Hydrol. Earth Syst. Sci. Discuss., 6, 3543–3575, 2009 www.hydrol-earth-syst-sci-discuss.net/6/3543/2009/ © Author(s) 2009. This work is distributed under the Creative Commons Attribution 3.0 License.



Papers published in *Hydrology and Earth System Sciences Discussions* are under open-access review for the journal *Hydrology and Earth System Sciences*



Analyzing the relationship between runoff lischarge and land-use spatial optimization approach

I.-Y. Yeo¹ and J.-M. Guldmann²

¹Department of Geography, The University of Maryland, College Park, Maryland, USA ²Department of City and Regional Planning, The Ohio State University, Columbus, Ohio, USA

Received: 30 March 2009 - Accepted: 1 April 2009 - Published: 28 April 2009

Correspondence to: I.-Y. Yeo (iyeo@umd.edu)

Published by Copernicus Publications on behalf of the European Geosciences Union.

HESSD

6, 3543–3575, 2009

Relationship between peak runoff and land-use pattern

> I.-Y. Yeo and J.-M. Guldmann



Summary of Comments on Relationship between peak runoff and land-use pattern

Page: 3543

Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/02 05:09:37 •.•

The present paper tried to integrate hydrological and land management processes with each others in order to achieve a model by which the watershed export runoff could be estimated under different land use scenarios. The developed model of IHLUO consisted of many inputs to some of which very default values have been assigned. The formulation of the optimization problem and application of Taylor's series in it's linearization have been made soundly and nicely. Besides that, many assumptions have been considered by which this amalgamation could be materialized. Regretfully, the simplified conditions in the given example and mentioned in page 3554 and lines 1 and 2 can rarely be found in real conditions.

There is another important subject which has not been considered in the study that mainly refers to neglecting social and willingness of watershed residents. The optimal allocation of land uses has been just made based on physical constraints and not even in comprehensive manner.

In the present study two important and very effective and variant dummy variables of CN and Manning's Coefficient have been used to run the simulation model, whose application always need high level of precaution and precision.

Too much explanations were given about model development and governing conditions but no comparison was made with real data for the study watershed. Other specific comments, suggestions and corrections have been annotated in the context. In overall, the presented paper has been well arranged and organized but its final approval for publication totally depends upon satisfying, considering or justifying all opinions mentioned in the cast of general or specific comments. I recommend publishing it after subjecting it to major revision, since the application of optimization model in watershed management still is emerging. I hope my suggestions would be of use to the respected authors and journal editorial board.

Sequence number: 2 Author: USER1 Subject: Cross-Out Date: 2009/05/02 05:13:09 •.• Sequence number: 3 Author: USER1 Subject: Cross-Out Date: 2009/05/02 05:13:53 •.• Sequence number: 4 Author: USER1 Subject: Cross-Out Date: 2009/05/02 05:13:16 •.• T

Sequence number: 5 Author: USER1 Subject: Inserted Text Date: 2009/05/02 05:13:50 •.• Tadistribution

Abstract

This paper investigates the impacts of land-use patterns on watershed hydrology and characterizes the nature of this relationship. The approach combines a spatially explicit, process-based hydrological simulation model, a land 1 optimization model, the Integrated Hydrological and Land-Use Optimization (IHLCO) model, and an extensive GIS database. Numerical experiments are conjusted to assess changes in the peak discharge rate under various spatial land-use an angements, and to delineate the optimal land distribution that minimizes the peak discharge. The area of application is a catchment of the Old Woman Creek watershed in the southwestern coastal area of

- ¹⁰ Lake Erie, OH. The global optimality of the delineated land pattern at a 30-m resolution is evaluated using a combinatorial statistical method. A large number of solutions has been generated from clearly different initial solutions, and these solutions turn out to be very close to each other, strongly supporting the case for a convex relationship between peak discharge and land-use pattern. The Weibull distribution is used to gen-
- erate a point estimate of the global optimal value and its confidence interval. The peak discharge function is further examined in light of the underlying physics used in the simulation model.

1 Introduction

Understanding watershed hydrology processes and their linkages to land cover changes is important for controlling nonpoint source (NPS) water pollutants, which are produced by land-using activities (Novotny, 2003) and carried into waterways formwaters unoff. Effective management of NPS pollution calls for efforts to identify pollution sources and pathways, and to minimize production of pollutants and their delivery to waterways. Complex watershed processes have been modeled mathematically or empirically leading to computer simulation models that can provide key infer

ically or empirically, leading to computer simulation models that can provide key information in better understanding the interactions among the various physical systems in

3544

HESSD

6, 3543–3575, 2009

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/01 07:33:53 •.• Combination of different simulation approaches and processes in an integrated hydrologic model is supposed as the main merit of the present paper. Sequence number: 2 Author: USER1 Subject: Note Date: 2009/05/01 07:38:36 •.• The terms of "arrangement" and "distribution" have been substituted by each other in different parts, which it seems has to be minutely applied, since they have different concepts in landscape modeling. Sequence number: 3 Author: USER1 Subject: Note Date: 2009/05/01 07:41:50 •.• What presented in the abstract just included the introduction and methodology parts, but no part of the quantitative results has been presented. Sequence number: 4 Author: USER1 Subject: Cross-Out Date: 2009/05/01 07:42:43 •.• Sequence number: 5 Author: USER1 Subject: Cross-Out Date: 2009/05/01 07:42:45 •.• Ŧ Sequence number: 6 Author: USER1 Subject: Cross-Out Date: 2009/05/01 07:42:46 •.•

the watershed. Such models are important to predict changes in the watershed system, due to land-use or management practice changes (Beven, 1989; Grayson et al., 1992), and have been widely utilized to develop area-wide Best Management Practices (BMP) or predict the hydrological effects of future land-use and climate changes (Quilbé et al., 2008). However, these models cannot explicitly link pollution sources

and yields, and consider necessarily a limited number of scenarios, which cannot lead to the optimal selection and location of BMPs.

Some recent studies (Srivastava et al., 2002; Nicklow and Muleta, 2001; Muleta and Nicklow, 2002; Seppelt and Voinov, 2002; Kaur et al., 2004) have attempted to overcome the limitation of a scenario-based approach by integrating optimization methods

- come the limitation of a scenario-based approach by integrating optimization methods into simulation models, but have failed to explicitly relate pollution sources and yields at a high level of geographical disaggregation. 2 lore recently, Yeo et al. (2004) have developed the Integrated Hy gig gical and Land-Use Optimization (IHLUO) model to delineate gig resolution (30 m) and-use patterns that minimize the peak discharge and the
- flow. Builing upon a spatially explicit hydrological model, the IHLUO model identifies critical pollution sources and pathways, while accounting for the physical heterogeneity of and the spatial dynamics across the watershed. The IHLUO model has been applied to a catchment of the Old Woman Creek (OWC) watershed in the southwestern coastal area of Lake Eric (CH), and numerical results describing the emergent properties of
- the optimal land use patterns under various storm sizes have been reported. Yeo et al. (2007) have also integrated the IHLUO model into a hierarchical land-use optimization scheme, where the allocations at the higher levels (subwatersheds, catchments) for larger areas are implemented via quadratic programming, with peak runoff functions estimated using simulation-generated pseudo data. This earlier research has demon-
- strated the importance of the spatial configuration of land use in controlling peak storm water runoff, with the optimal land pattern reducing the peak discharge rate at the watershed outlet by more than 40% under a 1-year storm, as compared to the existing land-use pattern.

The purpose of this research is to further investigate (1) the properties of the optimal

HESSD

6, 3543–3575, 2009

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/01 07:53:52 •• Did the authors think overcoming the existing shortage during this research?

Sequence number: 2 Author: USER1 Subject: Cross-Out Date: 2009/05/01 07:55:05 •.•

Sequence number: 3 Author: USER1 Subject: Note Date: 2009/05/01 08:00:40 •.•

There is a very important question that how practicable this resoution is in field and real world. In the other word, can the obtained results be applied by the farmers or even managers? I don't think so. According to me low-resolution study unit, but much more manageable one like hydrologic unit components or subwatersheds could be applied.

Sequence number: 4 Author: USER1 Subject: Note Date: 2009/05/01 08:03:58 •.• What does mean?

Sequence number: 5 Author: USER1 Subject: Note Date: 2009/05/01 08:06:42 •.• Some other literatures e.g. Sadeghi et al. (2008) have considered land use optimization approaches in watershed scales, which have not been addressed. solution, in particular to assess its global optimality, and (2) the functional relationship between the spatial distribution of land uses and the peak runoff flow to support the case for global optimality. Systems analysis approaches such as spatial optimization methods have been efficient in selecting types and placement of BMPs, but without en-

- ⁵ suring the global optimality of the obtained solution. These problems involve the simulation of highly nonlinear dynamics across the landscape (with no assumption of equilibrium) and of physical processes that cannot be expressed with analytical functions. Therefore, the obtained solution cannot be evaluated using the standard mathematical conditions for global optimality, and the quality of a solution has been addressed
- ¹⁰ by merely demonstrating algorithmic convergence of the solution. Assurance of the global optimality of the nonlinear problem solution is critical to provide confidence in decision making and to further extend integrated optimization approaches to water-shed systems. This requires understanding the nature of the relationship between the watershed system and the decision variables.
- ¹⁵ This paper presents an innovative combination method that uses a numerical procedure and the Weibull distribution to assess the convergence of a solution toward the global optimum. Th = 1 ults obtained from the IHLUO model suggests that the functional relationship between the spatial distribution of land uses and the peak runoff flow is convex, an important implication in hydrology. Examining the underlying physics of
- the hydrological processes used in the simulation model provides additional support for convexity and global optimality. The hydrological feedbacks from various land patterns are analyzed at a 30-m resolution. A 1-year design storm is selected, because land management alone, without any other constructive BMP, is effective only under a small-size storm (see the pilot study in Yeo et al., 2004 to analyze optimal land allocations under different storm sizes).

The remainder of this paper is organized as follows. Section 2 describes the modeling methodology. A brief discussion of the spatially explicit hydrologic model and the IHLUO model is presented in Sects. 2.1 and 2.2, and the statistical basis for assessing the global optimality of the obtained solution using the Weibull distribution is discussed

HESSD

6, 3543–3575, 2009

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/01 08:13:41 •.• This is a part of results and discussion and should not be given here. in Sect. 2.3. The results of a numerical application on a small catchment in the OWC watershed are reported and analyzed in light of the underlying physics of the hydrological model in Sect. 3. The findings and limitations of this research are summarized in Sect. 4.

5 2 Simulation-optimization methodology

2.1 A spatially explicit hydrological model

The relationship f(X) between a land-use pattern (X) and the resulting peak discharge rate at the watershed outlet is explored through a spatially explicit hydrological model. Since such a relationship is very difficult to derive from field studies, a process-based computer model is developed to simulate this relationship, by modifying the SCS curve number (CN) remod. The CN method is chosen, because (1) the land-water relationship is directly expressed in terms of hydrologic soil groups and land use/cover

- conditions (McCuen, 1982; USDA, 1986; Bingner and Theurer, 2001), and (2) it meets computing resources requirement for hydrologic simulations and optimization. Due to its simplicity and accuracy, it has been widely utilized and embedded into various watershed models for hydrology, flood analysis, and water guality modeling (Garen and
- Moore, 2005), including Soil and Water Assessment Tools (SWAT) (Arnold et al., 1998),
 AGricultural Non-Point Source Pollution Model (AGNPS) (Bingner and Theurer, 2001;
 Young et al., 1989), and Erosion Productivity Impact Calculator or Environmental Policy
 Integrated Climate (EPIC) (Williams et al., 1984; Williams and Meinardus, 2004).

The conventional CN method yields lumped effects by taking weighted averages of the modeling parameters. To account for the impacts of the spatial variability in landuse changes, the modeling parameters are assigned to each cell (30 m) without any spatial aggregation or averaging. The volume of runoff (Q) is computed as:

25
$$Q = \frac{(P - 0.2S)^2}{P + 0.8S}$$
,

10

3547



6, 3543–3575, 2009

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



(1)

Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/01 08:29:41 •.•

How the lumped CN method has been used for spatial study while it's input data are given as averaged values? though it's simplicity and accuracy, particularly in other countries rather than USA where this model has been originally developed, needs cautious judgment. Although this question has been partly answered in lines 22 to 24.

where P is the precipitation, and S is the moisture retention, estimated from the runoff curve number (CN), with:

$$S = 254 \left[\frac{1}{CN} \right]$$

The quantities *P*, *Q*, and *S* are measured in millimeters [mm]. Note that groundwater flows are not modeled, and the antecedent soil moisture condition is considered by using the default ester in of the SCS method (USDA, 1986), setting I_a =0.2S (I_a =initial abstraction, in incres). Details are provided in McCuen (1982), USDA (1986), and Bingner and Theurer (2001).

- Runoff flows are accumulated following the flow paths determined by topography. The flow routing direction is determined by the D-8 method (i.e., eight flow directions), which assigns the runoff on a given cell to the lowest-elevation cell among the eight surrounding cells (O'Callaghan and Mark, 1984). The runoff process is analyzed at the flow cell level, then at the flow path level, then at the catchment/watershed level. The runoff over a flow path is obtained by summing on the storm runoffs occurring at all the summing up the flow path. The total runoff volume at the watershed outlet is obtained by summing up the runoffs occurring along all the flow paths within the watershed (Olivera
- summing up the runoffs occurring along all the flow paths within the watershed (Olivera, 1996).

A similar approach is applied to estimate the time of concentration, T_c (i.e., the longest hydraulic distance to the watershed outlet). Rather than computing T_c from the predefined longest geographical distance from the watershed outlet, the simulation model calculates it by keeping track of the flow time for every pathway to better account for the spatial variability in land configuration. The travel time of a flow path to the watershed outlet is calculated by summing up the travel times for all cells along the path. The maximum travel time across all paths is selected as the time of concentration. The travel time for each cell is determined according to its flow type: overlar v, shallow concentrated flow, or channel flow (USDA, 1986). Surface roughness, channel shapes, flow patterns, and slopes are the main $t = \frac{15}{5}$ affecting time of concentration and flow time. Land use mainly affects surface rougness (i.e., Manning's *n* coefficient), and, 3548 HESSD

6, 3543–3575, 2009

(2)

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/01 08:33:20 •.• Why this equation has been given in different form from the original one?

Sequence number: 2 Author: USER1 Subject: Note Date: 2009/05/01 08:33:57 •.•

Sequence number: 3 Author: USER1 Subject: Note Date: 2009/05/01 08:37:25 •.• Do the authors believe in applicability of simple linear summation?

Sequence number: 4 Author: USER1 Subject: Note Date: 2009/05/01 08:42:06 •.•

Sequence number: 5 Author: USER1 Subject: Note Date: 2009/05/01 08:43:31 •.• therefore, runoff flow velocity and travel time. Overland flow is estimated using Manning's kinematic solution, and channel flow is calculated with Manning's equation. The velocity from a shallow concentrated flow cell is calculated with the empirical and explicit formula developed by USDA (1986), and Bingner and Theurer (2001). Using field observations, the shallow concentrated flow cell velocity is expressed as a function of watercourse slope and type of channel. See TR-55 for details of this method (USDA,

- 1986). After calculating the time of concentration and the total amount of runoff, the peak runoff rate is determined using the extended TR-55 procedure (Bingner and Theurer,
- ^o 2001), with:

$$Q_{p} = 2.78 \cdot 10^{-3} P_{24} D_{a} \cdot \left[\frac{a_{p} + (c_{p} \cdot T_{c}) + (e_{p} \cdot T_{c}^{2})}{1 + (b_{p} \cdot T_{c}) + (d_{p} \cdot T_{c}^{2}) + (f_{p} \cdot T_{c}^{3})} \right]$$

where Q_p is the peak discharge [m³/s], D_a the area of the spatial unit [ha], P_{24} the 24 h effective rainfall over the total drainage area [mm], T_c the time of concentration [h] it is our standard for hour, and the coefficients, a_p , b_p , c_p , $d_{p\nu}c_p$, and f_p , are determined by the ratio of initial abstraction (I_a) to 24-h precipitation (P_{24}). See Bingner and Theurer (2001) for the values of these coefficients. See Yeo et al. (2004) for further

description of the hydrological model.

2.2 Integrated Hydrological and Land-Use Optimization (IHLUO) model

An optimization method is integrated with the spatially explicit hydrological model to delineate the land-use pattern that minimizes the peak storm runoff at the watershed outlet, under given land-use constraints, with:

Min f(X) = Peak Runoff Rate

(4)

(3)

HESSD 6, 3543–3575, 2009

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/01 08:47:05 •• Why the emphasis has been made on one-day effective rainfall?? How do you deal with storm events shorter than one day??

Sequence number: 2 Author: USER1 Subject: Note Date: 2009/05/01 08:52:22 •.• How these numerous coefficients have been determined? The estimator \hat{a} for the location parameter *a* is used as point estimator for the global optimum (\hat{x}^*). Its confidence interval with a significance level of $100(1-e^{-R})\%$ is (Golden and Alt, 1979; Dergis, 1985):

$$\Pr\{x_{(1)}^{h} - \hat{b} \le \hat{x}^{*} \le x_{(1)}^{h}\} \approx 1 - e^{-R}.$$
(11)

⁵ However, the interval calculated by this f $\underline{=}_1$ a is too large. It was tightened by Los and Lardinois (1982), using a real number'S, with:

$$\Phi(a + b/S) = 1 - \exp[-(1/S)^{c}].$$
(12)

Then, the confidence interval is given as:

$$\Pr\left\{x_{(1)}^{h} - \hat{b}/S \le \hat{x}^{*} \le x_{(1)}^{h}\right\} = 1 - \exp\left(-R/S^{c}\right).$$
(13)

¹⁰ If the confidence level $(1-\alpha)$ is expressed as

$$1 - \exp\left(-R/S^c\right) = 1 - \alpha, \tag{14}$$

the real number S is

$$S = (-R/\ln\alpha)^{1/\widehat{c}}.$$
(15)

Estimating the global optimum value and its confidence interval can then be used to assess convergence toward the global optimum.

3 Numerical application

3.1 Data

The methodology is applied to a small catchment in the Old Women Creek watershed (Ohio). Due to the large computing requirements of the IHLUO model and the need to generate many independent local optima for statistical assessment, the numerical

3553

HESSD

6, 3543–3575, 2009

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/01 09:29:55 •.• This real number has to be differed from that given in Eq. 2. application is performed on a small = 1 ment, with a few land-use categories, a simple drainage network, and simple spatial distributions of land uses and soil types.

This catchment is overlaid by a grid of 173 zells, with land-use/cover classified into three categories-agriculture, conservation, and urban. The catchment is predominantly agricultural (78%), conservation land uses (grass/woods) represent 12.6% of

the area, urban land use makes up 1.5%, and the remainder represents water (8%). Roads make up most of the urban land (25 cells), except for one cell of built-up structures. See Yeo et al. (2004) for further descriptions of the OWC and data sources and processing. The land-use, soil, and topography structures of the catchment are illustrated in Fig. 1. 10

The hydrolog = nodel is a single-event distributed system. The simulation is run with 1-year storm (z.88 mm) event, determined using daily precipitation data available on site (OWC watershed) and the Extreme Value Type I probability distribution function (Chow et al. 1989). Since the data are only available in daily steps, it is assumed that

¹⁵ the precipitation pattern follows a SCS II rainfall time distribution (USDA, 1986). The Bulletin 17B method (IACWD, 1982) was used to calibrate the simulation model with 1-, 2-, 5- and 10-year flood frequency analysis at the 95% confidence level. See Yeo et al. (2004) for further discussions on model implementation and validation.

3.2 Statistical assessment of global optimality

Five hundred land-use maps have been generated by randomly assigning land-use types to the 1567 cells of this catchment, that are neither road nor water. The total amounts of land use are kept constant across these maps: 22 urban cells, 1307 agricultural cells, and 237 conservatio _____4. These totals correspond to the optimal land allocation obtained with the hierarchical optimization model developed by Yeo et al. (2007). Starting with population and other forecasts for the whole watershed and 25 the year 2020 (see Yeo and Guldmann, 2006), this model allocates land use first at

the subwatershed, and next at the catchment level, using quadratic programming. The resulting aggregate land allocation for this catchment is different from the current one,

3554

HESSD

6, 3543-3575, 2009

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/01 09:33:34 •.•

Sequence number: 2 Author: USER1 Subject: Note Date: 2009/05/01 09:38:42 •.• Is it about 156 ha?? It is better to mention.

Sequence number: 3 Author: USER1 Subject: Note Date: 2009/05/01 09:57:53 •.•

Sequence number: 4 Author: USER1 Subject: Note Date: 2009/05/01 10:00:44 •.• Has the land capability been considered for proper land allocation to different land uses???? with 2.7% urban, 75, 21 gricultural, and 13.7% conservation land. The IHLUO model is then applied to these 500 land use allocations at the 30-m cell level, and the resulting optimal allocations that minimize peak stormwater runoff at the catchment outlet are further analyzed statistically. Nine identical local solutions were eliminated in order to satisfy the assumptions of the Fisher-Tippett theorem, which requires independence

of the observations in the sample (Los and Lardinois, 1982; Dergis 1985).

In order to illustrate the range of the 491 initial solutions, the catchment is divided into three sub-regions, as illustrated in Fig. 2, and statistics for the numbers of agricultural, conservation, and urban cells allocated to each sub-region are reported in Table 1.

- These allocations vary significantly within each sub-region, pointing to a wide range of initial solutions. This range is mirrored by the range of the corresponding peak discharge rates, which vary from 0.25 m³/s to 0.5 m³/s (Fig. 2) n contrast, the optimal allocations generated by the IHLUO model display little variability, with much smaller standard deviations and coefficients of variations (Table 1). The corresponding peak discharges vary within the very narrow range of [0.254073–0.254298] m³/s (Fig. 3B).
- Figure 4 displays five maps corresponding to the optimal allocations for the 1-, 25-, 50-, 75-, and 100 percentiles of the peak runoff flow, and the five initial land allocations leading to these optimal allocations. The optimal maps are very close to each other, but significantly different from the initial allocation
- optimum, most of the urban land is allocated to upland areas, near the upland boundary of the catchment, away from the waterways and roads, and at low density. Urban land is buffered by conservation land, in order to offset its impacts on runoff volume and traveling time to the stream. Denser conservation land is allocated near the catchment outlet along the waterways, and at the edge of the catchment, where the slope is steep, but is avoided in areas with low infiltration capacity (i.e., soil type C or Upland boundary).
- the traveling time of runoff flows, but reducing the runoff volume.

These optimal peak runoff values are obtained at convergence, that is, when the sum of the squared differences between the land allocations of two consecutive iterations in

HESSD

6, 3543–3575, 2009

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/01 10:05:20 •.• How this huge difference can be inferred in agricultural aggregates? If the present condition existed since long back, there should be a very reasonable justification for this disagreement.

Sequence number: 2 Author: USER1 Subject: Note Date: 2009/05/01 10:07:41 •• Doesn't it verify the less sensitivity of the IHLUO model to land use changes??

Sequence number: 3 Author: USER1 Subject: Note Date: 2009/05/01 10:18:39 •.•

Sequence number: 4 Author: USER1 Subject: Note Date: 2009/05/01 10:19:47 •.• No D group has been assigned in soil hydrologic map of the study area!!! discussed earlier in Sect. 2, the main components of the hydrological simulation, the calculations of the total runoff volume and traveling times, are involved in estimating the peak discharge. How the land-use variables affect these two components and the whole hydrological system is further examined in the following sections to provide additional support for the convexity of the objective function, though no formal proof.

3.3.1 Estimation of the runoff volume

As described in Eq. (1), the CN method is used to estimate the volume of runoff (*Q*) as a function of precipitation (*P*) and the moisture retention (*S*). While precipitation is exogenous to the simulation model, the parameter (*S*) is solely a function of the curve number (Eq. 2), which is endogenous, as it depends upon land cover and soil type. Let x_{ii} be land use *i* in cell *i*, and c_i the curve number for land use *i*. Since soil types do not vary across the latershed the curve number (cn_i) for cell *i* is:

$$cn_i = \sum_{l} c_l x_{il} \tag{16}$$

where $\sum_{i} x_{ii} = 1$.

10

¹⁵ Therefore, the parameter S_i and the runoff volume Q_i of cell *i* are functions of the vector of the land-use variables, $X_i = (x_{i1}, \dots, x_{il}, \dots, x_{iL})$, with:

$S_i = F(X_i)$	(17)
- / - (/)	()

$$Q_j = G(\boldsymbol{X}_j) \tag{18}$$

3557

HESSD

6, 3543–3575, 2009

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



Sequence number: 1 Author: USER1 Subject: Cross-Out Date: 2009/05/02 04:24:44 •.•

Sequence number: 2 Author: USER1 Subject: Inserted Text Date: 2009/05/02 04:24:52 •.• Cell The runoff volume Q_{p_a} along the [] ath p_a to the watershed outlet is estimated by summing the runoff volumes in all cells *i* in the path, with:

$$Q_{p_a} = \sum_{i \in p_a} Q_i = \sum_{i \in p_a} G(\mathbf{X}_i) = \sum_{i \in p_a} \left[\frac{(P_i - 0.2F(\mathbf{X}_i))^2}{P_i + 0.8F(\mathbf{X}_i)} \right] = \sum_{i \in p_a} \left[\frac{\left[P_i + 0.2 \left(\frac{100}{\sum c_i x_{ij}} - 1 \right) \right]^2}{P_i + 0.8 \left(\frac{100}{\sum c_i x_{ij}} - 1 \right)} \right].$$
(19)

 P_i is the amount of precipitation in cell *i*. The routing path is determined by the D-8 method, which allows the flow to move to the lowest point among the neighboring cells. Equation (20) is identical to Eqs. (1–2), but applies to the runoff volume along the flow path, instead of to a single cell. Although the total runoff is expressed analytically as a function of the land-use variables, it is difficult to characterize the convexity of the function presented in Eq. (20), because of the term $F(X_i)$. A numerical analysis has been conducted to better understand this function. With the given land all

and soil distribution (Fig. 1), the CN values $(\sum_{l} c_{l} x_{il})$ vary in the range of [77 - 2] for the study catchment. The runoff volume $(Q_{p_{a}})$ generated for these CN values was

computed. The results, presented in Fig. 6, show a monotonously increasing and slightly convex relationship between Q_{p_a} and CN. As CN is a linear function of the X_i 's, Q_{p_a} is a convex function of the X_i 's.

3.3.2 Estimation of the runoff travel time

20

The travel time of the stormwater runoff is also estimated by summing up the flow time of each cell along a path. As discussed in Sect. 2.1., the most influential parameters for flow time are land uses and topography. The overland flow is a function of Manning's roughness coefficient, flow length, and slope, the shallowly concentrated flow is determined by slope, and the channel flow is computed with Manning's roughness coefficient, channel length and area, and slope. Manning's roughness coefficient, a pa-

3558

HESSD

6, 3543–3575, 2009

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/02 04:29:43 •.• Have you ever considered any routing or decay component through flow path? In the other words how certain is the simple summation procedure of runoff volumes from the top to the bottom of the route?

Sequence number: 2 Author: USER1 Subject: Note Date: 2009/05/02 04:31:55 •.• According to the land use map of the study area where we can find different types of land uses, it seems the range is very small!! rameter for surface friction and resistance, is a function of land-cover, and topography determines the flow direction, slopes, and drainage patterns.

Rather than estimating the time of concentration from the geographically most distant location, the hydrological model keeps track of all flow paths to the watershed outlet, and assigns a specific flow type (i.e., sheet flow, shallowly concentrated flow, and open

- channel flow) to each cell on each path. This is necessary to account for the impacts of site-specific land-use changes, as surface cover affects the Manning's roughness coefficient used in flow time estimation. Then, the flow time is explicitly calculated for each cell *i*, and the total flow time over path p_a is estimated by the sum of the travel
- ¹⁰ times over all the consecutive flow segments along the flow path. Then, the time of concentration T_c is determined by choosing the flow path with the maximum travel time. Since flow path and type are fixed by watershed topography and geography, according to the D-8 method and the TR-55 method (USDA, 1986), the time component of the hydrological model is necessarily a function of the land-use variables vector **X**:

15 $T_c = H(X)$

20

(20)

3.3.3 Estimation of the peak discharge

The peak discharge rate at the watershed outlet (Eq. 4) is estimated using the extended TR-55 method (Bingner and Theurer, 2002), which requires the following inputs: the runoff volume, the time of concentration, and the unit peak regression coefficients, a-f. These coefficients are determined by the rainfall $(=)_1$ ution and the ratio I_a/P_{24} . The initial abstraction ($I_{a,i}$) of cell *i* is estimated as 20% of the moisture retention S_i (Eq. 2), which is itself dependent upon the land uses in cell *i* (USDA, 1986), with:

$$I_{a,i} = 0.2 \cdot S_i = 0.2 \left(\frac{1000}{\sum_{i} c_i x_{ii}} - 10 \right) = y(X_i).$$
(21)

3559

HESSD

6, 3543-3575, 2009

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/02 04:43:11 •.• This has been repeated many times!! The initial abstraction for the watershed is then estimated as the average value of $I_{a,i}$:

$$I_a/P_{24} = \sum_i I_{a,i}/P_{24} = \sum_i y(X_i)/P_{24} = Y(X).$$
(22)

The constants (a-f) are derived from a look-up table, and Fig. 7 presents the changes in their values as function of the ratio I_a/P_{24} , for Type II rainfall. Except for coefficient *a*, these curves are strongly nonlinear. Once the values of parameters a-f are determined, the peak discharge rate is computed by:

$$Q_{p} = 2.5 \cdot 10^{-2} P_{24} D_{a} \cdot \left[\frac{a_{p} + (c_{p} \cdot T_{c}) + (e_{p} \cdot T_{c}^{2})}{1 + (b_{p} \cdot T_{c}) + (d_{p} \cdot T_{c}^{2}) + (f_{p} \cdot T_{c}^{3})} \right] = 2.5 \cdot 10^{-2} \sum_{1}^{N_{P_{a}}} Q_{P_{a}} L(H(X), Y(X))$$
(23)

where Y(X) represents the ratio I_a/P_{24} that determines the regression coefficients a-f (Eq. 23), H(X) represents the time of concentration T_c (Eq. 22), and N_{P_a} s the number of all possible paths. The right-hand side of Eq. (24) is essentially identical to Eq. (4),

as the total runoff at the watershed outlet $(\sum_{1}^{N_{P_a}} Q_{P_a})$ is equal to the product of the total drainage area by the effective rainfall $(P_{24}D_a)$, which is the amount of precipitation that is neither retained by the land surface nor infiltrated into the soils. Equation (23) relates two components, the total runoff volume and the time of concentration, to the

¹⁵ peak runoff. As the runoff volume $(\sum_{1}^{N_{P_a}} Q_{P_a})$ has been shown to be convex (Sect. 3.3.1),

Eq. (24) is further analyzed by focusing on the component, L(H(X), Y(X)).

10

20

The function L(H(X), Y(X)) is computed with selected values for T_c and the I_a/P_{24} ratio for the study area. Numerical results are presented in Fig. 8 for I_a/P_{24} =(0.00, 0.25, 0.50, 0.75) and T_c in the range [0–2 h], which covers all possible T_c values in the 500 initial land-use patterns. The relation is presented in Fig. 8 are either convex or linear. However, the convexity of Eq. (24) cannot be guaranteed, as the multiplication

HESSD

6, 3543–3575, 2009

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/02 04:45:15 •.• Please do check the number of equations!! of two convex functions, L(H(X), Y(X)) and $\sum_{1}^{N_{P_a}} Q_{P_a}$, cannot be mathematically proven to be convex.

4 Conclusions

This paper has investigated and characterized the relationship between land-use patterns and watershed hydrology. The IHLUO model has been used to delineate optimal land patterns that minimize the peak discharge rate at the watershed outlet. As the relationship between land use and peak discharge is highly nonlinear, the global optimality of a local optimum cannot be guaranteed a priori. A large number of solutions has been generated from clearly different initial solutions, and these solutions

- out to be very close to each other, strongly supporting the case for a convex here in ship between peak discharge and land-use pattern. The obtained solutions can be viewed as the solutions achieved with a given convergence criterion, and the Weibull distribution has been used to generate a point estimate of the global optimum and its confidence interval.
- The convexity of the objective function has been further investigated by examining the underlying physics of the hydrological model in terms of land-use variables and by performing numerical evaluations of its main components. The presented mathematical arrangements of conceptual hydrological model provide a unique way to assess the impacts of land use changes across the multiple hydrologic processes of watershed system.

The numerical results strongly support, though do not fully prove, the case for convexity. This finding allows the application of the IHLUO model to much larger watersheds, with many more decision variables, assuring that the obtained solution is reliably the global optimum. It should be noted, however, that the conclusion on the convexity of the land water relationship may only be valid for the study area.

of the land-water relationship may only be valid for the study area, as geographical

3561

HESSD

6, 3543–3575, 2009

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/02 04:49:52 •.•

Sequence number: 2 Author: USER1 Subject: Note Date: 2009/05/02 04:51:25 •.• Where did you talk about the physics of the hydrological model?. I my book, you mainly neglected it through too much simplification!!

Sequence number: 3 Author: USER1 Subject: Note Date: 2009/05/02 04:54:39 •• Where did you perform it? characteristics (e.g., topography, soil type) can affect the structure of this relationship. Despite this limitation, this research has proposed an innovative approach to characterize and understand the spatial relationship between land-use pattern and peak discharge. The proposed method can be adapted into other optimization integrated models to develop watershed BMPs and used to assess the closeness of the obtained

solution to the global optimum.

References

- Aitkin, M. and Clayton, D.: The fitting of exponential, Weibull and extreme value distributions to complex censored survival data using GLIM, Appl. Stat., 29, 156–163, 1980.
- Arnold, J. G., Srinivasan, R., Muttiah, R. S., and Williams, J. R.: Large Area Hydrologic Modeling and Assessment Part I: Model Development, J. Am. Water Resour. As. (JAWRA), 34(1), 73–89, 1998.
 - Beven, K. J.: Changing ideas in hydrology: the case of physically based models, J. Hydrol., 105, 157–172, 1989.
- ⁵ Bhunya, P. K., Berndtsson, R., Ojha, C. S. P., and Mishra, S. K.: Suitability of Gamma, Chisquare, Weibull, and Beta distributions as synthetic unit hydrographs, J. Hydrol., 334, 28–38, 2007.
 - Bingner, R. L. and Theurer, F. D.: AnnAGNPS Technical Processes (Version 2), (http://www. wsi.nrcs.usda.gov/products/w2q/h&h/tools_models/agnps/index.html), 2001.
- ²⁰ Chow, V. T., Maidment, D. R., and Mays, L. W.: Appl. Hydrol., McGraw-Hill, New York, 572 pp., 1989.
 - Clarke, R. T.: Estimating trends in data from the Weibull and related extreme value distribution, Water Resour. Res., 38(5), 1089, doi:10.1029/2001#VH000575, 2002.
- Dergis, U.: Using Confidence Limits for the Global Optimum in Combinatorial Optimization, Oper. Res., 33, 5, 1024–1049, 1985.
 - Findley, R. W., Farber, D. A., and Freeman, J.: Cases and Materials on Environmental Law (6th Edition), Thomson West, 994 pp., 2003.
 - Fisher, R. and Tippett, L.: Limiting forms of the frequency distribution of the largest or smallest member of a sample, Proceedings Cambridge Philosophical Society, 24, 180–191, 1928.

HESSD

6, 3543–3575, 2009

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/02 04:57:30 •.•



 \odot

•

Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/01 09:54:43 •.• Water bodies have to be also clearly represented.



3569

Sub-Region 1

Sub-Region 2

Sub-Region 3

Total no. of cells: 356

Total no. of cells: 616

Total no. of cells: 760

+ Non-water and non-road

Variable⁺ no. of cells: 356

Variable⁺ no. of cells: 546

Variable⁺ no. of cells: 668

HESSD

6, 3543–3575, 2009

Relationship between peak runoff and land-use pattern

I.-Y. Yeo and J.-M. Guldmann



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/01 10:09:37 •.• Captions can be given in more informative and complete form.



(cc)

Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/01 10:11:43 •.• How this subjective classification was made?



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/02 04:34:54 •.• As the authors know, the 0

As the authors know, the CN variable is the most determinant factor in controlling the SCS method output. How this role has not been mirrored in this figure?. The relation is almost linear and monotonous!! Secondly, does this small range (5 units) reflect the entire differences among study land uses???



Fig. 7. Regression coefficients for peak discharge (Bingner and Theurer, 2002).



Sequence number: 1 Author: USER1 Subject: Note Date: 2009/05/02 04:46:45 •.• How does this trend of variation is inferred under real conditions??? They seem some how unreal!!



HESSD 6, 3543–3575, 2009

Sequence number: 1 Author: USER1 Subject: Inserted Text Date: 2009/05/02 05:14:59 •.•

Sequence number: 2 Author: USER1 Subject: Note Date: 2009/05/02 04:47:47 •.• How the Type II rainfall distribution is guaranteed throughout the watershed??