	0.4434	0.0000	0.0269	0.0000	
	3	0.0000	0.0365	0.0306	0.0000	0.0000	0.1339	
	4	0.0000	0.0000	0.6602	0.0000	0.0000	0.0001	
	5	0.0000	0.0000	0.3223	0.0000	0.0000	0.0041	
SE	6	0.0000	0.0000	0.0809	0.0000	0.0000	0.0006	
US	7	0.0000	0.0000	0.2855	0.0000	0.0000	0.0749	
	8	0.0000	0.0000	0.2805	0.0000	0.0000	0.0074	
	9	0.0000	0.0000	0.8646	0.0000	0.0000	0.0044	
	10	0.0000	0.0000	0.0000	0.0000	0.0000	0.0001	
	11	0.0000	0.0001	0.0000	0.0000	0.0001	0.2003	
	12	0.0000	0.0117	0.3083	0.0000	0.0000	0.0000	
	1	0.0000	0.0000	0.1931	0.0000	0.0000	0.0000	
	2	0.0000	0.0000	0.0010	0.0000	0.0000	0.7617	
	3	0.0000	0.0000	0.0538	0.0000	0.0000	0.0769	
	4	0.0000	0.0000	0.7882	0.0002	0.0000	0.8925	
	5	0.0000	0.0000	0.4047	0.0000	0.0000	0.1103	
NRP	6	0.0000	0.0000	0.3839	0.0000	0.0000	0.0000	
I	7	0.0000	0.0000	0.5321	0.0001	0.0008	0.0000	
	8	0.0000	0.0001	0.1544	0.0000	0.0686	0.0000	
	9	0.0000	0.0000	0.4242	0.0000	0.0000	0.2002	
	10	0.0000	0.0000	0.6688	0.0000	0.0213	0.0001	
	11	0.0000	0.0000	0.1334	0.0000	0.0000	0.1948	
	12	0.0000	0.0000	0.7617	0.0000	0.0000	0.6561	

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	GCM	SE	South	West	NR	NE	NW	UM	SW	Ohio
	BNU_ESM	0.575	0.589	0.511	0.367	0.436	0.322	0.467	0.453	0.492
	CSIRO_mk3_6_0	0.489	0.689	0.639	0.547	0.297	0.519	0.381	0.653	0.481
Future	GFDL_CM3	0.414	0.608	0.686	0.419	0.403	0.525	0.383	0.647	0.425
period 1	GFDL_ESM2G	0.731	0.900	0.758	0.453	0.486	0.486	0.397	0.828	0.617
- Drv	MIROC_ESM	0.631	0.594	0.822	0.625	0.636	0.708	0.686	0.658	0.611
condition	MPI_ESM_LR	0.375	0.747	0.694	0.542	0.597	0.611	0.558	0.756	0.575
	MRI_CGCM3	0.494	0.592	0.639	0.400	0.544	0.553	0.350	0.547	0.506
	NorESM1_M	0.492	0.764	0.778	0.475	0.400	0.611	0.475	0.753	0.508
	BCC_CSM	0.728	0.739	0.828	0.642	0.603	0.614	0.564	0.822	0.656
	BNU_ESM	0.608	0.775	0.597	0.400	0.522	0.461	0.478	0.522	0.572
	CSIRO_mk3_6_0	0.367	0.667	0.583	0.528	0.225	0.528	0.433	0.633	0.461
Future	GFDL_CM3	0.467	0.767	0.789	0.461	0.514	0.542	0.508	0.794	0.469
period 2	GFDL_ESM2G	0.722	0.831	0.694	0.478	0.519	0.525	0.397	0.672	0.581
- Dry	MIROC_ESM	0.672	0.686	0.897	0.742	0.731	0.728	0.700	0.739	0.664
	MPI_ESM_LR	0.442	0.800	0.778	0.519	0.542	0.639	0.450	0.800	0.450
	MRI_CGCM3	0.508	0.703	0.581	0.422	0.481	0.528	0.439	0.517	0.556
	NorESM1_M	0.594	0.808	0.722	0.500	0.461	0.550	0.481	0.731	0.594
	BCC_CSM	0.628	0.697	0.875	0.708	0.567	0.708	0.556	0.825	0.603

Table 4. The fraction of future dry conditions over all months by GCM (Future period 1 and 2).

SW         Ohio           1         0.485         0.324           4         0.904         0.769           7         0.713         0.549           6         0.886         0.738           4         0.679         0.580	UM 0.321 0.784 0.457	NW 0.466 0.762	NE 0.309 0.738	NR 0.333	West	South	SE	ET <sub>0</sub>	
1     0.485     0.324       4     0.904     0.769       7     0.713     0.549       6     0.886     0.738       4     0.679     0.580	0.321 0.784 0.457	0.466 0.762	0.309	0.333	0 559				
4       0.904       0.769         7       0.713       0.549         6       0.886       0.738         4       0.679       0.580	0.784 0.457	0.762	0.738		0.557	0.426	0.302	Hargreaves	
7         0.713         0.549           6         0.886         0.738           4         0.679         0.580	0.457			0.840	0.898	0.880	0.738	Blaney_Criddle	
6         0.886         0.738           4         0.679         0.580		0.497	0.494	0.531	0.667	0.818	0.633	Hamon	
4 0.679 0.580	0.636	0.698	0.667	0.636	0.889	0.957	0.883	Kharrufa	Future
0.072 0.000	0.494	0.556	0.512	0.491	0.694	0.673	0.522	Irmak_Rn	period 1
0 0.679 0.556	0.460	0.546	0.485	0.463	0.731	0.722	0.525	Irmak_Rs	-Dry
6 0.509 0.380	0.296	0.426	0.343	0.340	0.583	0.503	0.364	Dalton	condition
0 0.512 0.367	0.290	0.435	0.324	0.346	0.596	0.531	0.367	Meyer	
1 0.676 0.540	0.481	0.525	0.469	0.472	0.694	0.685	0.534	PM	
5 0.753 0.608	0.515	0.590	0.552	0.515	0.750	0.719	0.608	PT	
0 0.537 0.361	0.380	0.491	0.355	0.420	0.605	0.506	0.352	Hargreaves	
0 0.901 0.806	0.830	0.818	0.769	0.877	0.880	0.907	0.765	Blaney_Criddle	
0 0.719 0.574	0.460	0.528	0.491	0.552	0.679	0.861	0.633	Hamon	
2 0.883 0.784	0.682	0.728	0.713	0.704	0.898	0.954	0.883	Kharrufa	Future
3 0.685 0.543	0.503	0.574	0.491	0.494	0.710	0.738	0.515	Irmak_Rn	period 2
8 0.719 0.562	0.478	0.562	0.497	0.485	0.753	0.796	0.534	Irmak_Rs	-Dry
5 0.540 0.373	0.315	0.475	0.358	0.389	0.620	0.596	0.349	Dalton	condition
9 0.546 0.361	0.309	0.488	0.349	0.383	0.630	0.596	0.352	Meyer	
3 0.679 0.528	0.463	0.531	0.485	0.475	0.701	0.744	0.543	PM	
5 0.716 0.608	0.515	0.593	0.562	0.509	0.765	0.784	0.639	РТ	
	0.290 0.48 0.512 0.380 0.830 0.460 0.682 0.502 0.472 0.312 0.302 0.462 0.512	0.435 0.525 0.590 0.491 0.818 0.528 0.728 0.574 0.562 0.475 0.488 0.531 0.593	0.324 0.469 0.552 0.355 0.769 0.491 0.713 0.491 0.497 0.358 0.349 0.485 0.562	0.346 0.472 0.515 0.420 0.877 0.552 0.704 0.494 0.494 0.485 0.389 0.383 0.475 0.509	0.596 0.694 0.750 0.605 0.880 0.679 0.898 0.710 0.753 0.620 0.630 0.701 0.765	0.531 0.685 0.719 0.506 0.907 0.861 0.954 0.738 0.796 0.596 0.596 0.744 0.784	0.367         0.534         0.608         0.352         0.765         0.633         0.883         0.515         0.534         0.349         0.352         0.543         0.543	Meyer PM PT Hargreaves Blaney_Criddle Hamon Kharrufa Irmak_Rn Irmak_Rs Dalton Meyer PM PT	Future period 2 -Dry condition

Table 5. The fraction of future dry condition over all months by  $ET_0$  estimation method and

639 region (Future period 1 and 2).

	RCP	SE	South	West	NR	NE	NW	UM	SW	Ohio
Future	2.6	0.551	0.657	0.665	0.507	0.502	0.543	0.495	0.644	0.553
-Dry	4.5	0.553	0.698	0.739	0.515	0.475	0.554	0.482	0.731	0.556
condition	8.5	0.539	0.719	0.715	0.468	0.491	0.554	0.443	0.665	0.515
Future	2.6	0.516	0.649	0.657	0.486	0.524	0.515	0.465	0.617	0.545
-Dry	4.5	0.490	0.731	0.712	0.510	0.476	0.584	0.494	0.658	0.528
condition	8.5	0.664	0.864	0.803	0.590	0.520	0.637	0.521	0.803	0.577

Table 6. The fraction of future dry condition over all months by RCP trajectory and region (Future period 1 and 2).

# **US Climate Regions**



- Figure 1. US climate regions identified by National Climate Data Center (Adapted from Karl and
- 646 Koss, 1984, <u>https://www.ncdc.noaa.gov/monitoring-references/maps/us-climate-regions.php</u>)



Figure 2. The change in the annual mean (a) P, (b)  $ET_0$ , and (c) P –  $ET_0$  over U.S. All units are mm/day and the change is defined as the mean of 2070-2100 minus that of 1950-2005. These changes are averaged over all GCMs,  $ET_0$  estimation methods, and RCP trajectories.



Figure 3. The standard deviation of the change in the annual mean (a) P, (b)  $ET_0$ , and (c) P –  $ET_0$ over U.S. All units are mm/day and the change is defined as the average of 2070-2100 minus that of 1950-2005. The standard deviations are estimated over all GCMs,  $ET_0$  estimation methods,

and RCP trajectories.



Figure 4. The change of monthly mean water deficit  $(P - ET_0)$  over 9 different regions. Blue

lines represent future 1 period (2030-2060), and red lines represent future 2 period (2070-2100).

Error bars represent one standard deviation of each values. The change is defined as the mean of

660 future periods minus that of retrospective period (1950-2005).



Figure 5. First order sensitivity analysis results of change in precipitation. Solid lines represent
the future period 1 (2030-2060) and dotted lines represent the future period 2 (2070-2100). Blue
lines represent the first order effect of GCMs and green lines represent the first order effect of
RCPs.



669 Figure 6. First order sensitivity analysis results of change in reference evapotranspiration. Solid

670 lines represent the future period 1 (2030-2060) and dotted lines represent the future period 2

- 671 (2070-2100). Blue lines represent the first order effect of GCMs, red lines represent the first
- order effect of  $ET_0$  estimation methods and green lines represent the first order effect of RCPs.



Figure 7. First order sensitivity analysis results of change in P -  $ET_0$ . Solid lines represent the future period 1 (2030-2060) and dotted lines represent the future period 2 (2070-2100). Blue lines represent the first order effect of GCMs, red lines represent the first order effect of  $ET_0$ estimation methods and green lines represent the first order effect of RCPs.



Figure 8. The change in the annual mean  $P - ET_0$  of RCP 4.5 scenario by 10 different

- evapotranspiration methods. All units are mm/day and the change is defined as the mean of
- 683 2030-2060 minus that of 1950-2005. (All results are interpolated to 1 degree \* 1 degree grids and

averaged over 9 different GCMs)



Figure 9. Histograms for projected future 2 wet conditions and dry conditions in the Southeast

688 US by GCM,  $ET_0$  method and RCP trajectory for the month of July.



Figure 10. Histograms for projected future 2 wet conditions and dry conditions in the Northern

692 Rockies and Plains US by GCM, ET<sub>0</sub> method and RCP trajectory for the month of July.

# 694 Appendix A: Supplemental figures



Fig. S-1 The change in the annual mean (a) P, (b)  $ET_0$ , and (c) P –  $ET_0$  over U.S. All units are mm/day and the trend is defined as the average of 2030-2060 minus that of 1950-2005.



Fig. S-2 The standard deviation of the change in the annual mean (a) P, (b)  $ET_0$ , and (c) P –  $ET_0$ over U.S. All units are mm/day and the trend is defined as the average of 2030-2060 minus that of 1950-2005.



**Fig. S-3** Mean maximum temperature, net radiation, wind speed at 2 m surface, and surface

706 pressure of CMIP5 for future period (RCP 8.5).