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# A daily water balance model for representing streamflow generation process following land use change

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Received: 8 April 2005 – Accepted: 11 May 2005 – Published: 3 June 2005

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A simple conceptual water balance model representing the streamflow generation processes on a daily time step following land use change is presented. The model consists of five stores: (i) Dry, Wet and Subsurface Stores for vertical and lateral water flow, (ii) a transient Stream zone Store (iii) a saturated Groundwater Store. The soil moisture balance in the top soil Dry and Wet Stores are the most important component of the model and characterize the dynamically varying saturated areas responsible for surface runoff, interflow and deep percolation. The Subsurface Store describes the unsaturated soil moisture balance, extraction of percolated water by vegetation and groundwater recharge. The Groundwater Store controls the baseflow to stream (if any) and the groundwater contribution to the stream zone saturated areas. The daily model was developed following a “downward approach” from an earlier monthly model and performed very well in simulating daily flow generation processes observed at Ernies (control) and Lemon (53% cleared) catchments in Western Australia. Most of the model parameters were incorporated a priori from catchment attributes such as surface slope, soil depth, porosity, stream length and initial groundwater depth, and some were calibrated by matching the observed and predicted hydrographs. The predicted groundwater depth, and streamflow volumes across all time steps from daily to monthly to annual were in close agreement with observations for both catchments.

## 20 1. Introduction

Over the last three decades considerable research had been undertaken in Western Australia to understand changes in streamflow and salinity generation processes following agricultural clearing. Most of the research was devoted to establishment and intense monitoring of a number of experimental catchments with different land use options. Now it is well understood that forest clearing for agriculture has led to an increase in groundwater recharge and rising water tables. This process mobilises the

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salt stored in the unsaturated zone of the soil profile and eventually discharged it to streams (Wood, 1924; Peck and Williamson, 1987; Schofield and Ruprecht, 1989; Ruprecht and Schofield, 1991; Bari, 1998). The magnitude of stream salinity increase is dependent on annual rainfall and the extent and location of clearing (Schofield and Ruprecht, 1989).

Different hydrological models have also been developed in the past to represent the changes in physical processes associated with different land use and climate changes. Most of the early models were lumped and statistical. A distributed conceptual model, the Darling Range Catchment Model (DRCM), was developed and applied to some catchments in the Darling Range of Western Australia (Mauger, 1986). Sivapalan et al. (1996) simplified the conceptual form of DRCM and developed the Large Scale Catchment Model (LASCAM). This model was tested, calibrated and validated across a range of different catchments, from small experimental to very large (Sivapalan et al., 2002). Topog (Vertessy et al., 1993) and WEC-C (Water and Environmental Consultants-Catchment) are two other fully distributed models which are applicable to hill slope and experimental scale (Croton and Barry, 2001; Croton and Bari, 2001).

Although distributed hydrological models are applied all over the world, it is now well understood that the basic limitations of these models to represent catchment response with a small number of parameters, is due to their inability to reproduce dynamic variation of saturated areas within the catchment (Beven, 1989; Binley et al., 1989; Beven, 2001). In fact, the dynamic variation of the saturated area, a function of accumulation and horizontal movement of water in the top soil layers, is mainly responsible for the highly non-linear nature of catchment response to storm events (Ruprecht and Schofield, 1989; Todini, 1996). Most of the existing conceptual and semi-distributed models require a large number of parameters to represent dynamic variation of the saturated areas. Many of these parameters lack physical meaning as they represent averages at catchment or subcatchment scale. Although different automatic calibration techniques have been developed to estimate model parameter sets of particular applications (Duan, 2003), recent comparisons of the performance of different conceptual

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rainfall runoff models reveal that model performance depends more on structure and data quality than on model complexity (Perrin et al., 2001; Gan and Biftu, 2003).

The “downward approach” in model building, originally adopted by Klemes (1983), has revealed new insights into the parsimony of conceptual model structures in Western Australia and other parts of the world (Jothityangkoon et al., 2001; Atkinson et al., 2002; Farmer et al., 2003). The model building procedure shows that scale of interest, both time (annual to hourly) and space (point to  $\sim 1000 \text{ km}^2$ ), determines the model complexity requirements. These recent works have been devoted to water balance prediction of steady-state catchments only.

10 Data collected from experimental catchments in the south-west of Western Australia show different rates of groundwater level rise, originally not connected to stream invert, following clearing of deep-rooted native forest for pasture development. When the rising groundwater level reaches the stream invert and creates groundwater-induced saturated areas, streamflow and salt discharge increases greatly (Croton and Bari, 2001; Ruprecht and Schofield, 1989). Bari and Smettem (2004) followed the “downward approach” to identify the minimal model structure and complexity required to represent the changes in streamflow generation process following land use changes on a monthly time step.

20 In this paper we extend the work of Bari and Smettem (2004) to examine the additional complexity required then develop a model to represent runoff following land use change on a daily time step. We focus on two experimental catchments (Lemon and Ernies – treated and control, respectively) located in the Low Rainfall Zone ( $\sim 650 \text{ mm yr}^{-1}$ ) of the south-west of Western Australia (Fig. 1). The daily model consists of three main components: (i) Dry, Wet and Subsurface Stores for vertical and lateral unsaturated water flow, (ii) Stream zone Store and (iii) a saturated Groundwater Store. The main inclusion is the Dry and Wet Stores and a probability distribution function for catchment soil moisture stores and dynamic variation of the conceptual groundwater level. The daily model is capable of reproducing streamflow generation processes following land use change with a small number of parameters that retain

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## 2. Catchment description

The Lemon and Ernies catchments are located in the Collie River catchment, southwest of Western Australia, about 250 km south of Perth (Fig. 1). These catchments have a Mediterranean climate, with cool, wet winters and warm to hot, dry summers. The Class A annual pan evaporation and annual rainfall are approximately 1600 mm (Luke et al., 1988) and 650 mm respectively. The soil profile typically consists of 0.5–6.5 m highly permeable top soil overlying 10–30 m of clay with low permeability. The vegetation was an open forest dominated by jarrah (*Eucalyptus marginata*). In the summer of 1976–1977, 53% of the Lemon catchment was logged and was sown to clover and grasses for grazing sheep. The objective was to understand changes on flow and salinity generation processes following clearing. The Ernies catchment remained as a forested control. Both catchments were instrumented to measure salt and water balance.

## 15 3. Streamflow generation process

During the pre-treatment period, similar runoff response was observed for both catchments and the groundwater level was about 15–20 m below the stream invert. Following clearing, the deep, permanent groundwater system beneath the Lemon catchment started to rise due to lower evapotranspiration. Groundwater level intersected the surface by 1987 and by 1996 achieved a new stability (Bari and Smettem, 2004). After heavy rainfall, a shallow intermittent groundwater system develops on cap rock or clay, saturates part of the stream zone and generates streamflow by saturation excess overland flow and interflow processes. Immediately following clearing, the flow duration of Lemon catchment increased and started flowing about a month earlier than Ernies

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5 catchment (Fig. 2a). The groundwater induced stream zone saturated area increased from nil to 8% of the catchment area and there was an approximately 1400 mm increase in unsaturated soil water storage (Bari and Smettem, 2004). When the ground-  
water system reached the stream bed, streamflow increased further, became perennial and in the dry summer months was dominated by the baseflow (Fig. 2b).

#### 4. Model description

A “downward approach” originally advocated by Klemes (1983) was followed in developing the daily water balance model. Annual data from experimental catchments (with different land use) were analysed and a simple water balance model was developed  
10 which needed minimal calibration (Bari et al., 2005). Further analyses of monthly data demonstrated that a minimal model complexity of four inter-connecting stores was necessary to represent the landscape hydrological processes (Bari and Smettem, 2004). The four stores for the monthly water balance model were: (i) Upper Store, (ii) Sub-  
surface Store, (iii) Groundwater Store and (iv) Stream zone Store (Fig. 3). The Upper  
15 Store generates surface runoff ( $Q_{r1}$ ), interflow ( $Q_i$ ) and percolation to Subsurface Store (/). Trees use most of the percolated water and little recharges the Groundwater Store. When groundwater discharges to the stream, a transient Stream zone Store is created. Additional surface runoff ( $Q_{r2}$ ) is generated from the “impervious” stream zone saturated area.

20 We applied the monthly model with the updated parameter set for both Ernies and Lemon catchments on a daily time step. The model predicted flow duration quite well but was unable to reproduce the daily peakflow and recessions (Fig. 4). Therefore, we introduced additional complexity into the model to represent daily processes. The Upper Store was partitioned into Dry and Wet Stores, recharge to groundwater was also divided into preferential and matrix flow, and the interception component was elaborated (Fig. 5).

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Evapotranspiration is a major component of the hydrological cycle in the south-west of Western Australia. About 90% of the annual rainfall is lost by evapotranspiration (Sharma, 1983). Annual average interception by mature jarrah forest ranges from 13% to 15% of annual rainfall (Croton and Norton, 1998). In the monthly model we set interception to 13% of rainfall (Bari and Smettem, 2004). For the daily model additional complexity was added as a function of daily rainfall, Leaf Area Index ( $LAi$ ) and interception storage of the forest canopy. The maximum interception or canopy storage capacity ( $C_{smx}$ ) is determined by assuming that canopy saturation occurs once a certain amount of water accumulates over the plant foliage surface:

$$C_{smx} = C_i LAi \quad (1)$$

The actual interception ( $I_a$ ) is modelled by a simple accounting procedure. Actual canopy storage,  $C_s(t, t+1)$ , during the period  $(t, t+1)$ , depends upon the rainfall and actual storage of the previous time step:

$$C_s(t+1) = C_s(t) + R(t, t+1) \text{ if } [C_s(t) + R(t)] < C_{smx} \quad (2a)$$

$$C_s(t+1) = C_{smx} \text{ if } [C_s(t) + R(t)] > C_{smx} \quad (2b)$$

$$I_a = C_s - PET \text{ if } PET < C_s \quad (3a)$$

$$I_a = C_s \text{ if } PET > C_s \quad (3b)$$

Effective rainfall ( $RE$ ) passes through the plant canopy and becomes available for infiltration. Evapotranspiration demand is reduced and residual potential evapotranspiration ( $RET$ ) is the energy available for plant transpiration and soil evaporation.

$$RE(t+1) = R(t, t+1) - I_a(t+1) \quad (4)$$

$$RET = PET - I_a \quad (5)$$

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Actual soil evaporation and plant transpiration of the daily model remained identical to the monthly model. These two processes take place from all five stores of the model. For example soil evaporation from Dry ( $E_{sd}$ ) and transpiration from Wet Stores ( $E_{tw}$ ) are expressed as:

$$E_{sd} = RET \frac{W_d}{W_{dmx} + W_{wmx}} e^{-csLAI} \quad (6a)$$

$$E_{tw} = \alpha_t RET \frac{RT_u}{RT_t} \left[ 1 - \left( 1 - \frac{W_w}{W_{wmx}} \right)^{tu} \right] \frac{LAI}{LAI_{mx}} \quad (6b)$$

#### 4.2. Unsaturated soil water accounting

The unsaturated profile plays an important role in streamflow generation processes in Western Australia. Depth of the profile varies across the different rainfall zones. In the High Rainfall Zone ( $>1100 \text{ mm yr}^{-1}$ ) the permanent groundwater level lies within 2 m of the stream invert. Therefore, the vertical thickness of the unsaturated profile is the shortest. In the Low Rainfall Zone ( $<900 \text{ mm yr}^{-1}$ ), under pristine land use, the thickness of the unsaturated profile is in excess of 20 m (Bari and Smettem, 2004). Soil profile data analyses reveal the presence of two distinctive soil horizons. The top soil consists of 2-5 m thick highly conductive gravelly and sandy laterite. This layer overlies less permeable sandy loams and kaolinitic clay (Johnston, 1987). Therefore, in the monthly model we divided the unsaturated soil into two stores: (i) Upper Store (ii) Subsurface Store (Fig. 3).

When the monthly model was applied on a daily time step with updated parameter sets, the peakflow and recessions could not be predicted (Fig. 4). We postulated that the sharp hydrograph rise to peaks and similarly sharp, early recessions were due to the formation of dynamic saturated areas along the stream zone following significant rainfall. At the treated (Lemon) catchment, further complexity in the daily flow generation process was evident when the groundwater level reached the streambed.

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Therefore, a non-linear probability distribution of the depth of the top soil and its water holding capacity was adopted to represent the dynamic variation of the saturated areas. A similar concept has been applied in other models. For example, in the Xianjiang model the spatial distribution of soil moisture capacity was expressed in two probability distribution functions – one up to the field capacity and the other from field capacity to saturation (Zhao and Liu, 1995). In the VIC and ARNO models a single distribution function was used to describe the soil moisture capacity (Wood et al., 1992; Todini, 1996). The major advantage of this approach is that the catchment soil moisture balance is functionally related by simple analytical expressions to the dynamic contributing areas. Therefore, we incorporate additional complexity into the Upper Store of the monthly model to represent the daily soil water movement by two inter-connecting stores: (i) Dry Store and (ii) Wet Store.

#### 4.2.1. Dry Store

We know from field observations that up to the drained upper limit or so-called “field capacity”, the soil matrix has the ability to hold water against gravity. The water held against gravity is available for evapotranspiration only. We define this water holding capacity of the soil matrix as the “Dry Store”. The potential volume of the Dry Store is determined by an inter-relationship between climate, vegetation cover, soil depth, physical properties and “field capacity”. Based on extensive drilling carried out in these experimental catchments, considerable information exists on the depth and distribution of the top soil layer. Typical depth generally ranges from 1 to 7 m and the probability distribution function fits extremely well to the measured soil depth distribution (Sivapalan and Woods, 1995). Due to the very high infiltration capacity of surface soils, we assume that effective rainfall ( $RE$ ) rapidly infiltrates into the soil matrix. Soil moisture retention capacity ( $w_d$ ) below any elementary surface area is a function of its field capacity ( $\theta_f$ ) and soil depth ( $d'$ ), such that:

$$w_d = d' \theta_f \quad (7)$$

Assuming an empirical distribution of soil depths over the catchment, we represent the water holding capacity by a cumulative probability distribution function (Fig. 6a). A catchment of surface area  $A_t$  consists of pervious and impervious ( $A_i$ ) areas. If we denote  $A_w$  as the part of the catchment where the water content has reached or exceeded field capacity, then we can represent it as:

$$x = \frac{(A_w - A_i)}{(A_t - A_i)} = 1 - \left(1 - \frac{w_d}{w_{dm}}\right)^b \quad (8)$$

In the above equation,  $b$  is a parameter and  $w_{dm}$  is the maximum possible water retention capacity of any elementary area within the catchment. After effective rainfall ( $RE$ ), part is retained in the Dry Store (Fig. 6) and the other is released ( $Rf$ ) as:

$$Rf = \frac{A_t - A_i}{A_t} \int_{w_d}^{w_d + RE} x(\sigma) d\sigma \text{ if } (w_d + RE) < w_{dm} \quad (9a)$$

$$Rf = \frac{A_t - A_i}{A_t} \left[ (w_d + RE - w_{dm}) + \int_{w_d}^{w_{dm}} x(\sigma) d\sigma \right] \text{ if } (w_d + RE) > w_{dm} \quad (9b)$$

The above two equations can be expressed in terms of catchment average storage ( $W_d$ ) and maximum storage ( $W_{dmx}$ ) in the Dry Store. After integration:

$$Rf = \frac{A_t - A_i}{A_t} \left[ RE - W_{dmx} + W_d + W_{dmx} \left\{ \left(1 - \frac{W_d}{W_{dmx}}\right)^{\frac{1}{b+1}} - \frac{RE}{(b+1)W_{dmx}} \right\}^{b+1} \right] \quad (10a)$$

$$\text{if } 0 < RE < (b+1)W_{dmx} \left(1 - \frac{W_d}{W_{dmx}}\right)^{\frac{1}{b+1}}$$

$$Rf = \frac{A_t - A_i}{A_t} [RE - (W_{dmx} - W_d)] \quad (10b)$$

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$$\text{if } RE \geq (b+1)W_{dmx} \left(1 - \frac{W_d}{W_{dmx}}\right)^{\frac{1}{b+1}}.$$

Therefore the Dry Store water content update at time  $(t+1)$  is:

$$W_d(t+1) = W_d(t) + RE(t, t+1) - E_{td}(t, t+1) - E_{sd}(t, t+1) - Rf(t, t+1) \quad (11)$$

### 4.2.2. Wet Store

The Wet Store represents moisture content in the soil matrix from field capacity to saturation. Water held in this store is free to travel through or across the soil matrix. The Wet Store represents the development of an intermittent shallow groundwater table and contributes interflow (lateral flow) to the stream and percolation (vertical flow) to

the underlying Subsurface Store. Soil evaporation and transpiration (if any) also take place from this store. The Wet Store controls the formation of the variably contributing dynamic saturated area and surface runoff. It is extended up to the area where the moisture content has reached or exceeded field capacity (Fig. 7). The Wet store occupies a fraction (or whole) of the catchment, part of which is saturated. Like the Dry Store, the capacity of any elementary area where the water content has exceeded field capacity can be written as:

$$w_w = d'(\theta_s - \theta_f) \quad (12)$$

We assume that the Wet Store capacity is non-uniformly distributed over the area ( $A_w$ ), where excess water is being produced (Fig. 7). Part of the Wet Store may reach saturation, which can be expressed as:

$$y = \frac{(A_s - A_i)}{(A_w - A_i)} = 1 - \left(1 - \frac{w_w}{w_{wm}}\right)^c, \quad A_s \leq A_w \quad (13)$$

In the above equation  $w_w$  is the elementary area water content at saturation and  $w_{wm}$  is the maximum possible water content in any elementary area within the catchment.

The total surface runoff generated by the catchment has two components: (i) from the pervious area ( $Q_{r1}$ ) and (ii) from the impervious area ( $Q_{r2}$ ) (Fig. 7). It can be calculated as:

$$Q_r = Q_{r2} + Q_{r1} \quad (14a)$$

$$Q_r = \frac{A_i}{A_t} RE + x \int_{w_w}^{w_w + Rf} y(\sigma) d\sigma \text{ if } (w_w + Rf) < w_{wm} \quad (14b)$$

$$Q_r = \frac{A_i}{A_t} RE + x \left[ (w_w + Rf - w_{wm}) + \int_{w_w}^{w_{wm}} y(\sigma) d\sigma \right] \text{ if } (w_w + Rf) > w_{wm} \quad (14c)$$

After integration and transformation we get:

$$Q_r = \frac{A_i}{A_t} RE + \left[ 1 - \left( 1 - \frac{W_d}{W_{dmx}} \right)^{\frac{b}{b+1}} \right] \left[ Rf - W_{wmx} + W_w + W_{wmx} \left\{ \left( 1 - \frac{W_w}{W_{wmx}} \right)^{\frac{1}{c+1}} - \frac{Rf}{(c+1)W_{wmx}} \right\}^{c+1} \right] \quad (15a)$$

$$\text{if } 0 < Rf < (c+1)W_{wmx} \left( 1 - \frac{W_w}{W_{wmx}} \right)^{\frac{1}{c+1}}$$

$$Q_r = \frac{A_i}{A_t} RE + \left[ 1 - \left( 1 - \frac{W_w}{W_{wmx}} \right)^{\frac{b}{b+1}} \right] [Rf - (W_{wmx} - W_w)] \quad (15b)$$

$$\text{if } Rf \geq (c+1)W_{wmx} \left( 1 - \frac{W_w}{W_{wmx}} \right)^{\frac{1}{c+1}}.$$

The representation of daily interflow and percolation (the rate of lateral and vertical drainage from the top soil matrix) remained practically unchanged from the

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monthly model but we now assume they occur only from the Wet Store and can be expressed as:

$$Q_i = 0 \text{ if } W_w < W_{wi} \quad (16a)$$

$$Q_i = K_{ui} \left( \frac{W_w - W_{wi}}{W_{wmx} - W_{wi}} \right)^{ia} x \text{ if } W_w > W_{wi} \quad (16b)$$

5  $I = K_{uv} \left[ 1 + pb \left( 1 - \frac{W_I}{W_{Imx}} \right)^{pa} \right] \left( \frac{W_w}{W_{wmx}} \right) x \quad (17)$

Water content update of the Wet Store at time ( $t+1$ ) is:

$$W_w(t+1) = W_w(t) + Rf(t, t+1) - E_{tw}(t, t+1) - E_{sw}(t, t+1) - Q_{r1}(t, t+1) - Q_i(t, t+1) - I(t, t+1) \quad (18)$$

#### 4.2.3. Subsurface Store

The Subsurface Store represents the deep unsaturated soil profile and acts as a delay function for effects of rising groundwater level on streamflow and salinity (Bari and Smettem, 2004). Recharge from the Subsurface to the Groundwater Store occurs in two different processes: (i) from soil matrix as excess flow ( $Rl_1$ ), and (ii) preferential flow from preferred pathways ( $Rl_2$ ). Both these processes are accounted for in this model by incorporating additional complexity to the monthly model. Similar to the Dry Store, we define that the soil water capacity of any elementary area is a function of depth ( $d_g' - d'$ ), porosity ( $\phi_I$ ) and field capacity ( $\theta_{lf}$ ) and can be described by a distribution function. Therefore, recharge from the soil matrix can be calculated as:

$$Rl_1 = \int_{w_I}^{w_I + l} x'(\sigma) d\sigma \text{ if } (w_I + l) < w_{Im} \quad (19a)$$

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$$RI_1 = \left[ (w_I + I - w_{Im}) + \int_{w_I}^{w_{Im}} x'(\sigma) d\sigma \right] \text{ if } (w_I + I) > w_{Im} \quad (19b)$$

After integration and transformation the above two equations become:

$$RI_1 = \left[ I - W_{Imx} + W_I + W_{Imx} \left\{ \left( 1 - \frac{W_I}{W_{Imx}} \right)^{\frac{1}{a+1}} - \frac{I}{(a+1)W_{Imx}} \right\}^{a+1} \right] \quad (20a)$$

$$RI_1 = [I - (W_{Imx} - W_I)] \quad (20b)$$

5 When the water content in the Subsurface Store becomes less than the catchment wide field capacity ( $W_{Idmx}$ ), the excess water ( $RI_1$ ) is recycled for transpiration and recharge to the groundwater store becomes zero. The second component of groundwater recharge represents preferential flow to the Groundwater Store. It is represented by the following formula (Averjanov, 1950):

$$10 RI_2 = 0 \text{ if } W_I < W_{Idmx} \quad (21a)$$

$$RI_2 = K_{Iv} \left( \frac{W_I - W_{Idmx}}{W_{Imx} - W_{Idmx}} \right)^{3.3} \text{ if } W_I > W_{Idmx} \quad (21b)$$

Therefore, total recharge to groundwater store becomes:

$$RI = RI_1 + RI_2 \quad (22)$$

The groundwater level, Subsurface Store and Groundwater Store contents (15  $(\Delta d_g, \Delta W_I, \Delta W_{gl})$ ) change due to recharge ( $RI$ ) to the Groundwater Store, loss of groundwater below the gauging station ( $Q_{loss}$ ), baseflow to the stream zone ( $Q_{bl}$ ) and transpiration from groundwater ( $E_{tg}$ ) (Fig. 5). The representation of these processes in the daily model remained unchanged from the monthly model. Therefore the Subsurface Store content at time ( $t+1$ ) is:

$$20 W_I(t+1) = W_I(t) + I(t, t+1) - E_{tg}(t, t+1) - RI(t, t+1) + \Delta W_{gl}(t, t+1) \quad (23)$$

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### 4.3. Groundwater Store

The initial pre-clearing position of the groundwater store is known and the balance of the store is controlled by discharge loss from the store, recharge and baseflow to the stream zone. The volume of the Groundwater Store depends on the location of the conceptual groundwater level and remained identical to the monthly model (Fig. 3). When the conceptual groundwater level does intersect the stream, it contributes to streamflow and indirectly controls the groundwater-induced saturated areas, predominantly in the stream zone. We also incorporated a groundwater loss function to represent the slow migration of the regional groundwater system and loss through the fractured basement as:

$$Q_{loss} = C_{loss} W_g \quad (24)$$

Therefore the Groundwater Store update at any time ( $t+1$ ) is:

$$W_g(t+1) = W_g(t) + R(t, t+1) - Q_{loss}(t, t+1) - Q_{bl}(t, t+1) - \Delta W_{gl}(t, t+1) - E_{tg}(t, t+1) \quad (25)$$

### 4.4. Stream zone Store

This store is transient and covers part of the Dry and Wet Stores. Representation of this store became more complex due to the conceptualization of the Dry and Wet Stores. This store content is also influenced by soil evaporation, and loss/gain to/from the Dry Store due to contraction/expansion of the saturated area. All the effective rainfall ( $RE$ ) which falls on the stream zone, becomes runoff ( $Q_{r2}$ ). Stream zone Store water content at any time is expressed by:

$$W_{sg} = 0 \text{ if } d_g > d_s \quad (26a)$$

$$W_{sg} = \frac{A_i}{A_t} \left( \frac{W_{dm}}{b+1} + \frac{W_{wm}}{c+1} \right) \text{ if } d_g < d_s \quad (26b)$$

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Soil evaporation ( $E_{ss}$ ) and plant transpiration ( $E_{ts}$ ) also takes place from this store. The residual of the baseflow coming to the stream zone becomes actual baseflow to stream:

$$Q_b(t+1) = Q_{bI}(t, t+1) - E_{ss}(t, t+1) - E_{ts}(t, t+1) \quad (27)$$

5 We assume a complete “displacement” of Wet Store and Stream zone Store water contents and free mixing due to contraction or expansion of the saturated area. When the groundwater level increases and the stream zone saturated area expands ( $\Delta A_i$ ), the Dry Store loses water to the stream zone and vice versa. It can be calculated as:

$$\Delta W_{sg} = \left( \frac{\Delta A_i}{A_t} \right) \frac{W_{dm}}{b+1} \text{ if } \Delta d_g < 0 \quad (28)$$

10 Therefore the Stream zone Store water content update at any time ( $t+1$ ) is:

$$W_{sg}(t+1) = W_{sg}(t) + \Delta W_{sg}(t, t+1) \quad (29)$$

### 4.5. Total streamflow

Total streamflow is the sum of surface runoff, interflow and baseflow components and can be expressed as:

$$15 Q_t = Q_r + Q_i + Q_b \quad (30)$$

## 5. Parameter requirements and calibration

For the Ernies catchment, the first five years of data was used for calibration. As there were significant changes in land use and flow generation processes at the Lemon catchment, streamflow and groundwater data up to 1987 were used for calibration.

20 The rest of the streamflow data was used for model verification. Most of the model parameters were estimated a priori from catchment attributes and remained unchanged

from the monthly model. These include surface slope, stream length, porosity, field capacity, soil profile thickness, depth to groundwater level, land use history, rooting depth-distribution and Leaf Area Index (*LAI*). The parameters associated with interception are calibrated against the throughfall measurements undertaken within the jarrah forest of Western Australia (Croton and Norton, 1998).

There are a few parameters in the model whose indicative values can be obtained a priori, but need calibration for best fit. These include catchment hydraulic properties: (i) lateral ( $K_{ul}$ ) and vertical ( $K_{uv}$ ) conductivity of the top soil, and its relationship with moisture content; (ii) lateral conductivity ( $K_{ll}$ ) of the groundwater system; and (iii) vertical conductivity ( $K_{lv}$ ) of the deep unsaturated clay profile. The lateral conductivity of the top soil and vertical conductivity of the interface between the top soil and clay profile were calibrated to  $395 \text{ mm day}^{-1}$  and  $27.2 \text{ mm day}^{-1}$  respectively for both catchments. The vertical hydraulic conductivity ( $K_{uv}$ ) falls within the measured value of  $0.2\text{--}33.7 \text{ m day}^{-1}$  (Sharma et al., 1987). The vertical conductivity of the clay layer ( $K_{lv}$ ) was calibrated to  $0.8 \text{ mm day}^{-1}$ , slightly less than obtained from slug tests of  $2.3\text{--}7.6 \text{ mm day}^{-1}$  (Peck and Williamson, 1987). One plausible explanation is that the model seeks to represent the catchment average effective conductivity while slug test results represent a collection of point data. The parameter (*ia*) representing the non-linear relationship between the moisture content and lateral conductivity of the Wet Store was calibrated to 2.15 and 3.15 for the Lemon and Ernies catchments, respectively (Eq. 16). The other two parameters (*pa*, *pb*) remain unchanged from the monthly model (Eq. 17). Values of the other two important parameters (*b*, *c*), which express the degree of homogeneity of soil characteristics over the catchment, were determined by calibration and were very similar for both catchments. Initial soil moisture contents of the unsaturated stores were estimated from soil moisture profile analyses (Bari and Smettem, 2004).

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## 6. Results and discussions

### 6.1. Groundwater system

The deep groundwater system was about 15-20 m below the surface and was stable before clearing for both catchments (Bari and Smettem, 2004). There was some within-

5 year variation, due to groundwater recharge. There was a systematic rise in groundwater levels following clearing at Lemon catchment but the groundwater remained stable beneath native forest at both catchments. The daily model accounted for the trend in groundwater level very well in both cases. Results from experimental catchments in Western Australia show that the rate of groundwater increase depends on: (i) location  
10 and type of clearing and (ii) annual rainfall. Groundwater rise at the rate of  $2.5 \text{ m yr}^{-1}$  was observed at Wights catchment (1050 mm annual rainfall) after full scale clearing and required about 8 years to reach a new stable level (Bari and Ruprecht, 2003; Bari and Smettem, 2004). The rate of streamflow increase was also higher at Wights  
15 catchment. The observed rate of groundwater level rise at Lemon catchment (650 mm annual rainfall) was lower than Wights catchment, and it appears to have taken a much longer time (1977-95) to reach equilibrium mainly due to the low recharge rate, and greater soil moisture deficit and larger unsaturated profile thickness.

### 6.2. Variable contributing saturated area

The groundwater system has two components: shallow and deep ground water systems.  
20 The shallow groundwater system is present only in the wet period of the year, when streamflow is generated (Bari and Smettem, 2004). The daily model represented this process very well. For example, a shallow bore located in the lower part of the stream zone of the Ernies catchment retains water only for the wet period of the year. This corresponds to the expansion and contraction of the saturated areas (Fig. 8a).  
25 The predicted within-year variation of the stream zone saturated area at Ernies catchment was similar to the monthly model and estimated an annual mean of 2% (Bari et

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al., 2005). Similar trends in variable contributing saturated areas were also observed at Lemon catchment, although the magnitude was generally higher. When the groundwater level reached stream invert, there was a systematic increase in saturated areas in subsequent years (Fig. 8b). When Lemon catchment reached a new stability the daily model predicted the within-year variation of the stream zone saturated area ranging from 2–10%, similar to the estimated annual mean of 8% (Bari et al., 2005).

### 6.3. Streamflow

#### 6.3.1. Daily flow

The Ernies catchment received the highest annual rainfall of 851 mm in 1974. As the permanent groundwater system was far below the stream, streamflow was generated by saturation excess overland flow and interflow processes only. The presence of groundwater in the shallow bore in the stream zone is the evidence of the saturation excess overland flow generation process (Bari and Smettem, 2004). The daily model successfully represented the flow generation processes but under-predicted the peak flows of the year (Fig. 9a). The observed and simulated hydrographs were very similar for the average-flow year of 1990 (Fig. 9b). The model precisely predicted the timing of the commencement of flow and also the peak flows.

The Lemon catchment received lowest rainfall in 1979. If not cleared, it may not have produced any runoff at all, as the control catchment did not flow. The model predicted the flow generation process very well, including the flow-duration, peak and recession (Fig. 10a). The daily predicted streamflow was in excellent agreement with the observed values in terms of volume, peak, recession and timing for 1985, when the groundwater level was slightly below the streambed. The catchment received one of the lowest rainfalls of 546 mm in 1997. As the groundwater system rose and created permanent groundwater-induced saturated areas (Fig. 8b) the streamflow duration increased and ultimately the stream was flowing for the whole year (Fig. 10b). During the period of high-rainfall months (May to October), the model simulated the peak flows

well, but under-estimated the interflow component (Fig. 10b).

### 6.3.2. Monthly streamflow

The predicted monthly streamflow at the Ernies catchment matched reasonably well with the observed data and the correlations were better than the original monthly model (Bari and Smettem, 2004). The daily model successfully predicted the January 1982 event and gave improved predictions for other months where the monthly model performed poorly (Fig. 11a). At the Lemon catchment, the daily model over predicted the January 1982 high rainfall event and over predicted for August 1974 when the catchment received the greatest rainfall. When the groundwater system was already at the surface, the model occasionally over predicted the winter high flows (Fig. 11b). Overall, the relationship between the observed and predicted monthly flows was improved when compared to the original monthly model. Similar monthly relationships were also obtained when the LASCAM model was applied at Wights and Salmon catchments (Sivapalan et al., 1996).

### 6.3.3. Annual streamflow

At the Ernies catchment, a good agreement between the observed and predicted annual streamflow was observed. In 1974, when the catchment produced the highest streamflow, the model slightly under-predicted (Fig. 12a). The model generally predicted the low flow years quite well. The observed and predicted flow volumes over the study period were 212 mm and 217 mm respectively. The Ernies catchment lost 12 mm from the groundwater system as downstream discharge and the storage decreased by 310 mm, which is comparable to the result of the monthly model (Bari and Smettem, 2004). The storage reduction can be attributed to the reduction in groundwater level, which was observed beneath other forested catchments in the south-west of Western Australia (Schofield and Ruprecht, 1989). The relationship between the observed and predicted annual streamflow improved significantly compared to that of

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the annual model (Bari et al., 2005).

During the period of 1974–1984, the model generally slightly over-predicted the annual flow observed at Lemon catchment. When the groundwater system came to surface in 1987, the model over-estimated the annual streamflow for some of the years (Fig. 12b). Overall, the model over predicted the streamflow by 15%. The interception, soil evaporation and transpiration components were 1830 mm, 1028 mm and 11786 mm respectively. There was also 1478 mm increase in groundwater and unsaturated storages. Similar storage change was also predicted by the annual and monthly models (Bari et al., 2005; Bari and Smettem, 2004).

**6.4. General discussion**

The rainfall at Lemon catchment is about 5% higher than that of Ernies catchment. Since 1993 the rainfall at Lemon catchment was 15% lower than that of Ernies. There was no explanation for this shifting trend in rainfall. Therefore average rainfall obtained from the two catchments was taken as input to the model. During 1974–1983, annual streamflow at Lemon catchment was slightly over-predicted (Fig. 12b). This might in part be due to unreliable rainfall data.

Daily pan evaporation was recorded from Ernies climate station during 1974–1987. There were many gaps in the data and some of the daily data are questionable. The annual pan evaporation data (Luke et al., 1988) was transformed to daily pan evaporation using a simple harmonic function. There was no direct measurement of Leaf Area Index of pasture. A maximum value of 2.1 was used by other models, which were successfully calibrated and tested on Lemon and other similar catchments (Bari and Croton, 2000, 2002; Croton and Bari, 2001). The seasonal variation of LAI was based on the growth pattern of the pasture. There were also no data available for rooting depth and distribution. From field observations and experiences gathered by local farmers, we assumed a maximum pasture rooting depth of 3.0 m. Similar values were also used by other models (Bari and Croton, 2000, 2002; Croton and Bari, 2001).

## 7. Summary and conclusion

A conceptual daily model has been developed to represent changes in streamflow generation processes following land use changes and was successfully applied to two experimental catchments in the south-west of Western Australia. The model consists of

- 5 five inter-connecting stores: (i) Dry, Wet and Subsurface Stores for vertical and lateral water flow, (ii) transient Stream zone Store, and (iii) Groundwater Store. The Dry, Wet and Stream zone Stores represent the dynamically varying stream zone saturated area and are responsible for surface runoff, interflow and percolation. The unsaturated Subsurface Store describes transpiration and quantifies recharge to Groundwater Store.
- 10 The Groundwater Store quantifies the baseflow and development of the groundwater induced stream zone saturated areas.

The model was calibrated using observed groundwater level and daily streamflow data. The first 5 years of data (1974–1978) for Ernies catchment and 14 years (1974–1987) of data from Lemon catchment were used for calibration. Catchment average

- 15 surface slope, soil depth and distribution, porosity, hydraulic conductivity are the most important parameters. Most of the parameters were estimated a priori.

The groundwater level beneath native forest at Ernies catchment remained stable and was successfully reproduced by the model. Streamflow at Ernies catchment is intermittent – flowing generally May to November. The model successfully predicted

- 20 the daily streamflow in terms of flow duration, peaks and recessions. During the study period (1974–1998), annual streamflow ranged from nil to 72 mm, averaging 8.5 mm. This represented only 1.2% of annual rainfall. Overall the predicted total streamflow was 2% higher than observed.

At the Lemon catchment the groundwater level rose systematically following clearing

- 25 and reached the stream bed in 1987. It appears that in the 1990s the groundwater system has reached a new stability. The predicted conceptual groundwater level was in close agreement with the observed data, both beneath the native forest and cleared areas. Following clearing there was a significant increase in streamflow, flow duration,

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peakflow and recession at Lemon catchment. When the groundwater reached the stream bed in 1987, the annual streamflow increased more than 10 fold. The model successfully predicted the daily streamflow. Overall the predicted annual streamflow volume was 15% higher than observed ( $R^2=0.84$ ), part of which can be attributed to the poor rainfall record.

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## Appendix A: Symbols and variable names

$A_i$	Total “impervious” area of a catchment (mm <sup>2</sup> )
$\Delta A_i$	Changes in “impervious” area of a catchment (mm <sup>2</sup> )
$A_p$	Pervious area of the catchment (mm <sup>2</sup> )
$A_s$	Part of the catchment area reaching saturation (mm <sup>2</sup> )
$A_t$	Total catchment area (mm <sup>2</sup> )
$A_w$	Part of catchment area where water content exceeded field capacity (mm <sup>2</sup> )
$a$	Parameter for the soil depth distribution of Subsurface Store (-)
$b$	Parameter for the soil depth distribution of top soil (-)
$c$	Parameter for the soil depth distribution of top soil(-)
$C_i$	Interception store coefficient (-)
$C_{loss}$	Parameter for Groundwater Store loss (-)
$cs$	Parameter related to soil evaporation (-)
$C_s$	Plant canopy storage (mm)
$C_{smx}$	Maximum interception storage capacity (mm)
$d$	Average depth of top soil (mm)
$d'$	Depth of an elementary area of top soil (mm)
$d_g$	Average depth to groundwater level (mm)
$d_s$	Stream depth (mm)
$d'_g$	Depth to groundwater level of any elementary area (mm)
$\Delta d_g$	Changes in groundwater level (mm)

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$E_{sd}$	Soil evaporation from Dry Store (mm)
$E_{ss}$	Soil evaporation from Stream zone Store (mm)
$E_{sw}$	Soil evaporation from Wet Store (mm)
$E_{td}$	Actual transpiration from Dry Store (mm)
$E_{tg}$	Actual transpiration from Groundwater Store (mm)
$E_{tl}$	Actual transpiration from Subsurface Store (mm)
$E_{ts}$	Actual transpiration from Stream zone Store (mm)
$E_{tw}$	Actual transpiration from Wet Store (mm)
$I$	Percolation (mm)
$I_a$	Actual interception (mm)
$ia$	Parameter related to lateral conductivity of top soil (-)
$K_{ll}$	Lateral hydraulic conductivity of Subsurface Store ( $\text{mm day}^{-1}$ )
$K_{lv}$	Vertical hydraulic conductivity of the Subsurface Store ( $\text{mm day}^{-1}$ )
$K_{ul}$	Lateral hydraulic conductivity of West Store ( $\text{mm day}^{-1}$ )
$K_{uv}$	Vertical hydraulic conductivity of Wet Store ( $\text{mm day}^{-1}$ )
$LAI$	Leaf Area Index (-)
$LAI_{mx}$	Maximum Leaf Area Index (-)
$pa$	Parameter related to vertical soil conductivity (-)
$pb$	Parameter related to vertical soil conductivity (-)
$PET$	Daily pan evaporation (mm)
$Q_i$	Interflow (mm)
$Q_r$	Total surface runoff (mm), ( $Q_{r1} + Q_{r2}$ )
$Q_{r1}$	Surface runoff from pervious area (mm)
$Q_{r2}$	Surface runoff from “impervious area” (mm)
$Q_b$	Baseflow to stream (mm)
$Q_{bl}$	Baseflow to Stream zone Store (mm)
$Q_{loss}$	Groundwater loss below gauge (mm)
$Q_t$	Total streamflow (mm)
$R$	Actual rainfall (mm)

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$RE$	Effective rainfall (mm)
$RET$	Residual potential evapotranspiration (mm)
$R_f$	“Excess water” released from Dry Store to Wet Store (mm)
$R_I$	Recharge to Groundwater Store (mm)
$R_{I1}$	Recharge to Groundwater Store by matrix flow (mm)
$R_{I2}$	Recharge to Groundwater Store by preferential flow (mm)
$RT_t$	Total root volume (-)
$RT_u$	Root volume in the top soil (-)
$tu$	Parameter related to transpiration (-)
$W_d$	Water content of the Dry Store (mm)
$W_{dmx}$	Maximum capacity of the Dry Store (mm)
$W_g$	Water content of the Groundwater Store (mm)
$\Delta W_g$	Changes in water between Subsurface and Groundwater Stores (mm)
$W_I$	Water content of the Subsurface Store (mm)
$W_{Idmx}$	Water content at field capacity of the Subsurface Store (mm)
$W_{Imx}$	Maximum capacity of the Subsurface Store (mm)
$\Delta W_I$	Changes in water content of the Subsurface Store (mm)
$W_{sg}$	Water content of the Stream zone Store (mm)
$\Delta W_{sg}$	Changes in water content of the Stream zone Store (mm)
$W_w$	Water content of the Wet Store (mm)
$W_{wi}$	Threshold value for interflow generation (mm)
$W_{wmx}$	Maximum capacity of the Wet Store (mm)
$w_d$	Elementary area water retention capacity of Dry Store (mm)
$w_{dm}$	Dry Store maximum water retention capacity of an elementary area (mm)
$w_I$	Water holding capacity of the subsurface elementary area (mm)
$w_{Im}$	Maximum water holding capacity of any subsurface elementary area (mm)
$w_w$	Elementary area water retention capacity of the Wet Store (mm)
$w_{wm}$	Wet Store maximum water retention capacity in any elementary area (mm)
$x$	Ratio of the “pervious” area of top soil which exceeded field capacity (-)

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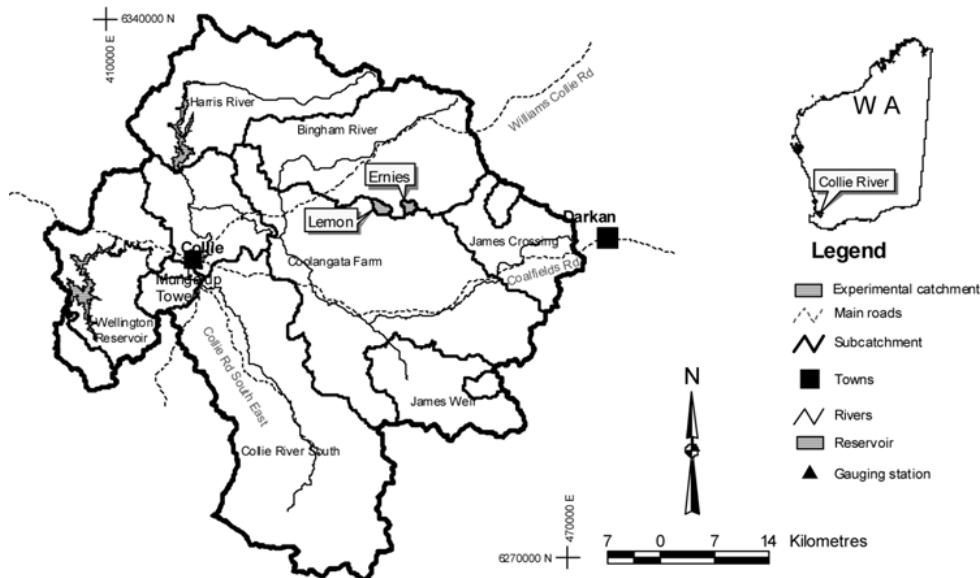
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**Fig. 1.** Location of the experimental catchments.

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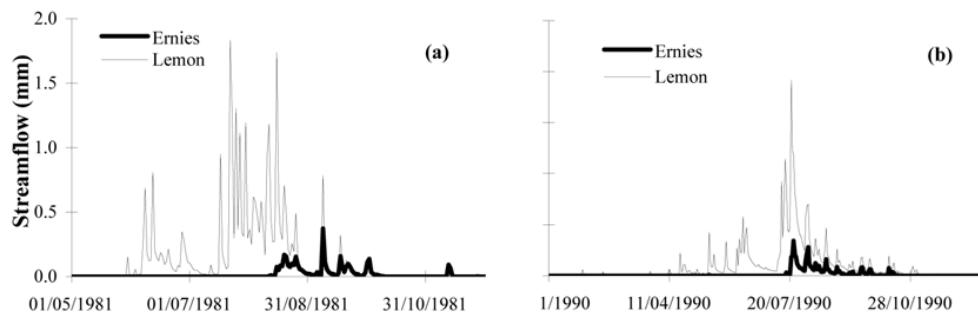
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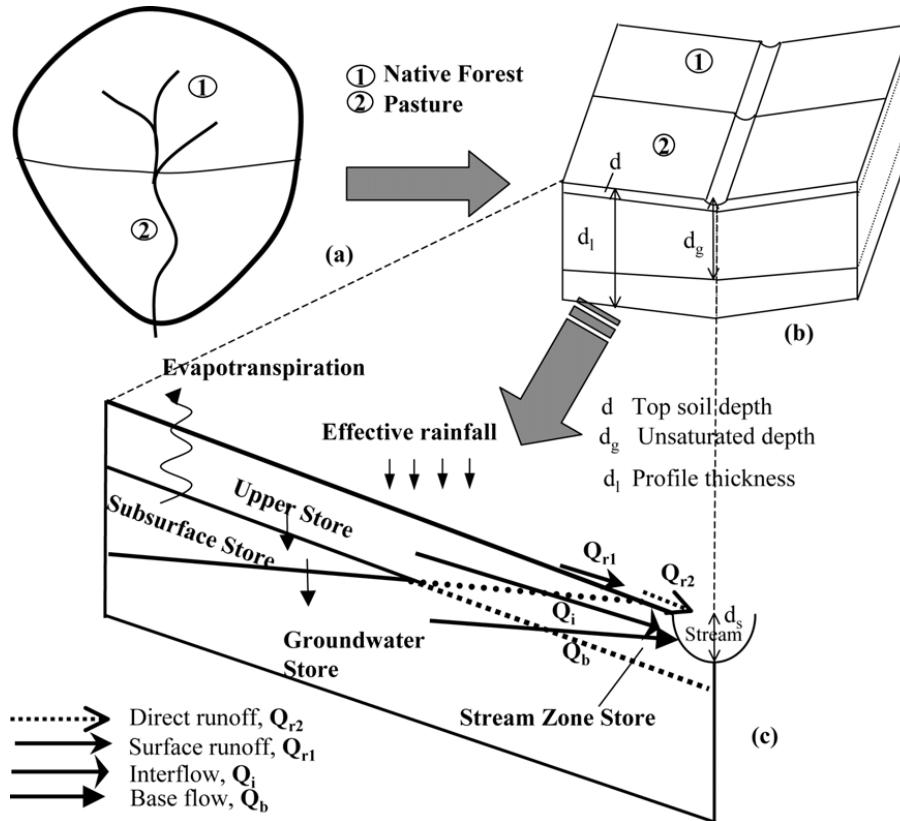
**Fig. 2.** Comparison of daily streamflow between Ernies and Lemon catchments: **(a)** 1981, **(b)** 1990.

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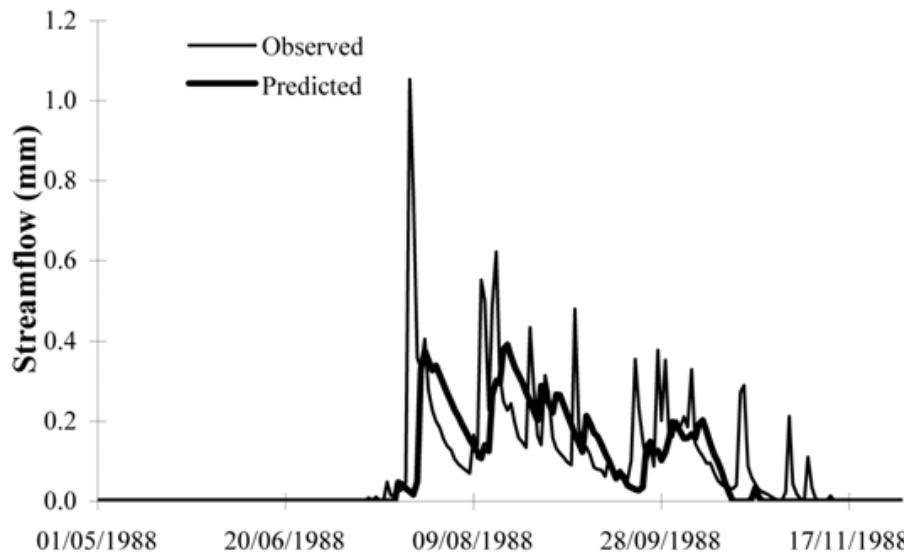
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**Fig. 3.** Schematic representation of a hill slope by four-store model (after Bari and Smettem, 2004).

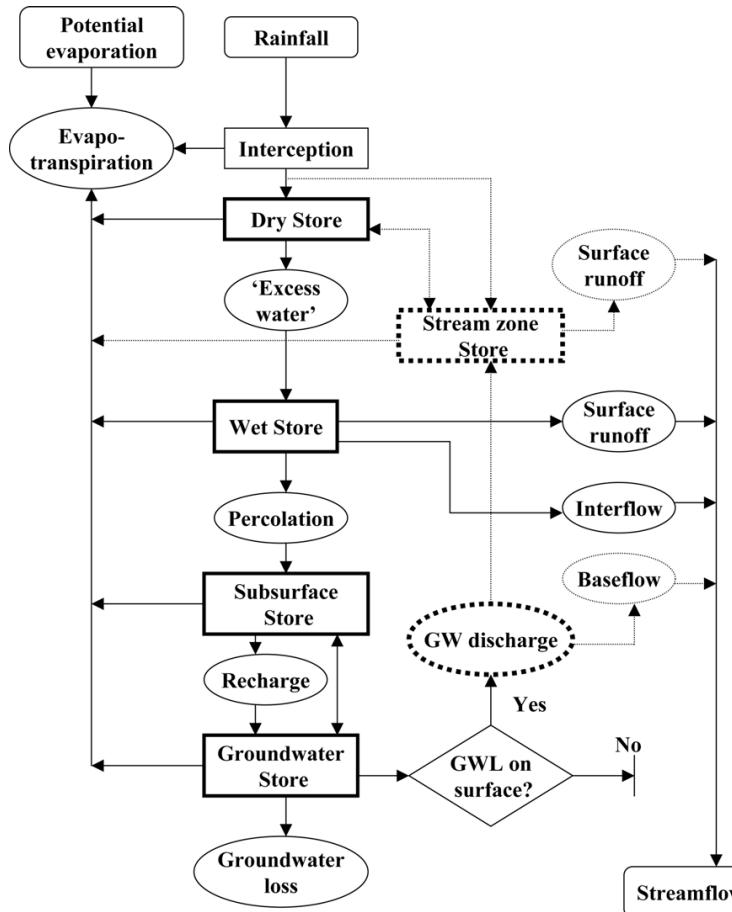
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**Fig. 4.** Observed and predicted daily streamflow at Ernies catchments.

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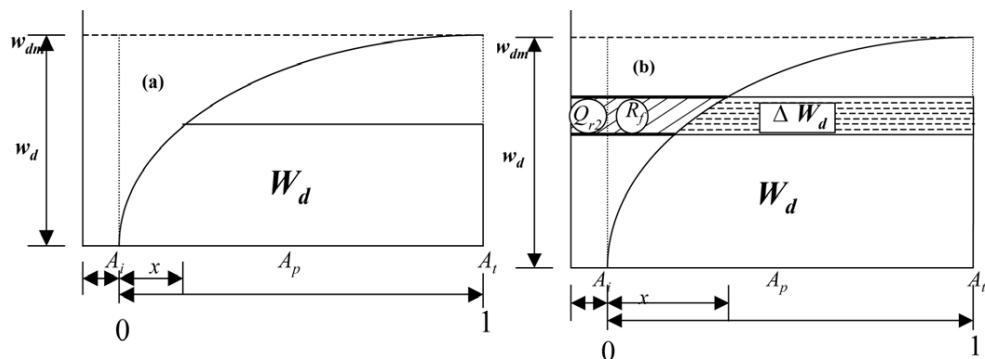
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**Fig. 5.** Flow chart of the hydrological sub-processes in the water balance model.

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**Fig. 6.** Cumulative distribution of elementary area (a) water retention at field capacity and (b) generation of excess water.

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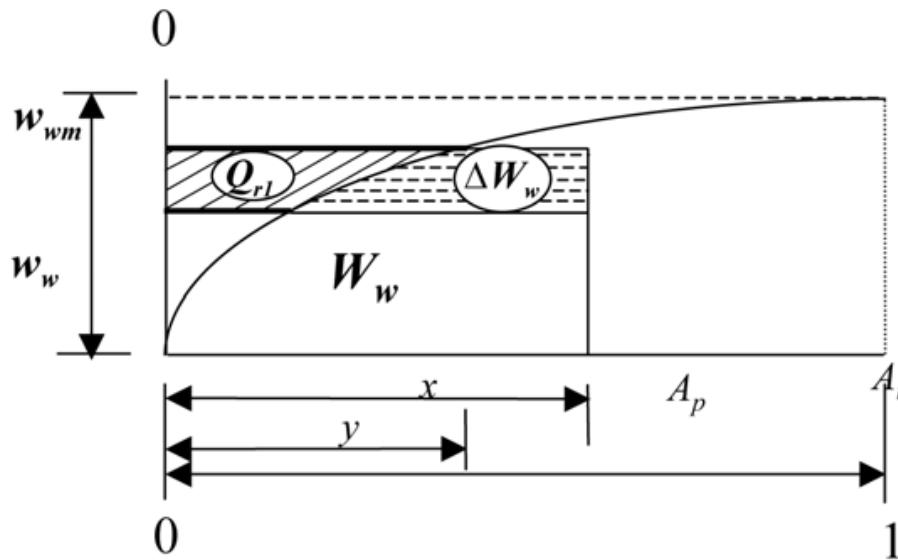
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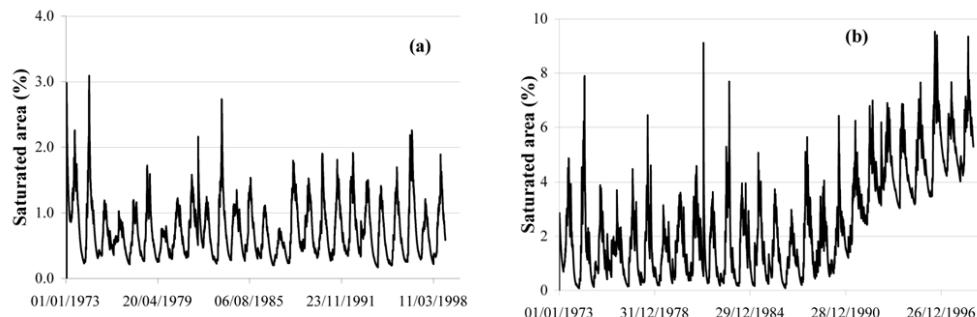
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**Fig. 7.** Generation of surface runoff following a rainfall event.

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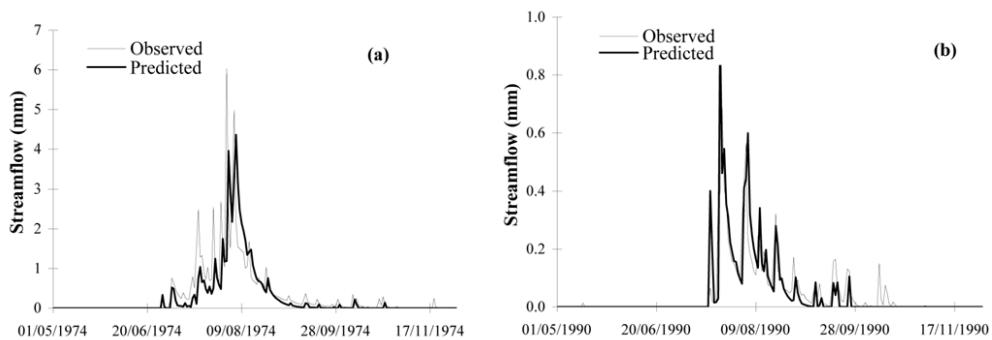
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**Fig. 8.** Variable contributing saturated areas: (a) Ernies, (b) Lemon catchments.

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**Fig. 9.** Actual and simulated daily streamflow – Ernies catchment **(a)** 1974 and **(b)** 1990.

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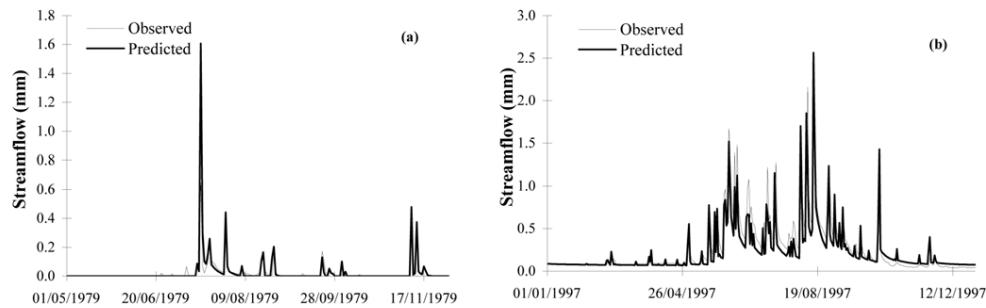
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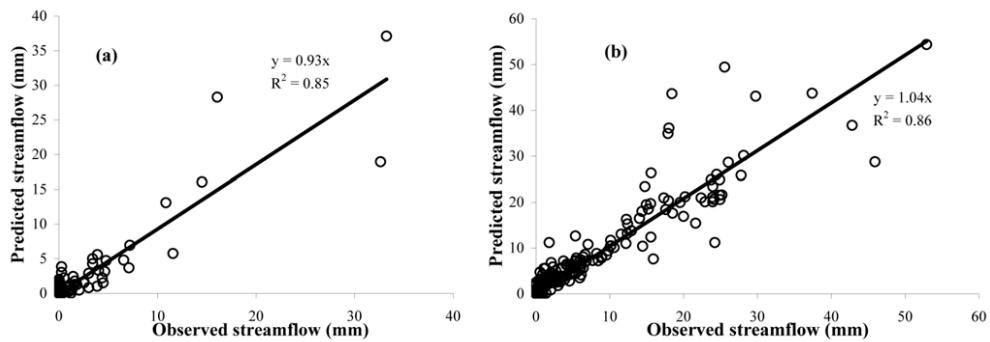
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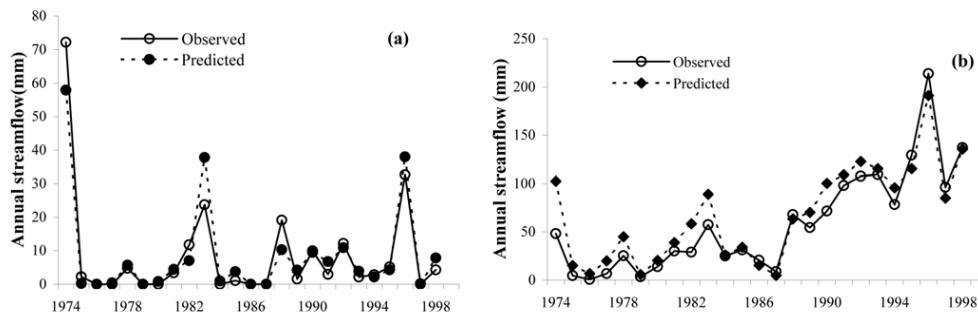
**Fig. 10.** Actual and simulated daily streamflow – Lemon catchment (a) 1979, and (b) 1997.

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**A daily water balance model**M. A. Bari and  
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