# Inter-comparison of two land-surface models applied on different scales and their feedbacks while coupled with a regional climate model

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## 9 Abstract

10 In order to study regional impacts of climate and climate change on the land surface, downstream 11 models, are usually driven offline with results from regional climate models (RCMs). Thereby, the land 12 surface of the downstream models is usually totally different to the land surface which is used within 13 the RCMs. Therefore, this study compares two physically based land surface models, which 14 developed from different disciplinary backgrounds. While the NOAH-LSM, applied in this study 15 originally was developed for the use within the RCM MM5, PROMET was developed to answer 16 hydrological questions on the local to regional scale. Thus, the models use different physical 17 formulations of the land surface processes and are applied at different spatial scales of 45 km (NOAH) 18 and 1 km (PROMET) respectively, to represent the land surface processes. The parameterization of 19 soil and plant properties in terms of phenological behaviour and water-stress is treated with a higher 20 level of detail in PROMET. As a result, over a four-year period for Central Europe, simulated 21 evapotranspiration and soil moisture differs between the models both, spatially and temporally. 22 Regions with a high proportion of impervious surfaces in PROMET show the highest differences for 23 simulated evapotranspiration compared to the NOAH results (up to 30 %), since the NOAH land 24 surface lacks in the option of impervious surfaces. Further, the PROMET simulation shows lower 25 evapotranspiration rates e.g. in the Po Valley, caused mainly by a higher level of vegetation water 26 stress in summer.

The hydrosphere at the land surface strongly interacts with the atmosphere. However, the offline coupling approach does not allow for taking feedback effects between the downstream model and the RCM into account. Therefore, we further developed an approach that allows a full interactive coupling 30 between PROMET and the atmospheric part of MM5 by now returning the PROMET land surface 31 energy fluxes to MM5 and thus, providing the lower boundary conditions for the MM5 atmosphere. 32 Consequently, feedbacks and regional self-amplification effects result in increasing near surface air 33 temperature and decreasing precipitation - especially in Southern Europe, as well as decreasing soil 34 moisture and increasing vegetation water stress. Hence, evapotranspiration decrease with different 35 regional and temporal behaviour.

36

# 37 Keywords:

38 Feedback Effects, Hydrological Model, Regional Climate Model, Land Surface Model, Interactive

39 Coupling

#### 40 **1** Introduction

41 A multitude of studies deal with possible regional impacts of global climate change on land surface 42 processes, summarized by the IPCC (2007a, b). These studies use the results of regional climate 43 models (RCMs), which describe the processes in the atmosphere and at the land surface, thus 44 including atmosphere interactions both for oceans and land. Modelling climate therefore always 45 requires a representation of land surface processes within the climate model. The changing 46 meteorological drivers are further used as inputs to downstream models, which determine the impacts 47 of the simulated climate change on the processes of interest. Downstream models are used to analyse 48 the impacts of climate change on a broad palette of natural and/or societal developments including the 49 land surface water cycle, land use, agricultural yield, energy consumption and many more (Dirnböck et 50 al., 2003; Fried et al., 2004; Haeberli and Beniston, 1998; Henderson-Sellers et al., 2008; Kalkstein 51 and Smoyer, 1993; Mauser and Bach, 2009; Parry et al., 1999; Patz et al., 2005; Wagener et al., 52 2005). Thereby, they usually focus on specific thematic questions that RCMs can not or only 53 insufficiently address, and/or focus on specific regions at high spatial resolution.

54 Global climate models (GCMs) have historically concentrated on modelling the largely homogeneous 55 ocean-atmosphere interface at a relatively coarse spatial resolution, paying comparatively little 56 attention to the land surface processes. Simplified land surface models (LSMs) have been applied in 57 climate models that were not able to reproduce observations (Timbal and Henderson-Sellers, 1998). 58 Regional climate models being forced with exogenous model data on the lateral boundaries of the 59 model domain, extend the coarse description of atmospheric processes within GCMs towards 60 increased spatial resolution and more process detail, thereby capturing the local structures at each 61 model grid point (Giorgi, 2001; Jacob et al., 2007; Kueppers et al., 2008; Laprise, 2008; Mc Gregor, 62 1997; Michalakes, 1997; Quintanar et al., 2009; Schär et al., 2004; Stocker, 2004; Zampieri et al., 63 2011). Nonetheless, the complexity and heterogeneity of land surface processes and the need for a 64 more detailed view on the land surface is a long standing discussion in atmospheric sciences 65 (Dickinson et al., 1991; Dickinson, 1995; Henderson-Sellers et al., 1995; Henderson-Sellers et al., 66 2008). Therefore, the 'Project for Intercomparison of Land-surface Parameterization Schemes' (PILPS) 67 fundamentally evaluated and improved physically based LSMs for the use in climate models 68 (Dickinson, 1995; Famiglietti and Wood, 1991; Henderson-Sellers et al., 1996; Polcher et al., 1998; 69 Timbal and Henderson-Sellers, 1998; Wood et al., 1998; Yang et al., 1998). There is evidence that 70 more advanced and robust LSMs, which increasingly consider the spatial heterogeneity (land-use, soil, elevation) and complexity of land surface biophysical and hydrological processes in the soil-plantatmosphere continuum on an appropriate scale will reduce the uncertainties in the current modelling of
land-atmosphere processes (Essery et al., 2003; Hagemann et al., 2001; Koster et al., 2004; Laprise,
2008; Molod and Salmun, 2002; Seth et al., 1994; Yu, 2000).

75 Meanwhile, hydrologists have developed empirical, conceptual and more and more physically-based 76 land surface hydrological models (LSHMs) spanning a wide range of complexity. They now go beyond 77 reproducing runoff at gauges of small scale catchment areas by considering the catchment's 78 hydrologic land surface processes in detail (Bharati et al., 2008; Devonec and Barros, 2002; Garcia-79 Quijano and Barros, 2005; Kuchment et al., 2006; Kunstmann et al., 2008; Ludwig and Mauser, 2000; 80 Mauser and Bach, 2009; Schulla and Jasper, 1999; Wagner et al., 2009). The physically based 81 models aim at understanding the interactions between the different land surface compartments, 82 namely soil, vegetation, snow and ice in producing the resulting river runoff. They include detailed 83 descriptions of vertical and lateral soil water and energy flows, vegetation dynamics and related flow 84 regulations, snow and ice dynamics as well as energy and mass exchange with the atmosphere, and 85 thereby cover the major land surface processes in the soil-plant-atmosphere continuum. In contrast to 86 LSMs designed for atmosphere applications, the atmosphere is usually considered as exogenous 87 driver only.

At the same time as RCMs have become capable of physically downscaling the GCMs outputs to a resolution of 50 - 10 km, LSHMs evolved from their original application in small watersheds to large basins. With the improving spatial resolution of the RCMs and the increasing areal coverage of the LSHMs the scales covered by the two model families tend to converge (Chen et al., 1996; Henderson-Sellers et al., 1995; Yang et al., 1998). The RCMs' outputs at high spatial resolution now allows downstream impact models on the local to regional scale to use the results of RCM simulations as offline model input (Figure 1).

Due to the different scales between impact models and RCMs and because of the huge numerical load the impacts are usually assessed with, downstream impact models are usually run offline. This means that they consider the meteorological outputs of the RCMs as exogenous input only and do not feed back to the atmosphere. However, land-atmosphere interactions are largely driven by soil moisture and soil temperature, vegetation dynamics and evapotranspiration as well as snow and ice dynamics. A lack of understanding of their complex interrelation is responsible for one of the key sources of uncertainty in climate simulations (Koster et al., 2004; Koster and Suarez, 1994; Martin, 102 1998; Orlowsky and Seneviratne, 2010; Pitman, 2003; Zeng et al., 2003). A consistent analysis of the 103 regional impacts of climate change therefore would request to have the impact models directly 104 coupled within the RCMs to be able to explicitly consider the feedback of the climate impacts on the 105 land surface and vice versa.

The model chain when coupling a RCM with a downstream impact model offline, results in two LSMs one within the regional climate and one within the impact model, both describing the same land surface processes. However, they are not identical which leads to inconsistencies within the offline model chain. They may have their causes in different scales between the LSMs, different coverage of land surface categories and processes, different process descriptions and different parameterizations, etc. Although these inconsistencies are hardly ever quantified, they are only justified when landatmosphere interactions are weak.

The following analysis uses a case study to compare two LSMs, one representing a LSM used within a RCM and a hydrological downstream climate impact model. It further aims at quantifying the inconsistencies which arise from using the hydrological impact model offline in comparison to an interactively integration of the impact model in the RCM.

## 117 2 Methods

118 Besides the hydrological community, many disciplines have used the RCMs' outputs as input for their 119 downstream models in the last years, e.g. for regional impact studies on plant growth, agriculture or on 120 the economy (Myneni et al., 1997; Parry et al., 2004). These user-models also operating on the land 121 surface thereby usually represent land surface in a totally different manner than the LSM used within 122 the RCM. In order to describe the discrepancies and the inconsistencies between a classical LSM 123 used within a RCM and a LSHM, we applied the fifth version of the Mesoscale Model (MM5) with the 124 NOAH-LSM (Chen and Dudhia, 2001b) at a spatial resolution of 45 x 45 km and the LSHM from the 125 hydrological model family PROMET (Mauser and Bach, 2009) at a spatial resolution of 1 x 1 km for 126 the model domain of Europe. While the NOAH-LSM was originally developed for the use in regional 127 atmosphere applications, PROMET represents a LSHM, originally designed to study the impact of 128 climate on hydrology on the local to regional scale. Due to the different demands on each of the 129 models, they are supposed to differ in multiple aspects. Therefore, we first describe in detail both 130 LSMs in terms of their different scales, model physics and parameterizations. Further, 131 evapotranspiration simulated both with the NOAH-LSM and coupled offline with PROMET (in the 132 course of this paper named as PROMET-offline) is compared (Figure 1) for a 4-year period. Due to the

- 133 equal meteorological forcings, the different models' behaviour in terms of evapotranspiration can be
- 134 quantitatively investigated.



135 136

Figure 1: Principle of driving the hydrological model PROMET offline with data from the RCM MM5 within which the NOAH-LSM provides the lower boundary conditions.

138 Feedbacks between the downstream model and the atmosphere can not be simulated within the 139 offline coupled approach. Downstream models which are only weakly affected by feedbacks between 140 the land surface and the atmosphere, e.g. those who study the effect of climate change on energy 141 demand for heating buildings, may neglect that issue. However, the hydrosphere of the land surface 142 strongly interacts with the atmosphere (Figure 3). Therefore, this study further interactively (often also 143 called 2-way, bidirectionally or bilaterally) couples PROMET with the atmospheric part of MM5, 144 thereby replacing the NOAH-LSM in MM5 with PROMET. Thus, PROMET now provides the lower 145 boundary conditions for the atmospheric part of MM5 (in the course of this paper named as PROMET-146 interact) (Figure 2).



# Figure 2: Interactive coupling of PROMET with the atmospheric part of MM5, thus providing the lower boundary conditions.

150 The substitution of the NOAH-LSM with PROMET within MM5 results in a direct interaction of the 151 RCM with the downstream hydrological impact model and allows to analyze the inconsistencies 152 caused by using different LSMs for the atmosphere and for quantifying the climate impacts in offline 153 climate impact studies. Therefore, we compare the temperature and precipitation output, simulated 154 NAOH-MM5 and with both with PROMET-MM respectively. Secondly, the **PROMET** 155 evapotranspiration is compared between the offline and the interactively coupled approach.

156Differences between the NOAH-LSM and PROMET concerning snow cover, wind and radiation157processes due to different parameterizations and different model approaches as well as a comparison

158 with measurements is beyond the scope of this paper but will be dealt with in further studies.

## 159 3 Study area

160 The study area is situated in Central Europe and extends 1170 km north-south by 1170 km east-west 161 including 18 European countries. Plains like the Po and Upper Rhine Valley, uplands like in central 162 Germany and mountainous regions in the Alps, which mark a climatic boundary between the 163 temperate latitudes and the Mediterranean climate, compose a complex landscape. Altitudes are 164 ranging from the Mont Blanc in the French Alps (4810 m) to the North Sea in the north-west and the 165 Mediterranean Sea in the south. The area is characterized by intense agriculture especially within the 166 fertile lowlands like the Upper Rhine or the Po Valley and densely populated areas such as the Ruhr 167 region, Berlin, or Milan.

## 168 4 Model descriptions

## 169 **4-1** The atmospheric model MM5

170 The RCM applied in this study is the fifth-generation Mesoscale Model (MM5) (Grell et al., 1994),

171 developed by the Pennsylvania State University (Penn State) and the National Center for Atmospheric

172 Research (NCAR).The widely known model has been used for numerical weather predictions, air

- 173 quality studies and regional climate studies (Chen and Dudhia, 2001a).
- 174 It was modified and adapted to our specific simulation requirements and our specific model domain

175 (Pfeiffer and Zängl, 2009; Zängl, 2002). Within the GLOWA-Danube project, in which this study took

- 176 place, MM5 was applied in climate mode with a single domain having a horizontal spatial resolution of
- 177 45 km and an integration internal time step of 135 seconds in order to be able to simulate long time
- 178 series for regional climate scenarios until 2100 with the available computational resources.
- 179 The MM5 domain covers most of the European continent and has a size of 79 grid-boxes in west-east
- 180 and 69 grid-boxes in south-north direction (Pfeiffer and Zängl, 2009). Lateral boundary conditions are

181 provided by 6-hourly ECMWF ERA-40 reanalysis-data (Uppala et al., 2005).



Figure 3: Scheme of water and energy fluxes on the land surface and feedbacks to the atmosphere

The LSMs applied in this study are the NOAH-LSM and PROMET. Both models describe the pathways of water and energy on the land surface in a physically based manner. The process descriptions at the land surface require meteorological drivers from the atmosphere (Figure 3) which are provided by MM5. Evapotranspiration which represents the flux of latent heat from the land surface is the main energy flux from the land surface. It is affected by all major properties of and processes on the land surface and therefore is a substantial part of both models. Thus, the main focus of the comparison between the land surface models will be on evapotranspiration.

Evapotranspiration is the sum of plant transpiration  $(E_t)$  via soil, root, leaf and the stomata and evaporation from the bare soil  $(E_{dir})$  and evaporation of water intercepted by the canopy or other surfaces  $(E_i)$  (Eq. 1). It is driven by the gradient of vapour pressure between the surface and the surrounding air, passing the laminar boundary layer into the free atmosphere, finally carried away by the turbulent mass transport of wind within the atmospheric boundary layer expressed by the aerodynamic resistance. Thus, modelling the spatially very heterogeneous evapotranspiration is a complex issue which requires taking multiple aspects into account.

$$200 \qquad E = E_{dir} + E_i + E_t$$

The NOAH-LSM is applied at a spatial resolution of 45 km while PROMET is applied with 1 km. Therefore, the models' underlying land-use and soil information as well as the digital elevation model (DEM) vary in spatial heterogeneity. Figure 4 demonstrates this effect by comparing the land use classifications used by NOAH and by PROMET. A detailed description of the land use/cover map used in PROMET is given in Zabel et al. (2010).





Figure 4: Land use classification of the NOAH-LSM (45x45 km) and of PROMET (1x1 km)

The land cover information has a strong effect on both albedo and partitioning of energy and matter fluxes from the surface to the atmosphere (Ge et al., 2007). Land cover determines the type of vegetation and thereby the seasonal development of plant phenology, canopy structure and leaf area, which in turn, through vegetation height and leaf area index, determines the aerodynamic and evapotranspirative properties of the land surface. The combined vegetation and soil properties determine soil moisture development and the reaction of the land surface to changing fractions of latent and sensible heat fluxes influenced by vegetation water stress.

## 215 **4-2-1 NOAH-LSM**

The NOAH-LSM was originally designed for the use in RCMs and is part of the MM5 modelling system. The NOAH-LSM is an updated version of the OSU-LSM. A complete description of the NOAH and OSU-LSM is given in Chen and Dudhia (2001a, b) and Mitchell (2005). The older version of MM5 documented in Grell et al. (1994), already included a simple land surface model which does not take basic hydrological effects like snow cover into account. The land use had a coarse resolution and soil moisture was defined as a function of land use with seasonal values that cannot change during the simulation or respond to precipitation. Vegetation evapotranspiration and runoff processes were not included (Chen and Dudhia, 2001a).

The goal of the development of the NOAH-LSM was to implement an appropriate LSM for weather prediction and more hydrological applications that reflects the major effects of vegetation on the longterm evolution of surface evaporation and soil moisture and to get along with relatively few parameters for short and long-time within continental-domain applications. The NOAH-LSM is the result of the further developments of LSMs, designed for atmosphere applications over the last years and scientific studies like the PILPS project.

230 Potential evaporation is calculated within the NOAH-LSM using a Penman-based energy balance 231 approach (Mahrt and Ek, 1984) including a stability-dependent aerodynamic resistance. It includes a 232 4-Layer soil model and a canopy resistance approach of Jaquemin and Noilhan (1990) and Planton 233 (1989). The prognostic variables are the moisture and temperature of the soil layers, water stored on 234 the canopy and snow stored on the ground. Daily surface runoff is computed by the Simple Water 235 Balance (SWB) model (Schaake et al., 1996). The NOAH-LSM computes actual evapotranspiration 236 separately for the following components: Direct evaporation (Eq. 2), evaporation of intercepted water 237 (Eq. 3) and transpiration (Eq. 4).

238 
$$E_{dir} = (1 - \sigma_f) \beta E_p$$
 (2)

239 
$$E_i = \sigma_f \ E_p \left(\frac{W_c}{S}\right)^n \tag{3}$$

240 
$$E_t = \sigma_f E_p B_c \left[ 1 - \left( \frac{W_c}{S} \right)^n \right]$$
(4)

Besides the green vegetation fraction ( $\sigma_f$ ), the NOAH-LSM is taking the soil water content ( $\beta$ ), the intercepted canopy water content ( $W_c$ ), the maximum canopy capacity (S) as well as a plant coefficient ( $B_c$ ) as a function of canopy resistance into account (Chen et al., 1996). The green vegetation fraction ( $\sigma_f$ ) strongly influences simulation results since it acts as a fundamental weighting coefficient of potential evaporation ( $E_p$ ) within the calculation of all components of evapotranspiration. MM5 uses monthly values of green vegetation fraction ( $\sigma_f$ ) (also known as  $F_{cover}$ ) for each grid cell at the model's spatial resolution in order to take seasonal phenological behaviour of vegetation into account. It is defined as a function of NDVI

249 
$$\sigma_f = \frac{NDVI - NDVI_0}{NDVI_{\infty} - NDVI_0}$$
(5)

250 where  $\mathit{NDVI}_{0}\,\text{and}\,\,\mathit{NDVI}_{\infty}$  are the lower and upper 5% of the global NDVI distribution for the whole 251 year and therefore describe the signals from bare soil and not-vegetated areas and dense green 252 vegetation respectively (Chen et al., 1996; Gutman and Ignatov, 1997). Since the  $NDVI_{\infty}$  is likely to 253 reach saturation, this approach tends to overestimate  $\sigma_f$  (Richter and Timmermans, 2009). 254 Uncertainties of NDVI due to soil moisture, soil type and color, dead vegetation and shadow-effects 255 within the plant stand as well as atmospheric effects such as cloud contamination and angular effects 256 of the radiometer field-of-view (FOV) affect satellite-based measurements of the vegetation fraction, 257 making it an unreliable quantity (Bach and Verhoef, 2003; Gutman and Ignatov, 1997; Richter and 258 Timmermans, 2009). Further, the use of the 5th percentile seems arbitrary for global mapping of  $\sigma_{\rm f}$ 259 since this is only valid for pixels with assumed dense vegetation (Gutman and Ignatov, 1997). The 260 green vegetation fraction concerning this study was gathered by a 5-year time series of NDVI (Chen et 261 al., 1996; Gutman and Ignatov, 1997) from AVHRR (US Geological Survey (USGS)), with a spatial 262 resolution of 10 minutes (18,5 km) and global coverage. It was further generally reduced by 30 percent 263 since it proved to be too high for our simulation area and this reduction helped to improve the 264 simulation of summertime near surface temperature substantially (Pfeiffer and Zängl, 2009).

However, it must be pointed out that this approach was introduced by climatologists with the intention of introducing a simple parameter in climate models for worldwide application since it is gathered from satellite data and therefore avoid complex parameterization of individual plants. Also with respect to computational costs, it is a simple and fast approach to implement seasonal behaviour of vegetation. However, it represents a delicate parameter with a large potential of uncertainty due to its impact on evapotranspiration, which results in errors. 271 Within  $E_t$ , the canopy treatment is an important issue. The canopy resistance ( $R_c$ ) is formulated as 272 follows in the NOAH-LSM (Eq. 6),

273 
$$R_c = \frac{R_{c\,\min}}{LAI \ F_1 F_2 F_3 F_4}$$
(6)

274 where  $F_4$  is the water-stress function with respect to soil moisture while  $F_1$ ,  $F_2$  and  $F_3$  represent the 275 effects of solar radiation, vapour pressure deficit and air temperature on the canopy resistance. The 276 values of all functions range between 0 and 1; LAI is the leaf area index and  $R_{cmin}$  is the minimum 277 canopy resistance which is set to 5000 s m<sup>-1</sup> for all plants (Jacquemin and Noilhan, 1990; Noilhan and 278 Planton, 1989). The LAI does not change with season and for all land use classes of the NOAH-LSM 279 has a value of 4.0. The temperature-stress function is the same for all plants, the optimum 280 transpiration temperature being parameterized with 298 K (Chen and Dudhia, 2001a). The dynamic 281 function of water-stress ( $F_4$ ) is a factor for the availability of soil moisture, however neglecting plant 282 specific parameters (Eq. 7).

283 
$$F_{4} = \sum_{i=1}^{3} \frac{(\Theta_{i} - \Theta_{w})d_{zi}}{(\Theta_{ref} - \Theta_{w})(d_{z1} + d_{z2})}$$
(7)

It is a function of volumetric soil moisture content ( $\Theta$ ) and the soil specific parameters of field capacity ( $\Theta_{ref}$ ) and the wilting point ( $\Theta_w$ ) for the upper three soil layers integrated in the rooted zone (Chen and Dudhia, 2001a), parameterized as percentage values of soil moisture.

#### 287 **4-2-2 PROMET**

PROMET was developed for hydrological river catchment studies on the local and regional scale. It describes processes at the land surface physically based with high detail and complexity using several sub-modules (Figure 5). It is conserving mass and energy and closes the energy balance at the land surface. It was applied in this study with an hourly temporal and 1km spatial resolution. An extensive model description can be found in Mauser and Bach (2009).



293 294

311

(Eq. 8).

Figure 5: Model components of PROMET

PROMET has already been used in several small and large scale watersheds ranging from a few hundred km<sup>2</sup> to app. 1 mil. km<sup>2</sup> and has been extensively validated in different regions in the world (Hank, 2008; Koch et al., 2011; Loew, 2008; Loew et al., 2009; Ludwig and Mauser, 2000; Ludwig et al., 2003a; Ludwig et al., 2003b; Marke et al., 2011a; Mauser and Schädlich, 1998; Mauser and Bach, 2009; Muerth, 2008; Prasch et al., 2006; Prasch et al., 2011; Strasser, 1998; Strasser and Mauser, 2001; Strasser et al., 2007; Weber et al., 2010).

301 Actual evapotranspiration within the vegetation component of PROMET is simulated using the 302 Penman-Monteith equation (Mauser and Schädlich, 1998; Monteith, 1965; Monteith and Unsworth, 303 2008), closing the energy balance iteratively (Mauser and Bach, 2009). The water pathway via the soil 304 through the roots into the leaf and passing via the stomata into the laminar and finally the turbulent 305 atmosphere, is driven by the potential difference of water vapour pressure between the soil and the 306 atmosphere, assuming that the atmospheric suction is limited by a number of resistances similar to 307 electrical conductivity (Monteith and Unsworth, 2008). The canopy resistance is calculated for 308 individual plant types following an approach by Baldochi et al (1987) and Jarvis (1976). The stomata 309 resistance ( $R_c$ ) is a function of radiation (PAR), temperature ( $F_1$ ), ambient humidity ( $F_2$ ), CO2 in 310 the atmosphere (assumed to be constant) and the leaf water potential ( $F_3$ ) (Jarvis and Morison, 1981)

312 
$$R_c = \frac{R_{c\min}(PAR)}{F_1 F_2 F_3}$$
 (8)

PAR is calculated according to the fractions of sunlit and shaded leaf area and the PAR flux densities on the respective leaves (Baldocchi et al., 1987). The relation of temperature, humidity deficit and leaf water potential to the stomata resistance is described with  $F_1$ ,  $F_2$  and  $F_3$  following Jarvis (1976), returning values between 0 and 1. An increase in temperature beyond a plant specific optimum results in an increase of stomata resistance since the plant's stomata will close in order to protect itself against dehydration, which results in a decrease of transpiration. The conductivity is reduced to the minimum stomata conductivity, which is the conductivity of the cuticle.

320 The inhibition due to water stress (Eq. 9) is quantified in PROMET in terms of leaf water potential, 321 which depends in a plant-specific way to the soil water potential ( $\Psi_s$ ) within the rooted soil layers.

(9)

322 
$$F_3 = ((\Psi_s + R_r) - \Psi_0) * a_{\Psi} + b_{\Psi}$$

The stomatal conductance shows no dependence on leaf water potential below a plant specific threshold ( $\psi_0$ ) of suction and an approximately linear plant specific decrease beyond (Baldocchi et al., 1987), and takes the resistance of the transition from the soil to the root ( $R_r$ ) into account (Biscoe et al., 1976). The parameters  $R_r$ ,  $\Psi_0$ ,  $a_{\Psi}$  and  $b_{\Psi}$  are parameterized for each plant type in PROMET. The soil water potential is a function of soil type and soil water content following an approach of Brooks and Corey (1964).

329 Overall, PROMET includes a detailed soil and plant parameterization in order to consider the 330 complexity of the physical description of the land surface processes. The parameterization of a wide 331 range of vegetation types in PROMET is taken from literature and remote sensing data (Bach, 1995; 332 Mauser and Bach, 2009). Typical daily change of the plant parameters LAI, albedo, root depth and 333 plant height were taken from the analysis of time series of LANDSAT images in Southern Germany in 334 combination with extensive field measurements on typical plant stands (Mauser and Bach, 2009) 335 thereby taking phenological behaviour of different stands and spatial heterogeneity into account 336 (Zabel et al., 2010).

#### **5 Coupling approaches**

338 Since NOAH-LSM is an integral part of MM5 it is required within the RCM to model the land surface339 processes at the same temporal and spatial resolution as the atmospheric model components of MM5.

340 PROMET differs from MM5 both in temporal and spatial resolution. In order to interactively couple 341 PROMET with MM5, the coarse meteorological data provided by MM5 (45x45 km) has to be 342 downscaled to the higher resolution of the land surface model (1x1 km). Besides, the surface fluxes 343 simulated by PROMET at a resolution of 1 km have to be upscaled to the MM5 model resolution. This 344 is done by applying the scaling tool SCALMET (Scaling Meteorological variables) (Marke, 2008; 345 Marke et al., 2011a). In the context of downscaling meteorological fields, SCALMET has been 346 successfully used with different offline coupled applications under a variety of hydro-meteorological 347 boundary conditions e.g. in the Upper Danube Watershed in Europe (Marke, 2008; Marke et al., 348 2011a: Marke et al., 2011b), in the Upper Brahmaputra Watershed in Asia (Prasch et al., 2011) or in 349 the Gâtineau watershed in the US and Canada (Ludwig et al., 2009). In the framework of these 350 studies, past as well as possible future hydrological scenarios have been simulated using PROMET 351 with the meteorological drivers provided by different regional climate models (MM5, REMO, CLM, 352 CRCM). The adjustable simulation time step within PROMET, which also constitutes the exchange 353 time step between PROMET and MM5, is set to 9 minutes in the current study. This allows PROMET 354 to run synchronously with MM5, which uses a internal time-step of 135 seconds. The statistical 355 downscaling can either be used with regression based approaches (Daly et al., 2002) or empirical 356 gradients (Liston and Elder, 2006), using elevation-dependencies in order to scale the meteorological 357 data to the fine resolution grid.



#### 359 Figure 6: The model setup when interactive coupling MM5 and PROMET using the scaling 360 interface SCALMET.

361 In addition to the offline downscaling approach in SCALMET, a 2-way (bidirectional) and therefore 362 interactive coupling mode was implemented in SCALMET allowing for a linear upscaling of the scalar 363 surface fluxes (see Figure 6). In order to close the energy balance in the interactive coupled land-364 atmosphere system, the downscaling as well as the upscaling approach strictly conserves mass and 365 energy within the scaling processes in SCALMET for each variable. Hence, no bias correction is 366 carried out in the framework of the model runs presented in this study. Therefore, any bias of the RCM 367 is inevitably inherited by the LSM and vice versa.

368 The interactions between the land surface and the atmosphere are based on the exchange of latent

369 and sensible heat, short and longwave radiation as well as momentum (Campbell and Norman, 2000).

370 The energy balance of the overall atmosphere-land-system is given in Eq. 10 (Dingman, 2002). .

$$371 \qquad \downarrow R_{short} + \downarrow R_{long} = \uparrow H_{latent} + \uparrow H_{sensible} + \uparrow R_{long} + \uparrow R_{short} + \downarrow H_{ground}$$
(10)

.

372 Where R<sub>short</sub> and R<sub>long</sub> are the incoming shortwave and longwave radiation that are partitioned into the

.

- 373 latent heat flux (H<sub>latent</sub>), the sensible heatflux (H<sub>sensible</sub>) and the shortwave and longwave outgoing
- 374 radiation ( $R_{short}$  and  $R_{long}$ ) and the ground flux ( $H_{ground}$ ).

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#### **6 Results and discussion**

- 376 6.1 Comparing model evapotranspiration with equal meteorological drivers
- 377 Figure 7 shows the mean annual evapotranspiration from 1996-1999 simulated by the NOAH-LSM
- 378 (left) and by PROMET-offline (right).



379 380 Figure 7: Mean annual evapotranspiration of NOAH-LSM (left) and PROMET-offline (right)

Overall, the NOAH-LSM simulates a mean annual evapotranspiration of 469 mm and PROMET-offline
 397 mm. The remarkable mean difference of more than 70 mm for the area average which is more
 than 17 % is diversely spatially distributed and has several reasons we further aim to investigate.

384 Basically, both models show a north to south gradient of evapotranspiration and lower values in the 385 alpine region, which corresponds to the prevailing climate conditions. The most obvious difference is 386 the spatial heterogeneity related to the spatial resolution applied to each model. The PROMET-offline 387 evapotranspiration allows for recognizing small-scale spatial patterns such as alpine valleys with high 388 contrasts to its surroundings and forested areas with high evapotranspiration as can be found e.g. in 389 the Black Forest (approx. 48.5°N 8.3°E). While the PROMET land-use data set includes a number of 390 impervious surfaces (such as residential or industrial areas and rocks) that do not contribute to 391 transpiration and therefore reduce mean annual evapotranspiration, the NOAH underlying land-use 392 data set accounts only for a small number of land-use classes and mainly implements cropland in the 393 model domain (see Figure 4). The effect of different land-uses and impervious surfaces in PROMET 394 becomes especially apparent in large urban areas such as Berlin or the extended Ruhr region as well

- 395 as in rocky alpine areas (see Figure 7). In order to compare the model results on the same spatial
- 396 scale, we aggregated the PROMET-offline result to the spatial resolution of 45 x 45 km and finally
- 397 subtracted it from the NOAH evapotranspiration (Figure 8).



Figure 8: Difference plot of mean annual evapotranspiration of PROMET-offline and NOAH-LSM
exemplary compared to 1 km<sup>2</sup> land use (legend see Figure 4) for the Berlin, Ruhr and Milan
pixel (red indicates urban areas).

402 The overall mean annual evapotranspiration is lower in the PROMET-offline simulation. Pixels with 403 high percentage of impervious surfaces in PROMET such as Berlin (43 %), the Ruhr region (55 %) 404 and Milan (37 %) show the highest differences of evapotranspiration (see Figure 8) while the NOAH-405 LSM is parameterized with cropland in all of those pixels (see Figure 4). The simulated mean annual 406 evapotranspiration for the Berlin pixels is 95 mm less per year in the PROMET-offline simulation (260 407 mm) than in the NOAH simulation (355 mm), 183 mm less for the corresponding Ruhr pixels (NOAH: 408 405 mm; PROMET: 222 mm) and even 283 mm less in the Milan pixels (NOAH: 707 mm; PROMET: 409 424 mm), which are the pixels with the largest difference.

- 410 Caused by the impervious surfaces in PROMET, annual transpiration contributes 56 % to 411 evapotranspiration for the Milan pixels, 36 % for the Berlin pixels and 30 % for the pixels of the Ruhr 412 region.
- 413 Additionally, The effect of the different land-uses on simulated evapotranspiration can be investigated
- 414 by comparing the temporal mean monthly behaviour of evapotranspiration (1996 1999) of the NOAH-
- 415 LSM and the PROMET-offline simulations.
- 416 Figure 9 takes a closer look at Milan and shows monthly NOAH-LSM and PROMET-offline 417 evapotranspiration exemplary for the 45x45 km Milan pixel, which contains 2025 different PROMET

418 pixels. The PROMET land-use data for the 45x45 km MM5 Milan pixel contains 40 % residential and 419 industrial pixels, 30 % forested areas and 30 % arable land within which 32 % are intensive grassland, 420 26 % are maize and silage (each 13 %) and 14 % are set-aside. The land-use data was compiled from 421 CORINE 2000 data combined with MERIS NDVI and EUROSTAT statistical data (Zabel et al., 2010). 422 The PROMET-offline results are displayed with and without the impervious PROMET pixels within the 423 bit is the first of th





424 425

Figure 9: Monthly mean evapotranspiration from 1996 - 1999 for the Milan Pixel of the NOAH-LSM and all corresponding PROMET-offline pixels respectively masked without impervious surfaces, only accounting vegetated pixels.

428 The prominent role of impervious surfaces in producing the average evapotranspiration can clearly be 429 seen during the summer months, when NOAH-LSM and PROMET-offline evapotranspiration differ 430 largely when looking at the average evapotranspiration of all PROMET pixels of Milan. This difference 431 in summer almost disappears when excluding the impervious pixels from the analysis and only taking 432 the vegetated PROMET pixels into account. The annual difference in evapotranspiration between 433 NOAH-LSM and PROMET-offline is then reduced from about 200 mm to 86 mm. It is also remarkable 434 that the largest differences, neglecting impervious surfaces within the PROMET-offline simulation, 435 occur in the spring months (March, April) and in summer (July, August). 436 While the NOAH-LSM uses monthly variations of the green vegetation fraction ( $\sigma_f$ ) in order to take 437 seasonal behaviour of vegetation into account, PROMET uses daily courses of LAI, plant-height,

438 albedo and root depth for each of its vegetation classes. This results in a heterogeneous behaviour of

439 evapotranspiration in the PROMET-offline simulation in spring. In Figure 9, PROMET-offline

440 evapotranspiration in April is a mixture of large values of the developing cereal plants and small values

for bare soil of open maize fields (which do not exist in the NOAH-LSM). Both in spring and summer,
monthly mean evapotranspiration differs largely while in winter, differences are small since
evapotranspiration is mainly energy and not land-use driven (Figure 9).

Figure 10 shows the comparison of the monthly development of the green vegetation fraction for the Milan pixel in the NOAH-LSM with the daily development of LAI for the most important land use classes for the corresponding PROMET pixels neglecting impervious surfaces. While cereals are harvested in the late summer and grassland is mowed three times a year in the PROMET parameterization, harvest times are not considered directly in the NOAH plant parameterization. Another prominent gap between the two model parameterizations can be recognized in April (Figure 10).



451 Figure 10: NOAH-LSM development of the green vegetation fraction of the considered Pixel in 453 the Po-valley and daily LAI development for deciduous forest, maize and grassland in 454 PROMET.

The monthly green vegetation fraction shows values of almost 40 % in April which means that 40 % of potential evaporation is possible in the NOAH-LSM. However, at the same time, the PROMET phenological development of deciduous forest and maize has not yet started and thus only the grassland and coniferous forested areas are contributing to transpiration. As a result, the PROMET's monthly share of transpiration to evapotranspiration is about 30 % regarding the vegetated Milan pixels in April.

In the summer months, vegetated stands are fully developed in both models but still, evapotranspiration rates for not impervious surfaces of PROMET are below those of the NOAH-LSM which is most likely due to water stress in the PROMET-offline simulation that reduces summer plant transpiration rates. Since the water stress functions included in both models are not comparable due to different model approaches and different impacts on transpiration, Figure 11 compares the daily course of simulated soil moisture between both models for the third soil layer representing the Milan pixel in the NOAH-LSM. The PROMET-offline soil moisture is exemplarily shown for a pixel vegetated 468 with maize and parameterized with the soil type 'loamy clay' in the 3rd soil layer which occurs most 469 frequently (55 %) within the Milan area pixels, while MM5 is parameterized with soil type clay. The 470 layer thickness reaches from 1 m to 2 m from the land surface in the NOAH-LSM and reaches from 471 0.5 m to 1.5 m in PROMET. Soil properties describing water retention of the 3rd soil layer are most 472 important in providing water for plant transpiration since maize is parameterized with a root fraction of 473 60 % within the 3rd soil layer in PROMET. The most important soil parameters within the NOAH-LSM 474 that are necessary to determine water availability in terms of plant transpiration, are the maximum soil 475 moisture (46.8 Vol-%. for the Milan pixel), the field capacity (41.2 Vol-%. soil moisture) and the wilting 476 point (13.8 Vol-%. soil moisture).

477 Both models show a similar behaviour of soil moisture in the winter months. During the Mediterranean 478 summer months, the soil moisture decreases in the NOAH simulation while it stops decreasing in the 479 PROMET simulation reaching a threshold of 29 Vol-%. soil moisture each summer (see Figure 11). 480 This is due to the increasing soil suction in the "loamy clay" pixel and the reaction of maize to soil 481 moisture deficit. Maize in PROMET is parameterized to react quite sensitively to increasing soil 482 suction and starts restricting evapotranspiration at levels of soil suction of 0.8 MPa and completely 483 closes stomata at 1.2 MPa, which is reached in the "loamy clay" soil at soil moisture levels of app. 29 484 Vol-%. This has a strong impact on the transpiration rate in the summer (see Figure 12). The decrease 485 in soil moisture within the NOAH simulation shows that soil water from the 3rd layer is still used for 486 transpiration during the summer months, since the wilting point is parameterized with 13.8 Vol-% soil 487 moisture. This value seems unusually low for an assumed clay soil in the MM5 soil dataset.



488 489

Figure 11: Daily soil moisture (1996-1999) of the 3rd soil layer simulated by the NOAH-LSM for 490 the Milan 45 km<sup>2</sup> pixel and by PROMET-offline exemplarily for one 1 km<sup>2</sup> pixel inside the Milan 491 area with land-use maize and soil type 'loamy clay' in the 3rd soil layer.

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494 Figure 12: Daily transpiration (1996-1999) simulated by PROMET-offline exemplarily for one 1 495 km<sup>2</sup> pixel with land-use maize and soil type 'loamy clay' in the 3rd soil layer.

The development of the pF-value for the same PROMET sample-pixel is shown in Figure 13 in order to proof that the reduced transpiration is due to a limitation in the soil water and not due to other limitations e.g. solar radiation. It is calculated as in PROMET after an approach of Brooks and Corey (1964) calculating the matrix potential // soil water potential ( $\Psi_s$ ) as the following:

500 
$$\Psi_s = \Psi_1 \cdot S^{-1/m}$$

where  $\Psi_1$  is the air entry tension (bubbling pressure head), S is the saturation of the effective pore

(11)

502 space with water and m is the pore-size distribution index, which are all parameters also available

503 within the soil parameterization of PROMET.

Figure 13 demonstrates that the inhibition of transpiration is due to the increasing soil water potential in the 3rd soil layer. Maize is unable to suck more water out of the soil since the permanent wilting point is reached at the pF-value of about 4.2 when the soil moisture reaches less than 29 Vol-%.



507 508

Figure 13: Daily pF-value (1996-1999) simulated by PROMET-offline exemplarily for one 1 km<sup>2</sup> pixel with land-use maize and soil type 'loamy clay' in the 3rd soil layer.

#### 510 6-2 Quantification of feedbacks between the land surface and the atmosphere

511 The simulated evapotranspiration between the NOAH-LSM and PROMET-offline, as showed differ 512 both in spatial and temporal manner. Finally, the impact of these differences on feedback effects 513 between the land surface and the atmosphere are investigated. Thus, the inconsistencies within the 514 offline coupling approach due to neglecting the feedbacks are quantified. Therefore, PROMET is now 515 interactively coupled with MM5 thus substituting the NOAH-LSM and finally providing the lower 516 boundary conditions for the MM5 atmosphere (Figure 2 and Section 5). Energy conservation is 517 guaranteed within the interactively coupled system. Since feedbacks mutually affect the atmosphere 518 and the land surface in a complex way, they cannot be separated. This chapter first describes the 519 impact of feedbacks on the MM5 atmosphere in terms of temperature and precipitation. Finally, the 520 impact of feedbacks on the interactively coupled PROMET (PROMET-interact) evapotranspiration is 521 investigated.

### 522 6-2-1 Air temperature

523 Figure 14 compares the mean annual near surface air temperature between the MM5 simulation either 524 using the NOAH-LSM or PROMET-interact. While the mean temperature over the simulation area is 525 9.4° C in the MM5-PROMET-interact simulation, it is 8.5° C in the MM5-NOAH simulation. Despite the 526 replacement of the NOAH-LSM, still a similar temperature can be reproduced within the MM5-527 PROMET-interact simulation with regional differences (Figure 14). The tendency of less transpiration 528 in the PROMET-offline simulations in comparison to the NOAH-LSM may be a major reason for overall 529 higher air temperature in the MM5-PROMET-interact simulation than in the MM5-NOAH one. The 530 mutually dependent effect of less transpiration, due to impervious surfaces and higher near surface air 531 temperature, can clearly be seen in the pixels of Berlin, the Ruhr as well as the Milan pixel (Figure 14). 532 Here, feedbacks with the PROMET-interact land surface result in higher temperatures in the MM5 533 atmospheric model by up to 2.4 K (in the Ruhr region), which can also be seen in the subtraction 534 image in Figure 14.



535



542 The mean monthly temporal behaviour exemplarily shown for the Milan pixel in Figure 15 shows that

543 the annual course can be reproduced in the MM5-PROMET-interact simulations. However, strong

544 feedbacks by using PROMET-interact affect the near surface air temperature for the Milan pixels

- 545 especially in summer. Maximum differences (3.3° K) appear in June, while in winter, when energy
- 546 assumption at the land surface is low, temperature is hardly affected by feedbacks. The Milan pixels

547 annually show a 12 % warmer near surface air temperature (14.2° C) than the MM5-NOAH simulation



548 (12.6° C).



Figure 15: Monthly mean of near surface air temperature [°C] (01.01.1996 - 31.12.1999) of MM5-551 NOAH simulation and MM5-PROMET-interact simulation for the Milan pixel and the 552 corresponding Milan pixels respectively.

#### 553 6-2-2 Precipitation

554 Besides temperature, precipitation is another parameter strongly interacting with the land surface and 555 which has a large impact on LSHMs. Thereby, the changed lower boundary conditions in the 556 PROMET-interact simulation result in less annual precipitation amounts, especially in the Alpine area 557 (see Figure 16). While the annual precipitation amount over the simulation area is 830 mm in the 558 MM5-PROMET-interact simulation, it is 886 mm in the MM5-NOAH simulation. 559 The spatial patterns of annual precipitation amounts between MM5-NOAH and MM5-PROMET-

560 interact simulations are almost the same. However, total precipitation amounts decrease mostly north

561 and south of the Alps and in the Po-Valley by up to 213 mm (Figure 16).

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564 565

563

Figure 16: Mean annual precipitation [mm] (01.01.1996 - 31.12.1999) of MM5 simulations, using 566 the NOAH-LSM at a spatial resolution of 45 km (upper left) and MM5 simulations, using 567 PROMET-interact at a spatial resolution of 1 km and finally downscaled with SCALMET (upper 568 right). Subtraction image of MM5-PROMET-interact and MM5-NOAH simulations (lower left).

569 The monthly behaviour exemplarily shown for the Milan pixel in Figure 17 shows that the annual

- 570 course can be reproduced in MM5-PROMET-interact simulations. Feedbacks within the MM5-
- 571 PROMET-interact simulation result in decreased precipitation amounts for the Milan pixels, especially
- 572 in summer. Maximum differences compared to the MM5-NOAH simulation occur in August, when

573 almost 50 mm less precipitation is simulated by using the PROMET-interact land surface within MM5.



574 The annual precipitation differs concerning the Milan pixels by 164 mm which is about 15 %.

575 576

Figure 17: Monthly precipitation amounts [mm] (01.01.1996 - 31.12.1999) of MM5-NOAH 577 simulation and MM5-PROMET-interact simulation for the Milan pixel and the corresponding 578 Milan pixels respectively.

#### 579 6-2-3 Evapotranspiration

580 Sect. 6-1 already compared the evapotranspiration between the NOAH-LSM and the PROMET-offline 581 simulation. Now, the impact of the feedbacks by using PROMET-interact as lower boundary conditions 582 for MM5 is investigated. The feedbacks in the MM5-PROMET-interact simulation mutually affect not 583 only the atmosphere conditions, but also the land surface. Figure 18 shows the impact on 584 evapotranspiration, when taking feedbacks between the high resolution PROMET land surface and 585 the MM5 atmosphere into account.

586 Overall, the annual PROMET-interact evapotranspiration (405 mm) is a little higher than the annual 587 PROMET-offline simulation (397 mm). However, a more detailed spatial analysis shows remarkably 588 smaller annual evapotranspiration rates in the Po-Valley and south of the Alps while annual 589 evapotranspiration rates slightly increased north of the Alps (see Figure 18). The highest impact of the 590 feedbacks on evapotranspiration can be found in the northern part of the Po-Valley, where forested 591 areas (compare with Figure 4) showed high evapotranspiration rates in the PROMET-offline simulation 592 while now, due to feedback mechanism in the PROMET-interact simulation, annual evapotranspiration 593 decreased by about 150 mm. Regions with high vulnerability of plant water-stress, such as the Po-594 Valley, show the highest differences in simulated evapotranspiration and therefore the highest 595 inconsistencies between the offline and interactive coupling approach.



596 597 Figure 18: Subtraction image of PROMET-interact and PROEMT-offline simulation for mean 598 annual evapotranspiration (1 x 1 km)

599 The PROMET-interact evapotranspiration now mutually interacts with the already described 600 temperature and precipitation. Therefore, regional self-amplification effects result in decreased 601 evapotranspiration in regions like the Po-Valley which in turn lead to higher temperature and less 602 precipitation amounts and vice versa.

For the Milan pixels, the annual distinction is approx. 55 mm which is approx. 13 % of the annual evapotranspiration (Figure 19). The inconsistencies of the offline coupling approach are most relevant in the summer months, when PROMET-interact shows drastically decreased evapotranspiration rates e.g. in July of up to 20 mm (27 %), demonstrated exemplarily for the Milan pixels (Figure 19), while in the winter months evapotranspiration is hardly affected by the feedback mechanisms. Evapotranspiration decreased by 30 % from July to September, while at the same time temperature increased by 13 % and precipitation decreased by 37 % (Figure 19, Figure 17, Figure 16).



610 611

Figure 19: Monthly evapotranspiration rates [mm] (01.01.1996 - 31.12.1999) of MM5-NOAH 612 simulation and MM5-PROMET-interact simulation for the Milan pixels.

613 While, in the Po-Valley, plant's water suctions already reached the wilting point in PROMET-offline 614 simulations, feedback effects in the PROMET-interact simulations now result in an even higher level of 615 water-stress in the Po-Valley (see Figure 20) which is responsible for the lower transpiration rates. 616 North of the Alps however, transpiration is not or only little limited by water-stress in summer, since 617 still enough water is available for transpiration. Therefore, the feedbacks have predominantly positive 618 effects on transpiration here.





619 620 Figure 20: Comparison of water stress function in PROMET-interact and PROMET-offline for 621 the vegetated PROMET pixels of the Milan pixels

622

#### 623 7 Conclusions

The study has shown that considerable discrepancies occur between LSMs used within RCMs and downstream climate impact models. Downstream models are driven with RCM data offline and usually focus on specific research questions that the RCM can not or only insufficiently address. The different scales, parameterizations and formulations describing identical land surface processes in the NOAH-LSM used within the RCM MM5 and the downstream hydrological model PROMET, as described in this paper, resulted in considerable differences in evapotranspiration, soil hydraulics and plant water stress.

A finer spatial resolution and therefore a more heterogeneous land surface in PROMET, including impervious surfaces, had a strong hydrological impact on evapotranspiration while the NOAH-LSM assumes the land surface to rather homogeneously consist of arable land which resulted in lower evapotranspiration rates in the PROMET-offline simulation.

The role of plant parameterization in terms of phenological behaviour due to different model assumptions resulted in a temporal delay of PROMET-offline evapotranspiration in spring for the Po-Valley, when compared to the NOAH evapotranspiration. The NOAH-LSM uses simplified parameters like the vegetation fraction with a high degree of uncertainty strongly affecting evapotranspiration. It is not designed to take important aspects of water-stress and plant specific transpiration into account. PROMET, on the other hand uses a large amount of plant and crop dependent parameters resulting in a spatially and temporally higher resolution.

642 The more comprehensive physical model approaches in terms of the soil and canopy treatment within 643 PROMET resulted in less transpiration than in the NOAH-LSM due to more water-stress in PROMET-644 offline caused by less soil moisture availability, in particular in the Mediterranean marked Po-Valley.

645 By coupling of a RCM with a downstream impact model offline, inconsistencies in the coupling 646 approach occur, due to the use of totally different land surfaces within the model chain. Thereby, 647 offline coupling with downstream impact models is neglecting feedback effects between the 648 downstream model and the atmosphere model. Nevertheless, the land surface hydrology strongly 649 interacts with the atmosphere. Therefore, we developed a consistent coupling approach between a 650 RCM and a hydrological downstream climate impact model by interactively coupling the hydrological 651 model PROMET with the MM5 atmosphere. Thus, PROMET substitutes the NOAH-LSM and further 652 provides the lower boundary conditions for the MM5 atmosphere. Consequently, feedback effects 653 between the high spatial resolution hydrological land surface model PROMET and the coarse

resolution atmospheric part of MM5 are taken into account. Thereby, the scaling interface SCALMET closes the scale gap between the models and ensures energy conservation within the down- and the upscaling of linear and non-linear energy fluxes.

657 Despite the replacement of the NOAH-LSM with PROMET, still a similar climate could be reproduced 658 for a 4-year simulation period, showing similar annual values and a similar annual course of monthly 659 mean values for temperature, precipitation and evapotranspiration. However, feedback effects altered 660 precipitation, temperature and evapotranspiration with different regional and temporal behaviour. 661 While annual near surface air temperature mainly increased, annual precipitation amounts mainly 662 decreased for the simulation area. Large differences in annual temperature of more than 2 K could be 663 found especially in regions with a high proportion of impervious surfaces in the PROMET land surface 664 like the Ruhr region. Precipitation amounts mostly decreased in the southern alpine area with a 665 maximum decrease by more than 200 mm. The impact of feedbacks due to the use of PROMET-666 interact within MM5 on temperature and precipitation were low and negligible in winter but 667 considerably high in the summer months, when energy conversion on the land surface is high and 668 therefore the energy fluxes on the land surface strongly interact with the atmosphere then, finally 669 resulting in greater feedbacks.

670 The inconsistencies on the simulations of evapotranspiration by using the PROMET-offline approach 671 were investigated by comparing PROMET-offline and PROMET-interact. Inconsistencies were low in 672 the winter months and when regarding averages of the entire simulation area and average annual 673 values. However, inconsistencies were increasing, when getting closer both in temporal and spatial 674 analysis. Evapotranspiration decreased by 30 % from July to September in the Po-Valley, exemplarily 675 shown for the Milan region, while at the same time temperature increased by 13 % and precipitation 676 decreased by 37 %. Regions with a high vulnerability of plant water-stress in summer, such as the Po-677 Valley, show the highest differences in simulated evapotranspiration and therefore the highest 678 interaction between PROMET and MM5.

As shown, less transpiration in the Po-Valley within the PROMET-offline transpiration in comparison to the NOAH-LSM resulted in higher near surface air temperature and less precipitation when consistently driving the feedbacks with PROMET, which mutually affect the land surface in terms of less evapotranspiration, due to a more detailed description of soil-water and plant processes, and a higher spatial resolution including more land cover types within the PROMET land surface.

- 684 The interactively coupling approach requires a large amount of computational resources since it is
- 685 more extensive than the offline coupled modelling approach due to a higher spatial resolution of the
- 686 land surface at continental scale and a more complex formulation of land surface processes. The
- 687 parameterization of the vegetation and soil state therefore requires a larger number of parameters at
- high spatial resolution on continental scale, which often is difficult due to a lack of adequate datasets.
- 689 Feedbacks between the RCM and its global forcing on the lateral boundaries are not yet taken into
- 690 account unless they are another inconsistency within the entire model chain.
- 691 Further studies will compare the offline and interactive coupling approach also in terms of radiation
- 692 and other processes affected by feedbacks. Further, simulation results will be compared with
- 693 observation data for annual, monthly, and diurnal time series.

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