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# Influence of thermodynamic soil and vegetation parameterizations on the simulation of soil temperature states and surface fluxes by the Noah LSm over a Tibetan plateau site

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# Abstract

In this paper, we investigate the ability of the Noah Land Surface model (LSm) to simulate temperature states in the soil profile and surface fluxes measured during a 7-day dry period at a micrometeorological station on the Tibetan Plateau. Adjustments in soil

- and vegetation parameterizations required to ameliorate the Noah simulation on these two aspects are presented, which include: (1) Differentiating the soil thermal properties of top- and subsoils, (2) Investigation of the different numerical soil discretizations and (3) Calibration of the parameters utilized to describe the transpiration dynamics of the Plateau vegetation. Through the adjustments in the parameterization of the soil
- <sup>10</sup> thermal properties (STP) simulation of the soil heat transfer is improved, which results in a reduction of Root Mean Squared Differences (RMSD's) by 14%, 18% and 49% between measured and simulated skin, 5-cm and 25-cm soil temperatures, respectively. Further, decreasing the minimum stomatal resistance ( $R_{c,min}$ ) and the optimum temperature for transpiration ( $T_{opt}$ ) of the vegetation parameterization reduces RMSD's between measured and simulated energy balance components by 30%, 20% and 5%

for the sensible, latent and soil heat flux, respectively.

# 1 Introduction

An accurate characterization of the heat and moisture exchange between the land surface and atmosphere is important for Atmospheric General Circulation Models (AGCM)
 to forecast weather at various time scales (i.e. McCumber and Pielke, 1981; Garratt, 1993; Koster et al., 2004). Within operational AGCM these land-atmosphere interactions are described by a Land Surface model (LSm). Because AGCM are computationally demanding, numerical efficiency of the LSm is required. Therefore, a simplified implementation of the physical processes and the applied parameterizations are inevitable. For example, the impact of a physically based formulation of roughness lengths for momentum and heat transport on the calculation of the surface fluxes has

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been stressed (i.e. Chen et al., 1997; Zeng and Dickinson, 1998; Su et al., 2001; Liu et al., 2007; Ma et al., 2008) and the influence of a more detailed description of the land surface hydrology has been discussed (i.e. Gutmann and Small, 2007; Gulden et al., 2007). Furthermore, a limited number of soil and vegetation parameterizations are
<sup>5</sup> accommodated in modeling systems operational at a global scale (e.g. Ek et al., 2003).

The impact of those (and other) uncertainties in the simulation of land processes on the output of an AGCM was evaluated by Dickinson et al. (2006). They found significant differences between measured and simulated precipitation amounts and air temperatures for selected extreme environments, such as the Sahara desert, the semi-arid

- Sahel, Amazonian rain forest and Tibetan Plateau. These findings are supported by the results presented in Hogue et al. (2005), which showed that thorough optimization of comprehensive set of model parameters, differences between the measured and simulated heat fluxes for the semi-arid Walnut Gulch watershed (Arizona, USA) can be reduced by as much as 20–40 W m<sup>-2</sup>. The investigation by Dickinson et al. demon-
- strates the existence of inconsistencies in the simulations of land surface processes, while Hogue et al. (2005) show that through adjustment of the LSm parameterizations an improvement is obtained in the model's performance. This suggests that even for extreme environments the implemented LSm physics is flexible enough to represent the land surface processes adequately given the appropriate parameterization.
- <sup>20</sup> Within the framework of the Model Parameter Estimation Experiment (MOPEX) the development of area specific land surface parameterization has been accommodated (Schaake et al., 2006). The focus of this initiative has been on the development parameter estimation methodologies and the calibration of parameters that affect primarily the rainfall-runoff relationships (Duan et al., 2006). As a result, the influence of model pa-
- <sup>25</sup> rameters on simulation of surface energy balance has received little attention within MOPEX. One of the few investigations that addressed the impact of parameter uncertainty on energy balance simulations has been reported by Kahan et al. (2006). They showed for the Simplified Simple Biosphere (SSiB, Xue et al. 1991) model that adjustment in the Leaf Area Index (LAI),  $R_{c,min}$  and saturated hydraulic conductivity ( $K_{sat}$ ) are

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required to decrease systematic differences between simulated and measured sensible and latent heat fluxes for a Sahelian study area in Niger. Moreover, the importance of proper thermal diffusivity is emphasized in order to reduce uncertainties in the simulated diurnal evolution surface temperature and sensible heat flux. In MOPEX-related study, Yang et al. (2005) have shown for the Tibetan Plateau that also the vertical soil

heterogeneity may have a significant impact on the partitioning of radiation.

These previous investigations demonstrate that through adjustments in soil and vegetation parameterizations, significant improvements can be made in the simulation of the surface energy balance. They also emphasized the need to analyze parameter un-

- certainties of different LSm's in more detail. In this context, the Noah LSm is employed for this investigation to simulate the land surface process of a Tibetan Plateau site for a 7-day dry period (3–10 September 2005) during the Asian Monsoon. The objective of this study is to investigate the adjustments in soil and vegetation parameterizations required to reconstruct the measured surface energy fluxes and temperature states
   in the profile. In this paper, firstly, the results of Noah simulations obtained by using
- standard parameterizations employed for application at global scales are presented. Secondly, the adjustments in the soil and vegetation parameterizations are explored to optimize the model performance.

#### 2 Data set

20 2.1 Study site

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The study site selected for this investigation is the micro-meteorological Naqu station located (31°36′86″ N, 91°89′87″ E) approximately 25 km southwest of Naqu city in the Naqu river basin situated on the central part of the Tibetan Plateau. In Fig. 1 a subset of a LandSat TM false color image is shown covering a part of the watershed and indicating the location of the study site. Despite the high overall altitude (4500 m) and significant relief in some parts of this region, the terrain in the proximity of the study site





is relatively smooth, varying only tens of meters in elevation. The weather on this part of the plateau is influenced by the warm wet monsoon in the summer and cold dry winters with temperatures below freezing point. Land cover includes short prairie grasses in higher parts of the watershed and short wetland vegetation in the local depressions.

- <sup>5</sup> The direct environment of Naqu station consists of short grasses, but within a hundred meters a wetland is situated. The soils can be classified as sandy loam (70% sand and 10% silt) with a high saturated hydraulic conductivity ( $K_{sat}=1.2 \text{ m d}^{-1}$ ) on top of an impermeable rock formation. Due to the high root density from the short grasses, organic matter content in the top-soils is relatively high (14.2%).
- At Naqu station, instrumentation has been installed to measure atmospheric variables at different levels (e.g. wind speed, humidity and temperature), incoming and outgoing (shortwave and longwave) radiation and temperatures in the soil profile up to a depth of 40 cm. All variables are recorded at 10-min intervals and a list of the variables used, here, is given in Table 1. From the data record of Naqu station a 7-day
- period from 3 to 10 September 2005 has been selected for this investigation. During the selected period no precipitation was measured, but prior to 3 September several intensive rain events wetted the land surface. The selected period represents a typical dry-down cycle and forms, thus, a good basis for the validation of LSm parameterizations.

20 2.2 Surface fluxes

The soil heat flux is reconstructed using Fourier's Law from temperature gradient measurements between the surface ( $T_{skin}$ ) and the soil depth at which the first temperature measurements are made, which is 0.05 cm ( $T_{5 cm}$ ). This temperature gradient and  $G_0$  are related to each other as follows,

$$_{25} \quad G_0 = k_h(sm)\frac{\partial T}{\partial z} = k_h(sm)\frac{T_{skin} - T_{s1}}{dz}$$
(1)





where  $k_h$  is the thermal conductivity (W m<sup>-1</sup> K<sup>-1</sup>), *sm* is soil moisture content (m<sup>3</sup> m<sup>-3</sup>), *z* is the soil depth. Application of this approach requires formulation of the thermal conductivity, which depends on the soil constituents, such as quartz and organic matter contents. Various scientists (e.g de Vries, 1963; Johansen, 1975; Peters-Lidard et al., <sup>5</sup> 1998) have developed generic formulations to relate the soil texture to the thermal conductivity. In Hillel (1998), however, it is pointed out that  $k_h$  not merely depends on the soil constituents, but is also affected by the size, shapes and spatial arrangements of soil particles. Given the rather specific conditions on the Tibetan Plateau,  $k_h$  under the initial soil moisture conditions of analyzed period is derived from the measured soil heat flux at a soil depth of 10 cm ( $G_{10}$ ) and the soil temperature gradient. Using this "reference"  $k_h$ , the  $k_h$  for following time steps is calculated through application of,

$$k_h(sm) = k_h^i + (sm_i - sm)\kappa_w$$

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where,  $\kappa_w$  is the thermal conductivity of water  $\kappa_w = 0.57$  (W m<sup>-1</sup> K<sup>-1</sup>), and sub- and superscript *i* refer to the initial conditions of the selected period.

Sensible (*H*) and latent heat ( $\lambda E$ ) fluxes have been derived using the Bowen Ratio Energy Balance (BREB)–method (i.e. Perez et al., 1999; Pauwels and Samson, 2006), whereby the Bowen ratio ( $\beta$ ) is defined as,

$$\beta = \frac{H}{\lambda E} = \gamma \frac{T_{\text{air1}} - T_{\text{air2}}}{\rho_{\text{air1}} - \rho_{\text{air2}}}$$
(3)

where, *e* is vapor pressure (kPa), subscripts air1 and air2 indicate the first and second atmospheric level, respectively, and  $\gamma$  is psychrometric constant (kPa K<sup>-1</sup>) defined as,

$$\gamma = \frac{c_{\rho}P}{0.622 \cdot \lambda} \tag{4}$$

where,  $c_p$  is specific heat capacity of moist air (=1005 kJ kg<sup>-1</sup> K<sup>1</sup>), *P* is the air pressure (kPa) and  $\lambda$  is the latent heat of vaporization (=2.5×10<sup>6</sup> J kg<sup>-1</sup>).

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Once the  $\beta$  has been determined from the air temperature and vapor pressure profiles measurements the  $\lambda E$  and H can be calculated using,

$$\lambda E = \frac{R_n - G_0}{1 + \beta}$$

and

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$${}_{5} \quad H = \frac{\beta}{1+\beta}(R_n - G_0)$$

The  $\beta$  has been computed using the air temperature and vapor pressure measurements at levels of 1.0 m and 8.2 m. As BREB-method has a limited validity when  $\beta$  approaches -1.0, latent and sensible heat fluxes derived from  $\beta$  values between -1.3 and -0.7 have been omitted from the data analysis (e.g. Perez et al., 1999; Pauwels et al., 2008).

#### 3 Noah LSm

The Noah LSm originates from the Oregon State University (OSU) LSm, which includes a diurnally dependent Penman approach for the calculation of the latent heat flux under non-restrictive soil moisture conditions (Marht and Ek, 1984), a simple canopy model
(Pan and Marht, 1987), a four-layer soil model (Marht and Pan, 1984; Schaake et al., 1996) and a Reynolds number based approach for the determination of the ratio between the roughness lengths for momentum and heat transport (Zilintinkevich, 1995; Chen et al., 1997). Since the National Centers for Environmental Prediction (NCEP) started to use the OSU LSm in their AGCM systems, the original OSU model was gradually expanded to be representative for a broader range of surface conditions and was renamed the Noah LSm. Most notably improvements have been the cold-season processes (e.g. frozen soil moisture, snow pack process). Noah has performed well in various LSm intercomparison studies (e.g., IGPO, 2002; Mitchell et al., 2004; Rodell et



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al., 2004; Kato et al., 2007). An overview of the most recent changes to the Noah LSm is given in Ek et al. (2003).

#### 3.1 Soil water movement

The soil water flow is simulated through application of the diffusivity form of Richards' <sup>5</sup> equation, which can be formulated as follows,

$$\frac{\partial sm}{\partial t} = \frac{\partial}{\partial z} \left( D(sm) \frac{\partial sm}{\partial z} \right) + \frac{\partial K(sm)}{\partial z} + S(sm) \tag{7}$$

where *K* is the hydraulic conductivity  $[m s^{-1}]$ , *D* is the soil water diffusivity  $[m^2 s^{-1}]$ , *S* is representative for sinks and sources (i.e. rainfall, dew, evaporation and transpiration)  $[m^3 m^{-3} s^{-1}]$ , and *t* represent the time [s]. The non-linear *K*-*sm* and *D*-*sm* relationships are defined by the formulation of Cosby et al. (1984) for 9 different soil types.

# 3.2 Soil heat flow

The transfer of heat through the soil column is governed by the thermal diffusion equation,

$$C(sm)\frac{\partial T}{\partial t} = \frac{\partial}{\partial z} \left( k_h(sm)\frac{\partial T}{\partial z} \right)$$
(8)

where *C* is the moisture dependent thermal heat capacity  $[Jm^{-3}K^{-1}]$ , which is computed using (McCumber and Pielke, 1981),

$$C = f_{\text{soil}}C_{\text{soil}} + f_{W}C_{W} + f_{\text{air}}C_{\text{air}}$$
(9)

where *f* is the volume fraction of the soil matrix, and subscripts soil, *w*,air refer to the solid soil, water and air components. In Noah,  $C_{soil}$ ,  $C_{air}$  and  $C_w$  are defined as 2.0×10<sup>6</sup>, 1005 and 4.2×10<sup>6</sup> [J m<sup>-3</sup> K<sup>-1</sup>], respectively. In reality,  $C_{soil}$  depends also on the soil textural properties, but differences in the heat capacity of the soil constituents

can typically be assumed to be negligible (Hillel, 1998) and are, therefore, not accounted for within the Noah LSm. For the Tibetan Plateau region, however, Yang et al. (2005) concluded that the presence of roots in the top soil may alter the soil thermal properties (STP) significantly.

The layer integrated form of Eq. (8) is solved using a Crank-Nicholson scheme and the temperature at the bottom boundary is defined as the annual mean surface air temperature, which is specified at a depth of 8 m. Here, for our Tibetan study site a value of 277.25 K is used. The top boundary condition is confined by surface temperature, which is computed using the surface energy balance. For the calculation of the surface temperature the following linearization is employed,

$$T_{\rm skin}^4 \approx T_{\rm air}^4 \left[ 1 + 4 \left( \frac{T_{\rm skin} - T_{\rm air}}{T_{\rm air}} \right) \right]$$
(10)

Substitution of Eq. (10) into the energy balance equation yields the following expression for the surface temperature,

$$T_{\rm skin} = T_{\rm air} + \frac{F - H - \lambda E - G_0}{4T_{\rm air}^3} - \frac{1}{4}\varepsilon_s \sigma T_{\rm air}$$
(11)

15 with,

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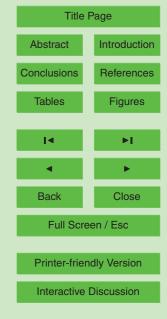
 $F=(1-\alpha)S^{\downarrow}+L^{\downarrow}$ 

where  $\alpha$  is the albedo (–),  $\varepsilon_s$  is the surface emissivity (–),  $S^{\downarrow}$  and  $L^{\downarrow}$  are the shortwave and longwave incoming radiation (W m<sup>-2</sup>), respectively. Based on measurements of the  $S^{\downarrow}$  and shortwave outgoing radiation ( $S^{\uparrow}$ ), the  $\alpha$  is estimated to be 0.17 for the selected time period.

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#### 3.3 Surface energy balance

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The surface energy budget characterized within the Noah LSm can be formulated as follows,

$$F - \varepsilon_s \sigma T_{\rm skin}^4 = H + \lambda E + G_0 \tag{12}$$

<sup>5</sup> The  $G_0$  is calculated using Eq. (1) and the temperature gradient between surface and mid-point of the first soil-layer, whereby the formulation of Peters-Lidard et al. (1998) is employed to determine the  $k_h$ . The sensible heat flux is calculated through application of the bulk transfer relationships (e.g. Garratt, 1993), which can be written as,

 $H = \rho c_{\rho} C_{h} u [T_{\text{skin}} - \theta_{\text{air}}]$ 

<sup>10</sup> where  $\rho$  is the air density [kg m<sup>-3</sup>],  $C_h$  is the surface exchange coefficient for heat (–), *u* is the wind speed (m s<sup>-1</sup>) and  $\theta_{air}$  is the potential air temperature (K). The surface exchange coefficient for heat is obtained through application of the Monin-Obukhov similarity theory, whereby the ratio of the roughness length for momentum and heat transport ( $kB^{-1} = \ln[z_{0m}/z_{0h}]$ ) is determined by the Reynolds number dependent for-<sup>15</sup> mulation of Zilintinkevich (1995).

Simulation of the  $\lambda E$  is performed using a Penman-based diurnally dependent potential evaporation approach (Marht and Ek, 1984), and applying a Jarvis (1976)-type surface resistance parameterization similar to the one of Jacquemin and Noilhan (1990) to impose soil and atmosphere constraints to obtain the actual  $\lambda E$ . Assuming the surface exchange coefficient for heat ( $C_h$ ) and moisture ( $C_q$ ) are equivalent, the diurnally dependent potential evaporation can be formulated as follows,

$$\lambda E_{\rho} = \frac{\Delta (R_n - G_0) + \rho \lambda C_q u(q_{sat} - q)}{1 + \Delta}$$

where  $\Delta$  is the slope of the saturated vapour pressure curve (kPa K<sup>-1</sup>),  $q_{sat}$  and q are the saturated and actual specific humidity (kg kg<sup>-1</sup>).

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(13)

(14)

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The actual  $\lambda E$  is calculated as the sum of three components: (1) soil evaporation  $(E_{dir})$ , (2) evaporation of intercepted precipitation by the canopy  $(E_c)$  and (3) transpiration through the stomata of the vegetation  $(E_t)$ . The method by Mahfouf and Noilhan (1991) is used to compute the soil evaporation extracted from the top soil layer, accord-<sup>5</sup> ing to,

$$E_{\rm dir} = (1 - f_c) \frac{(sm_1 - sm_{\rm dry})}{(sm_{\rm sat} - sm_{\rm dry})} \Theta^{f_X} E_\rho$$
(15)

where  $f_c$  is the fractional vegetation cover (–), fx is empirical constant taken equal to 2.0 (–) and subscripts 1, sat and dry indicate the soil moisture content in the first soil layer, saturated soil moisture content and wilting point (cm<sup>3</sup> cm<sup>-3</sup>), respectively. For our Tibetan Plateau site, the  $f_c$  is assumed to be 0.3.

The canopy evaporation is calculated using,

$$E_c = f_c E_\rho \left(\frac{cmc}{cmc_{\max}}\right)^{0.5} \tag{16}$$

where cmc and  $cmc_{max}$  are the actual and maximum canopy moisture contents  $(kg m^{-2})$ . The canopy transpiration is determine by,

<sup>15</sup> 
$$E_t = f_c P_c E_p \left( 1 - \left( \frac{cmc}{cmc_{\text{max}}} \right)^{0.5} \right)$$
(17)

where  $P_c$  is the plant coefficients defined as,

$$P_c = \frac{1 + \frac{\Lambda}{R_r}}{1 + R_c C_h + \frac{\Lambda}{R_r}}$$
(18)

with  $R_r$  is a function of the wind speed, air temperature, surface pressure and  $C_h$ , and

$$R_{c} = \frac{R_{c,\min}}{\text{LAIR}_{c,\text{rad}}R_{c,\text{temp}}R_{c,\text{hum}}R_{c,\text{soil}}}$$

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(19)

where LAI is the leaf area index  $[m^2 m^2]$ ,  $R_{c,min}$  is the minimum canopy, and  $R_{c,rad}$ ,  $R_{c,temp}$ ,  $R_{c,hum}$ ,  $R_{c,soil}$  represent sub-optimal conditions for transpiration in term of incoming solar radiation, temperature, humidity and soil moisture, respectively, which are defined as,

$$R_{c,\text{rad}} = \frac{R_{c,\text{min}}/R_{c,\text{max}} + ff}{1 + ff} \text{ where } ff = 1.10 \frac{S^{\downarrow}}{\text{LAI} \cdot R_{g/}}$$

$$R_{c,\text{temp}} = 1 - 0.0016(T_{\text{opt}} - T_{\text{air}})^{2}$$

$$R_{c,\text{hum}} = \frac{1}{1 + h_{s}(q_{\text{sat}} - q)}$$

$$R_{c,\text{soil}} = \sum_{i=1}^{nroot} \frac{sm(i) - sm_{\text{wlt}}}{sm_{\text{ref}} - sm_{\text{wlt}}} f_{\text{root}}(i)$$

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In this formulation, *nroot* is the number of root zone layers, f(i) is the fraction of the total root zone the *i*th layer represents,  $R_{c,max}$  is the maximum stomatal resistance, and  $R_{g/}$ ,  $T_{opt}$  and  $H_s$  are semi-empirical parameter describing the optimal transpiration conditions with respect to the incoming solar radiation, air temperature and humidity.

#### 3.4 Application of the Noah LSm

Description of the Noah LSm physics in the text above indicates that simulation requires
 the definition of a number of parameters. This comprehensive set of parameters can be subdivided into parameters describing the initial conditions, numerical discretization of the soil column, vegetation properties, soil hydraulic and thermodynamic properties. Application of the Noah LSm in a default mode accommodates four soil layers with thicknesses of 0.1, 0.3, 0.6 and 1.0 m, respectively. For each layer, initial soil moisture and temperature states should be defined.

At a global scale, 9 different texture dependent soil parameter sets (hydraulic and thermodynamic) and 13 vegetation parameter sets are defined. The soil and vegetation

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parameter sets used within the Noah LSm are given in Tables 2 and 3. Next to the soil texture and land cover dependent parameters, several soil and vegetation parameters are assumed to be general applicable, which are given in Table 4. Further, it should be noted that by default one set of hydraulic and thermodynamic parameters is adopted

for the entire soil column, and no distinction is made between the top- and subsoil.

#### 4 Evaluation of the Noah simulations obtained using default parameterizations

In this section, Noah simulations obtained by using default parameterizations are compared to soil temperature and surface energy balance measurements. For these simulations, the model is forced using the atmospheric variables measured at Nagu station and the initial soil moisture and temperature conditions have been derived from in-situ measurements. The "Loamy sand" soil parameterization is adopted as being equivalent to the local conditions. Due to the extreme conditions on the Tibetan Plateau, assignment of a single vegetation parameterization from the 13 default land cover types is not possible. Therefore, the Noah model is run using three different vegetation parameter sets that are considered equally representative for the Tibetan Plateau, which are: tundra, bare soil and glacial.

In Fig. 2 measured and simulated heat fluxes (H,  $\lambda E$  and  $G_0$ ) obtained using the three vegetation parameter sets are plotted as a time series and cumulative distribution are shown to emphasize the differences between the measurements and simulations.

Similarly, plots with the time series and the cumulative distribution of the measured 20 and simulated soil temperatures at the surface, soil depths of 5-cm and 25-cm are presented in Fig. 3. In addition, the Root Mean Squared Differences (RMSD) and the bias are calculated between the measurements and simulations, and presented in Tables 5 and 6 for the surface energy balance components as well as the soil temperature states. The RMSD and bias are calculated using,

$$\mathsf{RMSD} = \sqrt{\frac{1}{n}\sum (\mathcal{O}_t - \mathcal{S}_t)^2}$$

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bias = 
$$\frac{1}{n} \sum O_t - \frac{1}{n} \sum S_t$$

where  $O_t$  is the measured values at time t,  $S_t$  is the simulated value at time t and n is the total number of observations.

In general, the comparison indicates that the partitioning between the *H* and  $\lambda E$  is not properly simulated by Noah. The Noah LSm overestimates the measured *H* resulting in biases of 41.25–52.69 W m<sup>-2</sup> and underestimates the  $\lambda E$  by 18.36–39.53 W m<sup>-2</sup> depending on the adopted vegetation parameterization. As a result of the biases obtained for *H* and  $\lambda E$ , also the obtained RMSD's are somewhat large as compared to optimized modeling results presented in previous investigations (e.g. Sridhar et al., 2002; Yang et al., 2005; Gutmann and Small, 2007).

It should be noted that the magnitude of the *H* overestimation is 13.34–30.55 W m<sup>-2</sup> larger than the underestimation of the  $\lambda E$ . From an energy balance perspective, this difference should be compensated by other energy components, but only a small systematic difference is observed for the  $G_0$ . The explanation for this discrepancy is found

- <sup>15</sup> through the analysis of the measured and simulated temperatures of the soil profile. Although the measured dynamic temperature range is not entirely captured by the simulations, the modeled surface temperature and 5-cm soil temperature compare reasonably well with the measurements and results RMSD's of 1.45–1.84 and 1.08–1.80°C, respectively. On the other hand, the 25-cm soil temperature simulations strongly un-
- <sup>20</sup> derestimate the measured diurnal temperature variation, which indicates that the heat required for the simulation of temperature variations deeper in the soil profile is not transferred into soil column. Since a relatively small amount of energy is used for heating the deeper soil profile, more energy is available for heating the atmosphere. Hence, the Noah LSm overestimates the *H*.
- <sup>25</sup> Comparable results on the bias in partitioning the *H* and  $\lambda E$  have previously been reported by Kahan et al. (2006). They have reported on over- and underestimation of *H* and  $\lambda E$  measured at a Sahelian study site in Niger by as much as 31.2 and 41.8 W m<sup>-2</sup> using SSiB LSm, respectively. By reducing the model's stomatal resistance (among

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other parameter) by more than one order of magnitude, the  $\lambda E$  is increased and, because of the energy conservation principle, a reduction in *H* is forced. The differences between the modeling results obtained with the three vegetation parameterizations should be viewed in this context. The smallest *H* overestimation is observed for the glacial vegetation parameterization. This parameterization includes a low value for minimum stomatal resistance ( $R_{c,min}$ ) and the lowest values for the roughness length for momentum transport ( $z_0$ ), which reduces the mechanically generated atmospheric turbulent fluxes. Therefore, Noah modeling results obtained through application of the glacial vegetation parameterization are considered to represent the Tibetan measurements best.

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Also, the inconsistency of LSm's in the simulation of the soil heat transfer has been previously recognized. Yang et al. (2005) extensively discussed the impact of the vertical heterogeneity in the soil profile for the simulation of the *H* and  $\lambda E$ , and concluded that accounting for the vertical soil heterogeneity is indispensable for a proper characterization of the soil heat transfer. In the default parameterization, vertical heterogeneous soils are not accommodated with the Noah LSm, which could be the explanation for the inconsistencies between the simulated and measured temperature at a soil depth 25 cm. This is supported by the investigation of Yang et al. who concluded that over the Tibetan prairie grasslands the roots significantly alter the STP of the top soil.

#### 20 5 Optimization Noah's performance through adjustment of thermodynamic soil and vegetation parameterizations

The analysis of the Noah modeling results obtained using default soil and vegetation parameterizations against in-situ measurements has shown that the transfer of heat through the soil column and the partitioning between H and  $\lambda E$  is not properly simulated. In this section, the optimization of the simulation of these two land surface processes is investigated by adjusting soil and vegetation parameterizations. These adjustments include the evaluation of different numerical discretizations of the soil lay-

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ers and calibration of soil and vegetation parameters.

Calibration of the soil and vegetation parameters is performed using the Parameter Estimation (PEST, Doherty 2003) tool, which is based on the optimization of a cost function ( $\Phi$ ) using the Gauss-Levenberg-Marquardt algorithm formulated as follows.

$${}_{5} \Phi = \sum (O_t - S_t)^2$$

PEST allows users to assign weights to specific observations and different numerical schemes for the optimization of  $\Phi$ . However, the objective of this investigation is to analyze the simulation of land surface processes over a Tibetan site by Noah and not to study different calibration strategies. For a complete mathematical description of PEST, the reader is referred to Gallagher and Doherty (2007) and Doherty (2003). The default configuration of the PEST tool is used for this investigation. To assure convergence, the optimization process has been performed for a wide range of initial parameter values and during each optimization run only a single parameter is calibrated. A  $\Phi$  based on the measured and simulated  $G_0$  ( $\Phi_{G_0}$ ) is adopted for calibrate the vegetation parameters, independently. In this section, first, the influence of the soil parameterizations on the simulation of temperature states and surface energy balance is discussed and, then, the impact of the vegetation parameters is addressed.

5.1 Soil heat transfer

- <sup>20</sup> Since the large number of roots and the higher organic matter content in the top soil changes thermal characteristics as compared to the subsoil, the original Noah LSm adapted to accommodate different soil thermal layers (STL's). In terms of STL's, a 10cm topsoil layer and 190-cm subsoil layer has been selected for this investigation. For the subsoil the default parameterization for the thermal conductivity ( $k_h$ ) and heat ca-
- <sup>25</sup> pacitiy (*C*) have been assigned, while for the top soil a  $C_{\text{soil}}$  values of  $1.0 \times 10^6 \text{ Jm}^{-3} \text{ K}^{-1}$ is taken and the  $k_h$  parameterization is optimized. Calibration of the quartz content (*qtz*) using the  $\Phi_{G_0}$  is utilized for the optimization of the  $k_h$  parameterization. Within

(23)



this calibration procedure, the upper and lower limits of the quartz content were set to 0.01 and 2.0 beyond values that are physically possible in order to maintain maximum flexibility in the modeling system. In addition, different numerical discretizations of the soil profile are evaluated, of which the default 4-soil layer and six alternate 5-soil layer models are included. Within the 5 layer model sature, thicknesses for the ten soil layer

<sup>5</sup> models are included. Within the 5-layer model setups, thicknesses for the top soil layers of 0.1, 0.5, 1.0, 2.0, 3.0 and 4.0 cm have been selected, while maintaining the total thickness of the top two soil layers 10 cm.

The PEST tool has been utilized to calibrate the *qtz* parameter of the Noah for each of the seven numerical discretizations of the soil profile and the optimized values are

- presented in Table 7. The *glacial* vegetation parameterization has been used for these simulations. The modeled and measured surface fluxes are presented in Fig. 4 as time series as well as cumulative distributions. Similar plots are presented in Fig. 5 for the modeled and measured soil temperature at the surface and soil depths of 5 and 25-cm The RMSD's and biases between modeling results and measurements of the heat fluxes and soil temperatures are given in Tables 8 and 9, respectively. It should
- be noted that the results of the Noah simulations using the 5-layer model setup with thicknesses of the top soil of 2.0, 3.0 and 4.0 cm are not shown in Figs. 4 and 5.

The results presented in Figs. 4 and 5, and Tables 8 and 9 demonstrates that differentiation between the STP of the top- and subsoil alone improves the simulation

- <sup>20</sup> of the soil temperatures only slightly and even increases the differences between the simulated and measured surface fluxes. However, the simulation of the soil heat transfer significantly improves when an additional thin soil layer is included in the model configuration. For all six thicknesses of the top soil layer, the largest improvements are observed in the simulation of the soil temperature at a depth of 25-cm ( $T_{25\,cm}$ ).
- <sup>25</sup> The RMSD for the  $T_{25 \text{ cm}}$  (RMSD<sub> $T_{25 \text{ cm}}$ </sub>) decreases from 1.33°C obtained with the glacial vegetation parameterization and the default numerical soil discretizations to values varying between 0.71 and 0.66°C depending on the thickness of the top soil layer, which is a reduction of 46.6–50.3%. Also, the RMSD's for simulated surface temperature ( $T_{\text{skin}}$ ) and 5-cm soil temperature ( $T_{5 \text{ cm}}$ ) obtained with the 5-layer model setups

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decrease as compared to the model results obtained with the default 4-layer configuration. For the  $T_{skin}$  RMSD (RMSD<sub> $T_{skin}$ </sub>) decreases from 1.45°C to values of 1.15–1.35°C and for the  $T_{5 cm}$  (RMSD<sub> $T_{5 cm}$ </sub>) a decrease of 1.28°C to 1.02–1.11°C is observed. Both the RMSD<sub> $T_{skin}$ </sub> as well as RMSD<sub> $T_{5 cm}$ </sub> depend on the thickness of the top soil layer; the lowest RMSD<sub> $T_{skin}$ </sub> and RMSD<sub> $T_{5 cm}$ </sub> for a 0.1 cm top layer, while the lowest RMSD<sub> $T_{25 cm}$ </sub> is obtained for a 1.0 cm top layer.

The impact of the adjustments in soil parameterization on the simulation of the surface energy balance is primarily manifested in the *H* and *G*<sub>0</sub>. Its influence on the simulation of the  $\lambda E$  is limited and resulting RMSD values vary only between 33.17 and 37.04 W m<sup>-2</sup>. This is explained by the direct relationship between the soil temperature and the calculation of the *H* and *G*<sub>0</sub>, which is absent for the  $\lambda E$ . Computations of *H* as well as *G*<sub>0</sub> are both based on a temperature gradient either between the surface and the air temperature (for the *H*) or between the surface and the mid-point of the first soil layer (for the *G*<sub>0</sub>). For the *G*<sub>0</sub>, the lowest RMSD (RMSD<sub>*G*<sub>0</sub></sub>) is obtained using the 5-layer

- <sup>15</sup> model with a 0.1-cm top layer (33.17 W m<sup>-2</sup>) because using the configuration diurnal temperature variations at the surface and at a 5-cm soil depth are simulated best. However, the change in the simulated surface temperature modifies also the temperature gradient between the skin and air. As a result, an increase of RMSD for H (RMSD<sub>H</sub>) is observed as the RMSD<sub>G0</sub> decreases, and vice versa. The lowest RMSD<sub>H</sub> is obtained
- for the 5-layer model configuration using 4.0-cm top layer, which is  $35.87 \text{ W m}^{-2}$ . The decrease in RMSD<sub>*H*</sub> observed for thicker top layer in 5-layer model configuration is coupled with a decrease in the obtained bias, which ranges from 40.42 to 22.9 W m<sup>-2</sup> for top soil layer thicknesses of 0.1–4.0-cm. This indicates an improvement in the simulation of the heat flux partitioning, while even the lowest bias obtained for the *H* as well as  $\lambda E$  remain guite significant; 22.90 and 26.04 W m<sup>-2</sup>, respectively.

In general, from these modeling results it may be concluded that differentiation between top- and subsoil and including a thin top soil layer improve the soil heat transfer simulation. However, these adjustments in the soil parameterization do not improve the simulation of the surface fluxes. The simulations  $G_0$  using 0.1-cm top layer represent

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the measurements best, while differences between the measured and simulated *H* are smallest using a 4.0-cm top soil layer. The overestimation of the *H* with 0.1-cm top soil layer might suggest that the simulated solar radiation available for heating of the air and soil is too large; meaning that the simulated solar radiation consumed by the cooling of surface through evaporation and transpiration is too low. Further, it should be noted that the optimized values for the quartz content for the all 5-layer model configurations exceed its physical limits varying between 1.50 and 1.68 [–]. An explanation for these unrealistic values is provided in the discussion.

#### 5.2 Vegetation parameterization

- <sup>10</sup> Amelioration of inconsistencies in simulating the partitioning between *H* and  $\lambda E$  can be obtained by adopted an aerodynamic or an energy balance approach. In this investigation, however, an energy balance approach is adopted to improve the simulation of the *H* and  $\lambda E$ . Kahan et al. (2006) demonstrated that the simulation of the heat flux partitioning can be improved by optimizing the vegetation parameters affecting the
- <sup>15</sup>  $\lambda E$ . A similar methodology is followed here. Land cover specific vegetation parameters required for Noah simulation are:  $R_{gl}$ ,  $H_s$  and  $R_{c,min}$ . In addition, a universal optimum temperature for transpiration ( $T_{opt}$ ) is defined for all vegetation types. Parameters  $R_{gl}$ and  $H_s$  characterize optimum transpiration conditions in terms of the incoming solar radiation and humidity, which are bounded by physical constraints and not expected to
- <sup>20</sup> be significantly different for the Tibetan Plateau. On the other hand, the  $R_{c,min}$  and  $T_{opt}$  are parameters more related to plant physiology and could be significantly different for the selected site.

The parameters  $R_{c,\min}$  and  $T_{opt}$  are, therefore, calibrated using PEST for the optimization of the cost function between the measured and simulated  $\lambda E$ . For this optimization procedure, the 5-layer Noah model configuration is utilized with a 0.5 cm top soil layer. Calibration of the  $R_{c,\min}$  and  $T_{opt}$  yields values of 49.88 sm<sup>-1</sup> and 7.21°C, respectively. Through the optimization, the  $R_{c,\min}$  is reduced by 100.12 sm<sup>-1</sup> and  $T_{opt}$ 

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by 17.61°C in comparison to the default parameterization. For the Tibetan Plateau conditions, the decrease in the values for the  $R_{c,min}$  and  $T_{opt}$  in the Noah LSm result in an  $\lambda E$  increase. Reducing the  $R_{c,min}$  reduces the resistance for transpiration and 7.21°C is closer to the averaged air temperature at the study site, which is 6.27°C for the selected period. Both changes to the two plant physiological parameters can be argued.

- Growing seasons on the plateau are short and, in this short period, vegetation should be productive in order to be able to survive the harsh Tibetan environment. Further, temperatures on the plateau are, generally, lower than sea level; a lower temperature at which plants transpire optimally is, therefore, required. At the same time, the va-
- <sup>10</sup> lidity of the default  $T_{opt}$  can be questioned for all environments that substantially differ from the humid climate for the original parameterization (Dickinson, 1984). A climate dependent parameterization could be considered for global Noah applications, but this extends beyond the scope of this investigation.
- The modeling results of Noah simulations with the optimized vegetation parameters plotted against the measurements, which are presented in Figs. 6 and 7 for the heat fluxes and soil temperature, respectively. For comparison purposes, a selection of Noah simulations discussed previously are also presented in Figs. 6 and 7, which are; (1) the default 4-layer model with the glacial vegetation parameters; (2) the 4-layer model with two STL's and glacial vegetation parameters; and (3) the 5-soil layers with two STL's, 0.5-cm top layer and glacial vegetation parameters. In addition, the basic
- statistics are presented in Figs. 6 and 7, such the coefficient of determination ( $R^2$ ), RMSD and bias.

Comparison of the plots in Figs. 6 and 7 shows that the adjustments in the parameterization of STP improves the simulation of the soil temperature states, but does not result in a reduction in the differences between the simulated and measured surface fluxes. Through the calibration of the  $R_{c,min}$  and  $T_{opt}$ , the partitioning between *H* and  $\lambda E$  the represents better the energy budget measurements. The RMSD's obtained for the *H* and  $\lambda E$  are reduced from 47.4 and 33.2 obtained for the default simulations to 33.3 and 26.5 for optimized simulations [W m<sup>-2</sup>], respectively. Similar results have

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been presented in the Kahan et al. (2006). They showed for an application of the SSiB LSm to a Sahelian study area that lowering the model constraints for the transpiration, not only increases simulated  $\lambda E$ , but also reduces the overestimation in the *H*.

#### 6 Discussion

- <sup>5</sup> The adjustments in the parameterization of the STP and calibration of the vegetation parameters,  $R_{c,min}$  and  $T_{opt}$ , have ameliorated the simulation of the soil heat transfer and reduced uncertainties in the simulated *H* and  $\lambda E$  to levels comparable as are reported in previous investigation (e.g., Sridhar et al., 2003; Gutmann and Small, 2007; Pauwels et al., 2008). Despite the optimized Noah simulations are able to represent the soil temperature and surface energy balance measurements better, still some inconsistencies in the modeling results can be observed when radiative forcings become large. For example, the Noah simulation systematically overestimates the measured *H* at values larger than approximately 150 W m<sup>-2</sup>, which coincides with underestimation of the  $G_0$  and  $T_{skin}$  above measured values larger than approximately 150 W m<sup>-2</sup>
- <sup>15</sup> and 20°C, respectively. Apparently, under large radiative forcings the Noah LSm is not able to simulate  $T_{skin}$  increase measured on the Tibetan Plateau. Therefore, the Noah simulated temperature gradients between the surface and atmosphere, and between surface and the mid-point of the first soil layer become too large and too small, respectively. As a result, an over- and underestimations of the measured *H* and  $G_0$  are observed. The explanation of this discrepancy in the simulated  $T_{skin}$  is twofold.

Firstly, the surface exchange coefficient for heat  $(C_h)$  may not be properly parameterized for the Tibetan conditions. In the Noah LSm, the Reynolds number dependent methodology proposed by Zilintinkevich (1995) is employed for the determination of the  $kB^{-1}$ . However, Ma et al. (2005) and Yang et al. (2003) have reported on strong diurnal  $kB^{-1}$  variations in varying between 2.7 and 6.4 for the Tibetan Plateau. Other methodologies developed for the determination of the  $kB^{-1}$  could, therefore, be better capable of representing the Tibetan conditions, such as the ones proposed by Su et al. (2001).



An examination of the available methodologies would, however, lead beyond the scope of this investigation; evaluations are provided in Liu et al. (2007) and Yang et al. (2008).

Secondly, the linearization of the surface energy balance (see Eq. 10) utilized to compute the  $T_{skin}$  is an explanation for the differences between the simulated and mea-

- <sup>5</sup> sured  $T_{skin}$ . This approximation is exact when  $T_{air}$  is equivalent to  $T_{skin}$  and loses its validity as the difference between  $T_{air}$  and  $T_{skin}$  increases. For our Tibetan study site, differences between the  $T_{air}$  and  $T_{skin}$  can be expected to be significantly larger than at sea level because the air pressure is much lower and fewer air molecules are available to transport energy from the surface towards the air. To demonstrate the influence of
- <sup>10</sup> the applied approximation for our Tibetan site, the measured  $T_{skin}$  and  $T_{air}$ , the  $T_{skin}$ calculated by using Eq. (10) and are plotted in Fig. 8. This plot shows that the applied approximation holds rather well during nighttime. After sunrise, however, differences between measured  $T_{air}$  and  $T_{skin}$  increase resulting in a discrepancy between the measured and approximated  $T_{skin}$  of more than 10°C at midday. Obviously, this leads to an underestimation of  $T_{skin}$  even when the parameterization soil-vegetation-atmosphere
  - system is agreement with local conditions.

Within the uncertainties embedded in the  $C_h$  calculation and in the linearization applied for the  $T_{skin}$  simulation lies also the explanation for the unrealistically high values for the calibrated *qtz* parameter. With the increase of the *qtz* parameter, the thermal heat conductance is raised to increase the transport of heat into soil and to compensate for the lower simulated temperature gradient between surface and the mid point of the first soil layer. When *qtz* parameter is not used to compensate for the  $T_{skin}$  underestimation, biases may arise in the simulation of the soil temperature profile as occurs in applications of the Noah LSm in its default configuration.

#### 25 7 Conclusions

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In this paper, adjustments in the soil and vegetation parameterizations required to be able to reproduce the soil temperature states and surface fluxes using the Noah LSm

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are investigated using a 7-day period of in-situ measurements collected at a study site on the Tibetan Plateau. Analysis of the results from simulations obtained through application of the default parameterization has shown that (1) heat transfer through the soil column is not represented adequately, (2) partitioning between the sensible (H) and la-

<sup>5</sup> tent heat ( $\lambda E$ ) flux is biased. Amelioration of the parameterization of these land surface processes is achieved through adjustment of soil and vegetation parameterizations.

Through differentiating between the soil thermal properties of a top- and subsoil, and including a thin top soil layer, uncertainties in the simulation of the soil heat transfer are reduced and RMSD's between the measured and simulated  $T_{skin}$ ,  $T_{5cm}$  and  $T_{25cm}$  are obtained of 1.25°C, 1.05°C and 0.68°C by using a 0.5 cm thick top soil layer. It is found that the adding a thin top soil layer has stronger effect than differentiating between the soil thermal properties of a top- and subsoil. A decrease in the vegetation parameters,  $R_{c,min}$  and  $T_{opt}$ , constraining the transpiration reduces the RMSD for the  $\lambda E$  from 33.2 W m<sup>-2</sup> obtained using the default Noah configuration to 26.5 W m<sup>-2</sup> using

<sup>15</sup> the optimized parameterization. In addition, the improvement in the  $\lambda E$  simulation also influences the *H* simulation and decreases the RMSD from 47. 41 to 33.3 W m<sup>-2</sup>, while the differences between the measured and simulated  $G_0$  do not change significantly.

Although the adjustments in the parameterization of the STP and calibration of vegetation parameters improved Noah's capability of representing the soil temperature states and the surface energy balance components measured on the Tibetan Plateau, under conditions of the high radiative forcings an underestimation is observed of measured  $T_{skin}$ . This underestimation of the  $T_{skin}$  results in an overestimation of the *H* and underestimation  $G_0$ . The explanation for the discrepancy in the  $T_{skin}$  simulation is twofold. Firstly, the surface exchange coefficient for heat may not be properly parameterized. Secondly, the approximation, adopted for linearization of the surface energy balance using to calculate the  $T_{skin}$ , introduces some uncertainties when differences between the measured  $T_{skin}$  and  $T_{air}$  are large, which are typical midday conditions on

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# **Table 1.** List of measurements conducted at Naqu station at 10-min intervals that have been used in this investigation.

Variables	Instrumentation	Elevation [m]	Measurement uncertainty
Air pressure	PTB220C, Vaisala	+1.5 m	±1 hPa
Incoming and outgoing, longwave and shortwave radiation	CM21, Kipp & Zonen	+2.0 m	±0.5% at 20°C
Wind speed	WS-D32, Komatsu	+1.0 m, +5.0 m, +8.2 m	±0.8 m/s u<10 m/s ±5% u>10 m/s
Humidity	HMP-45D, Vaisala	+1.0 m, +8.2 m	±3%
Air temperature	TS-801(Pt100), Okazaki	+1.0 m, +8.2 m	±3%
Soil heat flux	MF-81,EKO	-0.10 m	±5%
Soil temperature	Pt100, Vaisala	Surface, -0.05 m, -0.10 m, -0.20 m, -0.40 m	±0.5°C
Soil moisture	10 cm ECH2O probe, decagon devices	-0.05 m, -0.20 m	$0.024 \ [cm^3 cm^{-3}]$

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**Table 2.** Soil parameter sets defined for the 9 soil texture classes used within large-scale applications of the Noah LSm (after Cosby et al., 1984).

Soil texture class	sm <sub>sat</sub> [m <sup>3</sup> m <sup>-3</sup> ]	$\psi_{\rm sat}$ $[{ m m}^{-1}]$	$K_{\rm sat}$ [m d <sup>-1</sup> ]	<i>b</i> -parameter [–]	Quartz [-]
Loamy sand	0.421	0.04	1.22	4.26	0.82
Silty clay loam	0.464	0.62	0.17	8.72	0.10
Light clay	0.468	0.47	0.09	11.55	0.25
Sandy loam	0.434	0.14	0.45	4.74	0.60
Sandy clay	0.406	0.10	0.62	10.73	0.52
Clay loam	0.465	0.26	0.22	8.17	0.35
Sandy clay loam	0.404	0.14	0.39	6.77	0.60
Organic	0.439	0.36	0.29	5.25	0.40
Glacial/land ice	0.421	0.04	1.22	4.26	0.82

*sm*<sub>sat</sub>: saturated soil moisture content;

 $\psi_{\rm sat}$ : soil water potential at the air entry level;

 $K_{sat}$ : saturated hydraulic conductivity;

*b*-parameter: empirical parameter defining the shape of the retention curve Quartz: quartz content.

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**Table 3.** Vegetation parameter sets defined for the 13 land cover types used within large-scale applications of the Noah LSm.

Land cover type	nroot	R <sub>c,min</sub>	$\underline{R}_{g'}$	H <sub>s</sub>	Z <sub>0</sub>
	[#]	[s m <sup>-1</sup> ]	[W m <sup>-2</sup> ]	$[kg kg^{-1}]$	[m]
Tropical forest	4	150	30	41.69	2.653
Deciduous trees	4	100	30	54.53	0.826
Mixed forest	4	125	30	51.91	0.563
Needleleaf-evergreen forest	4	150	30	47.35	1.089
Needleleaf-deciduous forest (larch)	4	100	30	47.35	0.854
Savanna	4	70	65	54.53	0.856
Only ground cover (perennial)	3	40	100	36.35	0.035
Shrubs w. perennial	3	300	100	42	0.238
Shrubs w. bare soil	3	400	100	42	0.065
Tundra	2	150	100	42	0.076
Bare soil	3	400	100	42	0.011
Cultivations	3	40	100	36.36	0.035
Glacial	2	150	100	42	0.011

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**Table 4.** Soil, vegetation and other parameters assumed to be constant within large-scale applications of the Noah LSm regardless of the soil texture, land cover class and geographic location.

Parameter	Description	Default value
R <sub>c,max</sub> T <sub>opt</sub>	Maximum stomatal resistance Optimal temperature for transpiration	5000 s m <sup>-1</sup> 24.85°C
LÁI	Leaf area index	$5.0 \mathrm{m^2  m^{-2}}$
$egin{array}{c} {\cal C}_{\sf soil} \ {\cal C}_{\sf zil} \end{array}$	Soil heat capacity Zilintinkevich constant	2.0×10 <sup>6</sup> J m <sup>-3</sup> K <sup>-1</sup> 0.2

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**Table 5.** Root mean square differences (RMSD's) calculated between the measured soil temperature states and surface fluxes, and the Noah simulations.

Land cover	<i>H</i> [W m <sup>-2</sup> ]	$\lambda E [W m^{-2}]$	$G_0  [{ m W}{ m m}^{-2}]$	T <sub>skin</sub> [°C]	T <sub>5 cm</sub> [°C]	<i>T</i> <sub>25 cm</sub> [°C]
Tundra	53.50	32.40	34.12	1.48	1.08	1.19
Bare soil	57.85	42.54	33.34	1.84	1.80	1.77
Glacial	47.41	33.20	34.23	1.45	1.28	1.33

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**Table 6.** Biases calculated between the measured soil temperatures and surface fluxes, and the Noah simulations.

Land cover	<i>H</i> [W m <sup>-2</sup> ]	$\lambda E [Wm^{-2}]$	$G_0  [{ m W}  { m m}^{-2}]$	$T_{skin}$ [°C]	$T_{5\mathrm{cm}}[^{\circ}\mathrm{C}]$	$T_{25\mathrm{cm}}[^{\circ}\mathrm{C}]$
Tundra	-48.91	18.36	3.80	1.13	0.59	0.69
Bare soil	-52.69	39.35	2.08	0.17	-0.24	0.28
Glacial	-41.25	20.91	2.81	0.56	0.10	0.45



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**Table 7.** Optimized values for *qtz* parameter using the PEST tool and the Noah LSm with seven numerical discretizations for the soil profile.

	4 layers		5 layers				
Top soil thickness [cm] quartz content	10.0 0.82	0.1 1.50		1.0 1.63	-	3.0 1.67	4.0 1.68

# **Table 8.** RMSD's calculated between the measured soil temperature states and surface fluxes, and modelling results obtained with the Noah LSm configured for differences in the STP between the top- and subsoil and different numerical discretizations of the soil profile.

S	oil discretization	Н	λE	$G_0$	T <sub>skin</sub>	T <sub>5 cm</sub>	T <sub>25 cm</sub>
# layers	Top soil thickness [cm]	$[W m^{-2}]$	$[W m^{-2}]$	[°C]	[°C]	[°C]	$[W m^{-2}]$
4 layers	10.0	52.72	33.17	41.28	1.40	1.49	1.32
5 layers	0.1	46.92	37.04	33.17	1.15	1.02	0.71
	0.5	44.34	36.21	34.73	1.25	1.05	0.68
	1.0	43.30	36.13	36.83	1.32	1.07	0.66
	2.0	43.24	36.06	39.34	1.36	1.09	0.66
	3.0	43.51	35.97	40.47	1.35	1.11	0.67
	4.0	35.87	35.89	40.68	1.35	1.03	0.67

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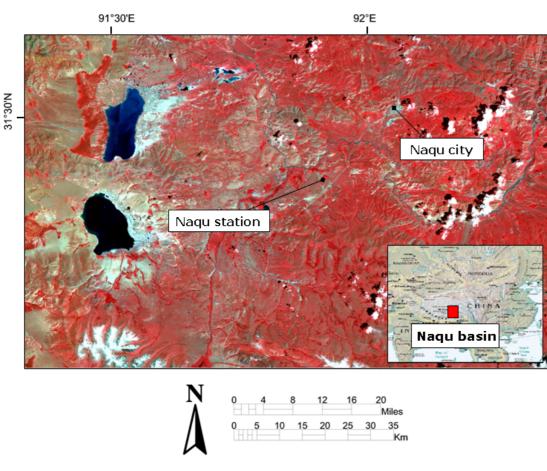
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Table 9.	Same as	Table 8,	except the	biases are	presented.
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S	oil discretization	Н	λE	$G_0$	$T_{\rm skin}$	$T_{5\mathrm{cm}}$	T <sub>25 cm</sub>
# layers	Top soil thickness [cm]	$[W m^{-2}]$	$[W m^{-2}]$	[°C]	[°C]	[°C]	$[W m^{-2}]$
4 layers	10.0	-46.40	18.70	17.33	0.84	0.30	0.44
5 layers	0.1	-40.42	31.07	2.31	0.05	-0.21	0.67
	0.5	-37.69	29.12	3.92	0.06	-0.28	0.65
	1.0	-35.91	28.19	5.35	0.06	-0.30	0.63
	2.0	-34.86	27.08	5.64	0.08	-0.29	0.64
	3.0	-34.62	26.45	5.33	0.10	-0.28	0.64
	4.0	-22.90	26.04	5.30	0.11	-0.25	0.65



**Fig. 1.** LandSat TM false color image acquired over the Tibetan study site and its approximate location within the Tibetan Plateau.

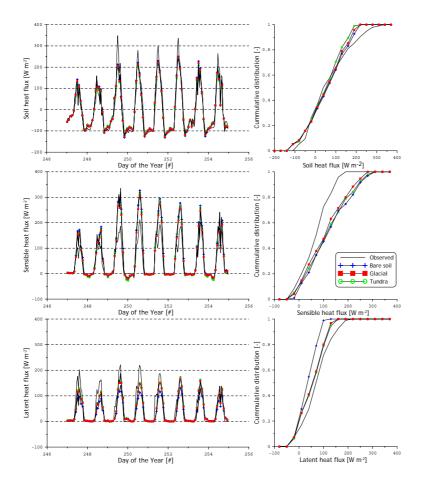
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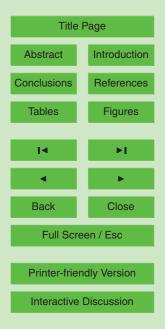




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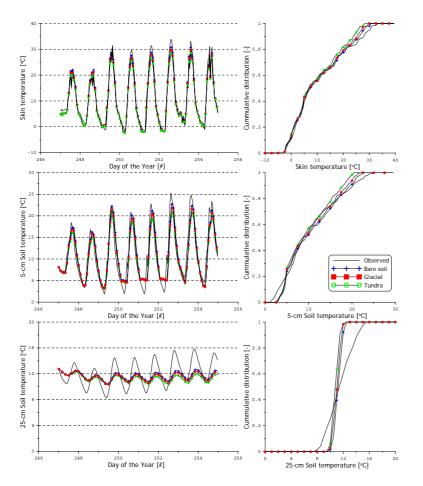
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**Fig. 2.** Comparison of the heat fluxes measured and simulated by Noah using three default vegetation parameterizations. In the plots on the left side the measurements and simulations are presented as a time series, the right side plots show cumulative distributions.

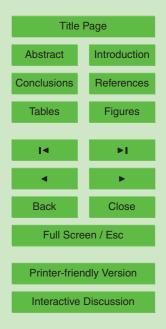




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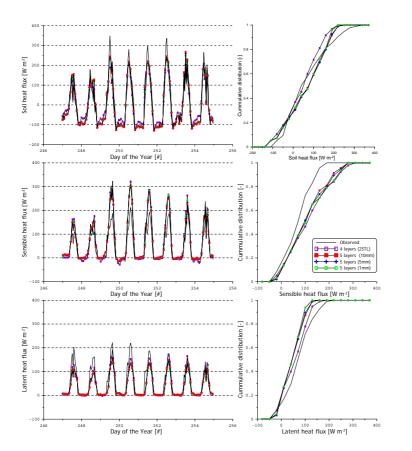
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**Fig. 3.** Same as Fig. 2, except that the measured and simulated soil temperatures are shown for the surface and soil depths of 5-cm and 25-cm.





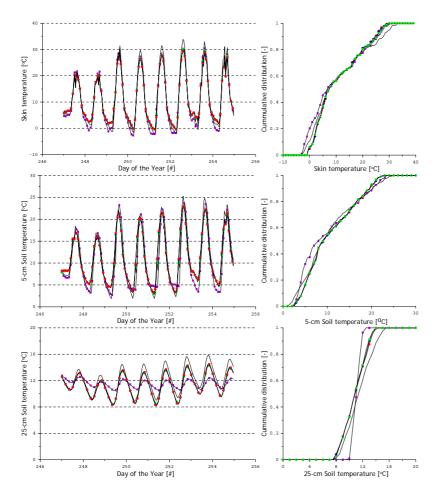


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**Fig. 4.** Comparison of the heat fluxes measured and simulated using the Noah LSm with two soil thermal layers and different numerical discretizations of the soil profile. The plots on the left side present the measurements and simulations as a time series, the right side plots show cumulative distributions.

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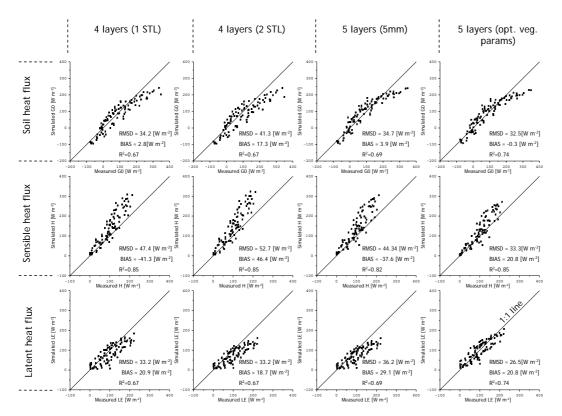
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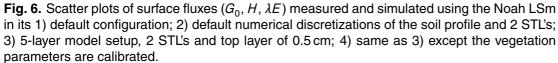
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**Fig. 5.** Same as Fig. 4, except that the measured and simulated soil temperatures are shown for the surface and soil depth of 5-cm and 25-cm.





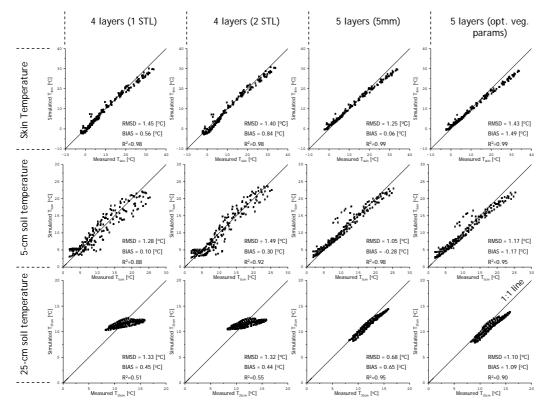


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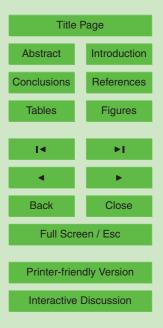




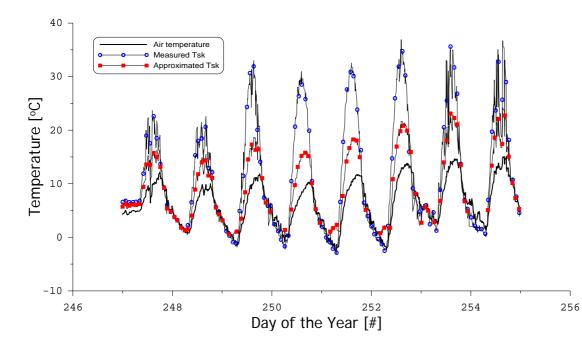
**Fig. 7.** Same as Fig. 6 expect that the temperature states ( $T_{skin}$ ,  $T_{5 cm}$  and  $T_{25 cm}$ ) are shown here.

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**Fig. 8.** Measurements of the air and surface temperature, and the surface temperature approximated using Eq. (10) plotted as a time series for the analyzed period at a Tibetan Plateau site.

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