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# Towards improving river discharge estimation in ungauged basins: calibration of rainfall-runoff models based on satellite observations of river flow width at basin outlet

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## Abstract

Rainfall-runoff models are common tools for river discharge estimation in the field of hydrology. In ungauged basins, the dependence on observed river discharge data for calibration restricts applications of rainfall-runoff models. The strong correlation between quantities of river cross-sectional water surface width obtained from remote sensing and corresponding in situ gauged river discharge has been verified by many researchers. In this study, a calibration scheme of rainfall-runoff models based on satellite observations of river width at basin outlet is illustrated. One distinct advantage is that this calibration is independent of river discharge information. The at-a-station hydraulic geometry is implemented to facilitate shifting calibration objective from river discharge to river width. The generalized likelihood uncertainty estimation methodology is applied to model calibration and uncertainty analysis. The calibration scheme is demonstrated through a case study for simulating river discharge at Pakse in the Mekong Basin. The effectiveness of calibration scheme and uncertainties associated with utilization of river width observations from space are examined from model input-state-output behaviour, capability of reproducing river discharge, and posterior parameter distribution. The results indicate that the satellite observation of river width is a competent surrogate of observed discharge for the calibration of rainfall-runoff model at Pakse and the proposed method has the potential for improving reliability of river discharge estimation in basins without any discharge gauging.

## 1 Introduction

As a major link between the continents and the oceans, river discharge is an important component in the global hydrologic and biochemical cycles. Furthermore, it provides essential information for many scientific researches and engineering tasks associated with water resource management and flood hazard prevention. There is a consensus that the current monitoring networks can not detect the complexity of variations in

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surface water systems adequately (Alsdorf et al., 2007). Nevertheless, these limited in-situ networks and access to river discharge information have been reducing in the past decades (IAHS, 2001; Fekete and Vörösmarty, 2007). In recent years, improvement of river discharge estimation has become a hot topic for researchers in both of remote sensing and hydrology.

The remote-sensing approach is promising for increasing the spatial coverage of river discharge estimations globally. With the improvement of sensor technology and successful launches of many satellite platforms from different countries, several surface water hydraulic characteristics of large rivers can be measured or evaluated from remote sensing data, which include average river width over certain reach length, water surface elevation, water surface slope, and channel morphology. In many studies, through empirical relations which works in the similar way as rating curves for in-situ discharge gauging, the information derived from space were used like ground measurements of these hydraulic variables for scaling river discharge at certain cross-sections along a river channel. The empirical rating curves are single-variable relations (e.g., Smith et al., 1995; Zhang et al., 2004; Smith and Pavelsky, 2008; Kouraev et al., 2004; Coe and Birkett, 2004) or multivariate relations (e.g., Smith et al., 1996; Bjerklie et al., 2005; Bjerklie, 2007), in which either river width or water surface elevation is indispensable for scaling the cross-sectional area that water flow occupied and the balance between gravity and friction. Water surface elevation is easy for in-situ monitoring and intuitional for evaluating the degree of inundation. Therefore, it is more appealing for ground gauging. However, from space, river width observation is more readily available than water stage, as it can be extracted from many kinds of remotely sensed imagery. The current radar altimeters (e.g., TOPEX/Poseidon and Envisat) only provide one dimensional spot water level measurements along orbit track, leaving large area between orbits unobserved. This problem of sparse spatial coverage could be solved by the future Surface Water Ocean Topography (SWOT) mission (Jet Propulsion Laboratory, 2009). One key obstacle for applications of the empirical relations is the dependence on river discharge data for identifying the rating curve parameters.

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Until a reliable parameterization scheme could be found, the discharge ratings are generally not applicable to river systems where ground discharge measurements are totally unavailable. The second concern is that uncertainties in satellite observations are larger and more complex than ground measurements. And defining a proper error model is difficult at this moment, as the full evaluation of the errors require comparison between ground and space measurements from large data sets (Bjerklie et al., 2005; Birkett, 1998). Therefore, the impact of measurement error propagation is hard to be evaluated. Another question need to be addressed is how to estimate the variation of discharge in the periods between instant measurements, for which the timing is constrained by the satellite repeat cycle.

One specific objective for the scientific initiative of Predication in Ungauged Basins (PUB) (Sivapalan et al., 2003) launched by International Association of Hydrological Sciences (IAHS) is reducing uncertainty in river discharge prediction. In the field of hydrology, the rainfall-runoff model is a common tool for extending river discharge both in time and space (e.g., Bastola et al., 2008). Based on the mathematical description of the rainfall-runoff relation for the target basin, it computes the surface runoff corresponding to meteorological forcing data. As a simplified representation of the hydrological cycle, the rainfall-runoff model contains conceptual or physical parameters which are usually not directly measurable. Therefore, fixing parameters through the process of calibration based on observed behavior for the basin is necessary. In most cases, the observed data are the gauged river discharge at the basin outlet. For ungauged basins, the main approach for parameter identification is regionalization, which infers the parameters values from the characteristics of the target ungauged basin, based on the statistical relationships between model parameters and basin attributes for a large number of gauged basins (Gupta et al., 2005). As being pointed out by Sivapalan et al. (2003), this kind of extrapolation remains fraught with considerable difficulties and uncertainties.

In this study, the above mentioned efforts in remote sensing and hydrology are combined together to make a new approach for river discharge estimation in ungauged



basins. A new calibration scheme for rainfall-runoff model is proposed. Instead of river discharge data, the calibration is based on river width time series derived from a series of remote sensing images for the basin outlet. One distinct advantage of this approach is that the calibration is independent of in-situ gauged discharge data. And unlike regionalization schemes which transfer information from gauged basins to the ungauged basin, only limited remotely sensed information of the ungauged basin is utilized for parameter identification. The potential of using water stages derived from remote sensing as calibration data of a coupled hydrologic-hydraulic model has been demonstrated by Montanari et al. (2009), which aims at improving prediction of flood extent in ungauged basins. The method proposed in this study aims at reproducing long time series of river discharge at daily time scale, by utilizing remotely sensed river widths as calibration data of a hydrologic model. The reason of using river width is that, at this moment, satellite observations of width have wider spatial coverage, compared with water stage. Our method could also make contributions to assess the value of remote sensing data for solving hydrologic problems, which remains an issue that has not been well understood (Wagner et al., 2009). In the subsequent section, the details about the methodology will be introduced. The calibration scheme is carried out under the Generalized Likelihood Uncertainty Estimation (GLUE) framework to quantify the uncertainty in the modeling process. Then a case study at Pakse in the Mekong Basin will be demonstrated, which use river widths derived from Japan Earth Resource Satellite-1 (JERS-1) Synthetic Aperture Radar (SAR) images as calibration data. Finally, based on the results of the case study, the applicability of the methodology will be discussed and some conclusions outlined.

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## 2 Methodology

### 2.1 Shift the calibration objective of rainfall-runoff model to river width at the basin outlet

Essentially, a rainfall-runoff model can be considered as a system as follows:

$$Q = f(l|\boldsymbol{\eta}) \quad (1)$$

where  $l$  is the model input, such as rainfall,  $Q$  is river discharge at basin outlet as output,  $\boldsymbol{\eta}$  is the vector of model parameters,  $f$  is the group of functions representing the system structure. Model calibration is the process making the model closely simulate the river discharge at the basin outlet by selecting proper values for the parameters.

The parameter values being identified are considered as correct representation of the runoff generation process.

The observed river discharge used for regulating model simulation is the time series of quantity of water that flows through the river channel cross-section at the basin outlet. It is the product of cross-sectional water surface width, mean depth and mean velocity.

Inversely, from the aspect of river morphology, values of the above mentioned three components corresponding to certain amount of river discharge reflect the river geomorphology. This is the basis of the at-a-station hydraulic geometry theory (Leopold and Maddock, 1953) which relates the water surface width, depth, and velocity, to discharge, at certain cross-section by the power function respectively. The power function for depth and flow is commonly used as rating curve for scaling discharge from in situ gauging of water surface elevation. However, in this study, we focused on developing rating curve relationship from discharge and river width, because satellite observations have much wider spatial coverage. The at-a-station hydraulic geometry relation for river width is as follows:

$$W = aQ^b \quad (2)$$

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where  $Q$  is discharge,  $W$  is river width,  $a$  and  $b$  are two empirical parameters reflecting the hydraulic condition at the cross section. Traditionally,  $a$  and  $b$  are derived from regression analysis on a series of values of  $Q$  and  $W$  measured at the cross section. The applicability of this function to describe the relation between ground gauged river discharge and river width observed from space was verified by Smith et al. (1995, 1996, and 2008). In this study, this function is used to shift the observed basin behavior, which regulates the simulation made by the rainfall-runoff model, from river discharge data to satellite observed river width time series for the basin outlet. More specifically, the simulated discharge is used as input discharge to calculate cross-sectional water surface width based on Eq. (2). Consequently, the river discharge has become a state variable and the river width at the basin outlet has become the output of the integrated model:

$$W = g(l|\theta) \quad (3)$$

where  $l$  is the same input as in Eq. (1),  $\theta$  is the vector of model parameters which include all elements of  $\eta$ ,  $a$  and  $b$ ,  $g$  is the system structure which contains the rainfall-runoff functions and Eq. (2). The calibration of this integrated model is accomplished by adjusting each element of  $\theta$  simultaneously to find a good fit between river width estimates and satellite measurements, which eliminates the need of river discharge data and consequently facilitates the application in ungauged basins. Through this process of matching model simulation to satellite observations, the values of  $a$  and  $b$  are also identified as the rainfall-runoff modeling parameters, without referring to any information derived from ground measurement at the cross section. The identified parameters are considered to reflect the runoff generation process and the “river width generation” process at the basin outlet appropriately. Finally, the calibrated rainfall-runoff model alone is utilized for river discharge estimation. The schematic of the methodology is illustrated in Fig. 1.

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## 2.2 Source of uncertainties under the calibration scheme

Without estimation of the reliability, the proposed calibration scheme can not be applied with confidence. For hydrological modeling, prediction uncertainty comes from four types of sources: randomness of nature, error in data, parameter uncertainty, and model structure. In the subsequent paragraphs, we focus on the statements of the additional uncertainties introduced by shifting calibration objective.

1. Uncertainties associated with at-a-station hydraulic geometry relation. The power relation is a simplification of the relation between discharge and river width, which can only approximate the hydraulic condition for in-bank flow at river segments that is not influenced by a strong backwater effect. The relation is assumed to be stable during the calibration period. However, the exponent and coefficient of the power function may vary over time in real situation, due to the changes in the cross section shape. Another issue is that we implicitly assume that a parameter set that can make a good simulation of river width can also performs well for river discharge estimation. It is somehow doubtful, because parameter adjustment is not based on discharge information.
2. Uncertainties associated with satellite observations of river width. The precision of river width measurement from space depends on the spatial resolution of satellite images (varies from 0.61 m for QuickBird data to 250 m for MODIS data), which leads to the fact that the measurement error is not negligible. Unlike using continuous river discharge data, utilization of intermittent satellite measurements means the parameter adjustment is only based on the information at the simulation time steps that observations are available. And the model behaviors in the time steps between observations (usually weeks to months long) are not regulated by the calibration.

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## 2.3 The GLUE framework

The Generalized Likelihood Uncertainty Estimation (GLUE) is a Bayesian analysis based Monte Carlo method for model calibration and uncertainty analysis (see Beven and Binley, 1992; Freer et al., 1996 for details). This framework is utilized in this study to make a quantitative analysis of uncertainty in the modeling process and derive some insights about the effectiveness of the proposed method. Due to limitations in model structure, data and calibration scheme, a not uncommon phenomenon in rainfall-runoff modeling is that a lot of quite different parameter sets can make equally good simulations (equifinality). GLUE gives up the thought that only one optimal parameter set exists, instead, it divides all parameter sets into two groups: behavioral ones and un-behavioral ones, based on likelihood measure which quantifies the degree of belief of a parameter set being a good simulator. All of the behavioral sets are used for making simulation. The distribution of likelihood value for behavioral sets is treated as a probabilistic weighting function for the predicted variables (Beven and Binley, 1992). According to this, a cumulative distribution of the model predictions is formulated and the uncertainty quantiles are computed. Under GLUE, some subjective choices are made explicitly, which are expected to be reasonable for the modeling work. The implementation of GLUE in this study is as follows:

1. Generate random samples from parameter space. A large number of parameter sets need to be generated for Monte Carlo simulations based on prior parameter distributions. In this study, the uniform distribution with lower and upper bounds are assumed to present the priori distributions of parameters.
2. Calculate likelihood values for parameter sets and select behavioral ones. The likelihood measure quantifies the difference between simulation and observations. It should be assigned as zero for all parameter sets that can not reproduce the observations and should increase monotonically as the performance rises. The

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reciprocal of relative mean square error (RMSE) is used and computed as follows:

$$L_y[\theta|Y] = \frac{1}{\sqrt{\frac{1}{n} \sum (Y_i - Z_i)^2}} \quad (4)$$

where  $L_y[\theta|Y]$  is the value of likelihood measure for parameter set  $\theta$  conditioned on observations  $Y$ ,  $Y_i$  is the number  $i$  satellite observation of river width,  $Z_i$  is model simulated value at the time step that the number  $i$  observation was made from space, and  $n$  is the total number of satellite observations. The threshold for rejecting parameter sets as nonbehavioral ones is another subjective choice that needs to be specified. For the proposed calibration strategy, it depends on resolution of satellite images, river size and degree of river width variation at basin outlet.

3. Calculate posterior likelihood distribution for behavioral parameter sets. Conditioned on the satellite observations, the likelihood is updated based on Bayes equation in the form:

$$L_p[\theta|Y] = CL_y[\theta|Y]L_o[\theta] \quad (5)$$

where  $L_o[\theta]$  is the prior likelihood weight for the parameter set  $\theta$ , which is same for all behavioral sets in this study,  $L_y[\theta|Y]$  is the likelihood value calculated in step two,  $L_p[\theta|Y]$  is the posterior likelihood weight conditioned on observations  $Y$ , and  $C$  is a scaling constant that makes the sum of  $L_p[\theta|Y]$  for all behavioral sets equal to unity.

4. Calculate uncertainty quantiles. The cumulative distribution of the predictions weighted by likelihood is calculated as follows:

$$P_t(Z_t < z) = \sum_{i=1}^m L_p[\theta_i|Z_{t,i} < z] \quad (6)$$

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where  $P_t(Z_t \leq z)$  is the cumulative probability of the value of predicted variable  $Z$  less than an arbitrary value  $z$  at time step  $t$ ,  $L_p[\theta_i]$  is the posterior likelihood weight of parameter set  $\theta_i$ , for which the prediction at time step  $t$  ( $Z_{t,i}$ ) is less than  $z$ ,  $m$  is the total number of the parameter sets satisfying the condition of  $Z_{t,i} < z$ . From this cumulative probability distribution, a lower 5% and upper 95% quantiles is obtained at every time step. These two quantiles for all simulation steps constitute the simulation limits, which characterize the uncertainty associated with the parameterization of the model conditioned on the model structure, input and calibration data, the parameter sets being used, and the subjective choices made in GLUE (e.g., the selection of likelihood measure and rejection threshold value). If the 90% simulation intervals are large enough to cover most of the observations, it means the parameter variability alone can account for the total output certainty (Blasone et al., 2008). However, many GLUE applications show that the prediction limits can not encompass the observations at the percentage equalling to the specified certainty level (e.g., the above defined 90% prediction limits) (Beven, 2006; Montanari, 2005) due to the uncertainties in the modelling process.

As illustrated in Fig. 1, the direct output of the integrated model is river width at basin outlet. The uncertainty limits of river width are expected to bracket most of river widths observations. At the same time, these limits should be narrow to guarantee predictive capability. Not referring to any information about discharge, the likelihood value is computed merely based on the performance of river width simulation. To make discharge estimation, we assume that a parameter set can make good river width simulation can also make equally good river discharge estimation. Then the posterior likelihood distribution obtained in step three is also treated as a probabilistic weighting function for river discharge. For the same period as calibration, the values of rainfall-runoff parameters in each behavioural parameter set are applied to the rainfall-runoff model alone to make river discharge simulation. Subsequently, in the similar way as river width, uncertainty limits of river discharge are drawn, which define the uncertainty in the process of river discharge simulation.

### 3 Application to Mekong River at Pakse

#### 3.1 Description of study area

The Mekong River is the 12th longest river in the world, with a drainage area of 795 000 km<sup>2</sup>. It originates from Tibetan Plateau and flows through Yunnan Province in China, Myanmar, Laos, Thailand, Cambodia and Vietnam, as shown in Fig. 2. Climate varies from cold in upstream region to tropical climate in downstream region. The annual average rainfall is around 1570 mm. River discharge estimation was carried out for the Pakse gauging station, which is located in main stem of the Mekong River, southwest Laos. The upstream area of Pakse (545 000 km<sup>2</sup>, according to MRC, 2003) is treated as our target area for rainfall-runoff modelling.

#### 3.2 Extraction of river width from satellite imagery

River widths at Pakse region were extracted from 16 scenes of Japan Earth Resource Satellite-1 (JERS-1) Synthetic Aperture Radar images (Level 2.1) captured during 1995–1998, with a processed spatial resolution of 12.5 m. JERS-1 was launched in February 1992 and terminated in October 1998 by Japan. Active microwave emitted by the SAR is specularly reflected by smooth open water bodies. Backscatter values from river water surface are relative consistent (Smith et al., 1995), which facilitates water area classification. To reduce measurement error and localized variability, average river width (mentioned as “effective width” by Smith et al., 1996) over a selected reach at Pakse was extracted. Spatial extent of the reach is shown in Fig. 3. The channel length is roughly 11 times of bankfull width at Pakse gauging station, which conforms to the suggestions of Leopold et al. (1964) and Bjerklie et al. (2005). For each image, average width is calculated as:

$$W_e = \frac{a_w}{l} = \frac{a_a - a_i - a_s}{l} \quad (7)$$



$W_e$  is effective width,  $a_w$  is water surface area within the reach,  $l$  is reach length,  $a_a$  is the total area within edge of water surface that contacts with river bank,  $a_i$  is the area of permanent islands, and  $a_s$  is the area of sandbars. The area components in Eq. (7) were delineated through visual interpretation as demonstrated in Fig. 3. In Fig. 4, the average river widths derived from space are plotted against corresponding daily river discharge data at Pakse station. The best fitted curve in the form of power function is  $W = 1221.3 Q^{0.0341}$ . And a strong correlation ( $R^2=0.92$ ) exists between the two variables. However, the low exponent value (0.0341), which is common in large rivers systems (Latrubesse, 2008), suggests that the increase in river width is not proportionate with the increase in the discharge: Ratio of discharge variation (maximum minus minimum) to minimum observed discharge in Fig. 4 is 19.4; but this ration for river width observations from space is 0.1. Moreover, detected river width variation (maximum minus minimum) is only nearly 13 times of spatial resolution of JERS1 SAR images (12.5 m).

### 3.3 Rainfall-runoff model and GLUE setup

The HYdrological MODel (HYMOD) was used in this demonstrative case study. It was originally developed by Boyle (2001) and has been adopted in studies about hydrological model parameter estimation and uncertainty analysis (e.g., Moradkhani et al., 2005; Schaeffli and Gupta, 2007). Originating from the probability-distributed principle proposed by Moore (1985), HYMOD is a daily step rainfall excess model based on a non-linear water storage capacity distribution function. The routing system includes a sequence of three quick-flow tanks which describe surface flow, in parallel to a slow-flow tank corresponding to groundwater. The model structure is depicted in Fig. 5 and parameters are listed in Table 1. The original HYMOD uses basin averaged rainfall and potential evapotranspiration as input, which cannot describe spatial heterogeneity adequately, due to the huge drainage area (545 000 km<sup>2</sup>). It was revised to account for spatial variation in rainfall and evapotranspiration: The study area was divided into eight subbasins. HYMOD was applied to each subbasin, keeping the values of the

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three runoff generation parameters ( $C_{\max}$ ,  $B_{\exp}$ , and  $\alpha$ ) same among the subbasins. The two routing parameters ( $Kq$  and  $Ks$ ) were treated as spatially varied ones, using the distance between each subbasin and Pakse as a scaling factor. At each time step, the amount of river discharge at Pakse is the sum of the water that comes from each subbasin, and reaching Pakse at that specific time step. The daily rainfall data from 26 station for the period of 1995–1998, and Ahn and Tateishi monthly potential evapotranspiration (Ahn and Tateishi, 1994) were used as input. Observed river discharge data at Pakse station are also available for validation.

A total of seven parameters, five from HYMOD and the other two from the power relation between discharge and river width at Pakse (see Table 1) are calibrated under GLUE scheme. For calibration, 50 000 parameter sets were generated using Latin-Hypercube sampling algorithm, based on uniform distribution and specified ranges of model parameters as shown in Table 1. According to Eq. (4), likelihood value for each parameter set was computed, as a quantification of the difference between the river widths observed from space and river width estimates made by the integrated model, which was translated into the degree of goodness for each parameter set.

## 4 Results and discussion

### 4.1 River width simulation

The input-state-output behaviour of the model was examined. The selection of a proper criterion for rejecting parameter sets as nonbehavioural ones is a direct way of arriving at the balance between narrow simulation intervals and encompassing most of observations, after model structure, data and likelihood measure being decided. At first, we arbitrarily chose a low value for the likelihood measure (0.0167, corresponding RMSE: 60 m) as rejection criterion. Out of 50 000 generated samples, 1090 sets were kept as behavioural ones. The resulting uncertainty intervals are demonstrated in Fig. 6. All of the 16 river width observations derived from space are embraced.

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To further reduce simulation uncertainty, a stricter criterion (0.0333, corresponding RMSE: 30 m) was applied to draw simulation intervals. This time, only 151 sets reach this threshold. The observations are still encompassed by the simulation intervals. Meanwhile, the uncertainty is significantly reduced as shown in Fig. 6. This value is a more proper criterion, because the uncertainty seems like being minimized (locations of some observations are very close to the uncertainty boundary). The matching between river width estimates and satellite observations suggests the model input-state-output behaviour is reliable, which is one precondition for making trustworthy river discharge estimation.

## 4.2 River discharge estimation

The rainfall-runoff model parameters values in each behavioural set were applied to HYMOD alone to simulate river discharge. Fortunately, in situ gauged discharge data at Pakse are available for inspecting the performance of river discharge simulation. Figure 7 depicts the uncertainty intervals for the two selected rejection thresholds respectively. The 90% uncertainty interval is close to observed daily discharge at Pakse. And timing of variation in river discharge is well reproduced. The percentage of observations within simulation intervals is 39.8% and 70.3% for threshold 0.0333 and 0.0167 respectively, which are comparable with other hydrological modelling studies using GLUE (Montanari, 2005; Jia, 2008). Nevertheless, even all of river widths are within the simulation intervals, some of the river discharge observations are out of the uncertainty limits of discharge estimation. It somehow reveals an inevitable truth: uncertainties associated with shifting calibration objective need to be examined.

Under the proposed calibration scheme, an implicit assumption is made: a parameter set that makes good river width simulations can also produce equally good river discharge estimations. Only when this extrapolation of model performance from river width to discharge is valid, can the method be reliable. For each parameter set that likelihood value is higher than 0.0167 (RMSE<60 m), the Nash-Sutcliffe efficiency of simulated discharge was computed and plotted against the likelihood value as shown

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in Fig. 8. It can be seen that the capability in reproducing river discharge varies among the plausible parameter sets that performs equally well in river width simulation (e.g., the plots crossed by Line A). However, as the likelihood value increases, this variation decreases among the parameter sets with same likelihood value, and the average performance increases (e.g., the plots on Line B are more converged than the ones on Line A and average Nash efficiency is higher). The above mentioned characteristics indicate that a positive correlation exists, which somehow validates the assumption.

The distribution of the scatter plots also demonstrates limitations in using river width as calibration data. In Fig. 8, for plots in Region C, performance in discharge estimation is poor. This partially can be explained by the fact that judgments made by GLUE are only based on whether the likelihood value is good or not. But this issue is not a major concern, because the contributions of the sets in Region C to discharge estimation are limited, due to their relatively low likelihood value. The plots in Region D are more worth noting. They stand for the parameters sets can make good discharge simulations and span the whole range of behavioral likelihood value. Many good parameter sets in this region indicate river width observations from space do work well as a surrogate of river discharge and also indicate the equifinality in rainfall-runoff modeling. The plots with low likelihood value in this region will have low weight in discharge estimations, due to their relatively poorer performance in river width simulation. This may cause some minor details about hydrograph being lost. But major variation in river discharge can still be reproduced, because many sets with high likelihood value also exist in this region.

### 4.3 Posterior parameter distributions

Confidence in the proposed method also relies on the consistency between parameter estimates and reality of the basin. In this context, posterior parameter distributions conditioned on calibration data may give us some insights into model reliability (Winsemius et al., 2006). The likelihood values for the 1090 behavioural parameter sets versus the values of the parameters of HYMOD and at-a-station hydraulic geometry relation are

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plotted in Figs. 9 and 10 respectively. As an expected representation of equifinality, good values cover the whole original parameter ranges listed in Table 1 for the five parameters of HYMOD. In contrast, the two parameters of at-a-station hydraulic geometry relation (i.e.,  $a$  and  $b$  in Eq. (2)) are strongly constrained by calibration. This indicates that these two parameters are sensitive and they do not compensate for rainfall-runoff model parameters' effect. The values of  $a$  and  $b$  are determined by geometry and hydraulic condition of the cross-section (Ferguson, 1986; Dingman, 2007). They are usually derived through regression analysis based on values of discharge and river width observations. The posterior distributions of  $a$  and  $b$  are in single peak shape, which is consistent with the fact that a strong correlation between river width and discharge exists at Pakse. And the parameter values that maximize likelihood measure is 1363.1 and 0.023 respectively, which are close to the best fitted curve ( $a=1221.3$ ,  $b=0.0341$ ) shown in Fig. 4. These facts raise the confidence that the relation between river width and discharge at Pakse region is properly reflected by posterior distributions of  $a$  and  $b$ .

#### 4.4 Implications for application to ungauged basins

Judging from above three aspects, satellite observation of river width is a competent surrogate of observed discharge for the calibration of rainfall-runoff model. Admittedly, general insights for real applications to ungauged basins are limited from one single case study. However, some implications still can be obtained for future applications.

In spite of the fact that the river width variation at Pakse is only tenfold satellite resolution, the application was successful. It indicates that the proposed method could be applicable to river segments with low width exponent value in at-a-station hydraulic geometry relation (less than 0.1), for which river width variation is low and consequently detecting this variation from space takes on highest difficulty. Besides a strong correlation between river discharge and width exists, which depends on the hydraulic process at basin outlet, another requirement for a successful application is that river width variation is detectable from space. The detectability is related to sensor's resolution.

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Nowadays satellite image products with decimeter level resolution (e.g., QuickBird) are available. As pointed out by Xu et al. (2004), besides large rivers, these very high resolution images make river width variation of medium size, even small size rivers detectable from space. Judging from the views of cross section type and river size, a broad applicability of the proposed method is promised.

Another distinct difference from calibration using river discharge is that only intermittent observations are used for parameter identification. In the case study, robust parameter sets were identified from the information provided by only 16 discontinuous observations during the 4 year period. This density of observations is in line with Perrin et al. (2007). Their results shows that only 10 random selected records from 39-year long continuous discharge record is still possible to derive reasonable parameter estimates. This low requirement in satellite observation amount may come from the fact that the hydrograph variation at Pakse is regular and smooth, as depicted in Fig. 7, and satellite observations for both dry and wet conditions are included in calibration data. For such hydrograph, only several observations per year may capture the essence of river discharge variation. Indeed, the information provided by the satellite observations should be enough to identify the parameters being calibrated. Montanari et al. (2009) have illustrated the situation that the calibration based on satellite observations failed to identify model parameters, which was explained by the fact that the amount of remotely sensed information was not sufficient. To reproduce hydrograph with high irregularity and variability, satellite observations with higher observation frequency are required. For basins without discharge gauging, temporal distribution in rainfall data may provide some clues to infer river discharge variability (Smakhtin and Masse, 2000).

Likelihood value for each parameter set defines the difference between river width observations and the simulation. Ultimately, it is treated as the degree of confidence in making good river discharge estimation. Results from this case study indicate that this extrapolation is effective, but not perfect: although the uncertainty quantiles can cover all river width measurements, the simulation intervals of river discharge can not encompass all observations. Through trial-and-error, a proper rejection threshold may be

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found to bracket most of width observations with relatively narrow uncertainty intervals, just like the threshold 0.333 in the case study. However, a moderate threshold may be desired to cover more river discharge, as the threshold 0.167. There is no question that this will increase uncertainty in discharge estimation. But the uncertainty associated with selection of rejection threshold could be examined under GLUE. It is also worth noting that utilization of moderate threshold does not guarantee 100% coverage of river discharge, because it can not overcome inherent model structure error. For example, in Fig. 7, the upper boundaries for both of threshold 0.0167 and 0.333 are below the observed flood peak for 1997 and they are almost the same during that period, which may be explained by the imperfect description of spatial variation in rainfall during the flood period.

## 5 Conclusions and recommendation

In this study, a new calibration scheme for rainfall-runoff models was illustrated, aiming at improving river discharge estimation in ungauged basins. Based on at-a-station hydraulic geometry relation, simulated discharge by rainfall-runoff model is converted into river width at basin outlet. Through this integration, calibration objective is shifted into minimizing the difference between river widths observed from space and simulated widths by tuning parameters of rainfall-runoff model and at-a-station hydraulic geometry relation simultaneously. The GLUE procedure was adopted to calibrate the integrated model and define the uncertainty associated with prediction. Under the proposed calibration scheme, the need for observed discharge is eliminated. At the same time, the difficulty of determining absolute discharge time series from satellite observations alone is also overcome. The full scope of this new calibration scheme was explored through the case study at Pakse in the Mekong Basin: The uncertainty intervals can cover all of the river width observations from space. The 90% uncertainty intervals for discharge are close to observed daily discharge at Pakse and satisfactorily reproduce the variation in the timing of discharge. From the plots of the likelihood value versus the

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Nash-Sutcliffe efficiency of simulated discharge, the positive correlation between model performance in river width simulation and performance in river discharge estimation is found. And the limitation of this assumption about the correlation shows minor impact on river discharge estimation. The posterior distributions of two at-a-station hydraulic geometry parameters can reflect hydraulic condition at Pakse reasonably. It can be concluded that this calibration scheme would have wide applicability for reproducing river discharge time series at daily scale in ungauged basins.

Under the GLUE scheme, data assimilation is easy to be carried out. It is recommended to explore the possibilities of updating likelihood distribution by assimilating each satellite observation of river width subsequently into likelihood value for each parameter set through the Bays rule. In this study, the relation between discharge and river width at basin outlet is assumed to be constant. However, the relation maybe varies temporally, due to human activities, vegetation, erosion and deposition. Data assimilation would be a meaningful approach to reflect temporal variation in at-a-station hydraulic geometry relation and consequently have the potential for further improving the performance of river discharge estimation.

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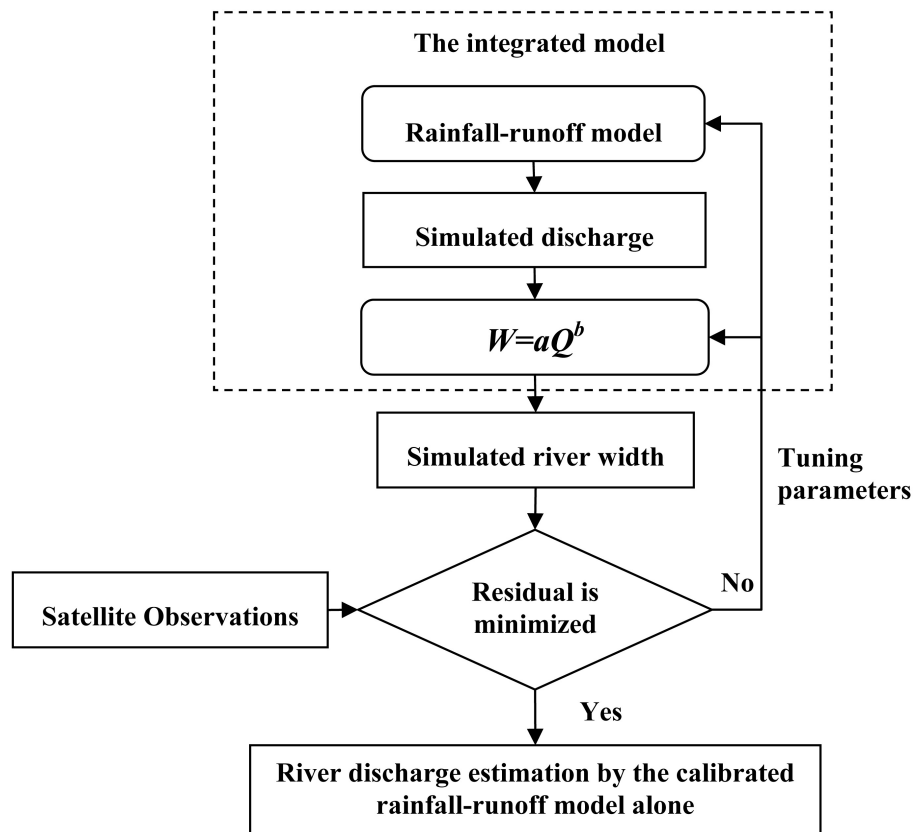
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**Table 1.** Parameter descriptions and ranges of random sampling.

Model	Name	Description	Range
HYMOD	$C_{\max}$	Maximum storage capacity	1–500
	$B_{\exp}$	Degree of spatial variability of the soil moisture capacity	0–2
	$\alpha$	Factor distributing the flow between slow and quick release reservoirs	0–1
	$Ks$	Residence time of the slow release reservoir	0.001–0.5
	$Kq$	Residence time of the quick release reservoirs	0.5–1.2
At-a-station	$a$	Coefficient of the power function	1000–2000
Hydraulic geometry	$b$	Exponent of the power function	0.005–0.1



**Fig. 1.** Flowchart of the proposed framework for river discharge estimation.

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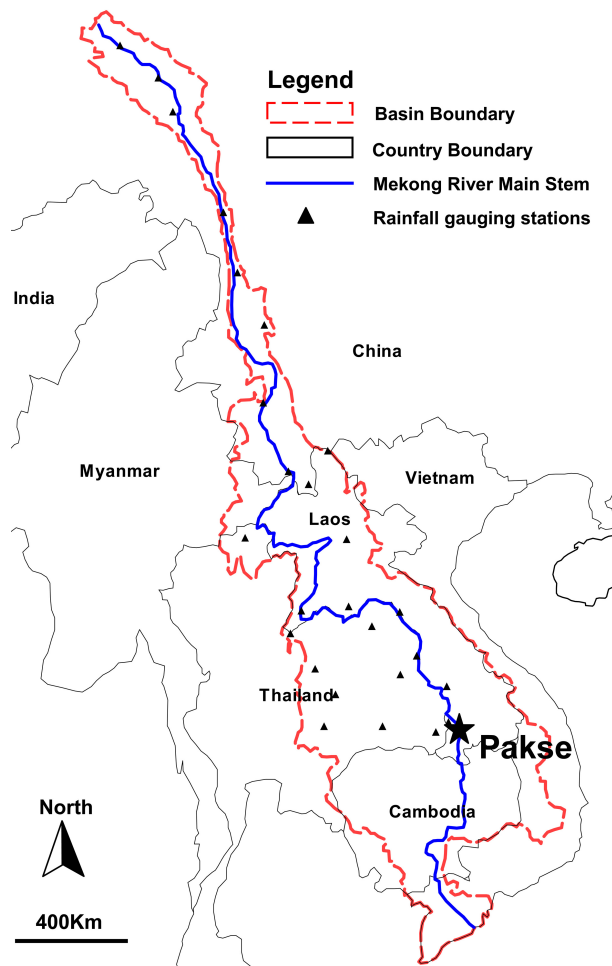
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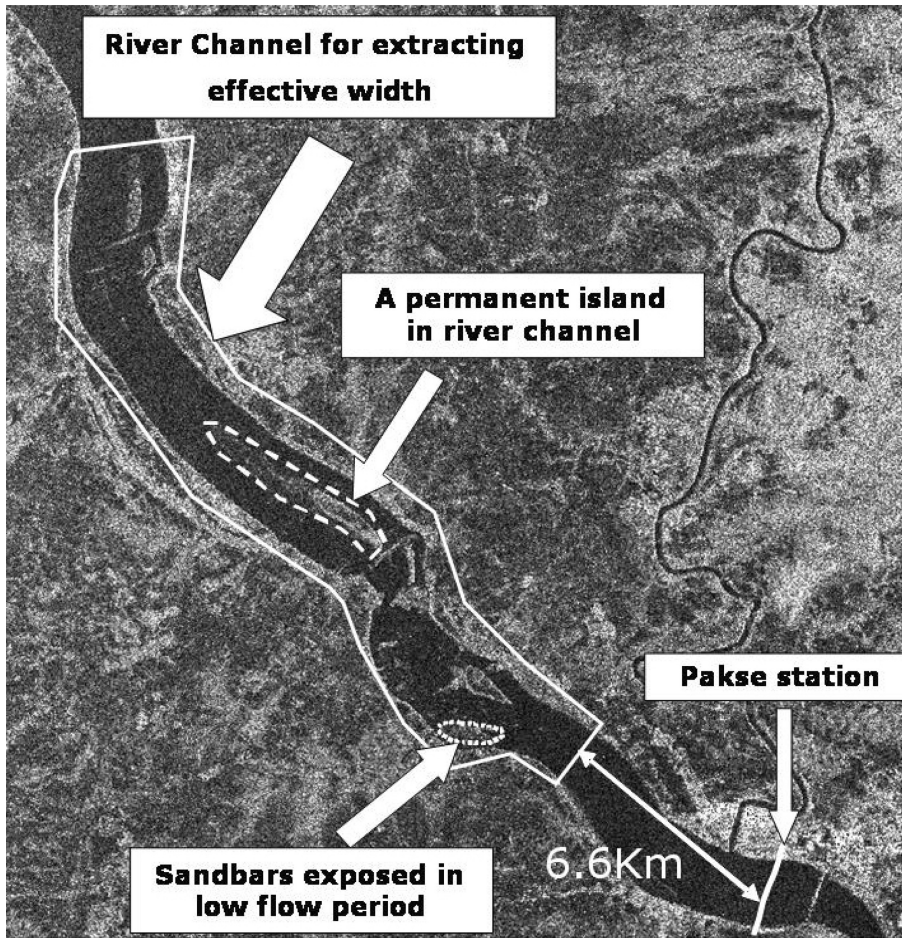


**Fig. 2.** Location of Pakse in the Mekong Basin and rainfall gauging stations.

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**Fig. 3.** The selected reach at Pakse for measuring river width from JERS1 SAR images and examples of the area elements being measured.

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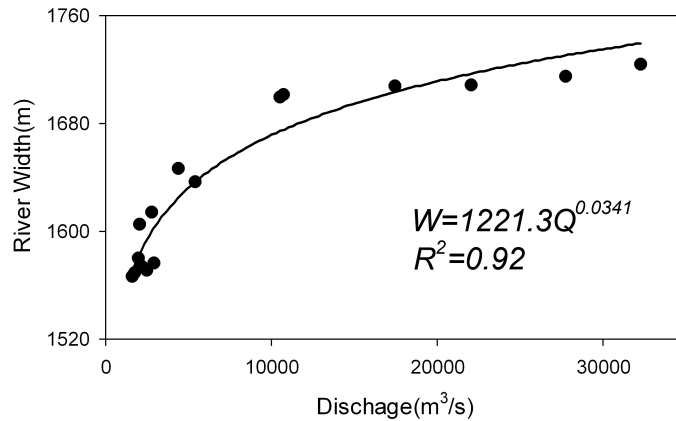
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**Fig. 4.** Relationship between effective width derived from JERS1 SAR images and river discharge measured at Pakse gauging station.

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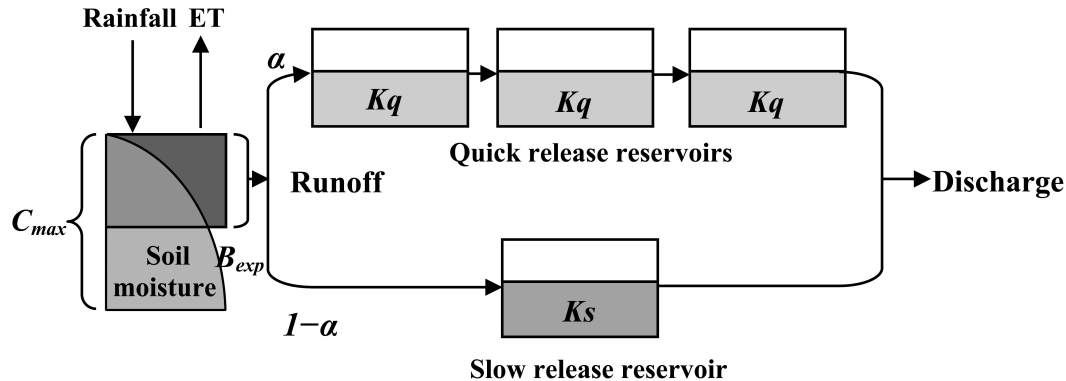
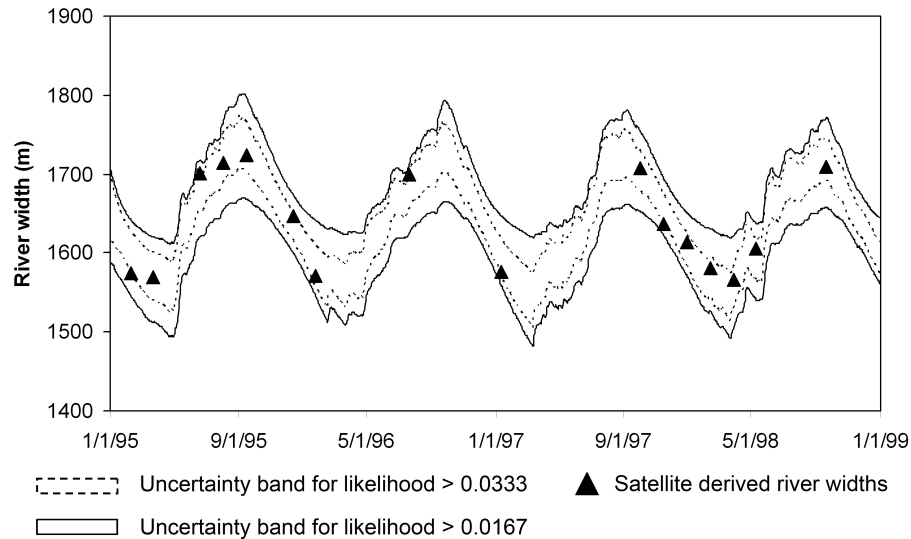


Fig. 5. Conceptual description of the HYdrological MODel (HYMOD).

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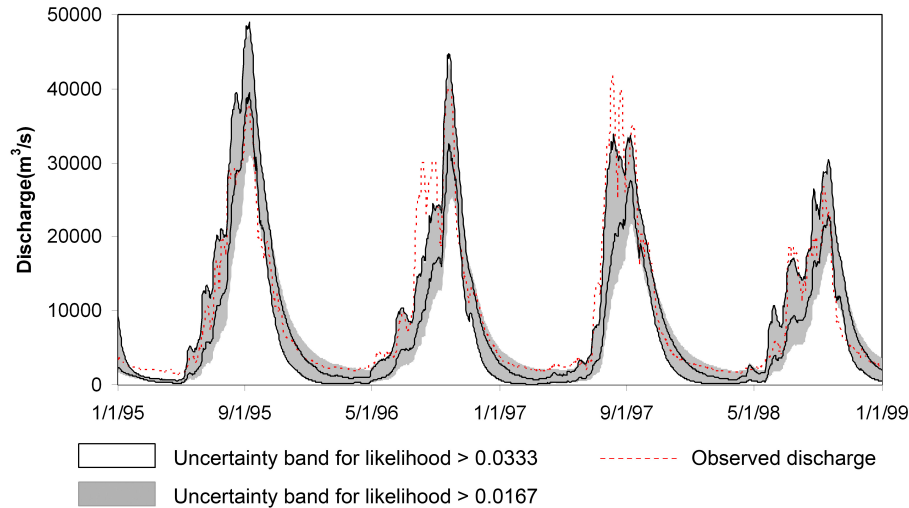


**Fig. 6.** Uncertainty bands for river width simulations made from parameter sets that likelihood value higher than 0.0167 and 0.0333.

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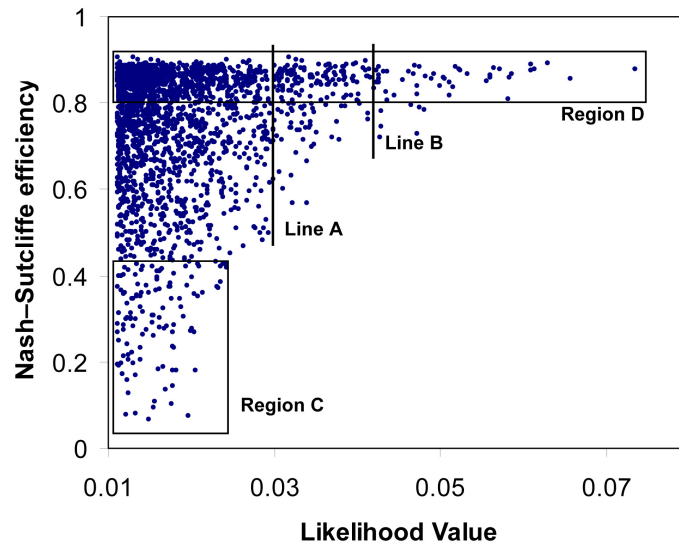


**Fig. 7.** Uncertainty bands for river discharge simulations made from parameter sets that likelihood value higher than 0.0167 and 0.0333.

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**Fig. 8.** Likelihood versus Nash-Sutcliffe efficiency for parameter sets that likelihood higher than 0.0167.

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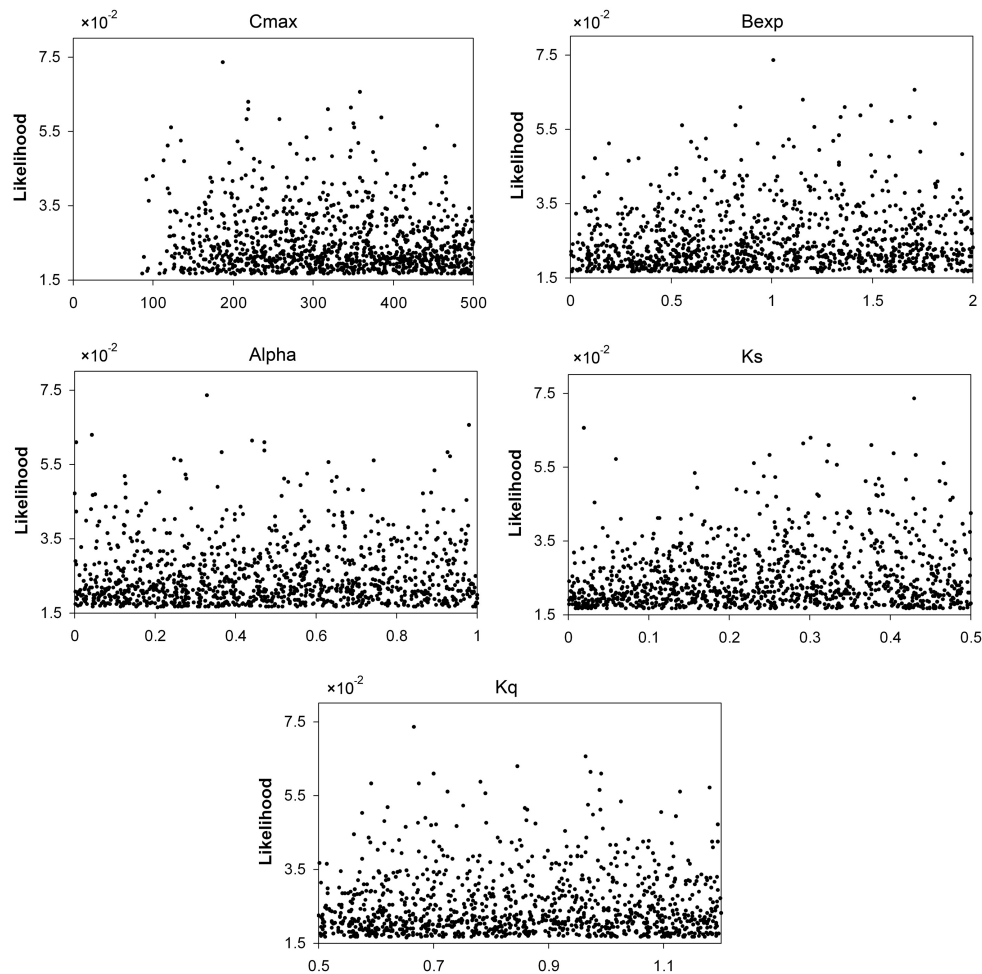
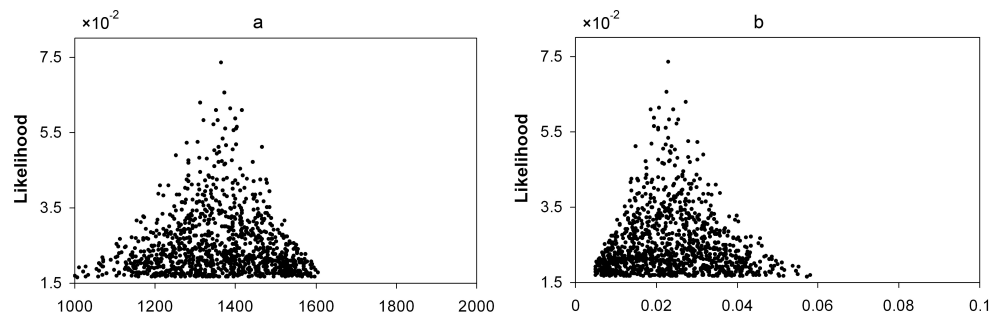


Fig. 9. Plots of likelihood value versus parameter values of the HYMOD.

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**Fig. 10.** Plots of likelihood value versus parameter values of the at-a-station hydraulic geometry relation.

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