

Response to the comments Reviewer #2

Comments

But a main issue is that future conditions might be outside the range of observed historical variability. What to do then?

Response: In the context of predicting the impact of climate change on streamflow, it is likely that future conditions might be outside the range of observed historical variability, issue of nonstationarity. It is important that we test our models thoroughly against observed historical data by acknowledging climate variability. We should apply the differential split-sample test by splitting historical record into sub-periods with different average rainfall as demonstrated in the current study. Although this will not solve the nonstationarity problem, it will at least help us to quantify uncertainty in model predictions. One another approach to this problem is to examine how other catchments behave under these different climatic conditions, i.e. trading space for time (Singh et al., 2011).

Comments

Most people would use a very long period for calibration that includes both dry and wet periods. This ensures the general stability of the model parameters. How does such a calibration compare to the shorter wet/dry calibration?

Response: Traditionally, one would use a sufficiently long period of records for model calibration to ensure proper presentation of climate/streamflow variability and to achieve stable model parameters. If the model is to be used under stationary conditions, it is generally recommended that the whole record should be divided into two segments, one for calibration and the other for validation. However, if a model is to be used under non-stationary conditions, its parameters should be transferable. In other words, the parameters should be estimated so that the model gives accurate estimates of streamflow outside the climatic conditions encountered in calibration period. In this case, one should identify two periods with different climatic conditions (e.g. a dry period and wet period) from the whole record and apply the so-called differential split-sample test (Klemes, 1986). The current paper is dealing with the issue of non-stationary conditions and we focused on transposability of model parameters for climate change impact studies. Vaze et al. (2010) showed that hydrological models perform differently when calibrated under various climatic conditions. For example, when the models were calibrated using long period of record and tested for sub-periods with above long-term average rainfall, the model performed well. However, performance of the models starts to deteriorate when tested for sub-periods with below long-term average rainfall. These results are consistent with the findings of the current paper.

Comments

Just looking at the calibration/validation performance is interesting, but really only part of the story. Which (optimized) parameters vary between wet and dry periods and do they vary in a predictable manner is equally important. The authors start doing so, but do not really finish the analysis. If parameters vary in a controlled manner then one could consider the changing conditions in the parameterization of the model. If they vary randomly, then this would be a big problem! The authors should assess how the parameters vary between the different periods (is there structure in this change?). Also, which parameters vary? This is closely related to the issue of sensitivity as discussed below (See Merz et al. and van Werkhoven et al.).

Response: Thanks for the suggestion. We explored this issue in the revised manuscript and the results showed that some of the model parameters exhibited trends between dry and wet conditions. However, it is difficult to develop any predictable relationships.

Following texts have been added:

“The above results indicate that some of the model parameters are sensitive to calibration conditions and the others are relative robust. An important question is how the sensitive parameters vary between the different calibration periods. Figure 8 shows the distributions of the optimized parameters of the two models under the dry and wet conditions in two selected catchments with summer-dominant rainfall (110003) and winter-dominant rainfall (401210). For the SIMHYD model, some parameters exhibited different distributions in the dry and wet calibration periods. For example, the parameter SUB tends to be more likely at a higher value in the dry periods than in the wet periods. However, the results did not reveal any systematic trends in the other parameters. For the DWBM model, the most likely value for the parameter α_1 was higher in the dry period than in the wet period for catchment 110003 and vice versa for catchment 401210. The parameter S_{max} showed different distributions in the dry and wet periods and these distributions vary across the catchments.”

It has also been recognized that model calibration tends to compensate model structural errors (Merz et al., 2011, Wagner et al., 2003) making it difficult to understand how model parameters vary with calibration periods (Wagener et al., 2010).

Comments

Link your work to the current discussion going on in HESS-D. For example Singh et al. (2011, HESS-D) present an approach to consider the time-varying change in optimal parameters with climate beyond the historical variability.

Response: Thanks for the suggestion. We discussed our results in light of Singh et al. (2011) and showed that some model parameters are more stable and less sensitive to the choice of calibration periods (i.e. dry vs wet). However, it is difficult to develop reliable relationships between model parameters and climatic conditions (e.g. rainfall).

Comments

There have also been other studies addressing the same issue as mentioned in this paper. Most notably the stuffy by Merz et al. (2011) in Water Resources Research that the authors should relate their work to.

Response: Thanks for the suggestion. We made references to Merz et al (2011) in the revised manuscript by adding the following texts:

“Merz et al (2011) applied a semi-distributed conceptual rainfall-runoff model to 273 catchments in Austria and showed that the parameters of the soil moisture accounting schemes exhibited strong dependence on calibration conditions, consistent with the results of the current study. This also suggests that parameters related to soil moisture accounting are likely to change with calibration conditions. The fact that these parameters are sensitive to the choice of calibration period (i.e. dry vs wet) indicates that large uncertainty may be associated with these parameters and care needs to be exercised when transferring the parameters to conditions different from the calibration.”

Comments

Another issue is that it is not just optimal parameters that vary with time, but also the parameters of the model that are sensitive. This is an important consideration since different parameters might control the response, and there will respond during calibration, for different climatic periods. Van Werkhoven et al. (2008, in Water Resources Research) demonstrated this issue by analyzing a conceptual model similar to the one studied here across watersheds in different climatic regions of the US. The related question is also whether certain parameters are calibrated during wet periods, while others are calibrated during dry periods. If different parameters are active during different periods, then this is not a problem. However, if the same parameter has to take on different values, then that is a real issue!

Response: This issue has largely been addressed in the revised manuscript and see our responses above.

1 **the revised manuscript**

2 **The transferability of hydrological models under nonstationary**
3 **climatic conditions**

4

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23 **Abstract:** This paper investigates issues involved in calibrating hydrological models
24 against observed data when the aim of the modelling is to predict future runoff under
25 different climatic conditions. To achieve this objective, we tested two hydrological
26 models, DWBM and SIMHYD, using data from 30 unimpaired catchments in
27 Australia which had at least 60 years of daily precipitation, potential
28 evapotranspiration (PET), and streamflow data. Nash–Sutcliffe efficiency (NSE),
29 coefficient of determination (R^2), modified index of agreement (d_1) and absolute
30 percentage water balance error (WBE) were used as performance criteria. We used a
31 differential split-sample test to split up the data into 120 sub-periods and 4 different
32 climatic sub-periods in order to assess how well the calibrated model could be
33 transferred different periods. For each catchment, the models were calibrated for one
34 sub-period and validated on the other three. Monte Carlo simulation was used to
35 explore parameter stability compared to historic climatic variability. The chi-square
36 test was used to measure the relationship between the distribution of the parameters
37 and hydroclimatic variability. The results showed that the performance of the two
38 hydrological models differed and depended on the model calibration. We found that if
39 a hydrological model is set up to simulate runoff for a wet climate scenario then it
40 should be calibrated on a wet segment of the historic record, and similarly a dry
41 segment should be used for a dry climate scenario. The Monte Carlo simulation
42 provides an effective and pragmatic approach to explore uncertainty and equifinality
43 in hydrological model parameters. Some parameters of the hydrological models are
44 shown to be significantly more sensitive to the choice of calibration periods. Our
45 findings support the idea that when using conceptual hydrological models to assess
46 future climate change impacts, a differential split-sample test and Monte Carlo
47 simulation should be used to quantify uncertainties due to parameter instability and

48 non-uniqueness.

49

50 **KEY WORDS:** Hydrological models; nonstationarity; calibration; validation; climate

51 change

52

53 **1 Introduction**

54 Climate change caused by increasing atmospheric concentration of greenhouse gases

55 may have significant effects on the hydrological cycle and water availability, hence

56 affecting agriculture, forestry, and other industries (Rind et al., 1992; IPCC, 2007).

57 Changes in the hydrological cycle may mean more floods and droughts, and increased

58 pressure on water supply and irrigation systems. It is important for us to be able to

59 estimate the potential impact of climate change on water resources and develop

60 sustainable management strategies. One of the challenges in predicting hydrological

61 response to climate change is the issue of hydrological nonstationarity (Milly et al.,

62 2008). There are numerous factors that can affect hydrological stationarity and these

63 include vegetation responses to elevated CO₂, changes in land use and rainfall

64 characteristics. It is crucial to improve our understanding of the effect of

65 nonstationarity on hydrological assessments of climate change.

66

67 Hydrological models are important tools for predicting the impact of climate change

68 on future water resources and associated socioeconomic impacts. A number of models

69 have been used to evaluate hydrological effects of climate change (Rind et al., 1992).

70 Predicting the hydrological impacts of climate change involves two key steps:

71 downscaling the outputs from global climate models (GCMs) and then running

72 hydrological models. At present, outputs from different GCMs have been used to
73 drive hydrological models for predicting streamflow under a changed climate (Chiew
74 et al., 2009). There are many factors that can affect the accuracy of a rainfall-runoff
75 model in predicting the hydrological responses to climate change, including the
76 particular hydrological model chosen, the GCM used, the optimisation technique
77 employed, and the calibration period of the model. Most researchers usually use an
78 ensemble of these techniques to minimise the uncertainty in predicting climate change
79 impacts. For instance, *Chiew et al.* (1995) used results from 5 separate GCM
80 experiments and reported that, in certain parts of Australia, the GCMs did not even
81 agree on the direction of change in rainfall (i.e. increasing or decreasing rainfall).
82 *Boorman et al.* (1997) evaluated effects of climate change on mean runoff, flood
83 magnitude, and low flow for 3 catchments in UK using 2 conceptual rainfall-runoff
84 models. In their study, they considered 2 climate scenarios and 8 climate sensitivity
85 tests. *Minville et al.* (2008) produced an uncertainty envelope of future hydrological
86 variables by considering 10 equally weighted climate projections from a combination
87 of 5 GCMs and 2 greenhouse gas emission scenarios. *Monomoy et al.* (2007) used 6
88 automatic optimisation techniques to calibrate a conceptual rainfall-runoff model, and
89 there have been a number of more recent studies for estimating the impact of climate
90 change on hydrological processes (Chiew et al., 2009, Vaze et al., 2010, Boyer et al.,
91 2010). An implicit assumption in all these studies is that rainfall-runoff models
92 calibrated over the historical period are valid for predicting the future hydrological
93 regime under a changed climate and this relates directly to the assumption of
94 hydrological stationarity. However, little has been carried out to test the validity of
95 this assumption.

96

97 Calibration of hydrological models generally involves optimizing model parameters to
98 match measured streamflow using observed rainfall as input. Performance of the
99 model is usually tested using a simple split-sample test, i.e. the model is calibrated for
100 one period of the record and tested for another period. The simple split-sample test
101 may be sufficient for applications where hydroclimatic conditions between the
102 calibration period and validation period are similar. However, when the model needs
103 to be applied to simulate streamflow from periods with different conditions from
104 those in the calibration periods, a more powerful test is required (Klemes, 1986, Xu,
105 1999, Seibert, 2003). In a recent paper, *Andreassian et al* (2009) used crash test to
106 advocate for more comprehensive model testing in hydrology. For predicting the
107 impact of climate change on streamflow, the input rainfall series are varied according
108 to an assumed future climate scenario and this often means different climatic
109 conditions. But is it appropriate to use these models for future climatic conditions
110 when rainfall–runoff relations could be very different to those experienced
111 historically?

112

113 This paper investigates the transferability of hydrological models under nonstationary
114 climatic conditions. We compare results obtained with different hydrological models
115 calibrated under different climatic conditions. The paper first presents two
116 hydrological models chosen for this study – the Dynamic Water Balance Model
117 (DWBM) and the SIMHYD model – and then describes the data used to calibrate
118 them. We describe different methods of applying the data, including a differential
119 split-sample test, a Monte Carlo simulation, and a performance criterion. Finally, we
120 analyse the performance of the models under different calibration conditions and
121 discuss the optimal parameters for each.

122

123 **2 Description of Hydrological Models and Data**

124 Two lumped hydrological models with daily inputs were chosen for this study: the
125 Dynamic Water Balance Model (DWBM) (Zhang et al., 2008) and the SIMHYD
126 model (Chiew et al., 2002), and detailed description of the two models is presented
127 below.

128

129 **2.1 The Dynamic Water Balance Model (DWBM)**

130 The DWBM model used in this study was developed by *Zhang et al.* (2008). It is a
131 lumped conceptual water balance model with two stores: a near surface root-zone
132 store and a deeper zone store (**Figure 1**). The model is based on Budyko's concept of
133 water availability and atmospheric demand (Budyko, 1958) or the concept of "*limits*
134 *and controls*" (Calder, 1998). Fundamental to this model is a functional form that
135 represents a smooth transition between supply and demand limits (Fu, 1981):

$$136 \quad \frac{E}{P} = 1 + \frac{E_0}{P} - \left[1 + \left(\frac{E_0}{P} \right)^w \right]^{1/w} \quad (1)$$

137 where w is a model parameter ranging between 1 and ∞ . For the purpose of model
138 calibration, we define $\alpha = 1 - 1/w$ so that α varies between 0 and 1. This definition also
139 conveniently associates an increase in α with an increase in evapotranspiration
140 efficiency. P is rainfall and E_0 is potential evapotranspiration at mean annual
141 timescale. More details of this mean annual water balance model are given in *Zhang*
142 *et al.* (2004) and *Zhang et al.* (2008).

143 It is assumed that rainfall $P(t)$ in time step t will be partitioned into direct runoff $Q_d(t)$
144 and catchment rainfall retention:

145
$$P(t) = Q_d(t) + X(t) \tag{2}$$

146 where $X(t)$ is called catchment rainfall retention and is the amount of rainfall retained
 147 by the catchment for evapotranspiration $ET(t)$, change in soil moisture storage
 148 $S(t)-S(t-1)$ and recharge $R(t)$.

149 The demand limit for $X(t)$ is the sum of available storage capacity ($S_{max}-S(t-1)$) and
 150 potential evapotranspiration ($E_0(t)$) and is denoted as $X_0(t)$, while the supply limit can
 151 be considered as rainfall $P(t)$. Following a similar argument to *Budyko* (1958), we can
 152 postulate that:

153
$$X(t)/P(t) \rightarrow 1 \quad \text{as} \quad X_0(t)/P(t) \rightarrow \infty \quad (\text{very dry conditions}) \tag{3}$$

154
$$X(t) \rightarrow X_0(t) \quad \text{as} \quad X_0(t)/P(t) \rightarrow 0 \quad (\text{very wet conditions}) \tag{4}$$

155 The catchment rainfall retention $X(t)$ can be calculated as:

156
$$X(t) = P(t)F\left(\frac{X_0(t)}{P(t)}, \alpha_1\right) \tag{5}$$

157 where $F(\)$ is Fu's curve – equation (1), α_1 is rainfall retention efficiency, i.e., a larger
 158 α_1 value will result in more rainfall retention and less direct runoff.

159 From equations (2) and (5), direct runoff is calculated as:

160
$$Q_d(t) = P(t) - X(t) \tag{6}$$

161 At sub-annual time scales, water availability $W(t)$ can be defined as:

162
$$W(t) = X(t) + S(t-1) \tag{7}$$

163 Combining the definition of $X(t)$ with equation (7), one obtains:

164
$$W(t) = ET(t) + S(t) + R(t) \tag{8}$$

165 While equation (7) defines the source of the water availability, Equation (8)
 166 determines the partitioning. Next define evapotranspiration opportunity
 167 (Sankarasubramanian and Vogel, 2002) as $Y(t) = ET(t) + S(t)$, we obtain:

168
$$W(t) = Y(t) + R(t) \tag{9}$$

169 The demand limit for $Y(t)$ can be considered as the sum of potential
 170 evapotranspiration ($E_0(t)$) and soil water storage capacity (S_{max}) and is denoted as $Y_0(t)$,
 171 while the supply limit is the available water $W(t)$. Similar to *Budyko* (1958), we can
 172 postulate that:

$$173 \quad Y(t)/W(t) \rightarrow 1 \quad \text{as} \quad Y_0(t)/W(t) \rightarrow \infty \quad (\text{very dry conditions}) \quad (10)$$

$$174 \quad Y(t) \rightarrow Y_0(t) \quad \text{as} \quad Y_0(t)/W(t) \rightarrow 0 \quad (\text{very wet conditions}) \quad (11)$$

175 The evapotranspiration opportunity $Y(t)$ can be estimated from the following
 176 relationship:

$$177 \quad Y(t) = W(t)F\left(\frac{E_0(t)+S_{max}}{W(t)}, \alpha_2\right) \quad (12)$$

178 Thus groundwater recharge $R(t)$ can be calculated from Equation (9). The next step is
 179 to calculate evapotranspiration $ET(t)$. The demand limit for $ET(t)$ can be considered as
 180 potential evapotranspiration $E_0(t)$ and the supply limit is the available water $W(t)$.
 181 Similar to *Budyko* (1958), evapotranspiration $ET(t)$ can be calculated as:

$$182 \quad ET(t) = W(t)F\left(\frac{E_0(t)}{W(t)}, \alpha_2\right) \quad (13)$$

183 where α_2 is a model parameter, representing evapotranspiration efficiency.

184 Soil water storage can now be calculated as:

$$185 \quad S(t) = Y(t) - ET(t) \quad (14)$$

186 Finally, groundwater storage is treated as linear reservoir, so that baseflow and
 187 groundwater balance can be modelled as:

$$188 \quad Q_b(t) = dG(t-1) \quad (15)$$

$$189 \quad G(t) = (1-d)G(t-1) + R(t) \quad (16)$$

190 where Q_b is baseflow, G is groundwater storage, and d is a recession constant.

191

192 The DWBM model has been applied to 265 catchments in Australia and showed
193 encouraging results (Zhang et al., 2008). The model has four parameters: retention
194 efficiency(α_1); evapotranspiration efficiency(α_2); soil water storage capacity (S_{max}),
195 and baseflow linear recession constant (d). The range of the parameter values is
196 shown in **Table 1**.

197

198 **[Figure 1 and Table 1 here]**

199

200 **2.2 The SIMHYD Model**

201 The SIMHYD model is a lumped conceptual daily rainfall–runoff model (Chiew et al.,
202 2002), driven by daily rainfall and PET, which simulates daily streamflow. It has been
203 tested and used extensively across Australia (Chiew et al., 2002; Siriwardena et al.,
204 2006; Viney et al., 2008; Zhang et al., 2008; Zhang et al., 2009). **Figure 2** shows the
205 structure of the SIMHYD model and the algorithms controlling how water enters the
206 system from precipitation, flows into several stores, and then flows out through
207 evapotranspiration and runoff. The SIMHYD model has 7 parameters, and the useful
208 ranges of them are shown in **Table 2**.

209

210 **[Figure 2 and Table 2 about here]**

211

212 In the SIMHYD model, daily rainfall is first intercepted by an interception store,
213 which is emptied each day by evaporation. Incident rainfall, which occurs if rainfall
214 exceeds the maximum daily interception, is then subjected to an infiltration function.
215 The incident rainfall that exceeds the infiltration capacity becomes infiltration excess
216 runoff. A soil moisture function diverts the infiltrated water to the river (as saturation

217 excess runoff/interflow), groundwater store (as recharge) and soil moisture store. The
218 saturation excess runoff/interflow is first estimated as a linear function of the soil
219 wetness (soil moisture level divided by soil moisture capacity). The equation used to
220 simulate interflow therefore attempts to mimic both the interflow and saturation
221 excess runoff processes (with soil wetness used to reflect those parts of the catchment
222 that are saturated and from which saturation excess runoff can occur). Groundwater
223 recharge is then estimated, also as a linear function of the soil wetness. The remaining
224 moisture flows into the soil moisture store. Evapotranspiration from the soil moisture
225 store is estimated as a linear function of the soil wetness, but cannot exceed the
226 potential rate (PET minus intercepted water). The soil moisture store has a finite
227 capacity and overflows into the groundwater store, baseflow from which is simulated
228 as a linear recession from the groundwater store. The model has therefore three runoff
229 components: infiltration excess runoff, saturation excess runoff/interflow, and
230 baseflow.

231

232 **2.3 Study Catchments and Data**

233 In this study 30 catchments from Australia were selected with at least 60 years of
234 unimpaired daily streamflow data (**Figure 3**). Unimpaired streamflow is defined as
235 streamflow that is not subject to regulation or diversion. The catchment area ranges
236 from 82 to 1891 km² with mean annual streamflow varied between 53 to 1363 mm.
237 The mean annual precipitation (*P*) ranges from 628 to 2095 mm and annual potential
238 evapotranspiration (*PET*) ranges from 817 to 2098 mm, representing diverse
239 hydrological and climatic conditions. The runoff coefficient varies from 0.08 to 0.65.
240

241 Catchment averaged annual rainfall was estimated from gridded SILO daily rainfall
242 (<http://www.longpaddock.qld.gov.au/silo>, Jeffrey et al., 2001). The SILO Data Drill
243 provides surfaces of daily rainfall and other climate data interpolated from point
244 measurements made by the Australian Bureau of Meteorology. The spatial resolution
245 of the gridded daily rainfall data is 0.05 degrees based on interpolation of over 6000
246 rainfall stations across Australia. The interpolation uses monthly rainfall data,
247 ordinary kriging with zero nugget, and a variable range. Monthly rainfall for each $5 \times$
248 5 km grid cell was converted to daily rainfall using daily rainfall distribution from the
249 station closest to the grid cell (Jeffrey et al., 2001). The daily time series of maximum
250 and minimum temperatures, incoming solar radiation, actual vapour pressure, and
251 precipitation at 0.05×0.05 ($\sim 5 \text{ km} \times 5 \text{ km}$) grid cells from the SILO Data Drill
252 (<http://www.longpaddock.qld.gov.au/silo>) were used.

253

254 Potential evaporation was calculated using the Priestley-Taylor equation (Priestley
255 and Taylor, 1972) for each catchment with the Priestley-Taylor coefficient set to 1.26
256 following *Raupach* (2000). In the calculation, the available energy was taken as equal
257 to the net radiation by neglecting ground heat flux. The net radiation was calculated
258 from the incoming global shortwave and longwave radiation, surface albedo, surface
259 emissivity, and surface temperature as described by *Raupach et al.* (2001).

260

261 Daily streamflow data were obtained from the Australian Land and Water Resources
262 Audit project (*Peel et al.*, 2000) and have been quality checked. Firstly, data quality
263 codes were checked for any missing and poor-quality data as most gauging stations
264 provide numerical codes indicating quality of streamflow data. Missing streamflow
265 data were infilled by interpolating streamflow values at previous and following days.

266 Secondly, time series of daily rainfall and streamflow were plotted to identify any
267 inconsistency and recording errors in the data (e.g. spikes, same streamflow value for
268 a long period of time). The quality checks are to ensure good quality streamflow data
269 are used in the study.

270

271 **[Figure 3 here]**

272

273 **3 Methods**

274 **3.1 Differential Split-sample Test**

275 In general, hydrological models rely on stationary conditions (Xu, 1999). Usually,
276 model calibration requires a split-sample test, where the model is calibrated during
277 one climatic period and validated on another independent period. The split-sample test
278 is the classical test, being applicable to cases where there is sufficiently long time
279 series of the climatic data for both calibration and validation and where the catchment
280 conditions remain unchanged, i.e. stationary (Refsgaard and Storm, 1996). This test
281 gives an indication how the model might perform for an independent period having
282 similar conditions. Unfortunately, this test is unable to guarantee the applicability of
283 hydrological models under nonstationary conditions (Xu, 1999; Henriksen et al.,
284 2003).

285

286 In order to try to answer the question of whether the transfer of parameter values from
287 the present-day climate to a future climate is justified, the ‘differential split-sample
288 test’ proposed by *Klemes* (1986) was considered, in which the hydrological model is

289 tested on calibration and validation periods under contrasting climatic conditions. In
290 this case, different sub-periods are chosen with different historical rainfall conditions.

291

292 In this study, different periods with various climatic conditions were identified. First
293 of all, we calculated annual and mean annual precipitation over the whole period of
294 record for each catchment. Then sub-periods with consecutive annual precipitation
295 greater than the mean were selected as the “wet” periods and sub-periods with
296 consecutive annual precipitation less than the mean were selected as the “dry” periods.
297 The precipitation in the “wet” periods is 10.2% to 47.1% above the long-term average
298 annual precipitation, while the precipitation in the “dry” periods is 10.4% to 28.3%
299 below the long-term average annual precipitation. In the selection, the minimum
300 length of the sub-period was set to 5 years to ensure stable model calibration. If this
301 process results in more than two “wet” or “dry” periods, then the two wettest periods
302 or two driest periods were selected for model calibration and validation (**Figure 4**).
303 The hydrological model was calibrated for each of the 4 sub-periods and validated on
304 each of the remaining 3 sub-periods in turn, resulting in a total of 12 calibration and
305 validation tests.

306

307 To examine model performance under different calibration and validation conditions,
308 results from the above tests are grouped as “**dry**/dry”, “**dry**/wet”, “**wet**/wet”, and
309 “**wet**/dry” to represent climatic conditions in the calibration and validation periods
310 respectively.

311

312

[Figure 4 about here]

313

314 3.2 Monte Carlo Simulation

315 It has been widely recognized that hydrological models can perform equally well
316 against measured runoff estimates even with different parameter sets and this
317 so-called parameter equifinality may result in large prediction uncertainty (Beven,
318 1993; Boorman et al., 1997; Niel et al., 2003; Wilby et al., 2005; Minville et al., 2008).
319 The parameter equifinality is related to overparameterization of hydrological models
320 and poor parameter identifiability. For some practical applications, the parameter
321 equifinality problem may not be an issue and any of the parameter sets may be
322 appropriate. However, these equally good parameter sets may give different
323 predictions when the model is used to estimate the effects of climate change and land
324 use change on streamflow (Uhlenbrook et al., 1999). The need for improved model
325 calibration and testing has been emphasized in recent years. Monte Carlo simulation is
326 an effective way of calculating confidence limits of predicted time series and
327 exploring parameter stability and identifiability in the context of historic climate
328 variability (Uhlenbrook et al., 1999; Wilby, 2005; Widen-Nilsson et al., 2009).

329

330 For each catchment and each calibration period, a Monte Carlo simulation was
331 undertaken with 1,000,000 runs, each with randomly generated parameter values
332 within the given ranges listed in **Tables 1** and **2** for the two models respectively. We
333 then selected assemblies of the 100 best parameter sets for each catchment and each
334 calibration period according to a goodness-of-fit measure which is defined in section
335 3.3. Finally, the models were run during the validation periods with all the 100 best
336 parameter sets. Calibration with the 100 best parameter sets gave very similar results
337 and the means were used in subsequent analysis.

338

339 **3.3 Model Performance Criteria**

340 The Nash–Sutcliffe efficiency (NSE) (Nash and Sutcliffe, 1970) was used as the
 341 statistic criterion of the model performance. The objective function used in the model
 342 calibration is the Nash and Sutcliffe efficiency of daily runoff, which is defined as:

$$343 \quad \text{NSE} = 1 - \frac{\sum_{i=1}^N (Q_{obs,i} - Q_{sim,i})^2}{\sum_{i=1}^N (Q_{obs,i} - \overline{Q_{obs,i}})^2} \quad (17)$$

344 where $Q_{sim,i}$ and $Q_{obs,i}$ are the simulated and observed daily runoff, respectively,
 345 $\overline{Q_{obs,i}}$ is the mean observed runoff, i is the i th day, and N is the number of days
 346 sampled and it varies with individual catchment.

347

348 Following recommendations by *Legates and McCabe (1999)* and *Hogue et al., 2006*,
 349 three statistics are used to indicate the accuracy of the SIMHYD and DWBM models:
 350 the coefficient of determination (R^2), the modified index of agreement (d_1) and the
 351 absolute percentage water balance error (WBE):

$$352 \quad R^2 = \left\{ \frac{\sum_{i=1}^N (o_{obs,i} - \overline{o_{obs,i}})(o_{sim,i} - \overline{o_{sim,i}})}{\left[\sum_{i=1}^N (o_{obs,i} - \overline{o_{obs,i}})^2 \right]^{0.5} \left[\sum_{i=1}^N (o_{sim,i} - \overline{o_{sim,i}})^2 \right]^{0.5}} \right\}^2 \quad (18)$$

$$353 \quad d_1 = 1.0 - \frac{\sum_{i=1}^N |o_{obs,i} - o_{sim,i}|}{\sum_{i=1}^N (|o_{sim,i} - \overline{o_{obs,i}}| + |o_{obs,i} - \overline{o_{obs,i}}|)} \quad (19)$$

$$354 \quad \text{absolute WBE} = \frac{\sum_{i=1}^N |Q_{sim,i} - Q_{obs,i}|}{\sum_{i=1}^N Q_{obs,i}} \times 100\% \quad (20)$$

355 with the symbols defined above.

356

357 **3.4 Analysis of Parameter Probability Distributions under Different Calibration**

358 **Periods**

359 For each of the models, we ended up with 100 best parameter sets for each catchment
360 and for each calibration period. From these parameters sets we calculated a
361 probability distribution of each parameter. For a given significance level α , the
362 chi-square test (χ^2 test) was used to test the null hypothesis that the parameter
363 distributions obtained for a dry period and a wet period were significantly different. A
364 p value greater than 0.01 indicates a rejection of the null hypothesis, which means that
365 the parameter probability distributions for the two different calibration periods are
366 similar.

367

368 **4 Results**

369 **4.1 Comparisons of Model Calibration under Different Climatic Conditions**

370 Results of model calibration under different climatic conditions are shown in **Figure 5**
371 and **Table 3**. **Figure 5(a)** shows the percentage of model calibration tests that have a
372 NSE value exceeding a given NSE value. Similarly, **Figure 5(b-d)** are corresponding
373 plots of the coefficient of determination (R^2), the modified index of agreement (d_1),
374 the absolute percentage water balance error (WBE), respectively. It can be seen that
375 the SIMHYD model was well calibrated under both dry and wet conditions. The
376 average value is greater than 0.70 for NSE, 0.86 for R^2 , 0.73 for d_1 . The average water
377 balance error is 14% and 11% for the dry and wet calibration periods. Compared with
378 the SIMHYD model, the DWBM model showed slightly poorer results. The average

379 value for the DWBM model is greater than 0.57 for NSE, 0.76 for R^2 , 0.65 for d_1 . The
380 average water balance error is 22% and 17% for the dry and wet calibration periods.

381

382 The plots show that both models were better calibrated under wet periods than under
383 dry ones, with higher values of NSE, R^2 , and d_1 and lower values of WBE in the wet
384 calibration periods. For example, under the dry conditions, average NSE was 0.70 and
385 0.57 for the SIMHYD and the DWBM model. Under the wet conditions, average NSE
386 was 0.76 and 0.65 respectively for the two models. In **Figure 5(a)**, a larger NSE value
387 means a better performance, whereas in **Figure 5(d)**, a smaller percentage WBE value
388 is better. It can be noted that all the results became worse when the calibration periods
389 became drier, indicating a higher sensitivity of the models to dry climatic conditions.
390 The results also indicated that the errors in the simulated runoff were increased under
391 drier climatic conditions.

392

393 It can be seen from **Table 3** that under dry and wet calibration periods, the median
394 NSE values are, for the SIMHYD model, 0.70 and 0.77, respectively, and for the
395 DWBM model, 0.58 and 0.66. The median R^2 values are 0.86 and 0.88 for the
396 SIMHYD model and 0.76 and 0.82 for the DWBM model. The median d_1 values
397 showed similar patterns under dry and wet calibration conditions. The median
398 percentile of the absolute percentage WBE values are 13% and 8% for the SIMHYD
399 model under dry and wet calibration periods respectively, and 15% and 12% for the
400 DWBM model. All these results indicate that the two models can be calibrated
401 satisfactorily for most of the tests, although the calibration results of the DWBM
402 model are slightly poorer compared with those of the SIMHYD model. The average
403 NSE values calibrated under the wet periods are higher – i.e. better – by 0.06

404 (SIMHYD model) and 0.08 (DWBM model) than those calibrated under dry periods.
405 The average absolute percentage WBE values calibrated under wet periods are lower
406 – again better – by 3% (SIMHYD model) and 5% (DWBM model) than those
407 calibrated under the dry period.

408

409 **[Figure 5 and Table 3 about here]**

410

411 **4.2 Comparisons of Model Validation using Different Calibration Periods**

412 Validation runs were conducted for 60, 120, 60, and 120 tests for the **dry/dry**, **dry/wet**,
413 **wet/dry**, and **wet/wet** groups, respectively. The model validation results are
414 summarized in **Figure 6 and Table 4**. As expected, the validation results are slightly
415 poorer than the calibration results, with the averaged NSE values in the model
416 validation generally being 0.1 to 0.2 lower than those in the model calibration and
417 percentage water balance error being 2 to 7% higher.

418

419 Comparing the validation results of the **dry/dry**, **dry/wet**, **wet/dry**, and **wet/wet**
420 groups in **Figure 6**, it can be noted both the SIMHYD and DWBM models gave
421 similar patterns. The results for the **wet/wet** are better than those of the **dry/wet** – this
422 means that the models performed better during a wet period when they are calibrated
423 against a wet period, compared to when they are calibrated against a dry period. These
424 results suggest, not unexpectedly, that if a hydrological model is intended to simulate
425 streamflow for a wet climate period then it should be calibrated on a wet segment of
426 the historic record. They also show that hydrological models will, in general, perform
427 better when calibrated in a wet period than when calibrated in the dry period.

428

429 **Table 4** summarizes the 25th percentile, median, 75th percentile, and average values of
430 NSE, R^2 , d_1 , and absolute percentage WBE in the validation periods. The results from
431 the **dry**/dry test are slightly better than the results from the **wet**/dry test in terms of
432 NSE, d_1 , and WBE. The coefficient of determination (R^2) showed higher values for
433 the **wet**/dry test. The results indicate, again reasonably, that the hydrological models
434 perform better in a dry period when calibrated in a dry period rather than in a wet
435 period.

436

437 **[Figure 6 and Table 4 about here]**

438

439 **4.3 Parameter Uncertainty under Climatic Nonstationarity**

440 As described in section 3.2, assemblies of the 100 best parameter sets were selected
441 from Monte Carlo simulation under different calibration conditions. **Table 5** shows
442 the percentage of the catchments in which the model parameter distributions for a dry
443 and wet period were significantly different ($p < 0.01$). For each model, the parameters
444 are ranked from the most sensitive to calibration conditions to least sensitive. For the
445 SIMHYD model, the most sensitive parameters were SUB, SMSC, SQ, and CRAK,
446 each of which significantly affected 50% or more of the catchments. The other three
447 parameters, K, COEFF, and INSC had smaller effects, with INSC (having an effect in
448 only 10% of catchments) being the most insensitive to choice of dry and wet
449 calibration periods.

450

451 **[Table 5 about here]**

452

453 In order to further examine the effects of climatic conditions on the results, we
454 grouped the 30 study catchments into two climatic types: 16 water-limited catchments
455 with an index of dryness (E_p/P) greater than 1, and 14 energy-limited catchments with
456 an index of dryness less than 1. It can be noted that all parameters performed
457 differently in water-limited and energy-limited catchments, in particular SUB, SMSC,
458 and CRAK.

459

460 For the DWBM model, the parameters α_1 and S_{max} exhibited different effects on
461 runoff under the dry and wet calibration periods as 67% and 63% of the catchments
462 showed statistically different results at the 0.01 level. At the other extreme, the
463 parameter α_2 displayed an apparent insensitivity to the calibration periods (just 23%
464 of catchments were affected). The parameter α_2 represents evapotranspiration
465 efficiency and it behaves similarly to the parameter w of *Zhang et al. (2001)* and
466 (2004), which was shown to be mostly correlated with vegetation cover. The
467 parameter d was more sensitive to the choice of the calibration period for the
468 water-limited catchments than for the energy-limited catchments. It is interesting to
469 note that all the parameters behaved differently under the water-limited and
470 energy-limited conditions, except perhaps for parameter α_2 .

471

472 The above results indicate that some of the model parameters are sensitive to
473 calibration conditions and the others are relative robust. An important question is how
474 the sensitive parameters vary between the different calibration periods. **Figures 7** and
475 **8** show the distributions of the optimized parameters of the two models under the dry
476 and wet conditions in two selected catchments. The catchment 110003 has
477 summer-dominant rainfall and catchment 401210 is winter-dominant. For the

478 SIMHYD model, some parameters exhibited different distributions in the dry and wet
479 calibration periods. For example, the parameter SUB tends to be more likely at a
480 higher value in the dry periods than in the wet periods. However, the results did not
481 reveal any systematic trends in the other parameters. For the DWBM model, the most
482 likely value for the parameter α_1 was higher in the dry period than in the wet period
483 for catchment 110003 and vice versa for catchment 401210 (**Figure 8**). The parameter
484 S_{max} showed different distributions in the dry and wet periods and these distributions
485 vary across the catchments.

486

487 **[Figures 7 and 8 about here]**

488

489 **5 Discussion**

490 Streamflow of a catchment is influenced by a number of factors, most noticeably
491 rainfall and antecedent soil moisture. During dry periods, catchments are generally
492 characterized by small runoff events and lower runoff to rainfall ratios with higher
493 percentage error in both rainfall and runoff. In this case, rainfall-runoff models
494 become very sensitive to both rainfall and parameter optimization. Also, dry periods
495 may not contain enough high flows to adequately calibrate model parameters
496 responsible for simulating high flows (Gan et al., 1997). Apart from rainfall amount,
497 spatial variability of rainfall can also affect runoff. *Smith et al.* (2004) showed that
498 improved runoff simulations can be obtained from distributed versus lumped
499 rainfall-runoff models in catchments with considerable rainfall variability. Spatial
500 variability of rainfall was also found to be the dominant control on runoff production
501 (Segond et al., 2007). In this study, spatially averaged rainfall was used in both model

502 calibration and validation. This is likely to affect the model results and it is expected
503 that the rainfall variability effect will be greater in dry periods than in wet periods.
504
505 It has been widely acknowledged that spatial variability of antecedent soil moisture
506 conditions plays an important role in runoff generation (Grayson and Blöschl, 2000).
507 *Minet et al.* (2011) investigated the effect of spatial soil moisture variability on runoff
508 simulations using a distributed hydrologic model and showed that model results are
509 sensitive to soil moisture spatial variability, especially in dry conditions. At catchment
510 scales, soil moisture exhibit larger heterogeneity under dry conditions than wet
511 conditions and this means errors associated with dry period runoff simulations are
512 likely to be greater as runoff generation exhibits non-linear threshold behaviour.
513 In this study, the differences in average annual rainfall between the wet and dry
514 periods ranged from 10 to 47% of the long-term average rainfall and are comparable
515 with percentage change in mean annual rainfall for 2030 relative to 1990 from 15
516 GCMs for the Murray Darling Basin in Australia (Chiew et al., 2008).
517
518 The results of this study indicate that calibration periods can cause significant shifts in
519 model parameter distributions. Some model parameters are relatively sensitive to the
520 choice of calibration periods, while the others are fairly insensitive. As well as the
521 impact of calibration periods on parameter distributions, whether catchments are
522 water-limited or energy-limited also needs to be taken into consideration. For the
523 SIMHYD model, the most sensitive parameters are SUB, SMSC, and CRAK. The
524 parameter SUB is used to estimate interflow and it can be an important parameter in
525 some catchments (Chiew and McMahon, 1994). However, it is difficult to estimate
526 this parameter *a priori* as it is poorly correlated with any catchment characteristics

527 (Chiew and McMahon, 1994). The soil moisture store capacity (SMSC) affects many
528 processes such as infiltration and evapotranspiration and it is determined by soil
529 properties and vegetation characteristics (e.g. rooting depth). Accurate estimation of
530 this parameter is essential to achieving satisfactory model performance. The
531 parameter CRAK determines groundwater recharge/baseflow and is highly correlated
532 with soil types. For the DWBM model, the most sensitive parameters are α_1 and S_{max} ,
533 and d , representing catchment rainfall retention efficiency, maximum storage capacity,
534 and the recession constant, respectively (Zhang et al. 2008). In a way, these
535 parameters are similar to those sensitive parameters in SIMHYD in terms of their
536 functional controls on water balance components. *Merz et al* (2011) applied a
537 semi-distributed conceptual rainfall-runoff model to 273 catchments in Austria and
538 showed that the parameters of the soil moisture accounting schemes exhibited strong
539 dependence on calibration conditions, consistent with the results of the current study.
540 This also suggests that parameters related to soil moisture accounting are likely to
541 change with calibration conditions. The fact that these parameters are sensitive to the
542 choice of calibration period (i.e. dry vs wet) also indicates that large uncertainty may
543 be associated with these parameters and care needs to be exercised when transferring
544 the parameters to conditions different from the calibration.

545

546 These findings have major implications for studies of climate change impact on
547 streamflow. When a hydrological model calibrated for a given climatic condition (e.g.
548 wet periods) is used to simulate runoff of different climatic conditions (e.g. dry
549 periods), transfer of some model parameters (i.e. sensitive parameters) may result in
550 large errors in simulated runoff. One may argue that the sensitive model parameters
551 should be updated by functionally relating them with climatic variables such as

552 rainfall (Merz et al., 2011). This could potentially reduce uncertainty and lead to more
553 accurate predictions. However, some of the parameters are poorly related to
554 catchment characteristics (e.g. rainfall) and the problem is further complicated by the
555 fact that not every parameter is well identified and different parameter values can
556 result in equal model performance, i.e. equifinality (Beven, 1993). It has also been
557 recognized that model calibration tends to compensate model structural errors (Merz
558 et al., 2011, Wagener et al., 2003), making it difficult to understand how model
559 parameters vary with calibration conditions (Wagener et al., 2010).

560

561 The differential split-sample test can be considered as the first step in addressing the
562 issue of parameter transferability under non-stationary conditions. Monte Carlo
563 simulation provided an effective and pragmatic approach to exploring uncertainty in
564 hydrological model parameters. The performance of rainfall-runoff models is related
565 to catchment characteristics such as climate, topography, soil, vegetation, catchment
566 shape, geology, drainage network. In such a complex situation, it is hard to pinpoint
567 the source of parameter uncertainty, but the results of this study showed that
568 calibration periods and catchment climatic conditions are both important factors that
569 can result in uncertainty in model performance.

570

571 The results of this study showed that the hydrological models perform better in a dry
572 period when calibrated using data from a dry period rather than a wet period. Similar
573 results have been reported by *Vaze et al.* (2010). A closer examination of model errors
574 reveals that when the model parameters, calibrated on a dry period, were used to
575 simulate runoff during a wet period, the mean of the simulated runoff was usually
576 underestimated; conversely, when model parameters, calibrated on a wet period, were

577 used to simulate dry period runoff, the mean simulated runoff was overestimated,
578 consistent with the findings of *Gan et al. (1997)*. *Vaze et al. (2010)* also showed that
579 when hydrological models were calibrated using long period of record and tested for
580 sub-periods with above long-term average rainfall, the model performed well.
581 However, performance of the models starts to deteriorate when tested for sub-periods
582 with below long-term average rainfall.

583

584 Traditionally, one would use a sufficiently long period of records for model
585 calibration to ensure proper presentation of climate/streamflow variability and to
586 achieve stable model parameters. If the model is to be used under stationary
587 conditions, it is generally recommended that the whole record should be divided into
588 two segments, one for calibration and the other for validation. However, if a model is
589 to be used under non-stationary conditions, its parameters should be transferable. In
590 other words, the parameters should be estimated so that the model gives accurate
591 estimates of streamflow outside the climatic conditions encountered in calibration
592 period. In this case, one should identify two periods with different climatic
593 conditions (e.g. a dry period and wet period) from the whole record and apply the
594 so-called differential split-sample test (Klemes, 1986). One another approach to this
595 problem is to examine how other catchments behave under these different climatic
596 conditions, i.e. trading space for time (Singh et al., 2011).

597

598 **6 Conclusions**

599 Potentially large uncertainties arise when predicting hydrological responses to future
600 climate change – due to factors such as the choice of emission scenario, GCM,
601 downscaling technique, hydrological model, optimization technique, and the way the

602 model is calibrated. It is therefore important to develop reliable ways to calibrate
603 hydrological models under present-day conditions. This study compared hydrological
604 model performances under nonstationarity by using the differential split-sample test
605 and two conceptual rainfall–runoff models, DWBM and SIMHYD, applied to 30
606 catchments in Australia. Monte Carlo simulation was used to explore parameter
607 stability and transferability in the context of historic climate variability.

608

609 Hydrological models differ in performance depending on how they are calibrated. If a
610 hydrological model is intended to simulate runoff for a wet climate scenario then it
611 should be calibrated on a wet segment of the historic record. Conversely, if it is
612 intended to simulate runoff for a dry climate scenario then it should be calibrated on a
613 dry segment of the historic record. Therefore, careful selection of the calibration
614 period can reduce the modelling uncertainty when exploring future climate scenarios.

615

616 For both our models we found that the “**dry**/wet” tests performed better – had higher
617 NSE values and lower absolute WBE values – than the “**wet**/dry” tests. In other words,
618 transferability of model parameter values from dry periods to wet periods is greater
619 than vice versa, perhaps because of the more uniform rainfall and soil moisture
620 conditions in the wet periods (Gan et al., 1997).

621

622 The choice of calibration period is a key step in predicting the impact of climate
623 change on runoff. Our research has implications for hydrological modellers looking to
624 estimate future runoff and we hope this study will stimulate further research into the
625 selection of calibration data.

626

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785 **Table and Figure Captions**

786 **Table 1** Ranges of parameter values in DWBM (/ indicates dimensionless).

787

788 **Table 2** Ranges of parameters in the SIMHYD model (/ indicates dimensionless).

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790 **Table 3** Summary results of the model calibration under different climatic conditions

791 (*i.e.* dry and wet periods).

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793 **Table 4** Summary results of the model validation when calibrated under different

794 climatic conditions.

795

796 **Table 5** Percent of the catchments in which the model parameter distributions for a

797 dry and wet calibration period were significantly different ($p < 0.01$) under Monte

798 Carlo simulation. Also shown are the results for water-limited ($E_p/P > 1$) and

799 energy-limited ($E_p/P < 1$) catchments. For each model, the parameters are ranked from

800 the most sensitive to calibration conditions to least sensitive.

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808 **Figure 1** Structure of the lumped dynamic water balance model (DWBM).

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810 **Figure 2** Structure of the lumped daily rainfall–runoff model (SIMHYD).

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812 **Figure 3** Location map of the 30 catchments used for this study.

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814 **Figure 4** Annual historical precipitation of the Corang River catchment showing
815 estimation of 2 wet periods (A) and 2 dry periods (B) to represent different calibration
816 conditions.

817

818 **Figure 5 (a)** Percentage of model calibration tests with a NSE value greater than or
819 equal to a given NSE value. Similarly, **Figure 5 (b-d)** are corresponding plots of the
820 coefficient of determination (R^2), the modified index of agreement (d_I), the absolute
821 percentage water balance error (WBE), respectively.

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823 **Figures 6 (a) and (e)** Percentage of model validation tests with a NSE value greater
824 than or equal to a given NSE value. Similarly, **Figures 6 (b) and (f), Figures 6 (c)**
825 **and (g), Figures 6 (d) and (h)** are corresponding plots of the coefficient of
826 determination (R^2), the modified index of agreement (d_I), the absolute percentage
827 water balance error (WBE), respectively.

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829 **Figure 7** Probability density functions for 7 parameters of the SIMHYD model under
830 dry and wet calibration periods in catchments 110003 and 4021210.

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832 **Figure 8** Probability density functions for 4 parameters of the DWBM model under
833 dry and wet calibration periods in catchments 110003 and 4021210.

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852 **Tables and Figures**

853 **Table 1** Ranges of parameter values in DWBM (/ indicates dimensionless).

Parameter	Units	Description	Lower bound	Upper bound
α_1	/	retention efficiency	1	5
α_2	/	evapotranspiration efficiency	1	5
S_{max}	mm	soil water storage capacity	5	500
d	/	baseflow linear regression	0.01	1

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855 **Table 2** Ranges of parameter values in the SIMHYD model (/ indicates
856 dimensionless).

Parameter	Units	Description	Lower bound	Upper bound
INSC	mm	interception store capacity	0.5	5.0
COEFF	mm	maximum infiltration loss	50	400
SQ	/	infiltration loss exponent	0	6.0
SMSC	mm	soil moisture store capacity	50	500
SUB	/	constant of proportionality in interflow equation	0	1
CRAK	/	constant of proportionality in groundwater recharge equation	0	1
K	/	baseflow linear regression parameter	0.003	0.3

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858 **Table 3** Summary results of the model calibration under different climatic conditions
 859 (*i.e.* dry and wet periods).

Indicator	SIMHYD calibrated on dry period	SIMHYD calibrated on wet period	DWBM calibrated on dry period	DWBM calibrated on wet period
25th NSE	0.84	0.85	0.71	0.77
Median NSE	0.70	0.77	0.58	0.66
75th NSE	0.61	0.68	0.43	0.54
Average NSE	0.70	0.76	0.57	0.65
25th R^2	0.91	0.91	0.82	0.87
Median R^2	0.86	0.88	0.76	0.82
75th R^2	0.80	0.85	0.70	0.76
Average R^2	0.86	0.88	0.76	0.81
25th d_I	0.77	0.79	0.71	0.75
Median d_I	0.72	0.76	0.67	0.71
75th d_I	0.70	0.74	0.61	0.68
Average d_I	0.73	0.76	0.65	0.71
25th WBE	22	16	25	24
Median WBE	13	8	15	12
75th WBE	6	4	9	5
Average WBE	14	11	22	17

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871 **Table 4** Summary results of the model validation when calibrated under different
 872 climatic conditions.

Model	Indicator	dry/dry	dry/wet	wet/dry	wet/wet
SIMHYD	25th NSE	0.72	0.74	0.68	0.77
	Median NSE	0.55	0.64	0.51	0.69
	75th NSE	0.42	0.44	0.41	0.55
	Average NSE	0.57	0.61	0.54	0.66
	25th R^2	0.87	0.89	0.88	0.90
	Median R^2	0.79	0.84	0.80	0.85
	75th R^2	0.74	0.79	0.75	0.81
	Average R^2	0.80	0.84	0.81	0.85
	25th d_I	0.74	0.78	0.74	0.78
	Median d_I	0.71	0.74	0.70	0.75
	75th d_I	0.66	0.70	0.63	0.72
	Average d_I	0.69	0.73	0.68	0.74
	25th WBE	34	30	39	23
	Median WBE	20	19	28	13
	75th WBE	14	8	16	7
	Average WBE	24	21	29	17
DWBM	25th NSE	0.56	0.65	0.51	0.72
	Median NSE	0.46	0.48	0.45	0.61
	75th NSE	0.34	0.35	0.30	0.42
	Average NSE	0.48	0.52	0.45	0.59
	25th R^2	0.79	0.83	0.81	0.85
	Median R^2	0.71	0.77	0.74	0.79
	75th R^2	0.63	0.69	0.67	0.73
	Average R^2	0.71	0.76	0.74	0.79
	25th d_I	0.69	0.73	0.68	0.74
	Median d_I	0.65	0.69	0.63	0.70
	75th d_I	0.58	0.64	0.56	0.66
	Average d_I	0.62	0.68	0.61	0.69
	25th WBE	35	29	53	25
	Median WBE	22	20	33	18
	75th WBE	15	12	18	11
	Average WBE	27	23	36	19

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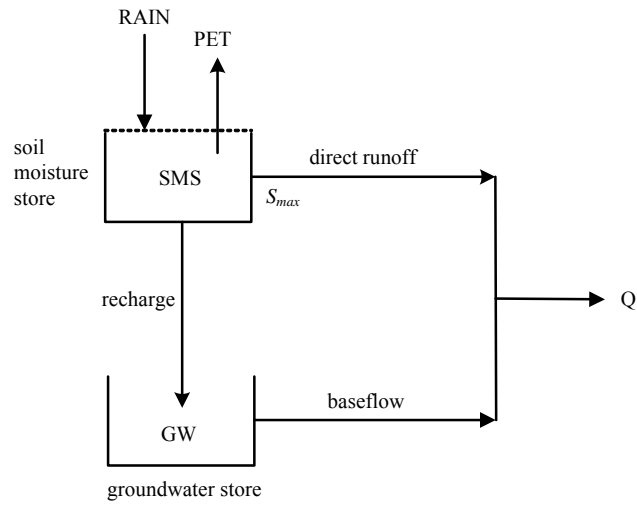
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880 **Table 5** Percent of the catchments in which the model parameter distributions for a
 881 dry and wet calibration period were significantly different ($p < 0.01$) under Monte
 882 Carlo simulation. Also shown are the results for water-limited ($E_p/P > 1$) and
 883 energy-limited ($E_p/P < 1$) catchments. For each model, the parameters are ranked from
 884 the most sensitive to calibration conditions to least sensitive.

Model	Parameter	Percent of catchments	Percent of water-limited catchments	Percent of energy-limited catchments
SIMHYD	SUB	63	81	43
	SMSC	60	75	43
	SQ	53	56	50
	CRAK	50	63	36
	K	37	31	43
	COEFF	33	38	29
	INSC	10	13	7
DWBM	α_1	67	81	50
	S_{max}	63	75	50
	d	47	63	29
	α_2	23	25	21

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Model parameters and description

- α_1 retention efficiency
- α_2 evapotranspiration efficiency
- S_{max} soil water storage capacity (mm)
- d baseflow linear regression

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888 **Figure 1** Structure of the lumped dynamic water balance model (DWBM).

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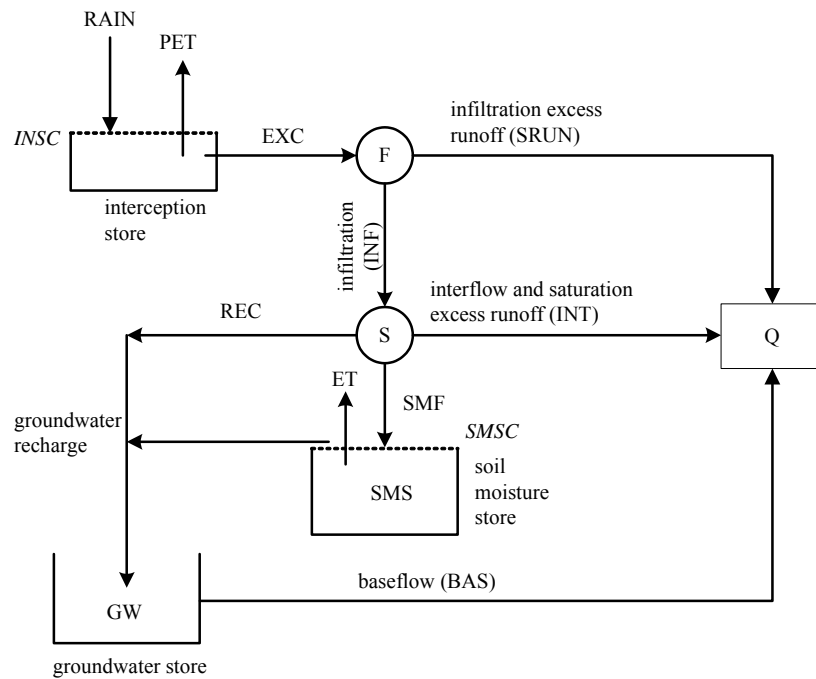
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PET = areal potential evapotranspiration (input data)
 $EXC = RAIN - INSC$, $EXC > 0$
 $INF = \text{lesser of } \{ COEFF \exp(-SQ \times SMS/SMSC), EXC \}$
 $SRUN = EXC - INF$
 $INT = SUB \times SMS/SMSC \times INF$
 $REC = CRAK \times SMS/SMSC \times (INF - INT)$
 $SMF = INF - INT - REC$
 $ET = \text{lesser of } \{ 10 \times SMS/SMSC, PET \}$
 $BAS = K \times GW$

Model parameters and description

INSC interception store capacity (mm)
COEFF maximum infiltration loss (mm)
SQ infiltration loss exponent
SMSC soil moisture store capacity (mm)
SUB constant of proportionality in interflow equation
CRAK constant of proportionality in groundwater recharge equation
K baseflow linear recession parameter

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902 **Figure 2** Structure of the lumped daily rainfall-runoff model SIMHYD.

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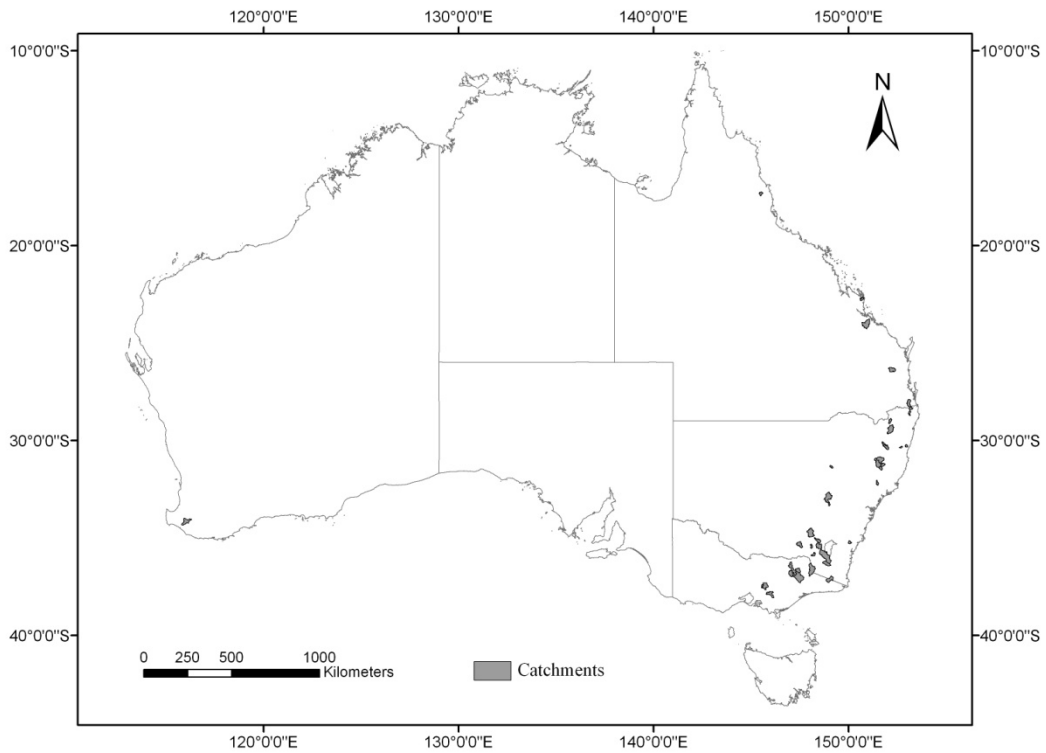
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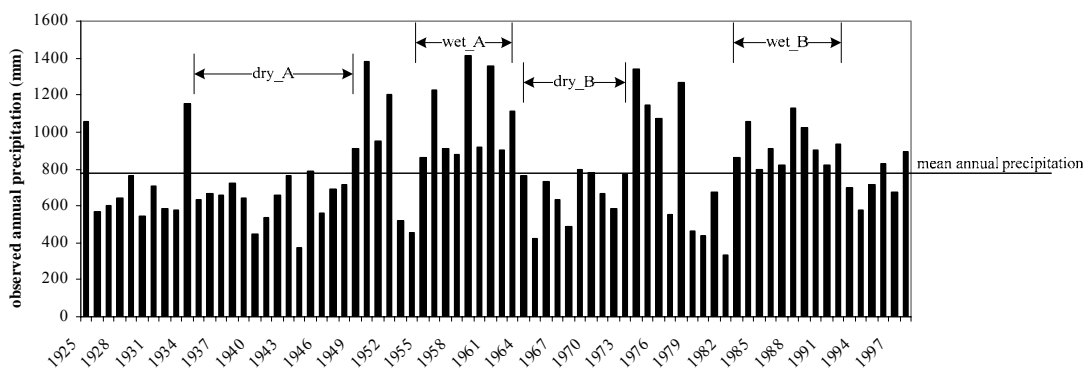
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911 **Figure 3** Location map of the 30 catchments used for this study.

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914 **Figure 4** Annual historical precipitation of the Corang River catchment showing

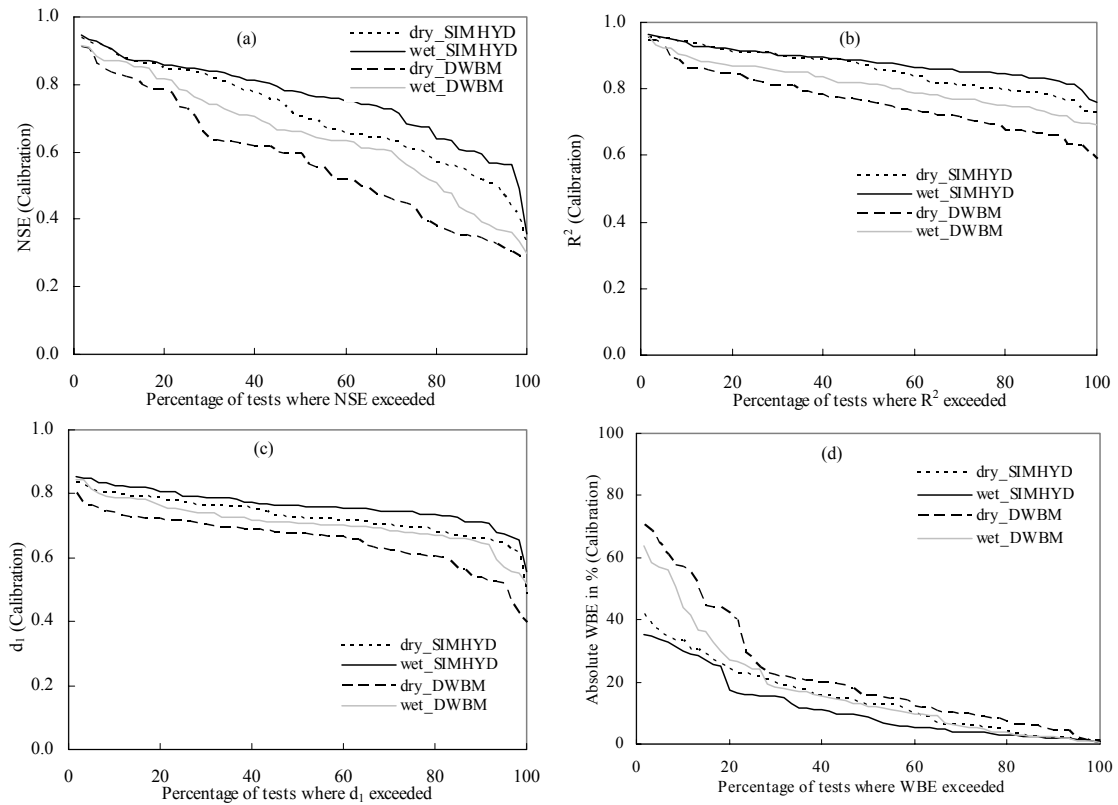
915 estimation of 2 wet periods (A) and 2 dry periods (B) to represent different calibration

916 conditions.

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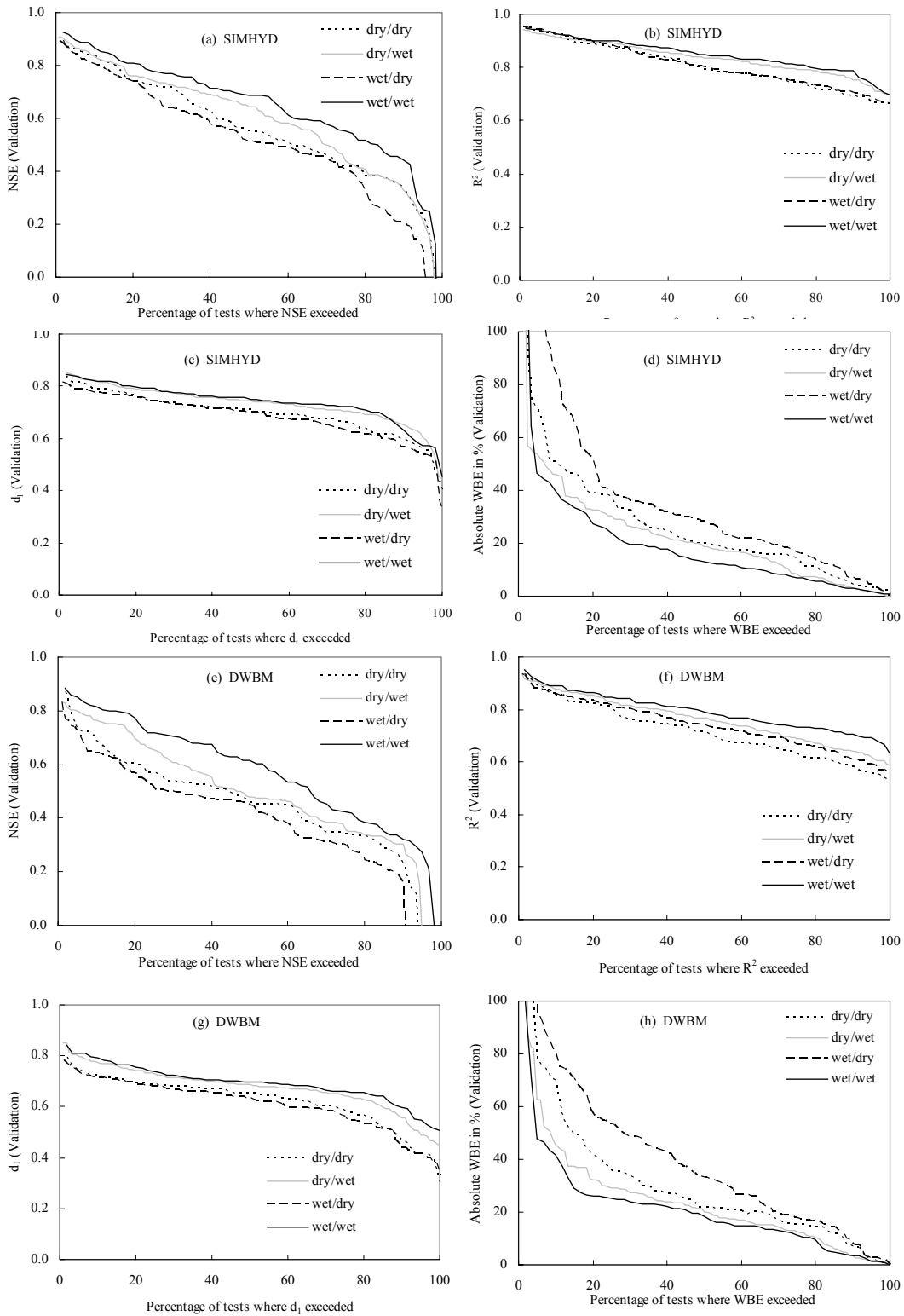
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921 **Figure 5 (a)** Percentage of model calibration tests with a NSE value greater than or
922 equal to a given NSE value. Similarly, **Figure 5 (b-d)** are corresponding plots of the
923 coefficient of determination (R^2), the modified index of agreement (d_I), the absolute
924 percentage water balance error (WBE), respectively.

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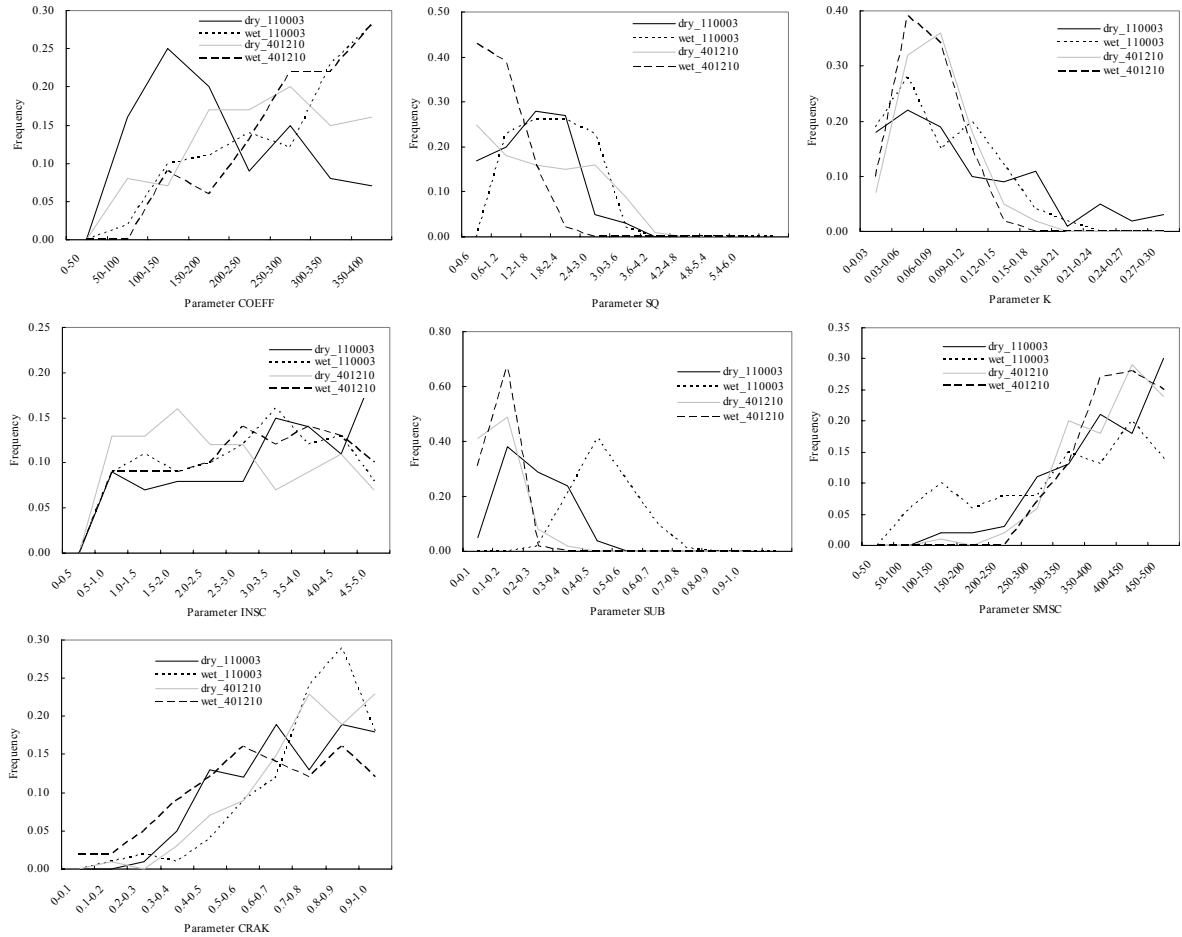


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927 **Figures 6 (a) and (e)** Percentage of model validation tests with a NSE value greater
 928 than or equal to a given NSE value. Similarly, **Figures 6 (b) and (f), Figures 6 (c)**
 929 **and (g), Figures 6 (d) and (h)** are corresponding plots of the coefficient of

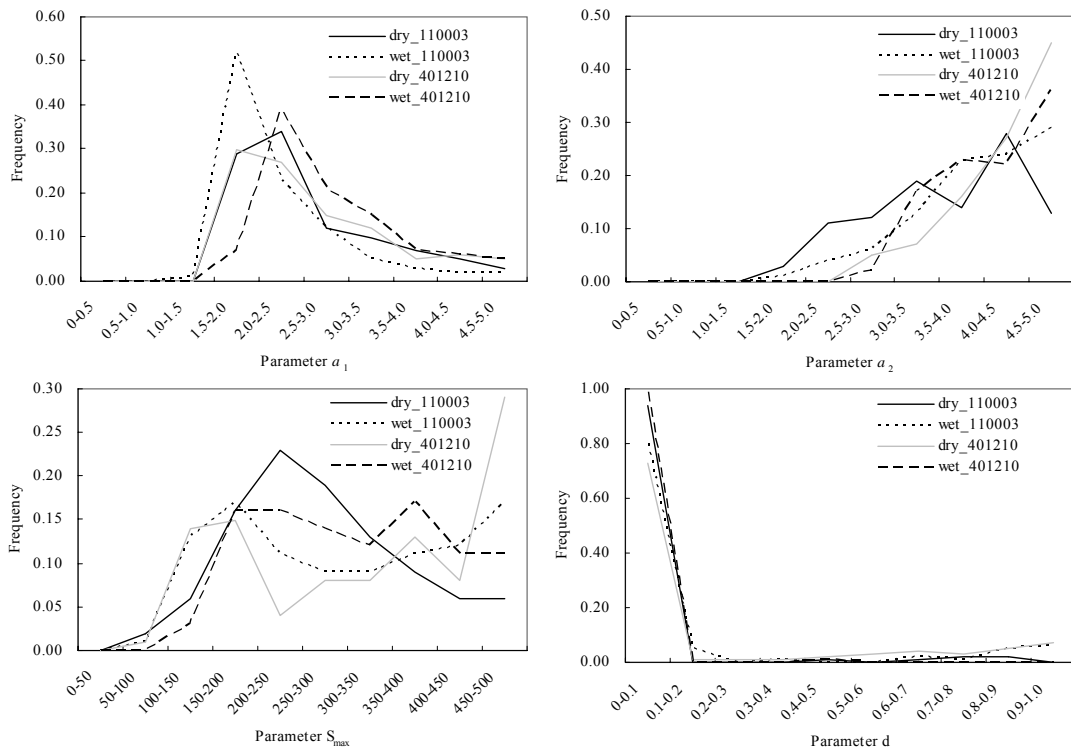
930 determination (R^2), the modified index of agreement (d_i), the absolute percentage
 931 water balance error (WBE), respectively.

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934 **Figure 7** Probability density functions for 7 parameters of the SIMHYD model under
 935 dry and wet calibration periods in catchments 110003 and 4021210.



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937 **Figure 8** Probability density functions for 4 parameters of the DWBM model under

938 dry and wet calibration periods in catchments 110003 and 401210.