

**Depression storage and infiltration effects on overland flow depth-velocity-,
friction at desert conditions: field plot results and model.**

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Abstract

Water infiltration and overland flow are relevant in considering water partition among plant life forms, the sustainability of vegetation and the design of sustainable hydrological models and management. In arid and semi-arid regions these processes present characteristic trends imposed by the prevailing physical conditions of the upper soil as evolved under water-limited climate. A set of plot-scale field experiments at the semi-arid Patagonian Monte (Argentina) was performed in order to estimate the effect of depression storage areas and infiltration rates on depths, velocities and friction of overland flows. The micro-relief of undisturbed field plots was characterized at z -scale 1 mm through close-range stereo-photogrammetry and geo-statistical tools. The overland flow areas produced by controlled water inflows were video-recorded and the flow velocities were measured with image processing software. Antecedent and post-inflow moisture were measured, and texture, bulk density and physical properties of the upper soil were estimated based on soil core analyses. Field data were used to calibrate a physically-based, mass balanced, time explicit model of infiltration and overland flows. Modelling results reproduced the time series of observed flow areas, velocities and infiltration depths. Estimates of hydrodynamic parameters of overland flow (Reynolds-Froude numbers) are informed. To our knowledge, the study here presented is novel in combining several aspects that previous studies do not address simultaneously: 1. Overland flow and infiltration parameters were obtained in undisturbed field conditions; 2. Field measurements of overland flow movement were coupled to a detailed analysis of soil microtopography at 1 mm depth scale; 3. The effect of depression storage areas in infiltration rates and depth-velocity-friction of overland flows is addressed. Relevance of the results to other similar desert areas is justified by the accompanying biogeography analysis of similarity of the environment where this study was performed with other desert areas of the world.

Keywords: Desert Hydrology, Infiltration, Depression Storage, Overland flow.

1 Introduction

The complexity of interactions between overland flow and infiltration has long received attention in hydrological studies. The spatial variability of soil microtopography has been identified as a major determinant of infiltration rates and the hydrological response of

watersheds (Abrahams et al., 1990; Köhne et al., 2009; Darboux et al., 2001). Usual procedures to study run-off-infiltration processes involve the use of hydrological models based on hydrograph records. Although a large body of literature has been devoted to the criteria used to inspect hydrograph records (Ewen, 2011) less attention has been paid to the fact that many hydrological models that can accurately reproduce hydrograph records, produce severely biased estimates of overland flow velocities (Mügler et al., 2011; Legout et al., 2012) or Reynolds-Froude numbers (Tatard et al., 2008).

Infiltration-run-off models need to calculate the overland flow velocity and depth to be able to simulate the flow of water over the land surface. Frictional effects must be accounted for, usually through simplifying equations of the fundamental hydrodynamic laws on continuity and momentum balance involved. To this aim, most field and laboratory studies on overland flow use the Darcy-Weisbach's f and Manning's n (Darboux et al., 2001; Hessel et al., 2003; Li, 2009). Both of these are calculated from the same variables (flow rate, terrain slope, roughness, Reynolds numbers) and both suffer from limitations imposed by the high variability of the represented effects both in space and time. Abrahams et al. (1990) studied Darcy-Weisbach's f for desert hill slopes and found that it varies with the rate of flow. Since the rate of flow is highly variable in space, so too is f . Analogous limitations can be expected in relation to Manning's n .

In the case of arid and semi-arid areas, complex interactions between run-off generation, transmission and re-infiltration over short temporal scales (Li et al., 2011; Reaney, 2008) add difficulties in the estimation of infiltration-overland flows. In these areas, the soil surface is predominantly flat and gently sloped at extended spatial scales, and the excess rainfall moves at the surface of the soil in very shallow structures combining small overland flow areas interspersed with finely ramified fingering patterns of small channels. Infiltration in arid and semi-arid regions can also be modified by the development of water-repellent areas (Lipsius and Mooney, 2006) which in cases occur in relation to soil microtopography (Biemelt et al., 2005).

Composite patterns of overland flow (Parsons and Wainwright, 2006; Smith et al., 2011) can be expected to produce spatially heterogeneous patterns of water infiltration in the upper soil (van Schaik, 2009). Results obtained by Esteves et al. (2000) in laboratory run-off plots, indicate that despite the soil being represented by only one set of parameters, infiltration was not homogeneous all over the plot surfaces. They concluded that the microtopography had a strong effect on observed flow directions, producing small ponds

along the paths of flow and altering the flow depths and velocity fields.

Descroix et al. (2007) observed that catchments and small plot studies in arid regions usually show a so-called “hortonian” trend, with characteristic absence of base flow. This was the case in semi-arid northern Mexico for catchments as large as tens of thousands km²,
5 and for approximately 500 km² in the Western Sierra Madre. Latron and Gallart (2007) working at the Pyrenees determined that there is a strong relationship between the base flow and the extension of saturated areas at the basin scale. In Australia’s semi-arid rangelands, patches of bare soils were determined to be the main run-off controlling factor (Cammeraat 2002, Bartley et al., 2006).

10 Thompson et al. (2011) observed that the lateral redistribution of ponded water generated during intense rainstorms plays an important role in the hydrological, biogeochemical and ecological functioning of patchy arid ecosystems. Lateral redistribution of surface water in patchy arid ecosystems has been hypothesized to contribute to the maintenance of vegetation patches through the provision of a water subsidy from bare sites to
15 vegetated sites (Bromley et al., 1997). A strong control on field-scale infiltration is exerted by the horizontal spatial variability of infiltration properties (Lipsius and Mooney, 2006; van Schaik, 2009). Such infiltration-overland flow processes occur during hortonian run-off events on sloping ground. Surface flow redistribution may also occur on flat ground if the presence of vegetation patches creates contrasts in infiltration rate between dry soil and water
20 saturated areas. Changes in the pattern of surface water re-distribution occur during early stages of desertification (Ares et al., 2003). Several studies indicate that the horizontal movements of the naturally scarce rainfall water on the surface soil in arid regimes contribute to define the spatial pattern of woody and herbaceous vegetation forms as well as the extent of bare soil patches (Aguilar and Sala, 1999; Ares et al., 2003; Borgogno et al., 2009;
25 Dunkerley, 2002).

Water infiltration in the soil has been successfully modelled through various techniques. Some existing models apply numerical solutions to the Richards equation (Radcliffe and Simunek, 2010; Weill et al., 2011) while others use various modifications to the Green-Ampt (Green and Ampt, 1911) model (Gowdiah and Muñoz Cárpena, 2009;
30 Mengistu et al. 2012; Schröder, 2000).

Experiments and models on overland flow have extensively used so-called kinematic wave- reduced solutions to the Saint-Venant (S-V) equations (Mügler et al., 2011; Thompson

et. al., 2011). The numerical solutions of these reductions require the estimation of frictional effects acting on overland flow. Also, in order to construct continuity equations, the average depth of the overland flow must be known as well as its propagation velocity. Although the S-V equations have consistently proved capable of accurately simulating hydrographs at plot scale, most of the assumptions underlying the estimation of frictional forces and flow depth do not hold when applied to overland flows in field conditions (Smith et al., 2007).

Moreover, the choice of roughness models to be used in the S-V equations is most often done in the purpose of increasing the hydrograph quality, while the actual travel time of water is ignored. In extended hydrological practice, frictional factors are usually taken from published tables or assumed spatially constant (Esteves et al., 2000).

Plot experimentation has long been relevant in developing physically based models of water flow (Köhne et al., 2009). The term usually refers to laboratory (Antoine et al., 2011; Dunkerley, 2001, 2002; Legout et al., 2012) or field (Esteves et al., 2000; Mengitsu et al., 2012) studies involving areas in the scale of some few square meters, where water flow conditions can be manipulated with varying success. Plot experiments are often used to obtain estimates of depression storage (DS) (Antoine et al., 2011) in studies of overland flow, in the context of interpreting DS retention effect on hydrograph records (Govers et al., 2000; Hansen, 2000, Darboux et al. 2001). The underlying concept is that DS areas behave as a temporary passive storage of overland flow resulting in a delay in the hydrograph signals. This in turn prompts interest in its direct measurement at the plot scale through geometrical analysis of elevation models (Planchon and Darboux, 2002) or from surface roughness measurements (Antoine et al., 2011).

Comparisons among results obtained in field studies on overland flows at various semi-arid/arid environments might benefit from biogeography analysis of the corresponding experimental sites. Regional similarities as estimated through comparative biogeography (Parenti and Ebach, 2009) result from analyzing spatial and functional patterns of biodiversity, species distribution, and geological history.

This study is part of a larger project at the National Patagonic Centre (Chubut, Argentina) to develop tools for the eco-hydrological analysis of desertification processes. It builds on previous results obtained by Ares et al. (2003) indicating that early desertification processes involve modifications of the overland flow patterns and its distribution among the various vegetation life forms in the Patagonian Monte (Argentina). Here, an experimental protocol of field plot experiments and coupled simulation model to estimate infiltration and

overland flow parameters in the Monte environment is presented. The protocol involves parameters that can be quantitatively estimated with an adequate degree of precision (overland flow velocity, infiltration depth, soil water content, depression storage area) as well as others that can indirectly be estimated through modelling results (surface frictional effect, average overland flow depth, Reynolds-Froude numbers). Specifically, this study addresses: (1) the effect of plot microtopography on infiltration-overland flows, (2) the estimation of overland flow parameters (velocity, depth, friction) needed to characterize water movement at the soil surface. A biogeography analysis of the similarity of the experimental site in this study with other areas of the world is also included to ease eventual comparisons of the results here presented with those obtained in similar studies.

2 Site and field experiments

2.1 Biogeography of the experimental site

The Monte desert is a South American subtropical to warm temperate desert and semi-desert located in western Argentina from 24°15'S to 44°20'S. The study here presented was performed at a representative site of the biome in the area of the Patagonian Monte, a temperate region with a Mediterranean-like rainfall regime produced by the westerlies from the Pacific affected by the rain shadow effect from the Andes (Abraham et al., 2009). Past precipitation records, observed (Haylock et al. 2006) and predicted precipitation anomalies expected under various scenarios of climate change (Carrera et al., 2007) indicate that precipitation events may occur at both high (> 20 mm/day) as well as low (< 3 mm/day) depths. This pattern corresponds to alternative atmospheric mechanisms of rain generation characteristic of the tropical regime prevailing at the northern part of the territory or prevailing winter-rain of the temperate latitudes (Prohaska, 1976). Both types are observable at times along most of the area occupied by the biome. There is a remarkable convergence between the desert areas of North and South America (Monte, Chihuahuan-Sonoran deserts) consisting in the same climate subtypes, the same dominant vegetation and the same combination of biological forms (Morello, 1984 as quoted by Abraham et al., loc. cit.). Similar conditions are extensively found in arid and semi-arid climates worldwide (Mares et al., 1985).

This study was carried out at the wildlife refuge "La Esperanza", (southern portion of the Monte desert) which occupies an area of about 42000 km² in south-eastern Argentina

(León et al., 1998; Soriano, 1950). The mean annual temperature is 13.4° C and the mean annual precipitation is 235.9 mm (1982–2001). The characteristics of the site as representative of the Monte desert are based on the analysis of the dominant vegetation life forms. The local vegetation covers about 40% and 60% of the soil in a random patchy structure with three vegetation layers: the upper layer (1–2 m height) dominated by tall shrubs (*Larrea divaricata*, *Schinus johnstonii* Barkley, *Chuquiraga erinacea* Don., *Atriplex lampa* Gill. ex Moq., and *Lycium chilense* Miers ex Bert.), the intermediate layer (0.5–1.2 m height) also composed by dwarf shrubs (*Nassauvia fuegiana* (Speg.) Cabrera, *Junellia seriphioides* (Gillies and Hook) Mold., and *Acantholippia seriphioides* (A. Gray) Mold.), and the low layer (0.1–0.5 m height) dominated by perennial grasses (*Nassella tenuis* (Phil.) Barkworth, *Pappostipa speciosa* (Trin. and Rupr.) Romasch, and *Poa ligularis* (Nees ex Steud) (Ares et al., 1990; Carrera et al., 2008). Soils are a complex of Typic Petrocalcids–Typic Haplocalcids with a fractured calcium carbonate layer from 0.45 to 1 m below the soil surface (del Valle, 1998). Upper soil texture types (USDA) are sandy or loamy sand.

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2.2 Field experiments

Ten field plot experiments in undisturbed bare soil patches (800×800 mm) along a 540 m, down-sloping (-7.2%) transect from S: -42.17046, W: -64.96343 to S: -42.21366, W: -64.99225 were conducted during the dry season on days with air temperatures in the range 20–32° C. The spatial arrangement of plots was aimed to explore an altitudinal range of variation of soil texture. Water inflow to plots was achieved through a portable, wind protected, single-nozzle system mounted at 30 cm above soil level on a wooden frame and calibrated with a pressure gauge to supply water inflow rates in the range 225–4500 mm³/s to a small area (4.7 ± 5.2%) of each plot that was further neglected for estimations of overland flow velocities. This created two plot sub-regions: one directly receiving the water inflow and another receiving the overland flow resulting from the excess of water input to the former. Plot slopes in the direction of overland flow were: P1: -1.1%, P2: -14%, P3: -2.9%, P4: -7.2%, P5: -7.8%, P6: -1.7%, P7: -2.2%, P8: -5.5%, P9: -11%, P10: -3.0%. (Average: -9.9%, Median: -7.5%). The water inflow range was aimed to simulate flow accumulation values at the spatial scales of this study as estimated with a flow routing algorithm (Tarboton and Ames, 2001). The frame supported a Kodak EasyShare Z712 IS 7.1 MP camera (© Eastman Kodak Company, Rochester, New York) with video mode, at near zenith position over the centre of the plot and about 1 m above ground level to obtain images of the whole

plot area. Video records of the expanding overland wetted areas were obtained during experiments of varying time length (72-1128 s) until the wet front reached some of the borders of the camera image in any direction. This implied a zero-discharge condition (all overland flow was infiltrated within the observed plot areas at the end of the experiments).

5 Soil moisture was evaluated immediately after the water inflow ceased with a TDR (Time-Domain Reflect meter, TRIME®-FM, Ettlingen) pin (50 mm) probe inserted at a proper angle in order to sample the top 30 mm of the soil at equidistant (30-35 mm) points of a grid covering the whole overland flow area and neighbouring dry points. TDR estimates of soil moisture were calibrated with gravimetric estimates ($y = 1.166 x + 0.05$; $R^2 = 0.92$, $n = 101$; x : gravimetric θ estimate) obtained by extracting 2-5 soil cores (\emptyset : 40 mm) along the
10 main axis of the overland flow plumes, at the centre of the directly wetted area and equidistant positions up to the wetted border and control at nearby dry soil (Figure 1). Soil cores were extended to depths 0-30, 30-90 and 90-180 mm, brought to the laboratory in sealed containers and wet-dry (105 °C) weighed for moisture content. Gravimetric moisture
15 was expressed in volumetric terms through correction with soil bulk density measured through the excavation method (ISO 11272, 1998) at a nearby location. Maps of soil moisture in the wetted area at the end of the water inflow period were based on calibrated TDR estimates. Map values were obtained by interpolation of 4-6 closest TDR estimates, based on a search radius algorithm (Idrisi v. 14.02, Clark Labs, Worcester).

20 The texture of the extracted soil core samples was measured with Lamotte's 1067 texture kit (LaMotte Co., Chestertown) calibrated with replicate estimates obtained at two reference laboratories (National Patagonic Centre, National University of the South, Argentina) with the Robinson's protocol (Gee and Bauder, 1986). Parameters corresponding to residual volumetric moisture (θ_r), saturated moisture content (θ_s), saturated hydraulic
25 conductivity (K_{sat}) tortuosity-connectivity (L), and shape of the soil moisture-water head function ($\log_{10}\alpha$, $\log_{10}n$) (Van Genuchten, 1980) corresponding to the obtained soil samples were estimated based on textural data through an ANN (Artificial Neural Net) pedotransfer algorithm (Rosetta v. 1.2, US Salinity Laboratory, Riverside) developed by Schaap and Leij (1998).

30 A methodology (Rossi and Ares, 2012) combining Close-Range Stereophotogrammetry (CRS) and Geo-Statistical Modelling (GSM) was applied to obtain Digital Elevation Models (DEM) (resolution 8.23 ± 0.24 mm, $P < 0.05$, $n = 10$). The coordinates of 9 control points and a minimum of 64 targets obtained through CRS

were loaded to an application (I-Witness v. 1.4, DCS Inc., Bellevue, WA, USA) for ortho-rectification and further processed with a kriging algorithm (Surfer v. 7, Golden Software Inc., Colorado) to obtain plot DEMs and DEM-based flow vector fields. Digital video-images of the overland flowing water plume were selected at regularly spaced time intervals (6-240 s). The spacing of time intervals within this range was selected according to the duration of the experiments. Time intervals were constant ($T_i = 6$ s) for those experiments of short duration with relatively higher water input rates (Plots 1 to 4). In longer experiments with low water input rates (Plots 5 to 10), time intervals (s) were selected according to $T_i = (e^{(0.265 \times ts)}) \times 6$, where ts is the cardinal number of the interval. This resulted in 10-18 images at short intervals during the early stages of fast overland flow and gradually longer intervals during later stages of slow overland flow. Images were then exported to an image processing application (Idrisi v. 14.02, Clark Labs, Worcester), ortho-rectified (RMSE: 7.59 ± 1.3 mm, $P < 0.05$, $n = 10$) and overlaid to their corresponding plot DEM and map of flow vector fields at the same resolution of the DEM. Flow vectors were estimated from the DEM. At any map node flows were in the direction of the steepest descent and the magnitude of the arrow depended on the steepness of the descent. The terrain height change corresponding to the overland flow advance at each time interval was evaluated by averaging the altitude differences between all pixels along the borders of successive images.

Depression storage (DS) (Antoine et al., 2011) areas were identified at each sampling interval (t_{n+1} , t_n) by measuring the area $A(t)_{n+1} - A(t)_n$ advanced by the overland plumes during the n -th interval, discriminating between: 1. area advanced down slope, and 2. Area advanced in counter-slope direction (CSA, Counter-Slope-Advance). CSA estimates were then weighed:

$$w(t) = \frac{A(t)_{(n+1)} - A(t)_{(n)}}{A(t)_{(n+1)}} \quad (1)$$

to correct for great advances at flow start and small advances at flow end, and DS was expressed as the accumulated CSA areas as percent of $A(t)_{n+1}$ (also see Figure 2):

$$DS(t)\% = \frac{\left(\sum_{t=1}^{t=n} CSA(t)_{(n+1)} \times w(t) \right) \times 100}{A(t)_{(n+1)}} \quad (2)$$

3 Model

The changes observed at the overland flow areas and subsurface soil water content at the plots were modelled with a physically based continuity model consisting in a set of non-linear ordinary differential and continuity equations with time variable parameters representing the changes in storages of overland water (Eq. 3) and at the upper vadose zone (Eq. 4):

$$\frac{dQ_o}{dt} = W - IN(t) - O(t) \quad (3)$$

$$\frac{dQ_v}{dt} = IN(t) \quad (4)$$

$$CW = Q_o + Q_v \quad (5)$$

Q_o : Water stored at the overland plume (mm³).

Q_v : Water stored at the upper vadose zone (mm³).

W : Water inflow (mm³/s).

$IN(t)$: Total infiltration flow (mm³/s).

CW : Cumulative water inflow (mm³).

$O(t)$: overland flow (mm³/s).

Note that evaporation was disregarded due to the short duration of the field experiments. While W is time-constant for each single plot experiment, $IN_t - O_t$ can be expected to change with time depending on the effects of soil micro-relief and the physical properties of the surface soil. Further, the overland flow plume includes DS areas where water accumulates during the water inflow period, and other parts where the water inflow is not enough to maintain free water at the soil surface. Accordingly, $IN(t)$ may be expected to result from the composition of flows under both saturated and unsaturated conditions. Saturated conditions occur at DS areas, while both saturated and/or unsaturated infiltration flows can occur at other areas depending on their water content:

$$IN(t) = IN_{sat}(t) + IN_{unsat}(t) \quad (6)$$

$IN_{sat}(t)$: transient, saturated infiltration flow at DS areas (mm³/s).

$IN_{unsat}(t)$: transient, saturated and/or non-saturated infiltration flow at non-DS areas (mm³/s).

The IN_{sat} flow was modelled through a Darcy's law concept, where infiltration was assumed to occur under saturated conditions depending on the gradient of water head between the soil surface and a point at the infiltrating wetting front (see Appendix I):

$$IN_{sat} = K_{sat} \times \left[\psi_f \times \left(\frac{\theta_s - \theta(t)}{F} \right) + 1 \right] \times A_{DS} \quad (7)$$

$$5 \quad F = z_f(t) \times (\theta_s - \theta(t)) \quad (8)$$

K_{sat} : saturated hydraulic conductivity (mm/s).

$\psi_f(\theta)$: suction at the wetting front (mm).

$\theta_s, \theta(t)$: saturation and instantaneous volumetric water content at the wetting front respectively (dimensionless).

$$10 \quad F: \text{accumulated infiltration (mm).}$$

A_{DS} : DS area (mm²).

$z_f(t)$: instantaneous water infiltration depth (mm).

In areas of the overland plume where no DS occurs, water infiltration was assumed to occur under unsaturated conditions (see Appendix I). Replacing in Eq. (7, 8) through
15 corresponding terms:

$$IN_{unsat}(t) = K_h(\theta(t)) \times \left[\psi_f(\theta(t)) \times \left(\frac{\theta(t) - \theta_i}{F} \right) + 1 \right] \times (A(t) - A_{DS}) \quad (9)$$

$K_h(\theta(t))$: variable hydraulic conductivity (mm/s).

θ_i : Antecedent water content of the soil.

$A(t)$: Wet area of overland plume at time t (mm²).

20 $K_h(\theta(t))$ and $\psi_f(\theta(t))$ were estimated through the solution (van Genuchten, 1980) of Mualem's (Mualem, 1976) formulation to predict the relative hydraulic conductivity from knowledge of the soil-water retention curve.

The instantaneous overland water volume was estimated as:

$$Q_o = A(t) \times d(t) \quad (10)$$

25 $d(t)$: average depth of the overland flowing water volume at time t (mm).

flowing in the x - y space, with varying velocity depending on the local altitude gradient along its border, such that $d(t)$ is inversely related to the slope of the underlying soil surface. This

relation would follow Darcy's general form of frictional law (Dingman, 2007; Mügler et al., 2011):

$$d(t) = \left(\frac{W}{C}\right)^2 / S(t, t+dt) \quad (11)$$

C : Proportionality constant.

5 $S(t, t+dt)$: Average terrain height drop around the overland plume during the interval $t, t+dt$ (mm).

where the velocity term is replaced in this case with the water inflow rate W , and $S(t, t+dt)$ was obtained from successive video images of the overland flow overlaid on the plot DEMs. The term C was found through inverse modelling of the continuity Eqs. (3)-(5), solved through
 10 numerical integration with a fourth-order Runge-Kutta approximation, at solution time intervals $0.06 \leq \Delta t \leq 0.3$ s, and an accepted mass balance error threshold $e \leq 1 \times 10^{-6}$ mm³.

3.1 Modelling parameters and variables: calibration and validation

Table 1 summarizes the parameters and variables used to calibrate the model of the field plot
 15 experiments. Model calibration was achieved through trial-error on parameter C and simultaneous calibration on parameters 9-16 (Table 1). In order to restrict the possibility of compensatory artifacts during the calibration process, the quality of the calibration procedure was evaluated through simultaneous convergence to all measured values describing the experimental setting, the morphology of the plot surface soil and the textural-hydrological
 20 properties of the upper vadose zone. The criteria in the case of parameters 1-8 was strict convergence to measured data values. Convergence to parameter 9 (K_s) was pursued within the confidence interval of the ANN estimation process as reported in Shaap and Leij (1998). Variable 10 ($A(t)$) was required to converge to time-serial data as estimated through a Nash-Sutcliffe's Efficiency Coefficient ($EC \geq 0.99$) (Nash and Sutcliffe, 1970). Values of 11-16
 25 were required to converge to:

$$\sum_{i=1, n} (x_i - x_{data})^2 = \min \quad (12)$$

where x_i is the model estimate and x_{data} is the corresponding experimental-measured value. 11-16 were further tested for convergence to data at the inter-plot scale such that their correlation with measured values would be significant at $P < 0.01$ over the set of 10 plots
 30 studied. Variables 12-14 are average values of the corresponding variable over the simulation

time.

Several procedures were used for model validation. Unit consistency and ranges of K_s , $K_h(\theta(t))$, $\psi_f(\theta(t))$, were independently checked through comparison with estimates obtained with CHEMFLO-2000 (Nofziger and Wu, 2003), an application that solves
5 Richards equations in one-dimension to simulate transient water (and chemical) transport in soils.

In order to detect eventual spurious correlation values (Table 2, column 'r') resulting from outlier data points, confidence intervals ($P < 0.05$) of the correlation coefficient r of measured-modelled values 9-16 were built by bootstrapping randomly selected paired 5-plot
10 sets. z_f and θ_{end} values observed in the field were additionally tested for consistency with CHEMFLO-2000 estimates.

Additionally, the following variables were defined based on model outputs:

$$\zeta^* = (\sum_{t=1, n} (O(t)/W(t)))/n \quad (13)$$

ζ^* : mean run-off coefficient

15 where ζ^* is a mean (dimensionless) run-off estimate, of $\zeta = O(t)/W(t)$, including transient depression storage.

$$d^* = (\sum_{t=1, n} d(t))/n \quad (14)$$

d^* : mean $d(t)$ (mm)

$$v^* = (A^*)^{0.5} / t_{end} \quad (15)$$

20 v^* : mean overland flow velocity (mm/s).

Several parameters of the experimental plots which are usually estimated in overland flow studies (Abrahams et al., 1990; Parsons et al., 1994; Dunkerley, 2001) were also computed to ease comparisons of conditions in this study with reported data. As long as basic
25 assumptions underlying their rationale are granted, the Froude number (**F**) relates the velocity of the overland laminar flow to the expected velocity of a gravitational wave, or the ratio of the laminar flow inertia to gravitational forces. Analogously, the dimensionless Reynolds number (**Re**) gives a measure of the ratio of inertial forces to viscous forces for given flow conditions. High Reynolds numbers characterize turbulent flow.

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4 Results

Table 2 summarizes results obtained from the plot experiments and the calibration of their models. The upper vadose zone ($z_f \leq 55$ mm) of all plots showed various combinations of sand, silt and clay fractions within the boundaries of Sandy-Loam and Loamy-Sand USDA textural classes (Parameters 1-3) as well as their basic hydraulic properties (parameters 4-5, 5 9). The values of parameter 6 corresponded to very dry conditions of the upper soil due to the fact that all the plot experiments were performed during the dry season of the local climate regime. The range of water input flows (parameter 7) produced groups of relatively fast and slow overland flow and water infiltration conditions. Calibration values of K_{sat} and 10 parameters 11-16 were significantly correlated with data values. $H_0: a=0, b=1$ was rejected in the case of parameter 16 ($z_{f\ model} = -8.41 + 0.955 \times z_{f\ data}$, $P=1.42E-06$). This is to be expected due to the fact that $z_{f\ data}$ were estimated from soil cores along the main overland flow axes, while $z_{f\ model}$ expresses the average infiltration depth corresponding to the whole wet area. The modeled time series of overland flow areas $A(t)$ (variable 10) were in all cases 15 significantly correlated with the observed areas as indicated by the Nash-Sutcliffe's EC.

Figure 3 shows examples of maps of the distribution of upper vadose zone (0-30 mm) moisture ($\theta_{end, 0-30\ mm}$) at four plots in this study as obtained with the TDR probe at the end of the water inflow period. Depending on the position of the water inflow and the local microtopography, some areas contain moisture at near saturation levels while various levels 20 of unsaturated conditions prevailed at other areas.

Figure 4 shows comparisons of z_f and θ_{end} values as obtained from the CHEMFLO-2000 simulation with estimates from the model in section 3; photographs of soil cores at the water inflow areas are also shown for comparison. Figure 5 shows $A(t)$ values and point and average estimates of DS areas of several plots as measured and estimated with the model of 25 eq. (3)-(5).

No significant correlations were found between the extent of DS areas and other variables at the inter-plot scale. At intra-plot scale (time-serial values corresponding to sampling time intervals at each experimental plot) run-off (ζ) values were variously related to DS (Figure 6) depending on the water inflow. At plots 1-4 (high inflow rate), decreasing 30 relations were observed. Run-off increased with DS estimates at most plots under low water inflow.

Table 3 summarizes theoretical hydrodynamic overland flow parameters of the plot

experiments and Figure 7 shows the relation C-F.

5 Discussion

Plot studies on overland flows sometimes use natural rainfall falling on the plot as water
5 input (Esteves et al., 2000) or a controlled water inflow either through rain simulation over
the plot surface (Abrahams et al., 1990; Biemelt et al., 2005; Mügler et al., 2011), or through
drippers, perforated pipes, etc. at the top of the plots (Dunkerley, 2001, 2003; Hessel et al.,
2003). Further observation is performed on the characteristics (depth, flow velocity, run-off
discharge) of the resulting overland flow. Usually, plot studies also require some disturbance
10 of naturally occurring conditions, like constructing flumes (Esteves et al., 2000; Giménez et
al., 2004), modifying the soil surface to obtain desired flow channels (Mügler et al., 2011) or
simulating the soil surface with technical artifacts (Dunkerley, 2003). Rainfall-run-off plot
experiments where a device is used to generate rainfalls mimicking natural conditions may
also require measuring the water flow at an outlet (Grierson and Oades, 1977; Cerdá et al.,
15 1997). Some of these experimental setups may introduce artifacts affecting to some degree
the characteristics of the overland flows as compared to those under field conditions.

In plot experiments where natural or simulated rain occurs over the plot area the
kinetic energy of the rainfall may modify the overland flow momentum and velocity.
Measured ranges of rainfall kinetic energy all over the world under various methods indicate
20 a range of 12-22 $\text{Jm}^{-2}\text{mm}^{-1}$ for a storm of 10 mm/h (Rosewell, 1986), which is a common
event in semi-desert areas under tropical regime. Aside from potential effects on soil
microtopography (erosion) these energy inputs can reasonably be expected to contribute to
the overland flow momentum balance.

Flume plot experiments (Esteves et al., 2000; Dunkerley, 2004) may introduce
25 various kinds of artifacts. To avoid wall effects, the soil relief must be manipulated in order
to deliver the overland flow to the central part of the flume. In laboratory flume experiments,
the natural soil must be transported and modifications in soil structure necessary follow.
Overland flow studies using technical materials to simulate the soil surface might not
reproduce field conditions.

30 Experiments on overland flow involving the measurement of run-off through an outlet
(a need in computing water mass balances and runoff coefficients) may require modifications

of the soil microtopography to deliver run-off to the outlet. Interflow measurement (Wang et al., 2011) might require sophisticated outlet configurations.

Some of the above mentioned potential limitations of plot studies on overland flow were addressed through the experimental setup in this study. Estimates of overland flow depth, velocity and friction were obtained without manipulation of the microtopography of field plots; overland-infiltration flows were considered simultaneously and the effect of depression storage areas was estimated. Inertial forces acting on the overland flows were small as estimated through **F** numbers (Table 3). Flows were predominantly laminar (see **Re** numbers, Table 3).

The consideration of the soil as a heterogeneous system at physical non-equilibrium (PNE) has been applied to a number of hydrological models (see Köhne et al., 2009 for an extensive review of various forms of published PNE models). In most cases, the emphasis has been focused on the effects of sub-surface soil heterogeneity on infiltration flows. In this study, attention is given to surface soil heterogeneity in the x - y plane and it is hypothesized that infiltration must occur under saturated conditions at DS areas, while both saturated-unsaturated infiltration could occur at non-DS areas. This interpretation is consistent with observations on composite overland flow by Abrahams et al. (1990) on run-off plots in arid southern Arizona (USA) where flow occurred as shallow sheets of water with threads of deeper, faster flow diverging and converging around surface protuberances, rocks, and vegetation.

The results presented in Figure 6 indicate that the effect of DS areas on the overland flow (as estimated through the run-off coefficient) is more complex than expected from considering those as areas of passive transient water storage. The effect of DS areas may result from various transient balances of inflow, overland flow and infiltration. This view is supported by the results of the time serial relations DS- ζ which are predominantly increasing at plots with low rates of water inflow and decreasing at plots with high water inflow. In explaining these trends, it should be considered that after the initiation of a water inflow exceeding the infiltration rate (plots 1-4), DS areas become filled before the underlying top soil reaches saturation. This is reflected in shallow end z_f values (23.7 ± 3.9 mm, see Table 2, #16). The DS effect on overland flow approaches that of a passive storage and results in decreasing DS-run-off relations (Figure 6). In the cases of plots receiving low inflows during longer periods (Plots P5-P10) the water inflow is nearly balanced by the saturated infiltration at DS areas. In these plots deeper z_f values (45 ± 10.1 mm, see Table 2, #16) were observed.

Once the upper soil profile under DS areas becomes saturated, infiltration in DS areas diminishes reaching a low, steady rate (Mao et al., 2008) and the run-off flow increases with increasing DS.

5 Eqs. (11) and (14) allow the estimation of the average overland flow depth d^* once the term C is estimated through inverse modelling of the continuity Eqs. (3)-(5). Although the term C is similar to Chezy frictional constant, it differs from this latter because of replacing flow velocity (v) through water inflow rate (W) in Eq. (11). However, C is indeed a friction descriptor as confirmed through its high correlation with the Froude number (Table 3, Figure 7).

10 Values $d(t) - d^*$ (Table 3) obtained in this study are in a similar scale as those reported by Esteves et al. (2000) and instrumentally measured by Dunkerley (2001) in laboratory conditions and Smith et al. (2011) in field conditions.

6. Conclusions

15 This study gives the following findings about the effects of depression storage and infiltration on overland flow characteristics: **1.** Overland flow properties (velocity, depth, friction) as well as infiltration-overland flow mass balances are consistently modelled by considering variable infiltration rates corresponding to depression storage and/or non-ponded areas. **2.** The effect of DS on run-off varies depending on the local soil balance of water
20 inflow and infiltration, this latter modified by the local microtopography.

A protocol of experiments in undisturbed field conditions and coupled time-distributed modelling to 1-2 above is described. A biogeography-based analysis of the experimental site is given to ease comparisons with experiments on overland flows in other areas of the world.

25

Acknowledgements

This study was supported by grants of Agencia Nacional de Investigaciones Científicas y Técnicas (ANPCyT) PICT 07-1738 and Consejo Nacional de Investigaciones Científicas y Técnicas (CONICET) PIP 1142 0080 1002 01 to J. O. Ares. M. J. Rossi was a doctoral
30 fellow from ANPCyT during 2009-2012.

APPENDIX I

SATURATED-UNSATURATED INFILTRATION

Consider a soil profile at an experimental plot surface (Figure I-1) receiving a continuous water inflow (W). A water front at the soil surface limits a soil area where simultaneous saturated infiltration occurs at ponded areas, and un-saturated infiltration occurs in non-ponded sectors.

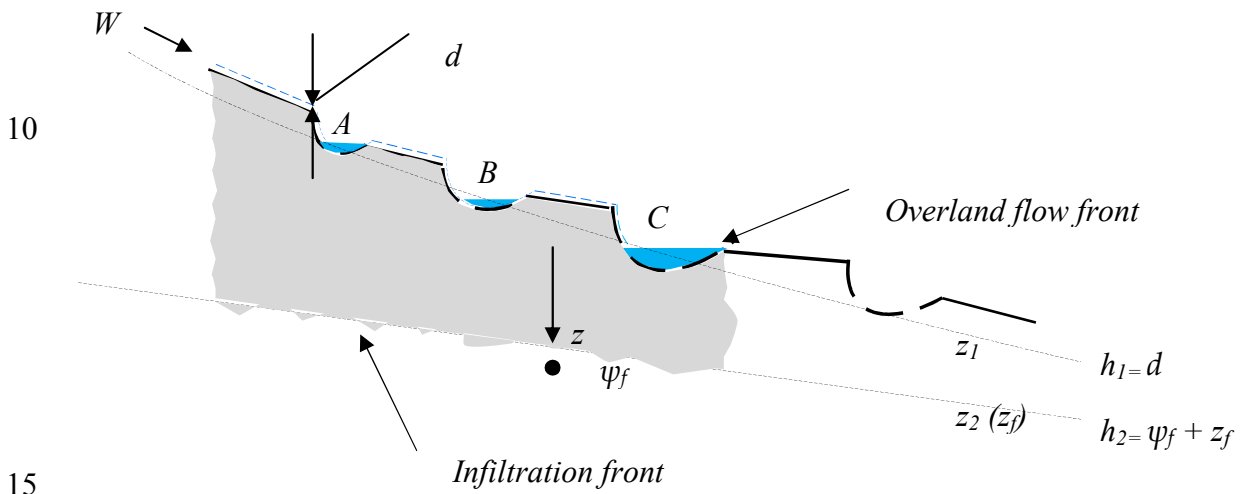


Fig. I-1. Schematic representation of parameters involved in considering infiltration up to depth z_f of a water inflow W on sloping soil with partially ponded depressed areas (A-C) (Also see main text, Figure 2).

Saturated infiltration can be estimated from Darcy's equation:

$$q = K_{sat} \frac{dh}{dz} = K_{sat} \frac{h_2 - h_1}{z_2 - z_1} = K_{sat} \frac{(\psi_f + z_f) - (d + 0)}{z_f - 0} = K_{sat} \frac{\psi_f + z_f - d}{z_f} \quad (I-1)$$

in which,

q = infiltration rate at saturated condition (mm/s),

K_{sat} = saturated hydraulic conductivity (mm/s),

ψ_f = suction at wetting front (mm),

d = the depth of overland flow (mm).

A cumulative depth of infiltration F (mm) is defined:

$$F = z_f (\theta_s - \theta_i) \quad (I-2)$$

where:

- 5 θ_i = initial volumetric moisture content (dimensionless) and
 θ_s = saturated volumetric moisture content (dimensionless),

rearranging (I-2) and substituting into Darcy's equation:

$$q = \frac{dF}{dt} = K_{sat} \frac{\psi_f + \frac{F}{\theta_s - \theta_i} - d}{\frac{F}{\theta_s - \theta_i}} = K_{sat} \left(\psi_f + \frac{F}{\theta_s - \theta_i} - d \right) \frac{\theta_s - \theta_i}{F} \quad (I-3)$$

- 10 Assuming d is small relative to the other terms the previous equation simplifies to:

$$IN_{sat} = \frac{dF}{dt} = K_{sat} \left(\psi_f \frac{\theta_s - \theta_i}{F} + 1 \right) \quad (I-4)$$

which is the Green and Ampt infiltration rate equation. When the soil is not saturated, the infiltration rate can be calculated as in Eq. I-1 substituting into the Buckingham-Darcy equation:

15

$$q = K_h \frac{dh}{dz} = K_h \frac{h_2 - h_1}{z_2 - z_1} = K_h \frac{(\psi_f + z_f) - (d + 0)}{z_f - 0} = K_h \frac{\psi_f + z_f - d}{z_f} \quad (I-5)$$

where $K_h(\theta)$ and $\psi_f(\theta)$ are the variable hydraulic conductivity and the variable suction, respectively.

- 20 Substituting in (I-3) and assuming d is small in relation to the other water potential terms:

$$IN_{unsat} = \frac{dF}{dt} = K_h \left(\psi_f \frac{\theta_{unsat} - \theta_i}{F} + 1 \right) \quad (I-6)$$

where θ_{unsat} is the unsaturated water content of the soil.

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Table 1. Calibration parameters and variables of soil surface and upper vadose and convergence criteria used in tuning a model of the field plot experiments. ANN CI: Confidence interval of the ANN estimation process; r : correlation coefficient, linear regression model-data values ($x_{model} = a + b \times x_{data}$; $H_0: a = 0, b = 1$) over all plot experiments. ($A(t)$): convergence evaluated at 10-15 times during the water inflow period (**: statistical significance at $P < 0.05$).

	Parameter	Convergence criteria	Compartment
1	Sand (%)	Data value	Soil
2	Silt (%)	Data value	Soil
3	Clay (%)	Data value	Soil
4	θ_{sat}	Data value	Soil
5	θ_r	Data value	Soil
6	$\theta_{antecedent}$	Data value	Soil
7	Water inflow W (mm³/s)	Data value	Surf. soil
8	Water inflow period (s)	Data value	Surf. soil
9	K_s (mm/s)	ANN CI - r (**)	Soil
10	Wet Area ($A(t)$)(mm²)	$EC \geq 0.99$ ^a	Surf. soil
11	Total Wet Area (A^*) (mm²)	r (**)	Surf. soil
12	Average run-off velocity (mm/s)	r (**)	Surf. soil
13	Average S (mm)	r (**)	Surf. soil
14	Average DS area (%)	r (**)	Surf. soil
15	θ_{end}	r (**)	Soil
16	End z_f (mm)	r (**)	Soil
17	Water mass balance error (mm³)	$e < 1E-6$ mm ³	Soil

^a Nash and Sutcliffe, 1970.

Table 2. Experimental results and model parameter values.

Parameter, variable	P1	P2	P3	P4	P5	Plots					<i>r</i>	<i>CI</i> ^a
						P6	P7	P8	P9	P10		
1 Sand (%)	80.7	80.8	74.2	71.2	68.4	76.2	70.4	65.5	73.6	76.8		
2 Silt (%)	12.0	10.3	13.8	14.4	14.9	17.3	15.6	20.1	10.4	10.4		
3 Clay (%)	7.3	9.2	12.0	14.4	16.7	6.5	14.0	14.4	16.0	12.8		
4 θ_{sat}	0.38	0.38	0.39	0.38	0.38	0.39	0.38	0.38	0.38	0.38		
5 θ_r	0.04	0.05	0.05	0.05	0.04	0.04	0.05	0.05	0.05	0.05		
6 $\theta_{antecedent}$	0.06	0.05	0.06	0.11	0.05	0.04	0.05	0.05	0.06	0.06		
7 Water inflow W (mm ³ /s)	4500	4500	4500	4500	308	442	450	408	500	225		
8 Water inflow period (s)	72	96	48	72	1128	1026	714	660	792	852		
9 K_s (mm/s)	<i>8.2E-03</i>	<i>1.1E-02</i>	<i>8.2E-03</i>	<i>5.8E-03</i>	<i>5.3E-03</i>	<i>5.3E-03</i>	<i>1.2E-03</i>	<i>2.9E-03</i>	<i>4.5E-03</i>	<i>4.6E-03</i>	<i>0.775</i>	<i>9.0E-02</i>
	1.1E-02	9.7E-03	5.7E-03	4.7E-03	6.2E-03	8.2E-03	4.2E-03	3.6E-03	4.4E-03	5.9E-03		
10 Wet Area ($A(t)$) (mm ²)	<i>0.996^b</i>	<i>0.994</i>	<i>0.996</i>	<i>0.997</i>	<i>0.990</i>	<i>0.990</i>	<i>0.994</i>	<i>0.995</i>	<i>0.999</i>	<i>0.998</i>		
11 Total Wet Area (A^*) (mm ²)	<i>1.5E+05</i>	<i>3.7E+04</i>	<i>5.2E+04</i>	<i>1.4E+05</i>	<i>5.3E+04</i>	<i>5.4E+04</i>	<i>1.1E+05</i>	<i>7.4E+04</i>	<i>7.3E+04</i>	<i>3.2E+04</i>	<i>0.999</i>	<i>2.5E-04</i>
	1.6E+05	3.6E+04	5.1E+04	1.4E+05	5.5E+04	5.3E+04	1.1E+05	7.5E+04	7.3E+04	3.2E+04		
12 Average run-off velocity (mm/s)	<i>5.55</i>	<i>1.96</i>	<i>4.77</i>	<i>5.24</i>	<i>0.20</i>	<i>0.23</i>	<i>0.47</i>	<i>0.41</i>	<i>0.34</i>	<i>0.21</i>	<i>0.999</i>	<i>1.7E-04</i>
	5.56	1.98	4.73	5.25	0.21	0.22	0.47	0.42	0.34	0.21		
13 Average S (mm)	<i>0.3</i>	<i>1.8</i>	<i>4.5</i>	<i>1.8</i>	<i>1.2</i>	<i>2.7</i>	<i>0.5</i>	<i>1.0</i>	<i>2.1</i>	<i>0.3</i>	<i>0.889</i>	<i>2.5E-02</i>
	0.2	1.6	4.6	1.5	1.5	3.4	0.7	1.0	1.8	0.3		
14 Average DS area (%)	<i>3.67</i>	<i>2.7</i>	<i>2.9</i>	<i>0.74</i>	<i>7.36</i>	<i>14.15</i>	<i>10.61</i>	<i>1.28</i>	<i>21.31</i>	<i>16.2</i>	<i>0.862</i>	<i>2.2E-02</i>
	4.72	1.7	2.76	0.28	5.65	13.2	11.99	1.13	19.8	16.8		
15 θ_{end}	<i>0.19</i>	<i>0.21</i>	<i>0.19</i>	<i>0.20</i>	<i>0.18</i>	<i>0.20</i>	<i>0.13</i>	<i>0.13</i>	<i>0.19</i>	<i>0.17</i>	<i>0.810</i>	<i>2.2E-02</i>
	0.19	0.21	0.19	0.20	0.18	0.20	0.13	0.12	0.20	0.17		
16 End z_f (mm)	<i>14</i>	<i>12</i>	<i>13.5</i>	<i>12</i>	<i>36</i>	<i>43</i>	<i>24</i>	<i>37</i>	<i>34</i>	<i>44</i>	<i>0.930</i>	<i>1.6E-02</i>
	23	21	24	18	47	53	28	42	45	55		
17 Water mass balance error (mm ³)	<i>8.E-09</i>	<i>-1.E-09</i>	<i>2.E-10</i>	<i>2.E-10</i>	<i>-1.E-07</i>	<i>6.E-10</i>	<i>6.E-10</i>	<i>2.E-10</i>	<i>-3.E-10</i>	<i>-3.E-10</i>		

All model estimates in bold italics.

^a Confidence interval (**) of the mean of 5 random samples out of 10 values.

^b Nash-Sutcliffe's Efficiency Coefficient (see also Figure 5).

Table 3. Froude (**F**), Reynolds (**Re**) numbers and C , d^* parameter values of the field plot experiments.

		P1	P2	P3	P4	P5	P6	P7	P8	P9	P10
1	F^a	0.096	0.006	0.033	0.051	0.005	0.001	0.012	0.008	0.005	0.005
2	Re^b	8.39	101.77	45.76	16.22	0.19	3.71	0.33	0.84	0.68	0.18
3	C	9.50E+04	4.80E+03	7.60E+03	1.50E+04	3.50E+03	8.60E+02	7.00E+03	4.6E+03	3.20E+03	5.0E+03
4	d[*]	0.34	11.40	2.16	1.09	0.21	3.70	0.16	0.28	0.45	0.19

^a $\mathbf{F} = \mathbf{v}^* / (g \times d^*)^{0.5}$; $g = 9806.65 \text{ mm/s}^2$

^b $\mathbf{Re} = 4 \times \mathbf{v}^* \times d^* / \nu$; $\nu = 0.9 \text{ mm}^2/\text{s}$

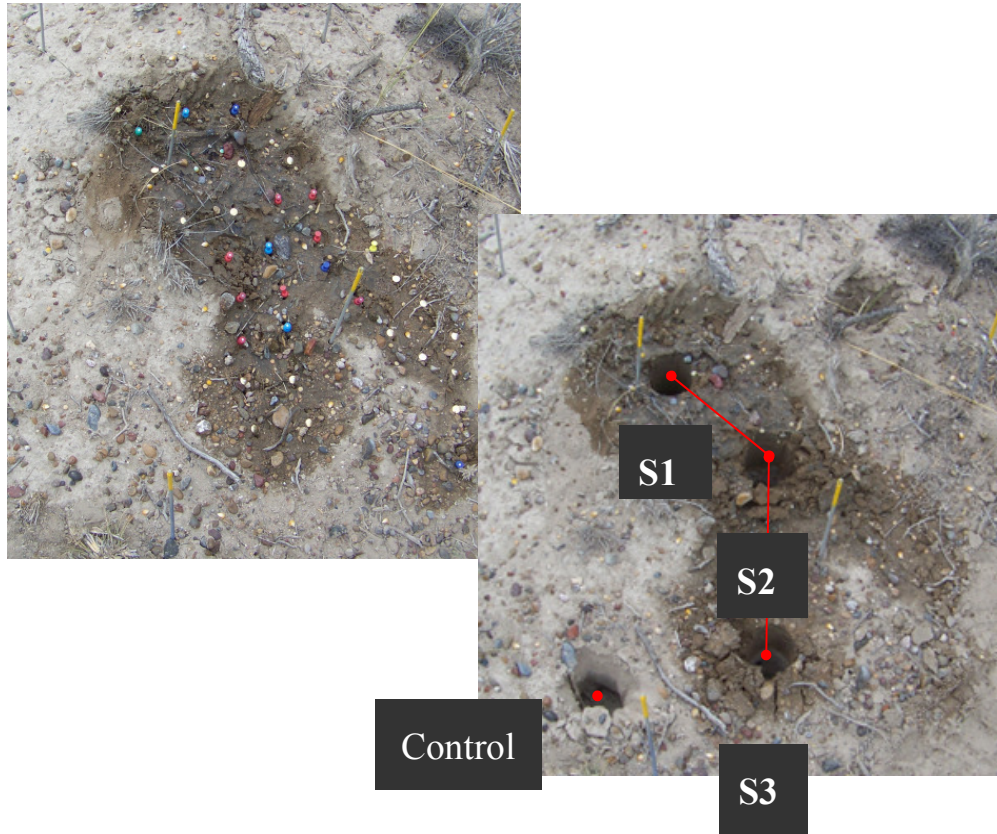


Fig. 1. (left) Overhead view of a field plot in this study at the end of the water inflow period with TDR probe position marks and spikes with control marks used in the CRS-GSM procedure. (Right). Soil cores along the main axis of the overland flow area are already extracted. Flow occurred in the direction S1 to S3.

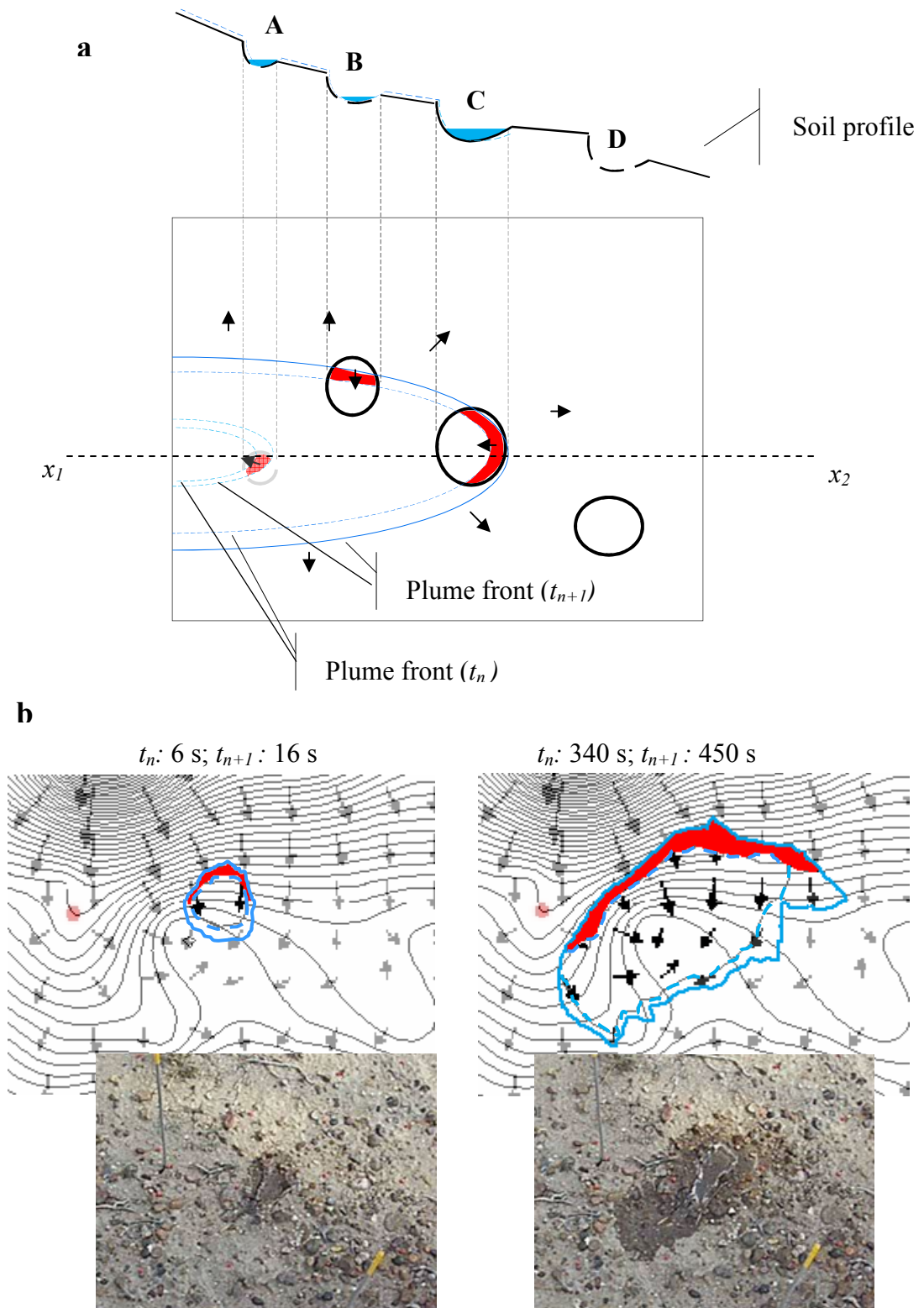


Fig. 2. Estimation of CSA (Counter-Slope-Advance) plume areas in this study. **a.** Local depressions (A-D) along a sloping soil profile at x_1 - x_2 . Advances of an overland plume up to depression C, over totally ponded A and partially ponded B-C. Part of the advances (red areas) were up-slope. **b.** Scene examples (lower insets) of plume advances at Plot #10 at two sampling intervals. Left $t_n: 6\text{ s}$, $t_{n+1}: 16$; right: $t_n: 340\text{ s}$, $t_{n+1}: 450\text{ s}$ after water inflow start, overlaid on the plot DEM (contour interval: 1 mm) with DEM-based flow arrows.

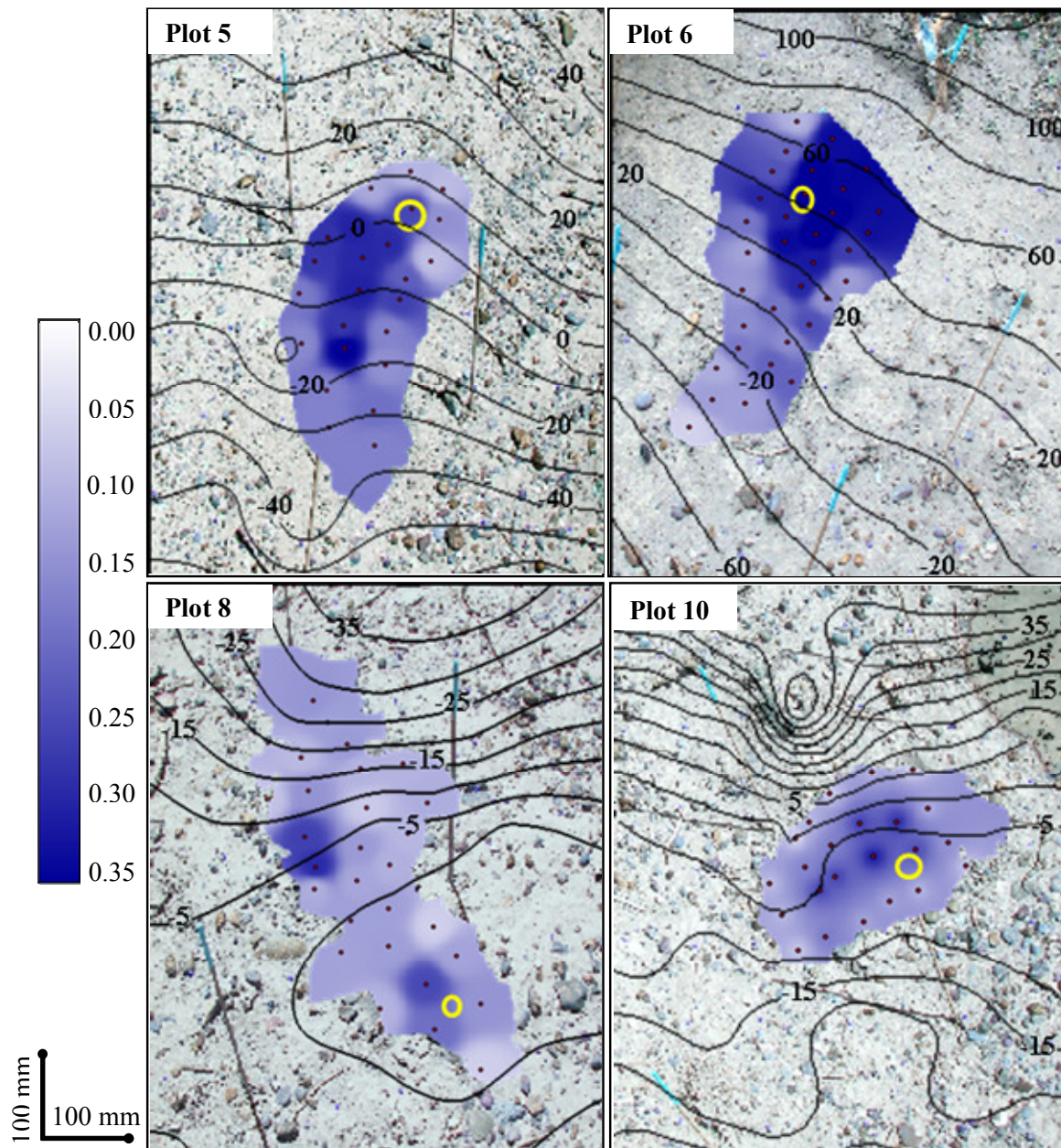


Fig. 3. Upper soil moisture ($\theta_{end, 0-30mm}$) maps of the overland plumes based on TDR probing (red dots) at the end of the water inflow periods at four plots in this study. Yellow circles indicate the water inflow areas. Maps overlay rectified plot photos and corresponding level (mm) contour lines.

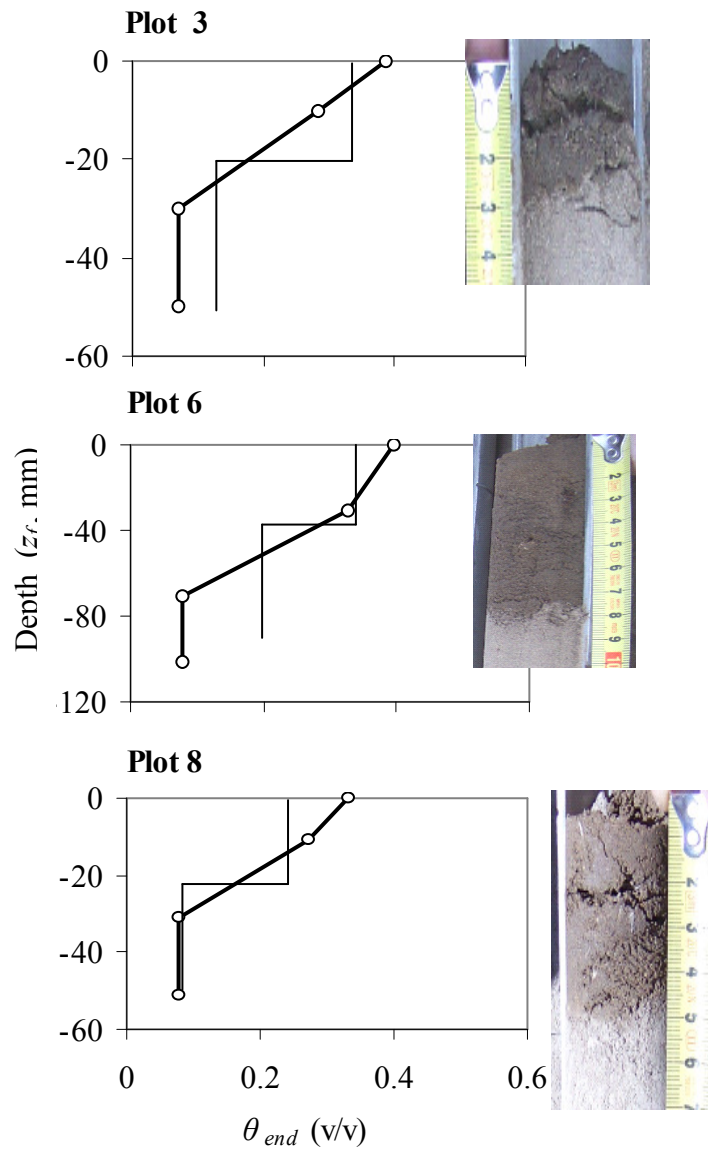


Fig. 4. Comparison of z_f (photo insets) and θ_{end} field soil core data (circle-lines) used as input the model in this study and estimates through CHEMFLO-2000 (lines). Soil core photos obtained immediately after ceasing water inflow are also shown.

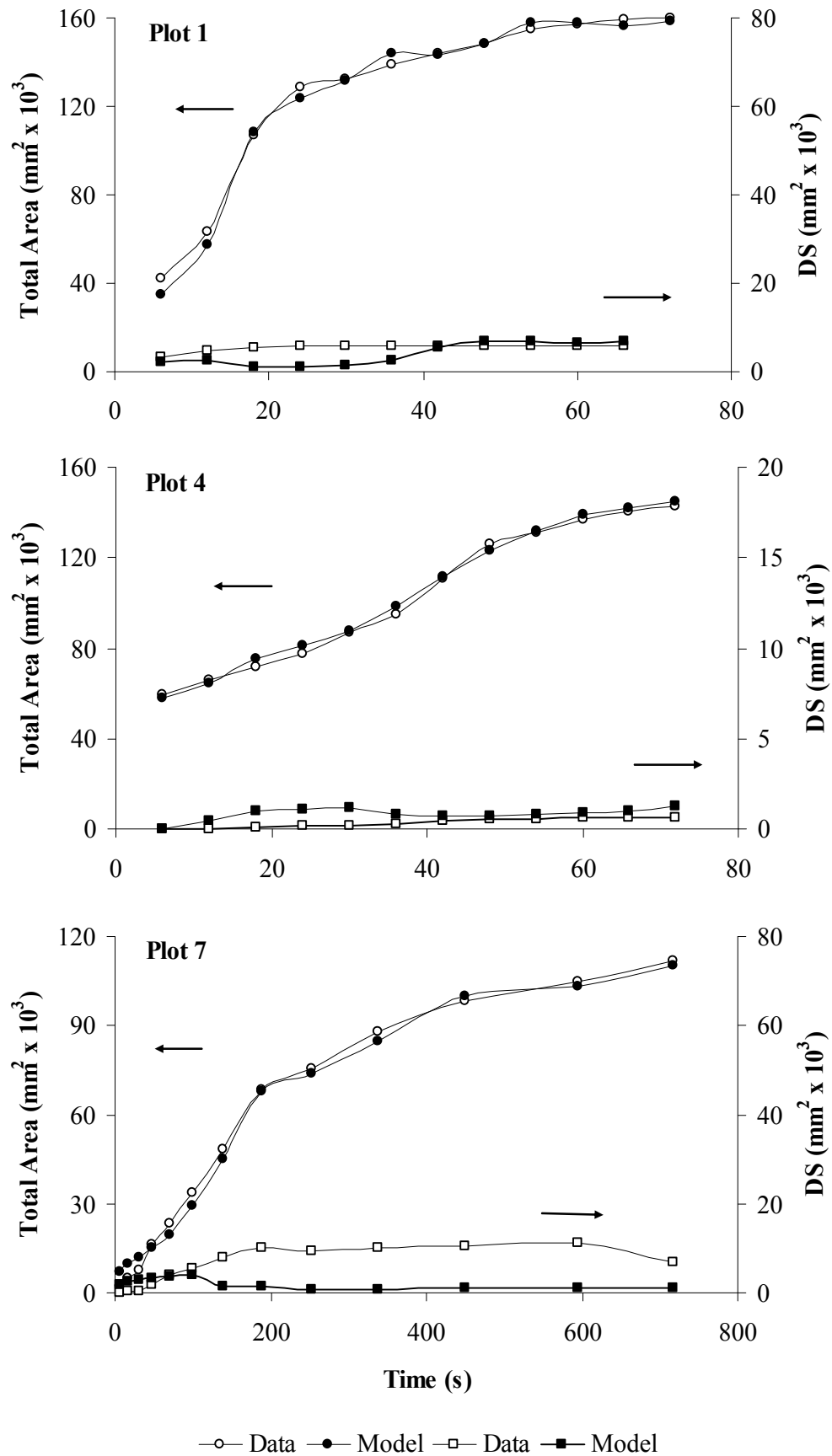


Fig. 5. The progression of overland flow $A(t)$ and DS areas during the water inflow periods in three field plots as estimated from rectified video imagery and the model used in this study.

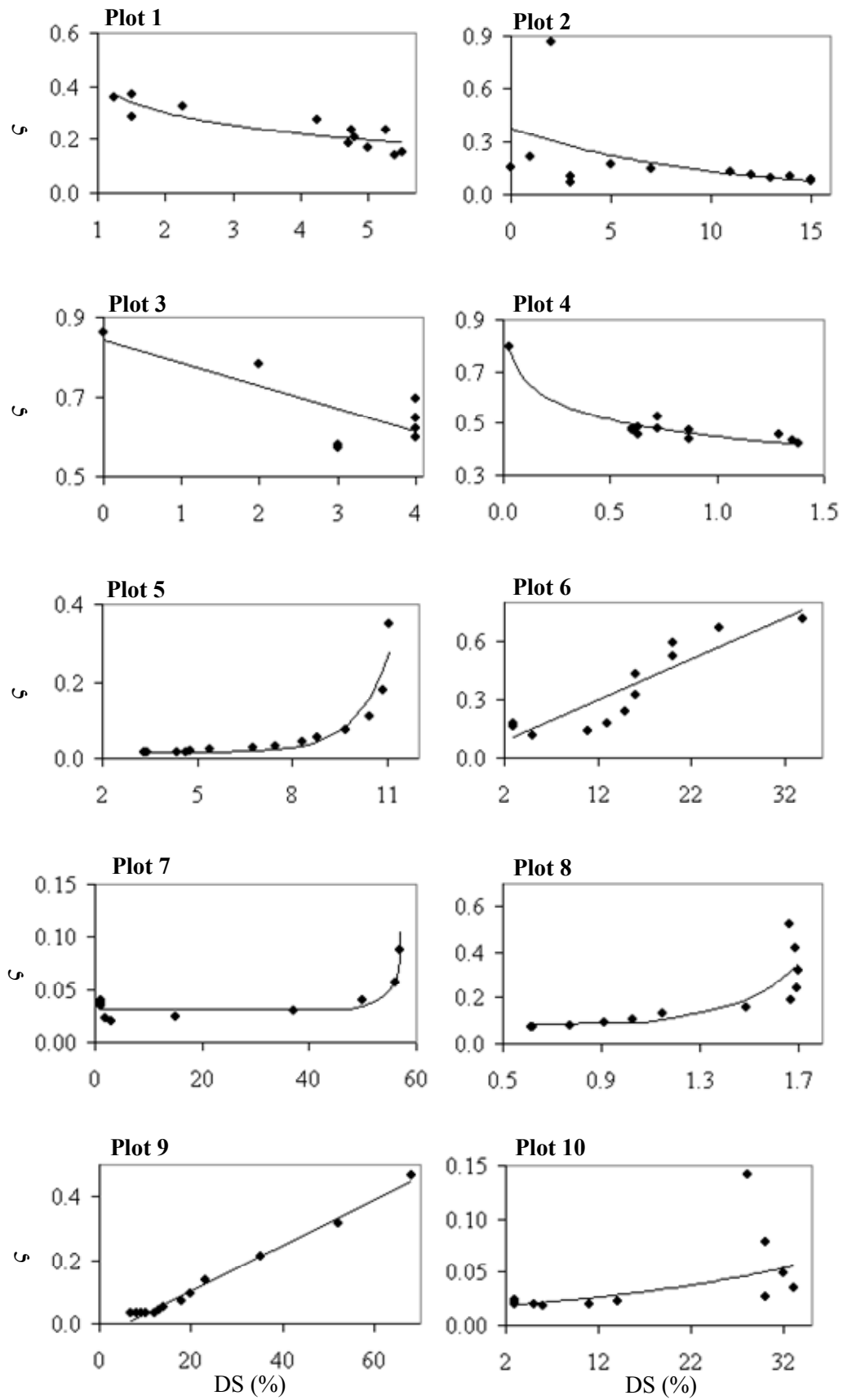


Fig. 6. Intra-plot time-series relations between the estimated DS and the runoff (ζ) coefficient.

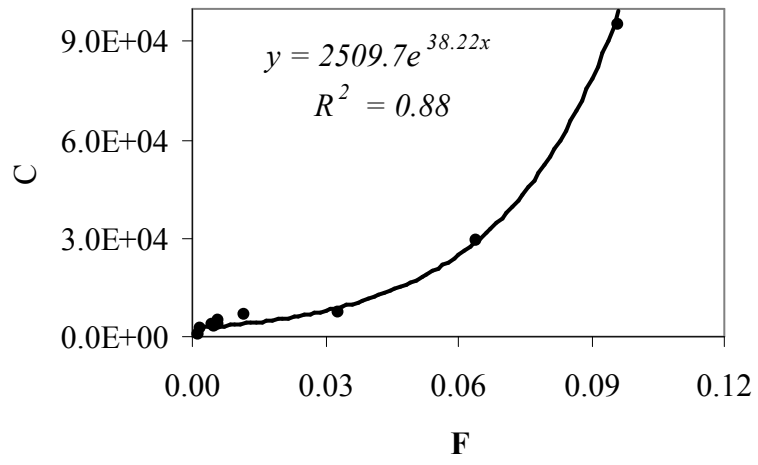


Fig. 7. Inter-plot statistical relations between parameters C-F .