Soil erosion and sediment delivery in a mountain catchment under
 scenarios of land use change using a spatially distributed numerical
 <u>model.</u>

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5 Abstract: Soil erosion and sediment yield are strongly affected by land use/land cover 6 (LULC). Spatially distributed erosion models are of great interest to assess the expected 7 effect of LULC changes on soil erosion and sediment yield. However, they can only be 8 applied if spatially distributed data is available for their calibration. In this study the soil 9 erosion and sediment delivery model WATEM/SEDEM was applied to a small (2.84 10 km^2) experimental catchment in the Central Spanish Pyrenees. Model calibration was performed based on a dataset of soil redistribution rates derived from point ¹³⁷Cs 11 12 inventories, allowing capture differences per land use in the main model parameters. 13 Model calibration showed a good convergence to a global optimum in the parameter 14 space, which was not possible to attain if only external (not spatially distributed) 15 sediment yield data were available. Validation of the model results against seven years of 16 recorded sediment yield at the catchment outlet was satisfactory. Two LULC scenarios 17 where then modeled to reproduce the land use at the beginning of the twentieth Century 18 and a hypothetic future scenario, and to compare the simulation results to the current 19 LULC situation. The results show a reduction of about one order of magnitude in gross erosion (3,180 to 350 Mg yr⁻¹) and sediment delivery (11.2 to 1.2 Mg yr⁻¹ ha⁻¹) during the 20 21 last decades as a result of the abandonment of traditional land uses (mostly agriculture) 22 and subsequent vegetation re-colonization. The simulation also allowed assessing 23 differences in the sediment sources and sinks within the catchment.

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Keywords: soil erosion, sediment transport, sediment yield, sediment delivery ratio,
sediment sources, land use/land cover changes, Arnás catchment, Spanish Pyrenees.

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29 1. Introduction

30 According to estimations one sixth of the surface land is affected by accelerated 31 water erosion (Schröter et al., 2005). Apart from the at-site problems related to loss of 32 fertile land, sediment yield to the stream network poses problems for hydraulic 33 infrastructures such as reservoirs, and for the preservation of certain fluvial ecosystems. 34 Mountain regions, where the energy relief contributes to increase soil erosion and 35 sediment redistribution rates, are among the areas at risk. It has been pointed out that land 36 use / land cover (LULC) change is among the main factors explaining the intensity of soil 37 erosion, even exceeding the importance of rainfall intensity and slope in some cases 38 (García-Ruiz, 2010). The effects of LULC change on soil erosion and sediment transport 39 have raised the attention of transnational authorities (e.g., UN, 1994; EC, 2002; 40 COST634, 2005). Many studies have demonstrated that historical LULC change has 41 affected the sediment yield in drainage basins over the World (e.g., Dearing, 1992; 42 Piégay et al., 2004; Cosandey et al., 2005; Gyozo et al., 2005).

The impact of LULC change on soil_erosion and sediment yield are well
understood qualitatively, but there is still little quantitative knowledge. It has been
addressed in different ways: i) field suspended sediment load measurements and
historical sedimentary archives (sediment accumulated in lakes) showed that

47 deforestation and changes in the agricultural practices greatly influenced erosion and 48 sediment transport (e.g., Valero-Garcés et al., 2000); ii) experimental catchments have 49 been monitored worldwide in order to understand the factors that control runoff 50 generation and sediment transport (e.g., Bosch and Hewlett, 1982), and to obtain detailed 51 information on different parameters for hydrological modeling and to assess the influence 52 of LULC change on erosion rates and sediment yield (e.g., García-Ruiz et al., 2008). All 53 these studies provided a deep insight into the interaction between LULC change and 54 geomorphic processes. Experimental approaches, however, are resource-intensive and 55 very limited in their ability to address the effects of future changes in LULC or other 56 drivers such as the climate.

57 Erosion models are useful tools for comparing erosion resulting from current 58 LULC condition with a number of alternative LULC scenarios. Spatially distributed 59 models allow determining not only the variation in the total sediment exported, but also assessing differences in sediment sources and the existence of sedimentation areas at 60 61 intermediate locations within the watershed. Although most of erosion and sedimentation 62 processes have been studied in detail using experimental devices, assessing the link between on-site soil erosion and total sediment yield at the outlet of a catchment is very 63 64 difficult because it implies making a complete sediment budget of the catchment 65 including possible internal sedimentation areas, on which there is seldom quantitative 66 data available. Recent advances in spatially distributed erosion and sediment transport 67 models opened new possibilities to understand the complex spatial patterns of erosion 68 and deposition within a catchment (Merrit et al., 2003). However, a direct comparison of 69 predicted erosion rates with field observations, which is necessary for validating the 70 accuracy of the estimates, is usually not possible because it is not practically or 71 financially feasible to acquire long-term, spatially distributed soil erosion data. In the best 72 instances data are available only on the sediment transported by the main rivers in a 73 catchment, and these data seldom span a long time period (Alatorre et al., 2010). For 74 example, it is common to rely on catchment-aggregated soil erosion rates derived from 75 reservoir or lake sedimentation records for the calibration or validation of erosion and 76 sediment transport models (e.g., de Vente et al., 2008). This allows predicting the total 77 catchment sediment yield, but the capability to predict soil redistribution within the 78 catchment is lost. The lack of spatially distributed soil erosion data is a major problem 79 hindering the use of spatially distributed erosion models, and even makes model 80 calibration not possible (Alatorre et al., 2010).

81 In addition to modeling exercises, the difficulties associated with classical 82 techniques for estimating erosion have led to research into new methods. In the last decades field measurements of fallout cesium-137 (¹³⁷Cs) inventories have been used to 83 84 determine soil redistribution rates at specific points in the landscape. Here soil 85 redistribution refers to the net result of erosion and sedimentation over a period of 86 approximately 50 years (Walling and Quine, 1990). The use of fallout radionuclides has 87 attracted increasing attention as an alternative approach for water-induced soil erosion 88 analysis, and it has been applied successfully in a wide range of environments (e.g., 89 Ritchie and McHenry, 1990; Walling and Quine, 1991; Navas & Walling, 1992; Collins 90 et al., 2001; Bujan et al., 2003). Unlike the experimental devices described above, ¹³⁷Cs 91 soil redistribution estimates are related to a small sampling surface (usually a few dm^2), 92 and can be taken as point estimates when considered at the landscape scale.

93 A simple approach for studying spatial patterns of soil redistribution from point ¹³⁷Cs estimates is to get a sufficiently large sample and perform a spatial interpolation. 94 ¹³⁷Cs-derived soil redistribution rates have also been used for validating the results of 95 96 process-based erosion models, including: i) empirical erosion models such as the Revised 97 Universal Soil Loss Equation (RUSLE) (Ferro et al., 1998; López-Vicente et al., 2008); 98 ii) spatially semi-distributed erosion models such as the Aerial Non-point Source 99 Watershed Environmental Response Simulation (ANSWERS) and the Agricultural Non-100 point Source Pollution (AGNPS) (De Roo, 1993; Walling et al., 2003); and iii) fully 101 spatially distributed physically based models such as the Limburg Soil Erosion Model 102 (LISEM) and WATEM/SEDEM (Takken et al., 1999; Feng et al., 2010).

103 The main objective of the present study was to assess soil redistribution and 104 sediment supply to the stream network under land abandonment on a mountain catchment, using a spatially distributed model (WATEM/SEDEM) combined with ¹³⁷Cs-105 106 derived soil redistribution estimates. The study area (the Arnás catchment in the Spanish 107 Pyrenees) is an experimental area for which a good amount of data and process-108 knowledge exists, including sediment yield data at the catchment outlet that could be used for validation (Lana-Renault et al., 2007b). In addition, ¹³⁷Cs-derived soil 109 110 redistribution rates were available from a previous study (Navas et al., 2005), allowing 111 spatially distributed model calibration under the current LULC situation. Two LULC 112 scenarios were then modeled reproducing the land use at the beginning of the twentieth 113 Century and a hypothetic future scenario, and the results compared to the current 114 situation. We discuss the validity of the results and their application. The approach 115 followed is transferable to other regions of the World.

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117 **2. Materials and methods**

118 2.1 Hillslope sediment delivery model

119 We used WATEM/SEDEM to model soil erosion and sediment flux from the 120 hillslopes to the stream network in a small mountain catchment under current, past and 121 hypothetical land use. WATEM/SEDEM is a spatially-distributed soil erosion and 122 sediment transport model based on the RUSLE model plus a sediment transport capacity 123 equation and a cascading transport model, for predicting sediment delivery to the stream 124 network (Van Oost et al., 2000; Van Rompaey et al., 2001; Verstraeten et al., 2002). 125 WATEM/SEDEM has been used in various types of environments in (Van Rompaey et 126 al., 2001; Verstraeten et al., 2002; Van Rompaey et al., 2003a, 2003b, 2005; Verstraeten 127 et al., 2007), including hydrological catchments in Spain (de Vente et al., 2008; Alatorre 128 et al., 2010).

129 The models starts by calculating annual soil erosion rates following the RUSEL130 approach (Renard et al., 1991):

$$131 \qquad E = R K LS_{2D} C P, \tag{1}$$

where *E* is the mean annual soil loss (kg m⁻² y⁻¹), *R* a rainfall erosivity factor (MJ mm m⁻² h⁻¹ yr⁻¹), *K* a soil erodibility factor (kg h MJ⁻¹ mm⁻¹), LS_{2D} a slope-length factor (Desmet and Govers, 1996), *C* a dimensionless crop management factor, and *P* a dimensionless erosion control practice factor. Next the sediment generated is routed downslope according to the topography until a stream cell is reached. Sediment transport by overland runoff is modeled according to a transport capacity equation (Van Rompaey et al., 2001):

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$$TC = ktc R K (LS_{2D} - 4.1s^{0.8}),$$
 (2)

where TC is the transport capacity (kg m⁻¹ yr⁻¹), s the slope gradient (m m⁻¹), and ktc (m) 139 an empirical transport capacity coefficient that depends on the land cover. A mass 140 141 balance approach is followed for determining the net amount of sediment in each cell: the 142 sediment transported to the cell from neighboring upslope cells is added to the sediment 143 generated in-cell by erosion, and this amount is then exported entirely to the downslope 144 cells (if it is lower than the transport capacity) or deposited in the cell (if it is greater than 145 the transport capacity). Although several equations exist for the transport capacity in 146 cases where gully erosion dominates (e.g. Verstraeten et al., 2007), we used the original 147 formulation because sheet wash erosion is the main erosion process in our study area.

148 The parameter ktc in equation (2) represents the slope length needed to produce 149 an amount of sediment equal to a bare surface with identical slope gradient, and varies 150 between extreme values of 0 and 1 (Verstraeten, 2006). It depends on the land cover, and 151 it is assumed to vary linearly between arable land highly prone to erosion where ktc is 152 highest and densely vegetated areas less prone to erosion where ktc is lowest (Van 153 Rompaey et al., 2001, 2005). This implies that ktc is site-dependent and needs to be 154 calibrated based on experimental data for each application of the model. Calibration of 155 ktc requires determining the optimum value of the two values ktcmin and ktcmax based on 156 observed erosion data. Since these values depend on the land cover, erosion data for 157 different land cover types is needed for calibrating ktc. This data is seldom available, 158 since in the best cases sediment yield data at the catchment outlet is the only data at hand. 159 It has been proposed that a fixed ratio between values ktc_{max} and ktc_{min} can be taken 160 (Verstraeten, 2006), thus reducing the problem to calibrating only one parameter, but

there are no easy ways to decide which is the most appropriate value for that ratio, sinceit is site-dependent.

163 In this work we used soil redistribution rates derived from fallout cesium-137 (^{137}Cs) as a method for calibrating ktc_{min} and ktc_{max} . The ^{137}Cs technique is based on a 164 165 comparison of measured inventories (activity per unit area) at individual sampling points 166 with a measured reference inventory at stable sites in the same catchment. Soil 167 redistribution rates are estimated from the difference between those values using a mass 168 balance model and considering both the fallout rates and natural decay of the radioisotope over the time span (Soto and Navas, 2004). A major advantage of the ¹³⁷Cs technique is 169 170 the potential to provide medium-term (40 to 50 years, depending on the sampling date), 171 spatially distributed information regarding net soil redistribution (erosion and 172 aggradation) rates. Additionally, and with the objective of illustrating the discussion 173 about the model calibration, we performed an alternative calibration based on seven years of sediment yield recorded at the catchment outlet. Details of the ¹³⁷Cs and sediment 174 175 yield datasets and of the calibration procedure are given in the following sections.

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177 *2.2 Study area*

The Arnás catchment is located in the Borau valley, central Spanish Pyrenees, in the headwaters of the Aragón River (Figure 1A). The catchment is an experimental site area that has been subject of many studies. It has been described in detail in several works, for example in Navas et al. (2005). Here we will outline its main characteristics. The catchment covers an area of 2.84 km², with altitudes between 912–1339 m

above the sea level (Figure 1B and 1C). The climate is sub-Mediterranean with Atlantic

184 influence, with average temperature of 10 °C and average annual precipitation of 930 mm 185 for the period October 1996 to September 2009. Precipitation is slightly higher in autumn 186 and spring due to frontal activity. Nevertheless, snowfall is not rare during the winter, 187 and some storms occur in summer. Snow remains on the soil only for a few days per 188 year, since the 0 °C isotherm is located above 1600 m a.s.l. during winter.

189 The area is underlain by Eocene flysch, i.e. by alternating layers of marls and 190 sandstone. The two slopes of the catchment have contrasting physiographic 191 characteristics. On the southwest-facing slopes, poorly developed Rendsic Leptosols and 192 Calcaric Regosols on unconsolidated materials predominate (Navas et al., 2005), with an average slope gradient of 0.5 m m⁻¹. On these steep slopes several ancient mass 193 194 movements (debris flows) are identified, disconnected from the fluvial network (Lorente 195 et al., 2000), and having a scarce influence on the sediment load at the basin scale 196 (Bathurst et al., 2007). On the gentler northeast-facing slope (average gradient of 0.28 m 197 m^{-1}), soils are haplic Kastanozems and Phaeozems. These soils are deeper (50 to >75 cm) 198 and better developed with clearly differentiated soil horizons (Navas et al., 2005). Some 199 deep mass movement (earthflows) affected the slope, resulting in an undulated topography and in some small wet areas. The low slope gradient (average 0.08 m m^{-1}) on 200 201 the valley bottom has deep Calcaric Fluvisols developed on alluvial deposits, with 202 minimal horizon differentiation (Navas et al., 2005). The main soil properties are 203 summarized in Table 1.

Vegetation is composed of Mediterranean shrubs (*Buxus sempervirens, Genista scorpius*) on the south-west facing slope (shrub slope), and *Juniperus communis, Buxus sempervirens, Echynospartum horridum* and forest patches with *Pinus sylvestris* in the

207 north-east facing slope (forest slope) (Figure 1E). For centuries, land use in the Arnás 208 catchment consisted on farming both the northeast- and southwest-facing slopes, in very 209 difficult topographic conditions. Commonly, the shady aspect was not cultivated in the 210 Pyrenees, whereas the south facing slopes were cultivated up to 1600 m a.s.l. (García-211 Ruiz and Lasanta, 1990). Exceptionally, the Arnás catchment was also farmed in the 212 north-east facing slope due to its smooth gradient, allowing a relatively high insolation 213 for cereal cropping in sloping fields. Concave slopes in the sunny slope were occupied 214 with bench terraces, while the convex and straight slopes were cultivated under shifting 215 agriculture systems with scarce practices of soil conservation (Lasanta et al., 2006). Since the beginning of the 20th century, farmland abandonment firstly affected the worst fields 216 217 under shifting agriculture. Since the 1950's the rest of the sloping and bench terraced 218 fields were also abandoned, and the flat fields in the valley bottom were abandoned in the 219 1970's. As a consequence of land abandonment a complex process of plant colonization 220 occurred, resulting in the installation of dense shrub communities and an increasing 221 presence of trees. The fields in the valley bottom still remain as grazing meadows, 222 although Genista scorpius is progressively colonizing them due to very low grazing 223 pressure. The process described is similar to that observed in other European regions in 224 which re-vegetation processes are the consequence of land abandonment (Kozak, 2003; 225 Taillefumier and Piégay, 2003; Torta, 2004).

Since 1996 a number of studies have been carried out in the Arnás catchment devoted to understanding its hydrology, soil properties and processes (Navas et al., 2002a; Navas et al., 2002b; Seeger et al., 2004; García-Ruiz et al., 2005; Navas et al.,

2005; Lana-Ren<u>a</u>ult et al., 2007a; Lana-Renault et al., 2007b; <u>Lana-</u>Renault and Regüés,
2007; Navas et al., 2008; Lana-Renault and Regüés, 2009; <u>López-Vicente et al., 2011</u>).

232 *2.3 Data*

An input dataset was prepared as GIS layers with a 5x5 m horizontal resolution. A digital elevation model (DEM) was the main input, from which a drainage network map was derived by setting a threshold upstream catchment area. Land use, rainfall erosivity, soil erodibility, and crop management maps were also produced based on aerial photo interpretation, daily rainfall data, and a soil field survey (Figure 2). Detailed information about the development of this dataset is provided as supplementary material.

For calibrating the *ktc* parameter a dataset of 19¹³⁷Cs inventories was used. They 239 240 were collected along three representative transects: i) five sample points on the south-241 west facing slope (forest slope); ii) four sample points on the north-east facing slope 242 (shrub slope); and, iii) ten sample points along the valley bottom (Table 3 and Figure 1). 243 Soil redistribution rates were computed at these points by comparing these samples with a reference ¹³⁷Cs inventory for the area taken on a flat area not affected by erosion or 244 245 deposition. These are average values for the period between 1963 (starting of significant ¹³⁷Cs fallout in the region) and 2003 (time of sample collection and radio-isotopic 246 247 analysis). We refer the interested reader to the article by Navas et al. (2005), where details of the development and interpretation of the ¹³⁷Cs dataset are given. 248

In addition, seven years (from October 1999 to September 2008) of sediment yield recorded at the catchment outlet were used for validating the results of the simulation with an independent dataset. Detailed information about the instrumentation and data collected in the Arnás catchment is given in Lana-Renault and Regüés (2009).
We also used the sediment yield data to perform an exercise by comparing the calibration
obtained from ¹³⁷Cs (internal) data with a calibration based on catchment yield (external)
<u>data.</u>

256

3. Results

258 *3.1. Model calibration and validation*

259 The calibration procedure consisted in performing a high number of simulations (n=100) corresponding to the time span 1963-2003 modifying the values of ktc_{max} and 260 ktcmin at discrete steps within a predefined range. For each combination of ktcmax and 261 ktc_{min} a soil erosion map was obtained in terms of net soil redistribution (Mg ha⁻¹ y⁻¹), 262 allowing comparison of the point ¹³⁷Cs soil redistribution estimates with the model 263 simulations for the 5x5 m grid cell corresponding to the location of the ¹³⁷Cs 264 265 measurements. The Nash-Sutcliffe model efficiency statistic NS (Nash and Sutcliffe, 266 1970) was used as a likelihood metric. The relative root mean square error (RRMSE) was 267 used as an estimate of the model accuracy. Formulation of the two statistics is given in 268 the supplementary material section.

It was found that the error surfaces varied quite smoothly, allowing construction of a meta-model of the NS and RRMSE statistics in the (ktc_{max} , ktc_{min}) space using thin plate spline interpolation over the 100 simulation runs. Leave-one-out cross-validation of the meta-model yielded a standard error of 0.000344, that is, around 0.1%, and the R² of the regression line between TPS cross-validation residuals and measured NS values was 0. These values allow assuming that uncertainty of the meta-model did not affect the estimation of the optimum parameter combination. Thus, the meta-model was analyzed to determine the optimum values of ktc_{max} and ktc_{min} as those that maximized the NS statistic or minimized the RRMSE.

278 The error surface topographies in the 2D (ktc_{max}, ktc_{min}) space are shown in Figure 279 3. In both cases a good convergence of the model to a global optimum point coinciding 280 with the maximum NS and the minimum RRMSE values was found, corresponding to values of $ktc_{max} = 9.84$ m and $ktc_{min} = 2.05$ m (ratio = 0.208). The model efficiency 281 282 statistics for these parameters was NS = 0.845 and RRMSE = 0.485, which can be 283 considered very good. There were no problems in identifying the optimum parameter 284 values, since the error surfaces were smooth and converged to a single optimum value. 285 Under these conditions, it is possible to implement an automated algorithm for finding 286 the optimum parameter set in a small number of steps, up to a desired precision. The 287 results shown in Figure 3 demonstrate that the use of spatially distributed sediment yield data from ¹³⁷Cs inventories allowed calibrating the empirical parameters of 288 289 WATEM/SEDEM in a satisfactory way.

290 Application of the calibrated model to the Arnás catchment allowed comparing the soil redistribution rates predicted by WATEM/SEDEM and the corresponding ¹³⁷Cs 291 292 estimates (Figure 4). It must be stressed, however, that the comparison made in Figure 5 does not correspond to an independent test, since the ¹³⁷Cs redistribution rates were used 293 294 for calibrating the model. The results revealed a strong relationship between both erosion rates ($R^2 = 0.503$, 0.818 excluding two outlier points), mainly at the points located on the 295 296 southwest-facing slope (shrub slope) and at the valley bottom. In general, 297 WATEM/SEDEM overestimated slightly the net erosion rates, but this was due to a few

influential points. Two points which corresponded to the northeast-facing slope (forest slope), <u>points_5</u> and 2, were located far from the perfect adjustment line. While WATEM/SEDEM predicted high erosion or sedimentation rates at these points, they can be considered approximately stable as derived from ¹³⁷Cs estimates. It was possible to obtain stable results for these points by manually tuning the ktc_{min} parameter to a very low value, but this affected negatively the overall calibration.

An alternative calibration was performed based on seven years of sediment yield 304 data at the Arnás catchment outlet. Contrary to the calibration based on ¹³⁷Cs data, the 305 306 results of this calibration were not conclusive, since an infinite number of possible 307 parameter combinations could be found that yield equally good results. This is shown as 308 a 'valley' in the RRMSE plot or a 'ridge' in the NS plot (Figure 5). Differences between 309 these alternative parameter combinations are related to the relative contributions of 310 different land cover types, which could not be assessed without spatially distributed soil 311 erosion data within the catchment.

312 Data from seven years of hydrological monitoring were used for validating the 313 model. Sediment yield values predicted by WATEM/SEDEM with the best parameter set 314 were compared with sediment yield values measured at the catchment outlet (Lana-315 Renault and Regüés, 2009). In this case the two samples were independent, so a real 316 validation could be performed. Correspondence between the two values was in general very good (Table 3 and Figure 6), with an overall R^2 of 0.857 (0.991 excluding the worst 317 318 prediction). The model was good at estimating annual sediment yields close to average, 319 but tended to underestimate high sediment yields and overestimate low sediment yields.

320 The bad results obtained for the hydrological year 2001-2002, for which the measured sediment yield was abnormally low at 71 Mg y⁻¹, are attributed to changes in 321 322 the channel caused by accumulation of debris after the years 1999-2000 and 2000-2001, 323 which registered abnormally high sediment production due to the occurrence of severe 324 storms responsible for high rainfall erosivity values. These morphological changes 325 modified temporarily the behavior of the stream, reducing its capacity to transport 326 sediment, and were not captured by the simulation. Overall, sediment yield during the measuring period 1999-2008 was 244 Mg y⁻¹, compared to 268 Mg y⁻¹ predicted by 327 328 WATEM/SEDEM.

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330 *3.2. Hillslope sediment delivery and major sediment sources*

331 Application of WATEM/SEDEM to the land use conditions prevailing during the 332 period 1963-2003 allowed estimation of the total sediment yield and assessment of the 333 relative contributions of each hillside. WATEM/SEDEM predicted a gross SY of 350 Mg y^{-1} , which can be translated to specific sediment yield SSY of 1.23 Mg ha⁻¹ y^{-1} . These 334 335 values are slightly higher than the average values recorded during seven years at the gauging station at the outlet of the Arnás catchment, which were 273 Mg y⁻¹ and 0.96 Mg 336 ha⁻¹ y⁻¹, respectively (Lana-Renault and Regüés, 2009). This could be explained by 337 338 differences in rainfall erosivity (R-factor) for both periods (Table 4): while for the gauging period 1999-2008 rainfall erosivity was 926 MJ mm ha⁻¹ h⁻¹ y⁻¹, for the period 339 1963-2003 a higher value of 1217 MJ mm ha⁻¹ h⁻¹ y⁻¹ was registered. This difference in 340 341 rainfall erosivity can explain the higher SY estimated for the long period.

To assess the sediment delivery ratio (SDR = SY/gross erosion rate; expressed as a percentage) we calculated the gross soil erosion rate (6,521 Mg year⁻¹) as the net soil erosion for the area (*i.e.*, total sediment production) before sediment was routed down the hillslopes to the Arnás ravine. The predicted SDR value at the outlet of the watershed was approximately 5%.

347 The predicted sediment yield map was used to analyze the major sediment sources in the Árnas catchment (Figure 7). The major sediment sources were located in the south-348 west facing slope (scrub slope), with an average $SSY = 1.49 \text{ Mg ha}^{-1} \text{ y}^{-1}$, particularly in 349 350 the straight slopes in the lowest and highest parts of the hillslope, whilst the convex and 351 concave areas were affected by moderate erosion processes; sedimentation prevailed in 352 some concave sectors and in the flat areas of the valley bottom. The north-east facing slope (forest slope) had a value of SSY = $0.69 \text{ Mg ha}^{-1} \text{ y}^{-1}$, with, in general, low erosion 353 354 rates and some areas in which sedimentation prevail, following the terraced borders of 355 old cultivated fields. Apart from the land cover and physiographic differences, stoniness was clearly different between both sides of the valley, being on the south-west facing 356 slope (mostly above 400 g kg⁻¹). 357

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359 3.3. Effect of land use change on soil redistribution patterns and on sediment yield

The robustness of the calibration of *ktc*, with samples corresponding to different land uses gave confidence for applying the model to alternative LULC scenarios. <u>The</u> contemporary land use contains almost no croplands (Fig. 2B), which may result in a bad calibration of *Ktc* for this land use. However, the abundance of other land use types with a comparable C-factor (and hence similar expected values of *Ktc*) reduces the uncertainty and allows applying the model to other LULC scenarios. An analysis was made of the
effects of LULC change in the Arnás catchment in soil redistribution and sediment yield
by applying WATEM/SEDEM using two LULC scenarios (Figure 8):

i) the first scenario corresponded to the conditions that prevailed on the catchment
during the early twentieth century, when the study area was occupied by annual crops,
mainly cereals; and

ii) a second scenario consisting on a hypothetical LULC condition in the future,
provided that land use will be almost unmanaged and that vegetation colonization will
progress on the south-west facing slope (now mostly covered by dense scrub land) that
would be occupied by forests.

375 SY and SSY maps predicted by WATEM/SEDEM for these two alternative 376 LULC scenarios allowed analyzing the effects of past and foreseen LULC changes on 377 soil erosion patterns and total sediment yield in the Arnás catchment (Table 5 and Figure 378 9). For the past scenario (LULC prior to 1950) the catchment was almost entirely 379 occupied by cereal crop fields. In fact, inspection of a vertical aerial photograph from 380 1956 confirms that the Arnás catchment was fully cultivated, both in the north-east and 381 the south-west facing slopes, even on steep slope gradients, occasionally under shifting agriculture systems. The SY and SSY values (3,180 Mg v^{-1} and 11.19 Mg ha⁻¹ v^{-1} , 382 383 respectively) obtained using that scenario were extremely high in comparison with the 384 values obtained with the current LULC, representing an increase of approximately 810%. 385 Consequently the SDR was higher than with the current LULC, rising up to 84% (Table 386 5).

Net erosion areas had predominance over the sedimentation areas under past LULC, and erosion was intense even in the relatively gentle slopes of the northeastfacing slopes (Figure 9A). A higher number of intermediate sedimentation areas also appeared especially in the northeast-facing slope. These bands are related to the presence of plot margins or slightly terraced slopes (now almost completely hidden by vegetation, but still recognizable in the field), which helped reducing the loss of soil towards the river network.

394 In the second scenario (future situation) an increment of forest and dense 395 scrubland was proposed in the northeast- and southwest-facing slopes, respectively, as a 396 consequence of land use abandonment (Table 4). The SY and SSY predicted values (255 Mg y^{-1} and 0.89 Mg ha⁻¹ y^{-1} , respectively) were approximately 38% lower with respect to 397 398 the current LULC condition, and 1,150% lower than the past LULC scenario. The SDR 399 was very similar to the value obtained with the current LULC (5.15 %). Nevertheless, the 400 gross erosion rate was 32% lower than the current situation. The sediment yield map (Figure 9B) shows a predominance of low erosion values (less that 10 Mg ha⁻¹ y⁻¹), and a 401 402 reduction of the erosion areas. Figure 9B shows a remarkable trend towards: i) a 403 reduction in the sediment sources, even in the south-west facing slope; and ii) a trend to 404 homogenization.

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4. Discussion and conclusions

407 A spatially distributed soil erosion and sediment transport model, 408 WATEM/SEDEM, was applied to simulate soil redistribution in a mountain catchment 409 under current, past and hypothetical future land use/land cover (LULC) conditions. A

dataset of soil redistribution rates derived from ¹³⁷Cs profiles at 19 sampling points
within the catchment were used to calibrate the model.

Calibration using ¹³⁷Cs data was very successful, since it was possible to 412 determine a single combination of the *ktc* parameters ($ktc_{max} = 9.84$ m, $ktc_{min} = 2.05$ m) 413 414 that provided a good fit to the observed soil redistribution rates within the catchment. 415 Only for two locations in the forested slope a disagreement was found between soil 416 redistribution rates obtained by the two methods, probably as a consequence of the 417 relevance in that area of soil creeping processes that are not considered by the model. 418 These results contrast with a similar study by Feng *et al.* (2010), in which they found a 419 poor convergence to a global optimum parameter set and erosion rates estimated by both methods (WATEM/SEDEM and ¹³⁷Cs) differed considerably. The optimum values for 420 ktc_{min} and ktc_{max} in that case were 6 and 7 respectively, indicating a poor discrimination 421 422 between LULC types. The poor performance in this study case could be possibly 423 attributed to deficiencies in the sampling design, since farming LULCs were under-424 represented in the calibration dataset with only 4 sites against 56 sites in well vegetated 425 LULCs, being an important source of bias against farming LULCs in the calibration 426 process. Additionally, the calibration algorithm described was far from optimal, since the 427 multi-dimensionality of the problem was eliminated by keeping the value of some 428 parameters fixed while calibrating other parameters, ignoring likely co-variances among 429 parameters.

430 An additional calibration exercise was performed based on sediment yield data at 431 the catchment outlet for comparison purposes, since most applications of 432 WATEM/SEDEM up to date have been based on catchment sediment yield data. This

433 raises a fundamental problem, since it is difficult to calibrate land-cover related 434 parameters with sediment yield alone. As a solution, some authors proposed that a fixed ratio between ktc_{max} and ktc_{min} be taken, which has the effect of lumping both parameters 435 436 into a single one, thus allowing calibration (Verstraeten, 2006). However this raises new 437 concerns, since there is no way to decide which is the most appropriate value for that 438 ratio, which would be site-dependent. In a previous study in the Ésera watershed in the 439 Central Spanish Pyrenees (Alatorre et al., 2010) we found significant problems for 440 calibrating WATEM/SEDEM based on sediment yield data at the catchment level. The 441 results of the calibration experiment in this work confirm that it is not possible to identify 442 a single combination of *ktc* parameters that allows optimize the objective function, hence 443 demonstrating the need for spatially- and land use-distributed soil redistribution data such as that provided by ¹³⁷Cs data. 444

445 Application of WATEM/SEDEM with the optimum parameter set to the Arnás 446 catchment allowed estimating the sediment balance of the catchment. Very good 447 agreement was found between modeled and measured annual sediment yield values at the 448 catchment outlet. The simulation allowed also determining the major sediment sources 449 within the catchment, and the existence of intermediate sediment traps between the hillslopes and the channel network. Mean sediment yield was determined at 350 Mg y⁻¹ 450 or 1.23 Mg ha⁻¹ y⁻¹. These values are similar in order of magnitude to other catchments in 451 the Spanish Pyrenees. Almorox et al. (1994) obtained an estimate of 4.12 Mg ha-1 year-1 452 for the Yesa Reservoir in the Aragón River basin, 1.67 Mg ha⁻¹ year⁻¹ for Barasona 453 454 reservoir in the Ésera river basin. Similar or higher values have been estimated for small 455 experimental catchments in the French Alps (Mathys et al., 2005), the Eastern Pyrenees 456 (Gallart et al., 2005; Soler et al., 2008), and the Central Pyrenees (*García-Ruiz et al.*,
457 2008), which encompass a variety of bedrocks and climates.

458 Sediment delivery ratio (SDR) for the catchment was determined at 459 approximately 5%. This is a low value, but not extreme considering the high variability 460 of this parameter among catchments. For example, Van Rompaey et al. (2007) reported a SDR of 28% for a catchment of 1,960 km² in the Czech Republic; Verstraeten et al. 461 (2007) found SDR values of 20-39% for catchments of 164-2,173 km² in Australia; 462 463 Fryirs and Brierley (2001) estimated an extremely high SDR of almost 70% in the Bega 464 River catchment (New South Wales, Australia), which caused dramatic changes to the 465 river morphology; Romero Díaz et al. (1992) found SDR values of 7-46% in the 466 subcatchments of the Segura River (Spain); and de Vente et al. (2008) predicted SDR 467 values ranging from 0.03% to 55% for 61 catchments in Spain. It must be noted, 468 however, that the catchments cited are of very varying size and that SDR calculation 469 methods vary between studies, so any comparison must be taken with great care.

470 The existence of a robust calibration of the model's parameters allowed 471 performing additional simulations under LULC scenarios. Simulation under past land use 472 (farming land in most of the catchment) resulted in an increase of gross erosion and 473 sediment yield of about one order of magnitude. These values coincide with the intensity 474 of erosive processes (mostly sheet wash and rill formation, but also shallow landsliding) 475 that has been described as predominant during the period of maximum agricultural 476 activity (García-Ruiz et al., 1995; García-Ruiz and Valero-Garcés, 1998), resulting in a 477 degraded landscape, surface stoniness and braiding of the stream network (Beguería et 478 al., 2006). The SDR increased up to 84%, and a much better connectivity between erosion areas and the stream network was found. A second LULC cover scenario
reproducing an increase of the vegetation cover due to land use abandonment resulted in
erosion and sediment yield values approximately one third lower than under current
LULC. The SDR was quite similar to the current one.

483 In the absence of long-term sediment yield records, simulations with 484 WATEM/SEDEM allow quantifying the effect of recent LULC change on the reduction of soil erosion and sediment source areas as a consequence of the abandonment of 485 486 agricultural activities and vegetation re-colonization. As our simulations suggest, this 487 process has almost reached its final stage, since further increase or densification of the 488 vegetation cover did not have a large effect on either gross erosion or sediment yield 489 values. Although these findings can be translated to other mountain areas, it must be 490 noted that in certain cases land abandonment can increase spatial connectivity and so 491 produce higher sediment yields (García-Ruiz and Lana-Renault, 2011).

492 As pointed out in previous works (Alatorre et al., 2010), 'spatially lumped models 493 provide reasonable predictions of sediment yield but offer no insight into sediment 494 sources'. A clear advantage of spatially-distributed models is that they can be useful for 495 implementing measures to prevent soil erosion and sediment generation, since they allow 496 assessing the impacts of changes in land use or climate. However, the use of models of 497 this kind usually involves calibration of empirical parameters, so records of soil 498 redistribution rates are required. We have demonstrated that the use of catchment 499 sediment yield data alone is not enough to allow for a robust calibration of land usedependent parameters. The use of ¹³⁷Cs-derived soil redistribution rates can provide this 500

information and arises as a very promising technique for the calibration of soil erosionand redistribution models.

503 In this work we have shown that a spatially-distributed soil erosion and 504 redistribution model can be used for evaluating sediment budgets with current and 505 alternative land use scenarios. We assessed variations in the amount of sediment 506 exported, but also changes in the sediment source and deposition areas as a consequence 507 of past and likely future land use change. Such an assessment has only been possible with the help of internal measurements of soil redistribution such as those provided by a 137 Cs 508 509 survey. We demonstrate that external data such as measurements of total sediment yield 510 at the catchment outlet do not provide enough information for performing a calibration of 511 a distributed model with spatially dependent parameters. This is an important conclusion 512 that should be considered in further applications of such models.

513

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- 754

	Northeast-facing slope (forest), n=48	Southwest-facing slope
pН	<u>(101est), 11–48</u> 7.97 (±0.42)	<u>(shrub),</u> n=29 8.17(±0.19)
Clay (g kg ⁻¹)	210 (±31)	195 (±34)
Silt $(g kg^{-1})$	660 (±63)	620 (±73)
Sand $(g kg^{-1})$	130 (±85)	180 (±103)
Organic matter (g kg ⁻¹)	59 (±22)	54 (±25)
Bulk density (g kg ⁻¹)	1.12 (±1.22)	1.19 (±0.61)
Moisture (%)	17 (±6.7)	11 (±7.7)
Porosity (%)	57 (±5.9)	55 (±6.2)

Table 1. Principal soil characteristics of the two valley sides in the Arnás catchment
(mean ±standard deviation over the whole soil profile), adapted from Navas et al. (2005).

Table 2. 137Cs inventories and derived soil redistribution rates for the period 1963-2003
along three transects in the Arnás catchment (Navas et al., 2005): negative and positive
values indicate net soil erosion and aggradation, respectively. Location of the ¹³⁷Cs
inventories is shown in Fig. 1D.

Transect	Point ID	¹³⁷ Cs inventory	Soil redistribution
		$(m Bq cm^{-2})$	$(Mg ha^{-1} year^{-1})$
Forest	1	437	0.9
Forest	2	400	0
Forest	3	430	0.8
Forest	4	404	0.1
Forest	5	400	0
Shrub	6	175	-26.4
Shrub	7	162	-29.5
Shrub	8	280	-11.6
Shrub	9	282	-14.3
Valley	10	297	-7.4
Valley	11	367	-2.0
Valley	12	476	2.2
Valley	13	433	1.0
Valley	14	436	1.0
Valley	15	324	-4.3
Valley	16	439	1.2
Valley	17	325	-5.2
Valley	18	333	-4.7
Valley	19	248	-44.6

766	Table <u>3</u> . Values of cumulative precipitation (P), runoff coefficient (RC), rainfall erosivity
767	(R factor), measured sediment yield (Obs. SY) and specific sediment yield (Obs. SSY) in
768	the Arnás experimental catchment (adapted from Lana-Renault and Regüés, 2009),
769	rainfall erosivity (R-factor) calculated from high frequency (15 minutes) rain gauge data
770	(Angulo-Martínez and Beguería, 2009) and simulated sediment yield (Sim. SY and Sim.
771	SSY). Annual values for the hydrological years between 1999-2000 and 2007-2008, and
772	averages for the periods 1999-2008 and 1963-2003. NA (not available) indicates that no
773	data exists for a given parameter and time period.

Year (Oct-Sep)	P (mm)	RC (mm mm ⁻²)	$\begin{array}{c} \text{R-factor} \\ \text{(MJ mm ha}^{-1} \text{ h}^{-1} \text{ y}^{-1} \text{)} \end{array}$	Obs. SY (Mg y ⁻¹)	Obs. SSY (Mg ha ⁻¹ y ⁻¹)	Sim. SY (Mg y ⁻¹)	Sim. SSY (Mg ha ⁻¹ y ⁻¹)
1999-2000	881	0.42	1302	542	1.91	473	1.67
2000-2001	1353	0.35	1216	381	1.34	348	1.22
2001-2002	765	0.14	852	71	0.25	244	0.86
2002-2003	1043	0.20	792	216	0.76	227	0.80
2003-2004	958	0.33	846	253	0.89	242	0.85
2005-2006	986	0.25	715	116	0.41	155	0.55
2007-2008	922	0.30	754	129	0.45	186	0.65
1999-2008	986	0.28	926	244	0.86	268	0.94
1963-2003	925	NA	1217	NA	NA	350	1.23

- 776 Table <u>4</u>. Predicted gross erosion, sediment yield (SY), specific sediment yield (SSY) and
- sediment delivery ratio (SDR) under current land cover / land use (LULC) conditions and
- two LULC scenarios (prior to 1950 and future) in the Arnás catchment, based on the best

parameterization of ktc_{max} and ktc_{min} over the period 1963-2003.

Period	Gross erosion (Mg y ⁻¹)	SY (Mg y ⁻¹)	SSY (Mg ha ⁻¹ y ⁻¹)	SDR (%)
Current LULC	6,521	350	1.23	5.36
LULC before 1950	32,066	3,180	11.19	9.90
LULC future scenario	4,947	255	0.89	5.15

780

- 782 **Figure captions**
- 783

Figure 1. Study area: A) location of the Arnás catchment; B) map of the Arnás catchment showing the sites of the main monitoring instruments and soil samples; C) Lithologic map and location of the ¹³⁷Cs profiles (see points IDs in Table 3); D) digital terrain model (DTM) and ¹³⁷Cs inventories (m Bq cm⁻²); and E) current land cover/land use map derived from aerial photo-interpretation.

789

Figure 2. Input data derived from the database of the Arnás catchment: A) drainage network map derived from the DTM using threshold value of 1 km² contributing area (continuous line); B) parcel map, derived from the current land use/land cover map; C) soil erodibility map (K-factor in RUSLE, Mg h MJ⁻¹ mm⁻¹); and D) crop management map (C-factor in RUSLE).

Figure 3. Calibration of the transport capacity parameters ktc_{min} and ktc_{max} (m) using ¹³⁷Cs soil redistribution rates: error surface topographies as measured by the *NS* (left) and the *RRMSE* (right) statistics on the two-dimensional space determined by both parameters. Green colour represents the best fit.

- 800
- 801 Figure 4. Results of the calibration process: comparison of WATEM/SEDEM and ¹³⁷Cs
- 802 soil redistribution estimates for the best parameter set. The solid lines represents a perfect
- 803 <u>fit, and the dashed one is the linear regression between both datasets.</u>
- 804
| 805 | Figure 5. Calibration of the transport capacity parameters ktc_{min} and ktc_{max} (m) using |
|-----|--|
| 806 | seven years of sediment yield data at the Arnás catchment outlet: error surface |
| 807 | topographies as measured by the NS (left) and the RRMSE (right) statistics on the two- |
| 808 | dimensional space determined by both parameters. Green colour represents the best fit. |
| 809 | |
| 810 | Figure 6. Comparison of measured and predicted sediment yield at the Arnás catchment |
| 811 | outlet between the hydrological years 1999-2000 and 2007-2008 (October to September). |
| 812 | The line 1:1 represents a perfect fit, and the dashed line is the linear regression between |
| 813 | both values. |
| 814 | |
| 815 | Figure 7. Predicted sediment delivery map of the Arnás catchment under current land use |
| 816 | / land cover. |
| 817 | |
| 818 | Figure 8. Past (left) and future (right) land use scenarios used in the simulation. |
| 819 | |
| 820 | Figure 9. Predicted sediment delivery maps of the Arnás catchment: A) under land use / |
| 821 | land cover system at the beginning of the 20th century; and, B) under a likely future |
| 822 | LULC system. |
| 823 | |

824 Figure 1

















Figure 7







- 850 Supplementary material
- 851

852 1. Generation of input maps for WATEM/SEDEM

853 1.1 Digital Elevation Model

The DEM plays a central role in WATEM/SEDEM, since it is used to calculate the slope gradient and the length–slope factor (LS_{2D}), and for routing the sediment downstream. We used a DEM with a spatial resolution of 1 m elaborated by the Spanish Ministry of Agriculture using photogrammetric restitution. The grid resolution of the DTM was then reduced to 5 × 5 m grid by averaging the values on the original grid. A pit-filling algorithm (Planchon and Darboux, 2001) was used to guarantee the hydrological connectivity of the grid cells until the catchment outlet.

861

862 *1.2 Stream network*

A map of the stream network was generated using the RUNOFF module in IDRISI, with the assumption that an upstream catchment area greater than a fixed value defined a channel. After testing different values, we concluded that a threshold area of 1 km² constituted a good approximation, since it showed good consistency with the stream network as seen in the orthophoto map of the catchment. The 1 km² threshold represents an upper limit beyond which sediment deposition is highly unlikely because of concentrated overland flow (Verstraeten et al., 2007).

870

871 *1.3 Parcel map*

The parcel map was a reclassification of the current land uses/land cover map (Figure 2B), which was derived from aerial orthophotos (SIGPAC, 2003). The aerial orthophotos were digitized and the LULC types were grouped into five major classes: cultivated land, forest, grassland, infrastructure and built-up areas, and water bodies. The original map was resampled to match the spatial resolution used in the study, using the RESAMPLE algorithm implemented in IDRISI.

878

879 1.4 Soil erodibility (K-factor)

The soil erodibility factor (K-factor of the RUSLE model) describes the susceptibility of soil to erosion by rainfall. Because of the lack of detailed soil maps it was necessary to analyze soil samples from the study area. A total of 77 bulk soil cores were collected on a grid pattern at the intersections of a 200 m \times 200 m grid (Figure 1B), to assess the spatial distribution of physico-chemical soil properties relevant to soil erosion. To provide a database for the automated land evaluation system several main soil properties were analyzed in a previous study (Navas et al., 2005).

887 K-factor values were determined from soil texture data (Römkens et al., 1987)
888 according to:

889
$$K_{text} = 0.0034 + 0.0405 \exp\left[-0.5 \left(\frac{\log D_g + 1.659}{0.71}\right)^2\right],$$
 (1)

890 where K_{text} is a soil erodibility factor (Mg h MJ⁻¹ mm⁻¹) and D_g is the geometric mean 891 weight diameter of the primary soil particles (fraction < 2 mm). D_g was determined using 892 a Coulter laser diffraction particle size analyzer (Coulter LS 230) for the 2–2000 µm 893 fraction, following removal of organic matter (Buurman et al., 1997). K-factor values were then corrected to reflect the effect of stones in the soil surface on soil erodibility(Box, 1981):

896
$$K = K_{text} \exp^{(-0.0278St)},$$
 (2)

where St is the weight of stones in the topsoil, expressed as a percentage of the total weight of the topsoil. A K-factor map for the study area was obtained from the 77 selected sample points estimations by using a smoothing splines spatial interpolation method (Figure 2C).

901

902 1.5 Rainfall erosivity (R-factor)

The rainfall erosivity factor (R-factor, MJ mm $ha^{-1} h^{-1} y^{-1}$) is used to represent the 903 904 impact of rain on soil erosion, and is based on the rainfall amount and intensity. The R-905 factor value was calculated for the area using a database of rainfall series from the SAIH 906 system (automatic hydrological information network) of the Ebro basin water authority 907 (Confederación Hidrográfica del Ebro). We used all available data to calculate R-factor 908 values for the period October 1963 to September 2008. No high resolution (e.g. hourly) 909 data were available, so we used an approximation based on daily rainfall data (Angulo-Martínez and Beguería, 2009). This way, an average R-factor of 1217 MJ mm ha⁻¹ $h^{-1} y^{-1}$ 910 911 was used.

- 912
- 913 1.6 Crop management (C-factor)

A crop management factor (C-factor) was used to define the susceptibility of various LULC types to erosion by water. C-factor values were applied to each land use category according to the values proposed by the Spanish Institute for Nature Conservation, ICONA (Almorox et al., 1994): 0 for water bodies and infrastructure builtup areas (i.e. no erosion); 0.003–0.030 for forest land cover; 0.030–0.250 for scrubland;
0.045–0.150 for grassland; and 0.250–0.800 for bare soil categories (Table 2). A C-factor
map was constructed by applying those values to the LULC map (Figure 2D).

922 1.7 Model efficiency statistics923

The Nash-Sutcliffe statistic was computed as:

924
$$NS = 1 - \frac{\sum_{i=1}^{n} (O_i - P_i)^2}{\sum_{i=1}^{n} (O_i - O_{mean})^2},$$
(3)

where *n* is the number of observations, O_i is the observed value, O_{mean} is the mean observed value, and P_i is the predicted value. The value of *NS* can range from $-\infty$ to 1, and represents the proportion of the initial variance accounted for by the model. The closer the value of *NS* is to 1, the more efficient is the model in reproducing the observed values.

930 The relative root mean square error was computed as:

931
$$RRMSE = 1 - \frac{\sqrt{\frac{1}{n} \sum_{i=1}^{n} (O_i - P_i)^2}}{\frac{1}{n} \sum_{i=1}^{n} O_i}.$$
 (4)

932