

Response to the comments by Reviewers

We appreciate the efforts and comments the reviewers have made in the reviewing process of our paper. Thanks for the opportunity to revise our paper. Based on the comments by the Reviewers, we have made some changes in the revised manuscript to more clearly present our research findings. We hope these changes and our response below will adequately address the reviewer's concern. Please also note that we have corrected several spelling errors and updated our references. Supplements include revised manuscript and response to the comments by reviewers.

Comments

One of the most important conclusions, that is mentioned in the manuscript, is that parameter better can be transferred from dry to wet than vice versa. I find this, as stated before, counterintuitive and I suspect that the finding is an artifact related to the used objective function. The authors now included two additional objective functions, but I do not agree that these avoid the artifact/bias in a better way. I think this finding would motivate more discussions and analyses, where the effect of different flow conditions (dry/wet) on various objective functions is addressed.

Response:

We have rewritten the discussion and the conclusions parts, which are as following,

--Line 572-585. Credibility of a hydrological model has traditionally been tested using streamflow data from a validation period that is similar to calibration period. The assumption is that the model will be used under conditions similar to those of the calibration. However, when dealing with impact of climate change on streamflow, the assumption is not generally valid and the model needs to be tested under conditions different from those of the calibration. For this purpose, the two hydrological models were evaluated using differential split-sample test (Klemes, 1986). When using a dry period for calibration and a wet period for validation, the models produced more accurate estimates of streamflow (i.e. higher NSE and lower bias) compared with estimates produced using a wet period for calibration and a dry period for validation (see Table 4). Similar results have been reported by Vaze et al. (2010) and the finding can be partly explained by the fact that hydrological models generally perform better in wet periods than in dry periods (Vaze et al., 2010; Gallart et al., 2007, Perrin et al. 2007; Lidén and Harlin, 2000, Gan et al., 1997; Hughes, 1997).

--Line 621-637. Apart from quality of the input data (e.g. rainfall) and model structure, performance of a hydrological model is also dependent on how it is calibrated. If a hydrological model is intended to simulate runoff for a wet climate scenario then it should be calibrated on a wet segment of the historic record. Conversely, if it is intended to simulate runoff for a dry climate scenario then it should be calibrated on a dry segment of the historic record. We also found that when using a dry period for calibration and a wet period for validation, the models produced more accurate estimates of streamflow compared with estimates produced using a wet period for calibration and a dry period for validation. In other words, transferring model parameter values obtained from dry periods to wet periods will result in smaller errors in streamflow estimation

than transferring model parameter values obtained from wet periods to dry periods. The soil related model parameters are more sensitive to the choice of calibration period than other parameters and large uncertainty may be introduced when transferring the soil related parameters to conditions different from the calibration. Our research has implications for hydrological modellers looking to estimate future runoff and we hope this study will stimulate further research into the selection of calibration data.

As we know, a number of factors can affect accuracy of a rainfall-runoff model and these include quality of the input data (e.g. rainfall), model structure, and model calibration. It is also recognized that model accuracy is dependent on hydroclimatic conditions and in general rainfall-runoff models perform better in wet conditions than in dry conditions (Vaze et al., 2010; Gallart et al., 2007, Perrin et al. 2007; Lidén and Harlin, 2000, Gan et al., 1997; Hughes, 1997). Performance of a rainfall-runoff model can be gauged by a number of statistical indices (Hall, 2001) providing different measures of goodness-of-fit of a model to measured runoff. It is acknowledged that no single index is perfect and hence we used four statistical indices in our study to evaluate the model performance. The statistical indices listed in Table 4 indicate that when using a dry period for calibration and a wet period for validation, the model produced more accurate estimates of streamflow compared with estimates produced using a wet period for calibration and a dry period for validation. This conclusion is based on these results and it is not due to “an artifact related to the used objective function” as suggested by the reviewer.

I want to draw your attention to the fact that our finding is consistent with the studies listed below from the literature. I hope the results presented in our paper and these studies provide strong support for our conclusion. It should also be noted that we considered the earlier comments made by the reviewer on this very same issue and addressed the concern by adding two more statistical indices to measure the model performance. The results are consistent and support our conclusion.

Vaze et al. (2010) evaluated four rainfall runoff models in terms of their ability to predict runoff responses to changes in climate inputs. In their assessment of the model performance, they used a combined objective function of the Nash-Sutcliffe efficiency and a logarithmic function of bias. They found that it is more difficult for a model calibrated using data from a wet period to predict runoff over a dry period than vice versa.

Gallart et al (2007) showed that TOPMODEL is suitable for simulating runoff under wet conditions, but not so much under dry conditions. Perrin et al. (2007) also found that drier catchments were more difficult to calibrate.

Lidén and Harlin (2000) evaluated performance of the HBV-96 model using data from four catchments located in Europe, Africa, and South America. Mean annual rainfall, runoff, and potential evapotranspiration of these catchments range from 639 to 2209, 222-1712, and 1650 to 700 mm per year, respectively. The runoff ratio ranges from 0.3 to 0.8 and a higher coefficient of

variation was found in the drier catchments. The HBV -96 model was calibrated using manual, automatic, and Monte Carlo methods with the objective functions defined by the Nash Sutcliffe efficiency and the combined criterion of the Nash Sutcliffe efficiency and the relative volume error. The results of Lidén and Harlin (2000) showed that model performance decreased with increased catchment dryness. They attributed this to higher climatic variability in drier catchments. Generally speaking, evapotranspiration is a smaller proportion of rainfall in wetter catchments and the relative influence of a model error on runoff becomes less in wetter catchments (Lidén and Harlin, 2000).

Gan et al (1997) showed that “*On the whole, dry catchments are more sensitive to the model structure and harder to model than wet catchments. The model performance depends more on the model structure, the objective function used in automatic calibration, and data quality, than on model complexity (or number of parameters) or calibration data length. Also, it seems wet years provide better calibration data than dry years because the former contains more information (especially in terms of peak flows) than the latter.*”

Hughes (1997) evaluated applicability of two conceptual rainfall runoff models and found the models performed better in the wetter catchments. The main reason for the poorer results in the drier catchments is higher spatial rainfall variability, which was not well represented by the models.

References:

Lidén, R. Harlin, J. (2000). Analysis of conceptual rainfall-runoff modeling performance in different climates. *Journal of Hydrology*, 238, 231-247.

Hughes, D.A., (1997). Southern African FRIEND – The Application of Rainfall-runoff Models in the SADC Region, Report to the Water Research Commission by the Institute for Water Research, Rhodes University, WRC Report No. 235/1/97, Grahamstown, South Africa.

Gallart, F., Latron, J., Liorens, P., and Beven, K. (2007). Using internal catchment information to reduce the uncertainty of discharge and baseflow prediction. *Advances in Water Resources*, 30, 808-823.

Gan T Y, Dlamini E M, Biftu G F. (1997). Effects of model complexity and structure, data quality, and objective functions on hydrologic modelling, *Journal of Hydrology*, 192: 81-103.

Vaze J, Post D A, Chiew F H S, Perraud J M, Viney N R, Teng J. (2010). Climate non-stationarity – Validity of calibrated rainfall–runoff models for use in climate change studies, *Journal of Hydrology*, 394: 447-457.

Perrin et al. (2007). Impact of limited streamflow data on the efficiency and the parameters of rainfall-runoff models. *Hydrological Sciences Journal*, 52:1, 131-151.

Comments

The authors should read carefully through their document one more time since there are some spelling mistakes (references etc.).

Response:

We have corrected several spelling errors and updated our references.

--Line 653-655. Boorman D B, Sefton C E M. 1997. Recognising the uncertainty in the quantification of the effects of climate change on hydrological response, *Climatic Change*, **35**: 415-434.

--Line 723-726. Monomoy G, O'Connor, K. M. 2007. Comparative assessment of six automatic optimization techniques for calibration of a conceptual rainfall-runoff model, *Hydrological Sciences Journal – Journal Des Sciences Hydrologiques*, **52**(3): 432-449.

--Line 83. *Boorman and Sefton*. (1997) evaluated effects of climate change on mean runoff

--Line 88. *Monomoy and O'Connor* (2007) used 6 automatic optimisation techniques to calibrate a conceptual rainfall-runoff model

--We have added several references as following:

Gallart, F., Latron, J., Liorens, P., and Beven, K. 2007. Using internal catchment information to reduce the uncertainty of discharge and baseflow predictions. *Advances in Water Resources*, 30, 808-823.

Hughes, D.A. 1997. Southern African 'FRIEND'-the Application of Rainfall-runoff Models in the SADC Region, Report to the Water Research Commission by the Institute for Water Research, Rhodes University, WRC Report No. 235/1/97, Pretoria, South Africa. 69 pp.

Lidén, R. Harlin, J. 2000. Analysis of conceptual rainfall-runoff modeling performance in different climates. *Journal of Hydrology*, 238, 231-247.

Perrin C., Oudin L., Anderassian V., Rojas-serna C., Michel C., and Mathevet T. 2007. Impact of limited streamflow data on the efficiency and the parameters of rainfall-runoff models. *Hydrological Sciences Journal*, 52:1, 131-151.

1 **The transferability of hydrological models under nonstationary**
2 **climatic conditions**

3

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24 **Abstract:** This paper investigates issues involved in calibrating hydrological models
25 against observed data when the aim of the modelling is to predict future runoff under
26 different climatic conditions. To achieve this objective, we tested two hydrological
27 models, DWBM and SIMHYD, using data from 30 unimpaired catchments in
28 Australia which had at least 60 years of daily precipitation, potential
29 evapotranspiration (PET), and streamflow data. Nash–Sutcliffe efficiency (NSE),
30 coefficient of determination (R^2), modified index of agreement (d_1) and absolute
31 percentage water balance error (WBE) were used as performance criteria. We used a
32 differential split-sample test to split up the data into 120 sub-periods and 4 different
33 climatic sub-periods in order to assess how well the calibrated model could be
34 transferred different periods. For each catchment, the models were calibrated for one
35 sub-period and validated on the other three. Monte Carlo simulation was used to
36 explore parameter stability compared to historic climatic variability. The chi-square
37 test was used to measure the relationship between the distribution of the parameters
38 and hydroclimatic variability. The results showed that the performance of the two
39 hydrological models differed and depended on the model calibration. We found that if
40 a hydrological model is set up to simulate runoff for a wet climate scenario then it
41 should be calibrated on a wet segment of the historic record, and similarly a dry
42 segment should be used for a dry climate scenario. The Monte Carlo simulation
43 provides an effective and pragmatic approach to explore uncertainty and equifinality
44 in hydrological model parameters. Some parameters of the hydrological models are
45 shown to be significantly more sensitive to the choice of calibration periods. Our
46 findings support the idea that when using conceptual hydrological models to assess
47 future climate change impacts, a differential split-sample test and Monte Carlo
48 simulation should be used to quantify uncertainties due to parameter instability and

49 non-uniqueness.

50

51 **KEY WORDS:** Hydrological models; nonstationarity; calibration; validation; climate
52 change

53

54 **1 Introduction**

55 Climate change caused by increasing atmospheric concentration of greenhouse gases
56 may have significant effects on the hydrological cycle and water availability, hence
57 affecting agriculture, forestry, and other industries (*Rind et al.*, 1992; *IPCC*, 2007).

58 Changes in the hydrological cycle may mean more floods and droughts, and increased
59 pressure on water supply and irrigation systems. It is important for us to be able to
60 estimate the potential impact of climate change on water resources and develop
61 sustainable management strategies. One of the challenges in predicting hydrological
62 response to climate change is the issue of hydrological nonstationarity (*Milly et al.*,
63 2008). There are numerous factors that can affect hydrological stationarity and these
64 include vegetation responses to elevated CO₂, changes in land use and rainfall
65 characteristics. It is crucial to improve our understanding of the effect of
66 nonstationarity on hydrological assessments of climate change.

67

68 Hydrological models are important tools for predicting the impact of climate change
69 on future water resources and associated socioeconomic impacts. A number of models
70 have been used to evaluate hydrological effects of climate change (*Rind et al.*, 1992).

71 Predicting the hydrological impacts of climate change involves two key steps:

72 downscaling the outputs from global climate models (GCMs) and then running

73 hydrological models. At present, outputs from different GCMs have been used to
74 drive hydrological models for predicting streamflow under a changed climate (Chiew
75 *et al.*, 2009). There are many factors that can affect the accuracy of a rainfall-runoff
76 model in predicting the hydrological responses to climate change, including the
77 particular hydrological model chosen, the GCM used, the optimisation technique
78 employed, and the calibration period of the model. Most researchers usually use an
79 ensemble of these techniques to minimise the uncertainty in predicting climate change
80 impacts. For instance, *Chiew et al.* (1995) used results from 5 separate GCM
81 experiments and reported that, in certain parts of Australia, the GCMs did not even
82 agree on the direction of change in rainfall (i.e. increasing or decreasing rainfall).
83 *Boorman and Sefton.* (1997) evaluated effects of climate change on mean runoff,
84 flood magnitude, and low flow for 3 catchments in UK using 2 conceptual
85 rainfall-runoff models. In their study, they considered 2 climate scenarios and 8
86 climate sensitivity tests. *Minville et al.* (2008) produced an uncertainty envelope of
87 future hydrological variables by considering 10 equally weighted climate projections
88 from a combination of 5 GCMs and 2 greenhouse gas emission scenarios. *Monomoy*
89 *and O'Connor* (2007) used 6 automatic optimisation techniques to calibrate a
90 conceptual rainfall-runoff model, and there have been a number of more recent
91 studies for estimating the impact of climate change on hydrological processes (*Chiew*
92 *et al.*, 2009, *Vaze et al.*, 2010, *Boyer et al.*, 2010). An implicit assumption in all these
93 studies is that rainfall-runoff models calibrated over the historical period are valid for
94 predicting the future hydrological regime under a changed climate and this relates
95 directly to the assumption of hydrological stationarity. However, little has been
96 carried out to test the validity of this assumption.

97

98 Calibration of hydrological models generally involves optimizing model parameters to
99 match measured streamflow using observed rainfall as input. Performance of the
100 model is usually tested using a simple split-sample test, i.e. the model is calibrated for
101 one period of the record and tested for another period. The simple split-sample test
102 may be sufficient for applications where hydroclimatic conditions between the
103 calibration period and validation period are similar. However, when the model needs
104 to be applied to simulate streamflow from periods with different conditions from
105 those in the calibration periods, a more powerful test is required (*Klemes*, 1986, *Xu*,
106 1999, *Seibert*, 2003). In a recent paper, *Andreassian et al* (2009) used crash test to
107 advocate for more comprehensive model testing in hydrology. For predicting the
108 impact of climate change on streamflow, the input rainfall series are varied according
109 to an assumed future climate scenario and this often means different climatic
110 conditions. But is it appropriate to use these models for future climatic conditions
111 when rainfall–runoff relations could be very different to those experienced
112 historically?

113

114 This paper investigates the transferability of hydrological models under nonstationary
115 climatic conditions. We compare results obtained with different hydrological models
116 calibrated under different climatic conditions. The paper first presents two
117 hydrological models chosen for this study – the Dynamic Water Balance Model
118 (DWBM) and the SIMHYD model – and then describes the data used to calibrate
119 them. We describe different methods of applying the data, including a differential
120 split-sample test, a Monte Carlo simulation, and a performance criterion. Finally, we
121 analyse the performance of the models under different calibration conditions and
122 discuss the optimal parameters for each.

123

124 **2 Description of Hydrological Models and Data**

125 Two lumped hydrological models with daily inputs were chosen for this study: the
126 Dynamic Water Balance Model (DWBM) (*Zhang et al.*, 2008) and the SIMHYD
127 model (*Chiew et al.*, 2002), and detailed description of the two models is presented
128 below.

129

130 **2.1 The Dynamic Water Balance Model (DWBM)**

131 The DWBM model used in this study was developed by *Zhang et al.* (2008). It is a
132 lumped conceptual water balance model with two stores: a near surface root-zone
133 store and a deeper zone store (**Figure 1**). The model is based on Budyko's concept of
134 water availability and atmospheric demand (Budyko, 1958) or the concept of "*limits*
135 *and controls*" (*Calder*, 1998). Fundamental to this model is a functional form that
136 represents a smooth transition between supply and demand limits (*Fu*, 1981):

$$137 \quad \frac{E}{P} = 1 + \frac{E_0}{P} - \left[1 + \left(\frac{E_0}{P} \right)^w \right]^{1/w} \quad (1)$$

138 where w is a model parameter ranging between 1 and ∞ . For the purpose of model
139 calibration, we define $\alpha = 1 - 1/w$ so that α varies between 0 and 1. This definition also
140 conveniently associates an increase in α with an increase in evapotranspiration
141 efficiency. P is rainfall and E_0 is potential evapotranspiration at mean annual
142 timescale. More details of this mean annual water balance model are given in *Zhang*
143 *et al.* (2004) and *Zhang et al.* (2008).

144 It is assumed that rainfall $P(t)$ in time step t will be partitioned into direct runoff $Q_d(t)$
145 and catchment rainfall retention:

146
$$P(t) = Q_d(t) + X(t) \quad (2)$$

147 where $X(t)$ is called catchment rainfall retention and is the amount of rainfall retained
 148 by the catchment for evapotranspiration $ET(t)$, change in soil moisture storage
 149 $S(t)-S(t-1)$ and recharge $R(t)$.

150 The demand limit for $X(t)$ is the sum of available storage capacity ($S_{max}-S(t-1)$) and
 151 potential evapotranspiration ($E_0(t)$) and is denoted as $X_0(t)$, while the supply limit can
 152 be considered as rainfall $P(t)$. Following a similar argument to *Budyko* (1958), we can
 153 postulate that:

154
$$X(t)/P(t) \rightarrow 1 \quad \text{as} \quad X_0(t)/P(t) \rightarrow \infty \quad (\text{very dry conditions}) \quad (3)$$

155
$$X(t) \rightarrow X_0(t) \quad \text{as} \quad X_0(t)/P(t) \rightarrow 0 \quad (\text{very wet conditions}) \quad (4)$$

156 The catchment rainfall retention $X(t)$ can be calculated as:

157
$$X(t) = P(t)F\left(\frac{X_0(t)}{P(t)}, \alpha_1\right) \quad (5)$$

158 where $F(\)$ is Fu's curve – equation (1), α_1 is rainfall retention efficiency, i.e., a larger
 159 α_1 value will result in more rainfall retention and less direct runoff.

160 From equations (2) and (5), direct runoff is calculated as:

161
$$Q_d(t) = P(t) - X(t) \quad (6)$$

162 At sub-annual time scales, water availability $W(t)$ can be defined as:

163
$$W(t) = X(t) + S(t-1) \quad (7)$$

164 Combining the definition of $X(t)$ with equation (7), one obtains:

165
$$W(t) = ET(t) + S(t) + R(t) \quad (8)$$

166 While equation (7) defines the source of the water availability, Equation (8)
 167 determines the partitioning. Next define evapotranspiration opportunity
 168 (*Sankarasubramanian and Vogel, 2002*) as $Y(t) = ET(t) + S(t)$, we obtain:

169
$$W(t) = Y(t) + R(t) \quad (9)$$

170 The demand limit for $Y(t)$ can be considered as the sum of potential
 171 evapotranspiration ($E_0(t)$) and soil water storage capacity (S_{max}) and is denoted as $Y_0(t)$,
 172 while the supply limit is the available water $W(t)$. Similar to *Budyko* (1958), we can
 173 postulate that:

$$174 \quad Y(t)/W(t) \rightarrow 1 \quad \text{as} \quad Y_0(t)/W(t) \rightarrow \infty \quad (\text{very dry conditions}) \quad (10)$$

$$175 \quad Y(t) \rightarrow Y_0(t) \quad \text{as} \quad Y_0(t)/W(t) \rightarrow 0 \quad (\text{very wet conditions}) \quad (11)$$

176 The evapotranspiration opportunity $Y(t)$ can be estimated from the following
 177 relationship:

$$178 \quad Y(t) = W(t)F\left(\frac{E_0(t)+S_{max}}{W(t)}, \alpha_2\right) \quad (12)$$

179 Thus groundwater recharge $R(t)$ can be calculated from Equation (9). The next step is
 180 to calculate evapotranspiration $ET(t)$. The demand limit for $ET(t)$ can be considered as
 181 potential evapotranspiration $E_0(t)$ and the supply limit is the available water $W(t)$.
 182 Similar to *Budyko* (1958), evapotranspiration $ET(t)$ can be calculated as:

$$183 \quad ET(t) = W(t)F\left(\frac{E_0(t)}{W(t)}, \alpha_2\right) \quad (13)$$

184 where α_2 is a model parameter, representing evapotranspiration efficiency.

185 Soil water storage can now be calculated as:

$$186 \quad S(t) = Y(t) - ET(t) \quad (14)$$

187 Finally, groundwater storage is treated as linear reservoir, so that baseflow and
 188 groundwater balance can be modelled as:

$$189 \quad Q_b(t) = dG(t-1) \quad (15)$$

$$190 \quad G(t) = (1-d)G(t-1) + R(t) \quad (16)$$

191 where Q_b is baseflow, G is groundwater storage, and d is a recession constant.

192

193 The DWBM model has been applied to 265 catchments in Australia and showed
194 encouraging results (*Zhang et al.*, 2008). The model has four parameters: retention
195 efficiency(α_1); evapotranspiration efficiency(α_2); soil water storage capacity (S_{max}),
196 and baseflow linear recession constant (d). The range of the parameter values is
197 shown in **Table 1**.

198

199 **[Figure 1 and Table 1 here]**

200

201 **2.2 The SIMHYD Model**

202 The SIMHYD model is a lumped conceptual daily rainfall–runoff model (*Chiew et al.*,
203 2002), driven by daily rainfall and PET, which simulates daily streamflow. It has been
204 tested and used extensively across Australia (*Chiew et al.*, 2002; *Siriwardena et al.*,
205 2006; *Viney et al.*, 2008; *Zhang et al.*, 2008; *Zhang et al.*, 2009). **Figure 2** shows the
206 structure of the SIMHYD model and the algorithms controlling how water enters the
207 system from precipitation, flows into several stores, and then flows out through
208 evapotranspiration and runoff. The SIMHYD model has 7 parameters, and the useful
209 ranges of them are shown in **Table 2**.

210

211 **[Figure 2 and Table 2 about here]**

212

213 In the SIMHYD model, daily rainfall is first intercepted by an interception store,
214 which is emptied each day by evaporation. Incident rainfall, which occurs if rainfall
215 exceeds the maximum daily interception, is then subjected to an infiltration function.
216 The incident rainfall that exceeds the infiltration capacity becomes infiltration excess
217 runoff. A soil moisture function diverts the infiltrated water to the river (as saturation

218 excess runoff/interflow), groundwater store (as recharge) and soil moisture store. The
219 saturation excess runoff/interflow is first estimated as a linear function of the soil
220 wetness (soil moisture level divided by soil moisture capacity). The equation used to
221 simulate interflow therefore attempts to mimic both the interflow and saturation
222 excess runoff processes (with soil wetness used to reflect those parts of the catchment
223 that are saturated and from which saturation excess runoff can occur). Groundwater
224 recharge is then estimated, also as a linear function of the soil wetness. The remaining
225 moisture flows into the soil moisture store. Evapotranspiration from the soil moisture
226 store is estimated as a linear function of the soil wetness, but cannot exceed the
227 potential rate (PET minus intercepted water). The soil moisture store has a finite
228 capacity and overflows into the groundwater store, baseflow from which is simulated
229 as a linear recession from the groundwater store. The model has therefore three runoff
230 components: infiltration excess runoff, saturation excess runoff/interflow, and
231 baseflow.

232

233 **2.3 Study Catchments and Data**

234 In this study 30 catchments from Australia were selected with at least 60 years of
235 unimpaired daily streamflow data (**Figure 3**). Unimpaired streamflow is defined as
236 streamflow that is not subject to regulation or diversion. The catchment area ranges
237 from 82 to 1891 km² with mean annual streamflow varied between 53 to 1363 mm.
238 The mean annual precipitation (*P*) ranges from 628 to 2095 mm and annual potential
239 evapotranspiration (*PET*) ranges from 817 to 2098 mm, representing diverse
240 hydrological and climatic conditions. The runoff coefficient varies from 0.08 to 0.65.
241

242 Catchment averaged annual rainfall was estimated from gridded SILO daily rainfall
243 (<http://www.longpaddock.qld.gov.au/silo>, Jeffrey *et al.*, 2001). The SILO Data Drill
244 provides surfaces of daily rainfall and other climate data interpolated from point
245 measurements made by the Australian Bureau of Meteorology. The spatial resolution
246 of the gridded daily rainfall data is 0.05 degrees based on interpolation of over 6000
247 rainfall stations across Australia. The interpolation uses monthly rainfall data,
248 ordinary kriging with zero nugget, and a variable range. Monthly rainfall for each 5 ×
249 5 km grid cell was converted to daily rainfall using daily rainfall distribution from the
250 station closest to the grid cell (Jeffrey *et al.*, 2001). The daily time series of maximum
251 and minimum temperatures, incoming solar radiation, actual vapour pressure, and
252 precipitation at 0.05 × 0.05 (~ 5 km × 5 km) grid cells from the SILO Data Drill
253 (<http://www.longpaddock.qld.gov.au/silo>) were used.

254

255 Potential evaporation was calculated using the Priestley-Taylor equation (Priestley
256 and Taylor, 1972) for each catchment with the Priestley-Taylor coefficient set to 1.26
257 following Raupach (2000). In the calculation, the available energy was taken as equal
258 to the net radiation by neglecting ground heat flux. The net radiation was calculated
259 from the incoming global shortwave and longwave radiation, surface albedo, surface
260 emissivity, and surface temperature as described by Raupach *et al.* (2001).

261

262 Daily streamflow data were obtained from the Australian Land and Water Resources
263 Audit project (Peel *et al.*, 2000) and have been quality checked. Firstly, data quality
264 codes were checked for any missing and poor-quality data as most gauging stations
265 provide numerical codes indicating quality of streamflow data. Missing streamflow
266 data were infilled by interpolating streamflow values at previous and following days.

267 Secondly, time series of daily rainfall and streamflow were plotted to identify any
268 inconsistency and recording errors in the data (e.g. spikes, same streamflow value for
269 a long period of time). The quality checks are to ensure good quality streamflow data
270 are used in the study.

271

272

[Figure 3 here]

273

274 **3 Methods**

275 **3.1 Differential Split-sample Test**

276 In general, hydrological models rely on stationary conditions (*Xu, 1999*). Usually,
277 model calibration requires a split-sample test, where the model is calibrated during
278 one climatic period and validated on another independent period. The split-sample test
279 is the classical test, being applicable to cases where there is sufficiently long time
280 series of the climatic data for both calibration and validation and where the catchment
281 conditions remain unchanged, i.e. stationary (*Refsgaard and Storm, 1996*). This test
282 gives an indication how the model might perform for an independent period having
283 similar conditions. Unfortunately, this test is unable to guarantee the applicability of
284 hydrological models under nonstationary conditions (*Xu, 1999; Henriksen et al.,*
285 *2003*).

286

287 In order to try to answer the question of whether the transfer of parameter values from
288 the present-day climate to a future climate is justified, the ‘differential split-sample
289 test’ proposed by *Klemes (1986)* was considered, in which the hydrological model is

290 tested on calibration and validation periods under contrasting climatic conditions. In
291 this case, different sub-periods are chosen with different historical rainfall conditions.

292

293 In this study, different periods with various climatic conditions were identified. First
294 of all, we calculated annual and mean annual precipitation over the whole period of
295 record for each catchment. Then sub-periods with consecutive annual precipitation
296 greater than the mean were selected as the “wet” periods and sub-periods with
297 consecutive annual precipitation less than the mean were selected as the “dry” periods.
298 The precipitation in the “wet” periods is 10.2% to 47.1% above the long-term average
299 annual precipitation, while the precipitation in the “dry” periods is 10.4% to 28.3%
300 below the long-term average annual precipitation. In the selection, the minimum
301 length of the sub-period was set to 5 years to ensure stable model calibration. If this
302 process results in more than two “wet” or “dry” periods, then the two wettest periods
303 or two driest periods were selected for model calibration and validation (**Figure 4**).
304 The hydrological model was calibrated for each of the 4 sub-periods and validated on
305 each of the remaining 3 sub-periods in turn, resulting in a total of 12 calibration and
306 validation tests.

307

308 To examine model performance under different calibration and validation conditions,
309 results from the above tests are grouped as “**dry/dry**”, “**dry/wet**”, “**wet/wet**”, and
310 “**wet/dry**” to represent climatic conditions in the calibration and validation periods
311 respectively.

312

313

[Figure 4 about here]

314

315 3.2 Monte Carlo Simulation

316 It has been widely recognized that hydrological models can perform equally well
317 against measured runoff estimates even with different parameter sets and this
318 so-called parameter equifinality may result in large prediction uncertainty (*Beven,*
319 *1993; Boorman et al., 1997; Niel et al., 2003; Wilby et al., 2005; Minville et al., 2008*).
320 The parameter equifinality is related to overparameterization of hydrological models
321 and poor parameter identifiability. For some practical applications, the parameter
322 equifinality problem may not be an issue and any of the parameter sets may be
323 appropriate. However, these equally good parameter sets may give different
324 predictions when the model is used to estimate the effects of climate change and land
325 use change on streamflow (*Uhlenbrook et al., 1999*). The need for improved model
326 calibration and testing has been emphasized in recent years. Monte Carlo simulation is
327 an effective way of calculating confidence limits of predicted time series and
328 exploring parameter stability and identifiability in the context of historic climate
329 variability (*Uhlenbrook et al., 1999; Wilby, 2005; Widen-Nilsson et al., 2009*).

330

331 For each catchment and each calibration period, a Monte Carlo simulation was
332 undertaken with 1,000,000 runs, each with randomly generated parameter values
333 within the given ranges listed in **Tables 1** and **2** for the two models respectively. We
334 then selected assemblies of the 100 best parameter sets for each catchment and each
335 calibration period according to a goodness-of-fit measure which is defined in section
336 3.3. Finally, the models were run during the validation periods with all the 100 best
337 parameter sets. Calibration with the 100 best parameter sets gave very similar results
338 and the means were used in subsequent analysis.

339

340 **3.3 Model Performance Criteria**

341 The Nash–Sutcliffe efficiency (NSE) (*Nash and Sutcliffe, 1970*) was used as the
 342 statistic criterion of the model performance. The objective function used in the model
 343 calibration is the Nash and Sutcliffe efficiency of daily runoff, which is defined as:

$$344 \quad NSE = 1 - \frac{\sum_{i=1}^N (Q_{obs,i} - Q_{sim,i})^2}{\sum_{i=1}^N (Q_{obs,i} - \overline{Q_{obs,i}})^2} \quad (17)$$

345 where $Q_{sim,i}$ and $Q_{obs,i}$ are the simulated and observed daily runoff, respectively,
 346 $\overline{Q_{obs,i}}$ is the mean observed runoff, i is the i th day, and N is the number of days
 347 sampled and it varies with individual catchment.

348
 349 Following recommendations by Legates and McCabe (1999) and Hogue et al., 2006,
 350 three statistics are used to indicate the accuracy of the SIMHYD and DWBM models:
 351 the coefficient of determination (R^2), the modified index of agreement (d_1) and the
 352 absolute percentage water balance error (WBE):

$$353 \quad R^2 = \left\{ \frac{\sum_{i=1}^N (o_{obs,i} - \overline{o_{obs,i}})(o_{sim,i} - \overline{o_{sim,i}})}{\left[\sum_{i=1}^N (o_{obs,i} - \overline{o_{obs,i}})^2 \right]^{0.5} \left[\sum_{i=1}^N (o_{sim,i} - \overline{o_{sim,i}})^2 \right]^{0.5}} \right\}^2 \quad (18)$$

$$354 \quad d_1 = 1.0 - \frac{\sum_{i=1}^N |o_{obs,i} - o_{sim,i}|}{\sum_{i=1}^N (|o_{sim,i} - \overline{o_{obs,i}}| + |o_{obs,i} - \overline{o_{obs,i}}|)} \quad (19)$$

$$355 \quad \text{absolute WBE} = \frac{\sum_{i=1}^N |Q_{sim,i} - Q_{obs,i}|}{\sum_{i=1}^N Q_{obs,i}} \times 100\% \quad (20)$$

356 with the symbols defined above.

357

358 **3.4 Analysis of Parameter Probability Distributions under Different Calibration**

359 **Periods**

360 For each of the models, we ended up with 100 best parameter sets for each catchment

361 and for each calibration period. From these parameters sets we calculated a

362 probability distribution of each parameter. For a given significance level α , the

363 chi-square test (χ^2 test) was used to test the null hypothesis that the parameter

364 distributions obtained for a dry period and a wet period were significantly different. A

365 p value greater than 0.01 indicates a rejection of the null hypothesis, which means that

366 the parameter probability distributions for the two different calibration periods are

367 similar.

368

369 **4 Results**

370 **4.1 Comparisons of Model Calibration under Different Climatic Conditions**

371 Results of model calibration under different climatic conditions are shown in **Figure 5**

372 and **Table 3**. **Figure 5(a)** shows the percentage of model calibration tests that have a

373 NSE value exceeding a given NSE value. Similarly, **Figure 5(b-d)** are corresponding

374 plots of the coefficient of determination (R^2), the modified index of agreement (d_1),

375 the absolute percentage water balance error (WBE), respectively. It can be seen that

376 the SIMHYD model was well calibrated under both dry and wet conditions. The

377 average value is greater than 0.70 for NSE, 0.86 for R^2 , 0.73 for d_1 . The average water

378 balance error is 14% and 11% for the dry and wet calibration periods. Compared with

379 the SIMHYD model, the DWBM model showed slightly poorer results. The average

380 value for the DWBM model is greater than 0.57 for NSE, 0.76 for R^2 , 0.65 for d_1 . The
381 average water balance error is 22% and 17% for the dry and wet calibration periods.

382

383 The plots show that both models were better calibrated under wet periods than under
384 dry ones, with higher values of NSE, R^2 , and d_1 and lower values of WBE in the wet
385 calibration periods. For example, under the dry conditions, average NSE was 0.70 and
386 0.57 for the SIMHYD and the DWBM model. Under the wet conditions, average NSE
387 was 0.76 and 0.65 respectively for the two models. In **Figure 5(a)**, a larger NSE value
388 means a better performance, whereas in **Figure 5(d)**, a smaller percentage WBE value
389 is better. It can be noted that all the results became worse when the calibration periods
390 became drier, indicating a higher sensitivity of the models to dry climatic conditions.
391 The results also indicated that the errors in the simulated runoff were increased under
392 drier climatic conditions.

393

394 It can be seen from Table 3 that under dry and wet calibration periods, the median
395 NSE values are, for the SIMHYD model, 0.70 and 0.77, respectively, and for the
396 DWBM model, 0.58 and 0.66. The median R^2 values are 0.86 and 0.88 for the
397 SIMHYD model and 0.76 and 0.82 for the DWBM model. The median d_1 values
398 showed similar patterns under dry and wet calibration conditions. The median
399 percentile of the absolute percentage WBE values are 13% and 8% for the SIMHYD
400 model under dry and wet calibration periods respectively, and 15% and 12% for the
401 DWBM model. All these results indicate that the two models can be calibrated
402 satisfactorily for most of the tests, although the calibration results of the DWBM
403 model are slightly poorer compared with those of the SIMHYD model. The average
404 NSE values calibrated under the wet periods are higher – i.e. better – by 0.06

405 (SIMHYD model) and 0.08 (DWBM model) than those calibrated under dry periods.
406 The average absolute percentage WBE values calibrated under wet periods are lower
407 – again better – by 3% (SIMHYD model) and 5% (DWBM model) than those
408 calibrated under the dry period.

409

410 **[Figure 5 and Table 3 about here]**

411

412 **4.2 Comparisons of Model Validation using Different Calibration Periods**

413 Validation runs were conducted for 60, 120, 60, and 120 tests for the **dry/dry**, **dry/wet**,
414 **wet/dry**, and **wet/wet** groups, respectively. The model validation results are
415 summarized in **Figure 6 and Table 4**. As expected, the validation results are slightly
416 poorer than the calibration results, with the averaged NSE values in the model
417 validation generally being 0.1 to 0.2 lower than those in the model calibration and
418 percentage water balance error being 2 to 7% higher.

419

420 Comparing the validation results of the **dry/dry**, **dry/wet**, **wet/dry**, and **wet/wet**
421 groups in **Figure 6**, it can be noted both the SIMHYD and DWBM models gave
422 similar patterns. The results for the **wet/wet** are better than those of the **dry/wet** – this
423 means that the models performed better during a wet period when they are calibrated
424 against a wet period, compared to when they are calibrated against a dry period. These
425 results suggest, not unexpectedly, that if a hydrological model is intended to simulate
426 streamflow for a wet climate period then it should be calibrated on a wet segment of
427 the historic record. They also show that hydrological models will, in general, perform
428 better when calibrated in a wet period than when calibrated in the dry period.

429

430 **Table 4** summarizes the 25th percentile, median, 75th percentile, and average values of
431 NSE, R^2 , d_1 , and absolute percentage WBE in the validation periods. The results from
432 the **dry**/dry test are slightly better than the results from the **wet**/dry test in terms of
433 NSE, d_1 , and WBE. The coefficient of determination (R^2) showed higher values for
434 the **wet**/dry test. The results indicate, again reasonably, that the hydrological models
435 perform better in a dry period when calibrated in a dry period rather than in a wet
436 period.

437

438 **[Figure 6 and Table 4 about here]**

439

440 **4.3 Parameter Uncertainty under Climatic Nonstationarity**

441 As described in section 3.2, assemblies of the 100 best parameter sets were selected
442 from Monte Carlo simulation under different calibration conditions. **Table 5** shows
443 the percentage of the catchments in which the model parameter distributions for a dry
444 and wet period were significantly different ($p < 0.01$). For each model, the parameters
445 are ranked from the most sensitive to calibration conditions to least sensitive. For the
446 SIMHYD model, the most sensitive parameters were SUB, SMSC, SQ, and CRAK,
447 each of which significantly affected 50% or more of the catchments. The other three
448 parameters, K, COEFF, and INSC had smaller effects, with INSC (having an effect in
449 only 10% of catchments) being the most insensitive to choice of dry and wet
450 calibration periods.

451

452 **[Table 5 about here]**

453

454 In order to further examine the effects of climatic conditions on the results, we
455 grouped the 30 study catchments into two climatic types: 16 water-limited catchments
456 with an index of dryness (E_p/P) greater than 1, and 14 energy-limited catchments with
457 an index of dryness less than 1. It can be noted that all parameters performed
458 differently in water-limited and energy-limited catchments, in particular SUB, SMSC,
459 and CRAK.

460

461 For the DWBM model, the parameters α_1 and S_{max} exhibited different effects on
462 runoff under the dry and wet calibration periods as 67% and 63% of the catchments
463 showed statistically different results at the 0.01 level. At the other extreme, the
464 parameter α_2 displayed an apparent insensitivity to the calibration periods (just 23%
465 of catchments were affected). The parameter α_2 represents evapotranspiration
466 efficiency and it behaves similarly to the parameter w of *Zhang et al. (2001)* and
467 (2004), which was shown to be mostly correlated with vegetation cover. The
468 parameter d was more sensitive to the choice of the calibration period for the
469 water-limited catchments than for the energy-limited catchments. It is interesting to
470 note that all the parameters behaved differently under the water-limited and
471 energy-limited conditions, except perhaps for parameter α_2 .

472

473 The above results indicate that some of the model parameters are sensitive to
474 calibration conditions and the others are relative robust. An important question is how
475 the sensitive parameters vary between the different calibration periods. **Figures 7** and
476 **8** show the distributions of the optimized parameters of the two models under the dry
477 and wet conditions in two selected catchments. The catchment 110003 has
478 summer-dominant rainfall and catchment 401210 is winter-dominant. For the

479 SIMHYD model, some parameters exhibited different distributions in the dry and wet
480 calibration periods. For example, the parameter SUB tends to be more likely at a
481 higher value in the dry periods than in the wet periods. However, the results did not
482 reveal any systematic trends in the other parameters. For the DWBM model, the most
483 likely value for the parameter α_1 was higher in the dry period than in the wet period
484 for catchment 110003 and vice versa for catchment 401210 (**Figure 8**). The parameter
485 S_{max} showed different distributions in the dry and wet periods and these distributions
486 vary across the catchments.

487

488 **[Figures 7 and 8 about here]**

489

490 **5 Discussion**

491 Streamflow of a catchment is influenced by a number of factors, most noticeably
492 rainfall and antecedent soil moisture. During dry periods, catchments are generally
493 characterized by small runoff events and lower runoff to rainfall ratios with higher
494 percentage error in both rainfall and runoff. In this case, rainfall-runoff models
495 become very sensitive to both rainfall and parameter optimization. Also, dry periods
496 may not contain enough high flows to adequately calibrate model parameters
497 responsible for simulating high flows (*Gan et al.*, 1997). Apart from rainfall amount,
498 spatial variability of rainfall can also affect runoff. *Smith et al.* (2004) showed that
499 improved runoff simulations can be obtained from distributed versus lumped
500 rainfall-runoff models in catchments with considerable rainfall variability. Spatial
501 variability of rainfall was also found to be the dominant control on runoff production
502 (*Segond et al.*, 2007). In this study, spatially averaged rainfall was used in both model

503 calibration and validation. This is likely to affect the model results and it is expected
504 that the rainfall variability effect will be greater in dry periods than in wet periods.
505
506 It has been widely acknowledged that spatial variability of antecedent soil moisture
507 conditions plays an important role in runoff generation (*Grayson and Blöschl, 2000*).
508 *Minet et al. (2011)* investigated the effect of spatial soil moisture variability on runoff
509 simulations using a distributed hydrologic model and showed that model results are
510 sensitive to soil moisture spatial variability, especially in dry conditions. At catchment
511 scales, soil moisture exhibit larger heterogeneity under dry conditions than wet
512 conditions and this means errors associated with dry period runoff simulations are
513 likely to be greater as runoff generation exhibits non-linear threshold behaviour.
514 In this study, the differences in average annual rainfall between the wet and dry
515 periods ranged from 10 to 47% of the long-term average rainfall and are comparable
516 with percentage change in mean annual rainfall for 2030 relative to 1990 from 15
517 GCMs for the Murray Darling Basin in Australia (*Chiew et al., 2008*).
518
519 The results of this study indicate that calibration periods can cause significant shifts in
520 model parameter distributions. Some model parameters are relatively sensitive to the
521 choice of calibration periods, while the others are fairly insensitive. As well as the
522 impact of calibration periods on parameter distributions, whether catchments are
523 water-limited or energy-limited also needs to be taken into consideration. For the
524 SIMHYD model, the most sensitive parameters are SUB, SMSC, and CRAK. The
525 parameter SUB is used to estimate interflow and it can be an important parameter in
526 some catchments (*Chiew and McMahon, 1994*). However, it is difficult to estimate
527 this parameter *a priori* as it is poorly correlated with any catchment characteristics

528 (*Chiew and McMahon, 1994*). The soil moisture store capacity (SMSC) affects many
529 processes such as infiltration and evapotranspiration and it is determined by soil
530 properties and vegetation characteristics (e.g. rooting depth). Accurate estimation of
531 this parameter is essential to achieving satisfactory model performance. The
532 parameter CRAK determines groundwater recharge/baseflow and is highly correlated
533 with soil types. For the DWBM model, the most sensitive parameters are α_1 and S_{max} ,
534 and d , representing catchment rainfall retention efficiency, maximum storage capacity,
535 and the recession constant, respectively (*Zhang et al. 2008*). In a way, these
536 parameters are similar to those sensitive parameters in SIMHYD in terms of their
537 functional controls on water balance components. *Merz et al (2011)* applied a
538 semi-distributed conceptual rainfall-runoff model to 273 catchments in Austria and
539 showed that the parameters of the soil moisture accounting schemes exhibited strong
540 dependence on calibration conditions, consistent with the results of the current study.
541 This also suggests that parameters related to soil moisture accounting are likely to
542 change with calibration conditions. The fact that these parameters are sensitive to the
543 choice of calibration period (i.e. dry vs wet) also indicates that large uncertainty may
544 be associated with these parameters and care needs to be exercised when transferring
545 the parameters to conditions different from the calibration.

546

547 These findings have major implications for studies of climate change impact on
548 streamflow. When a hydrological model calibrated for a given climatic condition (e.g.
549 wet periods) is used to simulate runoff of different climatic conditions (e.g. dry
550 periods), transfer of some model parameters (i.e. sensitive parameters) may result in
551 large errors in simulated runoff. One may argue that the sensitive model parameters
552 should be updated by functionally relating them with climatic variables such as

553 rainfall (*Merz et al., 2011*). This could potentially reduce uncertainty and lead to more
554 accurate predictions. However, some of the parameters are poorly related to
555 catchment characteristics (e.g. rainfall) and the problem is further complicated by the
556 fact that not every parameter is well identified and different parameter values can
557 result in equal model performance, i.e. equifinality (*Beven, 1993*). It has also been
558 recognized that model calibration tends to compensate model structural errors (*Merz*
559 *et al., 2011, Wagner et al., 2003*), making it difficult to understand how model
560 parameters vary with calibration conditions (*Wagener et al., 2010*).

561

562 The differential split-sample test can be considered as the first step in addressing the
563 issue of parameter transferability under non-stationary conditions. Monte Carlo
564 simulation provided an effective and pragmatic approach to exploring uncertainty in
565 hydrological model parameters. The performance of rainfall-runoff models is related
566 to catchment characteristics such as climate, topography, soil, vegetation, catchment
567 shape, geology, drainage network. In such a complex situation, it is hard to pinpoint
568 the source of parameter uncertainty, but the results of this study showed that
569 calibration periods and catchment climatic conditions are both important factors that
570 can result in uncertainty in model performance.

571

572 Credibility of a hydrological model has traditionally been tested using streamflow
573 data from a validation period that is similar to calibration period. The assumption is
574 that the model will be used under conditions similar to those of the calibration.
575 However, when dealing with impact of climate change on streamflow, the assumption
576 is not generally valid and the model needs to be tested under conditions different from
577 those of the calibration. For this purpose, the two hydrological models were

578 evaluated using differential split-sample test (Klemes, 1986). When using a dry
579 period for calibration and a wet period for validation, the models produced more
580 accurate estimates of streamflow (i.e. higher NSE and lower bias) compared with
581 estimates produced using a wet period for calibration and a dry period for validation
582 (see Table 4). Similar results have been reported by Vaze et al. (2010) and the finding
583 can be partly explained by the fact that hydrological models generally perform better
584 in wet periods than in dry periods (Vaze et al., 2010; Gallart et al., 2007, Perrin et al.
585 2007; Lidén and Harlin, 2000, Gan et al., 1997; Hughes, 1997). A closer examination
586 of model errors reveals that when the model parameters, calibrated on a dry period,
587 were used to simulate runoff during a wet period, the mean of the simulated runoff
588 was usually underestimated; conversely, when model parameters, calibrated on a wet
589 period, were used to simulate dry period runoff, the mean simulated runoff was
590 overestimated, consistent with the findings of *Gan et al. (1997)*. *Vaze et al. (2010)*
591 also showed that when hydrological models were calibrated using long period of
592 record and tested for sub-periods with above long-term average rainfall, the model
593 performed well. However, performance of the models starts to deteriorate when tested
594 for sub-periods with below long-term average rainfall.

595

596 Traditionally, one would use a sufficiently long period of records for model
597 calibration to ensure proper presentation of climate/streamflow variability and to
598 achieve stable model parameters. If the model is to be used under stationary
599 conditions, it is generally recommended that the whole record should be divided into
600 two segments, one for calibration and the other for validation. However, if a model is
601 to be used under non-stationary conditions, its parameters should be transferable. In
602 other words, the parameters should be estimated so that the model gives accurate

603 estimates of streamflow outside the climatic conditions encountered in calibration
604 period. In this case, one should identify two periods with different climatic
605 conditions (e.g. a dry period and wet period) from the whole record and apply the
606 so-called differential split-sample test (*Klemes*, 1986). One another approach to this
607 problem is to examine how other catchments behave under these different climatic
608 conditions, i.e. trading space for time (*Singh et al.*, 2011).

609

610 **6 Conclusions**

611 Potentially large uncertainties arise when predicting hydrological responses to future
612 climate change – due to factors such as the choice of emission scenario, GCM,
613 downscaling technique, hydrological model, optimization technique, and the way the
614 model is calibrated. It is therefore important to develop reliable ways to calibrate
615 hydrological models under present-day conditions. This study compared hydrological
616 model performances under nonstationarity by using the differential split-sample test
617 and two conceptual rainfall–runoff models, DWBM and SIMHYD, applied to 30
618 catchments in Australia. Monte Carlo simulation was used to explore parameter
619 stability and transferability in the context of historic climate variability.

620

621 Apart from quality of the input data (e.g. rainfall) and model structure, performance of
622 a hydrological model is also dependent on how it is calibrated. If a hydrological
623 model is intended to simulate runoff for a wet climate scenario then it should be
624 calibrated on a wet segment of the historic record. Conversely, if it is intended to
625 simulate runoff for a dry climate scenario then it should be calibrated on a dry
626 segment of the historic record. We also found that when using a dry period for
627 calibration and a wet period for validation, the models produced more accurate

628 estimates of streamflow compared with estimates produced using a wet period for
629 calibration and a dry period for validation. In other words, transferring model
630 parameter values obtained from dry periods to wet periods will result in smaller errors
631 in streamflow estimation than transferring model parameter values obtained from wet
632 periods to dry periods. The soil related model parameters are more sensitive to the
633 choice of calibration period than other parameters and large uncertainty may be
634 introduced when transferring the soil related parameters to conditions different from
635 the calibration. Our research has implications for hydrological modellers looking to
636 estimate future runoff and we hope this study will stimulate further research into the
637 selection of calibration data.

638

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812 **Table and Figure Captions**

813 **Table 1** Ranges of parameter values in DWBM (/ indicates dimensionless).

814

815 **Table 2** Ranges of parameters in the SIMHYD model (/ indicates dimensionless).

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817 **Table 3** Summary results of the model calibration under different climatic conditions

818 (*i.e.* dry and wet periods).

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820 **Table 4** Summary results of the model validation when calibrated under different

821 climatic conditions.

822

823 **Table 5** Percent of the catchments in which the model parameter distributions for a

824 dry and wet calibration period were significantly different ($p < 0.01$) under Monte

825 Carlo simulation. Also shown are the results for water-limited ($E_p/P > 1$) and

826 energy-limited ($E_p/P < 1$) catchments. For each model, the parameters are ranked from

827 the most sensitive to calibration conditions to least sensitive.

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835 **Figure 1** Structure of the lumped dynamic water balance model (DWBM).

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837 **Figure 2** Structure of the lumped daily rainfall–runoff model (SIMHYD).

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839 **Figure 3** Location map of the 30 catchments used for this study.

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841 **Figure 4** Annual historical precipitation of the Corang River catchment showing
842 estimation of 2 wet periods (A) and 2 dry periods (B) to represent different calibration
843 conditions.

844

845 **Figure 5 (a)**. Percentage of model calibration tests with a NSE value greater than or
846 equal to a given NSE value. Similarly, **Figure 5 (b-d)** are corresponding plots of the
847 coefficient of determination (R^2), the modified index of agreement (d_I), the absolute
848 percentage water balance error (WBE), respectively.

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850 **Figures 6 (a) and (e)** Percentage of model validation tests with a NSE value greater
851 than or equal to a given NSE value. Similarly, **Figures 6 (b) and (f), Figures 6 (c)**
852 **and (g), Figures 6 (d) and (h)** are corresponding plots of the coefficient of
853 determination (R^2), the modified index of agreement (d_I), the absolute percentage
854 water balance error (WBE), respectively.

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856 **Figure 7** Probability density functions for 7 parameters of the SIMHYD model under
857 dry and wet calibration periods in catchments 110003 and 4021210.

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859 **Figure 8** Probability density functions for 4 parameters of the DWBM model under
860 dry and wet calibration periods in catchments 110003 and 4021210.

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879 **Tables and Figures**

880 **Table 1** Ranges of parameter values in DWBM (/ indicates dimensionless).

Parameter	Units	Description	Lower bound	Upper bound
α_1	/	retention efficiency	1	5
α_2	/	evapotranspiration efficiency	1	5
S_{max}	mm	soil water storage capacity	5	500
d	/	baseflow linear regression	0.01	1

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882 **Table 2** Ranges of parameter values in the SIMHYD model (/ indicates
883 dimensionless).

Parameter	Units	Description	Lower bound	Upper bound
INSC	mm	interception store capacity	0.5	5.0
COEFF	mm	maximum infiltration loss	50	400
SQ	/	infiltration loss exponent	0	6.0
SMSC	mm	soil moisture store capacity	50	500
SUB	/	constant of proportionality in interflow equation	0	1
CRAK	/	constant of proportionality in groundwater recharge equation	0	1
K	/	baseflow linear regression parameter	0.003	0.3

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885 **Table 3** Summary results of the model calibration under different climatic conditions
 886 (*i.e.* dry and wet periods).

Indicator	SIMHYD calibrated on dry period	SIMHYD calibrated on wet period	DWBM calibrated on dry period	DWBM calibrated on wet period
25th NSE	0.84	0.85	0.71	0.77
Median NSE	0.70	0.77	0.58	0.66
75th NSE	0.61	0.68	0.43	0.54
Average NSE	0.70	0.76	0.57	0.65
25th R^2	0.91	0.91	0.82	0.87
Median R^2	0.86	0.88	0.76	0.82
75th R^2	0.80	0.85	0.70	0.76
Average R^2	0.86	0.88	0.76	0.81
25th d_I	0.77	0.79	0.71	0.75
Median d_I	0.72	0.76	0.67	0.71
75th d_I	0.70	0.74	0.61	0.68
Average d_I	0.73	0.76	0.65	0.71
25th WBE	22	16	25	24
Median WBE	13	8	15	12
75th WBE	6	4	9	5
Average WBE	14	11	22	17

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898 **Table 4** Summary results of the model validation when calibrated under different
 899 climatic conditions.

Model	Indicator	dry/dry	dry/wet	wet/dry	wet/wet
SIMHYD	25th NSE	0.72	0.74	0.68	0.77
	Median NSE	0.55	0.64	0.51	0.69
	75th NSE	0.42	0.44	0.41	0.55
	Average NSE	0.57	0.61	0.54	0.66
	25th R^2	0.87	0.89	0.88	0.90
	Median R^2	0.79	0.84	0.80	0.85
	75th R^2	0.74	0.79	0.75	0.81
	Average R^2	0.80	0.84	0.81	0.85
	25th d_I	0.74	0.78	0.74	0.78
	Median d_I	0.71	0.74	0.70	0.75
	75th d_I	0.66	0.70	0.63	0.72
	Average d_I	0.69	0.73	0.68	0.74
	25th WBE	34	30	39	23
	Median WBE	20	19	28	13
	75th WBE	14	8	16	7
	Average WBE	24	21	29	17
DWBM	25th NSE	0.56	0.65	0.51	0.72
	Median NSE	0.46	0.48	0.45	0.61
	75th NSE	0.34	0.35	0.30	0.42
	Average NSE	0.48	0.52	0.45	0.59
	25th R^2	0.79	0.83	0.81	0.85
	Median R^2	0.71	0.77	0.74	0.79
	75th R^2	0.63	0.69	0.67	0.73
	Average R^2	0.71	0.76	0.74	0.79
	25th d_I	0.69	0.73	0.68	0.74
	Median d_I	0.65	0.69	0.63	0.70
	75th d_I	0.58	0.64	0.56	0.66
	Average d_I	0.62	0.68	0.61	0.69
	25th WBE	35	29	53	25
	Median WBE	22	20	33	18
	75th WBE	15	12	18	11
	Average WBE	27	23	36	19

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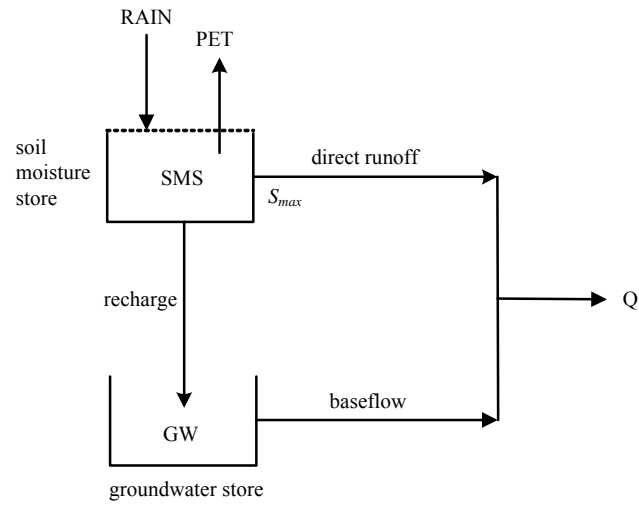
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907 **Table 5** Percent of the catchments in which the model parameter distributions for a
 908 dry and wet calibration period were significantly different ($p < 0.01$) under Monte
 909 Carlo simulation. Also shown are the results for water-limited ($E_p/P > 1$) and
 910 energy-limited ($E_p/P < 1$) catchments. For each model, the parameters are ranked from
 911 the most sensitive to calibration conditions to least sensitive.

Model	Parameter	Percent of catchments	Percent of water-limited catchments	Percent of energy-limited catchments
SIMHYD	SUB	63	81	43
	SMSC	60	75	43
	SQ	53	56	50
	CRAK	50	63	36
	K	37	31	43
	COEFF	33	38	29
	INSC	10	13	7
DWBM	α_1	67	81	50
	S_{max}	63	75	50
	d	47	63	29
	α_2	23	25	21

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Model parameters and description

- α_1 retention efficiency
- α_2 evapotranspiration efficiency
- S_{max} soil water storage capacity (mm)
- d baseflow linear regression

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915 **Figure 1** Structure of the lumped dynamic water balance model (DWBM).

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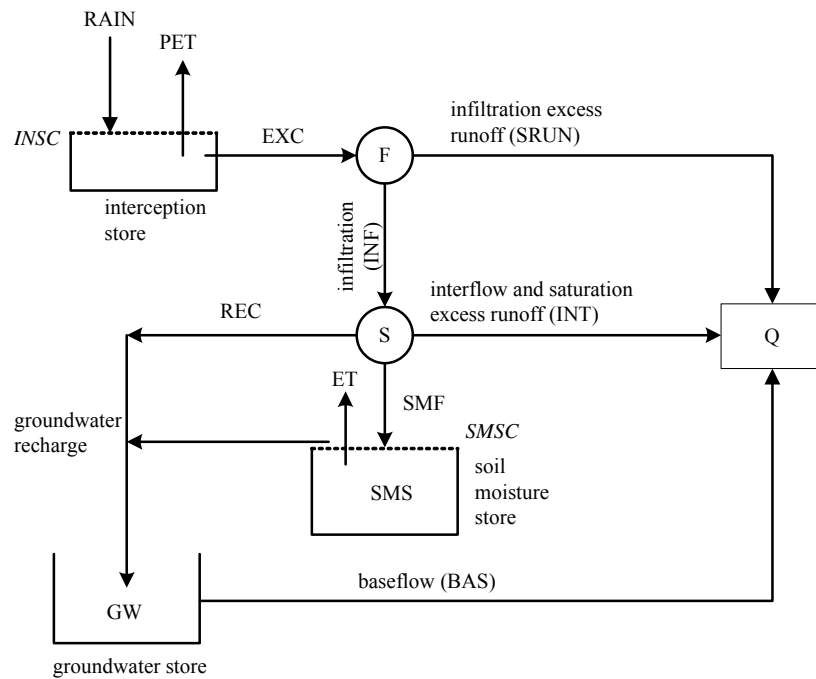
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PET = areal potential evapotranspiration (input data)
 $EXC = RAIN - INSC, EXC > 0$
 $INF = \text{lesser of } \{ COEFF \exp(-SQ \times SMS/SMSC), EXC \}$
 $SRUN = EXC - INF$
 $INT = SUB \times SMS/SMSC \times INF$
 $REC = CRAK \times SMS/SMSC \times (INF - INT)$
 $SMF = INF - INT - REC$
 $ET = \text{lesser of } \{ 10 \times SMS/SMSC, PET \}$
 $BAS = K \times GW$

Model parameters and description

INSC interception store capacity (mm)
 COEFF maximum infiltration loss (mm)
 SQ infiltration loss exponent
 SMSC soil moisture store capacity (mm)
 SUB constant of proportionality in interflow equation
 CRAK constant of proportionality in groundwater recharge equation
 K baseflow linear recession parameter

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929 **Figure 2** Structure of the lumped daily rainfall-runoff model SIMHYD.

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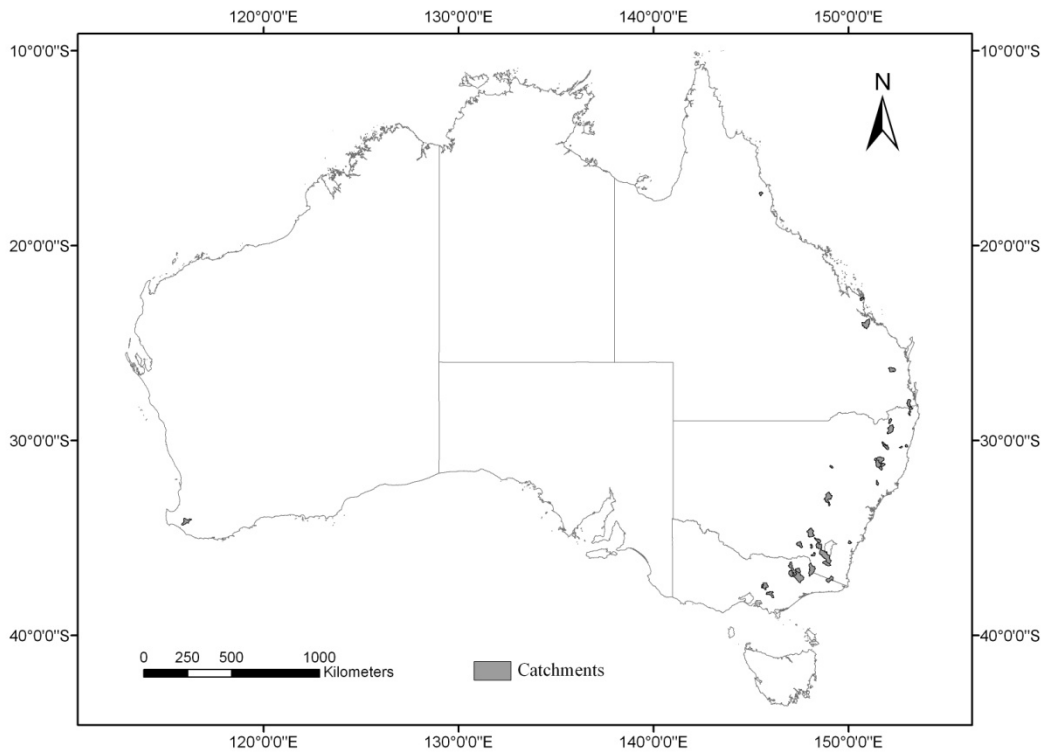
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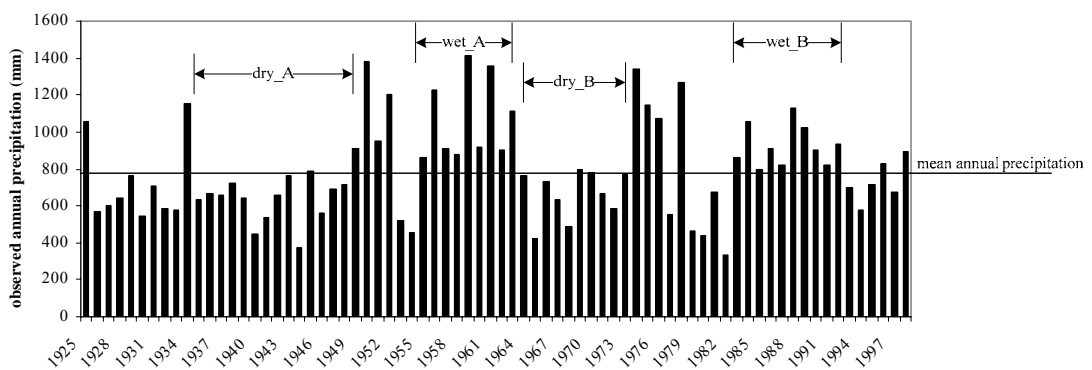
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938 **Figure 3** Location map of the 30 catchments used for this study.

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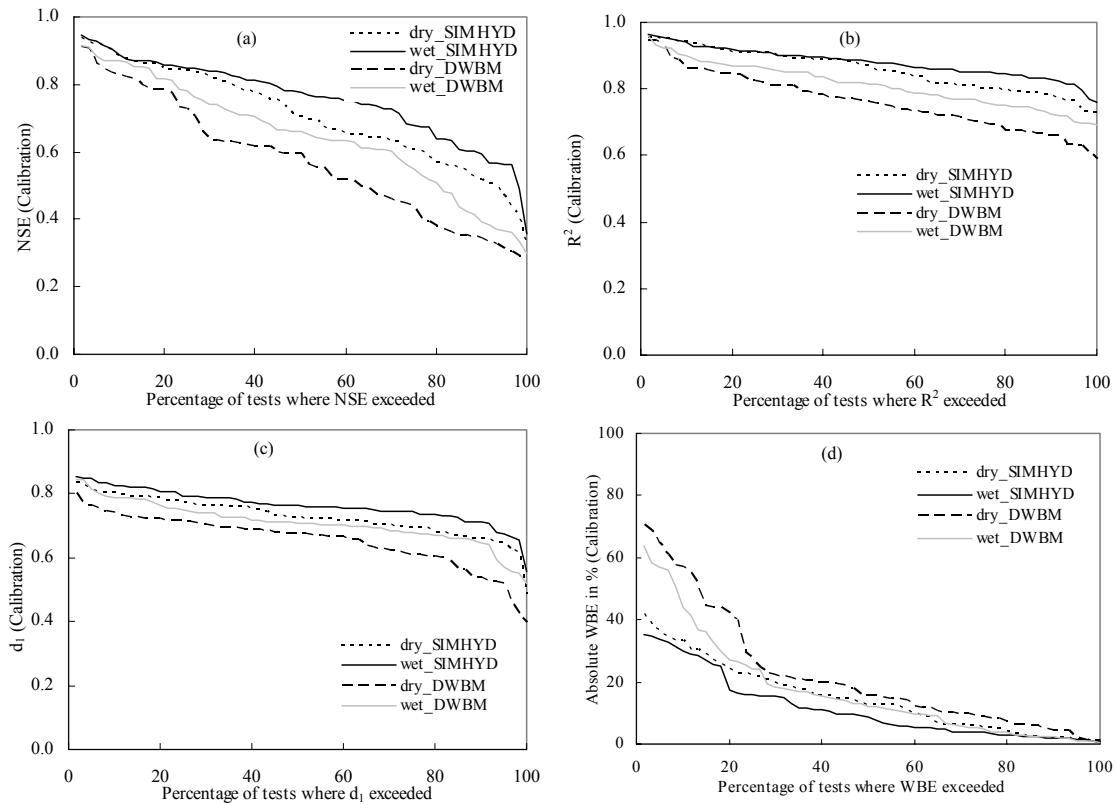
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941 **Figure 4** Annual historical precipitation of the Corang River catchment showing
942 estimation of 2 wet periods (A) and 2 dry periods (B) to represent different calibration
943 conditions.

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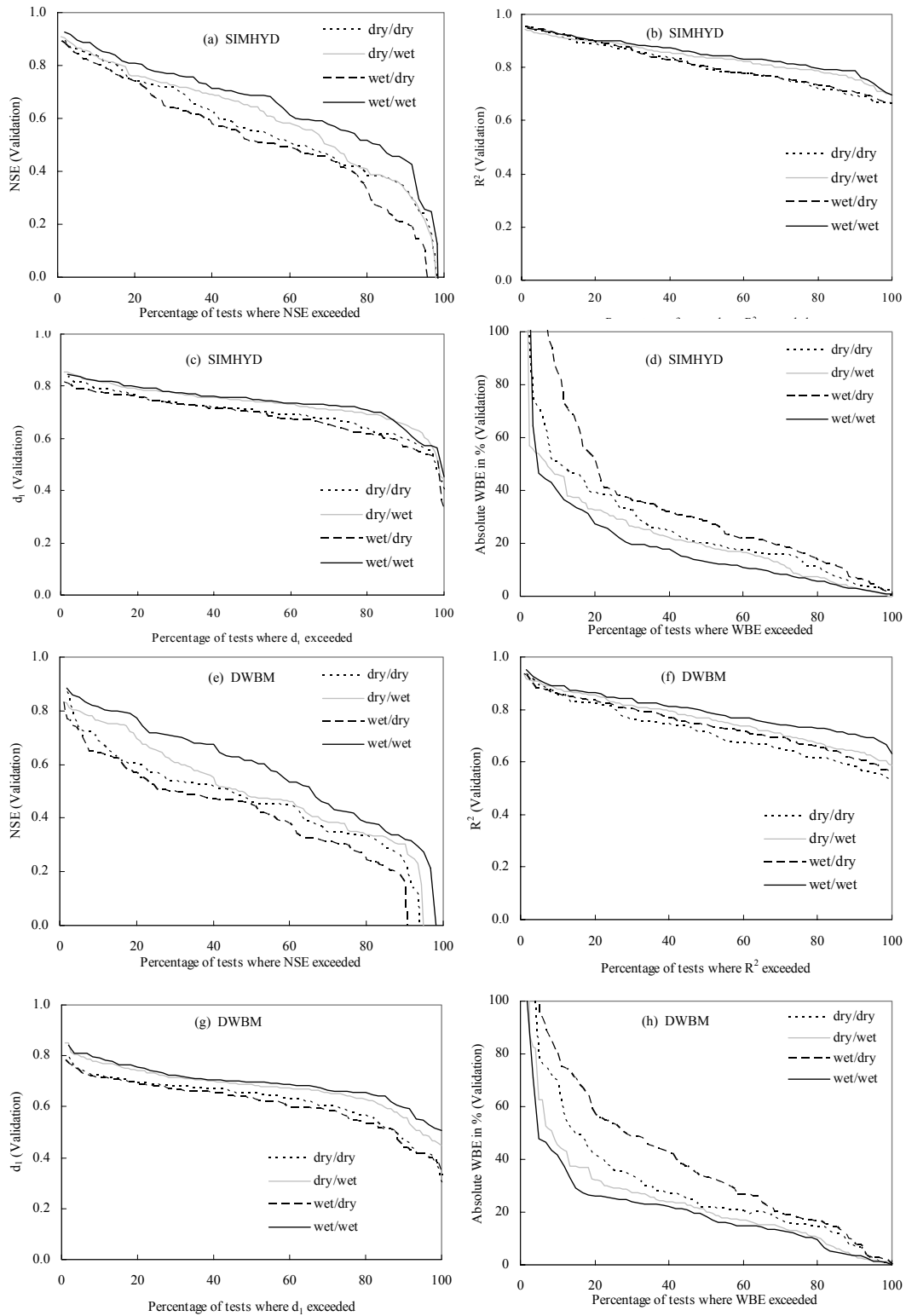
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948 **Figure 5 (a)** Percentage of model calibration tests with a NSE value greater than or
949 equal to a given NSE value. Similarly, **Figure 5 (b-d)** are corresponding plots of the
950 coefficient of determination (R^2), the modified index of agreement (d_I), the absolute
951 percentage water balance error (WBE), respectively.

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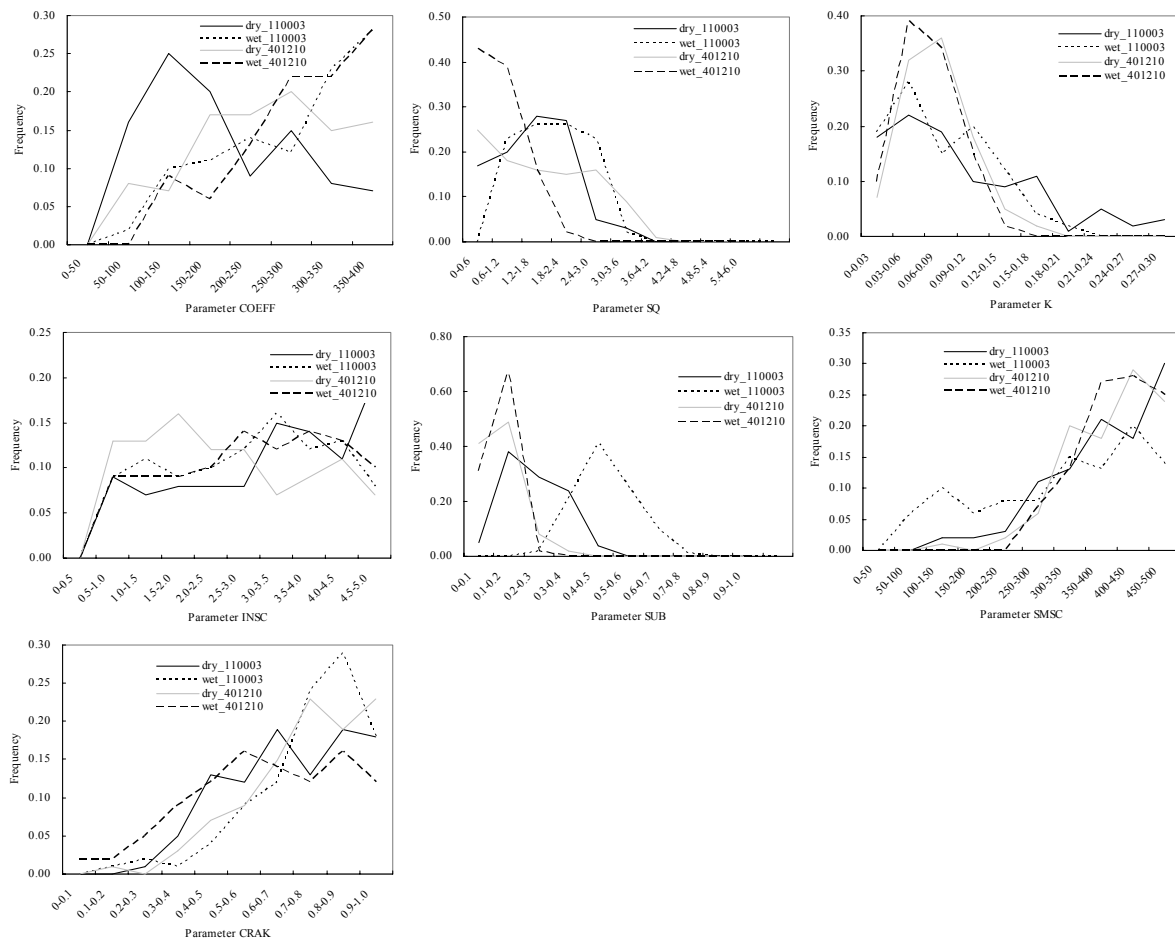


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954 **Figures 6 (a) and (e)** Percentage of model validation tests with a NSE value greater
 955 than or equal to a given NSE value. Similarly, **Figures 6 (b) and (f), Figures 6 (c)**
 956 **and (g), Figures 6 (d) and (h)** are corresponding plots of the coefficient of

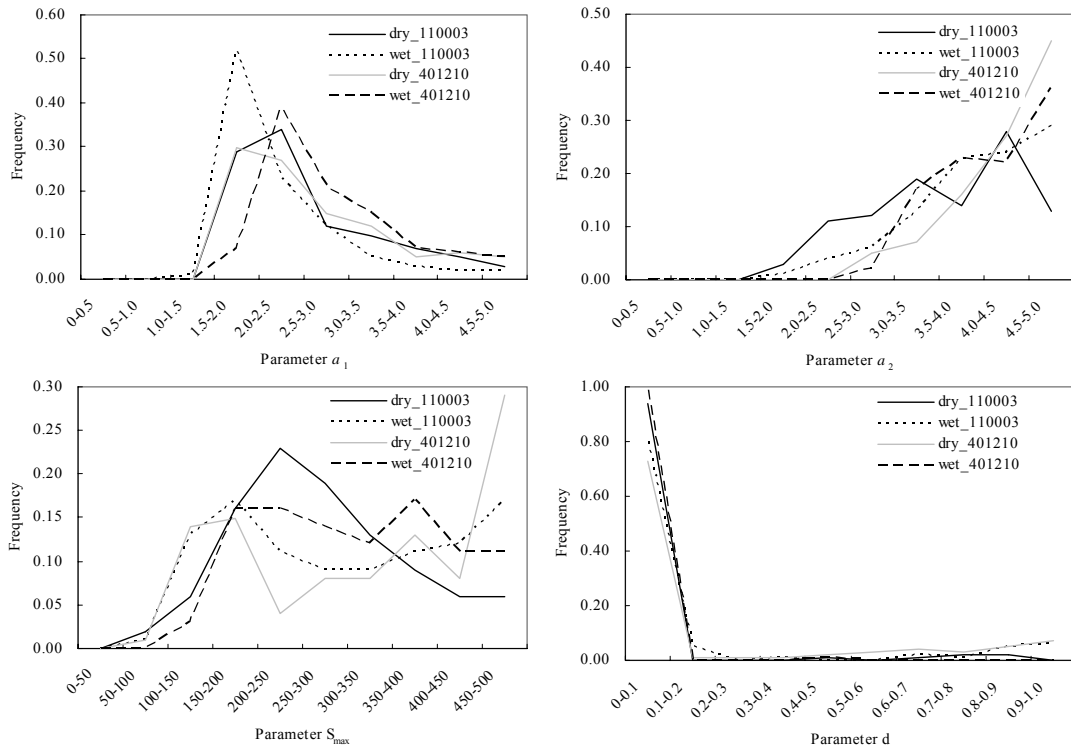
957 determination (R^2), the modified index of agreement (d_i), the absolute percentage
 958 water balance error (WBE), respectively.

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961 **Figure 7** Probability density functions for 7 parameters of the SIMHYD model under
 962 dry and wet calibration periods in catchments 110003 and 402120.



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964 **Figure 8** Probability density functions for 4 parameters of the DWBM model under

965 dry and wet calibration periods in catchments 110003 and 401210.