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## Evaluation of Penman-Monteith model applied to a maize field in the arid area of Northwest China

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#### Abstract

The Penman-Monteith (P-M) model has been applied to estimate evapotranspiration in terrestrial ecosystem widely in the world. As shown in many studies, bulk canopy resistance is an especially important factor in the application of P-M model. In this study, the authors used the Noilban and Planton (N-P) approach and Jacobs and De Bruin

- the authors used the Noilhan and Planton (N-P) approach and Jacobs and De Bruin (J-D) approach to express the bulk canopy resistance. The application of P-M mode to a maize field with two approaches in the arid area of Northwest China was evaluated by the measured half-hourly values from the eddy covariance system. The results indicate that the N-P approach underestimates slightly the bulk canopy resistance, while
- the J-D approach overestimates that. The estimation of bulk canopy resistance with N-P approach was then better and more consistent than that with J-D approach during the entire maize growing season. Correspondingly, the P-M model with J-D bulk canopy resistance slightly underestimated the latent heat flux throughout the maize growing season, but overestimated the latent heat flux during the dry period of the soil
- as compared to that with N-P approach. The good fitness of the simulated latent heat flux by the P-M model with N-P bulk canopy resistance approach to the measured one at a half-hour time step demonstrates the application of the approach is reasonable in the relative homogenous and not drought-stressed maize fields of the arid areas during the entire growing season. Further researches are discussed on enhancing the field
   observation, taking the correction for atmospheric stability into estimating aerodynamic resistance to improve the performance of P M model to simulate events and a simulate events are approached.
- resistance, to improve the performance of P-M model to simulate evapotranspiration in the cropped fields.

#### 1 Introduction

Evapotranspiration (ET) is a principal component of the hydrological cycle in terrestrial ecosystems, affected by both biophysical and environmental processes at the interface between soil, vegetation and atmosphere (Monteith and Unsworth, 1990; Sellers et al.,

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1996; Baldocchi and Meyers, 1998). It serves as a regulator of the key ecological processes by linking stomatal activity, carbon exchange and water use (Vörösmarty et al., 1998), and by linking energy balance and water balance of natural and agricultural ecosystems (Molina et al., 2006).

- <sup>5</sup> Quantification of water loss by ET is of primary importance for monitoring, survey and management of water resources in various spatial scales of a farm scale, a catchment and a region (Lecina et al., 2003; Molina et al., 2006). For arid regions, ET estimation is extremely important to assess water resources. The arid inland area of Northwest China in the hinterland of Eurasia consists of various relatively independent
- inland river basins. Water resources in an inland basin originate from the Mountains at the upperstream, controlling the vegetation distribution in the basin (Ji et al., 2006; Kang et al., 2007). The extremely limited water resources are mostly utilize by the artificial oases in the middlestream plain area, where irrigated agriculture is very developed and forms a farmland ecosystem of inland river basin. The over-exploitation of
- water resources at the middlestream area reduces the runoff to the downstream area, causing the degradation of natural ecosystems there (Ji et al., 2006; Kang et al., 2007; Jia et al., 2009). Therefore, rational utilization and allocation of water resources is extremely important in an inland river basin. This requires accurate estimation of water budget for the agriculture fields, and ET estimation is the key factor of the water loss in
   the budget.

To quantify the actual ET of crop fields at the instantaneous, hourly and daily scales, several ET models have been developed and tested in various ecosystems under the different climatic conditions over the world (Penman, 1948; Monteith, 1965; Priestley and Taylor, 1972; Shuttleworth and Wallace, 1985; Schmugge and André, 1991; Kite,

25 2000). Among the models, the most widely used one is the physically based Penman-Monteith (P-M) Model (Monteith, 1965), which assumes that canopies can be regarded as one uniform surface or big leaf (Rana et al., 1997; Allen et al., 2006; Widmoser, 2009), and to which a canopy resistance term is incorporated to determine the stomata influence on ET. Therefore, the representation of canopy resistance is a very important



parameter in modeling the actual ET of crop filed using PM model.

Two types of canopy resistance (or conductance) models have been used to express the behavior of the canopy resistance: the Jarvis type model and Ball type. The former relates the canopy resistance to environmental variables at an atmospheric reference

height (Javis, 1976; Noilhan and Planton, 1989), the latter correlates canopy resistance to the assimilation rate (Ball et al., 1987; Leuning, 1995; Jacobs and De Bruin, 1997). Although these two type models have been applied to various canopies and plants (Niyogi and Raman 1997; Ronda et al., 2001), there has been no comparison of the two type models to be applied in P-M model to simulate the actual ET of crop fields,
 especially in the arid area of Northwest China. The functions and parameters of the models have yet to be evaluated in different studies and in the different environmental

This paper is intended to compare these two types of canopy resistance models with measured canopy resistance derived from an inverted PM model; to evaluate the P-M <sup>5</sup> model with different canopy resistance approaches on modeling actual evapotranspiration of the irrigated crop fields under arid climate; to discuss the variation of ET from maize field during different stages of growing season, to support the water-saving agriculture and to improve the irrigation efficiencies of the oases in the inland river basins of Northwestern China.

#### 20 2 Evapotranspiration modeling

conditions.

#### 2.1 The Penman-Monteith model

The Penman-Monteith model describes the physical process of evapotranspiration from a vegetative surface, and typically can be written as:

$$\lambda E = \frac{\Delta (R_{\rm n} - G) + \rho_{\rm a} C_{\rm p} (e_{\rm s} - e_{\rm a}) / r_{\rm a}}{\Delta + \gamma (1 + r_{\rm s} / r_{\rm a})}$$

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(1)

where  $\lambda$  is latent heat of vaporization of water (MJ kg<sup>-1</sup>); *E* is crop evapotranspiration (mm s<sup>-1</sup>);  $\Delta$  is gradient of the water saturation vapour pressure curve (kPa K<sup>-1</sup>);  $R_n$  is net radiation (W m<sup>-2</sup>); G is soil heat flux (W m<sup>-2</sup>);  $\rho_a$  and  $C_p$  are the density (kg m<sup>-3</sup>) and specific heat (MJ kg<sup>-1</sup> K<sup>-1</sup>) of air, respectively;  $e_s$  and  $e_a$  are the saturated and actual vapour pressure of air (kPa), respectively;  $\gamma$  is psychrometer constant (kPa K<sup>-1</sup>);  $r_{\rm a}$  is resistance to the turbulent transfer of vapour between source and the reference level (s m<sup>-1</sup>);  $r_s$  is the canopy resistance (s m<sup>-1</sup>).

The aerodynamic resistance was estimated by Monin-Obukhov similarity, assuming neutral conditions, by

10 
$$r_a = \frac{\ln[(z-d)/z_0]\ln[(z-d)/(h_c-d)]}{k^2} \cdot \frac{1}{u}$$
 (2)

where z (m) is the reference level at which the horizontal wind speed u (m s<sup>-1</sup>) is measured; d is the zero plane displacement height (m), and is taken equal to 0.67  $h_c$ (Brutesaert, 1982);  $z_0$  is the roughness length for momentum (m), approximated as 0.123  $h_c$  (Brutesaert, 1982);  $h_c$  is the height of the crop (m); k is the von Karman's constant, equal to 0.41.

15

#### 2.2 The bulk canopy resistance r<sub>c</sub>

Certain environmental (Jarvis, 1976) and plant physiological (Ball et al., 1987) factors affect the bulk canopy resistance. These factors reflect atmospheric conditions, soil moisture, the assimilation of plant at the canopy scale and so on. Two types of bulk canopy resistance models have been applied to express  $r_c$ : Noilhan-Planton approach 20 (Noilhan and Planton, 1989) referred to as the Jarvis model (Jarvis, 1976), and the Jacobs- De Bruin model (Jacobs and De Bruin, 1997; Ronda et al., 2001) referred to as the Ball et al. 1987 type model .

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#### 2.2.1 The parameterization of Nilhan-Planton (N-P) approach

The Noilhan-Planton bulk canopy resistance  $r_s$  is a function of incoming solar radiation, mean volumetric water content, vapor pressure deficit of the atmosphere, air temperature and leaf area index (Noilhan and Planton, 1989); it is given by:

$$s \quad r_{\rm s} = \frac{r_{\rm smin}}{1\,{\rm AI}}F_1F_2^{-1}F_3^{-1}F_4^{-1} \tag{3}$$

where  $r_{smin}$  is the minimum stomatal resistance  $(s m^{-1})$ , the typical values for  $r_{smin}$  in growing crops range between 40 and  $100 s m^{-1}$  and for mature crops between 250 and 500 s m<sup>-1</sup> (Tattari et al., 1995). In this study, during the different stage of the maize growing season from the initial, through development and midseason, and to the late period, the field observation indicate that the value of  $r_{smin}$  was  $70 s m^{-1}$ ,  $70 s m^{-1}$ ,  $50 s m^{-1}$ , and  $100 s m^{-1}$  for the respective stage. LAI is the leaf area index (–);  $F_1$  and  $F_4$  express the dependence of  $r_c$  on solar radiation and on air temperature, respectively;  $F_3$  describes the dependence on the atmospheric vapour pressure deficit and  $F_2$  is a function of soil moisture. The parameterization of Noilhan-Planton approach is given 15 as follows (Noilhan and Planton, 1989):

$$F_1 = \frac{1+f}{f + r_{\rm smin}/r_{\rm smax}}$$

$$F_2 = \begin{cases} 1, & W_2 > W_{\text{wilt}} \\ \frac{W_2 - W_{\text{wilt}}}{W_{\text{cr}} - W_{\text{wilt}}}, & W_{\text{wilt}} \le W_2 \le W_{\text{cr}} \\ 0, & W_2 < W_{\text{wilt}} \end{cases}$$

$$F_3 = 1 - \beta(e_s(T_s) - e_a)$$

 $F_4 = 1.0 - 0.0016(298.0 - T_a)^2$ 

(4)

(5)

(6)

(7)

CC ①

with

20

$$f = 0.55 \frac{R_{\rm G}}{R_{\rm GL}} \frac{2}{\rm LAI}$$

where  $r_{smin}$  is the maximum stomatal resistance  $(s m^{-1})$ , and was arbitrarily set to 5000 s m<sup>-1</sup> (Noilhan and Planton, 1989);  $w_2$  is the mean volumetric water of the soil <sup>5</sup> column  $(m^3 m^{-3})$ ;  $w_{cr}$  is the soil water content below which transpiration is stressed by soil moisture, taken as 0.65  $w_{sat}$ ;  $w_{wilt}$  is the plant permanent wilting point. In this study, the saturated soil water content  $w_{sat}$  is set as 0.40 m<sup>3</sup> m<sup>-3</sup> and wilting point as 0.11 m<sup>3</sup> m<sup>-3</sup> for sandy loam in the field.  $T_s$  and  $T_a$  are the surface and air temperature at the crop height level (K).  $\beta$  is a species-dependent empirical parameter and set to 0.001 hPa<sup>-1</sup> for maize in this study.  $R_G$  is the incoming solar radiation (W m<sup>-2</sup>), and  $R_{GI}$  is the limit value of 100 W m<sup>-2</sup> for crops (Noilhan and Planton, 1989).

#### 2.2.2 Parameterization of the Jacobs-De Bruin (J-D) model

The Jacobs-De Bruin model (Jacobs and De Bruin, 1997) is based on plant physiology, which uses a correlation relationship between the leaf stomatal conductance and the net photosynthetic rate at leaf scale, and then up-scaling the conductance from a leaf to acanopy:

$$1/r_{\rm s} = \int_0^{\rm LAI} [1.6A_{\rm n}/(C_{\rm s} - C_{\rm i})]dL$$

where  $A_n$  is the net photosynthetic rate (mg m<sup>-2</sup> s<sup>-1</sup>);  $C_s$  and  $C_i$  are the CO<sub>2</sub> concentration at the leaf surface and in the sub-stomatal cavity (mg m<sup>-3</sup>), respectively; *L* is the leaf-area index (–), which sums to LAI, the total leaf area index over the entire canopy depth.

In the Jacobs-De Bruin model, the net photosynthetic rate at leaf scale is given by

$$A_{\rm n} = (A_{\rm m} + R_{\rm d}) \left[ 1 - \exp\left(\frac{-\varepsilon_{\rm i}/}{A_{\rm m} + R_{\rm d}}\right) \right] - R_{\rm d}$$

$$467$$

(8)

(9)

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where  $A_{\rm m}$  is the photosynthetic rate at infinite light intensity (mg m<sup>-2</sup> s<sup>-1</sup>);  $R_{\rm d}$  is the rate of dark respiration (mg m<sup>-2</sup> s<sup>-1</sup>);  $\varepsilon_{\rm i}$  is the initial light use efficiency (mg J<sup>-1</sup>), *I* is the amount of photosynthetically active radiation (W m<sup>-2</sup>). Here,  $R_{\rm d}$  is estimated as 0.11 $A_{\rm m}$ . The response of  $A_{\rm m}$  to CO<sub>2</sub> is modeled as

where  $A_{m,max}$  is the maximal primary productivity under light conditions and high CO<sub>2</sub> concentrations (mg m<sup>-2</sup> s<sup>-1</sup>),  $g_m$  is the mesophyll conductance (mm s<sup>-1</sup>), and  $\Gamma$  is the CO<sub>2</sub> compensation point (mg m<sup>-3</sup>).

The parameters  $g_{\rm m}$ ,  $A_{\rm m,max}$  and  $\Gamma$  are the functions of leaf temperature  $T_{\rm c}$  (K) and <sup>10</sup> computed as:

$$X(T_{\rm c}) = \frac{X(T_{\rm sk} = 298)Q_{10}^{(T_{\rm c} - 298)/10}}{\left[1 + e^{0.3(T_{\rm c} - T_{\rm c})}\right] \left[1 + e^{0.3(T_{\rm c} - T_{\rm c})}\right]}$$
(12)

$$\Gamma(T_{\rm c}) = \Gamma(T_{\rm sk} = 298K)Q_{10}^{(T_{\rm c} - 298)/10}$$
(13)

where X denotes  $g_{\rm m}$  or  $A_{\rm m,max}$ , and  $T_1$  and  $T_2$  are reference temperature (K).  $T_1$  and  $T_2$ for  $g_{\rm m}$  are set as 286 K and 309 K, respectively, while for  $A_{\rm m,max}$  are set as 286 K and 15 311 K, respectively. As in the Jacobs-De Bruin model, the values of  $g_{\rm m}(T_{\rm sk}=298)$  and  $A_{\rm m,max}$  ( $T_{\rm sk}=298$ ) are set as 17.5 mm s<sup>-1</sup> and 1.7 mg m<sup>-2</sup> s<sup>-1</sup> for maize, respectively; the values of  $Q_{10}$  is set as 2.0 for maize;  $\Gamma$  ( $T_{\rm sk}=298$  K) and  $Q_{10}$  are set as 4.3  $\rho_{\rm a}$  and 1.5 for maize, respectively.

In Eq. (10) the light use efficiency  $\varepsilon_i$  is a function of  $C_s$ ,  $\Gamma$ , and the initial (at low light conditions) light use efficiency  $\varepsilon_0$  (Jacobs and De Bruin, 1997):

$$\varepsilon_{\rm i} = \varepsilon_0 \frac{C_{\rm s} - \Gamma}{C_{\rm s} + 2\Gamma} \tag{14}$$

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The parameter values for  $\varepsilon_0$  is have been derived by Collatz et al. (1991, 1992). The value for maize (C<sub>4</sub> plant) is set as 0.014 mg J<sup>-1</sup>.

In laboratory experiments the internal CO<sub>2</sub> concentration  $C_i$  is often found to be a fraction of the external CO<sub>2</sub> concentration (Ronda et al., 2001). Zhang and 5 Nobel (1996) proposed the following formula to express the relationship between  $(C_i - \Gamma)/(C_s - \Gamma)$  and the water vapour deficit:

$$\frac{C_{\rm i} - \Gamma}{C_{\rm s} - \Gamma} = f_0 - a_{\rm d} D_{\rm s} \tag{15}$$

where  $f_0$  and  $a_d$  are empirically found as regression coefficients. Typical values are  $f_0=0.85$  and  $a_d=0.015$  Kpa<sup>-1</sup> for C<sub>4</sub> plants, respectively (Jacobs and De Bruin, 1997; <sup>10</sup> Ronda et al., 2001);  $D_s$  is the vapour pressure deficit at plant level (kPa).

#### 3 Site description and field measurements

#### 3.1 Site description

This work was carried out at the fields of maize crop during the growth season of 2008, where is the agricultural water saving experimental plot (1 km×1 km) of Linze Inland
<sup>15</sup> River Basin Comprehensive Research Station (39° 20' N, 100° 08' E, elevation 1378 m), Chinese Ecosystem Research Network, Cold and Arid Regions Environmental and Engineering Research Institute, Chinese Academy of Sciences. The site is located in the central area of the Zhangye irrigation oases at the middle streams of the Heihe River, which is an inland river in the arid area of Northwest China. The terrain was
<sup>20</sup> relatively flat (its mean slope in the vicinity of site ranged between 2.1 and 4.5%) and

the maize canopy extended for over a kilometer in all directions.

The site is characterized by a typical continental arid climate, dry and hot in summer, cold in winter. The normal annual mean air temperature is 7.6 °C, with an absolute maximum of 39.1 °C and an absolute minimum of -27.3 °C. Mean annual precipitation



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is 117 mm, with the nearly 70% concentrated in the months from July to September. Mean annual pan evaporation is 2390 mm. Mean annual wind velocity is  $3.2 \text{ m s}^{-1}$ , and prevailing wind direction is northwest (Ji et al., 2007, 2009). The soil is belongs to the sandy loam type (sand: 59.0%; silt: 36.3%; clay: 4.7%). The staple crop is maize in the oasis. The surface irrigation districts account for 95% of the total irrigated area, and mainly by means of the border irrigation.

#### 3.2 Field measurements

5

Continuous measurements were carried out at the study site during the maize growth season from 26 May to 30 September 2008. Eddy covariance technique was used to measure the fluctuations of wind speed, temperature, carbon dioxide and water vapour above the canopy. The eddy covariance system consists of a three dimensional (3-D) sonic anemometer (HS-50, Gill Solent Istruments, Lymington, Hampshire, UK) and an open-path infrared gas analyzer (LI-7500, LI-COR Inc., Lincoln, NE, USA). Variances and covariances were calculated from the 20 Hz raw data. Vertical fluxes of mo-

- <sup>15</sup> mentum, sensible heat, carbon dioxide and water vapour were determined by means of 1) the three dimensional (3-D) sonic anemometer to sample the three components of wind speed (u, v, w) and virtual acoustic temperature (the speed of sound) T and 2) an open-path infrared gas analyzer for measurement of water vapour and carbon dioxide mole densities above the crop field. The fast response sensors were mounted at height
- 20 2 m above the crop canopy level (i.e. the sensor height varied during the measurement campaigns) (Kaimal and Finnigan, 1994). The open sides of the asymmetric sonic anemometers were exposed to the north-west at the experimental plot. The open-path infrared gas analyzer were mounted 0.2 m below the sonic anemometer and displaced 0.3 m laterally and perpendicular to the predominating wind direction in order to min-
- imize flux loss due to vertical (Kristensen, et al., 1997) and longitudinal (Massman, 2000) sensor separation, respectively. Data were recorded on a Personal Computer inside of a small hut, 50 m apart.

All raw data were saved to a hard disc of a personal computer using the EDDYMEAS

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software (Kolle and Rebmann, 2007) for post-processing software EDDYSOFT (Kolle and Rebmann, 2007). Half-hourly mean eddy fluxes over the crop field were calculated as the covariance between turbulent fluctuations of the vertical wind speed and the scalar mixing rations calculated by Reynolds averaging of 30-min blocks of data.

<sup>5</sup> Following the recommendations of McMillen (1988), a 2-D coordinate rotation was applied to force the average vertical wind speed (*w*) to zero and to align the horizontal wind (*u*) to mean wind direction. Both the  $CO_2$  flux and latent flux were corrected for density effects by the method described by Webb et al. (1980). As a result, latent and sensible heat fluxes were calculated as:

<sup>10</sup> 
$$\lambda \overline{E}_{EC} = \lambda (1 + \overline{\chi}_{v}) \left( \overline{w'c_{v}'} + \frac{\overline{c}_{v}}{\overline{T}} \frac{\overline{H}}{\overline{\rho}c_{p}} \right)$$
 (16)

$$\overline{H} = \overline{\rho}c_{\rm p}\overline{w'T'} \tag{17}$$

where  $E_{\text{EC}}$  is water vapor flux (mmol m<sup>-2</sup> s<sup>-1</sup>);  $\chi_v$  is the ratio of vapour pressure to atmospheric pressure (–);  $c_v$  is the molar concentration of water vapor (mmol m<sup>-3</sup>); His sensible heat flux (W m<sup>-2</sup>); T is the sonic temperature (K);  $\rho$  is density of moist air (kg m<sup>-3</sup>); Overbars denote time averages and primes denote the departures. Positive fluxes indicate mass and energy transfer from the surface to the atmosphere, and negative from the atmosphere to the surface.

The eddy covariance technique is limited by missing or rejected measurements due to system failures, maintenance and calibration, and improper weather conditions. In this study, the following gap-filling procedures were employed: the short gaps less than 6 h were filled by linear interpolation. The large gaps were filled were filled by means of the look-up table approach or, if not possible, by the by the mean diurnal variation method (Falge et al., 2001).

The EVINS Environmental Monitoring System (IMKO micromodultechnik GnbH, Ettlingen, Germany) was mounted about 60 m from the eddy covariance tower in this

study field. Net radiation and photosynthetically active radiation (PAR) were measured by a CNR-1 net radiometer (Kipp & Zonen, Delft, The Netherlands) and a LI-190 quantum sensor (LI-COR Inc., Lincoln, NE, USA) at 2m above the canopy. Air temperature, relative humidity, air pressure and wind speed and direction were mea-

- <sup>5</sup> sured at the top of the canopy by a HMP45D temperature probe (Vaisala, Helsinki, Finland), HMP45D relative humidity probe (Vaisala, Helsinki, Finland), PTB100 barometer probe (Vaisala, Helsinki, Finland), a LISA cup anemometer (Siggelkow GmbH, Hamburg, Germany), and the Young 8100 (Siggelkow GmbH, Hamburg, Germany). Canopy temperature was measured with PS12AF1 surface Pyrometer (Keller HCM)
- GmbH, Ibbenbüren-Laggenbeck, Germany). Soil temperature and volumetric soil water content were measured with the Pt100 (IMKO GmbH, Ettlingen, Germany) and the TRIME-IT (IMKO GmbH, Ettlingen, Germany). Soil temperature was measured at 5, 10, 20, 40, 80 and 120 cm depths in the soil. Soil moisture was measured at 10, 20, 50, 100, 200, 300 cm in the soil. Soil heat fluxes were measured by a HFP-01 plane
   probe (Hukseflux Thermal Sensors, Delft, The Netherlands) and three replicate heat flux plates at 0.05 m. Precipitation was measured with the RG50 tipping bucket rainfall

gauges (SEBA Hydrometrie GmbH, Gewerbestr, Germany).

The stomatal conductance was hourly measured at four levels in the canopy by a LI-COR 6400 (LI-COR Inc., Lincoln, NE, USA) in the maize field for 4 days over the 2008

<sup>20</sup> growing season. The levels with the leaf orientations of east, west, north and south were selected to represent the 25% of the canopy. Plant height, leaf position and area were regularly measured throughout the maize growing season. The green leaf area index of maize was measured with a LI-3100 area meter (LI-COR Inc., Lincoln, NE, USA).



#### 4 Results and discussion

## 4.1 Diurnal variations in stomatal conductance during the maize growing season

Diurnal variations in stomatal conductance was measured with a a Li-6400 for four individual leaves distributing four levels in the maize canopy on selected days of growing season, as presented in Fig. 1. The mean values of net radiation  $R_n$ , photosynthetically active radiation PAR, air temperature at crop height  $T_a$ , vapour pressure deficit  $D_s$ , soil water content  $w_2$  and green leaf area index LAI for the daytime (from 08:00 to 18:00 LT) of the observation days in the different stages of crop growing season are listed in Table 1.

Diurnal variation in stomatal conductance has a common patterns for the different stages of maize growing season. The stomatal conductance varies with a lower value in the morning and afternoon, and a higher value in the midday, depending on solar radiation and vapour pressure deficit. The response of stomatal conductance to vapour

- <sup>15</sup> pressure deficit, photosynthetically active radiation and air temperature is, respectively showed in Figs. 2–4. This reflects a common characteristics in conductance for water to exchange between the plant and atmosphere at both the leaf and canopy scales. The diurnal variation in leaf stomatal conductance of maize in this study filed has the higher values in the morning than those in the afternoon, and lower values in midday
- (13:00 LT) than those before and after about 13:00 LT. The lower stomatal conductance during the afternoon and midday should be attributed to the higher water vapor deficit (midday depression of photosynthesis). A lower stomatal conductance in the midday can be explained by a limitation of photosynthesis, due to the stomatal closure, to prevent the water loss from the most intensive solar radiation and higher temperature.
- <sup>25</sup> However, the time for the lower values of stomatal conductance to occur varies with the growing orientation, which influences the absorption of global radiation.

During the maize growing season, the stomatal conductance increases from the beginning to the midseason, and then decreases to the late season. The daytime



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mean values of stomatal conductance were measured to be  $5.90 \text{ mm s}^{-1}$  on DOY 130, 7.21 on DOY 162, 9.26 on DOY 195 and 4.29 on DOY 229, respectively. This indicates that the stomatal conductance increases with increasing PAR and decreases VPD.

# 4.2 Test of the J-D and N-P approaches in the determination of the canopy resistance

In order to test the J-D and N-P approaches applied to determine the bulk canopy resistance, the half-hourly bulk canopy resistance derived from P-M model based on the measured ET from the eddy covariance system was compared with that simulated by J-D (a) and N-P (b) approaches. Taking the P-M model derived bulk canopy resistance as the measured value, the statistical tests were performed for the comparison by model efficiency (ME), root mean square deviation (RMSD) and mean bias error (MBE) (Flerchinger et al., 2003; Ji et al., 2009).

For J-D approach, the values of ME, RMSD and MBE between the measured and simulated bulk canopy resistance were 0.89, 136.3 s m<sup>-1</sup> and 12.4 s m<sup>-1</sup>, respectively
<sup>15</sup> (Fig. 5a). Compared with J-D approach, N-P approach performances better in simulating canopy bulk canopy resistance with the values of ME 0.92, RMSD 103.1 s m<sup>-1</sup> and MBE –9.1 s m<sup>-1</sup>. From the MBE given in Fig. 5, it can be seen that the J-D approach overestimated the bulk canopy resistance. Therefore, the N-P approach is more suitable than the J-D approach to simulate the bulk canopy resistance of the irrigated maize filed under the arid climatic condition.

#### 4.3 Diurnal variation in the bulk canopy resistance

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In order to investigate the effect of irrigation, days were selected before and after irrigation, then the daily variation of the bulk canopy resistance was simulated by the two approaches for the days and is shown in Fig. 6. During the entire maize growing season, eight times of surface irrigation (i.e., small level-basin irrigation) were totalized at 960 mm water depth (each time 120 mm for about 2 weeks) in 2008. The diurnal

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variation in the bulk canopy resistance on days before and after irrigation were simulated on DOY 157, DOY 201, DOY 234 and DOY 254, respectively. The mean values of the meteorological and ecological elements in the daytime (from 8:00 to 18:00 LT) on the selected days before and after irrigation applied during the different stages of maize growth season are listed in Table 2.

Half-hourly values of bulk canopy resistance exhibited a reverse parabolic pattern, reaching the maximum value near mid-day (13:00 LT) during the different stages of maize growing season. The values were higher in the morning and afternoon, and lower at the midday, depending on solar radiation. The daily variation of resistance indicates that evapotranspiration increases with net radiation. It is found that, except the condition of low soil water content, the bulk canopy resistance is larger in the morning

than that in the afternoon (Fig. 6). This is due to both the increase of water vapour deficit and the more intensive solar radiation (or PAR) in the afternoon.

Figure 6 indicates that both J-D and N-P approaches overestimated the bulk canopy
 resistance in the morning and afternoon of the sunny day. Under the dry soil condition before irrigation, the J-D approach overestimated the bulk canopy resistance in the midday, while the N-P approach underestimated that. When soil was wet after irrigation, both approaches got the overestimated values. However, the bulk canopy resistance of maize filed simulated by N-P approach was better fitted with that derived
 from P-M model as compared to the J-D approach.

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#### 4.4 Simulation of evapotranspiration

Figure 7 presents the comparison between the measured half-hourly latent heat flux by eddy covariance system and the simulated ones by P-M model with J-D (Fig. 7a) and N-P (Fig. 7b) approaches during the maize growing season in 2008. In Fig. 7, all the measured and simulated latent heat fluxes are distributed around the one-to-one line. The values of ME, RMSD and MBE were 0.67, 78.1 W m<sup>-2</sup> and -40.3 W m<sup>-2</sup> for J-D approach, and 0.80, 60.8 W m<sup>-2</sup> and 15.2 W m<sup>-2</sup> for N-P approach. The halfhourly latent heat fluxes simulated by P-M model with both bulk canopy resistance



approaches were generally well fitted to the measured fluxes by the eddy covariance system. But, it is also important to note that the N-P approach performed better than the J-D approach.

#### 4.5 Diurnal variation of latent heat flux

- Figure 8 shows the diurnal variation in the half-hourly measured and simulated latent heat flux corresponding to the data presented in Fig. 6. The latent heat fluxes reach the maximum value near mid-day. For both bulk canopy resistance approaches, the simulated and the measured daily variations of latent heat fluxes were rather well fitted. Nevertheless, the J-D approach slightly underestimated the latent heat flux during the
   maize growing season. The P-M model with N-P bulk canopy resistance approach
- tended to overestimate the latent heat flux under the dry soil condition (on DOY 156, DOY 200, DOY 233 and DOY 253), and to underestimate slightly the latent heat flux under the wet soil condition. This should be attributed to the overestimations of the bulk canopy resistance by J-D approach. However, the difference between the values obtained with J-D and N-P approaches were generally small for both dry and wet soil conditions (DOY 253).

The maize field for this study was sufficiently supplied with water, and the soil water content were generally above 0.27 (from the field measurement by the authors), below which transpiration is restricted by soil moisture. This indicates that the P-M model with LD and NLD approaches can be applied in the relative hemogeneous and irrigated

- with J-D and N-P approaches can be applied in the relative homogenous and irrigated agricultural fields as studied in this paper. On the other hand, Fig. 8 also indicates that the performance of P-M model with J-D bulk canopy approach was better than that with N-P approach when soil was dry before irrigation, and inversely is the case when soil was wet after irrigation.
- Although the P-M model with J-D and N-P bulk canopy resistance approaches seems to provide more realistic diurnal patters of the half-hourly latent heat flux under wellwatered and slightly stressed conditions, the performance of P-M model with N-P bulk canopy resistance approach to simulate latent heat flux on half-hourly time intervals



was better than with J-D approach during the maize growing season in the oases at the middle reaches of the Heihe River basin, Northwest China.

It should be noted that the simplicities of the P-M model, such as the adequate fetch above canopy measurements, regarding canopy as a big leaf assumption, and using

- Monin-Obukhov similarity to estimate the aerodynamic resistance with the assumption of neutral stability, affect the performance of P-M model. For instance, during early growing season, crops are sparse and the big leaf assumption is not valid for them. The assumption of neutral stability in estimating the aerodynamic resistance is very preliminary approximation. Further, the canopy variables are very difficult to obtain.
   Among them, the determination of leaf stomatal resistance is the most difficult, which
- is the most important parameter for J-D and N-P approaches. All these aspects need further investigations and studies.

#### 5 Conclusions

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The present study indicates that the J-D and N-P approaches can provide more realistic
 estimation of the bulk canopy resistance under well-watered and slightly soil moisture stressed conditions at a half-hourly time step during the maize growth season. However, the N-P approach seems to slightly underestimate the bulk canopy resistance. On the other hand, the J-D approach tends to overestimate these values. Meantime, it is worth noting that the performance of N-P approach was better than that of J-D
 approach in this study.

The P-M model simulation indicates that the P-M model with J-D approach slightly underestimated the latent heat flux during the maize growing season. In contrast, the P-M model with N-P approach tended to overestimate the latent heat flux under the dry soil condition, and underestimated slightly the latent heat flux under the wet soil condition. The P-M model with J-D and N-P approaches simulated latent heat well to fit the measured values with the micrometeorological eddy covariance technique.





approach is better than that with J-D approach to simulate latent heat flux at half-hourly time step during the growing season in the conditions of the relative homogenous and not drought-stressed maize field for the present study.

Further developments are necessary to make the model and approaches more applicable, in particular enhancing the instrumentation under the various soil moisture situations and climatic conditions during the different stages of maize growing season. This is helpful in optimizing the parameterization of both J-D and N-P bulk canopy resistance approaches, and will contribute to improve the performance of P-M model to simulate evapotranspiration of cropped field in this study. Moreover, the aerodynamic resistance should be corrected for atmospheric stability to obtain better simulation of evapotranspiration.

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**Table 1.** Average daytime net radiation ( $R_n$ ), photosynthetically active radiation (PAR), air temperature ( $T_a$ ), vapour pressure deficit ( $D_s$ ), soil water content ( $w_2$ ) and green leaf area index (LAI) of the observation days.

| DOY           | R <sub>n</sub>       | PAR                     | T <sub>a</sub> | Ds    | W <sub>2</sub> | LAI            |
|---------------|----------------------|-------------------------|----------------|-------|----------------|----------------|
| (day of year) | (W m <sup>-2</sup> ) | (µmol m <sup>-2</sup> ) | (K)            | (Kpa) | $(m^3 m^{-3})$ | $(m^2 m^{-2})$ |
| 130           | 491.7                | 1385.9                  | 305.3          | 3.0   | 31.3           | 0.3            |
| 162           | 512.7                | 1454.6                  | 307.9          | 3.3   | 30.8           | 2.0            |
| 195           | 523.2                | 1472.0                  | 308.7          | 2.2   | 28.5           | 4.8            |
| 229           | 479.2                | 1294.6                  | 307.3          | 2.5   | 27.8           | 3.2            |

| DOY | $R_{\rm n}$<br>(W m <sup>-2</sup> ) | $\begin{array}{c} PAR \\ (\mu mol  m^{-2}  s^{-1}) \end{array}$ | 7 <sub>а</sub><br>(К) | D <sub>s</sub><br>(Kpa) | W <sub>2</sub><br>(m <sup>3</sup> m <sup>-3</sup> ) | LAI<br>(m <sup>2</sup> m <sup>-2</sup> ) | $C_{\rm s}$ (mmol m <sup>-3</sup> ) | <i>u</i><br>(m s <sup>-1</sup> ) |
|-----|-------------------------------------|---|-----------------------|-------------------------|---|--|-------------------------------------|----------------------------------|
| 156 | 187.7                               | 561.2   | 294.8                 | 1.6                     | 26.4  | 1.6                                      | 12.1                                | 3.1                              |
| 158 | 382.2                               | 1220.1  | 300.0                 | 2.2                     | 31.6  | 1.6                                      | 10.5                                | 1.3                              |
| 200 | 480.0                               | 1410.5  | 301.7                 | 2.5                     | 26.2  | 4.8                                      | 12.4                                | 1.3                              |
| 202 | 291.6                               | 896.3   | 299.5                 | 1.7                     | 30.9  | 4.8                                      | 12.4                                | 1.2                              |
| 233 | 464.0                               | 1265.0  | 295.3                 | 1.8                     | 26.2  | 3.1                                      | 12.8                                | 1.4                              |
| 235 | 415.5                               | 1028.1  | 297.1                 | 2.2                     | 32.2  | 3.1                                      | 12.7                                | 1.5                              |
| 253 | 428.1                               | 1087.7  | 295.2                 | 2.0                     | 26.5  | 2.2                                      | 10.6                                | 1.1                              |
| 255 | 404.3                               | 955.6   | 298.4                 | 2.4                     | 31.3  | 2.2                                      | 13.5                                | 1.0                              |

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Fig. 2. Response of stomatal resistance to vapour pressure deficit at the four levels in the maize canopy on DOY 130 (a), DOY 162 (b), DOY 195 (c) and DOY 229 (d), respectively.





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Fig. 3. Response of stomatal resistance to photosynthetically active radiation at the four levels in the maize canopy on DOY 130 (a), DOY 162 (b), DOY 195 (c) and DOY 229 (d), respectively.





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Fig. 4. Response of stomatal resistance to air temperature at the four levels in the maize canopy on DOY 130 (a), DOY 162 (b), DOY 195 (c) and DOY 229 (d), respectively.







Fig. 5. Comparison between measured bulk canopy resistance derived from P-M model and predicted values obtained by J-D (a) and N-P (b) approach.





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**Fig. 6.** Comparison of the measured and the simulated half-hourly bulk canopy resistance on the days before and after irrigation during the maize growing season.





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**Fig. 8.** Measured and modeled courses of half-hourly latent heat flux on days before and after irrigation during the maize growing season.