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# Confirmation of *ACRU* model results for applications in land use and climate change studies

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## Abstract

The hydrological responses of a catchment are sensitive to, and strongly coupled to, land use and climate, and changes thereof. The hydrological responses to the impacts of changing land use and climate will be the result of complex interactions, where the change in one may moderate or exacerbate the effects of the other. A further difficulty in assessing these interactions will be that dominant drivers of the hydrological system may vary at different spatial and temporal scales.

To assess these interactions, a process-based hydrological model, sensitive to land use and climate, and changes thereof needs to be used. For this purpose the daily time step *ACRU* model was selected. However, to be able to use a hydrological model such as *ACRU* with confidence its representation of reality must be confirmed by comparing simulated output against observations across a range of climatic conditions. Comparison of simulated against observed streamflow was undertaken in three climatically diverse South African catchments, ranging from the semi-arid sub-tropical Luvuvhu catchment, to the winter rainfall Upper Breede catchment and the sub-humid Mgeni catchment. Not only do the climates of the catchments differ, but their primary land uses also vary. In the upper areas of the Mgeni catchment commercial plantation forestry is dominant, while in the middle reaches there are significant areas of commercial plantation sugarcane and urban areas, while the lower reaches are dominated by urban areas. The Luvuvhu catchment has a large proportion of subsistence agriculture and informal residential areas. In the Upper Breede catchment in the Western Cape, commercial orchards and vineyards are the primary land uses.

Overall the *ACRU* model was able to represent the high, low and total flows, with satisfactory Nash-Sutcliffe efficiency indexes obtained for the selected catchments. The study concluded that the *ACRU* model could be used with confidence to simulate the streamflows of the three selected catchments and was able to represent the hydrological responses from the range of climates and diversity of land uses present within the catchments.

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## 1 Introduction

South Africa's land cover and land use have been extensively altered by human activities such as increasing and shifting populations, increasing and changing food demands, national and regional policies, and other macro-economic activities. These alterations combine to impact upon the hydrological system at different temporal and spatial scales (Falkenmark et al., 1999; Legesse et al., 2003; Schulze et al., 2004; Calder, 2005).

The hydrological response of a catchment is dependent, *inter alia*, upon the land use of the catchment, and is sensitive to changes thereof (Schulze, 2000; Bewket and Sterk, 2005), as any changes in land use or land cover alters the partitioning of precipitation between the various pathways of the hydrological cycle (Falkenmark et al., 1999; Costa et al., 2003), such as infiltration, total evaporation ( $E$ ), surface runoff ( $Q_s$ ) or groundwater recharge ( $Q_g$ ). Thus, to effectively manage water resources, the interdependence between land use and the hydrological system must be recognized (Comprehensive Assessment of Water Management in Agriculture, 2007) as ultimately, "any land management decision becomes a water management decision" (Falkenmark et al., 1999).

When considering climate change, an additional level of complexity is introduced into the relationship between land use and the hydrological system. Together, land use change and climate change form a complex and interactive system, whereby both human influences and climate changes can perturb land use patterns, and changes in land use, in turn, can feed back to influence the climate system (Turner et al., 1995), with both impacting on hydrological responses. Thus, effective water resources management now needs to take account of, and understand, the interactions between land use change, climate change and hydrological responses. It has been suggested that the use of a hydrological model which is conceptualized to accurately represent hydrological processes, sensitive to land use and adequately accounts for climate change drivers provides a means of assessing these complex interactions (Turner et al., 1995;

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Ewen and Parkin, 1996; Bronstert et al., 2002; Herron et al., 2002; Chang, 2003; Pfister et al., 2004; Hu et al., 2005; Samaniego and Bárdossy, 2006; Lin et al., 2007; Choi and Deal, 2008; Guo et al., 2008; Quilbé et al., 2008).

The *ACRU* agrohydrological model (Schulze, 1995; Smithers and Schulze, 2004) is one such model that has been suggested to be suitable for such studies as it is a daily time step process-based model with a multi-soil-layer water budget which is sensitive to land management and changes thereof, as well as to climate input and changes thereof (Schulze, 2005). However, to be able to use the *ACRU* model, and indeed any similar model, with confidence in assessing the interactions between land use change, climate change and hydrological responses, its suitability must be confirmed by assessing its ability to predict output when compared against observed data sets. The objective of this study, therefore, is to confirm the ability of the model through comparisons of its output with observed data sets in three climatically diverse catchments, viz. the Mgeni, Luvuvhu and Upper Breede catchments in South Africa, and thus assess the degree of confidence with which the *ACRU* model can be used to assess the hydrological responses to land use change and climate change. Using daily data, the study provides an assessment of the models ability to simulate total and mean flows as well as the variability of these.

For the purposes of this study, the authors have ascribed to the terminology suggested by Oreskes et al. (1994) and Refgaard and Henriksen (2004) that a model's results may be confirmed rather than verified or validated i.e. by confirming the results it produces, the adequacy of the model to produce results of an acceptable level is demonstrated (Refgaard and Henriksen, 2004). Confirmation of model results does not necessarily imply that the model is a truthful representation of reality; rather it supports the probability that the model is a correct representation of reality. The greater the range and number of confirmation studies the greater the probability that the model is not flawed (Oreskes et al., 1994).

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## 2 The *ACRU* Agrohydrological model

The *ACRU* model is a physical-conceptual, daily time-step, multi-level, multi-purpose model which has been developed over approximately 30 years in the School of Biore-sources Engineering and Environmental Hydrology at the University of KwaZulu-Natal in South Africa. The *ACRU* model has been applied extensively in South Africa for both land use impact studies (e.g. Schulze and George, 1987; Tarboton and Schulze, 1990; Kienzle and Schulze, 1995; Kienzle et al., 1997; Schulze et al., 1997; Schulze et al., 1997; Jewitt and Schulze, 1999; Schulze, 2000; Jewitt et al., 2004) and climate change impact studies (Perks and Schulze, 1999; Perks, 2001; Schulze et al., 2005). Figure 1 illustrates the conceptualization of the water budget in the *ACRU* model. The conceptualizations of the land use processes within the *ACRU* model are crucial to this study and are described in some detail below.

The *ACRU* model considers three processes when modelling the land use component, viz. canopy interception loss, evaporation from vegetated surfaces and soil water extraction by plant roots (Schulze, 1995). According to Schulze (1995), *ACRU* has several options for estimating the canopy interception component. In this study canopy interception losses per rainday were set using the interception loss parameter (*ACRU* variable name VEGINT) for each month of the year for each land use considered. These values (Table 1) taken from Schulze (2004), range from 3.5 mm per rainday for mature trees grown for commercial timber production to zero for freshly ploughed land, and they account for intra-annual differences in interception loss with growth stage and dormancy. Intercepted water stored in forest canopies has been found to evaporate at faster rates than the available energy from reference potential evaporation because of the higher advection and lower aerodynamic resistances of a wet forest canopy (Calder, 1992). Thus, within *ACRU* there is an option to enhance evaporation from forest canopies (Schulze, 1995). This option was used for the commercial forestry and alien vegetation land use units of the selected catchments.

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Within the *ACRU* model, total evaporation from a vegetated surface consists of both evaporation of water from the soil surface ( $E_s$ ) and transpiration ( $E_t$ ) which is governed by rooting patterns. These can be modelled either jointly or separately. In this study  $E_s$  and  $E_t$  were modelled separately. The crop coefficient ( $K_{cm}$ ) is used to estimate vegetation water use within the *ACRU* model. The crop coefficient is expressed as the ratio of maximum evaporation from the plant at a given stage of plant growth to a reference potential evaporation (Schulze, 1995). During periods of sustained plant stress, when the soil water content of both the upper and lower soil horizons falls below 40% of plant available water, transpiration losses are reduced in proportion to the level of plant stress. When plant available water increases to above 40% in either soil horizon the plant stress is relieved and the evaporative losses recover to the optimum value at a rate dependent on the ambient temperature (Schulze, 1995). Monthly values of  $K_{cm}$  for each land use are required as input to the model, and from the monthly values, daily values are computed internally in the model using Fourier Analysis (Schulze, 1995). The monthly input parameter values for the land uses considered in this study are given in Table 1.

Extraction of soil water from both soil horizons takes place simultaneously in the *ACRU* model, and is distributed according to the proportion of active roots within each horizon (Schulze, 1995). Thus, an input requirement is monthly values of the fraction of active roots in the topsoil horizon (ROOTA), from which the fraction in the lower soil horizon is computed internally. These monthly values account for genetic and environmental factors affecting transpiration, for example spring regrowth, winter dormancy, senescence, planting date and growth rates (Schulze, 1995). With regard to soil water extraction under stressed conditions, if the subsoil horizon is not below the stress threshold, but the topsoil horizon is, then the subsoil's contribution to total evaporation will be enhanced beyond that computed for its root mass fraction; similarly, the reverse is true (Schulze, 1995). Evaporation of soil water under wet conditions is suppressed by a surface cover, for example a litter layer (Lumsden et al., 2003). The assumption is made that the relationship between surface cover and soil water evaporation is linear,

and that complete surface cover still allows 20% of maximum evaporation from the soil water to occur. Actual soil water evaporation is calculated by accounting for the wetness of the soil after the suppressed maximum soil water evaporation for a day has been calculated (Lumsden et al., 2003).

5 The *ACRU* agrohydrological model is not a model in which parameters are calibrated to produce a good fit; rather, values of input variables are estimated from the physically characteristics of the catchment (Schulze and Smithers, 2004) using available information. Thus, a confirmation study to assess the performance of the model in simulating observed data was required, rather than calibration of the model parameters. The  
10 catchments which were selected for the confirmation study cover a range of climatic regimes found in South Africa and contain varied land uses. A description of the study areas follows, after which the results of the confirmation study are presented.

### 3 The research catchments

15 The Mgeni, Luvuvhu and Upper Breede catchments were selected for this study as they vary in both climate and land use. These South African catchments range in climates from the dry sub-tropical regions of the country in the north-east, to the winter rainfall areas of the Western Cape and the wetter eastern seaboard areas of the country with summer rainfall (Fig. 2). The Mgeni catchment is a complex catchment, both in terms of its land use and water engineered system. Although the Mgeni catchment  
20 only occupies 0.33% of South Africa's land surface, it is economically and strategically important as it provides water resources to ~15% of South Africa's population and supplies the Durban-Pietermaritzburg economic corridor in KwaZulu-Natal, which produces *ca.* 20% of the country's gross domestic product (Schulze et al., 2004). The Luvuvhu catchment has large areas of subsistence agriculture, but is also important  
25 in terms of conservation as it includes parts of the Kruger National Park. The Upper Breede catchment forms part of the headwaters of the Breede River Catchment in the

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Western Cape, where commercial orchards and vineyards, mostly under irrigation, are the primary activity. A more detailed description of the catchments follows.

### 3.1 Mgeni catchment

The Mgeni catchment (4349.42 km<sup>2</sup>) is located in the KwaZulu-Natal province of South Africa (Fig. 2). The altitude in the catchment ranges from 1913 m a.s.l in the western escarpment of the catchment to sea level at the catchment's outlet into the Indian Ocean (Fig. 3). The Mgeni catchment falls within the summer rainfall region of South Africa and generally experiences a warm subtropical climate. The mean annual precipitation (MAP) of the catchment varies from 1550 mm.p.a in the main water source areas in the west of the catchment to 700 mm.p.a in the drier middle reaches of the catchment. The rainfall throughout the catchment, is however, highly variable, both inter- and intra-annually. The mean annual potential evaporation ranges from 1567 mm.p.a to 1737 mm.p.a. The mean annual temperature ranges from 12 °C in the escarpment areas to 20 °C towards the coastal areas of the catchment.

The water engineered system within the Mgeni currently consists of four main dams (Fig. 3), namely Midmar (full supply capacity of 237 million m<sup>3</sup>) supplying Pietermaritzburg and parts of Durban, as well as Albert Falls (289 million m<sup>3</sup>), Nagle (23 million m<sup>3</sup>) and Inanda (242 million m<sup>3</sup>) dams supplying Durban (Summerton, 2008). Additionally, there are 300 farm dams within the middle to upper reaches of the catchment supplying water for 18 500 ha of irrigation. According to Summerton (2008) the Mgeni is a stressed system which is closed to new streamflow reduction activities for the foreseeable future.

The Mgeni catchment consists of 13 water management units (WMUs) as shown in Fig. 3. These WMUs were initially delineated as Quaternary Catchments by the Department of Water Affairs and Forestry according to altitude, topography, soils properties, land cover, water management (water inputs and abstractions), inter-basin transfers, water quality sampling points and streamflow gauging stations and have been used in major studies by Tarboton and Schulze (1992), and later by Kienzle et al.

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(1997) and Summerton (2008). For the purposes of this study, comparison of model output against observed data was undertaken at the gauged outlets of the Mpendle, Lions River and Karkloof WMUs and at a gauge point within the Henley WMU (Fig. 3). These WMUs were selected as there are no major dams upstream of the streamflow gauging weirs for which off-takes are not known. The WMUs differ in land use, and observed streamflow data of good quality and reasonable length was available for the time period that corresponds to the available land use data. A summary of the areas, MAPs and land uses in the Mgeni catchment as a whole, as well as the Mpendle, Lions River, Karkloof and Henley WMUs is given in Table 2.

### 3.2 Luvuvhu catchment

The Luvuvhu catchment (5940.35 km<sup>2</sup>), situated in the north-east of the Limpopo province of South Africa (Fig. 2), is drained by the Luvuvhu and Mutale Rivers, which flow in an easterly direction up to the confluence with the Limpopo River, on the South Africa and Mozambique border. The climate of the Luvuvhu catchment is variable, both spatially and temporally. The MAP varies from 1870 mm.p.a in the mountainous regions (1360 m.a.s.l) in the upper reaches of the catchment to 300 mm.p.a in the drier, lower (200 m.a.s.l) regions of the catchment. The mean annual potential evaporation ranges from 1905 mm.p.a to 2254 mm.p.a. Mean annual temperatures range from 17.4 °C in the mountainous regions to 24.2 °C towards the catchment outlet. The lower reaches of the Luvuvhu catchment fall within the boundaries of Kruger National Park, an important conservation and ecotourism area. A large proportion of the catchment is under subsistence agriculture (Table 3). The Luvuvhu catchment consists of 14 WMUs (Fig. 4) which were delineated according to the Quaternary Catchments and adjusted to accommodate streamflow gauging stations. Available and good quality observed streamflow data were a constraint for the confirmation study in the Luvuvhu catchment. However, based on a previous study by Jewitt et al. (2004) the Upper Mutale WMU (Fig. 4) presented an ideal opportunity for a confirmation study as good

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quality streamflow data were available and additionally the land use and climate was representative of the larger Luvuvhu catchment (Table 3).

### 3.3 Upper Breede catchment

The Upper Breede catchment (2046.44 km<sup>2</sup>) is located in the mountainous region of the Western Cape province of South Africa (Fig. 2). The topography of the catchment is fairly rugged, and altitude ranges from over 1990 m a.s.l to 200 m a.s.l. The Upper Breede catchment falls within the winter rainfall region of South Africa. The rainfall of the catchment is highly variable due to the topography, with the MAP varying between 1190 mm in the higher areas of the catchment to 350 mm.p.a in the lower areas of the catchment.

Irrigated commercial agriculture is the primary economic activity in the catchment, with the main crop being high value vineyards for wine production. Other farming products include deciduous fruit, dairy and grain. The catchment is also rich in biodiversity, which has led to conflicts between clearing of land for farming and conserving biodiversity (DWAF, 2004). In the lower reaches of the catchment there are two inter-basin transfer schemes which transfer water from the Upper Breede catchment into the neighboring Berg catchment for irrigation purposes (DWAF, 2004). The Upper Breede catchment consists of 11 WMUs, which were delineated according to the Quaternary Catchments, taking into account altitude, topography, land cover and streamflow gauging stations.

For the confirmation study the Koekedou and Upper Breë WMUs were chosen (Fig. 5). These WMUs have good quality observed streamflow data available of reasonable length and the land use of the WMUs is representative of that of the catchment as a whole (Table 3). In addition, these two WMUs are not affected by the interbasin transfer schemes.

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## 4 Data sources and model configuration

### 4.1 Subcatchment delineation and configuration

For each of the study areas, the WMUs were delineated into subcatchments which reflect the altitude, topography, soils properties, land cover, water management (water input and abstractions), and location of gauging stations. Through the delineation process the Mgeni catchment was delineated into 145 subcatchments, the Luvuvhu catchment into 52 subcatchments and the Upper Breede into 31 subcatchments. These subcatchments can be considered relatively homogeneous in terms of climate and soils; however, the land use within each subcatchment varies. For this reason each subcatchment was further divided into major land use units for modelling purposes. The modelling units were configured such that their streamflows cascade (route) into each other in a logical sequence representative of river flow, and an example of the flow sequence of a subcatchment in the Mgeni is shown in Fig. 6.

### 4.2 Historical climatological data

The hydroclimatological requirements of the *ACRU* model are daily rainfall and daily reference evaporation (A-pan equivalent), with the latter computed from daily minimum and maximum temperature if not provided explicitly. Representative rainfall stations with daily records were chosen for each of the catchments. For the Mgeni catchment 15 rainfall stations were selected, while 16 rainfall stations were selected for the Luvuvhu catchment and nine to represent the rainfall of the Upper Breede catchment. The stations were chosen on the basis of the reliability of the record, the altitude of the rainfall station in relation to that of the streamflow gauge, and the rainfall station's location in respect of the catchment. For each of the chosen stations a 40-year record (1960–1999) of daily rainfall was extracted from a comprehensive daily rainfall database for South Africa compiled by Lynch (2004). Although every effort was taken by Lynch (2004) to remove, or correct for, various identified errors and anomalies, a rainfall database of

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5 this magnitude can never be rendered totally error free. To improve the rainfall stations' representation of the catchments' areal rainfall, the option in the *ACRU* model to adjust the daily rainfall record by a month-by-month adjustment (multiplication) factor was invoked. This monthly adjustment factor was obtained by dividing the catchment's median monthly rainfall obtained from geographically weighted regression derived 1' by 1' raster surfaces of median monthly rainfall (Lynch, 2004) by the rainfall station's median monthly rainfall.

10 As daily A-pan records were not available for the catchment, the Hargreaves and Samani (1985) daily A-pan equivalent reference evaporation equation, which is an option in the *ACRU* model and only requires daily maximum and minimum temperatures as inputs, was used to estimate daily values. Bezuidenhout (2005) found that the Hargreaves and Samani (1985) equation mimicked the daily values of reference evaporation well for South Africa. The daily minimum and maximum temperatures for the same 40-year period as the rainfall were extracted from a 1' by 1' latitude/longitude raster database of daily temperatures for South Africa (Schulze and Maharaj, 2004) for 15 a point closest to the centroid of each subcatchment which represented the median altitude of the subcatchment.

### 4.3 Soils

20 The *ACRU* model revolves around a daily multi-layer soil water budget, and operates with surface layer characteristics and two active soil layers, viz. a topsoil and subsoil, into which infiltration of rainfall occurs and in which rooting development and soil water extraction take place through the evaporation and transpiration processes, as well as capillary movement and saturated drainage (Schulze, 1995). Thus, information is required on the thickness of the topsoil and subsoil, as well as on soil water content 25 at the soil's lower limit (i.e. permanent wilting point), its drained upper limit (i.e. field capacity) and saturation for both the topsoil and subsoil, and furthermore also on the fraction of 'saturated' soil water (above drained upper limit) to be redistributed daily from the topsoil to the subsoil, and from the subsoil into the intermediate/groundwater

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store (Schulze, 1995). Values for these variables were obtained for the three study areas from the electronic data accompanying the “South African Atlas of Climatology and Agrohydrology” (Schulze et al., 2008).

#### 4.4 Streamflow response variables

In the *ACRU* model, streamflow response variables are used to govern the portion of generated stormflow exiting a catchment on a particular day, as well as the portion of baseflow originating from the groundwater store, which contributes to streamflow. For the Mgeni and Luvuvhu catchments it was assumed that 30% of the total stormflow generated in a subcatchment would exit the same day as the rainfall event which generated the stormflow, this being a typical value for South African subcatchments of the size in this study (Schulze et al., 2004). However, given the steepness of the Upper Breede catchment it was assumed that 60% of the total stormflow generated in a subcatchment would exit on the same day (Schulze et al., 2004). On any particular day it is assumed that 0.9 % of the groundwater store will become baseflow. This value has been found to be representative of large parts of southern Africa (Schulze et al., 2004). The thickness of the soil profile from which stormflow generation occurs is set to the thickness of the topsoil, except in the sugarcane and commercial forestry land use units where it was set to 0.35 in accordance with the various studies reviewed in Schulze (1995).

The coefficient of initial abstraction is a variable in *ACRU* which is used to estimate the rainfall abstracted by soil surface interception, detention surface storage and initial infiltration before stormflow commences (Schulze, 1995). This value varies from month-to-month and differs, *inter alia*, according to land use, soil surface conditions and typical seasonal rainfall intensity characteristics (Schulze, 2004; Table 8). Impervious areas are hydrologically important and are represented in the urbanized land use units by inputting the fraction of the subcatchment that is impervious according to typical South African values developed by Schulze and Tarboton (1995). In regard to impervious areas the model distinguishes between adjunct impervious areas which

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are connected directly to rivers or stormwater systems and disjunct impervious areas, i.e. those not connected directly to rivers or stormwater systems, with values used in this study shown in Table 5.

#### 4.5 Water bodies and irrigation

5 Surface areas of the reservoirs in the Mgeni, Luvuvhu and Upper Breede catchments were obtained from 1:50 000 topographic map sheets dating from 1996 to 2002. Using the algorithm developed by Tarboton and Schulze (1992) the capacity of the reservoirs was calculated from these surface areas. Reservoir seepage was assumed to be equal to 1/1500 of the dam's capacity. Although environmental flow schedules exist for large  
10 dams, no environmental flow estimates were available for farm dams in the headwaters of the catchments thus, as suggested in Schulze (1995), environmental flows were assumed to be equal to seepage.

Irrigation areas were identified from the NLC (2000). The irrigation schedule was set at 20 mm applied in a fixed 7 day cycle, with the cycle interrupted only after 20 mm  
15 of rain on a given day. Spray evaporation and wind drift losses were input at 12% and conveyance losses at 10% following typical values summarized by Smithers and Schulze (2004).

#### 5 Results of confirmation studies

The model was run for the full rainfall record, but the period for the confirmation exercises was governed by availability of gauged data for the respective WMUs. Given the objective of the study to be an assessment of the confidence with which the *ACRU* model can be used when determining hydrological responses to changes in land use and climate, the ability of the model to simulate the variability of streamflows as well as accumulated flows was considered. For this study, the objectives for an adequate simulation were set as a percentage difference between the sum of simulated flows ( $\sum Q_s$ )  
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and sum of observed flows ( $\sum Q_o$ ) of less than 15% of  $\sum Q_o$ , a percentage difference between the standard deviation of simulated daily flows ( $\sigma_s$ ) and standard deviation of observed flows ( $\sigma_o$ ) of less than 15% of  $\sigma_o$ , and an  $R^2$  value in excess of 0.7 for daily simulated flows. These objectives are those suggested for daily simulations by Smithers and Schulze (2004) given the high spatial variability of rainfall in the catchments. To evaluate the goodness-of-fit further, the Nash-Sutcliffe efficiency index ( $E_f$ ) (Nash and Sutcliffe, 1970) was used. Values of  $E_f$  that are greater than zero and, approaching one are preferred.

## 5.1 Mgeni catchment results

Statistics of the performance of the *ACRU* model on the four WMUs included in the confirmation study for the Mgeni catchment are shown in Table 5, and graphs of observed and simulated streamflow, with the daily values accumulated to monthly totals, are shown in Fig. 7. Gauged data were available for 1987–1998. For the Mpendle WMU the low flows were well simulated and the high flows were marginally undersimulated (Fig. 7), with the simulated stormflows not being responsive to actual events. The unresponsiveness of the stormflows could be attributed to the portion of degraded land in the WMU, which totals 4%. However, this degraded land is unevenly distributed through the WMU, making the simulation of its combined effects difficult. As the total flows are adequately simulated, the percentage difference between the observed and simulated standard deviation is less than 15%, the  $R^2$  of daily values is 0.836 and the Nash-Sutcliffe  $E_f$  is 0.802 (Table 6), the simulation of streamflow in the Mpendle WMU can be considered highly acceptable.

The Lions River WMU similarly produced acceptable results with an  $R^2$  of 0.882 (Table 5). Total values of streamflow were, however, undersimulated, with the rates of baseflow and, consequently, the hydrograph recessions providing the reason for the undersimulation (Fig. 7).

Both baseflows and high flows were oversimulated in the Karkloof WMU, resulting in a difference of 13.05% between the daily means of the simulated and observed

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streamflows. However, the simulation was considered reasonable given that the Nash-Sutcliffe  $E_f$  is 0.655 and the other statistics (Table 6) fell within the objectives outlined for this confirmation study. The large portion of the Henley WMU under informal residential areas made this WMU a problematic catchment to model. Informal residential areas in South Africa are unstructured and diverse in their nature. In modelling these areas, it is not possible to fully capture the diversity of land uses and soil compaction within these areas. Thus, due to this difficulty the results of the confirmation study for the Henley WMU can be considered reasonable as all statistics, except for the percentage difference between the standard deviations were within the objectives set for the confirmation study.

The range of land uses represented in the catchment as a whole, and within the individual WMUs, made it difficult to achieve satisfactory simulations. This difficulty was reflected in the statistics produced by the confirmation study. Overall, however, the *ACRU* model performed well on each of the four WMUs included in the confirmation study. The above results show that the *ACRU* model can be used to simulate streamflows of the Mgeni catchment, with its highly diverse land uses, with reasonable confidence.

## 5.2 Luvuvhu catchment results

Observed streamflow data of appropriate quality in the Luvuvhu Catchment were only available for one gauging station, viz. A9H004, which is located at the outlet of the Upper Mutale WMU. The period of acceptable data is 1970 to 1990. The statistics of goodness-of-fit (Table 7) for the Upper Mutale WMU are highly acceptable. Total values of streamflow are simulated well, with accumulated totals of observed and simulated streamflows following similar patterns (Fig. 8). The regression coefficient and intercept indicate that low flows are well simulated, however, high flows are slightly undersimulated. The Nash-Sutcliffe  $E_f$  of 0.715 supported the acceptability of the results. The satisfactory goodness-of-fit statistics produced for the Upper Mutale WMU imply that

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it may be suggested that streamflows of the larger Luvuvhu Catchment can also be simulated with confidence using the *ACRU* model.

### 5.3 Upper breede catchment results

The verification study in the Upper Breede Catchment was carried out on two WMUs for the period 1987–1998 for which observed streamflow data were available. The goodness-of-fit statistics produced for the Koekedou WMU are highly acceptable (Table 8). The Nash-Sutcliffe  $E_f$  of 0.785 was attained. The regression intercept and regression coefficient (Table 8) indicate a slight undersimulation of the baseflows and an undersimulation of the high flows. However, total accumulated flows (Fig. 9, top) were well simulated, with the simulated pattern closely matching that of the observed.

Total accumulated flows for the Upper Breë WMU were adequately simulated, with the patterns of the observed flows well mimicked by those of the simulated flows (Fig. 9, bottom). The statistics of performance for the Upper Breë show that the percentage difference of the means and the percentage difference of the standard deviations between simulated and observed flows fall within the acceptable limits outlined for the verification study (Table 8). However, the  $R^2$  value of 0.649 is lower than the outlined objectives for the study. One reason for this is that the Upper Breë WMU contains steep topography which makes capturing the responsiveness of high flows difficult. However, since the total flows, means and standard deviations were all simulated well, the simulation for the Upper Breë WMU can be considered acceptable. As the *ACRU* model performed well on the Koekedou and satisfactorily on the Upper Breë WMU, it is concluded that streamflows for the Upper Breede Catchment can be simulated with reasonable confidence.

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## 6 Discussion

No fieldwork was carried out in the Mgeni, Luvuvhu and Upper Breede Catchments to determine values of input variables. Thus the simulation results produced in this confirmation study were based on national land use and soils information, together with default input values obtained from the *ACRU* User Manual where no better information was available. Based on the simulation results presented above and that the  $E_f$  ranged between 0.847 and 0.597, it is suggested that the *ACRU* model can be used with confidence to simulate the streamflows of the Mgeni, Luvuvhu and Upper Breede Catchments. The *ACRU* model has been used to aid decision-making in South Africa, and applied in numerous hydrological designs, water resource assessments and research projects both in South Africa and internationally (Schulze, and George, 1987; Schulze, 1988; Smithers, 1991; Tarboton, and Schulze, 1991; Smithers, and Caldecott, 1993; New and Schulze, 1996; Butterworth et al., 1999; Jewitt and Schulze, 1999; Smithers et al., 2001; Schulze and Smithers, 2004; Jewitt et al., 2004; Kiker et al., 2006). To demonstrate the model's ability and acceptance, confirmation studies, and in particular confirmation studies at a daily time interval, need to be undertaken. This study, beyond gaining confidence in the *ACRU* model's ability to be used in assessments of impacts of land use and climate changes on hydrological responses, adds to the available literature confirming that the model's process representation is a relatively accurate reflection of reality at a daily time step and over a range of climatic regions.

Although, confidence in the *ACRU* model's ability to simulate hydrological responses with past and present observational data has been demonstrated under widely ranging climatic and land use conditions, this is no guarantee that the model will necessarily continue to perform at a satisfactory level when used to predict the future (Oreskes et al., 1994). The hydrological system is dynamic (Nordstrom et al., 2005) and, under future climate scenarios, may change in unanticipated ways and may exceed the range under which the model's process representations have been tested. Determination of model input variables such as the streamflow response variables, and the question as

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to whether the conceptualizations of the processes within the model will be the same under future changes, remain major sources of uncertainty in hydrological modelling. However, to aid future water resource planning, simulations of hydrological responses to plausible scenarios land use and climate change are required. The uncertainties in this regard should be therefore recognized and, where possible, be constrained (Beven, 2006), rather than being seen as a reason not to proceed with studies projecting future changes.

By covering a wide range of climates, from the dry sub-tropical Luvuvhu catchment, to the wetter and sub-humid Mgeni catchment in a summer rainfall region and the Upper Breede catchment with winter frontal rainfall, the confidence in the model's ability to represent hydrological responses under a range of climates has increased. Thus, in effect by using a space for time study, the uncertainty of the model's ability to cope with the projected future climate scenarios is reduced. Furthermore, as the model was shown to be sensitive to diverse land uses, including commercial forestry, natural vegetation, urban areas and subsistence agriculture, uncertainties regarding the model's ability to be sensitive to land use change are also seen to be constrained. However, it is noted that the representation of informal residential areas could be a shortcoming of the model, as the unstructured nature of these areas is difficult to capture with the model's input variables. An advantage of the *ACRU* model over many others, in regard to land use and climate change studies, is that it explicitly simulates the stormflow and baseflow components of streamflow, and this is important as the partitioning of rainfall into different flow components may change under future climatic conditions. Through this confirmation study, the model's ability to represent high flows and low flows was assessed. Although, either the low flows or high flows in some WMUs (for example the Lions River WMU) were either slightly over- or undersimulated, overall the representation of low flows and high flows was considered to be good.

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## 7 Conclusions

The *ACRU* model has successfully accounted for a diverse range of land uses within the three catchments used in this study, which provides confidence in the model's ability to assess hydrological responses of land use change. Furthermore, the three catchments selected for the study experience diverse climates, and based on the results produced, the *ACRU* model performs satisfactorily across the range of climates. It is therefore suggested that the model is appropriate as a tool to assess hydrological responses of catchments to land use and climate changes.

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**Table 1.** Monthly values of water use coefficients, canopy interception per rainday, root mass distribution in the topsoil, coefficient of initial abstractions and index of suppression of soil water evaporation by a litter/mulch layer, for the land uses occurring in the Mgeni, Luvuvhu and Upper Breede catchment (Schulze, 2004).

Land Use	Variable	Monthly values											
		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
<b>Commercial Forestry</b>													
<i>– Acacia</i>													
	CAY	0.90	0.90	0.90	0.88	0.85	0.86	0.89	0.90	0.92	0.92	0.90	0.90
	VEGINT	2.00	2.00	2.00	2.00	1.90	1.85	1.85	1.85	1.90	1.95	2.00	2.00
	ROOTA	0.83	0.83	0.83	0.83	0.83	0.83	0.83	0.83	0.83	0.83	0.83	0.83
	COAIM	0.25	0.25	0.25	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.25	0.25
<i>– Eucalyptus</i>													
	CAY	0.95	0.95	0.95	0.95	0.95	0.95	0.95	0.95	0.95	0.95	0.95	0.95
	VEGINT	2.50	2.50	2.50	2.50	2.50	2.50	2.50	2.50	2.50	2.50	2.50	2.50
	ROOTA	0.65	0.65	0.65	0.65	0.65	0.65	0.65	0.65	0.65	0.65	0.65	0.65
	COAIM	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35
<i>– Pinus</i>													
	CAY	0.85	0.85	0.85	0.85	0.85	0.85	0.85	0.85	0.85	0.85	0.85	0.85
	VEGINT	3.50	3.50	3.50	3.50	3.50	3.50	3.50	3.50	3.50	3.50	3.50	3.50
	ROOTA	0.66	0.66	0.66	0.66	0.66	0.66	0.66	0.66	0.66	0.66	0.66	0.66
	COAIM	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35
<b>Agriculture</b>													
<i>– Dryland temporary commercial agriculture</i>													
	CAY	0.99	0.84	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.48	0.78
	VEGINT	1.40	1.40	1.40	1.20	1.00	1.00	1.00	1.00	0.80	0.00	0.80	1.40
	ROOTA	0.78	0.91	1.00	1.00	1.00	1.00	1.00	1.00	1.00	0.92	0.79	0.74
	COAIM	0.20	0.20	0.25	0.30	0.30	0.30	0.30	0.30	0.30	0.35	0.30	0.25
<i>– Irrigated temporary commercial agriculture</i>													
	CAY	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7
	VEGINT	1.40	1.40	1.40	1.20	1.00	1.00	1.00	1.00	0.80	0.00	0.80	1.40
	ROOTA	0.78	0.91	1.00	1.00	1.00	1.00	1.00	1.00	1.00	0.92	0.79	0.74
	COAIM	0.20	0.20	0.25	0.30	0.30	0.30	0.30	0.30	0.30	0.35	0.30	0.25

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**Table 1.** Continued.

Land Use	Variable	Monthly values											
		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
– Irrigated temporary commercial agriculture	CAY	0.80	0.80	0.8	0.70	0.60	0.50	0.50	0.50	0.60	0.70	0.80	0.80
	VEGINT	1.40	1.40	1.40	1.40	1.20	1.00	1.00	1.20	1.30	1.40	1.40	1.40
	ROOTA	0.80	0.80	0.80	0.90	1.00	1.00	1.00	1.00	0.90	0.90	0.80	0.80
	COAIM	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30
– Commercial Sugarcane	CAY (inland)	0.83	0.83	0.83	0.83	0.83	0.83	0.83	0.83	0.83	0.83	0.83	0.83
	CAY (coastal)	0.86	0.86	0.86	0.86	0.86	0.86	0.86	0.86	0.86	0.86	0.86	0.86
	VEGINT (inland)	1.70	1.70	1.70	1.70	1.70	1.70	1.70	1.70	1.70	1.70	1.70	1.70
	VEGINT (coastal)	1.90	1.90	1.90	1.90	1.90	1.90	1.90	1.90	1.90	1.90	1.90	1.90
– Pasture grass	ROOTA	0.75	0.75	0.75	0.75	0.75	0.75	0.75	0.75	0.75	0.75	0.75	0.75
	COAIM	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35
	CAY	0.55	0.55	0.55	0.55	0.35	0.20	0.20	0.20	0.35	0.45	0.55	0.55
	VEGINT	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.70
– Subsistence agriculture	ROOTA	0.95	0.95	0.95	0.95	0.95	1.00	1.00	1.00	0.95	0.95	0.95	0.95
	COAIM	0.15	0.15	0.15	0.25	0.30	0.30	0.30	0.30	0.30	0.30	0.20	0.15
	CAY	0.80	0.70	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.35	0.60
	VEGINT	1.00	1.00	0.60	0.50	0.50	0.50	0.50	0.50	0.50	0.00	0.50	0.80
	ROOTA	0.74	0.78	0.91	1.00	1.00	1.00	1.00	1.00	1.00	1.00	0.92	0.79
	COAIM	0.20	0.20	0.25	0.30	0.30	0.30	0.20	0.20	0.20	0.35	0.30	0.25

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**Table 1.** Continued.

Land Use	Variable	Monthly values											
		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Urbanised Areas	CAY (inland)	0.70	0.70	0.70	0.60	0.30	0.30	0.30	0.30	0.45	0.65	0.70	0.70
	CAY (coastal)	0.80	0.80	0.80	0.70	0.50	0.50	0.50	0.50	0.55	0.75	0.80	0.80
	VEGINT (inland)	1.40	1.40	1.40	1.40	1.20	1.20	1.20	1.20	1.30	1.40	1.40	1.40
	VEGINT (coastal)	1.6	1.6	1.6	1.6	1.4	1.4	1.4	1.4	1.5	1.6	1.6	1.6
	ROOTA	0.80	0.80	0.80	0.90	1.00	1.00	1.00	1.00	0.95	0.80	0.80	0.80
– Built-up (CBD, industrial areas)	COAIM	0.15	0.15	0.20	0.25	0.30	0.30	0.30	0.30	0.30	0.25	0.20	0.15
	CAY (inland)	0.80	0.80	0.70	0.60	0.40	0.40	0.40	0.40	0.60	0.70	0.80	0.80
	CAY (coastal)	0.80	0.80	0.80	0.70	0.60	0.50	0.50	0.50	0.50	0.60	0.80	0.80
	VEGINT (inland)	1.40	1.40	1.30	1.20	1.10	1.00	1.00	1.00	1.00	1.30	1.40	1.40
	VEGINT (coastal)	1.5	1.5	1.5	1.5	1.3	1.2	1.2	1.2	1.2	1.3	1.5	1.5
– Formal Residential (Suburbs, flats, includes educational areas)	ROOTA	0.85	0.85	0.85	0.90	0.95	0.95	0.95	0.95	0.90	0.85	0.85	0.85
	COAIM	0.20	0.20	0.20	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.25	0.20
	CAY	0.65	0.65	0.65	0.55	0.30	0.20	0.20	0.20	0.30	0.50	0.55	0.65
	VEGINT	1.50	1.50	1.50	1.50	1.50	1.50	1.50	1.50	1.50	1.50	1.50	1.50
– Informal Residential – Urban & Rural Informal (differentiation in impervious areas)	ROOTA	0.90	0.90	0.90	0.94	0.98	1.00	1.00	1.00	1.00	0.95	0.90	0.90
	COAIM	0.15	0.15	0.15	0.20	0.30	0.30	0.30	0.30	0.30	0.30	0.20	0.15
	CAY	0.55	0.55	0.55	0.45	0.25	0.2	0.2	0.2	0.4	0.45	0.55	0.55
	VEGINT	0.8	0.8	0.8	0.7	0.6	0.6	0.6	0.6	0.65	0.75	0.8	0.8
	ROOTA	0.9	0.9	0.9	0.95	0.95	1	1	1	0.95	0.9	0.9	0.9
Degraded Natural Vegetation	COAIM	0.1	0.1	0.1	0.15	0.15	0.2	0.2	0.2	0.2	0.15	0.1	0.1
	CAY	0.90	0.90	0.90	0.90	0.90	0.90	0.90	0.90	0.90	0.90	0.90	0.90
	VEGINT	1.70	1.70	1.70	1.70	1.70	1.70	1.70	1.70	1.70	1.70	1.70	1.70
	ROOTA	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.70
Alien Vegetation	COAIM	<b>0.35</b>	<b>0.35</b>	<b>0.35</b>	<b>0.35</b>	<b>0.35</b>	<b>0.35</b>	<b>0.35</b>	<b>0.35</b>	<b>0.35</b>	<b>0.35</b>	<b>0.35</b>	<b>0.35</b>



**Table 2.** Summary of selected features and land uses of the Mgeni Catchment and the WMUs selected for the confirmation studies.

	Mgeni Catchment	Mpendle WMU	Lions River WMU	Karkloof WMU	Henley WMU
Area (km <sup>2</sup> )	4349.42	295.69	362.02	334.29	219.98
MAP (mm.p.a)	918.18	963.48	963.72	1044.96	947.77
Average Altitude (m.a.s.l)	923.30	1556.00	1387.29	1302.54	1280.05
Gauging station	–	U2H013	U2H007	U2H006	U2H011
Land use (% of area)					
Natural vegetation	57.1	68.2	54.4	50.3	50.9
Water bodies	1.9	1.5	1.8	0.7	0.1
Alien vegetation	0.7	2.7	2.0	1.0	1.7
Degraded areas	2.4	4.1	2.1	0.5	2.7
Commercial forestry	16.0	15.4	15.8	33.6	5.2
Commercial agriculture					
–Sugarcane	5.8	0.0	0.0	0.0	0.0
–Irrigated	4.4	6.2	16.5	11.1	1.8
–Dryland	1.0	1.1	7.1	2.6	0.4
Subsistence agriculture	2.1	0.7	0.0	0.0	12.7
Urban areas					
–Commercial	0.7	0.0	0.0	0.0	0.0
–Formal residential	2.9	0.1	0.3	0.0	0.0
–Informal residential	4.9	0.0	0.0	0.0	24.4

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**Table 3.** Summary of selected features and land uses of the Luvuvhu Catchment and the Upper Mutale WMU.

	Luvuvhu Catchment	Upper Mutale WMU
Area (km <sup>2</sup> )	5940.35	328.91
MAP (mm.p.a)	684.49	961.02
Average Altitude (m.a.s.l)	589.45	932.92
Gauging Station	–	A9H004
Land use (% of area)		
Natural vegetation	62.5%	60.8%
Water bodies	0.2%	0.0%
Degraded areas	8.1%	4.3%
Commercial forestry	6.0%	12.7%
Commercial agriculture (Irrigated)	3.0%	2.6%
Subsistence agriculture	15.8%	13.4%
Informal residential areas	4.4%	6.2%

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**Table 4.** Summary of selected features and land uses of the Upper Breede Catchment and the WMUs selected for verification.

	Upper Breede Catchment	Koekedou WMU	Upper Breë WMU
Area (km <sup>2</sup> )	2046.44	48.17	655.74
MAP (mm.p.a)	619.66	788.28	573.54
Average Altitude (m.a.s.l)	716.96	934.00	810.07
Gauging Station	–	H1H013	H1H003
Land use (% of area)			
Natural vegetation	75.8%	78.8%	66.4%
Water bodies	2.2%	2.5%	2.5%
Commercial forestry	0.5%	0.2%	0.4%
Commercial agriculture (Irrigated)			
-Permanent	12.7%	18.5%	16.2%
-Temporary	7.9%	0.0%	12.9%
Residential & Urban areas	0.8%	0.0%	1.5%



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**Table 6.** Statistics of performance of the *ACRU* model Mgeni Catchment: Comparison of Daily Observed and Simulated Values.

WMU (1987–1998)	Mpendle	Lions River	Karkloof	Henley
Total observed flows (mm)	3444.068	2507.196	3456.985	2635.724
Total simulated flows (mm)	3171.486	2257.643	3005.969	2533.988
Ave. error in flow (mm/day)	−0.063	−0.058	−0.105	−0.024
Mean observed flows (mm/day)	0.796	0.582	0.803	0.629
Mean simulated flows (mm/day)	0.733	0.524	0.698	0.605
% Difference between means	7.91%	9.95%	13.05%	3.86%
Std. Deviation of observed flows (mm)	1.823	1.734	1.228	1.246
Std. Deviation of simulated flows (mm)	2.011	1.947	1.305	1.541
% Difference between Std. Deviations	−10.34%	−12.31%	−6.26%	−23.67%
Correlation Coefficient : Pearson's R	0.915	0.939	0.844	0.886
Regression Coefficient (slope)	1.009	1.055	0.897	1.095
Regression Intercept	−0.070	−0.090	−0.022	−0.084
Coefficient of Determination: $R^2$	0.836	0.882	0.713	0.785
Nash–Sutcliffe Efficiency Index ( $E_f$ )	0.802	0.847	0.655	0.654

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**Table 7.** Statistics of performance of the *ACRU* model Luvuvhu Catchment: Comparison of Daily Observed and Simulated Values.

WMU (1970–1990)	Upper Mutale
Total observed flows (mm)	6689.166
Total simulated flows (mm)	7056.196
Ave. error in flow (mm/day)	0.050
Mean observed flows (mm/day)	0.904
Mean simulated flows (mm/day)	0.954
% Difference between means	−5.49%
Std. Deviation of observed flows (mm)	2.631
Std. Deviation of simulated flows (mm)	2.635
% Difference between Std. Deviations	0.16%
Correlation Coefficient : Pearson's R	0.858
Regression Coefficient (slope)	0.859
Regression Intercept	0.177
Coefficient of Determination: $R^2$	0.736
Nash–Sutcliffe Efficiency Index ( $E_f$ )	0.715

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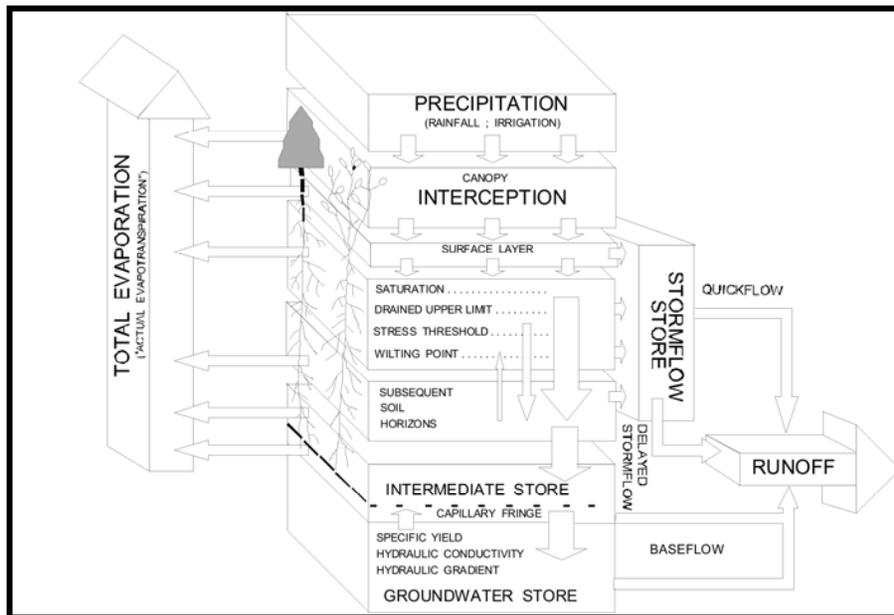

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**Table 8.** Statistics of performance of the *ACRU* model Upper Breede Catchment: Comparison of Daily Observed and Simulated Values

WMU (1987–1999)	Koekedou	Upper Breë
Total observed flows (mm)	4642.359	1809.043
Total simulated flows (mm)	4844.046	2070.138
Ave. error in flow (mm/day)	0.046	0.055
Mean observed flows (mm/day)	1.051	0.384
Mean simulated flows (mm/day)	1.097	0.439
% Difference between means	−4.34%	−14.43%
Std. Deviation of observed flows (mm)	2.382	0.823
Std. Deviation of simulated flows (mm)	2.375	0.840
% Difference between Std. Deviations	0.28%	−2.03%
Correlation Coefficient : Pearson's R	0.892	0.805
Regression Coefficient (slope)	0.890	0.821
Regression Intercept	0.161	0.124
Coefficient of Determination: $R^2$	0.796	0.648
Nash–Sutcliffe Efficiency Index ( $E_f$ )	0.785	0.597

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**Fig. 1.** Representation of the water budget in the *ACRU* model (Schulze, 1995; Schulze and Smithers, 2004).

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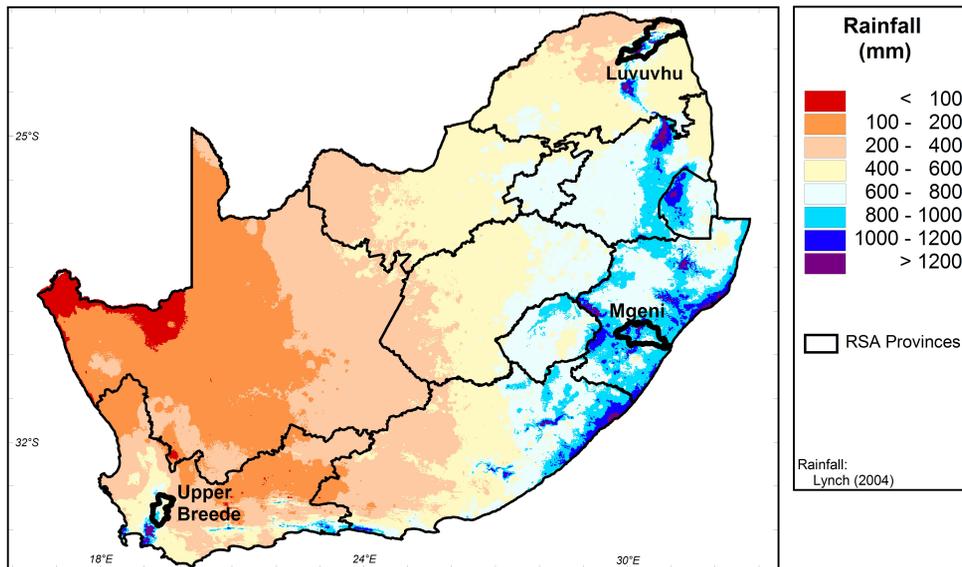
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**Fig. 2.** Location of the study catchments superimposed on a map of the mean annual precipitation (MAP) of South Africa (MAP after Lynch, 2004).

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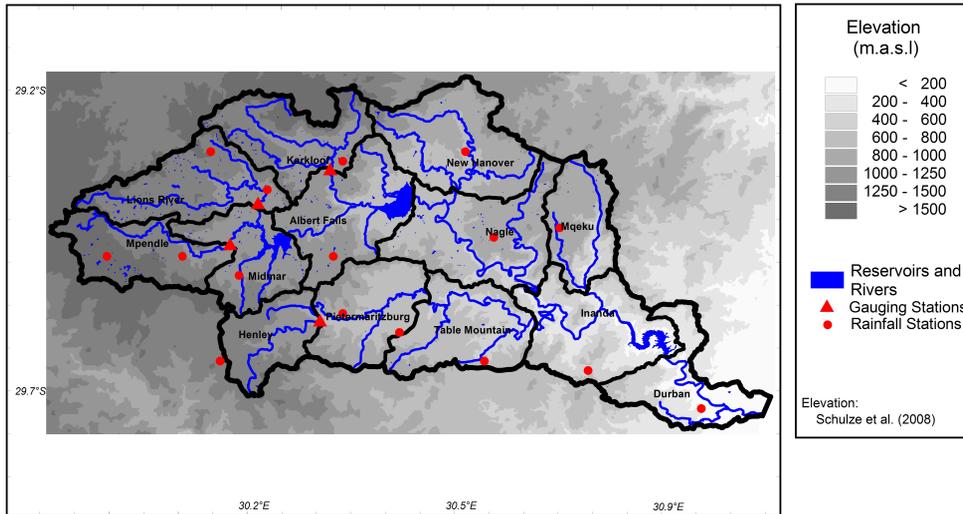


Fig. 3. Water Management Units of the Mgeni catchment.

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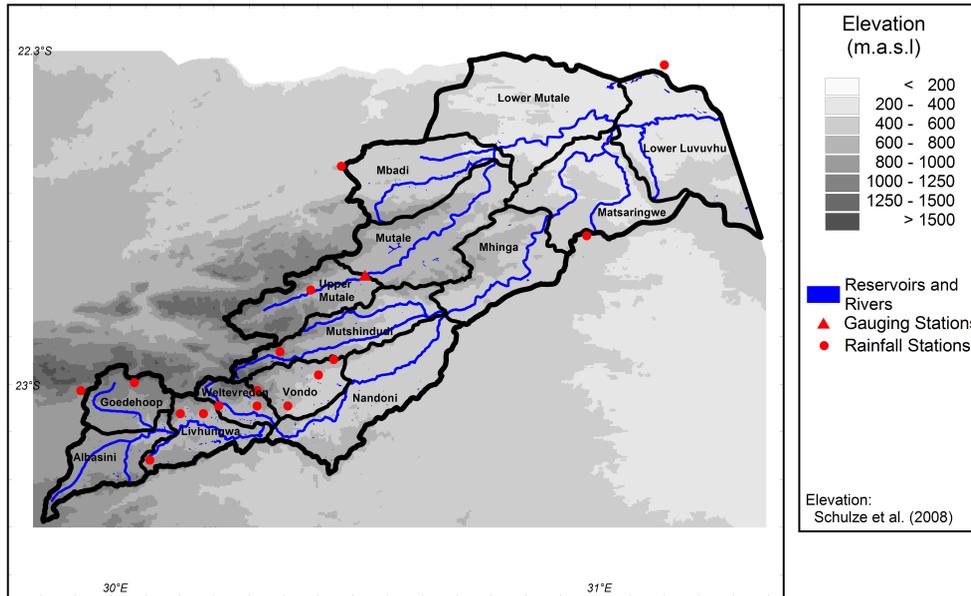


Fig. 4. Luvuvhu Water Management Units.

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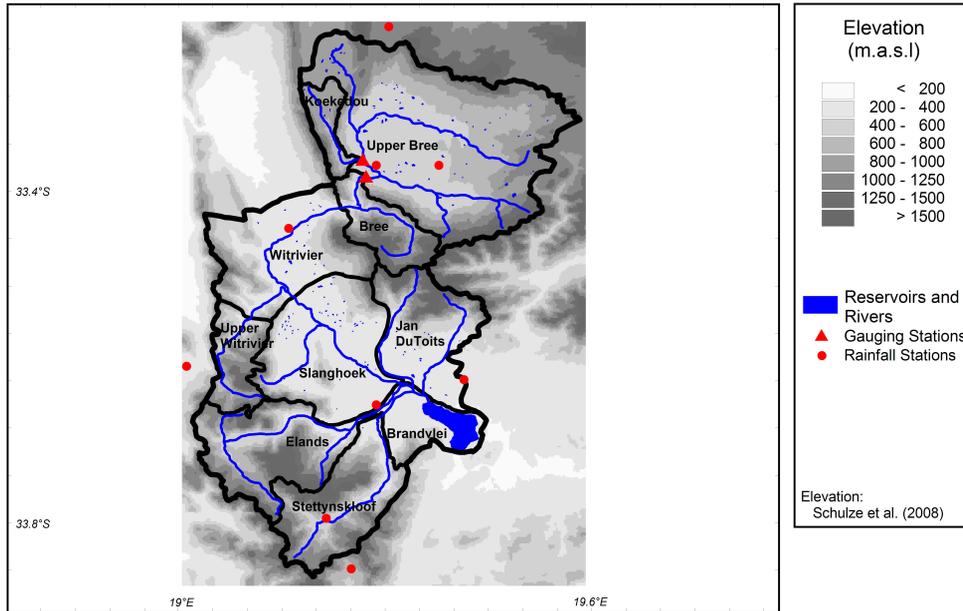
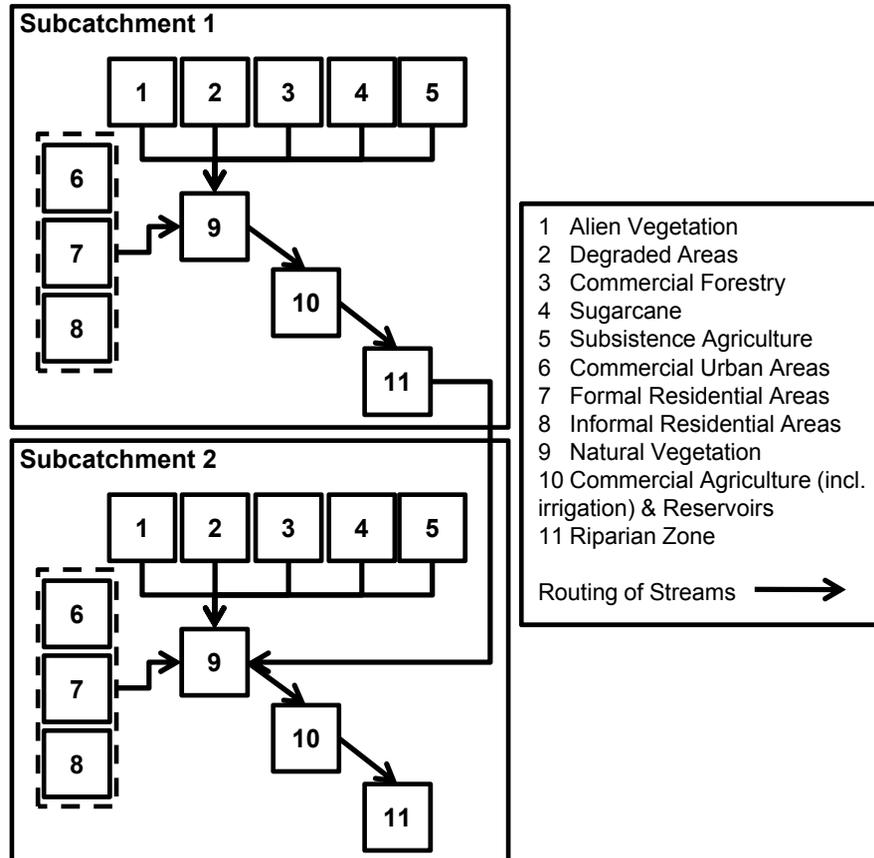


Fig. 5. Upper Breede Water Management Units.

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**Fig. 6.** An example from the Mgeni catchment of cascading (i.e. routing) of flows between subcatchment and land use units within each subcatchment.

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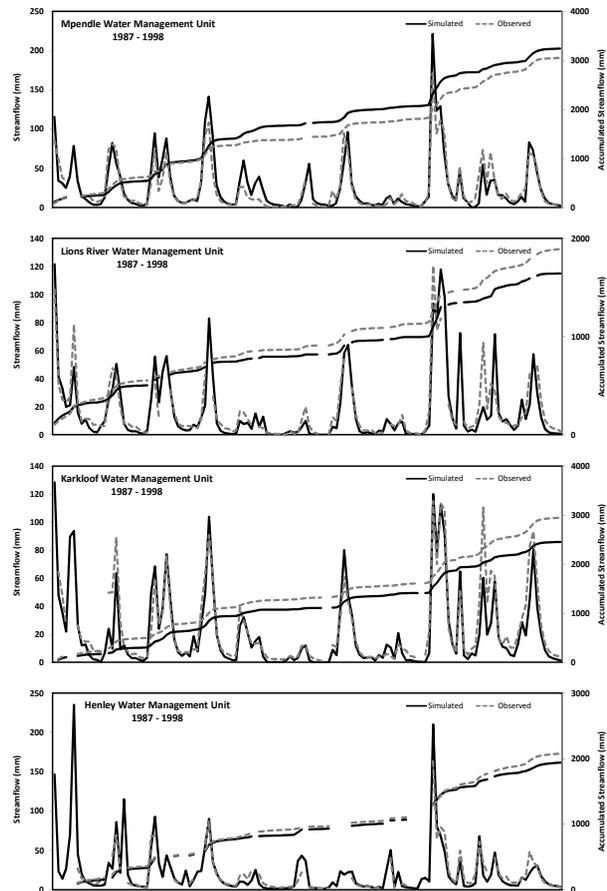
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**Fig. 7.** Comparison of monthly totals of daily simulated and observed streamflows for (from top to bottom) the Mpendle WMU, Lions River WMU, Karkloof WMU and the Henley WMU of the Mgeni Catchment.

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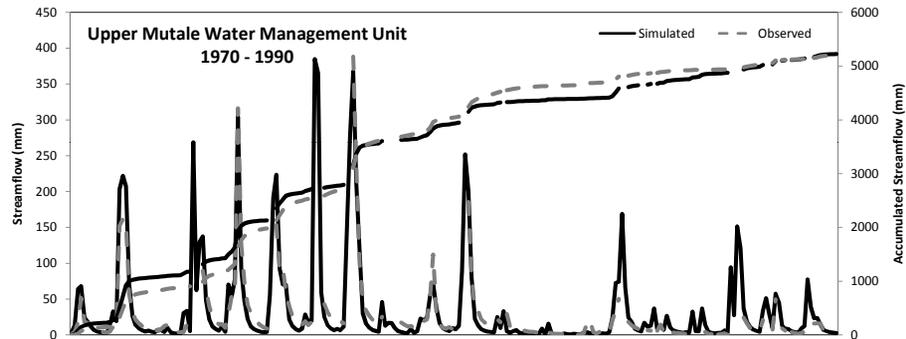
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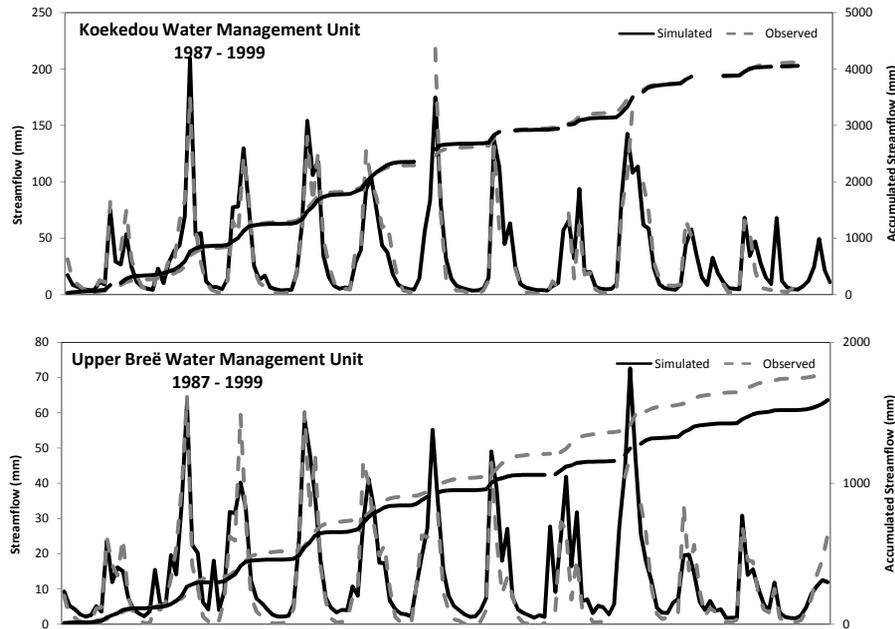


**Fig. 8.** Comparison of monthly totals of daily simulated and observed streamflows for the Upper Mutale WMU of the Luvuvhu Catchment.

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**Fig. 9.** Comparison of monthly totals of daily simulated and observed streamflows for (from top to bottom) the Koekedou WMU and the Upper Breë WMU of the Upper Breede Catchment.

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