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GloFAS – global ensemble streamflow forecasting and flood early warning

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Abstract

Anticipation and preparedness for large-scale flood events have a key role in mitigating their impact and optimizing the strategic planning of water resources. Although several developed countries have well-established systems for river monitoring and flood early warning, figures of population affected every year by floods in developing countries are unsettling. This paper presents the Global Flood Awareness System, which has been set up to provide an overview on upcoming floods in large world river basins. The Global Flood Awareness System is based on distributed hydrological simulation of numerical ensemble weather predictions with global coverage. Streamflow forecasts are compared statistically to climatological simulations to detect probabilistic exceedance of warning thresholds. In this article, the system setup is described, together with an evaluation of its performance over a two-year test period and a qualitative analysis of a case study for the Pakistan flood, in summer 2010. It is shown that hazardous events in large river basins can be skilfully detected with a forecast horizon of up to 1 month. In addition, results suggest that an accurate simulation of initial model conditions and an improved parameterization of the hydrological model are key components to reproduce accurately the streamflow variability in the many different runoff regimes of the Earth.

1 Introduction

Weather-driven natural hazards, including storm surges, floods, flash floods, and subsequent mass movements, are the most prominent natural disasters in worldwide statistics (CRED, 2011). 57 % of the reported number of victims in 2011 is associated with so-called “hydrological disasters”. These caused a total economic damage of more than 70 billion US dollars, meaning a 230 % average increase compared to the previous decade (Guha-Sapir et al., 2012). According to the United Nations International Strategy for Disaster Reduction (UN/ISDR, 2002) and statistics from insurance companies, the socio-economic impact of floods is increasing. With steadily rising world

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population, the need for optimizing the use of water resources for drinking water as well as energy production demands more and more technologically driven solutions for controlling water quantity and quality in river systems. In addition, floods can no longer be treated as isolated events, as they are heavily linked with issues such as food insecurity, disease outbreaks and environmental degradation (IFRC, 2011).

With increasing vulnerability and the likelihood of changes in frequency and intensity of future weather extremes (Trenberth et al., 2003), anticipation of severe events is becoming a key element to protect the society and favor timely reaction, thus effectively reducing socio-economic damage. While anticipation is essential at local level, it is equally important on national or trans-national level. The management of the response and aid for major upcoming disasters (e.g. through international organizations) requires a substantial planning and information at different levels. The earlier the planning phase starts, the better preparatory actions, coordination and gathering of information are achieved, thus limiting the consequences of potential humanitarian and economic disasters. While some countries have mechanisms in place to mitigate the effects of natural disasters, the European Union Solidarity Fund (European Commission, 2002) being the main example for Europe, developing countries often struggle through a much longer recovery process. Increasing preparedness can be achieved by flood hazard maps, which are available on national or regional level (Hagen and Lu, 2011; Prinos et al., 2008) as well as on global level (Pappenberger et al., 2012; Winsemius et al., 2012). These static maps can be used to define flood hazard zones, but do not incorporate changes in daily conditions, which require a real-time observing system.

The availability of remote sensing data, such as satellite imagery, has fostered the development of flood detection techniques at global scale (e.g., de Groeve, 2010; Proud et al., 2011; Westerhoff et al., 2012; Wu et al., 2012), that promptly produce overviews of affected areas and improve the management of rescue actions. To increase the preparedness towards floods and in general to water-related hazards, a number of research institutes and national hydro-meteorological services run

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operationally flood forecasting systems, often focused on specific river basins or, most commonly, limited to national boundaries (Alfieri et al., 2012a). Several flood forecasting systems are based on observed river level, while future values are extrapolated through river routing models or by coupling observed rainfall fields into hydrological models. The extension of the forecast horizon beyond the response time of a river basin is enabled by the use of Numerical Weather Predictions (NWP) as input to hydrological-hydraulic models (e.g., He et al., 2010; Hopson and Webster, 2010; Paiva et al., 2012; Thiemiig et al., 2010). Recent review articles by Cloke and Pappenberger (2009) and by Alfieri et al. (2012a) showed the strong potential of using ensemble NWP to further extend the forecasting horizon in early warning systems.

Weather forecasting models are set-up at global scale in different meteorological centers, producing deterministic and ensemble products. Nevertheless, only few attempts have been made so far, to move towards operational systems with coupled hydro-meteorological models producing streamflow predictions at the global scale (Candogan Yossef et al., 2011; see Sperna Weiland et al., 2010; Voisin et al., 2011; Wang et al., 2011) and, to the authors' knowledge, none of these runs operationally with ensemble predictions. **Indeed real-time hydrological modeling requires a large amount of information, including not only static maps describing the surface and sub-surface basin features, but also a long-term balance of water fluxes to give an estimate of the initial conditions, from which the forecast is run.** At the continental scale, the European Flood Awareness System (EFAS) has demonstrated that ensemble flood forecasting and early warning based on critical flood thresholds can be produced also with limited amount of data, by applying probabilistic methods and model consistent climatologies (Bartholmes et al., 2009; Pappenberger et al., 2010b; de Roo et al., 2003; Thielen et al., 2009a).

The aim of this study is to assess the feasibility of transferring methodologies and concepts from the EFAS system to the global scale and to evaluate the system performance at its initial stage, where no model parameter has been specifically calibrated. A Global Flood Awareness System (GloFAS) has been set up jointly between the Joint

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Research Centre (JRC) of the European Commission and the European Centre for Medium-Range Weather Forecasts (ECMWF), and runs operationally on a daily basis since July 2011. GloFAS produces global flood forecasting products which are shown on a password-protected web interface. The system performance is currently being monitored and results are already accessed for research and testing purposes by partner organizations such as the Mekong River Commission (<http://www.mrcmekong.org/>) and the CEMADEN (<http://www.cemaden.gov.br/>), the newly established Brazilian center for monitoring of natural disasters.

2 Data and methods

The GloFAS system is composed by a **very integrated** hydro-meteorological forecasting chain and by a monitoring system which analyzes daily results and shows forecast flood events on a dedicated web platform. An overview of the system structure is shown in Fig. 1.

2.1 Meteorological data

To set up a forecasting system that runs on daily basis with global coverage, initial conditions and input forcing data must be provided seamlessly to every point within the domain. To this end, two products are used. **The first consists of operational ensemble forecasts of near surface meteorological parameters. The second is a long-term dataset consistent with daily forecasts, used to derive a reference climatology.** These products are described in the next sub-sections. They are both computed by the Integrated Forecast System (IFS) of the ECMWF, whose main components (see Fig. 1) are a Data Assimilation System (DAS) and a Global Circulation Model (GCM).

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2.1.1 Daily forecasts

The Variable Resolution Ensemble Prediction System (VarEPS) is the operational ensemble forecasting product of the ECMWF IFS. VarEPS consist of 51-member ensemble global forecasts with 50 perturbed members and one unperturbed control run. The weather forecast component has horizontal grid resolution of about 32 km for 10 days, increasing to 65 km from day 11 to 15 (Miller et al., 2010). The forecast is produced twice per day, at 00:00 UTC and 12:00 UTC. In the GloFAS system, **VarEPS weather forecasts are not handled explicitly.** Forecast values of the predicted meteorological parameters of the 00:00 UTC forecast are processed by the land surface module (HT-ESSEL, see Sect. 2.2.1) of the IFS, which in turn creates the VarEPS runoff fields for the ensemble streamflow prediction.

2.1.2 Reference climatology

The second meteorological product used is ERA-Interim (Dee et al., 2011), the latest global atmospheric reanalysis produced by the ECMWF. The ERA-Interim archive contains 6-hourly gridded estimates of three-dimensional (3-D) meteorological variables, 3-hourly estimates of a large number of surface parameters and other two-dimensional (2-D) fields. It has horizontal resolution of about 80 km, it covers the period from **January 1989** onwards, and continues to be extended forward in near-real time. ERA-Interim makes use of a forecast model, so that information can be extrapolated from locally observed weather parameters to unobserved parameters in a physically meaningful way. ERA-Interim precipitation dataset has been bias corrected using the Global Precipitation Climatology project (GPCP version 2.1 (Huffman et al., 2009)). **Bias corrected precipitation is used as input, together with other ERA-Interim meteorological fields, for an offline long-term HTESSEL simulation (ERA-Interim/Land).** The land surface reanalysis used for this study covers the period from January 1990 to December 2010, providing land surface conditions (e.g. soil moisture) and water fluxes (e.g. evapotranspiration, runoff).

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on an operational basis (Pappenberger et al., 2010b; Thielen et al., 2009a) covering the whole Europe on a 5 km grid.

In the context of global flood modeling, the transformation from precipitation to surface and sub-surface runoff is done by the HTESSSEL module of the IFS. Lisflood global is stripped down to the groundwater and routing procedures and uses surface runoff and sub-surface runoff from HTESSSEL as input fluxes on a resolution of 0.1°. Surface runoff is routed to the outlet of each cell using a four-point implicit finite-difference solution of the kinematic wave equations (Chow et al., 1988). The Global Land Cover 2000 dataset (Bartholomé and Belward, 2005) is used to derive surface roughness coefficients.

Subsurface storage and transport are modeled using two parallel linear reservoirs. The upper zone represents a quick runoff component, which includes fast groundwater and subsurface flow through macropores in the soil. The lower zone represents the slow groundwater component that generates the base flow. As for the sub-surface runoff, all water that flows out of the upper and lower groundwater zones is routed to the outlet of each grid cell within one time step. Runoff produced for every grid cell from surface, upper and lower groundwater zones is routed through the river network using a kinematic wave approach. The river network is taken from the Hydrosheds project (Lehner et al., 2008).

In arid and semiarid regions one can observe a loss of water among the channel reaches. In order to include this effect into the model we use the simplified approach by Rao and Maurer (1996) to simulate transmission losses in a stream. This method uses a power function with two parameters to describe the relationship between inflow and outflow in cells. In a first attempt the yearly average potential evapotranspiration rate is used to fit the transmission loss function. The resulting loss function give emphasis to transmission losses in Africa, the Arabic Peninsula, India, Australia and the southern part of North America whereas discharge in Europe and northern part of Asia remains unaffected. With this approach the model is able to mimic the river-aquifer and

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river-floodplain interaction (e.g. the big Sudan swamps in the Nile River) as well as the influence of evaporation from braided rivers.

2.3 Operational monitoring

Ensemble streamflow predictions (ESP) are run operationally on global scale by feeding VarEPS surface and sub-surface runoff into the Lisflood hydrological model. Although the precipitation input spans 15 days, hydrological simulations are computed for a 45-day time horizon, to account for the delayed routing of flood waves in large river basins, with time of concentration of the order of one month. Initial condition maps to start up the model are first taken from the last available day of ERA-Interim dataset. Initial conditions for subsequent simulations are then extracted from the results of the model run with the VarEPS control run, after the first day of simulation. As this procedure is based on forecast meteorological variables as input, rather than observed, results may possibly drift in time from the reality. Therefore, periodical updating of initial condition maps based on ERA-Interim dataset is foreseen for future system developments.

Resulting ESP maps for each daily time step and ensemble member are compared with reference threshold maps derived from the streamflow climatology, corresponding to return periods of 2, 5 and 20 yr. Summary threshold exceedance maps are calculated accordingly, which show the maximum probability of exceeding the 5 and 20-yr return period within the forecast horizon. In addition, reporting points are chosen at fixed and dynamic locations in the river network where upcoming flood hazard is detected, according to the following two-step procedure.

Fixed points are first selected from a database of about 4000 gauged river stations included in the Global Runoff Data Centre (GRDC, <http://grdc.bafg.de/>) database, where the maximum forecast value of the ESP mean, over the simulation horizon, is above the 2-yr return period threshold.

Dynamic points are then generated to provide similar information in river reaches where no fixed point is available. The following experience-based rules are adopted for

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Indeed, flood events in major rivers are mostly caused by large scale weather systems that are skillfully predicted by state-of-the-art global forecasting models. In addition, when weather systems have smaller or similar size as that of the river basin, spatial shifts of predicted rainfall fields have limited effect on the resulting streamflow at the outlet.

5 With regard to the system performance in quantitative forecasting and early warning, the maximum added value is shown (i) in medium-size river basins, (ii) in those with relatively fast response and (iii) in basins with no definite trend in the seasonal runoff. At the lower boundary of the range of basin size, forecast performance deteriorates quickly with increasing lead time and with decreasing upstream area. Indeed, in these 10 river basins, flood events are caused by small size weather systems which cannot be properly modeled by the current system, as the model space-time resolution is comparatively coarse for their typical hydro-meteorological dynamics. Consequently, on the basis of the analysis performed in this work the authors suggest a lower boundary 15 of 10 000 km² as the minimum upstream area to consider for streamflow predictions provided by the model.

In contrast, in the largest world river basins (i.e., basin area larger than 1 million km²) variations of river discharge occur at slow rates, hence the 1 to 10-day streamflow prediction does not differ substantially from a persistent forecast (i.e. the last observed 20 discharge value). On the other hand, results for these basins show skillful predictions for lead times up to one month, whereas the highest added value compared to persistent forecast is provided for lead times of 10 ÷ 30 days (see Fig. 7). Besides the slow response, large river basins have long memory, so even small errors in model components such as snow accumulation and soil moisture can sum up over long time and 25 induce a considerable bias in the water balance. An accurate estimation of the initial model state is therefore of crucial importance for the overall system performance. This can be achieved by regularly updating the water balance using the latest input data from ERA-Interim reanalysis, to improve the consistency between ensemble forecasts and the climatological warning thresholds.

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This work shows the system setup and skills in its initial stage, that is, no calibration has been performed on the hydrological model behind. This is an important step for future improvements, particularly for a global system which therefore includes the full range of climates and hydrological regimes of the Earth. Results in Figs. 7–8 show the 5 current system potential assuming that the simulated climatology corresponds to the actual river conditions, that is, for a perfectly calibrated hydrological model. The presented research work shows that there is substantial room for improving the current model parameterization, with particular focus on hydrological regimes in arid and cold regions. However, errors coming from the hydrological modeling and from the weather 10 predictions do not sum up linearly in the assessment of the overall system performance. As stated in Sect. 3.1, the main goal of an early warning system is to match the percentile rank of each simulated and observed discharge, rather than minimizing quantitative values. In addition, model capability would also benefit by improved weather forecasts and possibly by the use of input data with longer forecast horizon. In 15 this regard, the use of monthly ECMWF VarEPS forecasts – currently issued twice per week – is envisaged for future system applications.

As a final remark, the current system is based on warning thresholds with fixed probability levels, corresponding to selected return periods. Actual flood risk also depends 20 on the vulnerability of each area. For instance, in little populated areas or in regions with prominent flood defense works, the 100 yr discharge may cause limited economic damage. Conversely in densely populated areas with poor flood protection measures, peak discharges with relatively low return period can cause severe damage. The coupling of hazard and vulnerability maps would be extremely beneficial for this system, in order to rank warnings according to the potential economic damage that floods can 25 cause as well as to the corresponding affected population.

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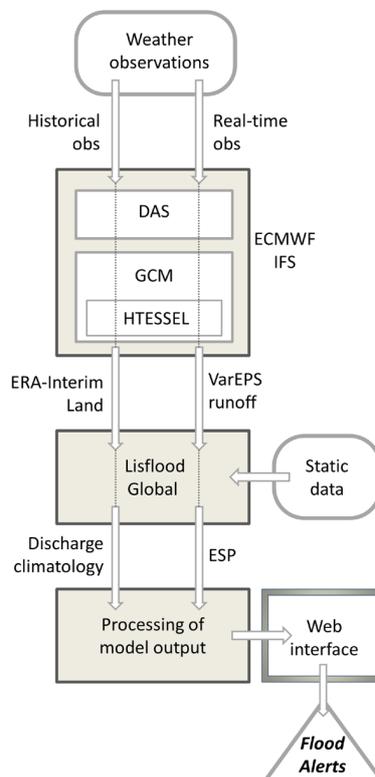


Fig. 1. Overview of the GloFAS structure.

12322

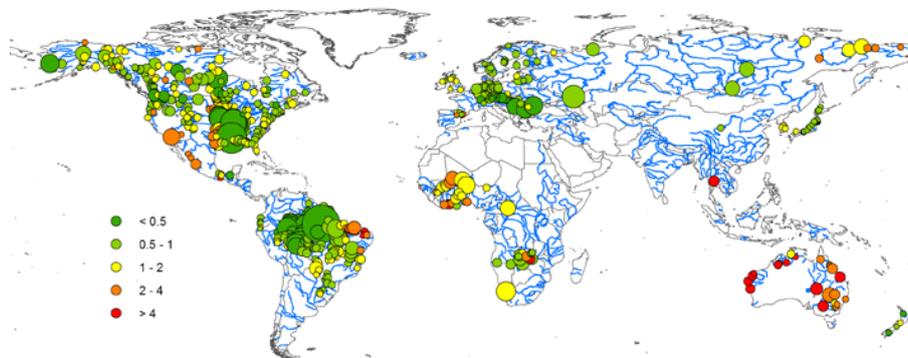


Fig. 2. Coefficient of variation of the estimation residuals for the 620 stations considered. Circle size is proportional to the upstream area of the river station.

12323

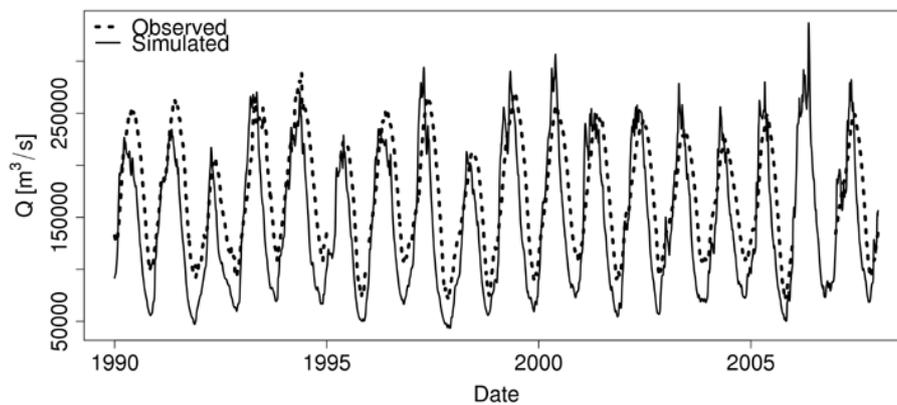


Fig. 3. Comparison between observed and simulated daily average discharge in the Amazon River at Obidos, linigrafo, Brazil.

12324

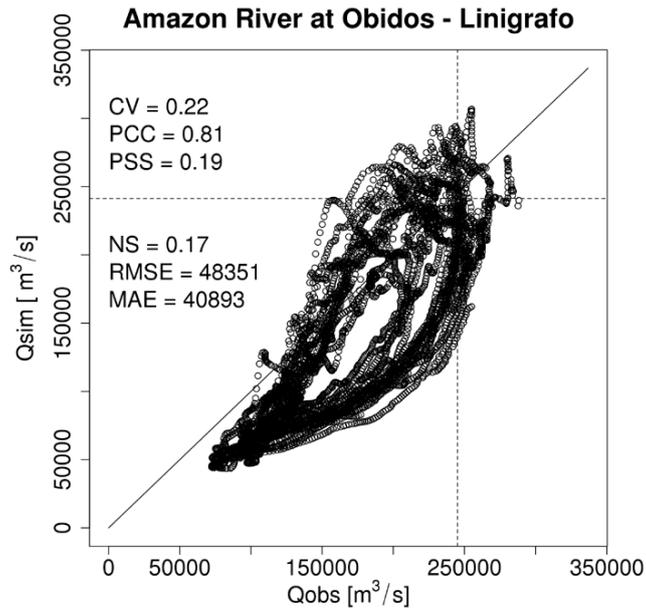


Fig. 4. Scatter plot of observed and simulated daily average discharge (1990–2007) in the Amazon River at Obidos, linigrafo, Brazil. 90th percentiles used for threshold exceedance analysis are shown with dashed lines while skill scores are shown on the left side.

12325

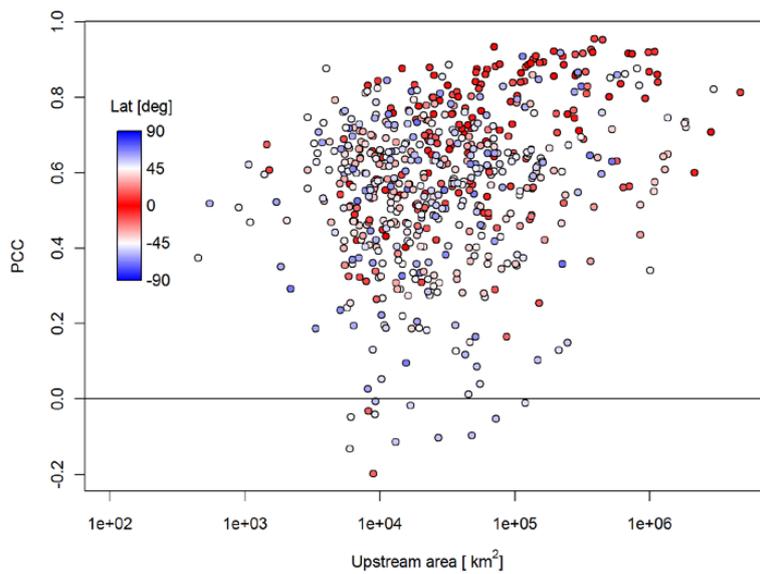


Fig. 5. Pearson correlation coefficient of simulated versus observed discharge for the 620 stations considered plotted against the corresponding upstream area. Circle color depends on the latitude of each river station.

12326

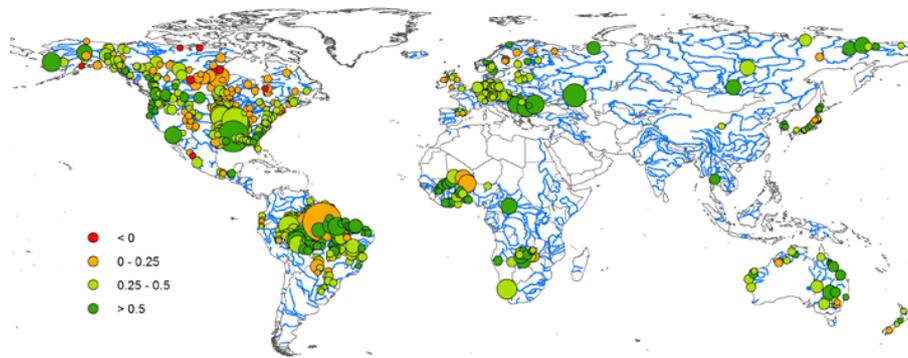


Fig. 6. Peirce's skill score of simulated versus observed discharge for the 620 stations considered. Circle size is proportional to the upstream area of the river station.

12327

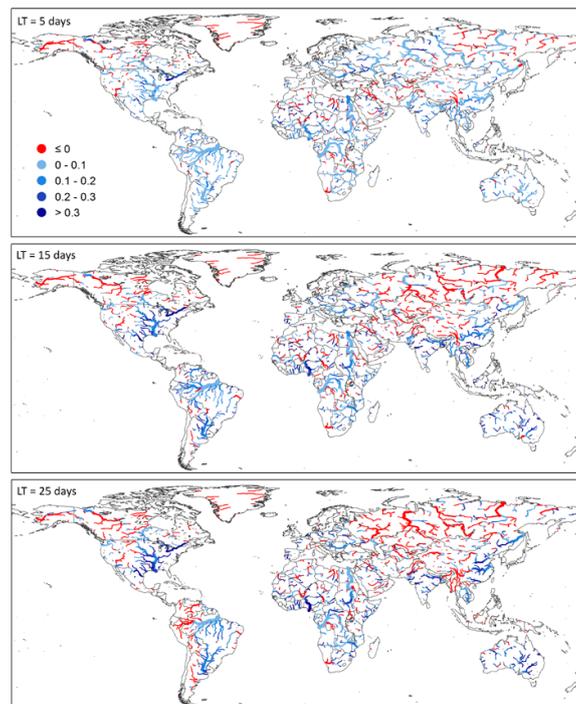


Fig. 7. CRPSS maps of ESP for 2009–2010 against simulated corrected discharge climatology. Panels refer to lead time of 5, 15, and 25 days (top to bottom).

12328

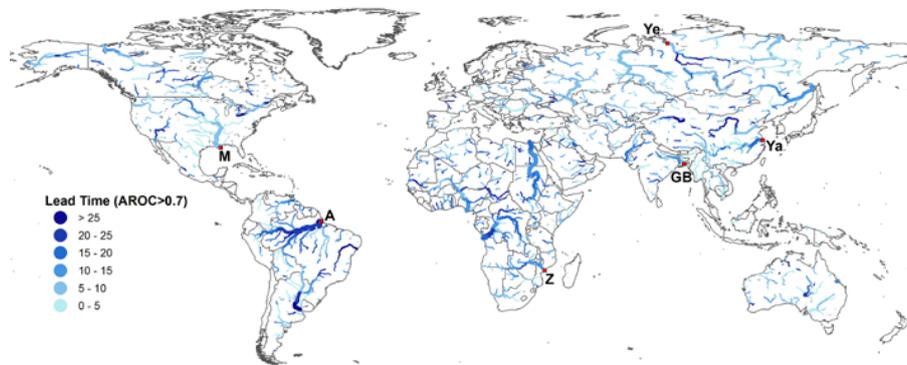


Fig. 8. Forecast lead time, in days, for which ESP are skilful ($AROC > 0.7$).

12329

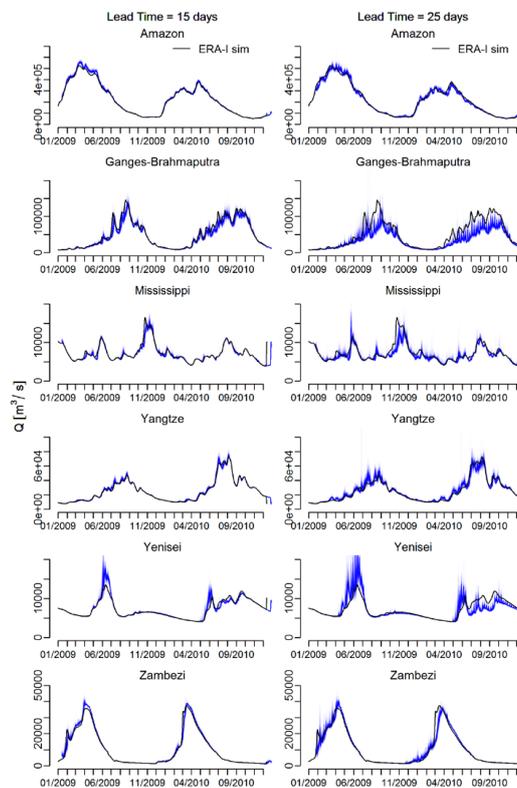


Fig. 9. ESP (blue shades) and corrected discharge climatology (ERA-I sim) at the outlet of six major river basins (see red markers in Fig. 7), for lead time of 15 (left column) and 25 days (right column).

12330

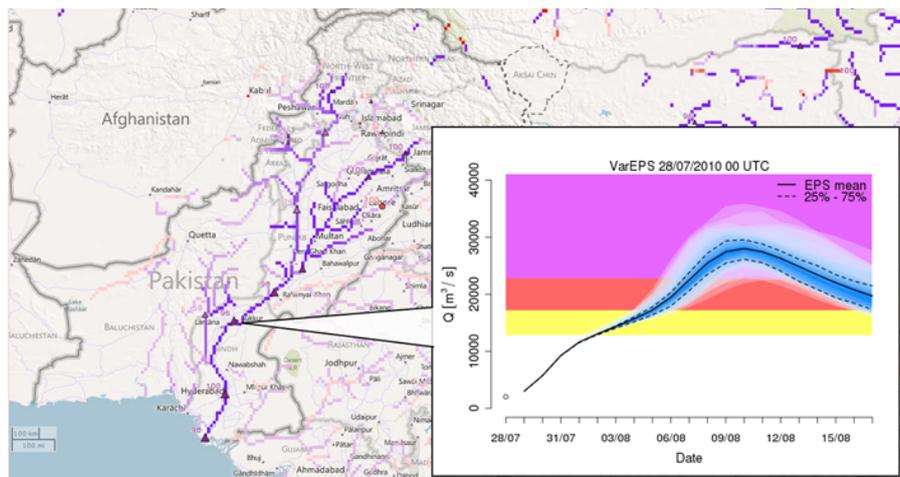


Fig. 10. 20-day ESP on 28 July 2010 for a dynamic reporting point in the Indus River near Sukkur, in Pakistan. The probability of severe threshold exceedance is shown with purple shadings.

12331

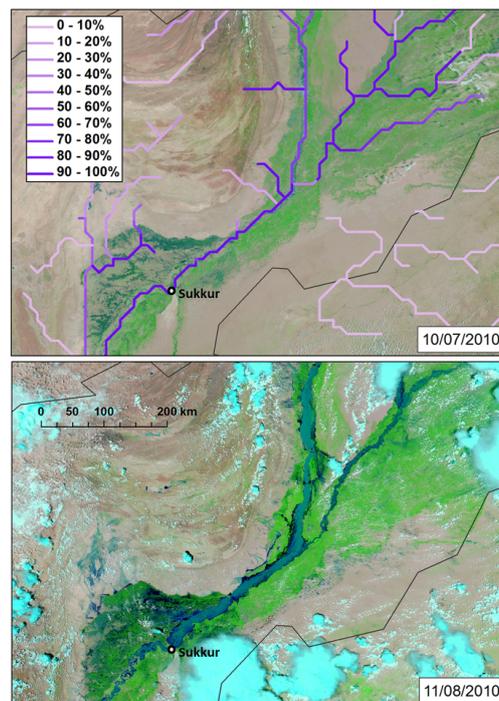


Fig. 11. Satellite images of the Indus River on 10 July 2010 (top) and on 11 August 2010 (bottom). Top panel also shows, with purple shadings, the maximum probability of exceeding the severe threshold in a 20-day forecast range (forecast on 28 July 2010).

12332