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# An evaluation of ASCAT surface soil moisture products with in-situ observations in southwestern France

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## Abstract

A long term data acquisition effort of profile soil moisture is currently underway at 13 automatic weather stations located in southwestern France. In this study, the soil moisture measured in-situ at 5 cm is used to evaluate the normalised surface soil moisture (SSM) estimates derived from coarse-resolution (25 km) active microwave data of the ASCAT scatterometer instrument (onboard METOP), issued by EUMETSAT for a period of 6 months (April–September) in 2007. The seasonal trend is removed from the satellite and in-situ time series by considering scaled anomalies. One station (Mouthoumet) of the ground network, located in a mountainous area, is removed from the analysis as very few ASCAT SSM estimates are available. No correlation is found for the station of Narbonne, which is close to the Mediterranean sea. On the other hand, the other 11 stations present significant correlation levels. The soil moisture measured in-situ at those stations, at 30 cm, is used to estimate the characteristic time length ( $T$ ) of an exponential filter applied to the ASCAT product. The best correlation between a soil water index derived from ASCAT and the in-situ soil moisture observations at 30 cm is obtained with a T-value of 14 days.

## 1 Introduction

Soil moisture plays a key role in the interactions between the hydrosphere, the biosphere and the atmosphere, as it controls both evaporation and transpiration from bare soil and vegetated areas, respectively. For many applications, global or continental scale soil moisture maps are needed. A number of studies have been conducted or are currently underway to obtain soil moisture estimates from spaceborne microwave instruments (Wagner et al., 1999a, 1999b, 1999c; Kerr et al., 2001; Njoku et al., 2003). Indeed, microwave remote sensing is able to provide quantitative information about the water content of a shallow near surface layer (Schmugge, 1983), particularly in the low-frequency microwave region from 1 to 10 GHz. L-band is the optimal wavelength

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range to observe soil moisture. Higher frequencies are more significantly affected by perturbing factors such as atmospheric effects and vegetation cover (Schmugge, 1983; Kerr et al., 2001). Apart from a few days of L-band radiometric observations on Skylab from June 1973 to January 1974 (Jackson et al., 2004), current or past instruments have been operating at frequencies above 5 GHz, because (i) lower frequencies are a technical challenge to perform; the satellite antenna size is directly proportional to the squared wavelength (Ulaby et al., 1982), (ii) these instruments were not dedicated to soil moisture missions.

The SMOS project (Soil Moisture and Ocean Salinity, ESA/CNES), scheduled for launch in 2009, consists of developing a spaceborne L-band (1.423 GHz, 21 cm) interferometric radiometer able to provide global estimates of surface soil moisture (SSM) with a sampling time step of 2–3 days. It is the first satellite designed for measuring soil moisture over land (Kerr et al., 2001, 2007). Previous spaceborne microwave radiometers were the Scanning Multichannel Microwave Radiometer (SMMR) which operated (on Nimbus-7) between 1978 and 1987 at 6.6 GHz and above, followed by the Special Sensor Microwave Imager (SSM/I starting in 1987), at 19 GHz and above. Instruments currently operational at frequencies close to the L-band are the Advanced Microwave Scanning Radiometer for the Earth Observing System (AMSR-E on the Aqua satellite), WindSAT (a satellite-based polarimetric microwave radiometer on the Coriolis satellite), the scatterometer on board the European Remote Sensing Satellite (ERS-1, ERS-2), and now ASCAT (Advanced Scatterometer) on METOP-A (launched in 2006) with a spatial resolution of circa 50 km (products are resampled to a 25 km grid in the swath geometry) or ca. 30 km (in this case, products are resampled to a 12.5 km grid in the swath geometry) at 5.255 GHz (C-Band) (Wagner et al., 2007; Bartalis et al., 2007a, b).

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Soil moisture products are derived from these microwave remote sensing observations and they need to be verified through in-situ soil moisture observations (Rüdiger et al., 2008). Relatively few in-situ soil moisture networks are operative now. Soil moisture observations are available through the Global Soil Moisture Data Bank (Robock et al., 2000). More recently, a number of soil moisture networks were developed, e.g. the Goulburn River experimental catchment in Australia (Rüdiger et al., 2007) or SMOSMANIA (Soil Moisture Observing System – Meteorological Automatic Network Integrated Application, Calvet et al., 2007; Albergel et al., 2008) in southwestern France.

In this study, the first ASCAT data products covering a period of six months from April to September 2007 (data are from the commissioning phase, produced by EUMETSAT) are compared with in-situ observations. For this purpose the SMOSMANIA network is used. It is a long-term data acquisition effort of profile soil moisture observations in southwestern France. The SMOSREX (Surface Monitoring Of the Soil Reservoir EXperiment) experimental site (De Rosnay et al., 2006) located close to a number of SMOSMANIA stations, is used, as SMOSREX also provides profile soil moisture measurements.

The ASCAT SSM retrievals are based on a change detection approach, originally developed for the active microwave instrument flown onboard the European satellites ERS-1 and ERS-2 (Bartalis et al., 2007a).

In this paper, after a brief description of the ASCAT soil moisture product, the SMOSMANIA network and the SMOSREX station are presented. An exponential filter formulation which allows to estimate the SWI (Soil Water Index) from intermittent SSM measurements is presented. Then, ASCAT products are compared with the in-situ soil moisture observations at 5 cm. SWI estimates are derived from ASCAT and are compared with in-situ soil moisture observations at 30 cm.

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## 2 Materials and methods

### 2.1 The ASCAT SSM product

Like ERS-1 and ERS-2 scatterometers, ASCAT is a real-aperture radar instrument measuring radar backscatter with very good radiometric accuracy and stability (Bartalis et al., 2007a). ASCAT uses a VV polarization in the C-band (5.255 GHz) and observes the surface of the Earth with a spatial resolution of circa 50 km or 30 km. In this study, the 50 km product (resampled to a 25 km grid) is used. Measurements occur on both sides of the subsatellite track, thus two 550 km wide swaths of data are produced. Because ASCAT operates continuously, more than twice of the ERS scatterometer coverage is provided.

On both sides of METOP-A, ASCAT produces a triplet of backscattering coefficients ( $\sigma^0$ ) from the three different antenna beams. A  $\sigma^0$  measurement is the result of averaging several radar echoes.

Measurements are made at 45°, 90° and 135° azimuth angles (fore, mid and aft antenna beams) with respect to the satellite track. The fore and aft beam measurements are made under equal ranges of incidence angles, while the mid-beam measurements have a slightly lower range of incidence angles. Backscatter is registered at various incidence angles and it is possible to determine the yearly cycle of the backscatter-incidence angle relationship. This is an essential prerequisite for correcting seasonal vegetation effects (Bartalis et al., 2007a, 2007b; Gelsthorpe et al, 2000).

The spatial and temporal behaviour of the scatterometer is affected by land cover and vegetation phenology. It was demonstrated that by using a time series-based approach for the soil moisture retrieval, the influence of the vegetation could be minimized (Wagner et al., 1999b). In order to retrieve surface soil moisture, Wagner (1999b) proposed to scale the backscattering coefficient extrapolated to a reference angle at 40°,  $\sigma^0(40)$ , using the lowest and highest values of  $\sigma^0(40)$  measured over a long period. They are respectively denoted  $\sigma_{dry}^0(40,t)$  and  $\sigma_{wet}^0(40,t)$ , where  $t$  is time. The theoretical background of this method is described in detail in Wagner et al. (1999a, 1999b, 1999c).

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The lowest and highest values of  $\sigma^0(40)$  required for the processing are derived from the analysis of multi annual backscatter time series using ERS data from August 1991 to May 2007 (Bartalis et al., 2007b).

According to Wagner et al. (1999b), the surface soil moisture content  $ms$  is expressed by Eq. (1).

$$ms(t) = \frac{\sigma^0(40, t) - \sigma_{dry}^0(40, t)}{\sigma_{wet}^0(40, t) - \sigma_{dry}^0(40, t)} \tag{1}$$

Equation (1) is applied only if the ground is not frozen. The  $ms$ -value is a relative measure of the soil moisture content in the first few centimetres of the soil which are sensed by C-band microwaves. According to Schmugge (1983), the depth of this layer is about 0.5 to 2 cm. Thus,  $ms$  represents the degree of saturation of the topmost soil layer and is given in percent ranging from 0 (dry) to 100% (wet). This measure is complemented by its noise, derived by error propagation of the backscatter noise (ranging from 0 to 100%, covering instrument noise, speckle and azimuthal effects).

Measurements are generally obtained twice a day, in the morning (descending orbit) and at the end of the afternoon (ascending orbit), between 08:00–11:00 and 17:00–21:00 UTC, respectively, for western Europe. Figure 1 presents an ASCAT swath over France, covering the SMOSMANIA network and SMOSREX.

## 2.2 SMOSMANIA

The main objective of SMOSMANIA is to verify remotely sensed and modelled soil moisture products. The SMOSMANIA network is based on the existing RADOME (Réseau d'Acquisition de Données d'Observations Météorologique Etendu) automatic weather station network of Météo-France. The RADOME stations measure air temperature and humidity, wind speed and precipitation. At some stations the downwelling shortwave radiation is also measured. Twelve existing stations of RADOME were chosen in southwestern France, in order to achieve a Mediterranean-Atlantic transect fol-

lowing the marked climatic gradient between the two coastlines. The main innovation of SMOSMANIA is the use of soil moisture probes in conjunction with an operational weather station network. Four soil moisture probes (ThetaProbe ML2X of Delta-T Devices©) were installed, per station. The 4 probes form a profile at the depths of 5, 10, 20, 30 cm. These probes are set to perform measurements at regular intervals of 12 min. They have been installed in 2006 so that data covering the whole 2007 annual cycle are available. For this study, surface soil measurements (5 cm) are used. During the installation of the soil moisture probes, soil samples were collected, at the 4 depths of the soil moisture profile (5, 10, 20, 30 cm). Soil texture, soil organic matter and bulk density of the soil samples were determined in the laboratory (Calvet et al., 2007; Albergel et al., 2008).

### 2.3 SMOSREX

Located at the ONERA (Office National d'Etudes et de Recherches Aéropatiales) site of Fauga-Mauzac, near Toulouse, in southwestern France, the SMOSREX experiment (De Rosnay et al., 2006) aims at improving the modelling of the microwave L-band emission of the soil-vegetation system as well as improving the understanding of soil-plant-atmosphere interactions. It is an experimental site for the observation of soil moisture observation, in-situ and remotely sensed. Soil moisture measurements are taken at depths of 0 to 6 cm, 10, 20, 30, 40, 50, 60, 70, 80, 90 cm and are available from January 2001 to December 2007 with an half-hourly time step. For the purpose of this study, surface soil measurements (0–6 cm) were used.

For each station, the soil moisture data are normalized by the minimal and maximal values (based on a one year cycle for the SMOSMANIA network and over the 2001–2007 period for SMOSREX).

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## 2.4 The exponential filter

Wagner (1999a), has developed a simple method to relate intermittent surface estimates to the profile soil moisture content based on an exponential filter, Eq. (2).

$$SWI(t_n) = \frac{\sum_i^n ms(t_i) e^{-\frac{t_n-t_i}{T}}}{\sum_i^n e^{-\frac{t_n-t_i}{T}}} \quad (2)$$

5 Where SWI is the Soil Water Index and  $ms(t_i)$  is the surface soil moisture estimated from remote sensing at time  $t_i$ .  $T$  represents the time scale of soil moisture variation, in units of day.

In a previous study, Albergel et al. (2008) used a recursive formulation of Eq. (2) described by Stroud (1999) to compute the SWI. In the case of soil moisture, the following  
10 recursive equation can be written:

$$SWI_n = SWI_{n-1} + K_n (ms(t_n) - SWI_{n-1}), \quad (3)$$

where the gain  $K$  at time  $t_n$  is given by:

$$K_n = \frac{1}{1 + \sum_i^n e^{-\frac{(t_n-t_i)}{T}}} \quad (4)$$

This gain may also be written in a recursive form as:

$$15 \quad K_n = \frac{K_{n-1}}{K_{n-1} + e^{-\frac{(t_n-t_{n-1})}{T}}} \quad (5)$$

The range of the gain  $K$  is  $[0,1]$ . In the presence of extensive temporal data gaps (relative to the filter time scale), Eq. (5) tends toward unity. In that particular case, the previous estimates are disregarded when new observations are obtained and the new estimate takes on the value of the new observation. For the initialisation of this filter,  
20  $K_1$  and  $SWI_1$  were set to 1 and  $ms(t_1)$ , respectively.

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## 2.5 Comparison of ASCAT soil moisture products with in-situ observations

For all the stations of the SMOSMANIA network and for SMOSREX, the coordinates (latitude, longitude) of the ASCAT soil moisture grid points are compared with the station coordinates. For each satellite track the nearest grid point where an observation is available within a 7 km radius from the considered station is conserved. Each measurement is identified by its coordinates and the time of the satellite track, and compared with the in-situ soil moisture (5 cm) at the same time ( $\pm 1$  h). For this study, a.m. (descending orbits) and p.m. (ascending orbits) swaths are analysed separately. This separation follows the findings by Wagner et al. (1999a, 2007b) that best correlations are found with ERS Scatterometer data from the morning passes.

For each station, correlation, bias, RMSE, Kendall statistics ( $\tau$ ) and p-value (a measure of the correlation significance), are calculated. The Kendall  $\tau$  is a non-parametric measure of correlation that assesses how well an arbitrary monotonic function could describe the relationship between two variables, without making any assumptions about the frequency distribution of the variables. It is used to measure the degree of correspondence between two rankings and to assess the significance of this correspondence. The p-value indicates the significance of the test, if it is small (e.g. below 0.05), it means that the correlation is not a coincidence. In this study, the following thresholds on p-values are used: (i) NS (non significant) for p-value greater than 0.05, (ii) \* between 0.05 and 0.01, (iii) \*\* between 0.01 and 0.001, (iv) \*\*\* between 0.001 and 0.0001 and (v) \*\*\*\* below a value of 0.0001.

In order to avoid seasonal effects, monthly anomalies were also calculated. The difference to the mean is calculated for a sliding window of five weeks (if there are at least five measurements in this period), and the difference is scaled to the standard deviation. For each ASCAT estimate  $ms$  at day ( $i$ ), a period  $F$  is defined, with  $F = [i - 17d, i + 17d]$  (corresponding to a 5-week window). If at least five measurements are available in this period of time, the average ASCAT value and the standard deviation

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are calculated. The anomaly  $A$  is dimensionless. It is given by:

$$A(i) = \frac{ms(i) - \overline{ms(F)}}{Stddev(ms(F))}. \quad (6)$$

The same equation is used to compute in-situ anomalies, which can be compared with the ASCAT SSM anomalies.

### 3 Analysis of the results

#### 3.1 Comparison of the time series

Statistical scores for the comparison between ASCAT products and normalized in-situ soil moisture are presented in Table 1 for descending orbits (a.m.) only. One station of the SMOSMANIA network, MTM, located in a rather mountainous area (538 m a.s.l.) is not used because of the lack of satellite measurements (only three SSM retrieved values are available for the April–September 2007 period). For three stations, SFL, LZC and NBN, Kendall  $p$ -values greater than 0.05 indicate that the correlations are not significant. Roughness due to mountainous areas or sea proximity may explain this lack of significance for those stations.

Statistical scores for ascending orbits (p.m.) are presented in Table 2. Most often than not, high  $p$ -values indicate that the test is not significant. For few stations, the test is significant, however, the correlations are low. An explanation could be a decoupling developing at daytime between the soil moisture of the thin soil layer sampled by ASCAT ( $\sim 0.5$ – $2$  cm) and the deeper layer (5 cm) observed at the ground stations. This is in line with the results of Wagner et al. (1999a, 2007b). Jackson (1980) recommended to use morning measurements when the soil is most likely to be in hydraulic near-equilibrium, in order to avoid the daytime decoupling. Morning observations are used in the remainder of this study, resulting in an average sampling time of three days.

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In order to identify the cause of the non significant results for three stations (SFL, LZC and NBN, see Table 1), the scores were determined as a function of the location of the ASCAT grid with respect to the station (ASCAT grid point at north, south, west or east) and are presented in Table 3. SFL is located close to a mountainous area and only the statistical scores derived from ASCAT grid points located in the north of the site are significant. If the other grid points are removed, the scores are improved (e.g. the correlation increases from 0.093 to 0.283). For the same reason, only western measurements are considered for LZC. The NBN station is located close (15 km) to the coast of Mediterranean sea and because of the coarse ASCAT resolution (of circa 50 km), the soil moisture retrieval is affected by the proximity of the sea. The correlation for this station is not significant. The results are summarized in Table 4 for the configurations associated to significant correlations. Also, Table 4 presents, for each station, the number of available ASCAT data and the number of ASCAT data used to calculate the statistical scores. ASCAT data are not used if there is no corresponding in-situ observation or if the ASCAT SSM associated error is higher than 50%.

The URG and LZC in-situ observations present the highest correlation (0.732 and 0.806, respectively) with the ASCAT soil moisture, and the lowest RMSE (0.182 and 0.194, respectively).

Most often than not, the ASCAT observations are well correlated with in-situ data. No systematic dry or wet bias is observed. The correlations range from 0.283 to 0.806 with an average of 0.556 and a standard deviation of 0.163. The bias ranges from  $-0.288$  to  $0.249$ , with an average value of  $-0.008$ . The RMSE ranges from 0.182 to 0.372, with an average value of 0.255. The average Kendall  $\tau$  is 0.404. The RMSE represents the relative error of the soil moisture dynamical range. With an observed average dynamic range of  $0.24 \text{ m}^3 \text{ m}^{-3}$  for the SMOSMANIA network at a depth of 5 cm, and an average RMSE value of 0.255, an estimate of the average error of the soil moisture retrieval is about  $0.06 \text{ m}^3 \text{ m}^{-3}$ . This value is consistent with the estimate given by Pellarin et al. (2006) for ERS-Scat, over a region in southwestern France.

Figure 2 presents the soil moisture retrieval time series, compared with 5 cm in-situ

measurements for the April–September 2007 period. Full dots represent the ASCAT estimates used in Table 4 (descending (a.m.) orbits), whereas empty dots are for the values (either ascending or descending orbits) removed from the analysis for LZC, SFL and NBN. From time to time, the soil moisture retrievals display a significant bias, nevertheless peaks and troughs are well represented.

Moreover, *ms* is a relative measure of the soil moisture content in the first few centimetres of the soil which are sensed by C-band microwaves (0.5–2 cm), whereas observed data at a depth of 5 cm are used for comparison in this study. The upper layer of the soil is more subjected to rapid drying and rewetting and soil moisture variations in this layer are more pronounced. During a rainfall event, this can lead to a temporal shift between the time when the upper layer soil moisture increases and the time water needs to percolate to 5 cm. This is illustrated by Fig. 3: ASCAT estimates, in-situ observations (average values at the same time UTC±1 h) and rainfall (average daily values) at URG station are presented. On this Fig., two cases are underlined, (1) a rainfall event leads to a high ASCAT estimate, whereas no variations occur at 5 cm, (2) at a depth of 5 cm, variation occurs the day after a rainfall event whereas ASCAT responds immediately to the rainfall event. Such temporal gaps can be observed for all the stations and they tend to decrease the statistical scores.

### 3.2 Comparison of the anomalies

In order to avoid seasonal effects, anomalies are calculated (Sect. 2.5). The scores on the anomalies are presented in Table 5. The average ASCAT vs. in-situ correlation is 0.536 with a minimum and a maximum of 0.308 (URG) and 0.813 (LZC), respectively. Figure 4 presents anomaly time series derived from satellite measurements and from in-situ observations for descending (a.m.) orbits at LHS, CDM and SMX stations. Most peaks and troughs are well represented. On a six months period, seasons cannot be differentiated and a longer period would be required in order to study the seasonal variation of the scores. Anomaly correlations are as high as the correlations of the original time series. It means that the correlation is not controlled by the annual cycle.

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Figure 5 presents in-situ anomalies versus ASCAT anomalies for all the stations listed in Table 1.

### 3.3 Correlation as a function of depth

Correlation as a function of depth is shown in Fig. 6. For most stations, correlation decreases with depth, except for CRD and SBR. Both stations are located on sandy soils. They have the highest sand fraction of the SMOSMANIA network (Albergel et al., 2008) with an average of 885 and 937 g kg<sup>-1</sup>, respectively, along the 5 to 30 cm soil profile. In sandy soils, water percolates more easily and faster, which may explain the good correlation between ASCAT products (0.5–2 cm) and 5 cm in-situ observations and also with deeper layers. This result is consistent with the very shallow sensing depth at C-band.

### 3.4 SWI retrieval

In a previous study (Albergel et al., 2008), the in-situ observations at 5 cm were used to derive the SWI for the 12 stations of the SMOSMANIA network from Eq. (3). The SWI was compared to observations at 30 cm and an average  $T_{\text{opt}}$  of 6 days was found to give the best agreement for this group of stations (and also for SMOSREX). The same methodology is used in this study with ASCAT estimates. Because the upper layer (0.5–2 cm) of the soil is observed by ASCAT, higher T-value are expected. Figure 7 presents the average  $R^2$  (based on all the stations except for MTM) as a function of  $T$  (from 6 to 25 days) derived from the comparison between the retrieved SWI and in-situ observations at 30 cm. The best average  $R^2$  is obtained for  $T=14$  days. Thus, this value is used to retrieve the SWI at each station. Results ( $r$ , bias and RMSE) are presented in Table 6. Average  $r$ , bias and RMSE are, 0.558, 0.030, 0.289, respectively. One station (LZC) has a negative  $r$ -value ( $-0.257$ ). Fewer in-situ data are available at this station, and data are missing for the April to mid-July period. The highest correlation is for URG with  $r=0.918$ .

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Correlations between the SWI and in-situ observations at 30 cm may be higher than the correlations between ASCAT SSM estimates and in-situ observations at 5 cm. As mentioned in Sect. 3.1, ASCAT estimates sample a shallow (0.5–2 cm) surface soil layer and some discrepancies with in-situ soil moisture observations at 5 cm may reduce the correlation. Moreover, the profile soil moisture is less temporally variable than the surface.

## 4 Conclusions

In this paper, the first ASCAT surface soil moisture products (SSM, from the commissioning phase), delivered by EUMETSAT, covering a six month period (April to September) in 2007 are compared with in-situ data over southwestern France. In-situ observations at a depth of 5 cm for 12 stations of the SMOSMANIA network and surface soil moisture integrated from 0 to 6 cm at the SMOSREX station are used to evaluate ASCAT soil moisture estimates. The correlations between local (in-situ) and satellite data are encouraging and this study yields several insights on the use of the SMOSMANIA network and SMOSREX to evaluate soil moisture retrieval from remote sensing:

- 11 stations present significant correlation levels of SSM for the descending (a.m.) orbit with an average correlation coefficient of 0.556. Lower correlation levels are found for the ASCAT ascending (p.m.) orbits (only 4 stations are significantly correlated).
- The soil layer (5 cm depth) sampled by the SMOSMANIA in-situ observations is deeper than the top layer observed at C-band (0.5–2 cm) and this study shows that this difference may trigger discrepancies. In particular, a decoupling of the 0.5–2 cm layer with the 5 cm observations may develop at daytime and the quality of p.m. ASCAT SSM products may be underestimated.
- The NBN station presents no correlation with the ASCAT product and this may be caused by the proximity of this station to the Mediterranean sea.

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- Relatively low anomaly correlation levels are observed for LZC and SFL stations, which are close to mountainous areas.
- A characteristic time length ( $T$ ) of 14 days used in an exponential filter to derive a soil water index (SWI) from ASCAT SSM measurements was found to optimize the correlation between the SWI and in-situ soil moisture observations at 30 cm. The retrieved SWI presents good correlation with in-situ values. This method is satisfactory and relies solely on surface soil moisture estimates.

ASCAT performances are particularly interesting in data poor areas where soil moisture remotely sensed estimates may be the only measurements available. The correlation between the in-situ and satellite data highlights the potential of ASCAT and also the need to develop new soil moisture monitoring networks such as SMOSMANIA for verification in contrasting biomes and climates.

*Acknowledgements.* The development of the SMOSMANIA network was co-funded by Météo-France, CNES and ESA. The SMOSREX project was co-funded by the “Programme National de Télédétection Spatiale” and by the “Programme Terre Océan Surface Continentales et Atmosphère” (CNES), and by participants to the experiment: CESBIO (CNES, CNRS, IRD, UPS), CNRM/GAME (Météo-France, CNRS), INRA, and ONERA, all in the framework of the SMOS science preparatory program. The work of C. Albergel and C. Rüdiger at CNRM is supported by CNES. The authors want to acknowledge EUMETSAT for making available ASCAT data from the commissioning phase. Finally Eric Martin and Jean-François Mahfouf (CNRM) are thanked for fruitful discussions.



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**ASCAT surface soil moisture vs. in-situ observations**

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**Table 1.** Comparison between ASCAT products and the normalised in-situ soil moisture measured at 12 ground stations for descending orbits between 1 April and 30 September 2007: correlation coefficient, bias (in-situ minus ASCAT), root mean square error (RMSE), and Kendall statistics (correlation  $\tau$ , and p-value).

Station	Correlation	Bias	RMSE	Kendall $\tau$	Kendall p-value
Sabres (SBR)	0.616	-0.265	0.307	0.508	****
Urgons (URG)	0.732	-0.013	0.182	0.475	****
Créon d'Armagnac (CRD)	0.603	-0.288	0.329	0.453	****
Peyrusse Grande (PRG)	0.674	0.089	0.214	0.505	****
Condom (CDM)	0.568	0.063	0.218	0.393	****
Lahas (LHS)	0.590	0.111	0.244	0.435	****
Savenes (SVN)	0.522	-0.031	0.216	0.335	***
Montaut (MNT)	0.348	0.249	0.372	0.265	**
St Felix de Lauragais (SFL)	0.093	0.016	0.274	0.129	NS
Lézignan Corbières (LZC)	0.540	-0.205	0.278	0.109	NS
Narbonne (NBN)	0.371	-0.204	0.297	0.153	NS
SMOSREX (SMX)	0.375	0.086	0.277	0.312	***

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## ASCAT surface soil moisture vs. in-situ observations

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**Table 2.** Comparison between ASCAT products and the normalised in-situ soil moisture measured at 12 ground stations for ascending orbits between 1 April and 30 September 2007: correlation coefficient, bias (in-situ minus ASCAT), root mean square error (RMSE), and Kendall statistics (correlation  $\tau$ , and p-value).

Station	Correlation	Bias	RMSE	Kendall $\tau$	Kendall p-value
SBR	0.545	-0.216	0.268	0.345	**
URG	0.548	0.002	0.256	0.376	****
CRD	0.387	-0.253	0.324	0.244	*
PRG	0.499	0.170	0.294	0.365	***
CDM	0.266	0.115	0.270	0.132	NS
LHS	0.308	0.129	0.290	0.160	NS
SVN	0.299	0.015	0.237	0.121	NS
MNT	0.186	0.295	0.426	0.186	NS
SFL	0.142	0.005	0.279	0.152	NS
LZC	0.730	-0.105	0.181	0.115	NS
NBN	0.278	-0.173	0.275	0.059	NS
SMX	-0.171	0.004	0.321	-0.123	NS

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## ASCAT surface soil moisture vs. in-situ observations

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**Table 3.** Comparison between ASCAT products and the normalised in-situ soil moisture measured at 3 ground stations for descending orbits between 1 April and 30 September 2007: correlation coefficient, bias (in-situ minus ASCAT), root mean square error (RMSE), and Kendall statistics (correlation  $\tau$ , and p-value). The scores are given as a function of the location (North, South, East, West) of the centre of the ASCAT pixel with respect to the ground station.

Station	Direction	Correlation	Bias	RMSE	Kendall $\tau$	Kendall p-value
SFL	North	0.283	0.054	0.248	0.298	*
	South	-0.020	-0.020	0.297	-0.011	NS
	East	0.025	-0.018	0.278	0.036	NS
	West	0.265	0.065	0.267	0.238	NS
LZC	North	0.545	-0.205	0.295	-0.060	NS
	South	0.542	-0.213	0.362	0.105	NS
	East	-0.128	-0.251	0.322	-0.138	NS
	West	0.806	-0.138	0.194	0.466	*
NBN	North	0.461	-0.191	0.283	0.231	NS
	South	0.303	-0.209	0.306	0.105	NS
	East	0.508	0.214	0.289	0.246	NS
	West	0.283	-0.195	0.304	0.084	NS

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**Table 4.** Comparison between ASCAT products and the normalised in-situ soil moisture measured at 11 ground stations for descending orbits between 1 April and 30 September 2007: correlation coefficient, bias (in-situ minus ASCAT), root mean square error (RMSE), and Kendall statistics (correlation  $\tau$ , and p-value). For SFL, only the ASCAT pixels at the North of the station are considered. For LZC, only the ASCAT pixels at the West of the station are considered.

Stations names	Number of available ASCAT data	Number of ASCAT data used	Correlation	Bias	RMSE	Kendall $\tau$	Kendall p-value
SBR	59	52	0.616	-0.265	0.307	0.508	****
URG	48	36	0.732	-0.013	0.182	0.475	****
CRD	61	54	0.603	-0.288	0.329	0.453	****
PRG	61	52	0.674	0.089	0.214	0.505	****
CDM	62	53	0.568	0.063	0.218	0.393	****
LHS	63	52	0.590	0.111	0.244	0.435	****
SVN	61	50	0.522	-0.031	0.216	0.335	***
MNT	74	61	0.348	0.249	0.372	0.265	**
SFL	68	26	0.283	0.054	0.248	0.298	*
LZC	37	16	0.806	-0.138	0.194	0.466	*
SMX	60	53	0.375	0.086	0.277	0.312	***

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## ASCAT surface soil moisture vs. in-situ observations

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**Table 5.** Comparison between the ASCAT and the in-situ soil moisture anomaly at 11 locations for descending orbits between 1 April and 30 September 2007: correlation coefficient, bias (in-situ minus ASCAT), root mean square error (RMSE), and Kendall statistics (correlation  $\tau$ , and p-value). For SFL, only the ASCAT pixels at the North of the station are considered. For LZC, only the ASCAT pixels at the West of the station are considered.

Stations names	Correlation	Bias	RMSE	Kendall $\tau$	Kendall p-value
SBR	0.542	0.096	0.877	0.388	****
URG	0.308	0.005	1.070	0.210	*
CRD	0.369	0.048	1.102	0.262	**
PRG	0.451	0.005	0.912	0.243	**
CDM	0.593	0.038	0.841	0.294	**
LHS	0.808	0.014	0.717	0.533	****
SVN	0.424	-0.051	0.958	0.314	***
MNT	0.471	-0.021	0.915	0.314	***
SFL	0.471	-0.083	1.004	0.271	**
LZC	0.813	0.038	1.074	0.604	**
SMX	0.645	-0.049	0.758	0.480	****

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**Table 6.** Comparison of the Soil Water Index (SWI) produced by an exponential filter applied to ASCAT surface soil moisture estimates (with a characteristic time length  $T$  of 14 days) with normalised in-situ soil moisture observations at 30 cm observations at 11 locations for descending orbits between 1 April and 30 September 2007: correlation coefficient, bias (in-situ minus SWI), and root mean square error (RMSE). For SFL, only the ASCAT pixels at the North of the station are considered. For LZC, only the ASCAT pixels at the West of the station are considered.

Stations	Correlation	Bias	RMSE
SBR	0.851	-0.145	0.200
URG	0.918	0.073	0.241
CRD	0.861	-0.133	0.231
PRG	0.860	-0.026	0.152
CDM	0.333	0.174	0.310
LHS	0.417	0.264	0.372
SVN	0.628	0.149	0.367
MNT	0.724	0.205	0.382
SFL	0.265	0.163	0.357
LZC	-0.257	-0.074	0.396
SMX	0.540	-0.206	0.221

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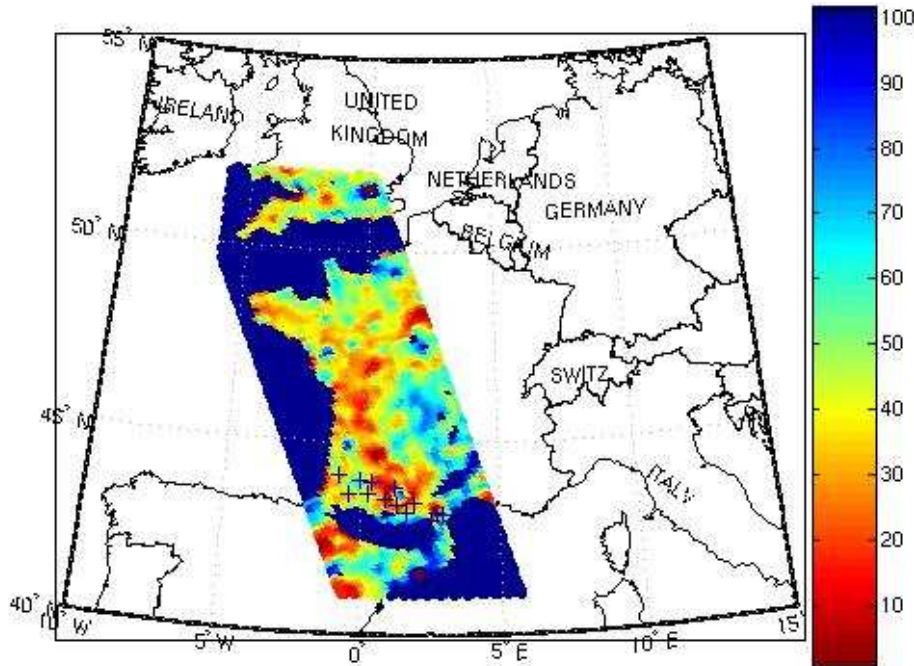
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## ASCAT surface soil moisture vs. in-situ observations

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**Fig. 1.** An example of ASCAT surface soil moisture swath over France: ascending orbit (p.m.) of 5 April 2007. Data range from 0 (dry) to 100 (wet). “+” symbol are for the twelve stations of the SMOSMANIA network and SMOSREX.

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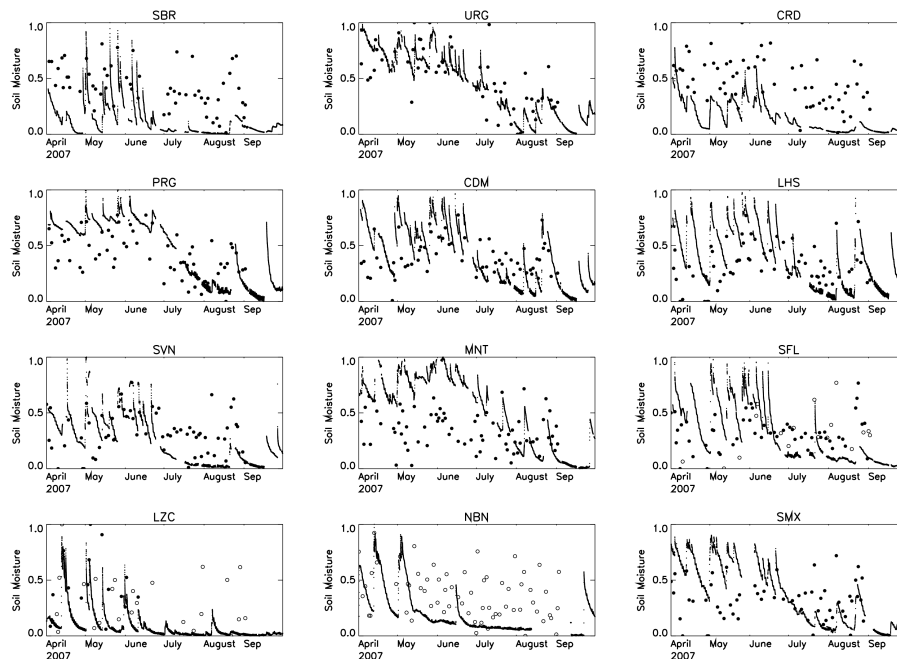
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## ASCAT surface soil moisture vs. in-situ observations

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**Fig. 2.** Temporal evolution of ASCAT estimates (full and empty dots) compared to 5 cm observations for a six months period for descending orbits between 1 April and 30 September 2007. For SFL, only the ASCAT pixels at the North of the station are considered (full dots). For LZC, only the ASCAT pixels at the West of the station are considered (full dots). Empty dots are for filtered (not used) values.

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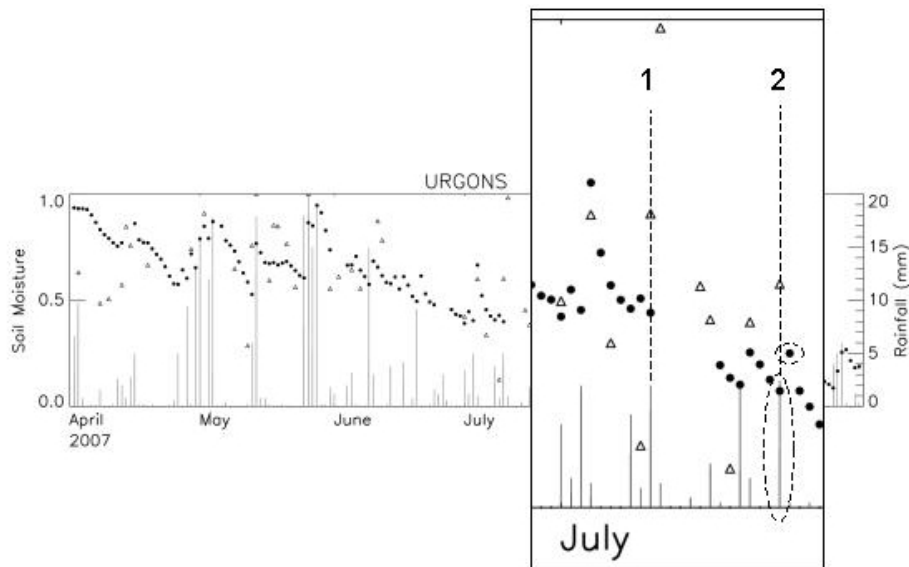
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**Fig. 3.** Temporal evolution of ASCAT surface soil moisture (triangles) and 5 cm in-situ daily average soil moisture (dots) at URG station. Daily precipitation is also presented (vertical bars). **(1)** A rainfall event (3 July 2007) leads to a high ASCAT value whereas no variations are detected at 5 cm. **(2)** On 10 July 2007, the ASCAT soil moisture responds to a rainfall event while the in-situ soil moisture increases during the next day.

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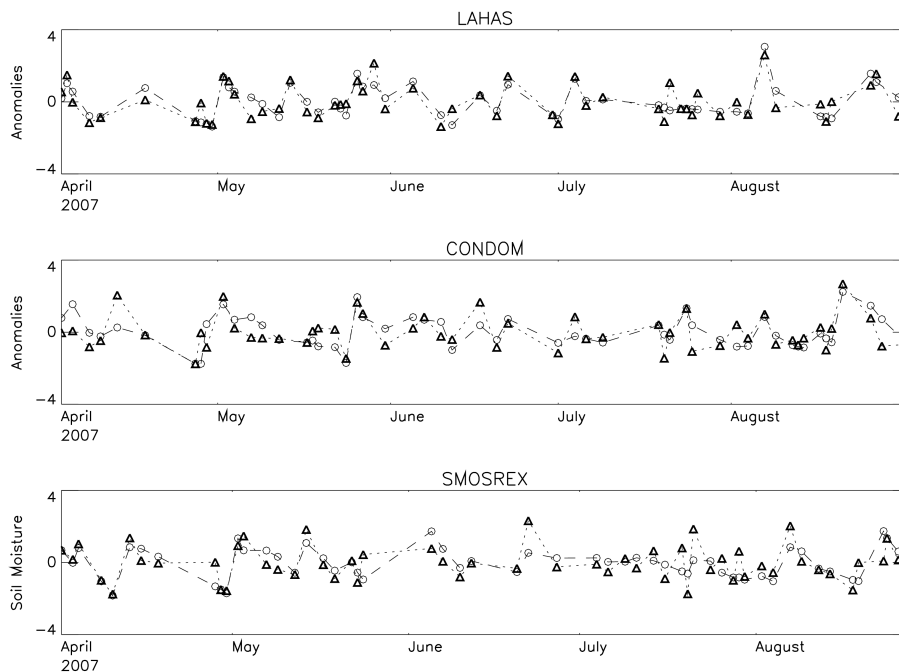
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## ASCAT surface soil moisture vs. in-situ observations

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**Fig. 4.** Temporal evolution of anomalies: ASCAT surface soil moisture (triangles) and 5 cm in-situ observation (dots) for descending orbits between 1 April and 30 September 2007.

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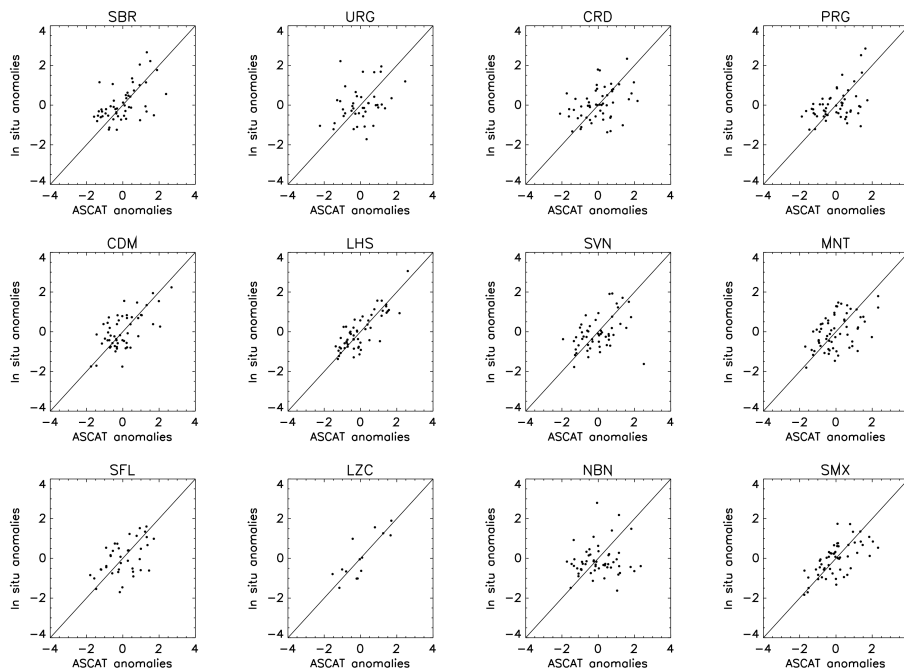
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## ASCAT surface soil moisture vs. in-situ observations

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**Fig. 5.** In-situ anomalies as a function of ASCAT anomalies (dimensionless), for descending orbits between 1 April and 30 September 2007. For SFL, only the ASCAT pixels at the North of the station are considered. For LZC, only the ASCAT pixels at the West of the station are considered.

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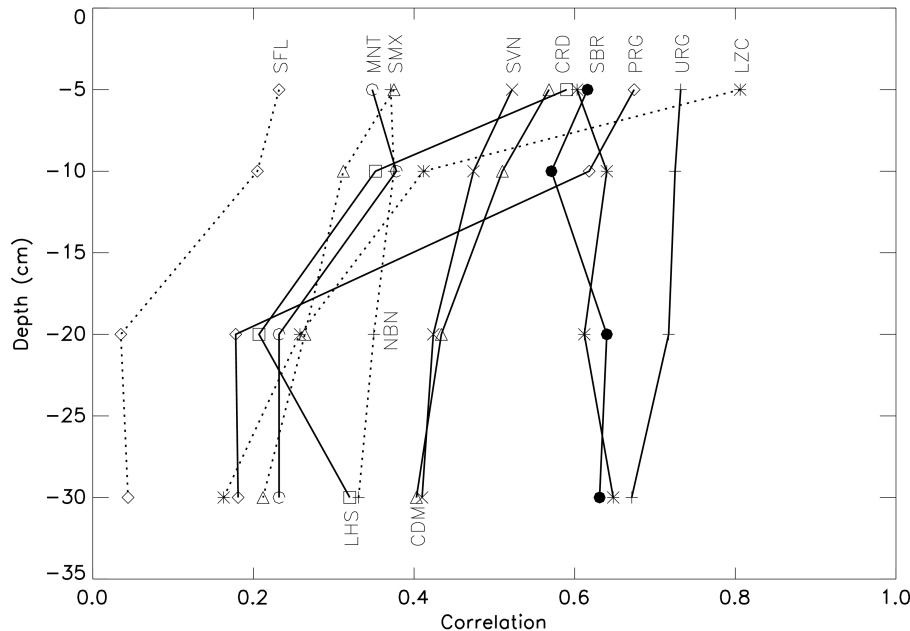
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**Fig. 6.** Correlation coefficient between the ASCAT soil moisture anomaly and the in-situ soil moisture anomaly as a function of depth for descending orbits between 1 April and 30 September 2007. For SFL, only the ASCAT pixels at the North of the station are considered. For LZC, only the ASCAT pixels at the West of the station are considered.

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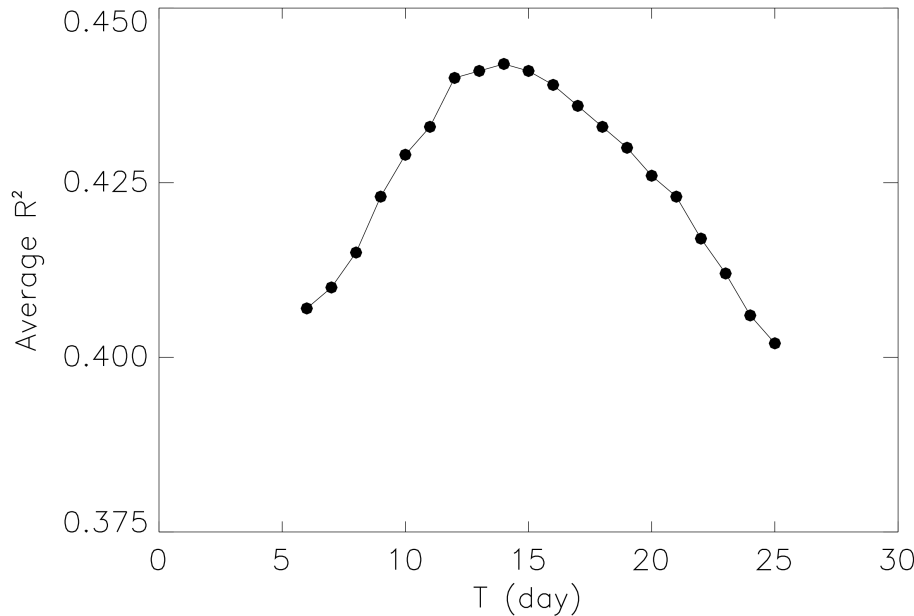
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**Fig. 7.** Retrieval by an exponential filter of the soil water index at 30 cm from the ASCAT surface soil moisture product: average squared correlation coefficient  $R^2$  of retrieved SWI versus in-situ soil moisture at 30 cm for 11 stations in southwestern France (10 SMOSMANIA stations and SMOSREX), as a function of the characteristic time length ( $T$ ) of the exponential filter.

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