

This discussion paper is/has been under review for the journal Hydrology and Earth System Sciences (HESS). Please refer to the corresponding final paper in HESS if available.

Water harvest- and storage- location assessment model using GIS and remote sensing

H. Weerasinghe^{1,2,3}, U. A. Schneider¹, and A. Löw³

¹Research Unit Sustainability and Global Change, University of Hamburg, Hamburg, Germany

²International Max Planck Research School on Earth System Modelling, Hamburg, Germany

³Max Planck Institute for Meteorology, KlimaCampus, Hamburg, Germany

Received: 15 March 2011 – Accepted: 18 March 2011 – Published: 4 April 2011

Correspondence to: H. Weerasinghe (harshi.weerasinghe@zmaw.de)

Published by Copernicus Publications on behalf of the European Geosciences Union.

3353

Abstract

This study describes a globally applicable method to determine the local suitability to implement water supply management strategies within the context of a river catchment. We apply this method, and develop a spatial analysis model named Geographic Water Management Potential (GWAMP). We retrieve input data from global data repositories and rescale these data to 1km spatial resolution to obtain a set of manageable input data. Potential runoff is calculated as an intermediate input using the Soil Conservation Service Curve Number (SCS-CN) equation. Multi Criteria Evaluation techniques are used to determine the suitability levels and relative importance of input parameters for water supply management. Accordingly, the model identifies, potential water harvesting- and storage sites for on-farm water storage, regional dams, and soil moisture conservation.

We apply the model to two case-study locations, the Sao-Francisco and Nile catchments, which differ in their geographic and climatic conditions. The model results are validated against existing data on hydrologic networks, reservoir capacities and runoff. On average, GWAMP predictions of sites with high rain water storage suitability correlate well (83%) with the locations of existing regional dams and farm tanks. According to the results from testing and validation of the GWAMP we point out that the GWAMP can be used identify potential sites for rain water harvesting and storage technologies in a given catchment.

1 Introduction

Fresh water resources are often not efficiently used and regulated (Seckler, Barker et al., 1999; Ambast, Keshari et al., 2002). This paper contributes for a better understanding of water supply management options for mitigation of and adaptation to fresh water scarcity. In this study, we develop the first component for an integrated water management assessment framework. This framework combines (i) geographic

3354

analysis to capture the high spatial diversity of natural conditions, (ii) engineering analysis to depict possible harvesting, storage, and transportation options for fresh water and alternative irrigation systems, and (iii) economic analysis to determine the cost-efficient water management over time. The component presented here relates to the geographic analysis and involves a spatially explicit analysis model, hereafter referred to as Geographic Water Management Potential (GWAMP) model.

Most existing geographic decision support systems to delineate rain water harvesting potential use location specific input data in vector format (for example; Gupta, Deelstra et al., 1997) and, therefore face difficulties in integrating grid based Global Climate Model (GCM) simulations. Here, we develop a more compatible system using globally available input data in raster (grid) format to facilitate the integration of GCM simulations and other global model outputs. For example, input parameters such as average monthly precipitation can be readily replaced with data from GCM simulations. This compatibility is an important feature for the assessment of adaptation and mitigation strategies under changing climate. In addition, our approach offers a relatively fast, preliminary site selection for water infrastructure development and avoids the time-consuming manual location search.

Geographic information systems (GIS) techniques are increasingly used for planning, development, and management of natural resources at regional, national, and international level. They have been applied for the assessment of several water related environmental challenges such as soil erosion, degradation of land by water logging, ground and surface water contamination, and ecosystem changes (Jasrotia, Dhiman et al., 2002). Raes (1998) provides evidence for successful catchment management including reservoir system management, irrigation scheduling and risk management. Sharada, Kumar et al. (1993) studied the application of GIS in entire catchments for site prioritization with respect to soil conservation. The Soil Conservation Services-Curve Number (SCS-CN) method has been used and validated in determining the rainfall-runoff relationship (Jain, Das et al., 1996; Boughton, 1989; Hariprasad, 1997). The study by Sharada, Kumar et al. (1993) describes a composite map generation with

3355

geo-databases and the calculation of area statistics are prepared much faster and accurate. Ross (1993) integrated GIS into hydrologic modelling and found that it reduces the modeler's subjectivity in parameter selection.

In GWAMP, we consider the entire catchment as the appropriate spatial scope for water resource planning, development and management. And, we apply GIS techniques to identify and analyze water harvesting and storage potentials. We illustrate and validate the GWAMP assessment tool with the Sao-Francisco and Nile catchments. The water runoff is calculated using the SCS-CN method.

In presenting the methods and results of our study, we proceed as follows: Sect. 2 provides details on the GWAMP model structure. Section 3 contains background information on the watersheds for the two case studies. Section 4 summarizes the case study results and concludes.

2 Methodology

2.1 Geographic Water Management Potential Assessment (GWAMP)

The GWAMP model framework (Fig. 1) is built based on GIS technology, including three components: data input, data processing and model outputs. The first component loads and prepares the necessary input data. The data processing component applies defined functions to all grid cells and identifies the suitability for rain water harvesting and storage technologies. The output component provides suitable locations for different rain water harvesting and storage techniques. The rain water harvesting technologies considered here include moisture conservation techniques such as check dams, percolation pits, and stone terraces on agricultural farms or nearby. Water storage technologies include regional reservoirs and smaller scale farm tanks in agricultural areas.

3356

3.1 Sao-Francisco catchment

The Sao-Francisco catchment is entirely located in Brazil. It covers 629 885 km² (Maneta, Torres et al., 2009) and is drained by the Sao-Francisco River and its tributaries. The river flows from south to north along 2860 km (Braga and Lotufo, 2008), crossing diverse climatic regions. The amount of rainfall varies from the wetter south receiving annual average rainfall of about 1400 mm to the drier north receiving only 600 mm. The terrain of the southern area is composed of steep rocky hills, with slopes ranging from 18% to 45% at altitudes between 227 and 1849 m a.s.l. The Northwestern and Southern areas contain high mountains. At medium altitudes, grasslands are common especially towards the western boundary of the catchment. Towards the Northeastern boundary, low lying pediplanes can be observed. Hard rock terrains are found in the western part of the catchment. The entire catchment is characterized by a few major vegetation types including croplands, shrublands, and riverine vegetation. Open shrublands and grasslands dominate the hilly slopes of the study area whereas the cultivated croplands dominate the lowlands. Most of the agricultural lands are used for crop and livestock farming. Cereals are usually grown as a sole crop or mixed/intercropped with legumes. In addition, several fruits are cultivated throughout the catchment. Dominant agricultural crops are maize, beans, green grams, bananas, sugarcane and vegetables. Current livestock farming involves cattle, goats, sheep and chicken. Only a few large scale irrigation systems exist in the catchment. Trees Matias (19.53 km³) and Juazeiro (4.25 km³) are two large dams constructed in the catchment (Maneta, Torres et al., 2009).

3.2 Nile catchment

The Nile is the longest river in the world, stretching north for approximately 6850 km from East Africa to the Mediterranean. However, only 20% of the entire catchment area contributes water to the river. With an area of 3 million km², the Nile catchment spreads over 10 countries and covers approximately 10% of the African continent.

3363

Most of the downstream area are located in arid or semiarid climate with little water flow contribution but large evaporation losses (Karyabwite, 2000). Most of the regions in the catchment are influenced by the north-east trade winds between October and May, which cause the prevailing aridity in most of the basin. Tropical climates with well-distributed rainfall are found in parts of the East African lakes region and south-western Ethiopia. Similar climatic conditions prevail over the extreme southern parts of Sudan which receive about 1270 mm of rain over a nine-month period from March to November. The maximum rainfall usually occurs in August. The Sudanese and Egyptian parts of the Nile basin experience rainless periods during the northern winter. However, during the northern summer, the southern parts and highlands of Ethiopia incur heavy rain, usually above 1500 mm. The Nile basin contains two mountainous plateaus. The Equatorial or Lake Plateau in the southern part of the Nile basin is situated between the two branches of the Great Rift. It is at an altitude between 1000 and 2000 m but with peaks of 5100 and 4300 m. This plateau contains the lakes Victoria, George, Edward (Mobutu Sese Seko) and Albert, all of which are gently sloped towards north at an average rate of one meter for every 20 to 50 km distance. The Ethiopian or Abyssinian Plateau is located in the eastern part of the basin with peaks rising to 3500 m. Egyptians live primarily of agriculture. They cultivate corn, barley, beans, onions, garlic and lettuces. Every year, the rising of the Nile in August and September fertilizes the fields bordering the river. The major determinant of the Nile's water balance remains the agricultural sector. Farmers pump ground water to irrigate their crops during the dry season. The Nile Basin includes several lakes and artificial reservoirs. Lake Victoria is the biggest African lake functions with the Owen Falls Dam. The Jebel Aulia Dam, with a capacity of 3 km³, was built to improve the natural storage of the White Nile waters. The Roseries Dam was designed to increase irrigated agriculture and power generation in Northern Sudan. Lake Tana, with a surface area of 3673 km² is the largest lake of Ethiopia located in a depression of the northwest plateau about 1800 m a.s.l. The Khashm el Girba Dam was designed to provide alternative livelihood to 70 000 people displaced the rise of water level behind the High Aswan Dam. The Aswan High dam

4.2 Validation

Existing water management structures from the Sao-Francisco and Nile catchments can be used to test and validate the performance of GWAMP. Here, we test the parameterization used for developing the system on suitability levels and relative importance weights. Through validation, we assess the reliability of results by comparing them with existing dams and farm tanks. We employ two main strategies for the validation. As a first strategy, we calculate the percentage of overlap between the suitable area from the model results and the existing areas. The results are shown in Table 6. Most existing rain water storage technologies are found in areas classified by GWAMP as very high (54%) or high (30%) suitability. We only validate rain water storage techniques, because we did not find appropriate data for existing check dams, percolation pits, stone terraces or roaded catchments. The fact that most of predicted rain water storage technologies were found within the very high to moderately suitable classes and areas producing high runoff indicates that, the model can be used to predict potential sites for rain water harvesting and storage technologies.

As a second strategy, we consider the number of tributaries contributing to the selected locations for different water storage techniques. While the Nile catchment consists of tributaries up to six orders, the Sao Francisco catchment contains tributaries up to five orders.

Table 7 summarizes the percentage of tributaries contributing to different selected regions. We find that modelled dams are fed by higher rather than lower stream order tributaries which support the fact of locating the regional reservoirs in main rivers. On the other hand, farm tanks and percolation pits are fed by lower order streams proving the fact that they are in locations where water quantity can be managed easily.

3367

5 Conclusions

The application of GWAMP in the two case studies demonstrates its suitability to identify potential sites for rain water harvesting and storage. Furthermore, GWAMP can easily update suitability levels and weighted score of decision criteria on which the potential sites for rain water harvesting and storage are based. In addition, the information on identifying potential sites for rain water harvesting and storage has been used for the development and operation of water management programs. This study demonstrates the capabilities of using global data sets and Geographic Information Systems (GIS) in spatial analysis models.

Acknowledgements. We thank Hartmut Grassl for his comments and suggestions and International Max Planck institute for providing with necessary facilities for conducting the study.

The service charges for this open access publication have been covered by the Max Planck Society.

References

- Ambast, S., Keshari, A., and Gosain, A.: Satellite remote sensing to support management of irrigation systems: concepts and approaches, *Irrig. Drain.*, 1(51), 25–39, 2002.
- Ball, J.: Soil and water relationships, available at: <http://www.noble.org/ag/soils/soilwaterrelationships/index.htm> (last access: 20 February 2011), 2001.
- Boorman, D., Hollis, J., and Lilly, A.: Hydrology of soil types: a hydrologically based classification of the soils of the United Kingdom, IAHS Press, Wallingford, UK, IAHS Report, 126, 1995.
- Boughton, W. C.: A review of the USDA SCS curve number method, *Aust. J. Soil Res.*, 27, 511–523, doi:10.1071/SR9890511, 1989.
- Braga, B. and Lotufo, J.: Integrated river basin plan in practice: the Sao Francisco river basin, *Int. J. Water Resour. D.*, 24(1), 37–60, 2008.

3368

- FAO/IIASA/ISRIC/ISSCAS/JRC: Harmonized World Soil Database (version 1.1), FAO, Rome, Italy and IIASA, Laxenburg, Austria, 2009.
- FAO/UNEP/GEF: Land Use Systems of the World (beta version), FAO, Rome, Italy, 2008.
- FAO/UN: Effective Soil Depth (3.6 edition), FAO, Rome, Italy, 2007.
- 5 Gosschalk, E.: Reservoir engineering: guidelines for practice, Thomas Telford, London, UK, 2002.
- Gupta, K., Deelstra, J., and Sharma, K.: Estimation of water harvesting potential for a semi-arid area using GIS and remote sensing, IAHS Publications-Series of Proceedings and Reports-Intern Assoc Hydrological Sciences, 242(63), p. 63, 1997.
- 10 Handbook of Hydrology: Soil Conservation Department, Ministry of Agriculture, New Delhi, 1972.
- Hariprasad, V. and Chakraborti, A.: Management of small watersheds using hydrologic modeling and GIS, International Conf. on Remote Sensing and GIS/GPS, 1997.
- Hudson, N.: Soil and water conservation in semi-arid areas, Bernan Press (PA), 1987.
- 15 Jain, S., K. Das, and Singh, R.: GIS for estimation of direct runoff potential, J. Indian Water Resour. Soc., 2(1), 42–47, 1996.
- Jankowski, P.: Integrating geographical information systems and multiple criteria decision-making methods, Classics from IJGIS: twenty years of the International Journal of Geographical Information Science and Systems, 9(3), 265-296, 2006.
- 20 Janssen, R. and Rietveld, P.: Multicriteria analysis and geographical information systems: an application to agricultural land use in the Netherlands, Geoj. Lib., 129–139, 1990.
- Jarvis A., Reuter, H. I., Nelson, A., and Guevara, E.: Hole-filled seamless SRTM data V4, International Centre for Tropical Agriculture (CIAT), available at: <http://srtm.csi.cgiar.org> (last access: 20 February 2011), 2008.
- 25 Jasrotia, A., Dhiman, S., and Aggarwal, S.: Rainfall-runoff and soil erosion modeling using Remote Sensing and GIS technique – a case study of tons watershed, Journal of the Indian Society of Remote Sensing, 30(3), 167–180, 2002.
- Jasrotia, A., Majhi, A., and Singh, S.: Water Balance Approach for Rainwater Harvesting using Remote Sensing and GIS Techniques, Jammu Himalaya, India, Water Resour. Manag., 1–21, 2009.
- 30 Karyabwite, D.: Water Sharing in the Nile River Valley, Project GNV011: Using GIS/Remote Sensing for the sustainable use of natural resources, United Nations Environment Programme, Nairobi, 2000.

3369

- Lewis, B.: Farm dams: planning, construction and maintenance, Csiro, 2002.
- Maneta, M., Torres, M., Wallender, W., Vosti, S., Kirby, M., Bassoi, L., and Rodrigues, L.: Water demand and flows in the São Francisco River Basin (Brazil) with increased irrigation, Agr. Water Manage., 96, 1191–1200, doi:10.1016/j.agwat.2009.03.008, 2009.
- 5 Mbilinyi, B. P., Tumbo, S. D., Mahoo, H. F., Senkondo, E. M., and Hatibu, N.: Indigenous knowledge as decision support tool in rainwater harvesting, Phys. Chem. Earth, 30(11–16), 792–798, 2005.
- Mockus, V.: Estimation of direct Runoff from storm rainfall, National Engineering Handbook, Sect. 4, Chapter 10, Soil Conservation Service: Washington, DC, 1964.
- 10 Niehoff, D., Fritsch, U., and Bronstert, A.: Land-use impacts on storm-runoff generation: scenarios of land-use change and simulation of hydrological response in a meso-scale catchment in SW-Germany, J. Hydrol., 267(1–2), 80–93, 2002.
- Prinz, D.: Water harvesting-history, techniques and trends, Z. f. Bewaesserungswirtschaft, 31(1), 64–105, 1996.
- 15 Raes, D., Lemmens, H., Van Aelst, P., Vanden Bulcke, M., and Smith, M.: IRSIS – Irrigation scheduling information system, Manual, edited by: Leuven, K. U., Dep. Land Management, Reference Manual 3, 1, 199 pp., 1998.
- Reuter, H. I., Nelson, A., and Jarvis, A.: An evaluation of void-filling interpolation methods for SRTM data, Int. J. Geogr. Inf. Sci., 21(9), 983–1008, 2007.
- 20 Ross, M., and Tara, P.: Integrated hydrologic modeling with geographic information system, J. Water Res. Pl.-ASCE, 119(2), 129–140, doi:10.1061/(ASCE)0733-9496(1993)119:2(129), 1993.
- Saaty, T.: A scaling method for priorities in hierarchical structures, J. Math. Psychol., 15(3), 234–281, 1977.
- 25 SCS National Engineering Handbook: Sect. 4: Hydrology, Soil Conservation Service, USDA, Washington DC, 1956, 1964, 1971, 1985, 1993.
- Seckler, D., Barker, R., and Amarasinghe, U.: Water scarcity in the twenty-first century, Int. J. Water Resour. D., 15(1), 29–42, 1999.
- Sharada, D., Kumar, M., Venkataratnam, L., and Rao, T.: Watershed prioritisation for soil conservation – a GIS approach, Geocarto International, 8(1), 27–34, 1993.
- 30 Stanton, D.: Roaded catchments to improve reliability of farm dams, Bulletin 4460, Department of Agriculture and Food, Western Australia, 2005.

3370

3371

Table 1. Pair-wise comparison matrix for assessing the comparative importance of factors to rainwater harvesting (A) and storage (B) site selection.

(A)

	Runoff	LULC	Slope (%)	Soil type	Soil depth	Drainage
Runoff	1	9	9	9	9	9
LULC	1/9	1	7	1/5	1/3	1
Slope (%)	1/9	1/7	1	5	1	1
Soil type	1/9	5	1/5	1	1	1
Soil depth	1/9	3	1	1	1	1
Drainage	1/9	1	1	1	1	1

(B)

	Runoff	LULC	Slope (%)	Soil type	Soil depth	Drainage
Runoff	1	9	9	9	9	9
LULC	1/9	1	1	1/7	1	1
Slope (%)	1/9	5	1	1/7	5	5
Soil type	1/9	7	7	1	7	7
Soil depth	1/9	1	1	1/7	1	1
Drainage	1/9	1	1	1/7	1	1

3372

Table 2. CWI values for rain water harvesting and storage technologies.

Weight factor	Storage structures	Harvesting structures
Runoff (m ³)	0.545	0.450
LULC	0.114	0.032
Slope (%)	0.098	0.159
Soil type	0.098	0.285
Soil depth (cm)	0.084	0.032

3373

Table 3. SLI for different factors for identifying potential sites for dams/ reservoirs.

Factor	Suitability level				
	9	8-7	6-5	4-3	2-1
LULC	Shrubs and sparse vegetation	Bare lands and urban lands	Agriculture	Forestry and grasslands	Wetland and protected areas
Slope (%)	0-2	2-5	5-10	10-18	18-45
Soil type	Luvisols	Ferralsols/ Pheozems	Regosols/ Arenosols	Vertisols/ Acrisols	Cambisols/ Lithosols
Soil depth (cm)	100-150	100-150	100-150	150-300	< 100
Runoff (m ³ km ⁻²)	0-2.50	2.51-4.83	4.84-11.38	11.39-27.28	27.29-79.12

3374

Table 4. Main characteristics of hydrological soil groups.

Hydrological soil group	Main characteristics
A	Sand, loamy sand or sandy loam soils with low runoff potential and high infiltration rates.
B	Silt loam or loam soils with a moderate infiltration rates.
C	Sandy clay loam soils with low infiltration rates.
D	Clay loam, silty clay loam, sandy clay, silty clay or clay soils with very high runoff potential and low infiltration rates.

3375

Table 5. Potential suitable area for different rainwater harvesting and storage technologies.

Rain water harvest/ storage technology	Sao-Francisco	Nile
	% Area	
Regional dam/reservoir	31.24	8.87
Farm tanks	28.74	8.70
Percolation pits	12.10	3.49
Contour bunts	11.64	8.30
Stone terraces	3.45	4.10
Roaded catchments	12.83	1.32

3376

Table 6. Suitability of locations obtained using the GWAMP compared to the existing structures.

Observed water storage technology	Very High	High
Regional dams/reservoirs	43.65%	34.13%
Farm tanks	63.44%	25.07%
Average	53.54%	29.60%

3377

Table 7. Suitability of locations obtained using the GWAMP.

	Nile						Sao Francisco				
	1	2	3	4	5	6	1	2	3	4	5
Dam	29	38	42	11	9	44	73	64	64	64	82
Farm Tanks	17	8	5	2	2	3	72	15	7	2	4
percolation pits	29	16	6	7	1	4	71	16	8	3	5

3378

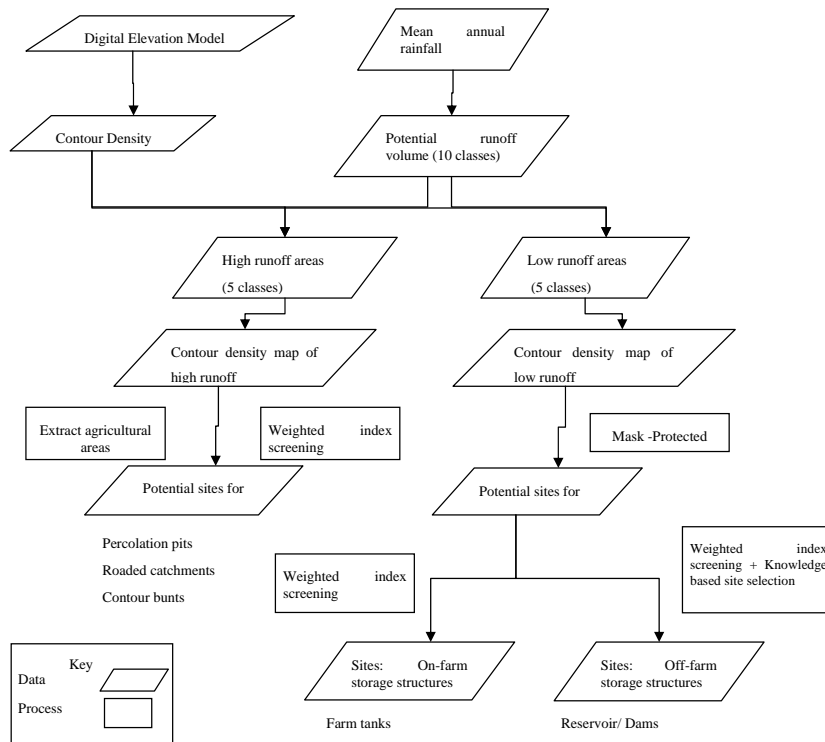


Fig. 1. Flow chart for identification of rain water harvesting and storage technologies.

3379

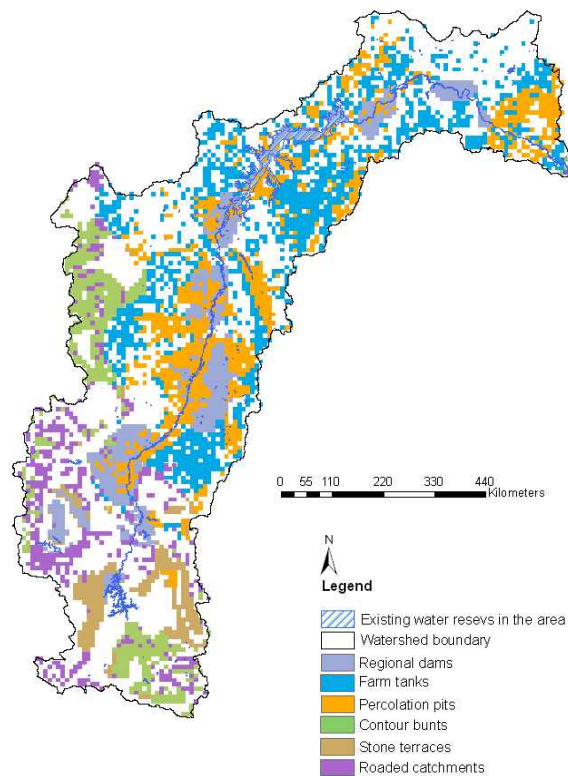


Fig. 2. Estimated suitable sites for rain water harvest and storage technologies in the Sao Francisco catchment.

3380

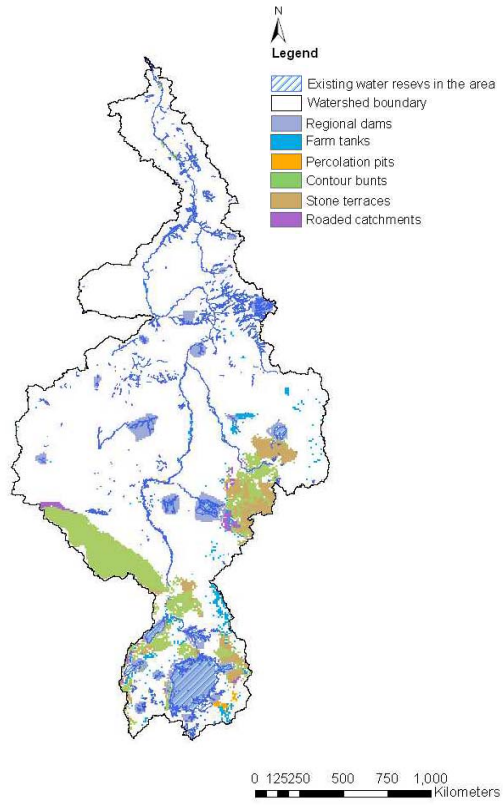


Fig. 3. Estimated suitable sites for rain water harvest and storage technologies in the Nile catchment.