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Regional flow duration curves for ungauged sites in Sicily

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Abstract

Flow duration curves are simple and powerful tools to deal with many hydrological and environmental problems related to water quality assessment, water-use assessment and water allocation. Unfortunately the scarcity of streamflow data enables the use of these instruments only for gauged basins. A regional model is developed here for estimating flow duration curves at ungauged basins in Sicily, Italy. Due to the complex ephemeral behaviour of the examined region, this study distinguishes dry periods, when flows are zero, from wet periods using a three parameters power law to describe the frequency distribution of flows. A large dataset of streamflows has been analysed and the parameters of flow duration curves have been derived for about fifty basins. Regional regression equations have been developed to derive flow duration curves starting from morphological basin characteristics.

1 Introduction

One of the most commonly used tools in hydrology is the flow duration curve (FDC), which provides a graphical representation of the frequency distribution of the complete flow regime of a catchment. Using the FDC, it is possible to estimate the percentage of time that a specified flow is equaled to or exceeded. This type of information is commonly used for resource assessments including hydropower design schemes, water supply, planning and design of irrigation systems and water quality assessment with applications to stream-pollution and the evaluation of river habitats. Vogel and Fennessey (1995) presented a comprehensive review of FDC applications in water resources planning and management.

There are two interpretations of FDC: the traditional is reported in literature as period-of-record FDC, while the second, introduced by Vogel and Fennessey (1994), refers to annual interpretation of FDC (AFDC). In the first approach (Smakhtin, 2001), FDC consists of the complement of the cumulative distribution function of the daily streamflows

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over the whole available period of records. The second approach considers FDC's for individual years, using only the hydrometric information collected in a calendar or water year. Vogel and Fennessey (1994) illustrated how to derive for gauged river basins (a) the median AFDC, which represents the distribution of streamflows in a median hypothetical year and is not affected by the observation of abnormally wet or dry periods during the period of record, (b) the confidence intervals around the median FDC, summarizing the observed inter-annual variability of streamflows, (c) the AFDC associated with a given recurrence interval.

A FDC can be easily derived from gauged river flow data at a daily or monthly time scale. The data are ranked in descending order and each ordered value is associated with an exceedance probability, for example, through a plotting position formula. The lack of streamgauges and the limited amount of streamflow observations characterises several geographical areas around the world and, from this point of view, Sicily is not an exception. This condition led to the formulation and proposal of numerous procedures for regionalizing FDC, whose aim is the estimation of FDC at ungauged river basins or the enhancement of empirical FDC derived for streamgauges where only a limited amount of hydrometric information is available. A rough classification of the available regionalization procedures distinguishes two approaches: statistical and parametric. The first procedure considers FDC as the complement of the cumulative frequency distribution of streamflows, while the second one does not make any connection between FDC and the probability theory (Castellarin et al., 2004).

The statistical procedures use stochastic models to represent FDC. In this case a suitable probability distribution is chosen as the parent distribution for a particular region and the distribution parameters are estimated on a local basis for the gauged river basins located in the study region using the streamflow observations. Ganora et al. (2009) observed that theoretically FDC could not be interpreted as a probability curve since discharge is correlated between successive time intervals and discharge characteristics are dependent on the season. However, the FDC is often interpreted as probability distribution and the most used distribution is the log-normal, which has been

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This paper describes a regional model to derive a period of record FDC's in Sicily where catchments are relatively small and often characterised by ephemeral flows. The model has been developed using a data set of gauged flow records for 53 catchments, most of which contain significant periods of zero flow values. The model combines a simple model for predicting the percentage of time the river is wet (or dry) with a model for predicting a FDC for the non-zero period using the parametric approach. FDC's are described using a three parameters power law which has been fitted on all the available time series. The model parameters then relates to morphological basin characteristics, developing three sets of regional regression equations for the three homogeneous sub-areas individuated over the whole study region.

2 Study area and dataset

This study has been carried out for the catchments of the largest island in the Mediterranean Sea: Sicily which extends over an area of 25 700 km². The mean annual rainfall over the island is about 715 mm (period 1921–2004); precipitations are concentrated in the winter period while the July–August months are usually rainless.

Daily flows have been provided for the study by OA-ARRA (*Osservatorio delle Acque – Agenzia Regionale per i Rifiuti e le Acque*). The working period of most gauging sites starts in the middle 1950s since only few stations give runoff data previous to this year. This fact suggested the opportunity to limit the analysis to the 43-yr period ranging from 1955 to 1997. Only unregulated basins with at least ten years of data have been examined, reducing the number of stations used in this study to 53. The mean daily flows of the dataset vary from 0.04 to 7.6 m³/s; the maximum record length is 43 yr (Oreto at Parco) while the mean sample size is about 20 yr.

Catchment areas of these sites (Fig. 1) range from 10 km² (Eleuterio at Lupo) to 1782 km² (Imera Meridionale at Drasi). The average annual precipitation varies between 450 mm in the south-west up to more than 1100 mm reached in the north-east of the island and the catchments have a mean elevation varying from 113 m up to

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1474 m.a.s.l. Geological information are summarized by the percentage of permeable area provided by the OA-ARRA: the basins ensemble comprises almost all the possible conditions, from 3% to 94%. Curve Number values (SCS, 1972), describing land cover and hydrologic soil properties, vary from 56 to 84.

The most of the catchment characteristics used in this study comes directly from a GIS based tool called SIRI (Sistema Informativo Regionale Idrologico – Hydrological Regional Information System) (Noto et al., 2001; Noto and La Loggia, 2009) or is easily derivable within it; among these characteristics, the following ones have been computed: the basin area (A_r , km²), the mean areal annual precipitation (R , mm), the average basin elevation (H_m , m), the mean areal value of Curve Number (CN, –), the percentage of permeable area (%perm, –). Following Thornthwaite (1948) also the Aridity Index (AI) has been calculated. Table 1 shows relevant morpho-climatic catchment characteristics used in this study.

3 Methodology

Considering that several Sicilian catchments are ephemeral, the proposed model has to distinguish between wet periods, in which flows are different from zero, and dry periods, when flow is absent. For each gauged catchment used in the study, the relative duration of wet periods, D_w , can be identified and easily calculated starting from streamflow data.

Once D_w has been obtained it is possible to focus the attention on the non-zero streamflow historical series. The observed positive streamflows are ranked to produce a set of ordered streamflows $Q_{obs,i}$, $i = 1, 2, \dots, N$, where N is the sample length. Each ordered observation $Q_{obs,i}$, has been then plotted against its relative duration obtaining the empirical FDC for wet periods:

$$D(Q_{obs,i}) = 1 - \frac{i}{N+1} \quad (1)$$

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The empirical FDC derived from the non-zero flow data (EFDC_{nz}) for the study catchments in Sicily are presented in Fig. 2, plotted on log-axes. One can observe that FDC_{nz}'s are step functions for very small non-zero flows as a consequence of typical rounding errors for low flows.

The EFDC_{nz}'s plotted on lognormal probability paper are not approximated through a straight line, pointing out that the lognormal distribution does not represent a suitable parent distribution for daily streamflow in the Sicilian catchments contrary to most of previous studies cited in the introduction. Several other distributions have been tested but none of those was satisfactory and, for this reason, the stochastic approach has been discarded in favour of the parametric approach.

In order to represent FDC_{nz}'s during wet periods, a two parameters power relationship has been chosen as follows:

$$Q(D^*) = a \left(\frac{1 - D^*}{D^*} \right)^b \quad (2)$$

where D^* is the relative duration during wet periods. The parameters a and b can be estimated using the least square errors method in the range of relative duration between 0.05 and 1.

The proposed FDC can be viewed as an integration of information coming from the dry and wet periods. The first are characterised by zero flow with relative duration $(1 - D_w)$, while the streamflows during the wet periods, which last D_w , are fully described by Eq. (2). Trying to merge these periods, FDC can be rewritten over the whole range of durations using this simple relation:

$$Q(D) = \begin{cases} a \left(\frac{D_w}{D} - 1 \right)^b & 0 \leq D \leq D_w \\ 0 & D_w < D \leq 1 \end{cases} \quad (3)$$

where D is the relative duration during the whole year and a and b are the same parameters of Eq. (2). The above equation rescales the FDC_{nz} on the interval $[0.05: D_w]$, which is the wet period, and gives $Q = 0$ in dry periods.

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average area of the basins in this area is 200 km², ranging from 10 up to 1186 km². The sub-Zone 2 has the lower number of stations, but it is also the smallest sub-area. The mean annual rainfall is around 900 mm, higher than the regional value and the basins inside this zone are characterised by relatively small size and steep slopes, especially in the north-east part. The sub-Zone 3 is located in the south-east part of the island and contains 15 stations. The average annual rainfall equals to 620 mm, is lower than the regional value and the average size of the considered basins is about 300 km². The homogeneity of this region has been tested in terms of annual flow (Cannarozzo et al., 2009) using the homogeneity test of Hosking and Wallis (1997).

The regressive method used in this study has the following structure:

$$[D_w, a, b] = k_0 + \sum_{i=1}^{N_{\text{par}}} k_i C_i \quad (4)$$

where C_i are the catchment characteristics or their logarithmic transformations and parameters k_0 and k_i are determined through a multiple regression.

Stepwise regression (Hocking, 1976) has been used to select the optimal set of variables reflecting the geomorphological and climatic effects. This method adds additional independent variables one by one, in successive steps, each raising the dimensions of the analysis by one. The most promising independent variable, i.e. the one that provides the greatest reduction in the unexplained variation in the dependent variable (D_w , a or b), is selected at every stage. Then there is a re-examination of all the variables included in the previous steps. A variable that becomes superfluous because of its relationship with other variables in the model is then excluded. It has been decided not to use variables explaining less than 5% of the variance. The use of stepwise regressive analysis has led to the determination of three equations for each sub-zone which relate model parameters to the above mentioned catchment characteristics. In order to give a unique formulation for all the considered sub-zones, the following equation

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types have been chosen:

$$D_w = j_0 + j_1 \ln(Ar) + j_2 \ln(R) \quad (5a)$$

$$a = m_0 + m_1(Ar) + m_2 \ln(R) + m_3 \ln(CN) \quad (5b)$$

$$b = n_0 + n_1(\%perm) + n_2 \ln(Ar) + n_3 \ln(R) + n_4 \ln(CN) \quad (5c)$$

The regional parameters for the three considered sub-zones are reported in Table 3. The relative duration of wet periods has been related to the catchment area and to the mean annual rainfall. This relation is consistent with the technical experience which suggests that small basins in arid zones have a more ephemeral behaviour than large catchments in humid contexts. A similar kind of relation was also found by Croker et al. (2003) who related the probability of dry periods in Portugal to the mean annual rainfall. The relative duration of wet periods is estimated satisfactorily in the three sub-zones; the best result is obtained in the sub-Zone 2 ($R^2 = 0.82$, RMSE = 0.04) while the lower performance is in the sub-Zone 3 ($R^2 = 0.50$, RMSE = 0.114).

The parameter a has been linked to the basin area, to the mean annual rainfall and to the mean areal value of Curve Number. This parameter is crucial in determining the scale of the process which in turn is driven by the basin morphology and by climate. In this sense the chosen relation is convincing from a physical point of view. In fact, the more large, humid and impermeable the basins are the higher goes the FDC. Also Fennessey and Vogel (1990) related the scale parameter, which in that case was the μ parameter of a log-normal distribution, to the basin area obtaining an excellent coefficient of determination (0.99). Smakhtin et al. (1997) used as a FDC scale parameter the mean daily discharge relating to the last catchment area and to the mean annual precipitation. Croker et al. (2003) linked the scale parameter of their model for ephemeral catchments to the mean annual rainfall and to the soil characteristics, explaining about the 63% variance of the flow equaled or exceeded for 80% of wet time. Castellarin et al. (2004) identified similar models relating the μ parameter of a log-normal distribution to the basin area, to the mean annual net precipitation and to

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the basin elevation. The parameter a of the model here proposed is really well estimated in all the three sub-zones with a maximum of $R^2 = 0.95$ and RMSE = 0.09 in the sub-Zone 3.

Finally, the parameter b , which determines the shape of FDC's, has been related to the catchment area, to the mean annual rainfall, to the percentage of permeable area and to the mean areal value of Curve Number. Fennessey and Vogel (1990) individuated a simple relation between the shape parameter of their FDC, which was the σ parameter of a log-normal distribution, and the average basin elevation with an $R^2 = 0.72$. Also the formulation here proposed contains implicitly a link between b and the average basin elevation because there is a strong correlation between this parameter and the annual rainfall ($R^2 = 0.60$). Castellarin et al. (2004) related the σ parameter of a log-normal distribution to the permeable portion of the basin area, to the average basin elevation and to the mean annual net precipitation with a Nash and Sutcliffe index (Nash, 1970) of 0.52. The parameter b of the model proposed here is reproduced in an acceptable way, with the exception of the sub-Zone 1 ($R^2 = 0.42$, RMSE = 0.107).

The regional model has been positively validated using one basin for each sub-zone hidden in the original dataset. The comparison between empirical and estimated FDC's obtained using the regional model are shown in Fig. 4. Notwithstanding there is a slight overestimation of the wet period duration (about 5%), the fitting is quite good for all the considered cases. The adimensionalized RMSE is equal to 0.24, 0.32, 0.28, respectively for the sub-Zones 1, 2, 3.

5 Conclusions

This paper presents a regional model for estimating flow duration curves in Sicily. The model has three parameters: one for individuating the relative duration of wet periods with non-zero flows and two for describing the relative duration of non-zero flows in wet periods. These parameters have been calculated for 53 Sicilian catchments. The analysed basins present different flow behaviours (perennial or ephemeral) and cover

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a large range of morpho-climatic characteristics. The parametric model here proposed is able to satisfactorily reproduce the empirical FDC's, with some exceptions for high streamflows, usually not considered in this kind of study.

The model parameters have been linked to peculiar catchment characteristics as the area, the mean annual rainfall and the mean areal value of Curve Number. This study considers three sub-zones in the island and, for each zone calculates the model parameters using a unique formulation.

The model has been validated on one basin for each sub-zone, hidden in calibration, obtaining satisfactory results in terms of FDC fitting. The simplicity of the model structure and the link with simple morpho-climatic characteristics, also available on a GIS based tool called SIRI, makes the proposed model a valuable "first approximation" tool for water resources assessment in ungauged basins in Sicily.

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Table 2. Estimated model parameters and RMSE divided by the mean daily flow. The catchments used for the validation are marked italic.

	Catchment	D_w	a	b	RMSE ()
1	Alcantara ad Alcantara	1.00	2.584	0.714	0.083
2	Alcantara a Mojo	0.94	0.663	0.991	0.413
3	Alcantara a San Giacomo	1.00	0.192	0.749	0.224
4	Anapo a San Nicola	1.00	0.367	0.464	0.346
5	Asinaro a Noto	1.00	0.195	0.437	0.168
6	Baiata a Sapone	0.51	0.038	0.616	0.542
7	Belice a Sparacia	0.96	0.227	0.765	0.592
8	Belice a Ponte Belice	0.98	0.938	1.009	0.344
9	Belici a Bruciato	0.84	0.085	0.872	0.651
10	Belici a Marianopoli Scalo	0.66	0.140	0.842	0.740
11	Birgi a Chinisia	0.67	0.164	0.951	0.643
12	Cassibile a Manghisi	1.00	0.390	0.291	0.130
13	Castelbuono a Ponte Vecchio	0.95	0.110	1.115	0.344
14	Castello a Castello	0.62	0.025	0.520	0.496
15	Chitarra a Rinazzo	0.34	0.055	0.778	0.517
16	Eleuterio a Lupo	0.58	0.055	0.718	0.378
17	Eleuterio a Risalaimi	1.00	0.150	0.622	0.331
18	<i>Ellicona a Falcone</i>	–	–	–	–
19	Fastala a Lachinea	0.46	0.045	0.939	0.254
20	Ficuzza a San Pietro	0.74	0.104	0.601	0.141
21	Flascio a Zarbata	1.00	0.188	0.913	0.454
22	Fiume freddo ad Alcamo scalo	0.73	0.221	0.893	0.487
23	Ganci a Regiovanni	0.92	0.079	0.788	0.383
24	Imera Merid. Cinque archi	0.98	0.454	1.064	0.196
25	<i>Imera Merid. a Capodarso</i>	–	–	–	–
26	Imera Merid. a Drasi	0.99	1.488	0.844	0.213
27	Imera Merid. a Petralia	1.00	0.245	0.640	0.223
28	Imera Merid. a ponte Besaro	0.99	0.918	0.961	0.247
29	Imera Sett. a Scillato	1.00	0.256	0.774	0.063
30	Isnello a Ponte Grande	0.90	0.093	0.818	0.268
31	Jato a Fellamonica	0.86	0.185	0.800	0.168
32	Martello a Petrosino	0.90	0.264	0.977	0.721
33	<i>Milicia a Milicia</i>	–	–	–	–
34	Nocella a Zucco	0.97	0.117	0.773	0.090
35 (b)	Oreto a Parco	1.00	0.423	0.684	0.108
36	Platani a Passofonduto	1.00	0.902	0.860	0.162
37	San Biagio a Mandorleto	0.80	0.063	0.693	0.486
38	Salso a Monzanaro	0.64	0.239	0.918	0.321
39	Salso a Rafo	0.84	0.085	0.836	0.243
40	Saraceno a Chiusitta	1.00	0.160	0.871	0.388
41	Sciaгуana a Torricchia	0.68	0.035	0.536	0.177
42 (a)	Senore a Finocchiarra	0.66	0.119	0.894	0.241
43	San Leonardo a Monumentale	0.83	0.642	1.050	0.213
44	San Leonardo a Vicari	0.83	0.245	1.102	0.227
45	Salso a Ponte Gagliano	0.74	0.749	0.990	0.092
46	Tellaro a Castelluccio	0.77	0.122	0.758	0.123
47	Timeto a Murmari	1.00	0.173	0.837	0.267
48	Torrente Mulini a Guglielmotto	1.00	0.150	0.840	0.339
49	Torto a Bivio Cerda	0.71	0.300	0.941	0.118
50	Torto a Roccapalumba scalo	0.88	0.081	0.861	0.604
51	Trigona a Rappis	0.65	0.255	0.608	0.188
52	Troina a Serravalle	0.84	0.236	1.019	0.192
53	Valle acqua a Serena	0.75	0.051	0.754	0.613

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Table 3. Regional model parameters for the three Sicilian sub-zones.

	Zone 1	Zone 2	Zone 3	
D_w	j_0	-3.98	-2.47	-3.41
	j_1	0.093851	0.011598	0.04256
	j_2	0.669824	0.49543	0.6338
	R^2	0.7	0.82	0.5
	RMSE	0.1031	0.04	0.114
a	m_0	-7.6678	-18.17	0.125
	m_1	0.000835	0.0038	0.00079
	m_2	0.471309	2.2047	0.3857
	m_3	1.07098	0.7207	-0.5773
	R^2	0.9	0.85	0.95
	RMSE	0.07	0.34	0.09
b	n_0	7.539	15.13	-0.39
	n_1	-0.00254	0.00136	-0.00508
	n_2	0.06468	-0.05059	0.053893
	n_3	-0.08887	-1.11219	0.365885
	n_4	-1.45199	-1.5297	-0.2853
	RMSE	0.107	0.07	0.128

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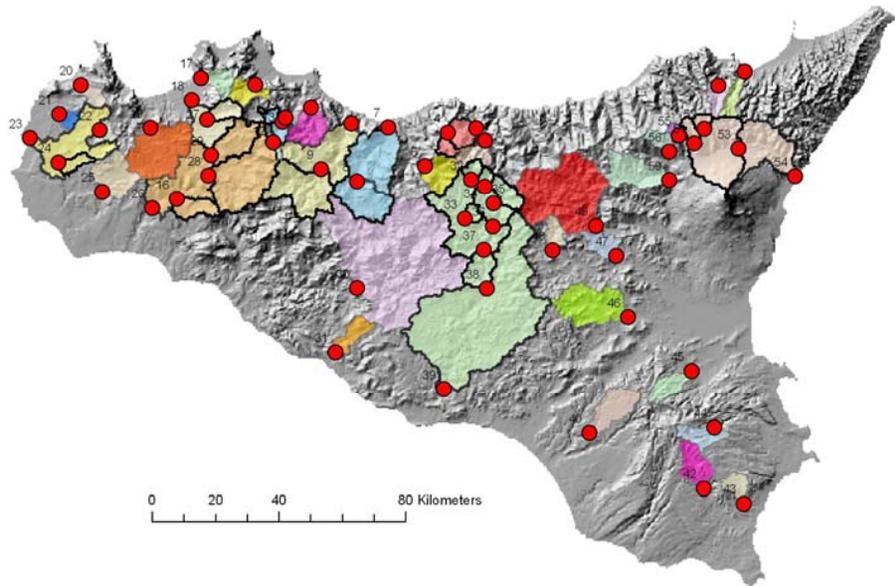


Fig. 1. Catchments location.

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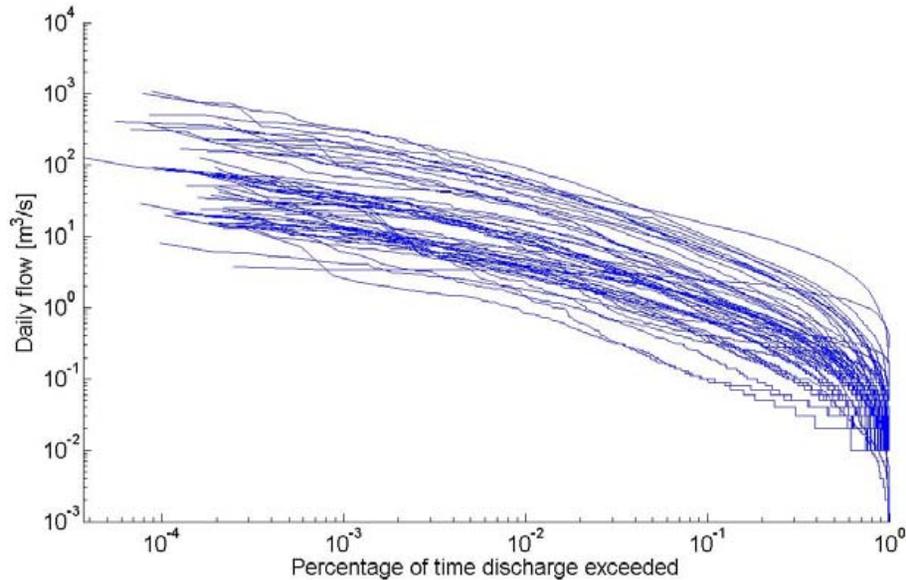


Fig. 2. Empirical flow duration curves from non-zero flows.

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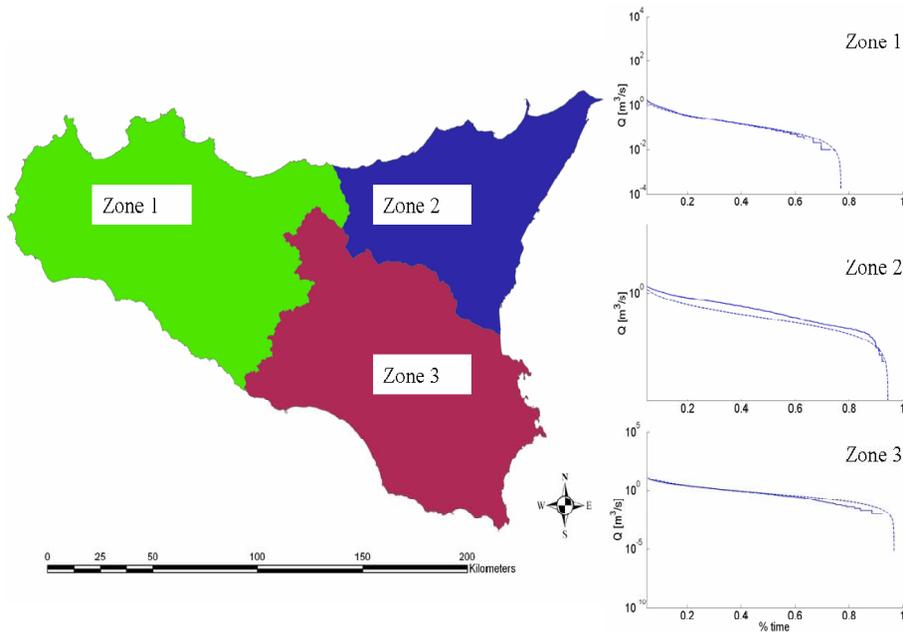


Fig. 4. Sub-zones for parameters regionalization and FDC obtained in validation for a basin inside each zone. Zone 1: Milicia a Milicia ($D_w = 0.76$; $a = 0.157$; $b = 0.796$). Zone 2: Elicona a Falcone ($D_w = 0.94$; $a = 0.088$; $b = 0.970$). Zone 3: Imera a Capodarso ($D_w = 0.96$; $a = 0.595$; $b = 1.059$).

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