

This discussion paper is/has been under review for the journal Hydrology and Earth System Sciences (HESS). Please refer to the corresponding final paper in HESS if available.

# Forest cover influence on flood assessment in Italian catchments

F. Preti<sup>1</sup>, G. Forzieri<sup>2</sup>, and G. B. Chirico<sup>3</sup>

<sup>1</sup>Dipartimento di Economia, Ingegneria, Scienze e Tecnologie Agrarie e Forestali, Sezione di Ingegneria dei Biosistemi Agrari e Forestali, University of Florence, Italy

<sup>2</sup>Dipartimento di Scienze della Terra, formerly at Dipartimento di Ingegneria Civile e Ambientale, University of Florence, Italy

<sup>3</sup>Dipartimento di Ingegneria Agraria e Agronomia del Territorio, University of Napoli Federico II, Italy

Received: 28 April 2011 – Accepted: 4 May 2011 – Published: 16 May 2011

Correspondence to: F. Preti (federico.preti@unifi.it)

Published by Copernicus Publications on behalf of the European Geosciences Union.

**HESSD**

8, 4891–4926, 2011

## Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Abstract

The paper aims at evaluating to what extent forest cover could affect the flood peak frequency and magnitude in Italian catchments. The analysis is restricted to evaluating the component of the runoff coefficient which cannot be captured by the catchment lithology alone. A preliminary data mining is performed on data of 75 catchments distributed from South to Central Italy. Cluster and correlation structure analyses are conducted for distinguishing forest cover effects within sample sets of catchments characterized by hydro-morphological similarities. We propose a method for correcting the bias of the runoff coefficient estimated from the catchment lithology only, by accounting for the effect of forest cover. The bias correction becomes significant for small mountainous catchments, characterised by larger forest cover fraction and lower critical rainfall depth. Consistently with what suggested in previous studies, the bias correction decreases as the rainfall depth and return period increase.

## 1 Introduction

Forest patterns are subjected to significant changes worldwide, with different trends, depending specifically on local socio-economic and environmental factors. There are some areas of the world where forest cover has been reducing as results of logging and land claim for agriculture or urban infrastructures. Other areas, such as Mediterranean landscapes, forest patterns are experiencing a significant expansion in the last decades, as consequence of the abandonment of the agricultural lands in marginal areas, mostly located in hilly and mountainous areas, providing space for the natural development of forest (Agnoletti, 2002; Mazzoleni et al., 2004).

The effect of forest cover on flood regime has been largely studied (e.g. Sorriso-Valvo et al., 1995; Burch et al., 1996; Robinson, 1989; Robinson et al., 2003; Devito et al., 2005; Cosandey et al., 2005) and it is still a controversial argument (Bosch and Hewlett, 1982; Bruijnzeel, 1990; Cognard-Plancq, 2001). Despite the public perception that

**HESSD**

8, 4891–4926, 2011

### Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



forests reduce flood hazard, there is a large sector of the scientific community asserting that forest cover, although being relevant within the hydrological cycle and in catchment response to small storms, does not contribute significantly to mitigate floods during extreme rainfall events (e.g. Calder et al., 2007; van Dijk et al., 2008). This opinion is also prompted by influential United Nation Policy documents (e.g. FAO-CIFOR, 2005; Hamilton, 2008), which confine the public perception to a misconception conceived by those who are not directly involved in studying hydrological extreme events, including environmentalists and conservation agencies.

According to recent studies, forest cover could be effective in reducing flood discharge during more frequent and thus less intensive rainstorms (López-Moreno et al., 2006), while it is unlikely able to reduce significantly peak flows generated by rainfall events with return periods larger than 10 yr (Bathurst et al., 2011). Other recent studies pointed out that some contrasting conclusions about the relation between forests and floods is the result of catchment paired studies, which do not account for the effect of forest cover on the non-linear dependency between magnitude and frequency of floods (Alila et al., 2009; Lewis et al., 2010).

Experimental studies show that forest cover reduces the annual catchment discharge as result of increased rainfall interception, increased transpiration during interstorm periods and higher permeability of forest soil (e.g. Bosch and Hewlett, 1982; Calder, 1990; Cornish, 1993; Rowe and Pearce, 1994; Stednick, 1996; Fahey and Jackson, 1997; Bruijnzeel, 2004). These effects are expected to be less relevant during extreme rainfall events, although limited field experiments have been conducted for quantifying the impact of forests on the catchment response to rainfalls with low frequency. Moreover, the effect of forest cover on flood peaks is difficult to be isolated, being the flood discharge influenced by other factors, such as initial catchment conditions, forestry and agricultural activities, road constructions, etc. (Moore and Wondzell, 2005).

Assessing magnitude and frequency of flood peaks is fundamental for planning and design structural and non-structural risk mitigation actions. Flood frequency analysis aims at estimating the probability distributions of flood peaks, enabling one to define the

## Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



design flood for a given return period. Time series of flood peaks, which are employed for a direct assessment of flood frequency and magnitude, are generally available only for a limited number of catchments even in developed countries.

Rational formula is widely applied as an indirect method for assessing flood peaks in ungauged catchments. The annual flood peak,  $Q_T$ , for a given quantile of the cumulative probability distribution or return period  $T$ , can be expressed as

$$Q_T = C \cdot \frac{h_{c,T}}{t_c} \cdot A \quad (1)$$

where:  $h_{c,T}$  is the annual maximum of rainfall depth with a return period  $T$ , in a time interval  $t_c$  equal to the catchment concentration time, according to the rainfall intensity-duration curve;  $C$  is the runoff coefficient, which combines the effect of surface storages, infiltration losses and catchment flood dampening.

The parameters of the rational formula are often regionalized, by exploiting hydrological similarities among catchments and the scaling properties of flood and rainfall statistics (e.g. Cunanne, 1988; Gupta and Waymire, 1990). Runoff coefficient is generally estimated through empirical regressions of the observed annual peak discharge to rainfall ratios with respect to selected catchment parameters, such as the soil properties, land use and catchment morphology. Soil and land use properties are identified by those features that can be easily distinguished at regional scale. Soil properties are defined by the lithology, which is exploited as a surrogate of the soil permeability, whereas land use is often classified in two categories including forested and non-forested areas.

In this study we evaluate the influence of forest cover fraction on the regional estimate of the flood peak in Italian catchments. Specifically, we investigate to what extent the forest cover can explain the residual variability of runoff coefficient at regional scale, which cannot be captured by the catchment lithology only.

The paper is structured as follows: the following section presents the data source and the study catchments; the third section illustrates the methodology employed to infer the dependency of the runoff coefficient from the forest cover; in the fourth section we

## Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



present a new simplified conceptual model for assessing runoff coefficient accounting for the forest cover.

## 2 Study catchments

We examine data of 75 catchments in Central and Southern Italy, distributed from Sicily and Tuscany (Fig. 1). For each of these catchments we evaluated the parameters which are widely used for computing the flood peak according to the Italian flood assessment procedure (Birtone et al., 2008; Calenda et al., 1997, 2003; Di Stefano and Ferro, 2007; Preti et al., 1996; Preti, 2004; Rasulo et al., 2009; Regione Toscana, 2007).

At regional scale, the lithological features of the catchments are grouped according to two or three different classes corresponding to different degrees of permeability (e.g. Fiorentino and Iacobellis, 2001): (1) highly permeable lithoid complexes constituted by sediments and rocks with porosity based permeability, rocks with fissure-based permeability, and those having a mixed permeability; (2) lithoid complexes with medium permeability constituted by permeable lithologies which outcrop on a steep surface or lithologies more or less fractured and filled by clayey material; and (3) impermeable lithoid complexes represented by clayey formations. In some regions, runoff coefficient is generally estimated from catchment lithological features only, while land use is neglected (e.g. Calenda et al., 2003).

The spontaneous vegetation and land cover in Central and Southern Italy is quite consistent with climatic features and morphological characteristics of the territory. Arid and semiarid zones are characterized by scarce vegetation, which gradually turns into subhumid Mediterranean undergrowth and pasture land, to finally reach the mountain woods of humid and hyperhumid areas (Fiorentino and Iacobellis, 2001).

In this study, in order to assess the component of the runoff coefficient which might be explained by forest cover, we explore the dependencies among the following parameters, which are employed in the rational method as generally applied in Italy:

## Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



- catchment extent ( $A$ );
- catchment mean elevation ( $Z_m$ ) above catchment outlet;
- catchment concentration time ( $t_c$ );
- critical rainfall depth ( $h_c$ ), corresponding to the maximum annual rainfall depth within a time interval equal to ( $t_c$ );
- the runoff coefficient estimated from rainfall and runoff data ( $C_{obs}$ );
- the runoff coefficient ( $C_L$ ) estimated from the catchment lithology only;
- the component of the runoff coefficient not explained by the catchment lithology,  $\Delta C = (C_{obs} - C_L)/C_{obs}$ ;
- catchment fraction with highly permeable lithoid complexes ( $S_p$ );
- forest cover fraction ( $S_b$ ).

Catchment concentration time is indirectly estimated from catchment morphological properties, according to the *Giandotti* empirical formula, widely employed in Italian flood studies (Benini, 1990; Ferro, 2006):

$$t_c = \frac{4\sqrt{A} + 1.5L}{0.8\sqrt{Z_m}} \quad (2)$$

where  $A$  is in  $\text{km}^2$  and  $L$  is the main river length in km.

The *observed* runoff coefficient is obtained by calibrating the rational formula against the flood peak value  $Q_{T,obs}$  with a reference return period  $T = 20$  yr, as directly estimated from discharge data, mostly collected from 1960 and 1990 (e.g. Ferro and Porto, 2006):

$$C_{obs} = \frac{Q_{T,obs} \cdot t_c}{h_{c,T} \cdot A} \quad (3)$$

## Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



The forest cover  $S_b$  employed in this study is estimated as the average value from land use maps of the same period analysed for assessing the *observed* runoff coefficient.

Runoff coefficient  $C_L$  is derived according to different regional regression models within the examined regions. However, as depicted in Fig. 2,  $C_L$  is highly correlated by  $S_p$ , which explains almost 80 % of the overall variability of  $C_L$  among the examined catchments.

### 3 Data mining

#### 3.1 Preliminary data analysis

We first explored the dataset to assess the main hydro-morphological features of the catchments which might be relevant for the present study. In particular, the following analyses have been conducted:

- histogram analysis;
- non parametric correlation analysis based on Spearman ranking among the hydro-morphological variables;
- dependence analysis of  $\Delta C$  from each of the hydro-morphological variables and the forest cover fraction.

Histograms show the large variability of the hydro-morphological features of the examined catchments (see Table 1 and Fig. 3). A large number of catchments have an extent smaller than 1000 km<sup>2</sup> and a surface fraction with high permeability smaller than 20 %.

The analysed hydro-morphological variables exhibit significant cross-correlation (see Table 2), while none of these variables appears significantly correlated with  $\Delta C$ . As expected, there is a significant positive correlation between  $A$ ,  $t_c$ , and  $h_c$ . In fact,

## Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



smaller catchments are characterised by higher slope, smaller concentration time and smaller critical rainfall depth.

We also conducted an exploratory analysis among terms of variables  $\Delta C - Y - S_b$  by selecting different hydro-morphological variables  $Y$ . In Fig. 4, the contour maps, colored according to a grey-scale, represent the variability of  $S_b$  values with respect to the variable  $\Delta C$  along the x-axis and the hydro-morphological variable  $Y$  along the y-axis.

The contour maps show that there is not any general dependence applicable to the entire data set. These configurations indicate the need to explore the dependence between  $S_b$  and  $\Delta C$  within group of basins showing some hydrological similarities.

### 3.2 Cluster analysis

Catchments are grouped according to a *k-means* cluster analysis following two different procedure: (i) clustering based on individual hydro-morphological variable, in order to assess the role of each parameter in the  $S_b - \Delta C$  relation; (ii) clustering including all parameters (hereafter indicated as *HP* case), to explore the effect of the reciprocal interaction among different parameters in the  $S_b - \Delta C$  relation. Catchment clusters are identified by maximizing the mean of the silhouette plot ( $Sh$ ), which is a distance metric based on the squared of the Euclidean distance.  $Sh$  indicates the distance of each catchment value within a given cluster from the catchment values belonging to other clusters. This distance varies from +1 to -1: +1 indicates that the catchment value is very distant from the other cluster values; 0 indicates that the membership of a given catchment value to the assigned cluster is not clearly distinguished; -1 if the catchment value is assigned to the wrong cluster. Examples of silhouette plots are depicted in Fig. 5.

Table 3 shows the  $Sh$  for a number of clusters between 2 and 5, for each of the parameter examined and for the *HP* case. The value in bold indicate the  $Sh$  threshold value above which further clustering does not add much to the catchment classification. We identified 2 clusters for the catchment extent *A* and three clusters for each of

**Forest cover influence on flood assessment**

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





the remaining 5 parameters. When we analysed all parameters (*HP*), we selected 2 clusters.

Tables 4 and 5 show mean and standard deviation of the parameters within each cluster. The differences between clusters have been also verified by a statistical test on the mean value of the hydro-morphological parameters belonging to each cluster, with significance level 0.01. All clusters, except in *HP* case, are significantly different in mean, i.e. the null hypothesis that they belong to the same population can be rejected with a significance level equal to 0.01.

The value range of each parameter represented within each cluster is identified by the quantiles 0.05 and 0.95 of the corresponding sample distributions in each cluster, as illustrated in Tables 6 and 7. These ranges do not overlap when the clusters are identified by analyzing one parameter at a time, except for the clusters identified with the parameter *A* only. When all parameter are considered in the clustering process (*HP*), the distinction of each cluster is more difficult, since value ranges overlap, as we might expect by examining the corresponding mean and *std* values (see Table 5).

### 3.3 Correlation structure

We calculated the Spearman's rank correlation and the corresponding p-values between  $S_b - \Delta C$  for each combination of parameters and for each cluster identified. Significant correlation occurs for the cluster with the largest number of samples for each fixed parameter. This suggests that for those clusters with a limited number of samples, the correlation might be underestimated. For the *HP* case no significant correlation has been identified.

We also assessed the dependence between  $\Delta C$  and  $S_b$  by linear regression, in order to evaluate the component of the runoff coefficient which could be explained by  $S_b$ . The goodness of fit of the linear models are estimated by sum of squared errors (SSE), coefficient of determination ( $R^2$ ), coefficient of determination adjusted for the number of predictors ( $adjR^2$ ) and root mean square error (RMSE). The regression analysis is applied to three different sets: (i) including all ( $S_b, \Delta C$ ) couples belonging to

**Forest cover  
influence on flood  
assessment**

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



the examined cluster ( $LR_{tot}$ ); (ii) including ( $S_b, \Delta C$ ) couples which values of the corresponding hydro-morphological parameters are below its intra-cluster average ( $LR_{inf}$ ); (ii) including ( $S_b, \Delta C$ ) couples which values of the corresponding hydro-morphological parameters are above its intra-cluster average ( $LR_{sup}$ ). The trend significance is estimated with the Mann-Kendall test. Results are listed in Table 9. Figure 6 shows the computed regression lines for the selected clusters.

The possibility to explain  $\Delta C$  with  $S_b$  is highly variable, particularly in the case all catchments belonging to a cluster are included in the regression analysis ( $LR_{tot}$ ). Higher  $R^2$  can be gathered if only those catchments in the lower range of the corresponding parameter values are included in the regression analysis ( $LR_{inf}$ ). The best fitting is obtained for  $LR_{inf}$  within  $CL-3$  fixed( $Z_m$ ),  $CL-2$  fixed( $h_c$ ) and  $CL-3$  fixed( $S_p$ ).

The regression lines show that  $\Delta C$  is always decreasing for increasing forest cover fraction, as expected. The highest linear correlation is observed within  $CL-2$  fixed( $h_c$ ). It is also interesting to observe that there is a high linear correlation for all three sub-sets of  $CL-2$  fixed( $h_c$ ), with consistent regression coefficients  $m$  and  $q$ . Moreover, within  $CL-2$  fixed( $h_c$ ) it is also possible to observe the highest non-parametric correlation with  $\Delta C$  (see Table 8).

These results suggest the possibility to explore new models for predicting the runoff coefficient, accounting for the forest cover  $S_b$ , at least within catchments classes which can be considered homogeneous with respect to  $h_c$ .

#### 4 A new simple conceptual model for runoff coefficient assessment

Predicted values of the runoff coefficients from the catchment lithology ( $C_L$ ) are generally negatively biased with respect to the observed runoff coefficient  $C_{obs}$ . Moreover, the difference  $C_{obs} - C_L$  tends to be higher for smaller catchments, as for example illustrated in Fig. 7, where the difference  $C_{obs} - C_L$  is plotted versus the catchment concentration time.

## Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



This suggests that there are larger margins for correcting the prediction of the runoff coefficients in smaller basins, which are generally those basins characterized by larger forest cover fractions, as the outlets of smaller catchments are mostly located in mountainous areas.

5 A significant linear correlation can be found between  $C_{\text{obs}} - C_L$  and the ratio  $S_b/h_{c,T}$  (Fig. 8a) This linear correlation increases if we restrict the analysis to individual clusters, such as *CL-1 fixed* ( $t_c$ ) (Fig. 8b).

This result suggests the possibility to modify the rational formula as follows:

$$Q_T = C_{\text{mod}} \cdot \frac{h_{c,T}}{t_c} \cdot A = \left( C_L - \frac{V_b S_b}{h_{c,T}} \right) \cdot \frac{h_{c,T}}{t_c} \cdot A \quad (4)$$

10 According to Eq. (5), we adjust the runoff coefficient estimated from the catchment lithology only ( $C_L$ ) by reducing it by a factor  $V_b S_b/h_{c,T}$ , representing conceptually an additional abstraction loss of the total rainfall due to the storage capacity of the forested soils, with a specific volume equal to  $V_b$ .

By minimizing the squared root difference

$$15 \sum_i (C_{\text{obs}} - C_{\text{mod}})^2 = \sum_i \left( C_{\text{obs}} \cdot \Delta C + \frac{V_b S_b}{h_c} \right)^2 \quad (5)$$

we obtained an optimal  $V_b = 13.9$  mm for the entire data set.

Figure 9 compares the observed ( $C_{\text{obs}}$ ) to the predicted runoff coefficients  $C_L$  and  $C_{\text{mod}}$ . Prediction performances are compared by computing the following statistics:

$$\begin{aligned} \text{ME} &= \frac{\sum_{i=1}^N \text{err}_i}{N} \\ \text{MAE} &= \frac{\sum_{i=1}^N |\text{err}_i|}{N} \\ \text{RMSE} &= \sqrt{\frac{\sum_{i=1}^N (\text{err}_i)^2}{N}} \end{aligned} \quad (6)$$

where  $N$  is the total number of catchment data and  $err_j$  represents the deviation between the observed and the predicted runoff coefficients,  $err_j = (C_{obs} - C_{mod})$  or  $err_j = (C_{obs} - C_L)$ . As illustrated in Table 10, on average there is a slight improvement of the predicted runoff coefficient. However, a significant bias correction is gained with  $C_{mod}$  particularly in smaller catchments, which are those characterized by higher forest cover fraction. This aspect is illustrated in Fig. 10, which shows how the bias correction reduces as the catchment concentration time decreases.

Further improvements (regression line in Fig. 9  $C_{mod} = 0.978 C_{obs}$   $R^2 = 0.8659$ ) can be obtained if different  $V_{bi}$  values are calibrated for catchment clusters with respect to  $t_c$ :

- $V_{b1} = 15.4$  mm for CL-1 fixed( $t_c$ );
- $V_{b2} = 9.6$  mm for CL-2 fixed( $t_c$ );
- $V_{b3} = 4.4$  mm for CL-3 fixed( $t_c$ ).

The result that the calibrated  $V_{bi}$  values decrease for increasing value range of  $t_c$  is consistent with the observation that the effect of forest cover decreases in larger catchments, i.e. in catchments characterized by larger concentration time, where forest cover is more fragmented and the flood response is dominated by other hydrological and hydraulic processes (e.g. Bathurst et al., 2011).

## 5 Conclusions

The analysis of data from 75 Italian catchments evidenced that the role of forest cover is not negligible when predicting flood peak with rational formula.

We evaluated to what extent the runoff coefficient estimated from the catchment lithology only, can be improved by including the forest cover fraction as predictor. The dependency of the runoff coefficient from the forest cover can be isolated within clusters

## Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



of catchments exhibiting similarities with respect to those hydro-morphological parameters contributing to the prediction of the flood peak within the rational formula. In fact, given the high cross-correlation among forest cover and the other hydro-morphological parameters, the effect of forest cover might be masked or indirectly included in other parameters.

We suggest a new simple conceptual model for estimating catchment runoff coefficient by accounting for the effect of the forest cover. The model conceptualises the effect of the forest cover as an additional storage capacity. As the forest abstraction capacity is scaled by design rainfall depth employed in the rational formula,  $h_{c,T}$ , the effect of this storage capacity or the concentration time  $t_c$  tends to decrease as the storm frequency decreases.

This study focused the analysis to rainfall and discharge data with a reference return period of twenty years. Further investigations should be devoted to understanding the dependency of the runoff coefficient from the return period and as forest cover effect reduces with increasing return periods. Also, in this study we did not account for the spatial cross correlation between lithology and forest cover, as is possible that forest cover is more frequent within assigned lithological classes. This would be help for better isolating the forest cover fraction on the flood peak. Eventually, it is also interesting to explore weather different values of the forest capacity  $V_b$  could be calibrated for different forest formations or for different climatic indices, accordingly to Fiorentino and Iacobellis (2001).

*Acknowledgements.* This research was supported by the following Projects: Interreg IIIB Medocc “BVM Bassins Versants Mediterraneens” and PRIN-MIUR (Italian Ministry for University and Research) “Sistemi di monitoraggio e modelli per lo studio dei processi di eco-idrologia a diverse scale spazio-temporali”.

Our special thanks to: Regione Lazio, Accademia Italiana di Scienze Forestali, A. Dani and M. Barneschi, PhD at University of Florence (ex D.I.A.F., now D.E.I.S.T.A.F.).

## Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## References

- Agnoletti, M.: Le Sistemazioni Idraulico-Forestali dei bacini montani dall'unità d'Italia alla metà del XX secolo. Disboscamento montano e politiche territoriali, edited by: Lazzarini A., Franco Angeli, 2002.
- 5 Alila, Y., Kuras, P. K., Schnorbus M., and Hudson, R.: Forests and floods: a new paradigm sheds light on age-old controversies, *Water Resour. Res.*, 45, W08416, doi:10.1029/2008WR007207, 2009.
- Benini, G.: Sistemazioni Idraulico Forestali. UTET , 1990 (in Italian).
- Birtone, M., Ferro, V., and Pomilla, S.: Contributo alla applicazione del modello cinematico per i piccoli bacini siciliani, *Italia Forestale Montana*, 6, 505–518, 2008 (in Italian).
- 10 Bosch, J. M. and Hewlett, J. D.: A review of catchment experiments to determine the effect of vegetation changes on water yield and evapotranspiration, *J. Hydrol.*, 55, 3–23, 1982.
- Bruijnzeel, L. A.: Hydrology of moist tropical forests and effects of conversion: a state of knowledge review. Free University, Amsterdam, 224 pp., 1990.
- 15 Bruijnzeel, L. A.: Hydrological functions of tropical trees: not seeing the soil for the trees? *Agr. Ecosyst. Environ.*, 104, 185–228, 2004.
- Calder, I. R.: Blue revolution II, integrated land & water resources management, London: Earthscan, 2005.
- Calder, I. R.: Forests and water – ensuring forest benefits outweigh water costs, *Forest Ecol. Manag.*, 251, 110–120, doi:10.1016/j.foreco.2007.06.015, 2007.
- 20 Calenda, G., Di Malta, L., Mancini, C., and Ubertini, L.: Distribuzione di probabilità dei colmi di piena del Tevere a Roma, *L'Acqua*, 3, 13–22, 1997 (in Italian).
- Calenda, G., Mancini, C. P., Cappelli, A., Gaudenti, R., and Lastoria, B.: Studi per l'aggiornamento del piano stralcio per l'assetto idrogeologico, *Relazione Tecnica – Rapporto finale*, 2003.
- 25 Cognard-Plancq, A., Marc, V., Didon-Lescot, J., and Normand, M.: The role of forest cover on streamflow down sub-Mediterranean mountain watersheds: a modelling approach, *J. Hydrol.*, 254, 229–243, 2001.
- Cornish, P. M.: The effects of logging and forest regeneration on water yields in a moist eucalypt forest in New South Wales, *J. Hydrol.*, 242, 43–63, 1993.
- 30 Cosandey, C., Vazken, A., Martin, C., Didon-Lescot, J. F., Lavabre, J., Folton, N., Mathys, N., and Didier, R.: The hydrological impact of the mediterranean forest: a review of French

## Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



- research, *J. Hydrol.*, 301(4), 235–249, doi:10.1016/j.jhydrol.2004.06.040, 2005.
- Cunanne, C.: Methods and merits of regional flood frequency analysis, *J. Hydrol.*, 100, 269–290, 1988.
- Di Stefano, C. and Ferro, V.: Contributo alla applicazione della formula razionale in termini probabilistici per i piccoli bacini siciliani, *Quaderni di Idronomia Montana*, 27, 389–399, 2007 (in Italian).
- Fahey, B. D. and Jackson, R. J.: Environmental effects of forestry at Big Bush forest, South Island, New Zealand, I: changes in water chemistry, *J. Hydrol. New Zealand*, 36, 43–71, 1997.
- FAO: Conservation in arid and semi-arid zones, *FAO Conservation Guide*, 3, 1976.
- FAO and CIFOR: Forests and floods: drowning in fiction or thriving on facts?. RAP Publication 2005/03, Bangkok, Thailand, FAO Regional Office for Asia and the Pacific, 2005.
- Ferro, V.: La sistemazione dei bacini idrografici. McGraw Hill, 2006 (in Italian).
- Ferro, V. and Porto, P.: Flood frequency analysis for Sicily (South Italy), *J. Hydrol. Eng., ASCE*, 11, 110–122, 2006.
- Fiorentino, M. and Iacobellis, V.: New insights about the climatic and geologic control on the probability distribution of floods, *Water Resour. Res.*, 37, 721–730, 2001.
- Gupta, V. K. and Waymire, E.: Multiscaling properties of spatial rainfall and river flow distributions, *J. Geophys. Res.*, 95, 1999–2009, 1990.
- Hamilton, L.: Forests and water. Thematic study for the global forest resources assessment, Rome, FAO, 2005.
- Mazzoleni, S., Di Pasquale, G., and Mulligan, M.: Conclusion: Reversing the Consensus on Mediterranean Desertification, in: *Recent Dynamics of Mediterranean Vegetation Landscape*, edited by: Mazzoleni S., Di Pasquale, G., Mulligan, M., Di Martino, P. and Rego, F., Wiley, London, 281–286, 2004.
- Moore, R. D. and Wondzell, S. M.: Physical hydrology and the effects of forest harvesting in the Pacific Northwest: a review, *J. Am. Water Resour. As.*, 41, 763–784, 2005.
- Preti, F.: Portata indice e fattori di crescita per la regionalizzazione delle portate al colmo in Toscana. *Atti del XXIX Convegno di Idraulica e Costruzioni Idrauliche*, Trento, 2004 (in Italian).
- Preti, F., Settesoldi, D., Mazzanti, B., and Paris, E.: Criteri e procedure per la valutazione delle piene nel territorio toscano. *Atti del XXV Convegno di Idraulica e Costruzioni Idrauliche*, Torino, 1996, CNR-GNDICI, 317–328, 1996 (in Italian).

---

**Forest cover  
influence on flood  
assessment**F. Preti et al.

---

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Rasulo, G., Del Giudice, G., and Calcaterra, D.: Stima della portata indice. Legame tra il coefficiente d'afflusso e la caratterizzazione dei suoli secondo il SCS, L'Acqua 2/2009, 37–44, 2009 (in Italian).

Regione Toscana, 2007, Aggiornamento e sviluppo del del sistema di regionalizzazione delle portate di piena in Toscana – AITo, [http://www.rete.toscana.it/sett/pta/suolo/difesa\\_suolo/alto/index.htm](http://www.rete.toscana.it/sett/pta/suolo/difesa_suolo/alto/index.htm), 2007 (in Italian).

Robinson, M.: Small catchment studies of man's impact on flood flows: agricultural drainage and plantation forestry, FRIENDS in Hydrology, IAHS Publ., 187, 299–308, 1989.

Robinson, M., Cognard-Plancq, A.-L., Cosandey, C., David, J., Durand, P., Fürer, H.-W., Hall, R., Hendriques, M. O., Marc, V., McCarthy, R., McDonnell, M., Martin, C., Nisbet, T., O'Dea, P., Rodgers, M., and Zollner, A.: Studies of the impact of forests on peak flows and baseflows: a European prospective, Forest Ecol Manag, 186, 85–97, 2003.

Rowe, L. K. M. and Pearce, A. J., Hydrology and related changes after harvesting native forest catchments and establishing Pinus radiata plantations, Part 2 the native forest water balance and changes in streamflow after harvesting, Hydrol Proc, 8, 281–297, 1994.

Sorriso-Valvo, M., Bryan, R. B., Yair, A., Iovino F., and Antronico, L.: Impact of afforestation on hydrological response and sediment production in a small Calabrian catchment, Catena , 25, 89–104, 1995.

Stednick, J. D.: Monitoring the effects of timber harvest on annual water yield, J. Hydrol., 176, 79–95, 1996.

Van Dijk, A. I. J. M. and Keenan, R.: Planted forests and water in perspective, Forest Ecol. Manag., 251, 1–9, doi:10.1016/j.foreco.2007.06.010, 2007.

## Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





## Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Table 1.** Mean ( $\mu$ ) and standard deviation (*std*) of the hydro-morphological variables for the examined catchments.

	A (km <sup>2</sup> )	Z <sub>m</sub> (m a.s.l.)	t <sub>c</sub> (h)	h <sub>c</sub> (mm)	S <sub>p</sub> (%)
$\mu$	712	383	9.2	76.5	25.5
<i>std</i>	1129	177	7.2	25.4	29.6

## Forest cover influence on flood assessment

F. Preti et al.

**Table 2.** Spearman rank ( $r_k$ ) correlation and corresponding  $p$ -values ( $p$ ) among the hydro-morphological variables for the examined catchments.

$r_k \backslash p$	$A$	$Z_m$	$t_c$	$h_c$	$S_p$	$\Delta C$
$A$	1	0.003	0	0	0.048	0.216
$Z_m$	0.332	1	0.230	0.435	0.113	0.076
$t_c$	0.968	0.14	1	0	0.068	0.115
$h_c$	0.731	0.091	0.744	1	0.410	0.430
$S_p$	0.228	0.184	0.211	0.096	1	0.394
$\Delta C$	0.144	-0.205	0.183	0.092	0.099	1

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Forest cover influence on flood assessment

F. Preti et al.

**Table 3.**  $Sh$  values for different clustering levels. In bold  $Sh$  threshold value above which further clustering is not acceptable.

	$Sh(CL2)$	$Sh(CL3)$	$Sh(CL4)$	$Sh(CL5)$
$A$	<b>0.906</b>	0.874	0.79	0.785
$Z_m$	0.754	<b>0.756</b>	0.747	0.729
$t_c$	0.754	<b>0.812</b>	0.71	0.748
$h_c$	0.747	<b>0.77</b>	0.719	0.706
$S_p$	0.835	<b>0.838</b>	0.81	0.795
$HP$	<b>0.9</b>	0.858	0.708	0.569

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Forest cover influence on flood assessment

F. Preti et al.

**Table 4.** Catchment clustering based on the analysis of single parameters. Statistics of the parameter values in each cluster: mean  $\mu$ , standard deviation ( $std$ ) and number of samples ( $n$ ).

	$\mu(CL1)$	$std(CL1)$	$n(CL1)$	$\mu(CL2)$	$std(CL2)$	$n(CL2)$	$\mu(CL3)$	$std(CL3)$	$n(CL3)$
$A$	299.98	322.58	64	3114.4	1161.2	11	–	–	–
$Z_m$	735.56	67.082	10	256	61.475	42	463.11	57.115	23
$t_c$	3.858	1.571	40	11.965	2.611	25	23.616	4.173	10
$h_c$	33.576	5.295	11	66.993	8.784	31	99.767	11.254	33
$S_p$	87.461	11.176	8	46.441	11.127	21	5.221	7.634	46

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[⏪](#)
[⏩](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)




## Forest cover influence on flood assessment

F. Preti et al.

**Table 6.** Value ranges of the hydro-morphological parameters within each selected cluster.

	CL1		CL2		CL3	
	Min	Max	Min	Max	Min	Max
$A$	15.584	980	1921.1	5469.9	–	–
$Z_m$	637	813	154.77	346.32	375.47	561.72
$t_c$	1.515	6.47	8.597	16.776	18.65	31.416
$h_c$	24.848	39.617	52.582	80.232	87.06	123.09
$S_p$	69.801	99.989	27.731	60.806	0.01	22.491

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





## Forest cover influence on flood assessment

F. Preti et al.

**Table 8.** Spearman’s rank correlation  $S_b-\Delta C$  and corresponding  $p$ -values, within each cluster for a given parameter set. In bold those with  $p$ -values below 0.05.

Parameter set	CL1	CL2	CL3	CL1	CL2	CL3
	$r_k$			$p$		
$A$	<b>-0.36</b>	-0.29		<b>0.003</b>	0.386	
$Z_m$	0.078	-0.233	<b>-0.466</b>	0.838	0.136	<b>0.026</b>
$t_c$	-0.285	<b>-0.403</b>	-0.066	0.074	<b>0.046</b>	0.864
$h_c$	-0.318	<b>-0.636</b>	-0.203	0.341	<b>0.000</b>	0.255
$S_p$	0.19	-0.271	<b>-0.474</b>	0.664	0.233	<b>0.000</b>
$HP$	-0.052	-0.201		0.774	0.196	

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





## Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

◀ ▶

◀ ▶

Back Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Table 9.** Results of linear regression analysis: slope ( $m$ ) and intercept ( $q$ ) of the regression lines; sum of squared errors (SSE); coefficient of determination ( $R^2$ ), coefficient of determination adjusted for the number of predictors ( $adjR^2$ ) and root mean square error (RMSE).

		$m$	$q$	SSE	$R^2$	$adjR^2$	RMSE	$\tau$
<i>Lrtot</i>	<i>CL-1 fixed(A)</i>	-0.004	-0.01	13.822	0.065	0.05	0.472	0.002
	<i>CL-3 fixed(Z<sub>m</sub>)</i>	-0.007	0.297	4.036	0.198	0.16	0.438	0.018
	<i>CL-2 fixed(t<sub>c</sub>)</i>	-0.007	0.218	3.384	0.116	0.078	0.383	0.071
	<i>CL-2 fixed(h<sub>c</sub>)</i>	-0.01	0.342	5.809	<b>0.291</b>	0.267	0.447	0
	<i>CL-3 fixed(r)</i>	-0.01	0.312	8.649	<b>0.223</b>	0.207	0.428	0
	<i>CL-3 fixed(S<sub>p</sub>)</i>	-0.003	-0.035	5.564	0.087	0.066	0.355	0
<i>Lrinf</i>	<i>CL-1 fixed(A)</i>	-0.003	-0.123	4.136	0.086	0.055	0.371	0.039
	<i>CL-3 fixed(Z<sub>m</sub>)</i>	-0.011	0.482	1.682	<b>0.452</b>	0.397	0.41	0.013
	<i>CL-2 fixed(t<sub>c</sub>)</i>	-0.006	0.224	2.162	0.091	0.015	0.424	0.192
	<i>CL-2 fixed(h<sub>c</sub>)</i>	-0.009	0.326	1.251	<b>0.496</b>	0.46	0.298	0.041
	<i>CL-3 fixed(r)</i>	-0.009	0.254	2.006	<b>0.227</b>	0.194	0.295	0.025
	<i>CL-3 fixed(S<sub>p</sub>)</i>	-0.008	0.325	0.737	<b>0.557</b>	0.536	0.187	0.001
<i>Lrsup</i>	<i>CL-1 fixed(A)</i>	-0.007	0.22	9.288	0.079	0.049	0.556	0.082
	<i>CL-3 fixed(Z<sub>m</sub>)</i>	-0.002	0.02	1.885	0.015	-0.093	0.457	0.282
	<i>CL-2 fixed(t<sub>c</sub>)</i>	-0.007	0.175	1.124	0.121	0.023	0.353	0.282
	<i>CL-2 fixed(h<sub>c</sub>)</i>	-0.012	0.411	4.454	<b>0.218</b>	0.158	0.585	0.027
	<i>CL-3 fixed(r)</i>	-0.01	0.383	6.594	<b>0.224</b>	0.188	0.547	0.002
	<i>CL-3 fixed(S<sub>p</sub>)</i>	-0.001	-0.173	4.384	0.009	-0.037	0.456	0.101



## Forest cover influence on flood assessment

F. Preti et al.

**Table 10.** Performance statistics of the predicted runoff coefficients by exploiting: the catchment lithology only ( $C_L$ ); both the catchment lithology and the forest cover ( $C_{\text{mod}}$ ).

Predicted values	<i>Bias</i>	RMSE	ABIAS	$(\text{err}_i)_{\min}$	$(\text{err}_i)_{\max}$
$C_L$	-0.08	0.16	0.13	-0.38	0.20
$C_{\text{mod}} = C_L - \frac{V_b S_b}{h_{c,T}}$	0.01	0.10	0.08	-0.25	0.24

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)




**Fig. 1.** Italian regions where the outlets of the 75 study catchments are located; from South (dark grey) to North: Sicily (12 catchments) , Campania (12 catchments), Latium (17 catchments), Tuscany (34 catchments).

## Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

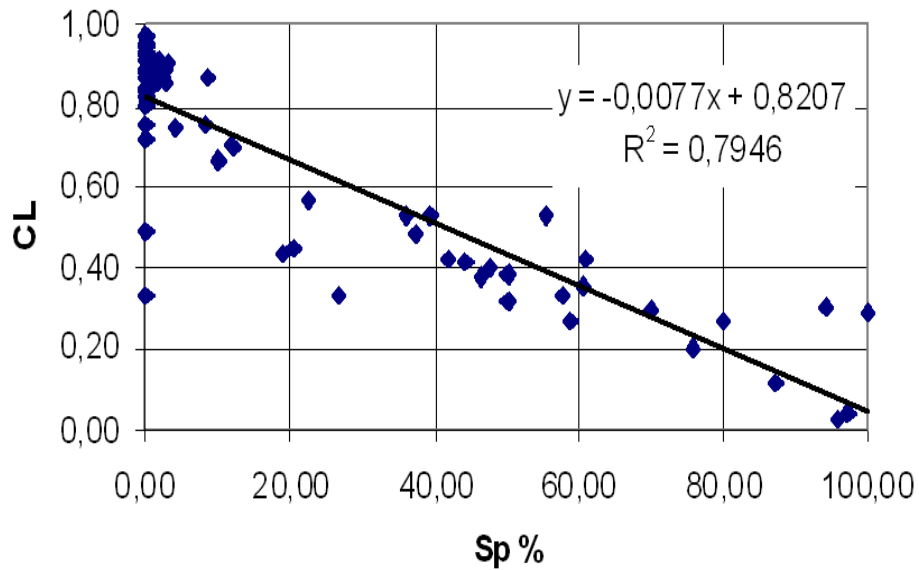
Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





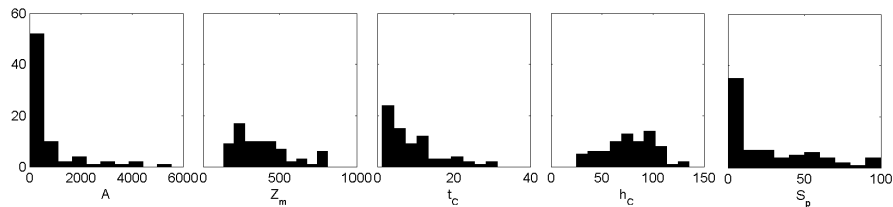
**Fig. 2.** Relation between  $C_L$  and Sp (%).

## Forest cover influence on flood assessment

F. Preti et al.

- [Title Page](#)
- [Abstract](#)   [Introduction](#)
- [Conclusions](#)   [References](#)
- [Tables](#)   [Figures](#)
- [◀](#)   [▶](#)
- [◀](#)   [▶](#)
- [Back](#)   [Close](#)
- [Full Screen / Esc](#)
- [Printer-friendly Version](#)
- [Interactive Discussion](#)





**Fig. 3.** Histograms of the hydro-morphological parameters: catchment extent ( $A$ ,  $\text{km}^2$ ); elevation above catchment outlet ( $Z_m$ ); concentration time ( $t_c$ , hours); maximum annual rainfall depth within a time interval equal to  $t_c$  and a return period of 20 yr ( $h_c$ , mm); catchment fraction with highly permeable lithoid complexes ( $S_p$ , %).

**Forest cover influence on flood assessment**

F. Preti et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

◀ ▶

◀ ▶

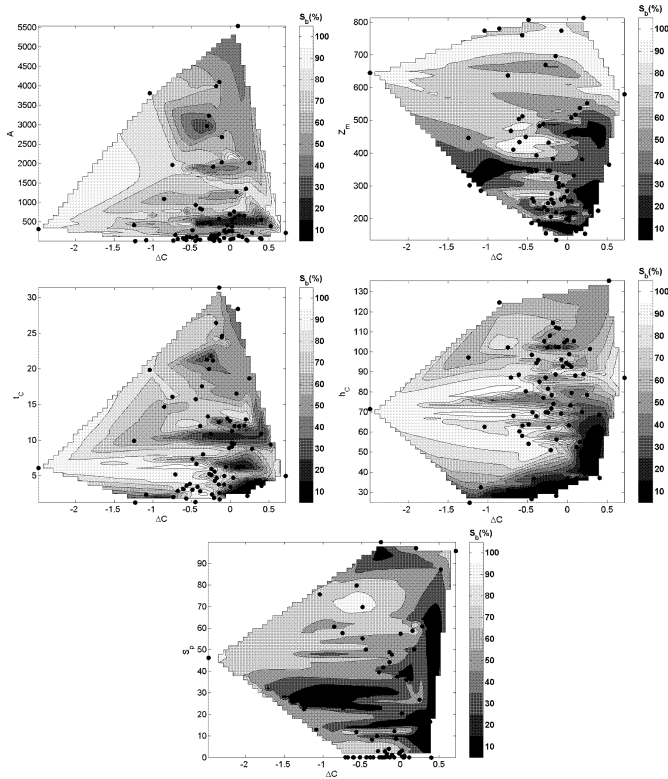
Back Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





**Fig. 4.** Contour maps of  $S_b$  with respect to  $\Delta C$  for different hydro-morphological variables. Black dots indicate the observed values.

## Forest cover influence on flood assessment

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

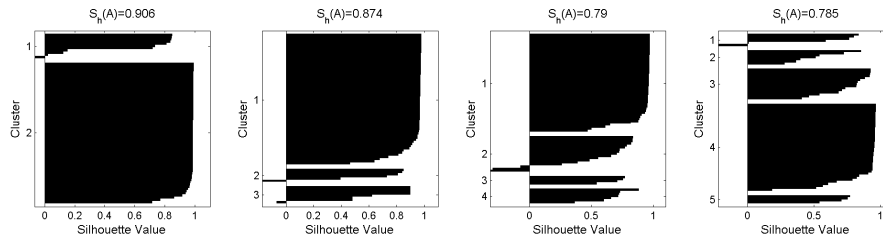
Printer-friendly Version

Interactive Discussion



## Forest cover influence on flood assessment

F. Preti et al.



**Fig. 5.** Example of silhouette plots for cluster analysis.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

◀ ▶

◀ ▶

Back Close

Full Screen / Esc

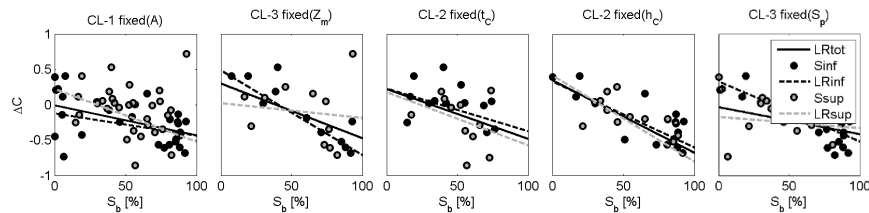
Printer-friendly Version

Interactive Discussion



## Forest cover influence on flood assessment

F. Preti et al.



**Fig. 6.** Regression lines between  $\Delta C$  and forest cover fraction for selected clusters of the study catchments: (i) including all ( $S_b$ ,  $\Delta C$ ) couples belonging to the examined cluster (LRtot); (ii) including ( $S_b$ ,  $\Delta C$ ) couples which values of the corresponding hydro-morphological parameters are below its intra-cluster average (LRinf); (iii) including ( $S_b$ ,  $\Delta C$ ) couples which values of the corresponding hydro-morphological parameters are above its intra-cluster average (LRsup).

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

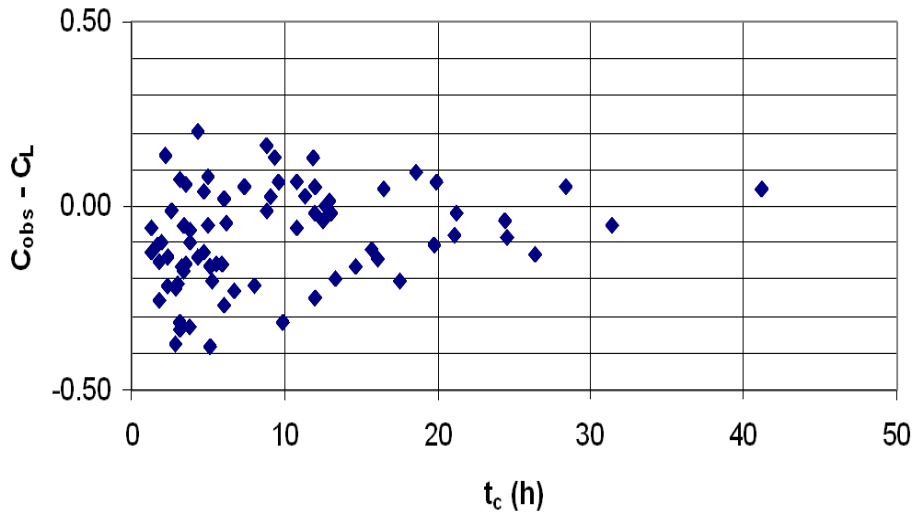
Full Screen / Esc

Printer-friendly Version

Interactive Discussion







**Fig. 7.** Differences between  $C_{obs}$  and  $C_L$  versus the catchment concentration time.

**Forest cover influence on flood assessment**

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

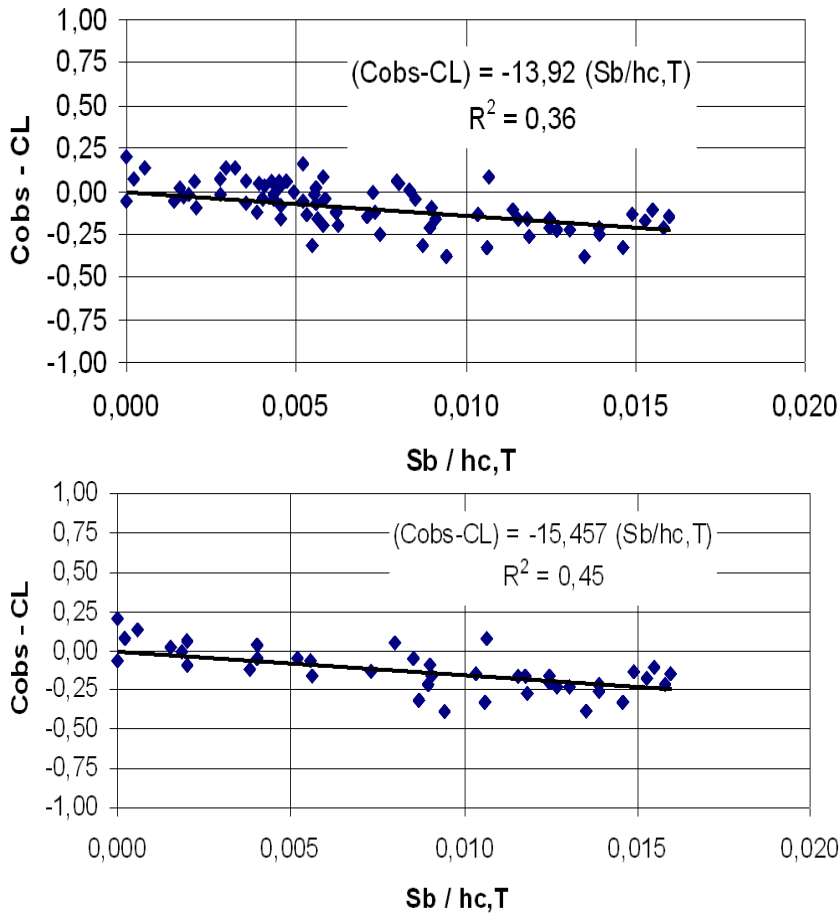
Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

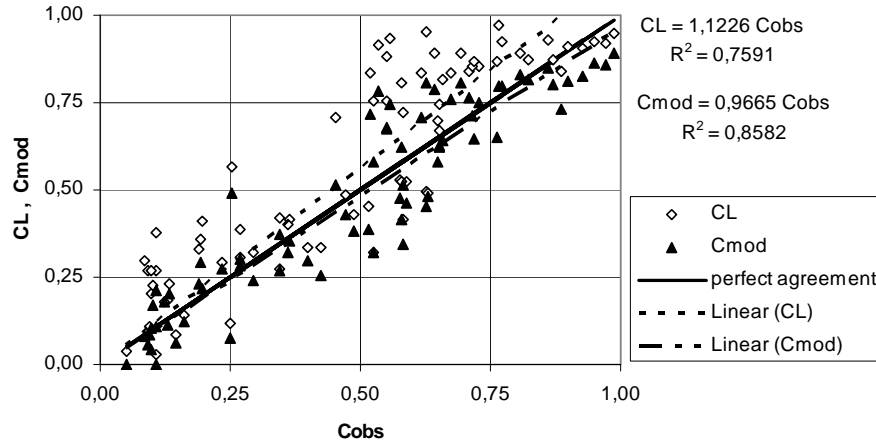




**Fig. 8.** (a)  $C_{obs} - CL$  versus  $S_b / h_{c,T}$  ( $mm^{-1}$ ) considering all catchments; (b)  $C_{obs} - CL$  versus  $S_b / h_{c,T}$  ( $mm^{-1}$ ) restricted to CL-1 fixed(tc).

## Forest cover influence on flood assessment

F. Preti et al.



**Fig. 9.** Comparison between observed and predicted runoff coefficient: open circles, (Cobs, C<sub>L</sub>); filled circles, (Cobs, C<sub>mod</sub> = C<sub>L</sub> -  $\frac{V_b S_b}{h_{c,T}}$ )

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

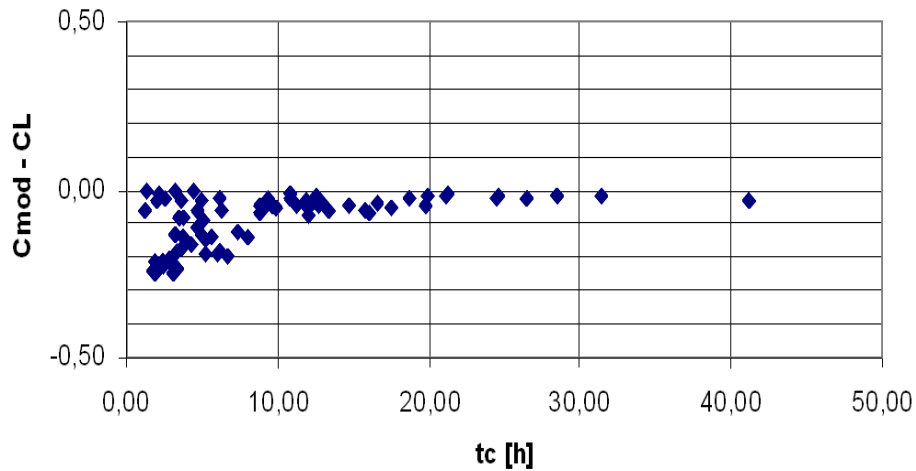
Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





**Fig. 10.** Differences between  $C_{mod}$  and  $C_L$  versus the catchment concentration time.

**Forest cover influence on flood assessment**

F. Preti et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

