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# On the relationship between large-scale climate modes and regional synoptic patterns that drive Victorian rainfall

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## Abstract

In this paper regional (synoptic) and large-scale climate drivers of rainfall are investigated for Victoria, Australia. A non-linear classification methodology known as self-organizing maps (SOM) is used to identify 20 key regional synoptic patterns, which are shown to capture a range of significant synoptic features known to influence the climate of the region. Rainfall distributions are assigned to each of the 20 patterns for nine rainfall stations located across Victoria, resulting in a clear distinction between wet and dry synoptic types at each station. The influence of large-scale climate modes on the frequency and timing of the regional synoptic patterns is also investigated. This analysis revealed that phase changes in the El Niño Southern Oscillation (ENSO), the Southern Annular Mode (SAM) and/or Indian Ocean Dipole (IOD) are associated with a shift in the relative frequency of wet and dry synoptic types. Importantly, these results highlight the potential to utilise the link between the regional synoptic patterns derived in this study and large-scale climate modes to improve rainfall forecasting for Victoria, both in the short- (i.e. seasonal) and long-term (i.e. decadal/multi-decadal scale). In addition, the regional and large-scale climate drivers identified in this study provide a benchmark by which the performance of Global Climate Models (GCMs) may be assessed.

## 1 Introduction

Managing a highly variable climate alongside increasing demand for natural resources represents one of the most significant challenges for sustainable water resources management in many parts of the world. Australia, where the rainfall and streamflow regimes rank among the most variable in the world, is no exception (e.g. McMahon, 1987; Nicholls et al., 1997). For example, an epoch of elevated rainfall and streamflow is known to have occurred during the mid-1940's through to the mid-1970's across

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much of eastern Australia, while the mid-1970's were associated with a return to drier conditions for both north-eastern and Western Australia (e.g. Erskin and Warner, 1988; Franks and Kuczera, 2002). More recently, it appears that during the mid-1990's south-eastern Australia, in particular Victoria, also experienced a step change towards a drier climate, resulting in lower than average inflows over the past decade, placing significant strain on water resources in the region (e.g. Timbal and Jones, 2008). Given the heavy reliance on fresh water for consumptive, agricultural, industrial and recreational use in Australia, there is a clear need to better understand what drives this variability (on annual through to multi-decadal scales). Ultimately, identifying and understanding natural climate drivers is crucial to the successful development of seasonal forecasting schemes, risk assessments associated with climate impacts, and adaptation response frameworks – particularly if projected impacts of anthropogenic climate change manifest through alterations to the frequency, location and/or intensity of the natural drivers.

The subject area of this study, Victoria, is influenced by a range of regional synoptic systems and large-scale climate phenomena due to its relative location to the Pacific, Indian and Southern Oceans. Wright (1989) and Pook et al. (2006) identified a number of regional synoptic weather systems that are related to rainfall in the cool season (April–October) in north-western Victoria, including frontal systems (generated out of the Southern Ocean), cut-off lows and easterly dips. Other studies have shown that a number of large-scale climate phenomena also influence the variability of Victoria's climate, including the El Niño Southern Oscillation, (ENSO, e.g. Ropelewski and Halpert, 1987; Stone and Auliciems, 1992; Power et al., 1998; Verdon et al., 2004), Indian Ocean Dipole (IOD, e.g. Nicholls, 1989; Ashok, 2003; Verdon and Franks, 2005), and the Southern Annular Mode (SAM, e.g. Meneghini et al., 2007). However, it is difficult to analyse and interpret the impacts of the large-scale climate phenomena (i.e. ENSO, SAM, IOD) on Victorian rainfall due to the complex interaction between these modes. Unlike the rest of eastern Australia which is clearly influenced by ENSO, no clear relationship can be found between Victorian rainfall and any individual large-scale climate

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mode (Kiem and Verdon-Kidd, 2008<sup>1</sup>). Therefore in order to improve our insights into climate variability in Victoria it is necessary to understand both interactions between the large-scale climate drivers (i.e. ENSO, IOD and SAM) and the influence of these modes on the regional synoptic patterns that actually deliver the rainfall.

This paper aims to identify the key regional synoptic patterns that are related to rainfall variability in Victoria and to determine how the regional patterns are modulated by the large-scale climate modes (including ENSO, IOD and SAM). A technique known as self-organizing maps (SOM) is adopted to identify the key regional synoptic patterns for Victoria. The SOM methodology has been shown to be successful in identifying key regional synoptic patterns that drive local climate in other regions of the world (e.g. Cavazos, 2000; Cavazos et al., 2002; Hewitson and Crane, 2002; Hope et al., 2006; Reusch et al., 2007). Importantly, the SOM methodology is less subjective than other forms of pattern recognition and the non-linear approach lends itself to regions where local climate is constantly changing due to large-scale climate variability. The SOM methodology is applied to monthly sea level pressure (SLP) data from 1948 through to 2007 to identify 20 key regional synoptic types relevant to Victoria. The link between these synoptic patterns and Victorian rainfall is then assessed, followed by an analysis of the relationship between large-scale climate phenomena and the frequency of occurrence of the key regional synoptic patterns.

## 2 Data

### 2.1 Sea level pressure data

Monthly global sea level pressure (SLP) data was obtained from the US National Oceanic and Atmospheric Administration (NOAA) to develop the SOM. The SLP data

<sup>1</sup>Kiem, A. S. and Verdon-Kidd, D. C.: Climatic drivers of Victorian streamflow - is ENSO the dominant influence?, A.J.W.R., submitted, 2008.

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set (NCEP/NCAR Reanalysis) comprises global monthly pressure data for the years 1948 to present (this study used pressure data from January 1948 to April 2007). This data set has been widely used in similar studies (e.g. Cavazos, 2000; Cavazos et al., 2002; Hope et al., 2006; Hope, 2006) and is considered to be the best SLP data available for the study region and type of analysis (see Hope et al. (2006) for a detailed discussion). The NCEP/NCAR Reanalysis data is derived from a global spectral model with a grid resolution of 2.5° latitude × 2.5° longitude global (144×73 grids).

## 2.2 Rainfall data

Historical instrumental daily rainfall data was obtained from the Australian Bureau of Meteorology (BoM) for nine rainfall stations distributed across Victoria, Australia (see Fig. 1). The rainfall gauges were selected to represent nine target catchments that are important for water resources management in Victoria. Monthly rainfall totals from January 1948 to April 2006 were used in this study, with months containing more than 5 days of missing data excluded from the analysis.

## 2.3 Climate indices

### 2.3.1 ENSO

The Oceanic Niño Index (ONI) from the United States National Oceanic and Atmospheric Administration (NOAA) Climate Prediction Centre (CPC) is used to provide a representation of ENSO conditions. The ONI index is derived from sea surface temperature (SST) anomalies in the equatorial Pacific Ocean using a 3 month running mean of ERSST.v3 SST anomalies in the Niño 3.4 region (5° N–5° S, 120°–170° W). Warm (positive) SST anomalies are associated with El Niño events, while La Niña events are typically associated with cold (negative) SST anomalies.

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### 2.3.2 IOD

An index based on SST anomalies over Indonesia (0–10° S, 120–130° E) is used in this study to represent climate variability in the Indian Ocean associated with the Indian Ocean Dipole (IOD). This index has been shown to be a good indication of winter rainfall in eastern Australia (Verdon and Franks, 2005). When SSTs are anomalously cool over Indonesia, winter rainfall tends to be lower, while warm SSTs in the same region are related to higher winter rainfalls in eastern Australia.

### 2.3.3 SAM

The SAM is represented by the monthly mean Antarctic Oscillation (AAO) index, available from NOAA CPC from 1979 to present and from Thompson and Wallace (2000) from 1948–2002. In this study the NOAA CPC version of the AAO is used where it exists (i.e. from 1979) and the Thompson and Wallace (2000) AAO data is used prior to that. Overlapping periods of the two versions of the AAO were compared and the difference was found to be negligible ( $R^2=0.95$ ). The AAO index is constructed by projecting the daily 700 mb height anomalies poleward of 20° S onto the loading pattern of the AAO. The loading pattern of the AAO is defined as the leading mode of Empirical Orthogonal Function (EOF) analysis of monthly mean 700 hPa height during 1979–2000 period.

## 3 Synoptic typing methodology

The SOM methodology (Kohonen, 1995) is adopted to identify the key regional synoptic patterns driving rainfall variability in Victoria. SOM is a non-linear neural network classification technique developed to recognise relevant structures in complex, high-dimensional data via an unsupervised learning and self adaptation process (Cavazos et al., 2002). SOMs have been described as less complex, more robust and less

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subjective than more traditional techniques, including cluster analysis and principal component analysis, which are commonly used to identify synoptic patterns (Hewitson and Crane, 2002).

SOMs are essentially a mapping of many vectors onto a two dimensional array of representative nodes (in this case synoptic types) via an unsupervised learning algorithm. The first stage of the SOM is to initialise a specified number of reference vectors. The user defines the number of reference vectors to train the SOM (i.e. the size of the SOM array), which in this application corresponds to the number of synoptic types. An iterative approach is then used to train the SOM, according to the following process:

1. a sample vector from the input data set is chosen at random and the best matching node (reference vector) is determined by calculating the minimum Euclidean distance for each of the reference vectors;
2. once the best matching node is identified for the input vector, the node and those close to it are updated towards the input vector;
3. training continues using multiple iterations, until stable values are reached (i.e. no further adjustment is made to the reference vector);
4. the analysis returns the types (or SOM states) in a grid or “map” with similar states located near each other and the most extreme states at the corners of the map;
5. the input data is then classified by locating the best match to the final reference vector. In this case, monthly SLP patterns are matched to the archetypal patterns identified using the SOM to generate a timeseries of synoptic types.

In order to study the regional scale synoptic systems that are important for Victoria, a subset of the global SLP data was extracted to carry out the SOM. This region was chosen so as to capture the synoptic patterns that are known to deliver rainfall to Victoria, such as cut-off lows and frontal systems (following Pook et al., 2006). The location of the SLP field used in this analysis (120° E–180° E, 20° S–50° S) is shown in Fig. 2.

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The size of the SOM array directly influences the range of synoptic patterns represented. A number of array sizes were trialled in order to determine the optimum number of synoptic patterns. It was determined that a 3 by 4 SOM (i.e. 12 types) was not large enough to adequately identify the subtle differences between types that are likely to be important in generating rainfall, however these subtleties were found to be captured by a 4 by 5 SOM (i.e. 20 types). Larger array sizes (e.g. a 5 by 6 SOM) resulted in further refinement of the transitional synoptic types (resulting in very discrete differences between types) yet did not alter the extreme types. In addition, there was no improvement in the mean error per sample (calculated as the average Euclidian distance between the input vector and the synoptic types it best matches to) by increasing the size of the SOM array beyond 20 types. Given these findings a 4 by 5 SOM was chosen for the synoptic typing performed in this study – this array size satisfactorily captures a range of synoptic patterns with sufficient differences observed between types.

**4 Regional-scale climate variability in Victoria**

**4.1 Identification of 20 key regional synoptic patterns**

The synoptic typing was carried out using the freely available SOM software (“SOM Toolbox for Matlab 5”, produced by the SOM Toolbox Team, Helsinki University of technology). Twenty synoptic types (using a 4 by 5 grid) were generated using the monthly SLP data, as shown in Fig. 3. By virtue of the method similar types are clustered together in the SOM, with the most dissimilar types located at the far corners of the SOM map.

Figure 3 demonstrates that the 20 synoptic types capture a range of significant synoptic features known to influence the weather of the region. These include the clear seasonal trend in the location and intensity of the semi-permanent Pacific and Indian Ocean high pressure systems that are associated with the Sub-tropical Ridge (STR). Variability in the strength and location of the east coast trough, located between the





two semi permanent high pressure systems, is also evident (i.e. lower left corner of SOM map).

It would be expected that type 1A and to a lesser extent type 1B and 2B would result in high rainfall for the south coast of Victoria. This is due to the northward movement of the high pressure systems and the presence of a pre-frontal trough, which would allow rain producing southern ocean cold fronts to penetrate into southern Victoria (Tapper and Hurry, 1996). While synoptic type 2A appears to be similar to 1A in terms of the location of the high pressure systems, this pattern is unlikely to produce significant rainfall as the pre-frontal trough is located south of Victoria and is much weaker than the trough displayed in type 1A. The blocking high, located in the Southern Ocean for type 2A, is also likely to prevent cold fronts from passing through Victoria.

It is expected that high rainfall would be associated with synoptic type 5A due to the extension of the east coast trough into Victoria. Stormy conditions often occur along this trough line during warmer months due to an enhancement of vertical motion just ahead of the trough, resulting in intense rainfall (Sturman and Tapper, 2004). The trough tends to move in an eastward direction and thus it is expected that rainfall in the north-eastern region would be influenced by this pattern to a greater degree than the south-western region. While synoptic types 2A, 3A, 4A, 3B and 4B also display a similar east coast trough line, the trough only penetrates as far as New South Wales, with high pressure situated over Victoria (associated with the STR). Therefore, this situation is more likely to result in clear (i.e. dry) weather for that region.

Synoptic type 4C exhibits a low pressure trough located offshore, running parallel to the coast, also known as an “easterly dip” (Sturman and Tapper, 2004). The offshore trough is often associated with the development of particularly heavy rainfall along the east coast of Australia. Some offshore easterly dips can lead to the development of east coast cyclones (i.e. cut off lows/Tasman lows) during cooler months which are associated with intense rainfall events in Victoria and NSW. Synoptic types 3D and 4D display divergence in the isobars located in the Pacific Ocean, east of NSW, which may lead to the development of east coast lows. However these are unlikely to result

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in significant rainfall for Victoria, as their development is too far to the north of Victoria.

## 4.2 Seasonality of the 20 key synoptic types

A time-series of synoptic patterns was generated by classifying the monthly SLP values (from January 1948 through to April 2007) according to the 20 synoptic types. The classification was achieved by calculating the best matching unit for each month. Figure 4 shows the distribution of synoptic types within each season for the study period (January 1948 to April 2007).

Clear seasonality in the synoptic types is evident in Fig. 4, particularly during the summer and winter months. Winter types tend to be mapped to the top right of the SOM, while summer types tend to map to the bottom left of the SOM. Common winter types (e.g. 1B, 1C, 1D, 2C, 2D, 3D, 4D) are associated with northward movement of the STR and the linking of the Pacific and Indian Ocean high pressure systems. The most common summer types (e.g. 2A, 3A, 4A, 4B, 5A, 5B, 5C) are associated with southward movement of the semi permanent high pressure systems (Pacific and Indian Ocean high associated with the STR) and a deepening of the east coast low pressure trough.

## 4.3 Rainfall associated with each of the 20 synoptic types

Rainfall distributions associated with each of the 20 synoptic types were calculated using the monthly rainfall data described in Sect. 2.2. The rainfall distributions are illustrated in Fig. 5.

From Fig. 5 it is clear that rainfall distributions vary markedly for different synoptic types at the same site (and for the same synoptic type across the different sites). Typically, “wet” types tend to be associated with synoptic patterns that map to the top two rows of the SOM (i.e. 1A through to 2D), while ‘dry’ types tend to be associated with synoptic patterns that map to the bottom two rows of the SOM (i.e. 4A to 5D), with a few notable exceptions (including the “wet” 4C and 5A types). Generally, the highest

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rainfall is associated with type 1A, representing a strong pre-frontal trough, with rainfall generated out of the southern Ocean. This result is consistent at all stations except the two far eastern stations, Buchan and Mitta Mitta, where synoptic types 3A (weak east coast trough) and 4C (easterly dip) tend to deliver equally high rainfalls. While type 3A tends to result in high rainfall in eastern Victoria, this system is generally associated with below average rainfall for the south western stations, which is most likely a result of the blocking high pressure system located over south west Victoria and the eastward movement of the low pressure trough. Type 5A is also reasonably wet for the far eastern stations, which is related to the extension of the east coast trough into Victoria. High rainfall tends to be associated with type 4C across all regions, as expected, due to the representation of an “easterly dip”.

### 4.4 Relationship with large-scale climate drivers

As discussed previously, a number of large-scale climate modes (i.e. ENSO, SAM and IOD) are known to influence the climate of Victoria. Table 1 shows the average index value of the large-scale climate modes associated with each synoptic type (refer to Sect. 2.3 for further information on the ENSO, IOD and SAM indices used in this study).

From the analysis shown in Table 1 it is clear that the certain synoptic types (and therefore weather conditions) are more likely in particular phases of the large-scale climate modes. For example, when the tropical Pacific Ocean is in a La Niña like state (i.e. negative ONI) synoptic patterns 1A, 4A and 5A are most likely – 1A and 5A (and to a lesser degree 4A) are associated with high rainfall in Victoria, particularly for the eastern stations. Conversely, strongly positive ONI conditions (i.e. El Niño) tend to be associated with types 3B, 3D and 4B which in turn are associated with average/below average rainfall across Victoria. There is also a clear relationship between the SAM and the regional synoptic patterns, with negative SAM linked to synoptic types located at the top left of the SOM (i.e. wet types) and positive SAM linked to those types located at the bottom right (i.e. dry types). It is also interesting to note that synoptic type 1A, associated with the highest rainfall across Victoria, tends to occur when the Pacific is in

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5 a La Niña state, the SAM is negative and warm SSTs dominate the East Indian Ocean. That is, the greatest rainfall is likely to be experienced in Victoria when all three climate modes simultaneously occur in their “wet phase”.

10 Table 1 also demonstrates the complexity involved in determining when and where each large-scale climate mode impacts Victorian rainfall. For example, it is shown that when the ONI is negative (i.e. La Niña) types 1A, 4A, and 5A, which are all “wet” types, are more likely. However, type 5B (a “dry” type) is also possible when ONI is negative and other “wet” types (e.g. 2A, 3A, 4C) are not associated with a negative ONI. Therefore, all “wet” events are not associated with La Niña conditions and, conversely, all La Niña events are not associated with “wet” events (and vice versa for El Niño and “dry” conditions). These results demonstrate why direct relationships between ENSO and Victorian rainfall (and streamflow) tend to be weaker than other parts of eastern Australia (e.g. Chiew et al., 1998; Verdon et al., 2004). Similarly a direct correlation between SAM and rainfall in Victoria would also result in mixed results (e.g. Kiem and Verdon-Kidd, 2008<sup>1</sup>). For example, both types 1A and 2A are associated with strongly negative SAM, however rainfall for type 1A tends to be much greater. This demonstrates that interactions between large-scale climate drivers and regional synoptic patterns must be understood and accounted for in order to better understand (and predict) variability in Victoria’s climate.

20 To further investigate the relationship between the regional synoptic patterns and the large-scale climate modes the occurrence of each of the 20 regional synoptic patterns were stratified based on the state of the individual modes. Each month from January 1948 to April 2006 was classified as El Niño, La Niña or Neutral based on ONI and the NOAA ENSO classification definition. The same classification procedure was used to classify months as IOD positive, negative or neutral (using the II) and SAM positive, negative, or neutral (using the AAO). The number of times each synoptic pattern occurred in combination with an El Niño, La Niña or Neutral event is shown in Fig. 6. Similarly Fig. 7 displays the results for IOD and Fig. 8 shows the relationship for SAM.

25 There is a clear trend towards a higher frequency of synoptic types 4A and 5A

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occurring in combination with a La Niña event (as opposed to El Niño) during the summer months. As discussed previously these synoptic patterns are associated with high rainfall for the two eastern stations (i.e. Buchan and Mitta Mitta). Figure 6 also shows that synoptic type 1A (a very wet type) only occurs in autumn in combination with a La Niña event, indicating that the autumn break (which plays an important role in resulting winter runoff) may be more reliable in a La Niña year. Furthermore, there is an apparent trend towards more of type 3D in winter of an El Niño, which tends to be associated with fairly dry conditions across Victoria.

The IOD is thought to impact rainfall in Australia primarily in winter and spring, when the dipole itself is most active (Verdon and Franks, 2005). This also appears to be the case for the regional synoptic patterns, as shown in Fig. 7. For example, during these seasons, there is a clear trend towards more frequent wet types (e.g. 1A, 1B and 1C), and an associated decrease in dry type 3D, when the waters around Indonesia are anomalously warm (resulting in a negative dipole).

Across all seasons there appears to be a trend in the relationship between the SAM and the regional synoptic patterns (shown in Fig. 8). That is, the negative phase of the SAM appears to favour the occurrence of synoptic types located at the top left of the SOM (which are generally wet types), while the positive phase appears to be connected to the occurrence of synoptic patterns located on the bottom right of the SOM (which generally correspond to dry types). This difference is most apparent in the spring and autumn seasons.

## 5 Conclusions

It is clear that Pacific, Indian and Southern Ocean climate variability plays an important role in modulating the regional synoptic systems that deliver rainfall to Victoria. It is also shown that the dominance of the large-scale climate modes (e.g. ENSO, IOD and SAM) varies with season and the resulting impact differs in strength from location to location.

The analysis presented here has marked implications in a variety of climate impact

related areas, in particular seasonal forecasting of rainfall and streamflow in Victoria. To date the upper limit of seasonal predictability for southeast Australia is estimated at only 30% (based on a summary of the reports and results produced through the South East Australian Climate Initiative (SEACI, <http://www.mdbc.gov.au/subs/seaci/index.html>)). In comparison, seasonal forecasting schemes applied in other regions of Australia, such as Queensland, have proven very successful. This is likely due to the complex interactions between the numerous climatic phenomena (both regional and global-scale) that influence Victoria's weather and the fact that this is not adequately represented in current forecasting schemes (either dynamical models or statistically based) – most likely because the characteristics and interactions associated with the large-scale and regional climate drivers are not yet fully understood.

The results presented in this study demonstrate that any seasonal forecasting framework (dynamical model or statistically based) should take into account interactions between all climate phenomena known to affect the predictand. Forecasting schemes based on large-scale climate indices (e.g. SOI, Niño 3.4 etc.) are a simplification of this given that a climate index only covers a small region assumed to represent an entire climate mode (and all its variations). However, there is no such thing as a typical climate event – some climate events are similar but in reality it is rare that climatic episodes evolve in the same manner. This natural variation is difficult to reflect using large-scale climate indices and therefore forecasts based on indices that are not regionally specific will always lead to a “coarse” or “generalised” result. It is for this reason that this study sought to identify the regional climate drivers (using SOM). Future work will utilise insights into the links between large-scale climate modes and regional synoptic patterns to better explain (and predict) local-scale climate variability. In addition, the methodology used here to identify regionally-specific climate drivers (and their relationships with large-scale climate modes) could be used to develop novel GCM/dynamical model performance metrics which would help verify, inform and improve climate modelling and provide confidence (or at least enable more robust quantification of uncertainty) in future climate projections.

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**Table 1.** Average monthly index value (ENSO, IOD, and SAM) associated with the 20 key regional synoptic types for Victoria.

Synoptic Type	Average ENSO (ONI)	Average IOD (II)	Average SAM (AAO)
1A	-0.13	0.24	-0.91
1B	0.10	0.10	-0.64
1C	0.15	0.08	-0.62
1D	0.22	-0.04	0.19
2A	0.16	0.26	-0.82
2B	0.19	0.03	-0.21
2C	0.20	0.01	-0.38
2D	0.25	-0.10	0.70
3A	0.01	0.25	-0.33
3B	0.43	0.16	-0.18
3C	0.02	0.20	0.26
3D	0.30	-0.13	0.45
4A	-0.17	0.20	0.94
4B	0.28	0.36	0.44
4C	0.22	0.22	0.17
4D	0.19	0.07	0.50
5A	-0.25	0.28	0.11
5B	-0.08	0.27	0.39
5C	0.09	0.33	0.36
5D	0.00	0.21	0.34

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**Fig. 1.** Location of rainfall stations used in this study.

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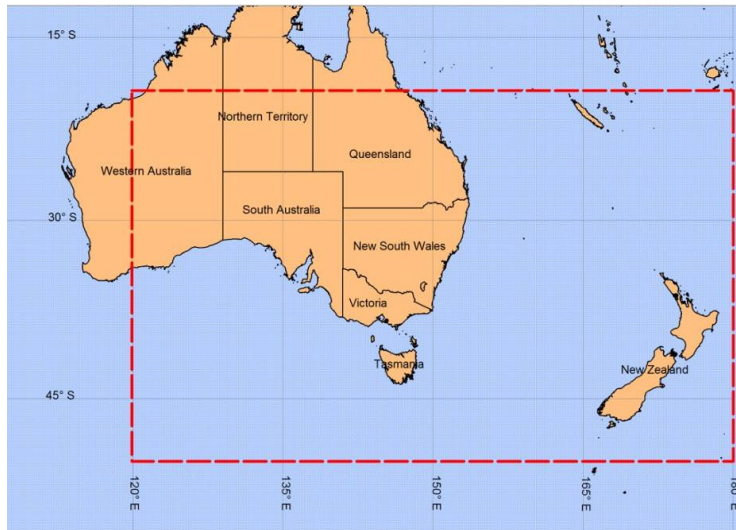
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**Fig. 2.** Region over which the regional synoptic patterns are analysed in this study.

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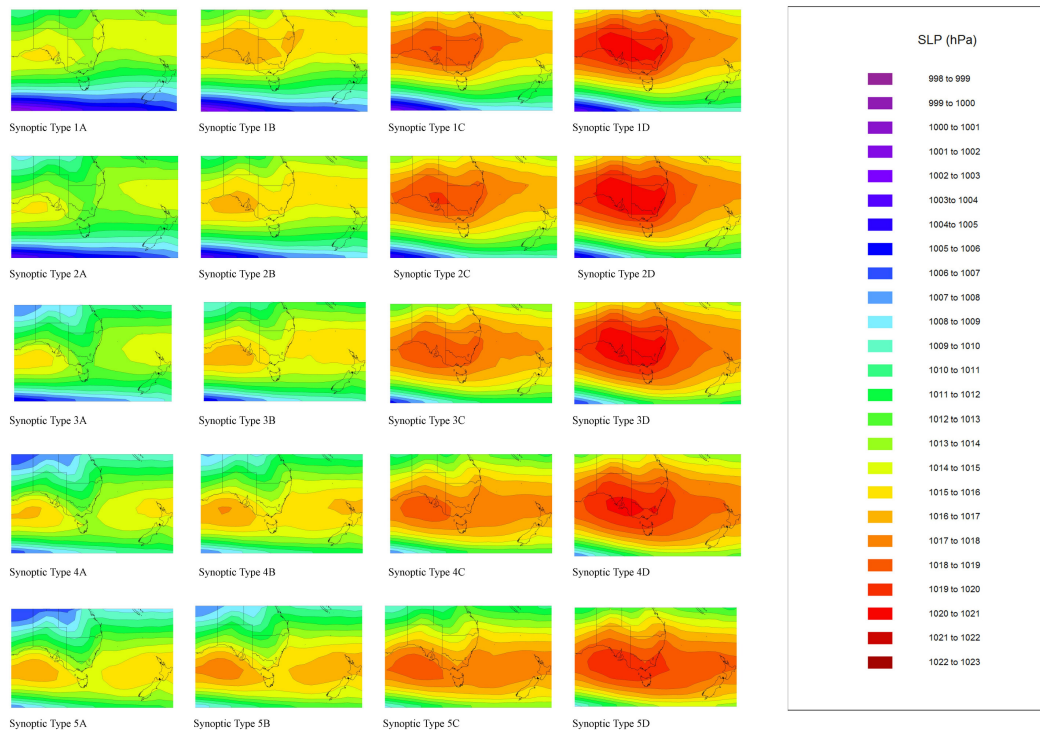
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**Fig. 3.** 20 key regional synoptic patterns characterised using SOM.

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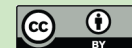
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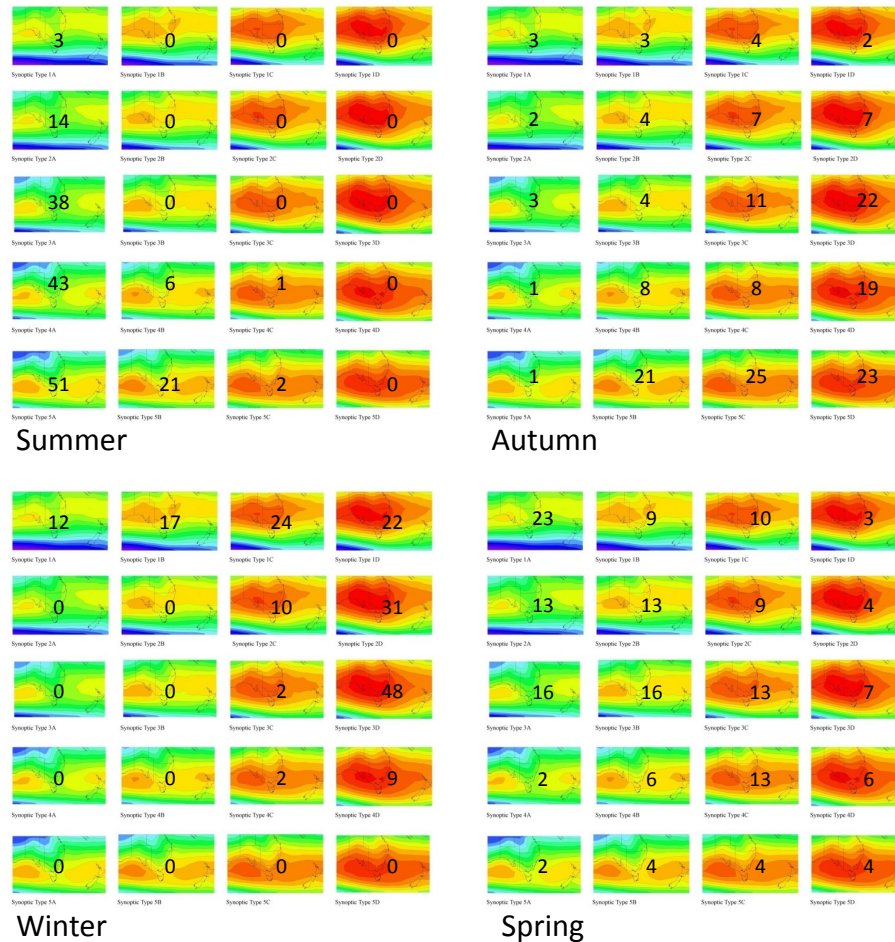


Fig. 4. Number of times each synoptic type has occurred since 1948 on a seasonal basis.

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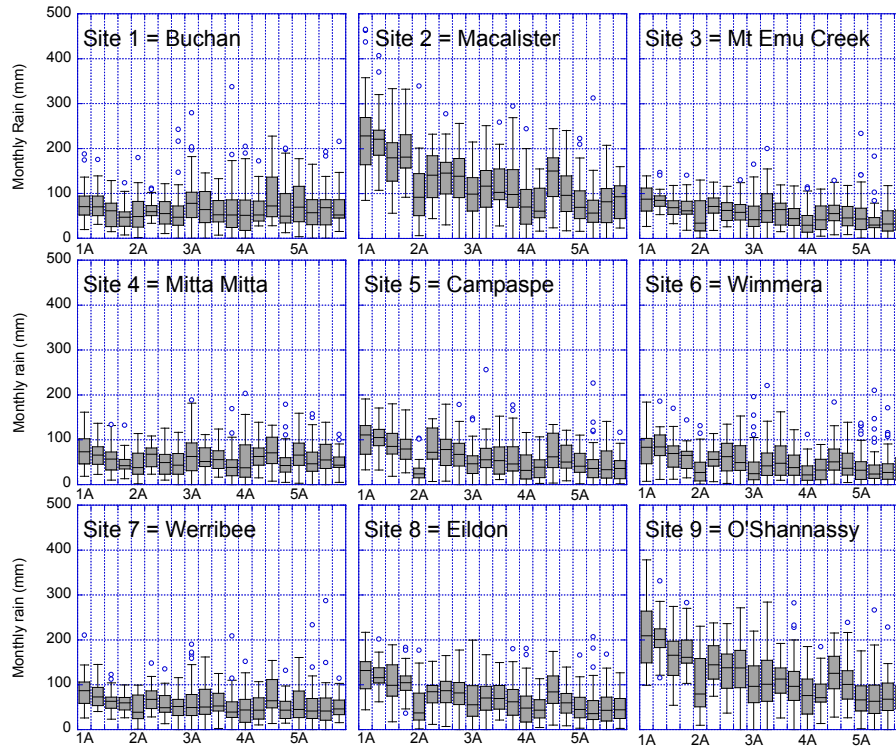
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**Fig. 5.** Box plots of monthly rainfall associated with each of the 20 synoptic types.

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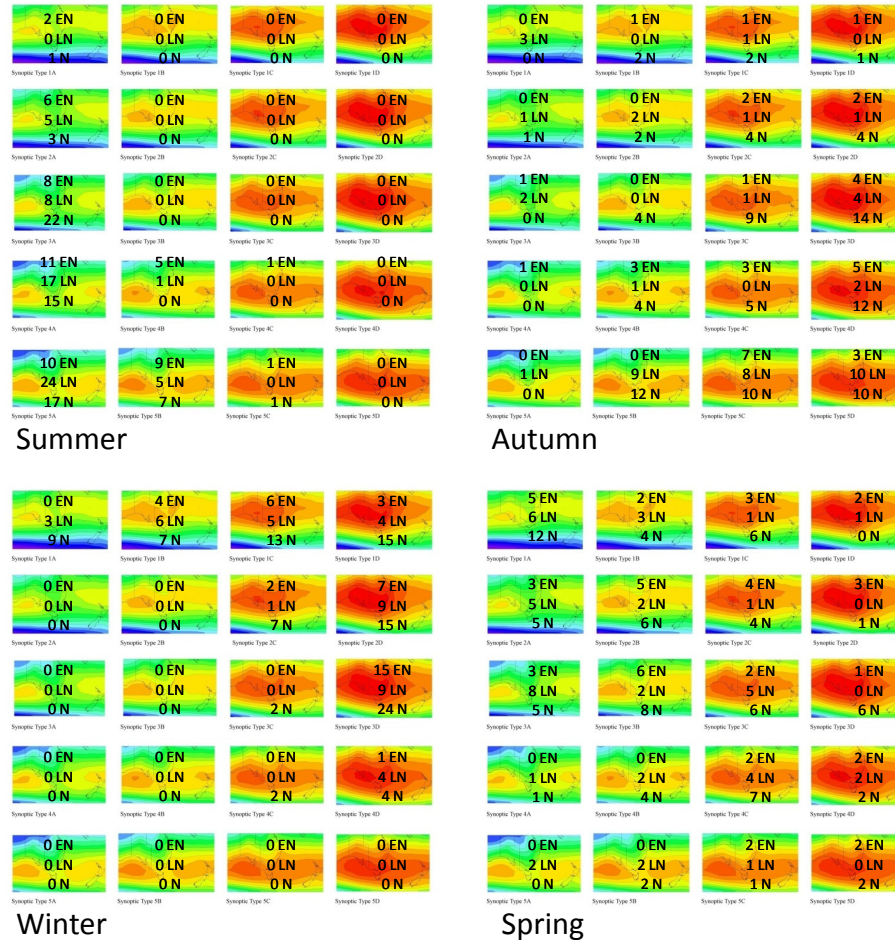
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**Fig. 6.** Number of times each synoptic type has occurred since 1948 on a seasonal basis, stratified into El Niño (EN), La Niña (LN) and Neutral (N) phases.

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**Fig. 7.** Number of times each synoptic type has occurred since 1948 on a seasonal basis, stratified into IOD positive (+ve), IOD negative (-ve) and IOD neutral (N) phases.

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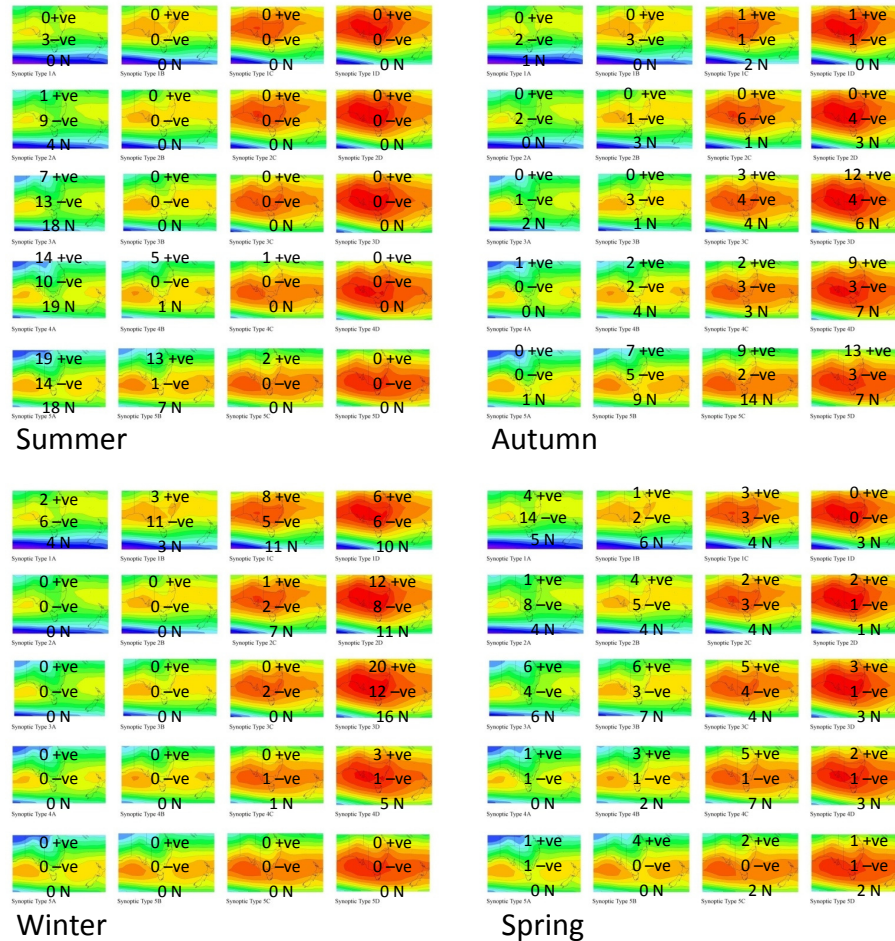
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**Fig. 8.** Number of times each synoptic type has occurred since 1948 on a seasonal basis, stratified into SAM positive (+ve), SAM negative (-ve) and SAM neutral (N) phases.

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