

# Response to the comments by Reviewer #1

## Comments

This manuscript describes a study on the transferability of model parameters between periods with different weather conditions (wet/dry). This is an important issue and this study could potentially make a valuable contribution. Here a differential split sample approach is used, which is a suitable approach that would deserve much more use in hydrology. While there are not too many studies following the suggestion by Klemes, the authors might find it useful to relate their study to the few studies using a differential split sample test (e.g. Andreassian et al, 2009; Seibert, 2003). This manuscript could make a good contribution, but needs a significant improvement before publication.

**Response:** Thanks for the constructive comments and we have revised the manuscript to include more recent literature on the topic. We also further discussed the transferability of the model parameters under different calibration conditions and uncertainties associated with them. As you can see from the revised manuscript and our responses below that we have adequately addressed the comments raised by the reviewers and made significant improvement to the paper.

## Comments

I see a crucial issue, which largely influences the results and conclusions. This is the use of the model efficiency to evaluate model performance. Since the efficiency is using the variation in the observed flow to normalize the simulation errors, efficiency values increase with increasing flow variability and thus flow. This means that we get higher efficiency values for the 'wet' than for the 'dry' periods even if the simulation errors might not differ. Importantly this also affects the conclusion that the transfer from dry to wet results in better simulations than the transfer from wet to dry. This conclusion is counter-intuitive and, as I would argue, a result of the different normalization. This issue needs to be addressed by, for instance, using a different objective function or model performance evaluation.

**Response:** The Nash-Sutcliffe efficiency is widely used in hydrological modelling and it provides a useful measure of model performance. The issue raised here was addressed by Hall (2001) and he analysed 10 commonly used indices to evaluate the goodness-of-fit of a model to a set of observations, and showed that no single measure is perfect. To accommodate the concern of the reviewer, we used two additional statistics to indicate the accuracy of the SIMHYD and DWBM models: the coefficient of determination ( $R^2$ ), the modified index of agreement ( $d_i$ ) following recommendations by Legates and McCabe (1999) and Hogue et al., 2006. The results are consistent with our findings before and support our conclusions.

## Comments

It is not clear how the authors aggregated the results from 60 catchments with 100 parameter sets each. This needs to be described and motivated more clearly as results can be quite different depending on the aggregation procedure. Are the lower efficiency values in Fig 5, for instance, all from a few catchments or all from the poorer performing parameter sets?

**Response:** Changes have been made. For each catchment and each calibration period, a Monte Carlo simulation was undertaken with 1,000,000 runs, each with randomly generated parameter values within the given ranges listed in Tables 1 and 2 for the two models respectively. We then selected assemblies of the 100 best parameter sets for each catchment and each calibration period according to a goodness-of-fit measure which is defined in section 3.3. Finally, the models were run during the validation periods with all the best parameter sets. Calibration with the 100 best parameter sets gave very similar results and the means were used for subsequent analysis. The lower efficiency values in Figure 5 are due to poorer performing catchments.

### Comments

Table 5 presents potentially interesting results which would motivate a deeper analysis. I find the discussion in section 4.3 not easy to follow and I also do not see directly, how the change of parameter values is related to parameter uncertainties. I think parameter uncertainty should be evaluated separately as this would help to interpret the observed changes in parameter value distributions.

**Response:** Changes have been made to split the results and discussion. We also discussed the results in Table 5 in relation to uncertainty by adding the following texts:

“Based on these results, one may argue that the sensitive model parameters should be updated by functionally relating them with climatic variables such as rainfall (Merz et al., 2011). This may reduce uncertainty and lead to more accurate predictions. However, some of the parameters are poorly related to catchment characteristics (e.g. rainfall) and the problem is further complicated by the fact that not every parameter is well identified and different parameter values can result in equal model performance, i.e. equifinality (Beven, 1993). The differential split-sample test can be considered as the first step in addressing the issue of parameter transferability under non-stationary conditions.”

### Comments

I found the section results and discussion very confusing to read, especially because not only results and discussion are mixed, but also some additional methods are introduced. I strongly recommend splitting results and discussion and to move any method descriptions to the methods section.

**Response:** Following this comment, we have split the results and discussion section and moved some method descriptions to the methods section.

### Comments

What do you mean by the last sentence in the abstract? How should the differential split sample test help to reduce uncertainties? This is nothing you have addressed in the manuscript, have you?

**Response:** Changes have been made. Now it reads “a differential split-sample test and Monte Carlo simulation should be used to quantify uncertainties due to parameter instability and non-uniqueness.”

### Comments

I do not understand what the authors mean by the percentages on p8711. From the text I understand that these are the percentages of the long-term mean values. From the values however, these seem rather to be the deviations from the long term mean. The equations for the objective functions are not needed here, they are common knowledge.

**Response:** Changes have been made to more clearly describe precipitation in the “wet” and “dry” periods: “The precipitation in the “wet” periods is 10.2% to 47.1% above the long-term average annual precipitation, while the precipitation in the “dry” periods is 10.4% to 28.3% below the long-term average annual precipitation.”

### Comments

There are several language issues and I would recommend the authors to get professional help. Besides grammatical/spelling errors this also refers to awkward formulation such P8703, 14; hydrological models can hardly be described as being important for predicting climate change scenarios.

**Response:** Thanks for the suggestion and the English of the paper has been improved by a professional editor.

### Comments

Please consider formulating the objective functions so that both have the same value for a perfect fit, Fig 5 with better models on the top on the one side and better models on the bottom for the other side, is quite confusing.

**Response:** The objective function used in this study is the Nash and Sutcliffe efficiency of daily streamflow, which is a commonly used objective function in hydrological literature (Perrin et al., 2001, Perrin et al., 2003, Hope et al., 2008, Zégre et al., 2010). An efficiency of 1 represents to a perfect match of modelled streamflow to the measured streamflow. Therefore, a better model is indicated by a higher Nash and Sutcliffe efficiency (i.e. a better model is plotted on the top of Figure 5). On the other hand, the bottom panel of Figure 5 shows model error and in this case a better model is associated with a lower error value. Changes have been made to more clearly present the results in Figure 5.

### Comments

Figure 5 and 6, as well as 7 and 8 basically show the same information, which additionally is given in Tables 3 and 4. One presentation for each case would be enough; I do not see the need to show the same info in three versions.

**Response:** Changes have been made in the revised manuscript. Now, Figure 5(a) shows the percentage of model calibration tests that have a NSE value exceeding a given NSE value. Similarly, Figure 5(b-d) are corresponding plots of the coefficient of determination ( $R^2$ ), the modified index of agreement ( $d_i$ ), the absolute percentage water balance error (WBE), respectively. The model validation results are summarized in Figure 6. In other words, Figure 5 shows **calibration results** and Figure 6 shows **validation results**. Tables 3 and 4 show different percentile results for the calibration and validation periods respectively and these results are necessary for understanding the model performance under different calibration conditions.

1 **the revised manuscript**

2 **The transferability of hydrological models under nonstationary**  
3 **climatic conditions**

4

5 Chuanzhe Li<sup>1,2</sup>, Lu Zhang<sup>2,\*</sup>, Hao Wang<sup>1</sup>, Yongqiang Zhang<sup>2</sup>, Fuliang Yu<sup>1</sup> and  
6 Denghua Yan<sup>1</sup>

7

8 <sup>1</sup> State Key Laboratory of Simulation and Regulation of Water Cycle in River Basin,  
9 China Institute of Water Resources and Hydropower Research, Beijing 100038, P.R.  
10 China

11 <sup>2</sup> CSIRO Land and Water, Canberra ACT 2601, Australia

12

13 \* Corresponding author: Lu Zhang, CSIRO Land and Water, GPO Box 1666,  
14 Canberra ACT 2601, Australia

15 Tel: +61(2)6246-5802

16 Fax: +61(2)6246-5800

17 Email: lu.zhang@csiro.au

18

19 Submission to: Hydrology and Earth System Sciences

20

21 Submission date: September, 2011

22

23 **Abstract:** This paper investigates issues involved in calibrating hydrological models  
24 against observed data when the aim of the modelling is to predict future runoff under  
25 different climatic conditions. To achieve this objective, we tested two hydrological  
26 models, DWBM and SIMHYD, using data from 30 unimpaired catchments in  
27 Australia which had at least 60 years of daily precipitation, potential  
28 evapotranspiration (PET), and streamflow data. Nash–Sutcliffe efficiency (NSE),  
29 coefficient of determination ( $R^2$ ), modified index of agreement ( $d_1$ ) and absolute  
30 percentage water balance error (WBE) were used as performance criteria. We used a  
31 differential split-sample test to split up the data into 120 sub-periods and 4 different  
32 climatic sub-periods in order to assess how well the calibrated model could be  
33 transferred different periods. For each catchment, the models were calibrated for one  
34 sub-period and validated on the other three. Monte Carlo simulation was used to  
35 explore parameter stability compared to historic climatic variability. The chi-square  
36 test was used to measure the relationship between the distribution of the parameters  
37 and hydroclimatic variability. The results showed that the performance of the two  
38 hydrological models differed and depended on the model calibration. We found that if  
39 a hydrological model is set up to simulate runoff for a wet climate scenario then it  
40 should be calibrated on a wet segment of the historic record, and similarly a dry  
41 segment should be used for a dry climate scenario. The Monte Carlo simulation  
42 provides an effective and pragmatic approach to explore uncertainty and equifinality  
43 in hydrological model parameters. Some parameters of the hydrological models are  
44 shown to be significantly more sensitive to the choice of calibration periods. Our  
45 findings support the idea that when using conceptual hydrological models to assess  
46 future climate change impacts, a differential split-sample test and Monte Carlo  
47 simulation should be used to quantify uncertainties due to parameter instability and

48 non-uniqueness.

49

50 **KEY WORDS:** Hydrological models; nonstationarity; calibration; validation; climate

51 change

52

## 53 **1 Introduction**

54 Climate change caused by increasing atmospheric concentration of greenhouse gases

55 may have significant effects on the hydrological cycle and water availability, hence

56 affecting agriculture, forestry, and other industries (Rind et al., 1992; IPCC, 2007).

57 Changes in the hydrological cycle may mean more floods and droughts, and increased

58 pressure on water supply and irrigation systems. It is important for us to be able to

59 estimate the potential impact of climate change on water resources and develop

60 sustainable management strategies. One of the challenges in predicting hydrological

61 response to climate change is the issue of hydrological nonstationarity (Milly et al.,

62 2008). There are numerous factors that can affect hydrological stationarity and these

63 include vegetation responses to elevated CO<sub>2</sub>, changes in land use and rainfall

64 characteristics. It is crucial to improve our understanding of the effect of

65 nonstationarity on hydrological assessments of climate change.

66

67 Hydrological models are important tools for predicting the impact of climate change

68 on future water resources and associated socioeconomic impacts. A number of models

69 have been used to evaluate hydrological effects of climate change (Rind et al., 1992).

70 Predicting the hydrological impacts of climate change involves two key steps:

71 downscaling the outputs from global climate models (GCMs) and then running

72 hydrological models. At present, outputs from different GCMs have been used to  
73 drive hydrological models for predicting streamflow under a changed climate (Chiew  
74 et al., 2009). There are many factors that can affect the accuracy of a rainfall-runoff  
75 model in predicting the hydrological responses to climate change, including the  
76 particular hydrological model chosen, the GCM used, the optimisation technique  
77 employed, and the calibration period of the model. Most researchers usually use an  
78 ensemble of these techniques to minimise the uncertainty in predicting climate change  
79 impacts. For instance, *Chiew et al.* (1995) used results from 5 separate GCM  
80 experiments and reported that, in certain parts of Australia, the GCMs did not even  
81 agree on the direction of change in rainfall (i.e. increasing or decreasing rainfall).  
82 *Boorman et al.* (1997) evaluated effects of climate change on mean runoff, flood  
83 magnitude, and low flow for 3 catchments in UK using 2 conceptual rainfall-runoff  
84 models. In their study, they considered 2 climate scenarios and 8 climate sensitivity  
85 tests. *Minville et al.* (2008) produced an uncertainty envelope of future hydrological  
86 variables by considering 10 equally weighted climate projections from a combination  
87 of 5 GCMs and 2 greenhouse gas emission scenarios. *Monomoy et al.* (2007) used 6  
88 automatic optimisation techniques to calibrate a conceptual rainfall-runoff model, and  
89 there have been a number of more recent studies for estimating the impact of climate  
90 change on hydrological processes (Chiew et al., 2009, Vaze et al., 2010, Boyer et al.,  
91 2010). An implicit assumption in all these studies is that rainfall-runoff models  
92 calibrated over the historical period are valid for predicting the future hydrological  
93 regime under a changed climate and this relates directly to the assumption of  
94 hydrological stationarity. However, little has been carried out to test the validity of  
95 this assumption.

96

97 Calibration of hydrological models generally involves optimizing model parameters to  
98 match measured streamflow using observed rainfall as input. Performance of the  
99 model is usually tested using a simple split-sample test, i.e. the model is calibrated for  
100 one period of the record and tested for another period. The simple split-sample test  
101 may be sufficient for applications where hydroclimatic conditions between the  
102 calibration period and validation period are similar. However, when the model needs  
103 to be applied to simulate streamflow from periods with different conditions from  
104 those in the calibration periods, a more powerful test is required (Klemes, 1986, Xu,  
105 1999, Seibert, 2003). In a recent paper, *Andreassian et al* (2009) used crash test to  
106 advocate for more comprehensive model testing in hydrology. For predicting the  
107 impact of climate change on streamflow, the input rainfall series are varied according  
108 to an assumed future climate scenario and this often means different climatic  
109 conditions. But is it appropriate to use these models for future climatic conditions  
110 when rainfall–runoff relations could be very different to those experienced  
111 historically?

112

113 This paper investigates the transferability of hydrological models under nonstationary  
114 climatic conditions. We compare results obtained with different hydrological models  
115 calibrated under different climatic conditions. The paper first presents two  
116 hydrological models chosen for this study – the Dynamic Water Balance Model  
117 (DWBM) and the SIMHYD model – and then describes the data used to calibrate  
118 them. We describe different methods of applying the data, including a differential  
119 split-sample test, a Monte Carlo simulation, and a performance criterion. Finally, we  
120 analyse the performance of the models under different calibration conditions and  
121 discuss the optimal parameters for each.



122

## 123 **2 Description of Hydrological Models and Data**

124 Two lumped hydrological models with daily inputs were chosen for this study: the  
125 Dynamic Water Balance Model (DWBM) (Zhang et al., 2008) and the SIMHYD  
126 model (Chiew et al., 2002), and detailed description of the two models is presented  
127 below.

128

### 129 **2.1 The Dynamic Water Balance Model (DWBM)**

130 The DWBM model used in this study was developed by *Zhang et al.* (2008). It is a  
131 lumped conceptual water balance model with two stores: a near surface root-zone  
132 store and a deeper zone store (**Figure 1**). The model is based on Budyko's concept of  
133 water availability and atmospheric demand (Budyko, 1958) or the concept of "*limits*  
134 *and controls*" (Calder, 1998). Fundamental to this model is a functional form that  
135 represents a smooth transition between supply and demand limits (Fu, 1981):

$$136 \quad \frac{E}{P} = 1 + \frac{E_0}{P} - \left[ 1 + \left( \frac{E_0}{P} \right)^w \right]^{1/w} \quad (1)$$

137 where  $w$  is a model parameter ranging between 1 and  $\infty$ . For the purpose of model  
138 calibration, we define  $\alpha = 1 - 1/w$  so that  $\alpha$  varies between 0 and 1. This definition also  
139 conveniently associates an increase in  $\alpha$  with an increase in evapotranspiration  
140 efficiency.  $P$  is rainfall and  $E_0$  is potential evapotranspiration at mean annual  
141 timescale. More details of this mean annual water balance model are given in *Zhang*  
142 *et al.* (2004) and *Zhang et al.* (2008).

143 It is assumed that rainfall  $P(t)$  in time step  $t$  will be partitioned into direct runoff  $Q_d(t)$   
144 and catchment rainfall retention:

145 
$$P(t) = Q_d(t) + X(t) \tag{2}$$

146 where  $X(t)$  is called catchment rainfall retention and is the amount of rainfall retained  
 147 by the catchment for evapotranspiration  $ET(t)$ , change in soil moisture storage  
 148  $S(t)-S(t-1)$  and recharge  $R(t)$ .

149 The demand limit for  $X(t)$  is the sum of available storage capacity ( $S_{max}-S(t-1)$ ) and  
 150 potential evapotranspiration ( $E_0(t)$ ) and is denoted as  $X_0(t)$ , while the supply limit can  
 151 be considered as rainfall  $P(t)$ . Following a similar argument to *Budyko* (1958), we can  
 152 postulate that:

153 
$$X(t)/P(t) \rightarrow 1 \quad \text{as} \quad X_0(t)/P(t) \rightarrow \infty \quad (\text{very dry conditions}) \tag{3}$$

154 
$$X(t) \rightarrow X_0(t) \quad \text{as} \quad X_0(t)/P(t) \rightarrow 0 \quad (\text{very wet conditions}) \tag{4}$$

155 The catchment rainfall retention  $X(t)$  can be calculated as:

156 
$$X(t) = P(t)F\left(\frac{X_0(t)}{P(t)}, \alpha_1\right) \tag{5}$$

157 where  $F(\ )$  is Fu's curve – equation (1),  $\alpha_1$  is rainfall retention efficiency, i.e., a larger  
 158  $\alpha_1$  value will result in more rainfall retention and less direct runoff.

159 From equations (2) and (5), direct runoff is calculated as:

160 
$$Q_d(t) = P(t) - X(t) \tag{6}$$

161 At sub-annual time scales, water availability  $W(t)$  can be defined as:

162 
$$W(t) = X(t) + S(t-1) \tag{7}$$

163 Combining the definition of  $X(t)$  with equation (7), one obtains:

164 
$$W(t) = ET(t) + S(t) + R(t) \tag{8}$$

165 While equation (7) defines the source of the water availability, Equation (8)  
 166 determines the partitioning. Next define evapotranspiration opportunity  
 167 (Sankarasubramanian and Vogel, 2002) as  $Y(t) = ET(t) + S(t)$ , we obtain:

168 
$$W(t) = Y(t) + R(t) \tag{9}$$

169 The demand limit for  $Y(t)$  can be considered as the sum of potential  
 170 evapotranspiration ( $E_0(t)$ ) and soil water storage capacity ( $S_{max}$ ) and is denoted as  $Y_0(t)$ ,  
 171 while the supply limit is the available water  $W(t)$ . Similar to *Budyko* (1958), we can  
 172 postulate that:

$$173 \quad Y(t)/W(t) \rightarrow 1 \quad \text{as} \quad Y_0(t)/W(t) \rightarrow \infty \quad (\text{very dry conditions}) \quad (10)$$

$$174 \quad Y(t) \rightarrow Y_0(t) \quad \text{as} \quad Y_0(t)/W(t) \rightarrow 0 \quad (\text{very wet conditions}) \quad (11)$$

175 The evapotranspiration opportunity  $Y(t)$  can be estimated from the following  
 176 relationship:

$$177 \quad Y(t) = W(t)F\left(\frac{E_0(t)+S_{max}}{W(t)}, \alpha_2\right) \quad (12)$$

178 Thus groundwater recharge  $R(t)$  can be calculated from Equation (9). The next step is  
 179 to calculate evapotranspiration  $ET(t)$ . The demand limit for  $ET(t)$  can be considered as  
 180 potential evapotranspiration  $E_0(t)$  and the supply limit is the available water  $W(t)$ .  
 181 Similar to *Budyko* (1958), evapotranspiration  $ET(t)$  can be calculated as:

$$182 \quad ET(t) = W(t)F\left(\frac{E_0(t)}{W(t)}, \alpha_2\right) \quad (13)$$

183 where  $\alpha_2$  is a model parameter, representing evapotranspiration efficiency.

184 Soil water storage can now be calculated as:

$$185 \quad S(t) = Y(t) - ET(t) \quad (14)$$

186 Finally, groundwater storage is treated as linear reservoir, so that baseflow and  
 187 groundwater balance can be modelled as:

$$188 \quad Q_b(t) = dG(t-1) \quad (15)$$

$$189 \quad G(t) = (1-d)G(t-1) + R(t) \quad (16)$$

190 where  $Q_b$  is baseflow,  $G$  is groundwater storage, and  $d$  is a recession constant.

191

192 The DWBM model has been applied to 265 catchments in Australia and showed  
193 encouraging results (Zhang et al., 2008). The model has four parameters: retention  
194 efficiency( $\alpha_1$ ); evapotranspiration efficiency( $\alpha_2$ ); soil water storage capacity ( $S_{max}$ ),  
195 and baseflow linear recession constant ( $d$ ). The range of the parameter values is  
196 shown in **Table 1**.

197

198 **[Figure 1 and Table 1 here]**

199

## 200 **2.2 The SIMHYD Model**

201 The SIMHYD model is a lumped conceptual daily rainfall–runoff model (Chiew et al.,  
202 2002), driven by daily rainfall and PET, which simulates daily streamflow. It has been  
203 tested and used extensively across Australia (Chiew et al., 2002; Siriwardena et al.,  
204 2006; Viney et al., 2008; Zhang et al., 2008; Zhang et al., 2009). **Figure 2** shows the  
205 structure of the SIMHYD model and the algorithms controlling how water enters the  
206 system from precipitation, flows into several stores, and then flows out through  
207 evapotranspiration and runoff. The SIMHYD model has 7 parameters, and the useful  
208 ranges of them are shown in **Table 2**.

209

210 **[Figure 2 and Table 2 about here]**

211

212 In the SIMHYD model, daily rainfall is first intercepted by an interception store,  
213 which is emptied each day by evaporation. Incident rainfall, which occurs if rainfall  
214 exceeds the maximum daily interception, is then subjected to an infiltration function.  
215 The incident rainfall that exceeds the infiltration capacity becomes infiltration excess  
216 runoff. A soil moisture function diverts the infiltrated water to the river (as saturation

217 excess runoff/interflow), groundwater store (as recharge) and soil moisture store. The  
218 saturation excess runoff/interflow is first estimated as a linear function of the soil  
219 wetness (soil moisture level divided by soil moisture capacity). The equation used to  
220 simulate interflow therefore attempts to mimic both the interflow and saturation  
221 excess runoff processes (with soil wetness used to reflect those parts of the catchment  
222 that are saturated and from which saturation excess runoff can occur). Groundwater  
223 recharge is then estimated, also as a linear function of the soil wetness. The remaining  
224 moisture flows into the soil moisture store. Evapotranspiration from the soil moisture  
225 store is estimated as a linear function of the soil wetness, but cannot exceed the  
226 potential rate (PET minus intercepted water). The soil moisture store has a finite  
227 capacity and overflows into the groundwater store, baseflow from which is simulated  
228 as a linear recession from the groundwater store. The model has therefore three runoff  
229 components: infiltration excess runoff, saturation excess runoff/interflow, and  
230 baseflow.

231

### 232 **2.3 Study Catchments and Data**

233 In this study 30 catchments from Australia were selected with at least 60 years of  
234 unimpaired daily streamflow data (**Figure 3**). Unimpaired streamflow is defined as  
235 streamflow that is not subject to regulation or diversion. The catchment area ranges  
236 from 82 to 1891 km<sup>2</sup> with mean annual streamflow varied between 53 to 1363 mm.  
237 The mean annual precipitation (*P*) ranges from 628 to 2095 mm and annual potential  
238 evapotranspiration (*PET*) ranges from 817 to 2098 mm, representing diverse  
239 hydrological and climatic conditions. The runoff coefficient varies from 0.08 to 0.65.  
240

241 Catchment averaged annual rainfall was estimated from gridded SILO daily rainfall  
242 (<http://www.longpaddock.qld.gov.au/silo>, Jeffrey et al., 2001). The SILO Data Drill  
243 provides surfaces of daily rainfall and other climate data interpolated from point  
244 measurements made by the Australian Bureau of Meteorology. The spatial resolution  
245 of the gridded daily rainfall data is 0.05 degrees based on interpolation of over 6000  
246 rainfall stations across Australia. The interpolation uses monthly rainfall data,  
247 ordinary kriging with zero nugget, and a variable range. Monthly rainfall for each  $5 \times$   
248  $5$  km grid cell was converted to daily rainfall using daily rainfall distribution from the  
249 station closest to the grid cell (Jeffrey et al., 2001). The daily time series of maximum  
250 and minimum temperatures, incoming solar radiation, actual vapour pressure, and  
251 precipitation at  $0.05 \times 0.05$  ( $\sim 5$  km  $\times$  5 km) grid cells from the SILO Data Drill  
252 (<http://www.longpaddock.qld.gov.au/silo>) were used.

253

254 Potential evaporation was calculated using the Priestley-Taylor equation (Priestley  
255 and Taylor, 1972) for each catchment with the Priestley-Taylor coefficient set to 1.26  
256 following *Raupach* (2000). In the calculation, the available energy was taken as equal  
257 to the net radiation by neglecting ground heat flux. The net radiation was calculated  
258 from the incoming global shortwave and longwave radiation, surface albedo, surface  
259 emissivity, and surface temperature as described by *Raupach et al.* (2001).

260

261 Daily streamflow data were obtained from the Australian Land and Water Resources  
262 Audit project (*Peel et al.*, 2000) and have been quality checked. Firstly, data quality  
263 codes were checked for any missing and poor-quality data as most gauging stations  
264 provide numerical codes indicating quality of streamflow data. Missing streamflow  
265 data were infilled by interpolating streamflow values at previous and following days.

266 Secondly, time series of daily rainfall and streamflow were plotted to identify any  
267 inconsistency and recording errors in the data (e.g. spikes, same streamflow value for  
268 a long period of time). The quality checks are to ensure good quality streamflow data  
269 are used in the study.

270

271 **[Figure 3 here]**

272

## 273 **3 Methods**

### 274 **3.1 Differential Split-sample Test**

275 In general, hydrological models rely on stationary conditions (Xu, 1999). Usually,  
276 model calibration requires a split-sample test, where the model is calibrated during  
277 one climatic period and validated on another independent period. The split-sample test  
278 is the classical test, being applicable to cases where there is sufficiently long time  
279 series of the climatic data for both calibration and validation and where the catchment  
280 conditions remain unchanged, i.e. stationary (Refsgaard and Storm, 1996). This test  
281 gives an indication how the model might perform for an independent period having  
282 similar conditions. Unfortunately, this test is unable to guarantee the applicability of  
283 hydrological models under nonstationary conditions (Xu, 1999; Henriksen et al.,  
284 2003).

285

286 In order to try to answer the question of whether the transfer of parameter values from  
287 the present-day climate to a future climate is justified, the ‘differential split-sample  
288 test’ proposed by *Klemes* (1986) was considered, in which the hydrological model is

289 tested on calibration and validation periods under contrasting climatic conditions. In  
290 this case, different sub-periods are chosen with different historical rainfall conditions.

291

292 In this study, different periods with various climatic conditions were identified. First  
293 of all, we calculated annual and mean annual precipitation over the whole period of  
294 record for each catchment. Then sub-periods with consecutive annual precipitation  
295 greater than the mean were selected as the “wet” periods and sub-periods with  
296 consecutive annual precipitation less than the mean were selected as the “dry” periods.  
297 The precipitation in the “wet” periods is 10.2% to 47.1% above the long-term average  
298 annual precipitation, while the precipitation in the “dry” periods is 10.4% to 28.3%  
299 below the long-term average annual precipitation. In the selection, the minimum  
300 length of the sub-period was set to 5 years to ensure stable model calibration. If this  
301 process results in more than two “wet” or “dry” periods, then the two wettest periods  
302 or two driest periods were selected for model calibration and validation (**Figure 4**).  
303 The hydrological model was calibrated for each of the 4 sub-periods and validated on  
304 each of the remaining 3 sub-periods in turn, resulting in a total of 12 calibration and  
305 validation tests.

306

307 To examine model performance under different calibration and validation conditions,  
308 results from the above tests are grouped as “**dry**/dry”, “**dry**/wet”, “**wet**/wet”, and  
309 “**wet**/dry” to represent climatic conditions in the calibration and validation periods  
310 respectively.

311

312

[Figure 4 about here]

313



## 314 3.2 Monte Carlo Simulation

315 It has been widely recognized that hydrological models can perform equally well  
316 against measured runoff estimates even with different parameter sets and this  
317 so-called parameter equifinality may result in large prediction uncertainty (Beven,  
318 1993; Boorman et al., 1997; Niel et al., 2003; Wilby et al., 2005; Minville et al., 2008).  
319 The parameter equifinality is related to overparameterization of hydrological models  
320 and poor parameter identifiability. For some practical applications, the parameter  
321 equifinality problem may not be an issue and any of the parameter sets may be  
322 appropriate. However, these equally good parameter sets may give different  
323 predictions when the model is used to estimate the effects of climate change and land  
324 use change on streamflow (Uhlenbrook et al., 1999). The need for improved model  
325 calibration and testing has been emphasized in recent years. Monte Carlo simulation is  
326 an effective way of calculating confidence limits of predicted time series and  
327 exploring parameter stability and identifiability in the context of historic climate  
328 variability (Uhlenbrook et al., 1999; Wilby, 2005; Widen-Nilsson et al., 2009).

329

330 For each catchment and each calibration period, a Monte Carlo simulation was  
331 undertaken with 1,000,000 runs, each with randomly generated parameter values  
332 within the given ranges listed in **Tables 1** and **2** for the two models respectively. We  
333 then selected assemblies of the 100 best parameter sets for each catchment and each  
334 calibration period according to a goodness-of-fit measure which is defined in section  
335 3.3. Finally, the models were run during the validation periods with all the 100 best  
336 parameter sets. Calibration with the 100 best parameter sets gave very similar results  
337 and the means were used in subsequent analysis.

338

339 **3.3 Model Performance Criteria**

340 The Nash–Sutcliffe efficiency (NSE) (Nash and Sutcliffe, 1970) was used as the  
 341 statistic criterion of the model performance. The objective function used in the model  
 342 calibration is the Nash and Sutcliffe efficiency of daily runoff, which is defined as:

$$343 \quad \text{NSE} = 1 - \frac{\sum_{i=1}^N (Q_{obs,i} - Q_{sim,i})^2}{\sum_{i=1}^N (Q_{obs,i} - \overline{Q_{obs,i}})^2} \quad (17)$$

344 where  $Q_{sim,i}$  and  $Q_{obs,i}$  are the simulated and observed daily runoff, respectively,  
 345  $\overline{Q_{obs,i}}$  is the mean observed runoff,  $i$  is the  $i$ th day, and  $N$  is the number of days  
 346 sampled and it varies with individual catchment.

347

348 Following recommendations by *Legates and McCabe (1999)* and *Hogue et al., 2006*,  
 349 three statistics are used to indicate the accuracy of the SIMHYD and DWBM models:  
 350 the coefficient of determination ( $R^2$ ), the modified index of agreement ( $d_1$ ) and the  
 351 absolute percentage water balance error (WBE):

$$352 \quad R^2 = \left\{ \frac{\sum_{i=1}^N (o_{obs,i} - \overline{o_{obs,i}})(o_{sim,i} - \overline{o_{sim,i}})}{\left[ \sum_{i=1}^N (o_{obs,i} - \overline{o_{obs,i}})^2 \right]^{0.5} \left[ \sum_{i=1}^N (o_{sim,i} - \overline{o_{sim,i}})^2 \right]^{0.5}} \right\}^2 \quad (18)$$

$$353 \quad d_1 = 1.0 - \frac{\sum_{i=1}^N |o_{obs,i} - o_{sim,i}|}{\sum_{i=1}^N (|o_{sim,i} - \overline{o_{obs,i}}| + |o_{obs,i} - \overline{o_{obs,i}}|)} \quad (19)$$

$$354 \quad \text{absolute WBE} = \frac{\sum_{i=1}^N |Q_{sim,i} - Q_{obs,i}|}{\sum_{i=1}^N Q_{obs,i}} \times 100\% \quad (20)$$

355 with the symbols defined above.

356

### 357 **3.4 Analysis of Parameter Probability Distributions under Different Calibration**

#### 358 **Periods**

359 For each of the models, we ended up with 100 best parameter sets for each catchment  
360 and for each calibration period. From these parameters sets we calculated a  
361 probability distribution of each parameter. For a given significance level  $\alpha$ , the  
362 chi-square test ( $\chi^2$  test) was used to test the null hypothesis that the parameter  
363 distributions obtained for a dry period and a wet period were significantly different. A  
364  $p$  value greater than 0.01 indicates a rejection of the null hypothesis, which means that  
365 the parameter probability distributions for the two different calibration periods are  
366 similar.

367

## 368 **4 Results**

### 369 **4.1 Comparisons of Model Calibration under Different Climatic Conditions**

370 Results of model calibration under different climatic conditions are shown in **Figure 5**  
371 and **Table 3**. **Figure 5(a)** shows the percentage of model calibration tests that have a  
372 NSE value exceeding a given NSE value. Similarly, **Figure 5(b-d)** are corresponding  
373 plots of the coefficient of determination ( $R^2$ ), the modified index of agreement ( $d_1$ ),  
374 the absolute percentage water balance error (WBE), respectively. It can be seen that  
375 the SIMHYD model was well calibrated under both dry and wet conditions. The  
376 average value is greater than 0.70 for NSE, 0.86 for  $R^2$ , 0.73 for  $d_1$ . The average water  
377 balance error is 14% and 11% for the dry and wet calibration periods. Compared with  
378 the SIMHYD model, the DWBM model showed slightly poorer results. The average

379 value for the DWBM model is greater than 0.57 for NSE, 0.76 for  $R^2$ , 0.65 for  $d_1$ . The  
380 average water balance error is 22% and 17% for the dry and wet calibration periods.

381

382 The plots show that both models were better calibrated under wet periods than under  
383 dry ones, with higher values of NSE,  $R^2$ , and  $d_1$  and lower values of WBE in the wet  
384 calibration periods. For example, under the dry conditions, average NSE was 0.70 and  
385 0.57 for the SIMHYD and the DWBM model. Under the wet conditions, average NSE  
386 was 0.76 and 0.65 respectively for the two models. In **Figure 5(a)**, a larger NSE value  
387 means a better performance, whereas in **Figure 5(d)**, a smaller percentage WBE value  
388 is better. It can be noted that all the results became worse when the calibration periods  
389 became drier, indicating a higher sensitivity of the models to dry climatic conditions.  
390 The results also indicated that the errors in the simulated runoff were increased under  
391 drier climatic conditions.

392

393 It can be seen from **Table 3** that under dry and wet calibration periods, the median  
394 NSE values are, for the SIMHYD model, 0.70 and 0.77, respectively, and for the  
395 DWBM model, 0.58 and 0.66. The median  $R^2$  values are 0.86 and 0.88 for the  
396 SIMHYD model and 0.76 and 0.82 for the DWBM model. The median  $d_1$  values  
397 showed similar patterns under dry and wet calibration conditions. The median  
398 percentile of the absolute percentage WBE values are 13% and 8% for the SIMHYD  
399 model under dry and wet calibration periods respectively, and 15% and 12% for the  
400 DWBM model. All these results indicate that the two models can be calibrated  
401 satisfactorily for most of the tests, although the calibration results of the DWBM  
402 model are slightly poorer compared with those of the SIMHYD model. The average  
403 NSE values calibrated under the wet periods are higher – i.e. better – by 0.06

404 (SIMHYD model) and 0.08 (DWBM model) than those calibrated under dry periods.  
405 The average absolute percentage WBE values calibrated under wet periods are lower  
406 – again better – by 3% (SIMHYD model) and 5% (DWBM model) than those  
407 calibrated under the dry period.

408

409 **[Figure 5 and Table 3 about here]**

410

#### 411 **4.2 Comparisons of Model Validation using Different Calibration Periods**

412 Validation runs were conducted for 60, 120, 60, and 120 tests for the **dry/dry**, **dry/wet**,  
413 **wet/dry**, and **wet/wet** groups, respectively. The model validation results are  
414 summarized in **Figure 6 and Table 4**. As expected, the validation results are slightly  
415 poorer than the calibration results, with the averaged NSE values in the model  
416 validation generally being 0.1 to 0.2 lower than those in the model calibration and  
417 percentage water balance error being 2 to 7% higher.

418

419 Comparing the validation results of the **dry/dry**, **dry/wet**, **wet/dry**, and **wet/wet**  
420 groups in **Figure 6**, it can be noted both the SIMHYD and DWBM models gave  
421 similar patterns. The results for the **wet/wet** are better than those of the **dry/wet** – this  
422 means that the models performed better during a wet period when they are calibrated  
423 against a wet period, compared to when they are calibrated against a dry period. These  
424 results suggest, not unexpectedly, that if a hydrological model is intended to simulate  
425 streamflow for a wet climate period then it should be calibrated on a wet segment of  
426 the historic record. They also show that hydrological models will, in general, perform  
427 better when calibrated in a wet period than when calibrated in the dry period.

428

429 **Table 4** summarizes the 25<sup>th</sup> percentile, median, 75<sup>th</sup> percentile, and average values of  
430 NSE,  $R^2$ ,  $d_1$ , and absolute percentage WBE in the validation periods. The results from  
431 the **dry**/dry test are slightly better than the results from the **wet**/dry test in terms of  
432 NSE,  $d_1$ , and WBE. The coefficient of determination ( $R^2$ ) showed higher values for  
433 the **wet**/dry test. The results indicate, again reasonably, that the hydrological models  
434 perform better in a dry period when calibrated in a dry period rather than in a wet  
435 period.

436

437 **[Figure 6 and Table 4 about here]**

438

### 439 **4.3 Parameter Uncertainty under Climatic Nonstationarity**

440 As described in section 3.2, assemblies of the 100 best parameter sets were selected  
441 from Monte Carlo simulation under different calibration conditions. **Table 5** shows  
442 the percentage of the catchments in which the model parameter distributions for a dry  
443 and wet period were significantly different ( $p < 0.01$ ). For each model, the parameters  
444 are ranked from the most sensitive to calibration conditions to least sensitive. For the  
445 SIMHYD model, the most sensitive parameters were SUB, SMSC, SQ, and CRAK,  
446 each of which significantly affected 50% or more of the catchments. The other three  
447 parameters, K, COEFF, and INSC had smaller effects, with INSC (having an effect in  
448 only 10% of catchments) being the most insensitive to choice of dry and wet  
449 calibration periods.

450

451 **[Table 5 about here]**

452

453 In order to further examine the effects of climatic conditions on the results, we  
454 grouped the 30 study catchments into two climatic types: 16 water-limited catchments  
455 with an index of dryness ( $E_p/P$ ) greater than 1, and 14 energy-limited catchments with  
456 an index of dryness less than 1. It can be noted that all parameters performed  
457 differently in water-limited and energy-limited catchments, in particular SUB, SMSC,  
458 and CRAK.

459

460 For the DWBM model, the parameters  $\alpha_1$  and  $S_{max}$  exhibited different effects on  
461 runoff under the dry and wet calibration periods as 67% and 63% of the catchments  
462 showed statistically different results at the 0.01 level. At the other extreme, the  
463 parameter  $\alpha_2$  displayed an apparent insensitivity to the calibration periods (just 23%  
464 of catchments were affected). The parameter  $\alpha_2$  represents evapotranspiration  
465 efficiency and it behaves similarly to the parameter  $w$  of *Zhang et al. (2001)* and  
466 (2004), which was shown to be mostly correlated with vegetation cover. The  
467 parameter  $d$  was more sensitive to the choice of the calibration period for the  
468 water-limited catchments than for the energy-limited catchments. It is interesting to  
469 note that all the parameters behaved differently under the water-limited and  
470 energy-limited conditions, except perhaps for parameter  $\alpha_2$ .

471

472 The above results indicate that some of the model parameters are sensitive to  
473 calibration conditions and the others are relative robust. An important question is how  
474 the sensitive parameters vary between the different calibration periods. **Figures 7** and  
475 **8** show the distributions of the optimized parameters of the two models under the dry  
476 and wet conditions in two selected catchments. The catchment 110003 has  
477 summer-dominant rainfall and catchment 401210 is winter-dominant. For the

478 SIMHYD model, some parameters exhibited different distributions in the dry and wet  
479 calibration periods. For example, the parameter SUB tends to be more likely at a  
480 higher value in the dry periods than in the wet periods. However, the results did not  
481 reveal any systematic trends in the other parameters. For the DWBM model, the most  
482 likely value for the parameter  $\alpha_1$  was higher in the dry period than in the wet period  
483 for catchment 110003 and vice versa for catchment 401210 (**Figure 8**). The parameter  
484  $S_{max}$  showed different distributions in the dry and wet periods and these distributions  
485 vary across the catchments.

486

487 **[Figures 7 and 8 about here]**

488

## 489 **5 Discussion**

490 Streamflow of a catchment is influenced by a number of factors, most noticeably  
491 rainfall and antecedent soil moisture. During dry periods, catchments are generally  
492 characterized by small runoff events and lower runoff to rainfall ratios with higher  
493 percentage error in both rainfall and runoff. In this case, rainfall-runoff models  
494 become very sensitive to both rainfall and parameter optimization. Also, dry periods  
495 may not contain enough high flows to adequately calibrate model parameters  
496 responsible for simulating high flows (Gan et al., 1997). Apart from rainfall amount,  
497 spatial variability of rainfall can also affect runoff. *Smith et al.* (2004) showed that  
498 improved runoff simulations can be obtained from distributed versus lumped  
499 rainfall-runoff models in catchments with considerable rainfall variability. Spatial  
500 variability of rainfall was also found to be the dominant control on runoff production  
501 (Segond et al., 2007). In this study, spatially averaged rainfall was used in both model



502 calibration and validation. This is likely to affect the model results and it is expected  
503 that the rainfall variability effect will be greater in dry periods than in wet periods.  
504

505 It has been widely acknowledged that spatial variability of antecedent soil moisture  
506 conditions plays an important role in runoff generation (Grayson and Blöschl, 2000).  
507 *Minet et al.* (2011) investigated the effect of spatial soil moisture variability on runoff  
508 simulations using a distributed hydrologic model and showed that model results are  
509 sensitive to soil moisture spatial variability, especially in dry conditions. At catchment  
510 scales, soil moisture exhibit larger heterogeneity under dry conditions than wet  
511 conditions and this means errors associated with dry period runoff simulations are  
512 likely to be greater as runoff generation exhibits non-linear threshold behaviour.  
513 In this study, the differences in average annual rainfall between the wet and dry  
514 periods ranged from 10 to 47% of the long-term average rainfall and are comparable  
515 with percentage change in mean annual rainfall for 2030 relative to 1990 from 15  
516 GCMs for the Murray Darling Basin in Australia (Chiew et al., 2008).  
517

518 The results of this study indicate that calibration periods can cause significant shifts in  
519 model parameter distributions. Some model parameters are relatively sensitive to the  
520 choice of calibration periods, while the others are fairly insensitive. As well as the  
521 impact of calibration periods on parameter distributions, whether catchments are  
522 water-limited or energy-limited also needs to be taken into consideration. For the  
523 SIMHYD model, the most sensitive parameters are SUB, SMSC, and CRAK. The  
524 parameter SUB is used to estimate interflow and it can be an important parameter in  
525 some catchments (Chiew and McMahon, 1994). However, it is difficult to estimate  
526 this parameter *a priori* as it is poorly correlated with any catchment characteristics

527 (Chiew and McMahon, 1994). The soil moisture store capacity (SMSC) affects many  
528 processes such as infiltration and evapotranspiration and it is determined by soil  
529 properties and vegetation characteristics (e.g. rooting depth). Accurate estimation of  
530 this parameter is essential to achieving satisfactory model performance. The  
531 parameter CRAK determines groundwater recharge/baseflow and is highly correlated  
532 with soil types. For the DWBM model, the most sensitive parameters are  $\alpha_1$  and  $S_{max}$ ,  
533 and  $d$ , representing catchment rainfall retention efficiency, maximum storage capacity,  
534 and the recession constant, respectively (Zhang et al. 2008). In a way, these  
535 parameters are similar to those sensitive parameters in SIMHYD in terms of their  
536 functional controls on water balance components. *Merz et al* (2011) applied a  
537 semi-distributed conceptual rainfall-runoff model to 273 catchments in Austria and  
538 showed that the parameters of the soil moisture accounting schemes exhibited strong  
539 dependence on calibration conditions, consistent with the results of the current study.  
540 This also suggests that parameters related to soil moisture accounting are likely to  
541 change with calibration conditions. The fact that these parameters are sensitive to the  
542 choice of calibration period (i.e. dry vs wet) also indicates that large uncertainty may  
543 be associated with these parameters and care needs to be exercised when transferring  
544 the parameters to conditions different from the calibration.

545

546 These findings have major implications for studies of climate change impact on  
547 streamflow. When a hydrological model calibrated for a given climatic condition (e.g.  
548 wet periods) is used to simulate runoff of different climatic conditions (e.g. dry  
549 periods), transfer of some model parameters (i.e. sensitive parameters) may result in  
550 large errors in simulated runoff. One may argue that the sensitive model parameters  
551 should be updated by functionally relating them with climatic variables such as

552 rainfall (Merz et al., 2011). This could potentially reduce uncertainty and lead to more  
553 accurate predictions. However, some of the parameters are poorly related to  
554 catchment characteristics (e.g. rainfall) and the problem is further complicated by the  
555 fact that not every parameter is well identified and different parameter values can  
556 result in equal model performance, i.e. equifinality (Beven, 1993). It has also been  
557 recognized that model calibration tends to compensate model structural errors (Merz  
558 et al., 2011, Wagener et al., 2003), making it difficult to understand how model  
559 parameters vary with calibration conditions (Wagener et al., 2010).

560

561 The differential split-sample test can be considered as the first step in addressing the  
562 issue of parameter transferability under non-stationary conditions. Monte Carlo  
563 simulation provided an effective and pragmatic approach to exploring uncertainty in  
564 hydrological model parameters. The performance of rainfall-runoff models is related  
565 to catchment characteristics such as climate, topography, soil, vegetation, catchment  
566 shape, geology, drainage network. In such a complex situation, it is hard to pinpoint  
567 the source of parameter uncertainty, but the results of this study showed that  
568 calibration periods and catchment climatic conditions are both important factors that  
569 can result in uncertainty in model performance.

570

571 The results of this study showed that the hydrological models perform better in a dry  
572 period when calibrated using data from a dry period rather than a wet period. Similar  
573 results have been reported by *Vaze et al.* (2010). A closer examination of model errors  
574 reveals that when the model parameters, calibrated on a dry period, were used to  
575 simulate runoff during a wet period, the mean of the simulated runoff was usually  
576 underestimated; conversely, when model parameters, calibrated on a wet period, were

577 used to simulate dry period runoff, the mean simulated runoff was overestimated,  
578 consistent with the findings of *Gan et al. (1997)*. *Vaze et al. (2010)* also showed that  
579 when hydrological models were calibrated using long period of record and tested for  
580 sub-periods with above long-term average rainfall, the model performed well.  
581 However, performance of the models starts to deteriorate when tested for sub-periods  
582 with below long-term average rainfall.

583

584 Traditionally, one would use a sufficiently long period of records for model  
585 calibration to ensure proper presentation of climate/streamflow variability and to  
586 achieve stable model parameters. If the model is to be used under stationary  
587 conditions, it is generally recommended that the whole record should be divided into  
588 two segments, one for calibration and the other for validation. However, if a model is  
589 to be used under non-stationary conditions, its parameters should be transferable. In  
590 other words, the parameters should be estimated so that the model gives accurate  
591 estimates of streamflow outside the climatic conditions encountered in calibration  
592 period. In this case, one should identify two periods with different climatic  
593 conditions (e.g. a dry period and wet period) from the whole record and apply the  
594 so-called differential split-sample test (Klemes, 1986). One another approach to this  
595 problem is to examine how other catchments behave under these different climatic  
596 conditions, i.e. trading space for time (Singh et al., 2011).

597

## 598 **6 Conclusions**

599 Potentially large uncertainties arise when predicting hydrological responses to future  
600 climate change – due to factors such as the choice of emission scenario, GCM,  
601 downscaling technique, hydrological model, optimization technique, and the way the

602 model is calibrated. It is therefore important to develop reliable ways to calibrate  
603 hydrological models under present-day conditions. This study compared hydrological  
604 model performances under nonstationarity by using the differential split-sample test  
605 and two conceptual rainfall–runoff models, DWBM and SIMHYD, applied to 30  
606 catchments in Australia. Monte Carlo simulation was used to explore parameter  
607 stability and transferability in the context of historic climate variability.

608

609 Hydrological models differ in performance depending on how they are calibrated. If a  
610 hydrological model is intended to simulate runoff for a wet climate scenario then it  
611 should be calibrated on a wet segment of the historic record. Conversely, if it is  
612 intended to simulate runoff for a dry climate scenario then it should be calibrated on a  
613 dry segment of the historic record. Therefore, careful selection of the calibration  
614 period can reduce the modelling uncertainty when exploring future climate scenarios.

615

616 For both our models we found that the “**dry**/wet” tests performed better – had higher  
617 NSE values and lower absolute WBE values – than the “**wet**/dry” tests. In other words,  
618 transferability of model parameter values from dry periods to wet periods is greater  
619 than vice versa, perhaps because of the more uniform rainfall and soil moisture  
620 conditions in the wet periods (Gan et al., 1997).

621

622 The choice of calibration period is a key step in predicting the impact of climate  
623 change on runoff. Our research has implications for hydrological modellers looking to  
624 estimate future runoff and we hope this study will stimulate further research into the  
625 selection of calibration data.

626

627 **Acknowledgement**

628 This study was supported by the National Basic Research Program of China  
629 (2010CB951102), the Foundation for Innovative Research Groups of the National  
630 Natural Science Foundation of China (51027006) and the Regional Water Theme in  
631 the Water for a Healthy Country Flagship. We thank Andrew Bell, Enli Wang and  
632 anonymous reviewers for their helpful comments on a draft of the paper.

633 **References**

- 634 Andreassian, V., Perrin, C., Berthet, L., Le Moine, N., Lerat, J., Loumagne, C., Oudin,  
635 L., Mathevet, T., Romas, M.-H., Valery, A.: Crash tests for a standardized evaluation  
636 of hydrological models, *Hydrol. Earth Syst. Sci.* 13, 1757–1764, 2009.
- 637 Beven, K. J.: Prophecy, reality and uncertainty in distributed hydrological modelling,  
638 *Adv. Water Resour.*, 16, 41–51, 1993.
- 639 Boorman, D. B. and Sefton, C. E. M.: Recognising the uncertainty in the  
640 quantification of the effects of climate change on hydrological response, *Climatic*  
641 *Change*, 35, 415–434, 1997.
- 642 Boyer, C., Chaumont, D., Chartier, I., and Roy, A. G.: Impact of climate change on  
643 the hydrology of St. Lawrence tributaries, *J. Hydrol.*, 384, 65–83, 2010.
- 644 Budyko, M. I.: *The Heat Balance of the Earth's Surface*, US Department of  
645 Commerce, Washington, DC, 1958.
- 646 Calder, I. R.: Water use by forests, limits and controls, *Tree Physiol.*, 18, 625–631,  
647 1998.
- 648 Chiew, F. H. S., Whetton, P. H., McMahon, T. A., and Pittock, A. B.: Simulation of  
649 the impacts of climate change on runoff and soil moisture in Australian catchments, *J.*  
650 *Hydrol.*, 167, 121–147, 1995.
- 651 Chiew, F. H. S. and McMahon, T. A.: Global ENSO-streamflow teleconnection,  
652 streamflow forecasting and interannual variability, *Hydrolog. Sci. J. – Journal Des*  
653 *Sciences Hydrologiques*, 47, 505–522, 2002.
- 654 Chiew, F. H. S., Peel, M. C., and Western, A. W.: Application and testing of the  
655 simple rainfall-runoff model SIMHYD, in: *Mathematical Models of Small Watershed*  
656 *Hydrology and Applications*, edited by: Singh, V. P. and Frevert, D. K., Water  
657 Resources Publication, Littleton, Colorado, USA, 335–367, 2002.
- 658 Chiew, F. H. S., Teng, J., Kirono, D., Frost, A. J., Bathols, J. M., Vaze, J., Viney, N.  
659 R., Young, W. J., Hennessy, K. J., and Cai, W. J.: Climate data for hydrologic  
660 scenario modelling across the Murray-Darling Basin, A report to the Australian  
661 Government from the CSIRO Murray-Darling Basin Sustainable Yields Project,  
662 *Water for a Healthy Country Flagship*, CSIRO, 42 pp., 2008.
- 663 Chiew, F. H. S., Teng, J., Vaze, J., Post, D. A., Perraud, J. M., Kirono, D. G. C., and  
664 Viney, N. R.: Estimating climate change impact on runoff across southeast Australia:  
665 method, results, and implications of the modeling method, *Water Resour. Res.*, 45,  
666 W10414, doi:10.1029/2008WR007338, 2009.
- 667 Fu, B. P.: On the calculation of the evaporation from land surface, *Sci. Atmos. Sin.*,  
668 23–31, 1981.
- 669 Gan, T. Y., Dlamini, E. M., and Biftu, G. F.: Effects of model complexity and  
670 structure, data quality, and objective functions on hydrologic modelling, *J. Hydrol.*,  
671 192, 81–103, 1997.
- 672 Grayson, R. B. and Blöschl, G.: *Spatial Patterns in Catchment Hydrology:*  
673 *Observations and Modelling*, Cambridge University Press, 404 pp., 2000.
- 674 Henriksen, H. J., Troldborg, L., Nyegaard, P., Sonnenborg, T. O., Refsgaard, J. C.,  
675 and Madsen, B.: Methodology for construction, calibration and validation of a  
676 national hydrological model for Denmark, *J. Hydrol.*, 280, 52–71, 2003.
- 677 Hogue, S. T., Gupta, H., and Sorooshian, S.: A “user-friendly” approach to parameter  
678 estimation in hydrologic models, *J. Hydrol.*, 320, 202–217, 2006.

679 IPCC: Climate Change 2007: The Physical Basis, Contributions of Working Group 1  
 680 to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change,  
 681 Solomon, S., Qin, D., Manning, M., Chen, Z., Marquis, M., Averyt, K.B., Tignor, M.  
 682 and Miller, H.L. (eds.). Cambridge University Press, Cambridge, United Kingdom and  
 683 New York, USA, 996 pp, 2007.  
 684 Jeffrey, S. J., Carter, J. O., Moodie, K. B., and Beswick, A. R.: Using spatial  
 685 interpolation to construct a comprehensive archive of Australian climate data, *Environ.*  
 686 *Modell. Softw.*, 16, 309–330, 2001.  
 687 Klemes, V.: Operational testing of hydrological simulation models, *Hydrolog. Sci. J.*,  
 688 31, 13–24, 1986.

689 Merz, R., Parajka, J., and Blöschl, G. 2011. Time stability of catchment model  
 690 parameters: Implications for climate impact analyses. *Water Resources Research*, 47,  
 691 W02531, doi:10.1029/2010WR009505.

692 Milly, P. C. D., Betancourt, J., Falkenmark, M., Hirsch, R. M., Kundzewicz, Z. W.,  
 693 Lettenmaier, D. P., and Stouffer, R. J.: Stationarity is dead: whither water  
 694 management?, *Science*, 319, 573–574, 2008.

695 Minet, J., Laloy, E., Lambot, S., and Vanclooster, M.: Effect of high-resolution spatial  
 696 soil moisture variability on simulated runoff response using a distributed hydrologic  
 697 model, *Hydrol. Earth Syst. Sci.*, 15, 1323–1338, doi:10.5194/hess-15-1323-2011,  
 698 2011.

699 Minville, M., Brissette, F., and Leconte, R.: Uncertainty of the impact of climate  
 700 change on the hydrology of a nordic watershed, *J. Hydrol.*, 358, 70–83, 2008.

701 Monomoy, Y. G. and Kieran, M. C.: Comparative assessment of six automatic  
 702 optimization techniques for calibration of a conceptual rainfall-runoff model,  
 703 *Hydrolog. Sci. J. – Journal Des Sciences Hydrologiques*, 52, 432–449, 2007.

704 Nash, J. E. and Sutcliffe, J. V.: River forecasting using conceptual models, 1. A  
 705 discussion of principles, *J. Hydrol.*, 10, 280–290, 1970.

706 Niel, H., Paturel, J. E., and Servat, E.: Study of parameter stability of a lumped  
 707 hydrologic model in a context of climatic variability, *J. Hydrol.*, 278, 213–230, 2003.

708 Peel, M. C., Chiew, F. H. S., Western, A. W., and McMahon, T. A.: Extension of  
 709 unimpaired monthly stream flow data and regionalization of parameter values to  
 710 estimate stream flow in ungauged catchments, Report to National Land and Water  
 711 Resources Audit, Cent. For Environ. Appl. Hydrol., Univ. of Melbourne, Parkville,  
 712 Vic., Australia, 2000.

713 Priestley, C. H. B. and Taylor, R. J.: On the assessment of the surface heat flux and  
 714 evaporation using large-scale parameters, *Mon. Weather Rev.*, 100, 81–92, 1972.

715 Raupach, M. R.: Equilibrium evaporation and the convective boundary layer,  
 716 *Bound.-Lay. Meteorol.*, 96, 107–141, 2000.

717 Raupach, M. R., Kirby, J. M., Barrett, D. J., Briggs, P. R., Lu, H., and Zhang, L.:  
 718 Balances of water, carbon, nitrogen and phosphorus in Australian landscapes: 2.  
 719 Model formulation and testing, Tech. Rep. 41/01, CSIRO Land and Water, Canberra,  
 720 ACT, Australia, 2001.

721 Refsgaard, J. C. and Storm, B.: Construction, calibration and validation of  
 722 hydrological models, in: *Distributed Hydrological Modelling*, edited by: Abbott, M. B.  
 723 and Refsgaard, J. C., Kluwer Academic Publishers, The Netherlands, 50 pp., 1996.

724 Rind, D., Rosenzweig, C., Goldberg, R.: Modelling the hydrological cycle in  
 725 assessments of climate change, *Nature*, 358: 119–120, 1992.

726 Sankarasubramanian, A. and Vogel, R. V.: Hydroclimatology of the continental  
 727 United States, *Geophys. Res. Lett.*, 30, 1363, doi:10.1029/2002GL015937, 2003.



728 Segond, M. L., Wheater, H. S., and Onof, C.: The significance of spatial rainfall  
729 representation for flood runoff estimation: A numerical evaluation based on the Lee  
730 catchment, UK, *J. Hydrol.*, 347, 116–131, 2007.

731 Siriwardena, L., Finlayson, B. L., and McMahon, T. A.: The impact of land use  
732 change on catchment hydrology in large catchments: The Comet River, Central  
733 Queensland, Australia, *J. Hydrol.*, 326, 199–214, 2006.

734 Smith, M. B., Koren, V. I., Zhang, Z. Y., Reed, S. M., Pan, J. J., and Moreda, F.:  
735 Runoff response to spatial variability in precipitation: an analysis of observed data, *J.*  
736 *Hydrol.*, 298, 267–286, 2004.

737 Seibert, J.: Reliability of model predictions outside calibration conditions, *Nordic*  
738 *Hydrol.*, 34, 477–492, 2003.

739 Singh, R., Wageber, T., Vab Werkhoveb, K., Mann, M., and Crane, R.: A  
740 trading-space-for time approach to probabilistic continuous streamflow predictions in  
741 a changing climate, *Hydrol. Earth Syst. Sci. Discuss.*, 8, 6385–6417, 2011.

742 Uhlenbrook, S., Seibert, J., Leibundgut, C., and Rodhe, A.: Prediction uncertainty of  
743 conceptual rainfall-runoff models caused by problems in identifying model  
744 parameters and structure, *Hydrolog. Sci. J. – Journal des Sciences Hydrologiques*, 44,  
745 779–797, 1999.

746 Vaze, J., Post, D. A., Chiew, F. H. S., Perraud, J. M., Viney, N. R., and Teng, J.:  
747 Climate nonstationarity – Validity of calibrated rainfall-runoff models for use in  
748 climate change studies, *J. Hydrol.*, 394, 447–457, 2010.

749 Viney, N., Vaze, J., Chiew, F., and Perraud, J.: Regionalisation of runoff generation  
750 across the Murray-Darling Basin using an ensemble of two rainfall-runoff models,  
751 Paper presented at Water Down Under 2008, April 2008, Adelaide, Engineers  
752 Australia, 2008.

753 Wagener, T., N. McIntyre, M. J. Lees, H. S. Wheater, and H. V. Gupta.: Towards  
754 reduced uncertainty in conceptual rainfall-runoff modeling: Dynamic identifiability  
755 analysis, *Hydrol. Processes*, 17, 455–476, 2003.

756 Wagener, T., M. Sivapalan, P. A. Troch, B. L. McGlynn, C. J. Harman, H. V. Gupta,  
757 P. Kumar, P. S. C. Rao, N. B. Basu, and J. S. Wilson.: The future of hydrology: An  
758 evolving science for a changing world, *Water Resour. Res.*, 46, W05301,  
759 doi:10.1029/2009WR008906, 2010.

760 Widen-Nilsson, E., Gong, L., Halldin, S., and Xu, C. Y.: Model performance and  
761 parameter behavior for varying time aggregations and evaluation criteria in the  
762 WASMOD-M global water balance model, *Water Resour. Res.*, 45, W05418,  
763 doi:10.1029/2007WR006695, 2009.

764 Wilby, R. L.: Uncertainty in water resource model parameters used for climate change  
765 impact assessment, *Hydrol. Process.*, 19, 3201–3219, 2005.

766 Xu, C. Y.: Operational testing of a water balance model for predicting climate change  
767 impacts, *Agr. Forest Meteorol.*, 98, 295–304, 1999.

768 Zhang, L., Dawes, W. R., and Walker, G. R.: Response of mean annual  
769 evapotranspiration to vegetation changes at catchment scale, *Water Resour. Res.*, 37,  
770 701–708, 2001.

771 Zhang, L., Hickel, K., Dawes, W. R., Chiew, F. H. S., Western, A. W., and Briggs, P.  
772 R.: A rational function approach for estimating mean annual evapotranspiration,  
773 *Water Resour. Res.*, 40, W02502, doi:10.1029/2003WR002710, 2004.

774 Zhang, L., Potter, N., Zhang, Y. Q., Hickel, K., and Shao, Q. X.: Water balance  
775 modeling over variable time scales based on the Budyko framework: model  
776 development and testing, *J. Hydrol.*, 360, 117–131, 2008.  
777 Zhang, Y. Q., Chiew, F. H. S., Zhang, L., Leuning, R., and Cleugh, H. A.: Estimating  
778 catchment evaporation and runoff using MODIS leaf area index and the  
779 Penman-Monteith equation, *Water Resour. Res.*, 44, W10420,  
780 doi:10.1029/2007WR006563, 2008.  
781 Zhang, Y. Q., Chiew, F. H. S., Zhang, L., and Li, H. X.: Use of remotely sensed  
782 actual evapotranspiration to improve rainfall-runoff modelling in southeast Australia,  
783 *J. Hydrometeorol.*, 10, 969-980. doi: 10.1175/2009JHM1061.1., 2009.  
784

785 **Table and Figure Captions**

786 **Table 1** Ranges of parameter values in DWBM (/ indicates dimensionless).

787

788 **Table 2** Ranges of parameters in the SIMHYD model (/ indicates dimensionless).

789

790 **Table 3** Summary results of the model calibration under different climatic conditions

791 (*i.e.* dry and wet periods).

792

793 **Table 4** Summary results of the model validation when calibrated under different

794 climatic conditions.

795

796 **Table 5** Percent of the catchments in which the model parameter distributions for a

797 dry and wet calibration period were significantly different ( $p < 0.01$ ) under Monte

798 Carlo simulation. Also shown are the results for water-limited ( $E_p/P > 1$ ) and

799 energy-limited ( $E_p/P < 1$ ) catchments. For each model, the parameters are ranked from

800 the most sensitive to calibration conditions to least sensitive.

801

802

803

804

805

806

807

808 **Figure 1** Structure of the lumped dynamic water balance model (DWBM).

809

810 **Figure 2** Structure of the lumped daily rainfall–runoff model (SIMHYD).

811

812 **Figure 3** Location map of the 30 catchments used for this study.

813

814 **Figure 4** Annual historical precipitation of the Corang River catchment showing  
815 estimation of 2 wet periods (A) and 2 dry periods (B) to represent different calibration  
816 conditions.

817

818 **Figure 5 (a)** Percentage of model calibration tests with a NSE value greater than or  
819 equal to a given NSE value. Similarly, **Figure 5 (b-d)** are corresponding plots of the  
820 coefficient of determination ( $R^2$ ), the modified index of agreement ( $d_I$ ), the absolute  
821 percentage water balance error ( $WBE$ ), respectively.

822

823 **Figures 6 (a) and (e)** Percentage of model validation tests with a NSE value greater  
824 than or equal to a given NSE value. Similarly, **Figures 6 (b) and (f), Figures 6 (c)**  
825 **and (g), Figures 6 (d) and (h)** are corresponding plots of the coefficient of  
826 determination ( $R^2$ ), the modified index of agreement ( $d_I$ ), the absolute percentage  
827 water balance error ( $WBE$ ), respectively.

828

829 **Figure 7** Probability density functions for 7 parameters of the SIMHYD model under  
830 dry and wet calibration periods in catchments 110003 and 4021210.

831

832 **Figure 8** Probability density functions for 4 parameters of the DWBM model under  
833 dry and wet calibration periods in catchments 110003 and 4021210.

834

835

836

837

838

839

840

841

842

843

844

845

846

847

848

849

850

851

852 **Tables and Figures**

853 **Table 1** Ranges of parameter values in DWBM (/ indicates dimensionless).

Parameter	Units	Description	Lower bound	Upper bound
$\alpha_1$	/	retention efficiency	1	5
$\alpha_2$	/	evapotranspiration efficiency	1	5
$S_{max}$	mm	soil water storage capacity	5	500
$d$	/	baseflow linear regression	0.01	1

854

855 **Table 2** Ranges of parameter values in the SIMHYD model (/ indicates  
856 dimensionless).

Parameter	Units	Description	Lower bound	Upper bound
INSC	mm	interception store capacity	0.5	5.0
COEFF	mm	maximum infiltration loss	50	400
SQ	/	infiltration loss exponent	0	6.0
SMSC	mm	soil moisture store capacity	50	500
SUB	/	constant of proportionality in interflow equation	0	1
CRAK	/	constant of proportionality in groundwater recharge equation	0	1
K	/	baseflow linear regression parameter	0.003	0.3

857

858 **Table 3** Summary results of the model calibration under different climatic conditions  
 859 (*i.e.* dry and wet periods).

Indicator	SIMHYD calibrated on dry period	SIMHYD calibrated on wet period	DWBM calibrated on dry period	DWBM calibrated on wet period
25th NSE	0.84	0.85	0.71	0.77
Median NSE	0.70	0.77	0.58	0.66
75th NSE	0.61	0.68	0.43	0.54
Average NSE	0.70	0.76	0.57	0.65
25th $R^2$	0.91	0.91	0.82	0.87
Median $R^2$	0.86	0.88	0.76	0.82
75th $R^2$	0.80	0.85	0.70	0.76
Average $R^2$	0.86	0.88	0.76	0.81
25th $d_I$	0.77	0.79	0.71	0.75
Median $d_I$	0.72	0.76	0.67	0.71
75th $d_I$	0.70	0.74	0.61	0.68
Average $d_I$	0.73	0.76	0.65	0.71
25th WBE	22	16	25	24
Median WBE	13	8	15	12
75th WBE	6	4	9	5
Average WBE	14	11	22	17

860

861

862

863

864

865

866

867

868

869

870

871 **Table 4** Summary results of the model validation when calibrated under different  
 872 climatic conditions.

Model	Indicator	dry/dry	dry/wet	wet/dry	wet/wet
SIMHYD	25th NSE	0.72	0.74	0.68	0.77
	Median NSE	0.55	0.64	0.51	0.69
	75th NSE	0.42	0.44	0.41	0.55
	Average NSE	0.57	0.61	0.54	0.66
	25th $R^2$	0.87	0.89	0.88	0.90
	Median $R^2$	0.79	0.84	0.80	0.85
	75th $R^2$	0.74	0.79	0.75	0.81
	Average $R^2$	0.80	0.84	0.81	0.85
	25th $d_I$	0.74	0.78	0.74	0.78
	Median $d_I$	0.71	0.74	0.70	0.75
	75th $d_I$	0.66	0.70	0.63	0.72
	Average $d_I$	0.69	0.73	0.68	0.74
	25th WBE	34	30	39	23
	Median WBE	20	19	28	13
	75th WBE	14	8	16	7
	Average WBE	24	21	29	17
DWBM	25th NSE	0.56	0.65	0.51	0.72
	Median NSE	0.46	0.48	0.45	0.61
	75th NSE	0.34	0.35	0.30	0.42
	Average NSE	0.48	0.52	0.45	0.59
	25th $R^2$	0.79	0.83	0.81	0.85
	Median $R^2$	0.71	0.77	0.74	0.79
	75th $R^2$	0.63	0.69	0.67	0.73
	Average $R^2$	0.71	0.76	0.74	0.79
	25th $d_I$	0.69	0.73	0.68	0.74
	Median $d_I$	0.65	0.69	0.63	0.70
	75th $d_I$	0.58	0.64	0.56	0.66
	Average $d_I$	0.62	0.68	0.61	0.69
	25th WBE	35	29	53	25
	Median WBE	22	20	33	18
	75th WBE	15	12	18	11
	Average WBE	27	23	36	19

873

874

875

876

877

878

879

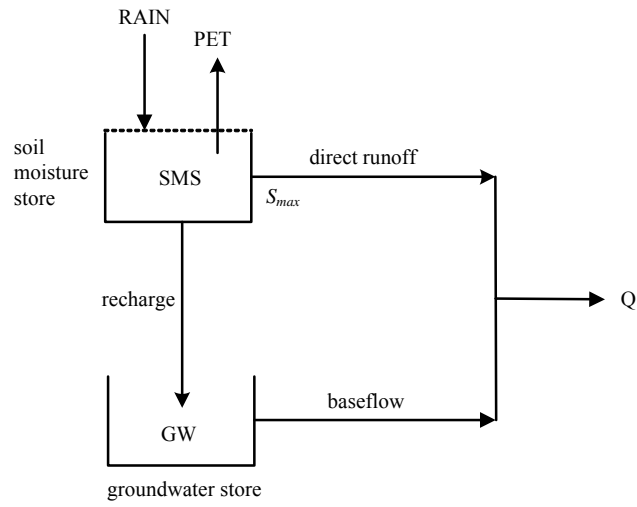


880 **Table 5** Percent of the catchments in which the model parameter distributions for a  
881 dry and wet calibration period were significantly different ( $p < 0.01$ ) under Monte  
882 Carlo simulation. Also shown are the results for water-limited ( $E_p/P > 1$ ) and  
883 energy-limited ( $E_p/P < 1$ ) catchments. For each model, the parameters are ranked from  
884 the most sensitive to calibration conditions to least sensitive.

Model	Parameter	Percent of catchments	Percent of water-limited catchments	Percent of energy-limited catchments
SIMHYD	SUB	63	81	43
	SMSC	60	75	43
	SQ	53	56	50
	CRAK	50	63	36
	K	37	31	43
	COEFF	33	38	29
	INSC	10	13	7
DWBM	$\alpha_1$	67	81	50
	$S_{max}$	63	75	50
	$d$	47	63	29
	$\alpha_2$	23	25	21

885

886



*Model parameters and description*

- $\alpha_1$  retention efficiency
- $\alpha_2$  evapotranspiration efficiency
- $S_{max}$  soil water storage capacity (mm)
- $d$  baseflow linear regression

887

888 **Figure 1** Structure of the lumped dynamic water balance model (DWBM).

889

890

891

892

893

894

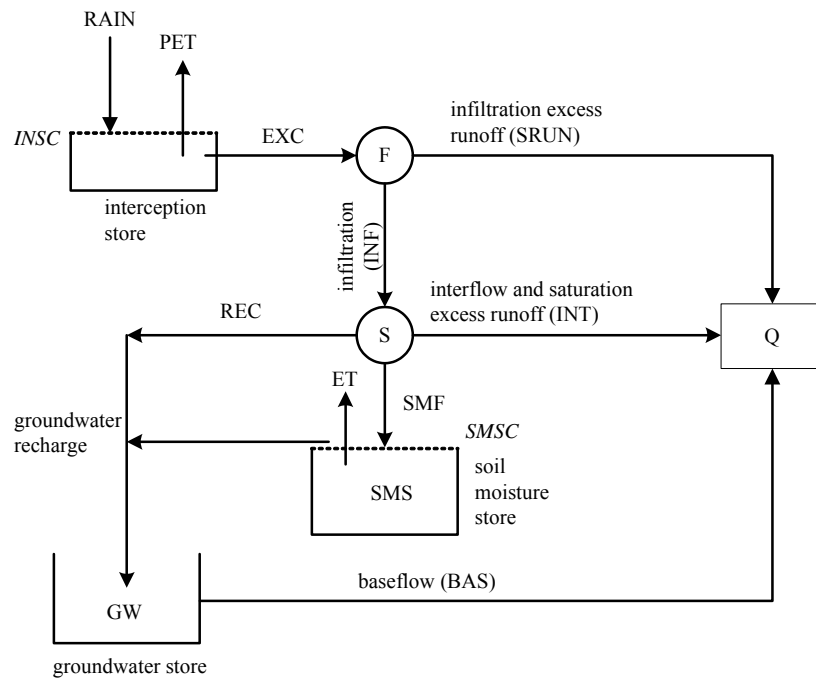
895

896

897

898

899



PET = areal potential evapotranspiration (input data)  
 $EXC = RAIN - INSC, EXC > 0$   
 $INF = \text{lesser of } \{ COEFF \exp(-SQ \times SMS/SMSC), EXC \}$   
 $SRUN = EXC - INF$   
 $INT = SUB \times SMS/SMSC \times INF$   
 $REC = CRAK \times SMS/SMSC \times (INF - INT)$   
 $SMF = INF - INT - REC$   
 $ET = \text{lesser of } \{ 10 \times SMS/SMSC, PET \}$   
 $BAS = K \times GW$

*Model parameters and description*

- INSC interception store capacity (mm)
- COEFF maximum infiltration loss (mm)
- SQ infiltration loss exponent
- SMSC soil moisture store capacity (mm)
- SUB constant of proportionality in interflow equation
- CRAK constant of proportionality in groundwater recharge equation
- K baseflow linear recession parameter

901

902 **Figure 2** Structure of the lumped daily rainfall-runoff model SIMHYD.

903

904

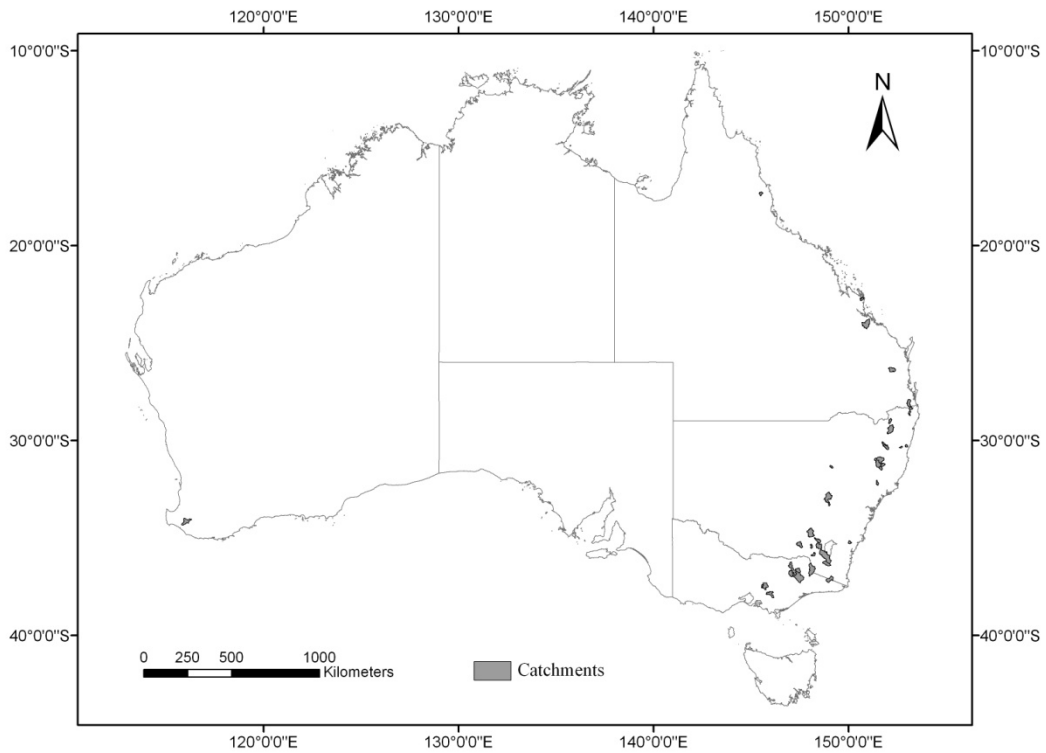
905

906

907

908

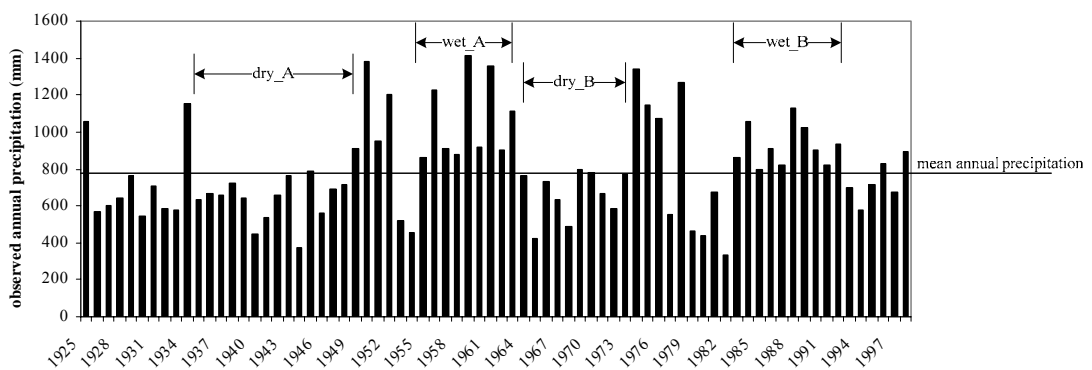
909



910

911 **Figure 3** Location map of the 30 catchments used for this study.

912



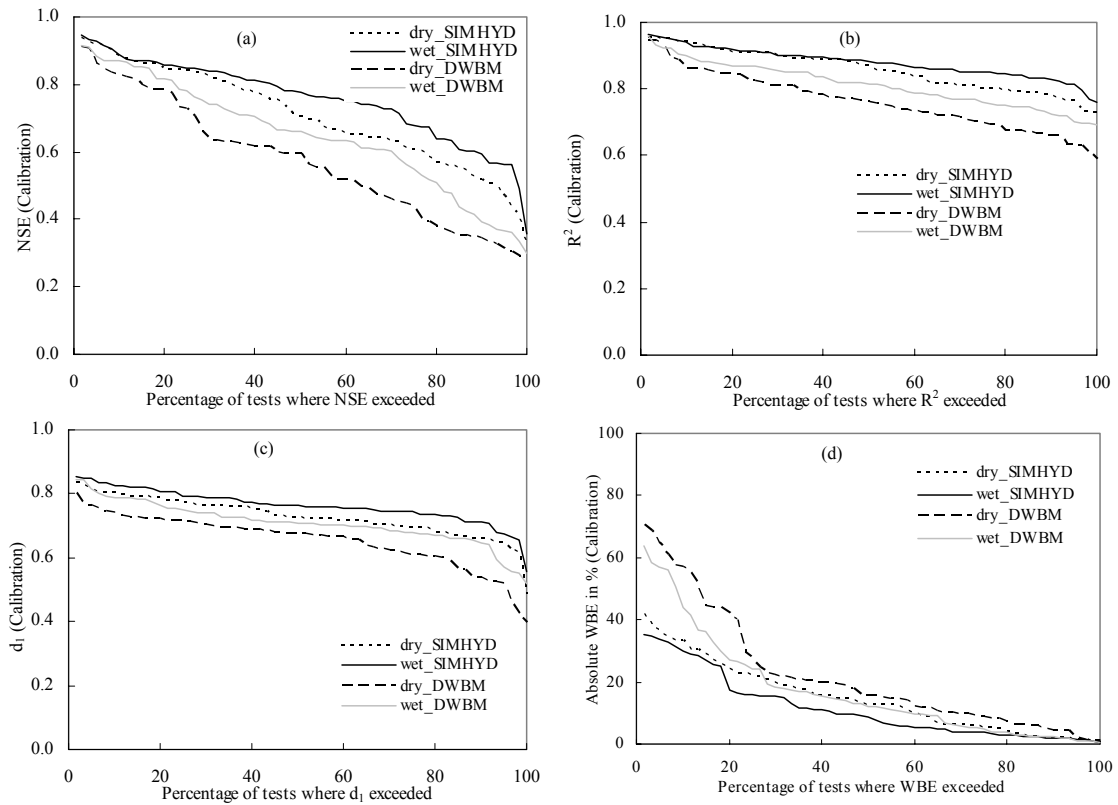
913

914 **Figure 4** Annual historical precipitation of the Corang River catchment showing  
915 estimation of 2 wet periods (A) and 2 dry periods (B) to represent different calibration  
916 conditions.

917

918

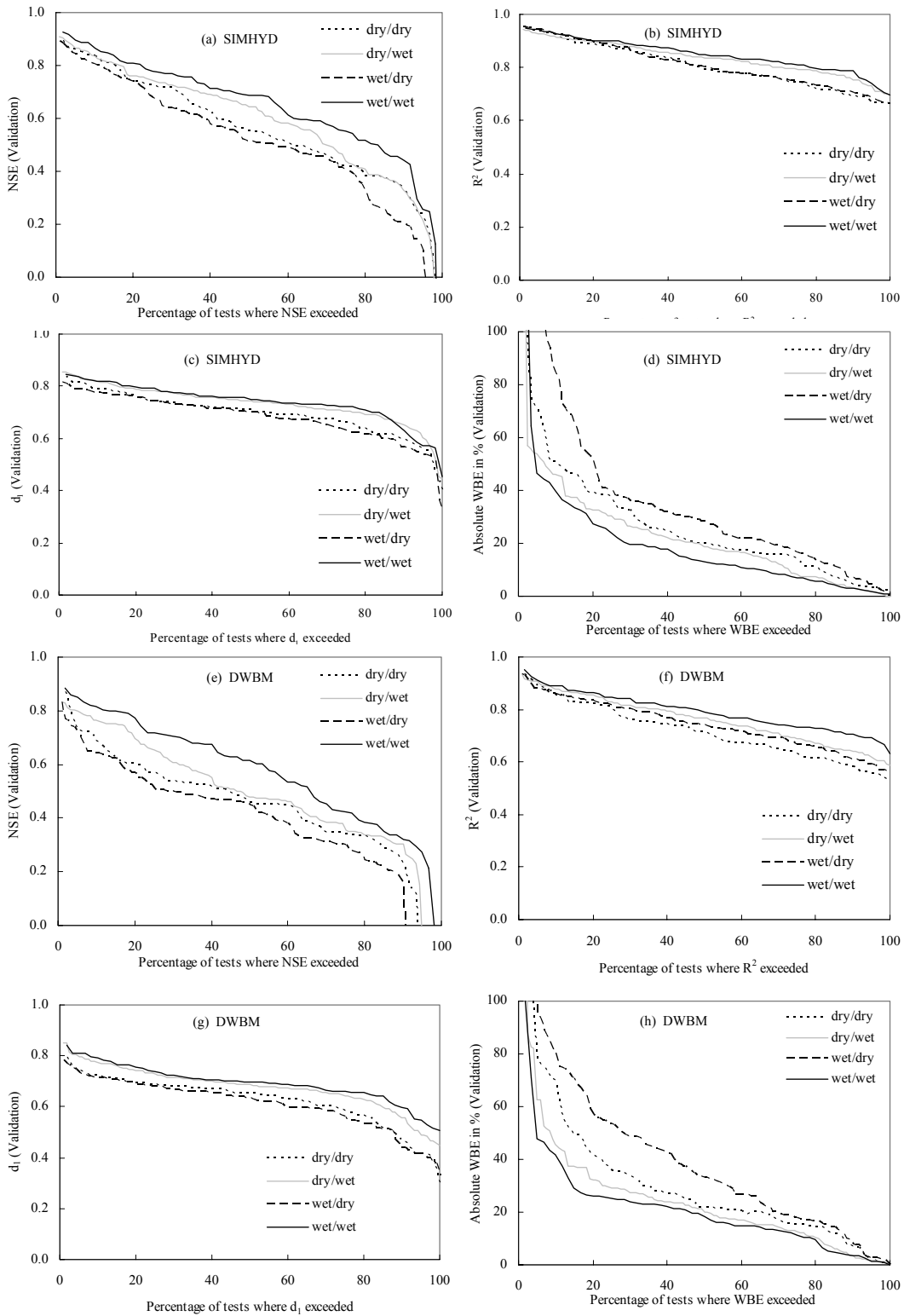
919



920

921 **Figure 5 (a)** Percentage of model calibration tests with a NSE value greater than or  
922 equal to a given NSE value. Similarly, **Figure 5 (b-d)** are corresponding plots of the  
923 coefficient of determination ( $R^2$ ), the modified index of agreement ( $d_I$ ), the absolute  
924 percentage water balance error ( $WBE$ ), respectively.

925

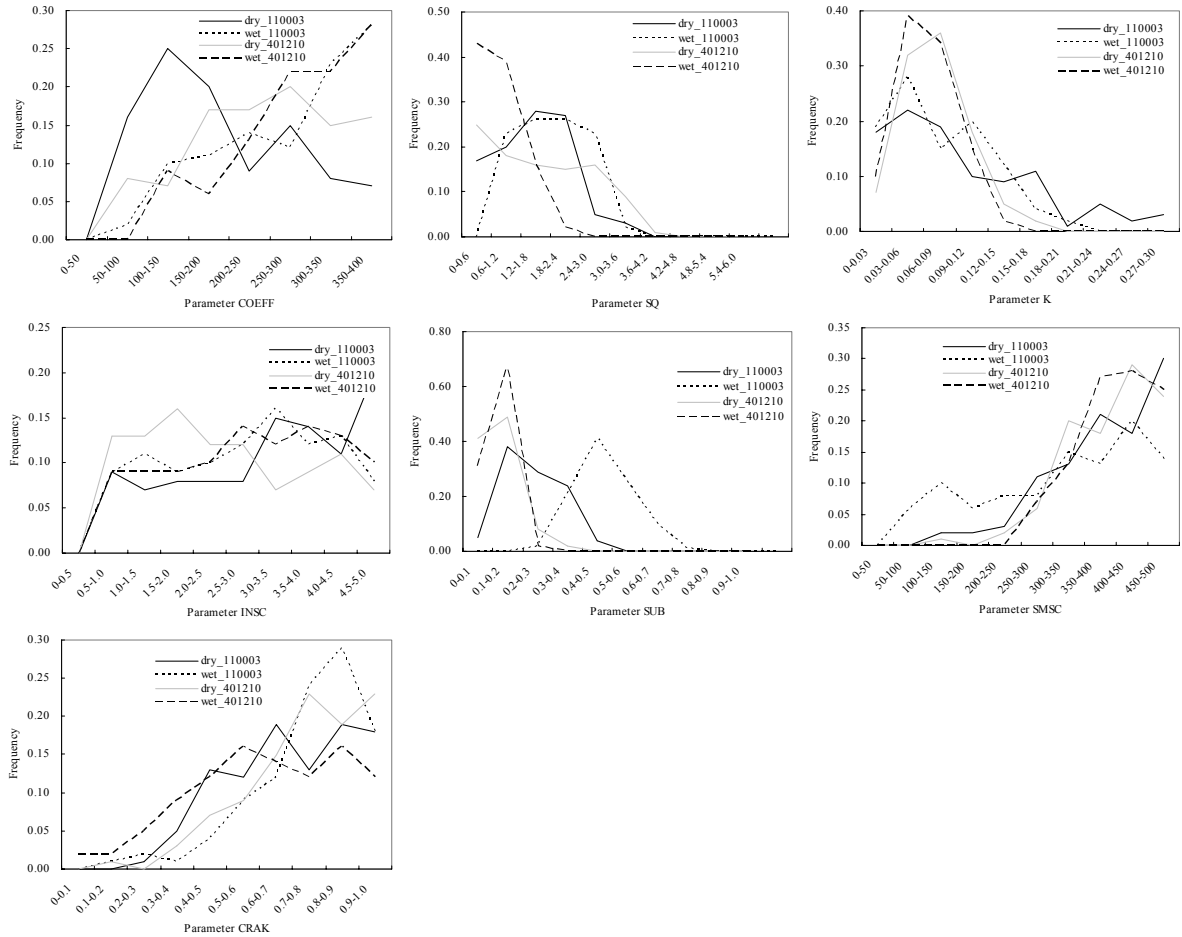


926

927 **Figures 6 (a) and (e)** Percentage of model validation tests with a NSE value greater  
 928 than or equal to a given NSE value. Similarly, **Figures 6 (b) and (f), Figures 6 (c)**  
 929 **and (g), Figures 6 (d) and (h)** are corresponding plots of the coefficient of

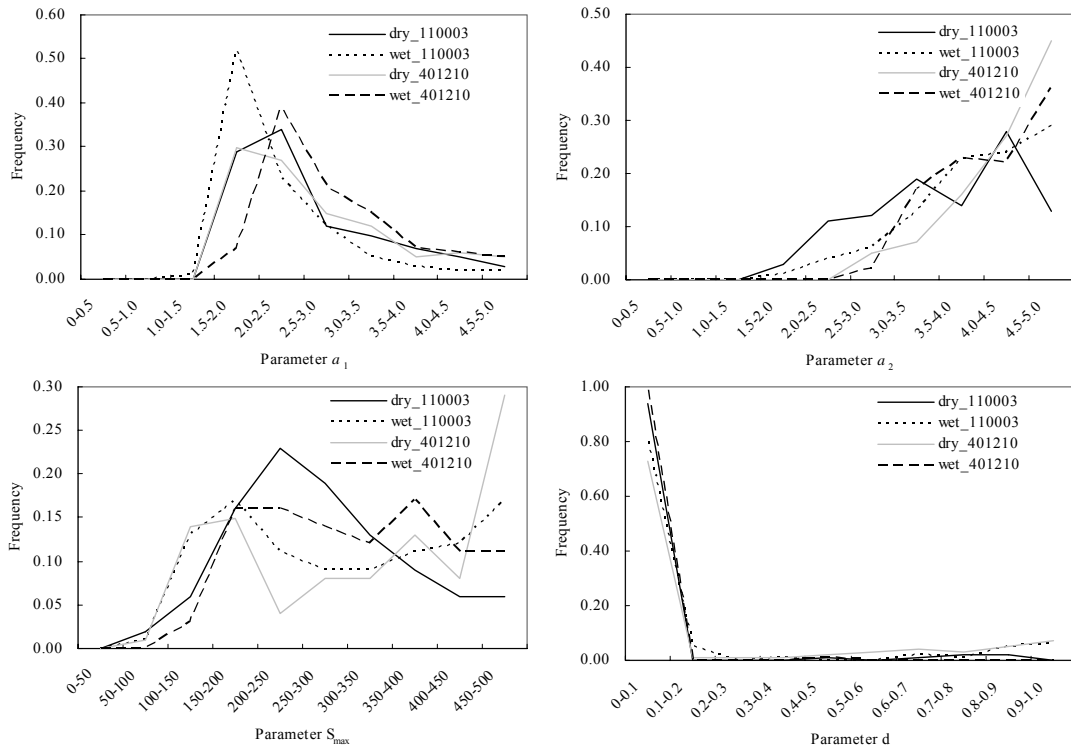
930 determination ( $R^2$ ), the modified index of agreement ( $d_i$ ), the absolute percentage  
 931 water balance error ( $WBE$ ), respectively.

932



933

934 **Figure 7** Probability density functions for 7 parameters of the SIMHYD model under  
 935 dry and wet calibration periods in catchments 110003 and 4021210.



936

937 **Figure 8** Probability density functions for 4 parameters of the DWBM model under

938 dry and wet calibration periods in catchments 110003 and 401210.