

1 Uniform flow formulas for irregular sections in straight channels

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ABSTRACT

Two new methods for uniform flow discharge computation are presented, validated and compared with other available formulas. The first method derives from the well-known Huthoff algorithm, which is first shown to be dependent on the way the river cross-section is discretized into several sub-sections. The second method assumes the vertically averaged longitudinal velocity to be a function only of the friction factor and of the so-called "local hydraulic radius", computed as the ratio between the integral of the elementary areas around a given vertical and the integral of the elementary solid boundaries around the same vertical. Both integrals are weighted with a linear shape function, equal to zero at a distance from the integration variable which is proportional to the water depth according to an empirical coefficient β . Both formulas are validated against 1) laboratory experimental data, 2) discharge hydrographs measured in a real site, where the friction factor is estimated from an unsteady-state analysis of water levels recorded in two different river cross sections, 3) the 3D solution obtained using the commercial ANSYS CFX code, computing the steady state uniform flow in a short reach of a prismatic channel, with known water level in the downstream section.

Keywords: diffusive model, discharge estimation, irregular section, rating curve, uniform flow.

1 Introduction

Both dynamic and diffusive forms of the Saint Venant equation include a closure relationship linking maximum water depth inside the cross section, discharge and energy slope (or the piezometric gradient in its diffusive form). This closure relationship is assumed to be the same relationship holding in the case of uniform flow. For this reason, an accurate prediction of uniform flow in channels with compound or irregular section is a central issue for good shallow water modeling.

The uniform flow formula almost universally applied is still the Chezy equation (Herschel, C., 1897). The advantage of using the Chezy equation is that the associated Manning's coefficient has been calibrated worldwide for several types of bed surface and a single value is ready to use for each application. However, it is well known that the Chezy equation was derived from laboratory measurements taken in channels with a regular, convex cross-sectional shape. When the section results from the union of different parts, each with a strongly different average water depth, one of two options is usually selected. The first option, called Single Channel Method (SCM) is simply to ignore the problem. This leads to strong underestimation of the discharge, because the Chezy formula assumes a homogeneous vertically averaged velocity and this homogeneous value provides strong energy dissipation in the parts of the section with lower water depths. The second option, called Divided Channel Method (*DCM*) is to compute the total discharge as the sum of the discharges flowing in each convex part of the section (called subsection) , assuming a single water level for all parts (Chow 1959; Shiono et al. 1999; Myers and Brennan, 1990). In this approach, the wet perimeter of each subsection is restricted to the component of the original one pertaining to the subsection, but the new components shared by each couple of subsections are neglected. This is equivalent to neglecting the shear stresses coming from the vortices with vertical axes (if subsections are divided by vertical lines) and considering additional resistance for higher velocities, which results in overestimation of discharge capacity (Lyness et al. 2001).

Knight and Hamed (1984) compared the accuracy of several subdivision methods for compound straight channels by including or excluding the vertical division line in the computation of the wetted perimeters of the main channel and the floodplains. However, their results show that conventional calculation methods result in larger errors. Wormleaton et al. (1982) and Wormleaton and Hadjipanous (1985) also

discussed, in the case of compound sections, the horizontal division through the junction point between the main channel and the floodplains. Their studies show that these subdivision methods cannot well assess the discharge in compound channels.

The interaction phenomenon in compound channels has also extensively studied by many other researchers (e.g., Sellin 1964; Knight and Demetriou 1983; Stephenson and Kolovopoulos 1990; Rhodes and Knight 1994; Bousmar and Zech 1999; van Prooijen et al. 2005; Moreta and Martin-Vide 2010). These studies demonstrate that there is a large velocity difference between the main channel and the floodplain, especially at low relative depth, leading to a significant lateral momentum transfer. The studies by Knight and Hamed (1984), Wormleaton et al. (1982) indicate that vertical transfer of momentum between the upper and the lower main channels exists, causing significant horizontal shear able to dissipate a large part of the flow energy.

Furthermore, many authors have tried to quantify flow interaction among the subsections, at least in the case of compound, but regular channels. To this end turbulent stress was modelled through the Reynolds equations and coupled with the continuity equation (Shiono and Knight, 1991). This coupling leads to equations that can be analytically solved only under the assumption of negligible secondary flows. Approximated solutions can also be obtained, although they are based on some empirical parameters. Shiono and Knight developed the Shiono-Knight Method (*SKM*) for prediction of lateral distribution of depth-averaged velocities and boundary shear stress in prismatic compound channels (Shiono and Knight, 1991; Knight and Shiono, 1996). The method can deal with all channel shapes that can be discretized into linear elements (Knight and Abril, 1996; Abril and Knight, 2004).

Other studies based on the Shiono and Knight method can be found in Liao and Knight (2007), Rameshwaran and Sijono (2007), Tang and Knight (2008) and Omran and Knight (2010). Apart from *SKM*, some other methods for analysing the conveyance capacity of compound channels have been proposed. For example, Ackers (1993) formulated the so called empirical coherence method. Lambert and Sellin (1996) suggested a mixing length approach at the interface, whereas more recently Cao et al. (2006) reformulated flow resistance through lateral integration using a simple and rational function of depth-averaged velocity. Bousmar and Zech (1999) considered the main channel/floodplain momentum transfer proportional to the product of the velocity gradient at the interface times the mass discharge exchanged through this interface due to turbulence. This method, called *EDM*, also requires a

geometrical exchange correction factor and turbulent exchange model coefficient for evaluating discharge.

A simplified version of the *EDM*, called Interactive Divided Channel Method (*IDCM*), was proposed by Huthoff et al. (2008). In *IDCM* lateral momentum is considered negligible and turbulent stress at the interface is assumed to be proportional to the span wise kinetic energy gradient through a dimensionless empirical parameter α . *IDCM* has the strong advantage of using only two parameters, α and the friction factor, f . Nevertheless, as shown in the next section, α depends on the way the original section is divided.

An alternative approach could be to simulate the flow structure in its complexity by using a three-dimensional code for computational fluid dynamics (CFD). In these codes flow is represented both in terms of transport motion (mean flow) and turbulence by solving the Reynolds Averaged Navier Stokes (RANS) equations (Wilcox, 2006) coupled with turbulence models. These models allow closure of the mathematical problem by adding a certain number of additional partial differential transport equations equal to the order of the model. In the field of the simulation of industrial and environmental flows second order models (e.g. k - ϵ and k - ω models) are widely used. Nonetheless, CFD codes need a mesh fine enough to solve the boundary layer (Wilcox, 2006), resulting in a computational cost that can be prohibitive even for river of few km.

In this study two new methods, aimed to represent subsection interactions in a compound channel, are presented. Both methods estimate the discharge as an integral of the vertically averaged velocities. The first method, named "INtegrated Channel Method" (*INCM*), derives from the previous Huthoff formula, which is shown to give results depending on the way the river cross section is discretized in sub-sections. The same dynamic balance adopted by Huthoff is written in differential form, but its diffusive term is weighted according to a ξ coefficient proportional to the local water depth.

The second one, named "local hydraulic radius method" (*LHRM*), derives from the observation that, in the Manning formula, the mean velocity per unit energy gradient is proportional to a power of the hydraulic radius. It should then be possible to get the total discharge as an integral, along the span wise direction, of the elementary values computed around each vertical, using for each elementary value the Manning formula,

but also changing the original hydraulic radius with a "local" one. This "local" hydraulic radius should take into account the effect of the surrounding section geometry, up to a maximum distance which is likely to be proportional to the local water depth, according to an empirical β coefficient. The method gives up the idea of solving the Reynolds equations, due to the uncertainty of its parameters, but relies on the solid grounds of the historical experience of the Manning equation.

The present paper is organized as follows: Two of the most popular approaches adopted for discharge estimation are explained in details, along with the proposed *INCM* and *LHRM* methods. The ξ and β parameters of respectively the *INCM* and *LHRM* methods are then calibrated from available lab experimental data and a sensitivity analysis is carried out. The *INCM* and *LHRM* methods are finally validated according to three different criteria. The first criterion is comparison with other series of the previous laboratory data, not used for calibration. The second criterion is comparison with discharge data measured in one section of the Alzette river Basin (Luxembourg). Because the friction factor is not known a priori, *INCM* and *LHRM* formulas are applied in the context of the indirect discharge estimation method, which simultaneously estimates the friction factor and the discharge hydrograph from the unsteady state water level analysis of two water level hydrographs measured in two different river sections. The third validation criterion is comparison with results of a 3D numerical solver, applied to a small reach of the Alzette river. Conclusions follow.

2 Divided Channel Method (*DCM*) and Interactive Divided Channel Method (*IDCM*)

In the *DCM* method the river section is divided into subsections with uniform velocities and roughness (Chow, 1959). Division is made by vertical lines and no interaction between adjacent subsections is considered. Discharge is obtained by summing the contributions of each subsection, obtained applying of the Manning formula:

$$q = \sum_i q_i = \sum_i \frac{R_i^{2/3} A_i}{n_i} \sqrt{S_f} \quad (1),$$

where q is the total discharge, A_i , R_i and n_i are the area, the hydraulic radius and the Manning's roughness coefficient of each sub section i of a compound channel and S_f is the energy slope of each sub section, assumed constant across the river section.

In order to model the interaction between adjacent subsections of a compound section, the Reynolds and the continuity equations can be coupled (Shiono and Knight, 1991), to get:

$$\rho \frac{\partial}{\partial y} (H \bar{U}_v \bar{V}_d) = \rho g H S_0 + \frac{\partial}{\partial y} (H \bar{\tau}_{xy}) - \tau_b \left(1 + \frac{1}{s^2} \right)^{1/2} \quad (2),$$

where ρ is the water density, g is the gravity acceleration, y is the abscissa according to the lateral direction, U and V are respectively the velocity components along the flow x direction and the lateral y direction, H is the water depth, the sub-index d marks the vertically averaged quantities and the bar the time average along the turbulence period, S_0 is the bed slope, s is the section lateral slope, and τ_b is the bed shear stress. The $\bar{\tau}_{xy}$ turbulent stress is given by the eddy viscosity equation, that is:

$$\bar{\tau}_{xy} = \rho \bar{\varepsilon}_{xy} \frac{\partial U_d}{\partial y} \quad (3a),$$

$$\bar{\varepsilon}_{xy} = \lambda U_* H \quad (3b),$$

where the friction velocity U_* is set equal to:

$$U_* = \left(\frac{f}{8g} \right)^{1/2} U_d \quad (4),$$

and f is the friction factor, depending on the bed material. The analytical solution of Eqs. (2)-(4) can be found only if the left hand side of Eq. (2) is zero, which is equivalent to neglecting secondary flows. Other solutions can only be found by assuming a known Γ value for the lateral derivative. Moreover, λ is another experimental factor depending on the section geometry. The result is that solution of Eq. (2) strongly depends on the choice of two coefficients, λ and Γ , which are additional unknowns with respect to the friction factor f .

In order to reduce to one the number of empirical parameters (in addition to f) Huthoff et al. (2008) proposed the so-called Interactive Divided Channel Method (*IDCM*).

Integration of Eq. (2) over each i^{th} subsection, neglecting the averaged flow lateral momentum, leads to:

$$\rho g A_i S_0 = \rho f_i P_i U_i^2 + \tau_{i+1} H_{i+1} + \tau_i H_i \quad (5),$$

where the left-hand side of Eq.(5) is the gravitational force per unit length, proportional to the density of water ρ , to the gravity acceleration g , to the cross-sectional area A_i , and to the stream wise channel slope S_0 . The terms at the right-hand side are the friction forces, proportional to the friction factor f and to the wet solid boundary P_i , as well as the turbulent lateral momentum on the left and right sides, proportional to the turbulent stress τ and to the water depth H . Turbulent stresses are modelled quite simply as:

$$\tau_{i+1} = \frac{1}{2} \rho \alpha (U_{i+1}^2 - U_i^2) \quad (6),$$

where α is a dimensionless interface coefficient, U_i^2 is the square of the vertically averaged velocity and τ_i is the turbulent stress along the plane between subsection $i-1$ and i . If subsection i is the first (or the last) one, velocity U_{i-1} (or U_{i+1}) is set equal to zero.

Following a wall-resistance approach (Chow, 1959), the friction factor f_i is computed as:

$$f_i = \frac{g n_i^2}{R_i^{1/3}} \quad (7),$$

where n_i is the Manning's roughness coefficient and $R_i (=A_i/P_i)$ is the hydraulic radius of subsection i .

Equations. (5) forms a system with an order equal to the number m of subsections, which is linear in the U_i^2 unknowns. The results are affected by the choice of the α coefficient, which is recommended by Huthoff et al. (2008), on the basis of lab experiments, equal to 0.02. Computation of the velocities U_i makes it easy to estimate discharge q .

IDCM has the main advantage of using only two parameters, the f and α coefficients. On the other hand, it can be easily shown that α , although it is dimensionless, depends on the way the original section is divided. The reason is that the continuous form of Eq. (5) is given by:

$$\rho g \left(HS_0 - \frac{f U^2}{g \cos \theta} \right) = \frac{\partial}{\partial y} (\tau H) \quad (8),$$

where θ is the bed slope in the lateral direction. Following the same approach as the *IDCM*, if we assume the turbulent stress τ to be proportional to both the velocity gradient in the lateral direction and to the velocity itself, we can write the right-hand side of Eq. (8) in the form:

$$\frac{\partial}{\partial y}(\tau H) = \frac{\partial}{\partial y} \left(\frac{\alpha_H}{2} \rho U \frac{\partial U}{\partial y} H \right) \quad (9),$$

and Eq. (8) becomes:

$$\rho \left(g H S_0 - \frac{f U^2}{g \cos \theta} \right) = \frac{\partial}{\partial y} \left(H \frac{\partial}{\partial y} (\alpha_H \rho U^2) \right) \quad (10).$$

In Eq. (10) α_H is no longer dimensionless, but is a length. To get the same Huthoff formula from numerical discretization of Eq. (10), we should set:

$$\alpha_H = 0.02 \Delta y \quad (11),$$

where Δy is the subsection width, i.e. the integration step size. This implies that the solution of Eq. (10), according to the Huthoff formula, depends on the way the equation is discretized and the turbulence stress term on the r.h.s. vanishes along with the integration step size.

3 The new methods

3.1 Integrated Channel Method (INCM)

INCM derives from the *IDCM* idea of evaluating the turbulent stresses as proportional to the gradient of the squared averaged velocities, leading to Eqs. (6) and (10). Observe that dimensionless coefficient α , in the stress computation given by Eq. (6), can be written as the ratio between α_H and the distance between verticals i and $i+1$. For this reason, coefficient α_H can be thought of as a sort of mixing length, related to the scale of the vortices with horizontal axes. *INCM* assumes the optimal α_H to be proportional to the local water depth, because water depth is at least an upper limit for this scale, and the following relationship is applied:

$$\alpha_H = \xi H \quad (12),$$

where ξ is an empirical coefficient to be further estimated.

3.2 Local hydraulic radius method (LHRM)

LHRM derives from the observation that, in the Manning equation, the average velocity is set equal to:

$$V = \frac{R^{2/3}}{n} \sqrt{S_0} \quad (13),$$

and has a one-to-one relationship with the hydraulic radius. In this context the meaning of a global parameter, measuring the interactions of the particles along all the section as the ratio between an area and a length. The inconvenience is that, according to Eq. (13), the vertically averaged velocities in points very far from each other remain linked anyway, because the infinitesimal area and the infinitesimal length around two verticals are summed to the numerator and to the denominator of the hydraulic radius independently from the distance between the two verticals. To avoid this, *LHRM* computes the discharge as an integral of the vertically averaged velocities, in the following form:

$$q = \int_0^L h(y) U(y) dy \quad (14),$$

where U is set equal to:

$$U = \frac{\mathfrak{R}_l^{2/3}}{n} \sqrt{S_0} \quad (15),$$

and \mathfrak{R}_l is defined as local hydraulic radius, computed as:

$$\mathfrak{R}_l(y) = \frac{\int_a^b h(s) N(y, s) ds}{\int_a^b N(y, s) \sqrt{ds^2 + dz^2}} \quad (16a),$$

$$a = \max(0, y - \beta h) \quad (16b),$$

$$b = \min(L, y + \beta h) \quad (16c),$$

where z is the topographic elevation (function of s), β is an empirical coefficient and L is the section top width. Moreover $N(y, s)$ is a shape function where:

$$N(y, s) = \begin{cases} -\frac{[y - \beta h(y)] - s}{\beta h(y)} & \text{if } a < s < y \\ \frac{[y - \beta h(y)] - s}{\beta h(y)} & \text{if } b > s > y \\ 0 & \text{otherwise} \end{cases}$$

(17).

Equations (17) show how the influence of the section geometry, far from the abscissa y , continuously decreases up to a maximum distance, which is proportional to the water depth according to an empirical positive coefficient β . After numerical discretization, Eqs (14)-(17) can be solved to get the unknown q , as well as the vertically averaged velocities in each subsection. If β is close to zero and the size of each subsection is common to both formulas, *LHRM* is equivalent to *DCM*; if β is very large *LHRM* is equivalent to the traditional Manning formula. In the following, β is calibrated using experimental data available in the literature. A sensitivity analysis is also carried out, to show that the estimated discharge is only weakly dependent on the choice of the β coefficient, far from its possible extreme values.

3.3 Evaluation of the ξ and β parameters by means of lab experimental data

INCM and *LHRM* parameters were calibrated by using data selected from six series of experiments run at the large scale Flood Channel Facility (FCF) of HR Wallingford (UK), (Knight and Sellin, 1987; Shiono and Knight, 1991; Ackers, 1993), as well as from four series of experiments run in the small-scale experimental apparatus of the Civil Engineering Department at the University of Birmingham (Knight and Demetriou, 1983). The FCF series were named F1, F2, F3, F6, F8 and F10; the Knight and Demetriou series were named K1, K2, K3 and K4. Series F1, F2, and F3 covered different floodplain widths, while series F2, F8, and F10 kept the floodplain widths constant, but covered different main channel side slopes. Series F2 and F6 provided a comparison between the symmetric case of two floodplains and the asymmetric case of a single floodplain. All the experiments of Knight and Demetriou (1983) were run with a vertical main channel wall, but with different B/b ratios. The series K1 has $B/b = 1$ and its section is simply rectangular. The B/b ratio, for Knight's experimental apparatus, was varied by adding an adjustable side wall to each of the

floodplains either in pairs or singly to obtain a symmetrical or asymmetrical cross section. The geometric and hydraulic parameters are shown in Table 1; all notations of the parameters can be found in Fig. 1 and S_0 is the bed slope. The subscripts "mc" and "fp" of the side slope refer to the main channel and floodplain, respectively. Perspex was used for both main flume and floodplains in all tests. The related Manning roughness is $0.01 \text{ m}^{-1/3}\text{s}$.

The experiments were run with several channel configurations, differing mainly for floodplain geometry (widths and side slopes) and main channel side slopes (see Table 1). The K series were characterised by vertical main channel walls. More information concerning the experimental setup can be found in Table 1 (Knight and Demetriou, 1983; Knight and Sellin, 1987; Shiono and Knight, 1991).

Four series, named F1, F2, F3 and F6, were selected for calibration of the β coefficient, using the Nash Sutcliffe (NS) index of the measured and the computed flow rates as a measure of the model's performance (Nash and Sutcliffe, 1970).

The remaining three series, named F2, F8 and F10, plus four series from Knight and Demetriou, named K1, K2, K3 and K4, were used for validation (no.) 1, as reported in the next section. NS is given by:

$$NS = \left[1 - \frac{\sum_{j=1,2} \sum_{i=1,N_j} \sum_{K=1,M_{N_j}} (q_{i,j,k}^{obs} - q_{i,j,k}^{sim})^2}{\sum_{j=1,2} \sum_{i=1,N_j} \sum_{K=1,M_{N_j}} (q_{i,j,k}^{obs} - \overline{q_{i,j,k}^{obs}})^2} \right] \quad (18),$$

where N_j is the number of series, M_{N_j} is the number of tests for each series, $q_{i,j,k}^{sim}$ and $q_{i,j,k}^{obs}$ are respectively the computed and the observed discharge ($j = 1$ for the FCF series and $j = 2$ for the Knight series; i is the series index and K is the water depth index). $\overline{q_{i,j,k}^{obs}}$ is the average value of the measured discharges.

Both ξ and β parameters were calibrated by maximizing the Nash Sutcliffe (NS) index, computed using all the data of the four series used for calibration. See the NS versus ξ and β curves in Figs. 2a and 2b.

Calibration provides optimal ξ and β coefficients respectively equal to 0.08 and 9. The authors will show in the next sensitivity analysis that even a one-digit approximation of the ξ and β coefficients provides a stable discharge estimation.

3.4 Sensitivity analysis

We carried out a discharge sensitivity analysis of both new methods using the computed $\xi = 0.08$ and $\beta=9$ optimal values and the data of the F2 and K4 series. Sensitivities were normalized in the following form:

$$I_s = \frac{1}{q_{INCM}} \frac{\Delta q}{\Delta \xi} \quad (19),$$

$$L_s = \frac{1}{q_{LHRM}} \frac{\Delta q}{\Delta \beta} \quad (20),$$

where Δq is the difference between the discharges computed using two different β and ξ values. The assumed perturbations " $\Delta\beta$ " and " $\Delta\xi$ " are respectively $\Delta\beta = 0.001 \beta$, $\Delta\xi = 0.001 \xi$.

The results of this analysis are shown in Table 2a for the F2 series, where H is the water depth and Q_{meas} the corresponding measured discharge.

They show very low sensitivity of both the *INCM* and *LHRM* results, such that a one digit approximation of both model parameters (ξ and β) should guarantee a computed discharge variability of less than 2%.

The results of the sensitivity analysis, carried out for series K4 and shown in Table 2b, are similar to the previous ones computed for F2 series.

4 Validation criterion

4.1 Validation n.1 - Comparison with laboratory experimental data

A first validation of the two methods was carried out by using the calibrated parameter values, the same Nash-Sutcliffe performance measure and all the available experimental series. The results were also compared with results of *DCM* and *IDCM* methods, the latter applied using the suggested $\alpha = 0.02$ value and five subsections, each one corresponding to a different bottom slope in the lateral y direction. The NS index for all data series is shown in Table 3.

The *DCM* results are always worse and are particularly bad for all the K series. The results of both the *IDCM* and *INCM* methods are very good for the two F series not used for calibration, but are both poor for the K series. The *LHRM* method is always the best and also performs very well in the K series. The reason is probably that the K

series tests have very low discharges, and the constant $\alpha=0.02$, the coefficient adopted in the *IDCM* method, does not fit the size of the subsections and Eq. (12) is not a good approximation of the mixing length α_H in Eq. (11) for low values of the water depth. In Figs. 3a and 3b the NS curves obtained by using *DCM*, *IDCM*, *INCM* and *LHRM*, for series F2 and K4, are shown.

4.2 Validation n.2 - Comparison with field data

Although rating curves are available in different river sites around the world, field validation of the uniform flow formulas is not easy, for at least two reasons:

- 1) The average friction factor f and the related Manning's coefficient are not known as in the lab case and the results of all the formulas need to be scaled according to the Manning's coefficient to be compared with the actually measured discharges;
- 2) River bed roughness does change, along with the Manning's coefficient, from one water stage to another (it usually increases along with the water level).

A possible way to circumvent the problem is to apply the compared methods in the context of a calibration problem, where both the average Manning's coefficient and the discharge hydrograph are computed from the known level hydrographs measured in two different river cross sections (Perumal et al., 2007; Aricò et al., 2009). The authors solved the diffusive wave simulation problem using one known level hydrograph as the upstream boundary condition and the second one as the benchmark downstream hydrograph for the Manning's coefficient calibration.

It is well-known in the parameter estimation theory (Aster et al., 2012) that the uncertainty of the estimated parameters (in our case the roughness coefficient) grows quickly with the number of parameters, even if the matching between the measured and the estimated model variables (in our case the water stages in the downstream section) improves. The use of only one single parameter over all the computational domain is motivated by the need of getting a robust estimation of the Manning's coefficient and of the corresponding discharge hydrograph.

Although the accuracy of the results is restricted by several modeling assumptions, a positive indication about the robustness of the simulation model (and the embedded relationship between the water depth and the uniform flow discharge) is given by: 1) the match between the computed and the measured discharges in the upstream

section, 2) the compatibility of the estimated average Manning's coefficient with the site environment.

The area of interest is located in the Alzette River basin (Gran-Duchy of Luxembourg) between the gauged sections of Pfaffenthal and Lintgen (Fig. 4). The river reach length is about 19 km, with a mean channel width of ~30 m and an average depth of ~4 m. The river meanders in a relatively large and flat plain about 300 m, with a mean slope of ~0.08%.

The methodology was applied to a river reach 13 Km long, between two instrumented sections, Pfaffenthal (upstream section) and Hunsdorf (downstream section), in order to have no significant lateral inflow between the two sections.

Events of January 2003, January 2007 and January 2011 were analysed. For these events, stage records and reliable rating curves are available at the two gauging stations of Pfaffenthal and Hunsdorf. The main hydraulic characteristics of these events, that is duration (Δt), peak water depth (H_{peak}) and peak discharge (q_{peak}), are shown in Table 4.

In this area a topographical survey of 125 river cross sections was available. The hydrometric data were recorded every 15 min. The performances of the discharge estimation procedures were compared by means of the Nash Sutcliffe criterion.

The results of the *INCM* and *LHRM* methods were also compared with those of the *DCM* and *IDCM* methods, the latter applied by using $\alpha = 0.02$ and an average subsection width equal to 7 m. The computed average Manning's coefficients n_{opt} , reported in Table 5, are all consistent with the site environment, although they attain very large values, according to *DCM* and *IDCM*, in the 2011 event.

The estimated and observed dimensionless water stages in the Hunsdorf gauged site, for 2003, 2007 and 2011 events are shown in Figs. 5-7.

Only the steepest part of the rising limb, located inside the colored window of each Figure, was used for calibration. The falling limb is not included, since it has a lower slope and is less sensitive to the Manning's coefficient value.

A good match between recorded and simulated discharge hydrographs can be observed (Figs.8-10) in the upstream gauged site for each event.

For all investigated events the Nash Sutcliffe efficiency NS_q is greater than 0.90, as shown in Table 6.

The error obtained between measured and computed discharges, with all methods, is of the same order of the discharge measurement error. Moreover, this measurement error is well known to be much larger around the peak flow, where the estimation error has a larger impact on the NS coefficient. The NS coefficients computed with the *LHRM* and *INCM* methods are anyway a little better than the other two.

4.3 Validation n.3 - Comparison with results of 3D ANSYS CFX solver

The vertically averaged velocities computed using *DCM*, *IDCM*, *INCM* and *LHRM* were compared with the results of the well known ANSYS 3D code, named CFX, applied to a prismatic reach with the irregular cross-section measured at the Hunsdorf gauged section of the Alzette river. The length of the reach is about four times the top width of the section.

In the homogeneous multiphase model adopted by CFX, water and air are assumed to share the same dynamic fields of pressure, velocity and turbulence and water is assumed to be incompressible. CFX solves the conservation of mass and momentum equations, coupled with the air pressure-density relationship and the global continuity equation in each node. Call α_l , ρ_l , μ_l and \mathbf{U}_l respectively the volume fraction, the density, the viscosity and the time averaged value of the velocity vector for phase l ($l = w$ (water), a (air)), that is:

$$\rho = \sum_{l=w,a} \alpha_l \rho_l \quad (21a),$$

$$\mu = \sum_{l=w,a} \alpha_l \mu_l \quad (21b),$$

where ρ and μ are the density and the viscosity of the “averaged” phase. The air density is assumed to be a function of the pressure p , according to the state equation:

$$\rho_a = \rho_{a,0} e^{\gamma(p-p_0)} \quad (21c),$$

where the sub-index 0 marks the reference state values and γ is the air compressibility coefficient.

The governing equations are the following: 1) the mass conservation equation, 2) the Reynolds averaged continuity equation of each phase and 3) the Reynolds averaged momentum equations. Mass conservation implies:

$$\sum_{l=w,a} \alpha_l = 1 \quad (22).$$

The Reynolds averaged continuity equation of each phase l can be written as:

$$\frac{\partial \rho_l}{\partial t} + \nabla \cdot (\rho_l \mathbf{U}) = S_l \quad (23),$$

where S_l is an external source term. The momentum equation instead refers to the “averaged” phase and is written as:

$$\frac{\partial (\rho \mathbf{U})}{\partial t} + \nabla \cdot (\rho \mathbf{U} \otimes \mathbf{U}) - \nabla \cdot (\mu_{eff} (\nabla \mathbf{U} + (\nabla \mathbf{U})^T)) + \nabla p' = S_M \quad (24),$$

where \otimes is the dyadic symbol, S_M is the momentum of the external source term S , and μ_{eff} is the effective viscosity accounting for turbulence and defined as:

$$\mu_{eff} = \mu + \mu_t \quad (25),$$

where μ_t is the turbulence viscosity and p' is the modified pressure, equal to:

$$p' = p + \frac{2}{3} \rho k + \frac{2}{3} \mu_{eff} \nabla \cdot \mathbf{U} \quad (26),$$

where k is the turbulence kinetic energy, defined as the variance of the velocity fluctuations and p is the pressure. Both phases share the same pressure p and the same velocity \mathbf{U} .

To close the set of six scalar equations (Eq.22, Eq.23 (two) and Eq.24 (three)), we finally apply the k - ε turbulence model implemented in the CFX solver. The implemented turbulence model is a two equation model, including two extra transport equations to represent the turbulent properties of the flow.

Two-equation models account for history effects like convection and diffusion of turbulent energy. The first transported variable is turbulent kinetic energy, k ; the second transported variable is the turbulent dissipation, ε . The K-epsilon model has been shown (Jones, 1972; Launder, 1974) to be useful for free-shear layer flows with relatively small pressure gradients. Similarly, for wall-bounded and internal flows, the model gives good results, but only in cases where the mean pressure gradients are small.

The computational domain was divided using both tetrahedral and prismatic elements (Fig. 11). The prismatic elements were used to discretize the computational domain in the near-wall region over the river bottom and the boundary surfaces, where a

boundary layer is present, while the tetrahedral elements were used to discretize the remaining domain. The number of elements and nodes, in the mesh used for the specific case are of the order respectively $4 \cdot 10^6$ and $20 \cdot 10^6$.

A section of the mesh is shown in Fig.12. The quality of the mesh was verified by using a pre-processing procedure by ANSYS® ICEM CFD™ (Ansys inc.,2006).

The six unknowns in each node are the pressure, the velocity components, and the volume fractions of the two phases. At each boundary node three of the first four unknowns have to be specified. In the inlet section a constant velocity, normal to the section, is applied, and the pressure is left unknown. In the outlet section the hydrostatic distribution is given, the velocity is assumed to be still normal to the section and its norm is left unknown. All boundary conditions are reported in Table 7.

The opening condition means that that velocity direction is set normal to the surface, but its norm is left unknown and a negative (entering) flux of both air and water is allowed. Along open boundaries the water volume fraction is set equal to zero. The solution of the problem converges towards two extremes: nodes with zero water fraction, above the water level, and nodes with zero air fraction below the water level. On the bottom boundary, between the nodes with zero velocity and the turbulent flow a boundary layer exists that would require the modelling of micro scale irregularities. CFX allows the use, inside the boundary layer, of a velocity logarithmic law, according to an equivalent granular size. The relationship between the granular size and the Manning's coefficient, according to Yen (1994), is given by:

$$d_{50} = \left(\frac{n}{0.0474} \right)^6 \quad (27),$$

where d_{50} is the average granular size to be given as the input in the CFX code.

Observe that the assumption of known and constant velocity directions in the inlet and outlet section is a simplification of reality. For this reason, a better reconstruction of the velocity field can be found in an intermediate section, where secondary currents with velocity components normal to the mean flow direction can be easily detected (Peters and Goldberg, 1989, Richardson and Colin, 1996). See in Fig. 13 how the intermediate section was divided to compute the vertically averaged velocities in each segment section and, in Fig.14, the velocity components tangent to the cross section plane.

These 3D numerical simulations confirm that the momentum Γ , proportional to the derivative of the average tangent velocities and equivalent to the left hand side of Eq. 2, cannot be set equal to zero, if a rigorous reconstruction of the velocity field is sought after.

To compute the uniform flow discharge, for a given outlet section, CFX code is run iteratively, each time with a different velocity norm in the inlet section, until the same water depth as in the outlet section is attained in the inlet section for steady state conditions. Stability of the results has been checked against the variation of the length of the simulated channel. The dimensionless sensitivity of the discharge with respect to the channel length is equal to 0.2%.

See in Table 8 the comparison between the vertically averaged state velocities, computed through the *DCM*, *IDCM*, *INCM*, *LHRM* formulas (u_{DCM} , u_{IDCM} , u_{INCM} , u_{LHRM}) and through the CFX code (u_{CFX}). Table 9 also shows the relative difference, Δu , evaluated as:

$$\Delta u = \frac{u - u_{CFX}}{u_{CFX}} \times 100 \quad (28),$$

As shown in Table 8, both *INCM* and *LHRM* perform very well in this validation test instead of *DCM*, which clearly overestimates averaged velocities. In the central area of the section the averaged velocities calculated by the *INCM*, *LHRM* and CFX code are quite close with a maximum difference $\sim 7\%$. By contrast, larger differences are evident close to the river bank, in segments 1 and 9, where *INCM* and *LHRM* underestimate the CFX values. These larger differences show the limit of using a 1D code. Close to the bank the wall resistance is stronger and the velocity field is more sensitive to the turbulent exchange of energy with the central area of the section, where higher kinetic energy occurs. Thanks to the simulation of secondary flows (see Fig. 14) CFX allows this exchange and the related mixing. However, because the near-bank subsections are characterised by small velocities, their contribution to the global discharge is relatively small.

5 Conclusions

Two new methods have been proposed for uniform flow discharge estimation. The first method, named *INCM*, develops from the original *IDCM* method and it is shown to perform better than the previous one, with the exception of lab tests with very

small discharge values. The second one, named *LHRM*, has empirical bases, and gives up the ambition of estimating turbulent stresses, but has the following important advantages:

1. It relies on the use of only two parameters: the friction factor f (or the corresponding Manning's coefficient n) and a second parameter β which on the basis of the available laboratory data was estimated to be equal to 9.
2. The β coefficient has a simple and clear physical meaning: the correlation distance, measured in water depth units, of the vertically averaged velocities between two different verticals of the river cross-section.
3. The sensitivity of the results with respect to the model β parameter was shown to be very low, and a one digit approximation is sufficient to get a discharge variability less than 2%. A fully positive validation of the method was carried out using lab experimental data, as well as field discharge and roughness data obtained by using the unsteady-state level analysis proposed by Aricò et al. (Aricò et al., 2009) and applied to the Alzette river, in the grand Duchy of Luxembourg.
4. Comparison between the results of the CFX 3D turbulence model and the *LHRM* model shows a very good match between the two computed total discharges, although the vertically averaged velocities computed by the two models are quite different near to the banks of the river.

Acknowledgements

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Notation

A_i = area of each subsection "i" of a compound channel

B = top width of compound channel

b = main channel width at bottom

f = friction factor

g = gravity acceleration

Uniform flow formulas for irregular sections in straight channels

578 H = total depth of a compound channel

579 n_{mc} , n_{fp} = Manning's roughness coefficient for the main channel and floodplain,
580 respectively

581 P_i = wetted perimeter of each subsection "i" of a compound channel

582 Q_{meas} = measured discharge

583 R_i = hydraulic radius of each subsection "i" of a compound channel

584 S_0 = longitudinal channel bed slope

585 S_f = energy slope

586 τ = turbulent stress

587 ϵ = turbulent dissipation

588 ρ = fluid density

589 μ = fluid viscosity

590 α = *IDCM* interface coefficient

591 β = *LHRM* coefficient

592 ξ = *INCM* coefficient

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Uniform flow formulas for irregular sections in straight channels

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756

757 Table 1 Geometric and Hydraulic Laboratory Parameters of the experiment series.

Series	S_0 [‰]	h [m]	B [m]	b_4 [m]	b_1 [m]	b_3 [m]	S_{fp} [-]	S_{mc} [-]
F1					4.1	4.100	0	1
F2					2.25	2.250	1	1
F3					0.75	0.750	1	1
F6	1.027	0.15	1.8	1.5	2.25	0	1	1
F8					2.25	2.250	1	0
F10					2.25	2.250	1	2
K1					0.229	0.229		
K2					0.152	0.152		
K3	0.966	0.08	0.15	0.152	0.076	0.076	0	0
K4					-	-		

758

759 Table 2a Sensitivities I_s and L_s computed in the F2 series for the optimal parameter
760 values.

H [m]	Q_{meas} [m ³ s ⁻¹]	I_s	L_s
0.156	0.212	0.2209	0.2402
0.169	0.248	0.1817	0.2194
0.178	0.282	0.1651	0.2044
0.187	0.324	0.1506	0.1777
0.198	0.383	0.1441	0.1584
0.214	0.480	0.1305	0.1336
0.249	0.763	0.1267	0.1320

761

762 Table 2b Sensitivities I_s and L_s computed in the K4 series for the optimal parameter
763 values.

H [m]	Q_{meas} [m ³ s ⁻¹]	I_s	L_s
0.085	0.005	0.3248	0.3282
0.096	0.008	0.2052	0.2250
0.102	0.009	0.1600	0.1709
0.114	0.014	0.1354	0.1372

0.127	0.018	0.1174	0.1208
0.154	0.029	0.0851	0.0866

Table 3 Nash-Sutcliffe Efficiency for all (calibration and validation) experimental series.

	Series	<i>DCM</i>	<i>IDCM</i>	<i>INCM</i>	<i>LHRM</i>
Calibration Set	<i>F1</i>	0.7428	0.9807	0.9847	0.9999
	<i>F2</i>	0.6182	0.9923	0.9955	0.9965
	<i>F3</i>	0.7219	0.9744	0.9261	0.9915
	<i>F6</i>	0.7366	0.9733	0.9888	0.9955
Validation Set	<i>F8</i>	-0.0786	0.9881	0.9885	0.9964
	<i>F10</i>	-0.0885	0.9965	0.9975	0.9978
	<i>K1</i>	-14.490	-0.7007	-8.2942	0.9968
	<i>K2</i>	-0.9801	0.3452	-1.8348	0.9619
	<i>K3</i>	0.1762	0.6479	-0.3944	0.9790
	<i>K4</i>	0.2878	0.888	0.3548	0.9958

Table 4 Main characteristics of the flood events at the Pfaffenthal and Hunsdorf gauged sites.

Event	Δt [h]	Pfaffenthal Hunsdorf			
		H_{peak} [m]	q_{peak} [m ³ s ⁻¹]	H_{peak} [m]	Q_{peak} [m ³ s ⁻¹]
January 2003	380	3.42	70.98	4.52	67.80
January 2007	140	2.90	53.68	4.06	57.17
January 2011	336	3.81	84.85	4.84	75.10

777 Table 5 Optimum roughness coefficient, n_{opt} , for the three flood events.

Event	<i>DCM</i> n_{opt} [sm ^{-1/3}]	<i>IDCM</i> n_{opt} [sm ^{-1/3}]	<i>INCM</i> n_{opt} [sm ^{-1/3}]	<i>LHRM</i> n_{opt} [sm ^{-1/3}]
January 2003	0.054	0.047	0.045	0.045
January 2007	0.051	0.047	0.046	0.045
January 2011	0.070	0.070	0.057	0.055

778

779 Table 6 Nash-Sutcliffe efficiency of estimated discharge hydrographs for the analysed
780 flood events.

Event	<i>DCM</i> NS_q [-]	<i>IDCM</i> NS_q [-]	<i>INCM</i> NS_q [-]	<i>LHRM</i> NS_q [-]
January 2003	0.977	0.987	0.991	0.989
January 2007	0.983	0.988	0.989	0.992
January 2011	0.898	0.899	0.927	0.930

781

782 Table 7 Boundary conditions assigned in the CFX simulation.

783

Geometry Face	Boundary Condition
Inlet	All velocity components
Outlet	Velocity direction and hydrostatic pressure distribution
Side-Walls	Opening
Top	Opening
Bottom	No-slip wall condition, with roughness given by equivalent granular size d_{50} .

784

785 Table 8 Simulated mean velocities in each segment section using 1D hydraulic
786 models with *DCM*, *IDCM*, *INCM*, *LHRM* and *CFX*, and corresponding differences.

Subsectio	u_{CF}	u_{DC}	u_{IDC}	u_{INC}	u_{LHR}	Δu_{DC}	Δu_{IDC}	Δu_{INC}	Δu_{LHR}
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Uniform flow formulas for irregular sections in straight channels

n	X	M	M	M	M	M	M	M	M
	[ms ⁻¹]	[ms ⁻¹]	[ms ⁻¹]	[ms ⁻¹]	[ms ⁻¹]	[%]	[%]	[%]	[%]
	1.3								-
1	3	1.58	1.47	1.23	1.12	18.79	10.52	-7.52	8
	1.3								
2	7	1.42	1.4	1.36	1.38	3.65	2.19	-0.73	0.73
	1.3								
3	8	1.53	1.48	1.38	1.4	10.87	7.25	0	1.45
	1.4								
4	7	1.64	1.6	1.56	1.57	11.56	8.84	6.13	6.80
	1.5								
5	3	1.94	1.8	1.59	1.61	26.79	17.65	3.92	5.23
	1.5								
6	7	2.01	1.81	1.6	1.68	28.02	15.29	1.91	7.00
	1.4								
7	6	1.66	1.65	1.49	1.5	13.69	13.01	2.05	2.74
	1.4								
8	2	1.48	1.46	1.44	1.43	4.22	2.82	1.40	0.70
									-
	0.8								21.5
9	8	0.91	0.90	0.70	0.69	3.40	2.27	-20.45	9

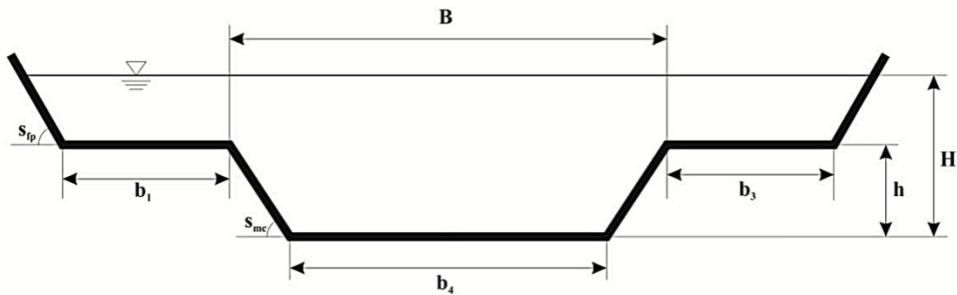


Figure 1 Compound channel geometric parameters.

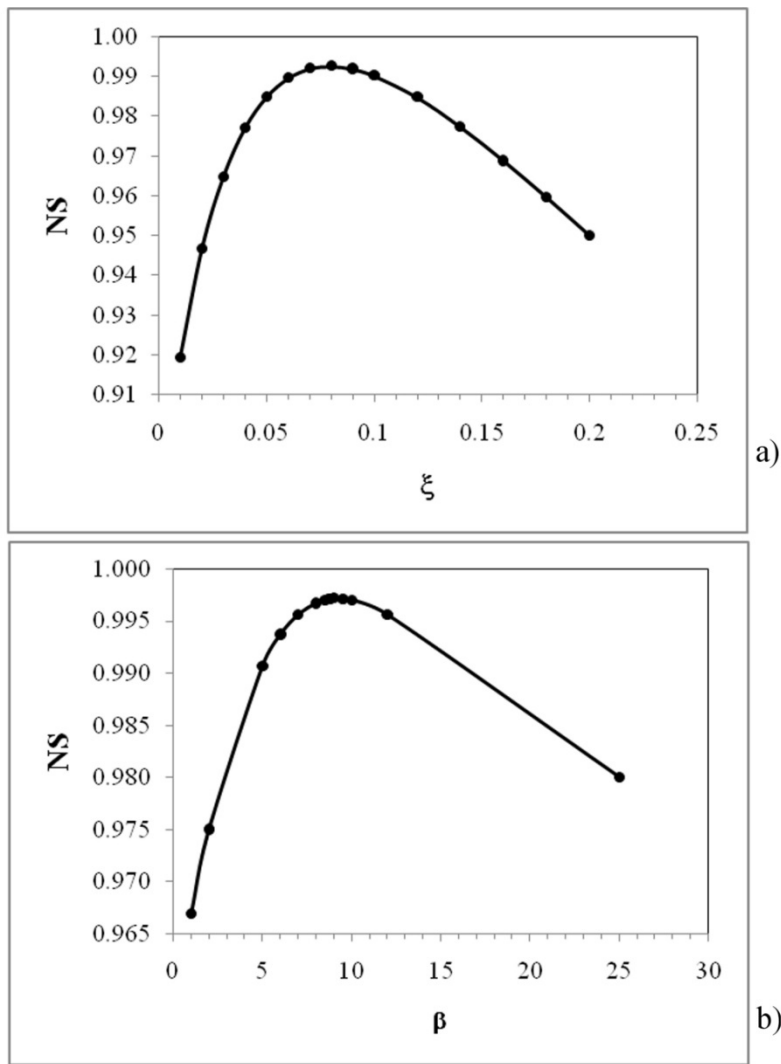


Figure 2 NS versus ξ and β curves respectively for *INCM* (a) and *LHRM* (b) methods.

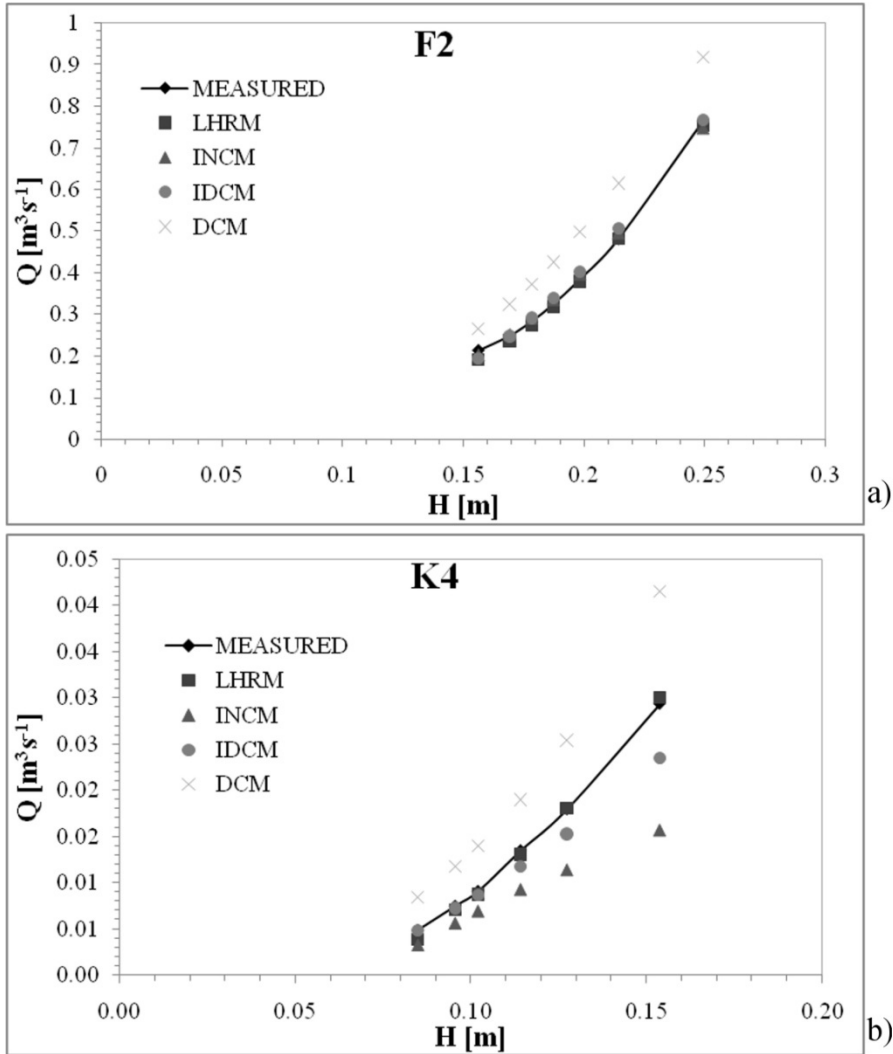
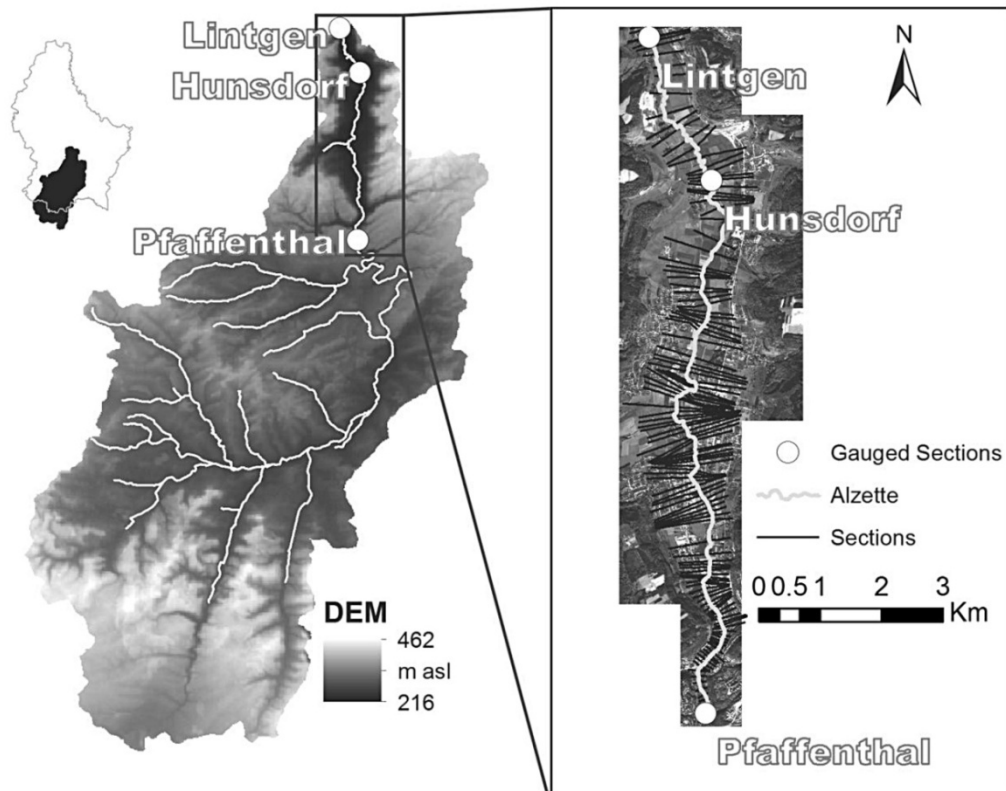
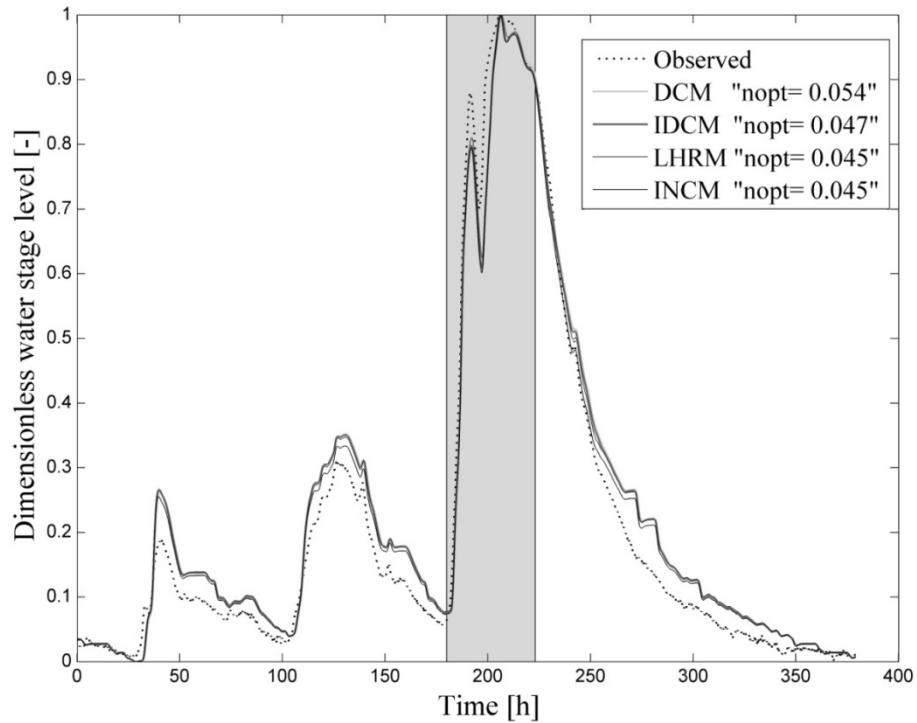


Figure 3 Estimated discharge values against HR Wallingford FCF measures for F2 (a) and K4 (b) series.



796

797 Figure 4 The Alzette Study Area.



798

799 Figure 5 Observed and simulated stage hydrographs at Hunsdorf gauged site in the
800 event of January 2003.

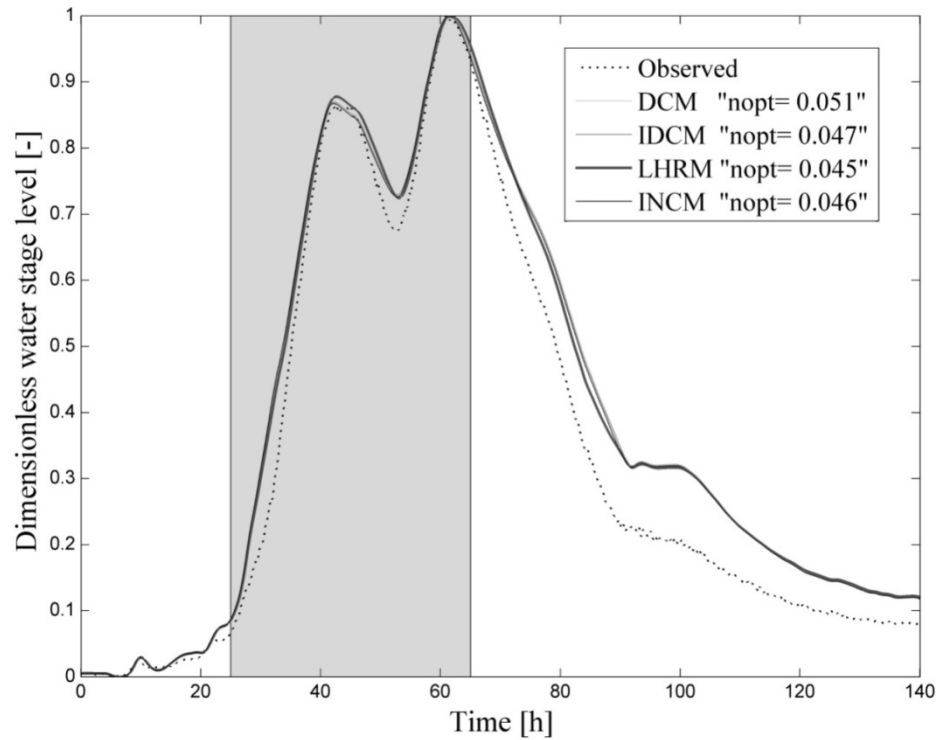


Figure 6 Observed and simulated stage hydrographs at Hunsdorf gauged site in the event of January 2007.

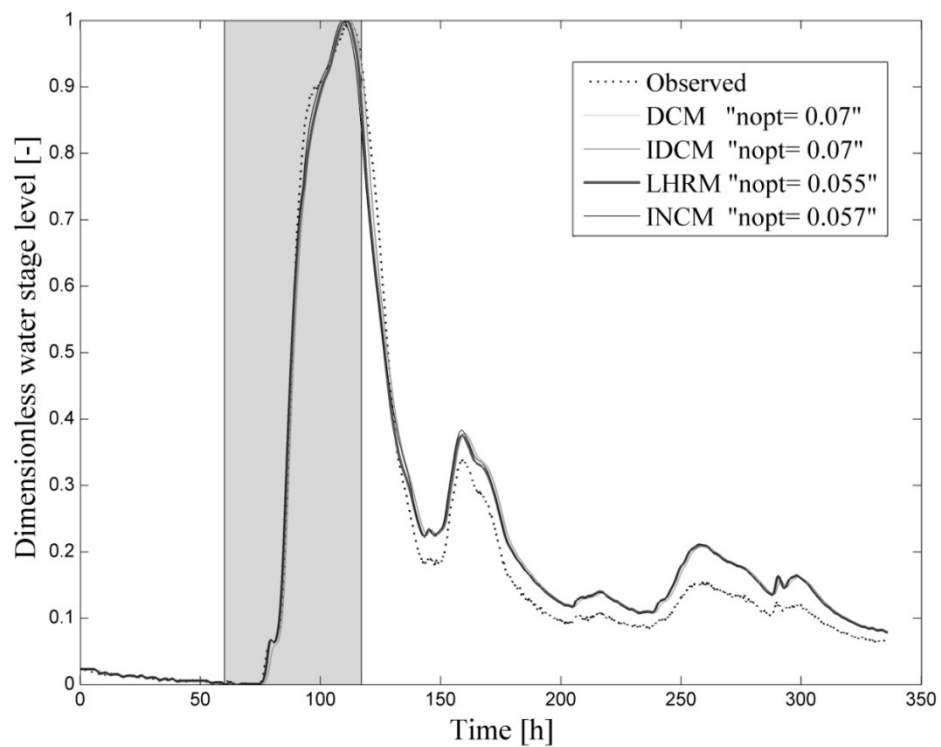


Figure 7 Observed and simulated stage hydrographs at Hunsdorf gauged site in the event of January 2011.

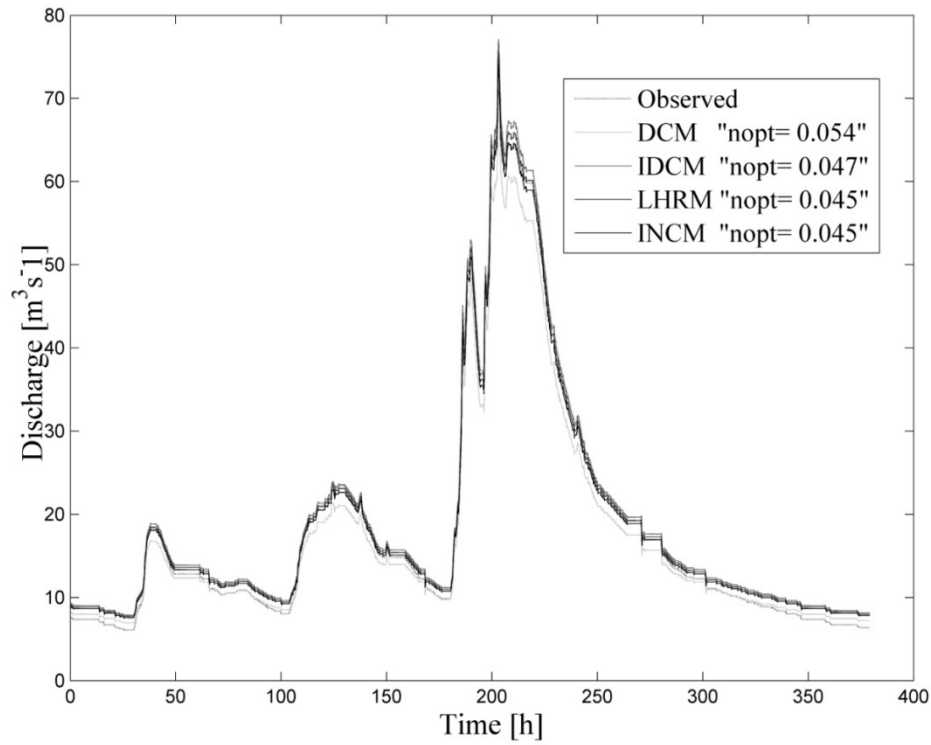


Figure 8 Observed and simulated discharge hydrographs at Hunsdorf gauged site in the event of January 2003.

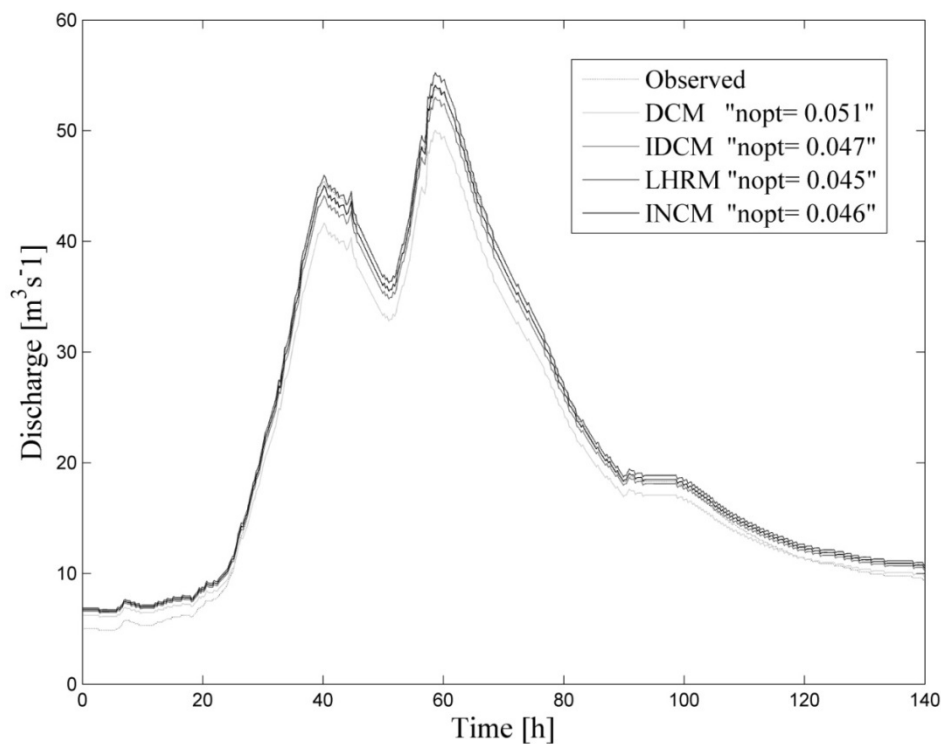


Figure 9 Observed and simulated discharge hydrographs at Hunsdorf gauged site in the event of January 2007.

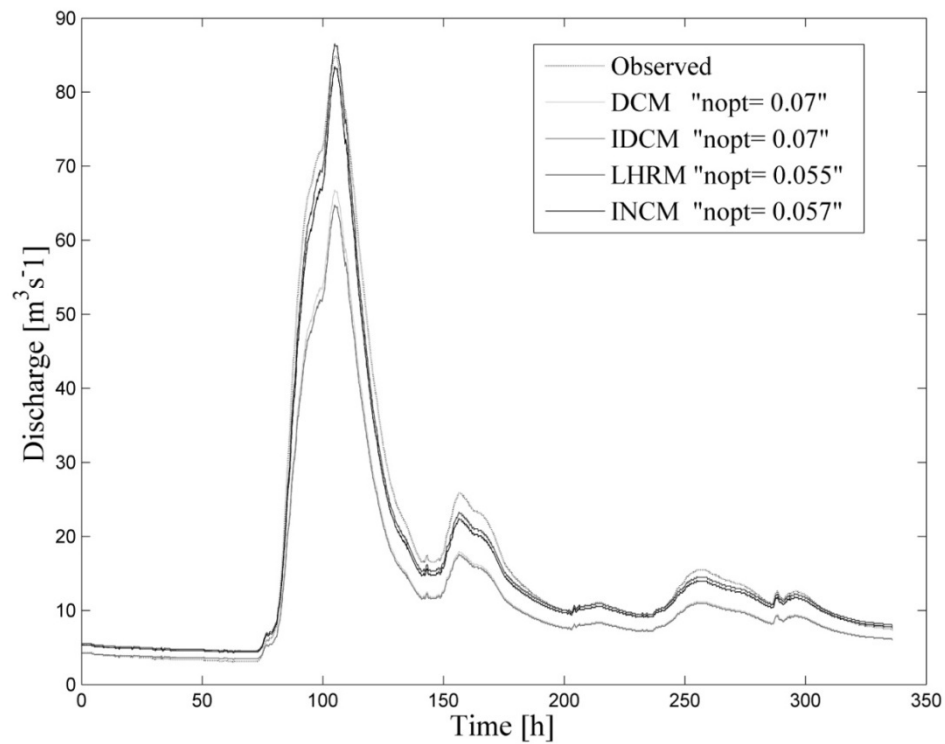


Figure 10 Observed and simulated discharge hydrographs at Hunsdorf gauged site in the event of January 2011.

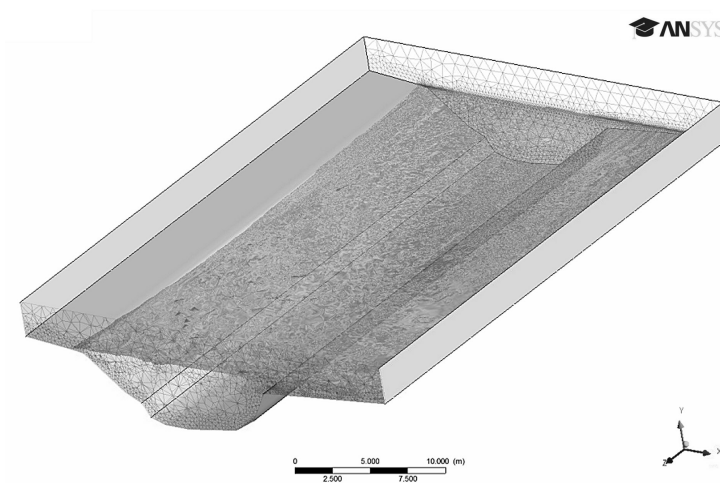


Figure 11 Computational domain of the reach of the Alzette river.

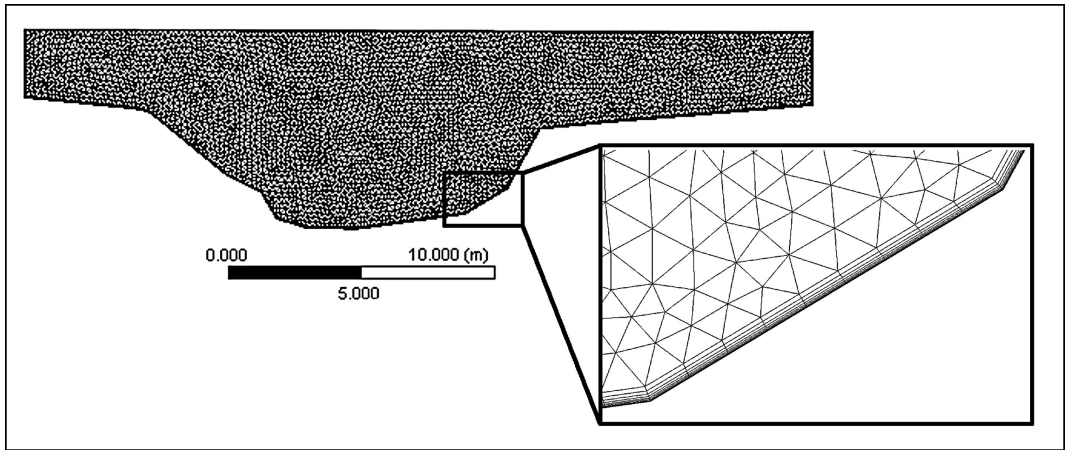


Figure 12 A mesh section along the inlet surface.

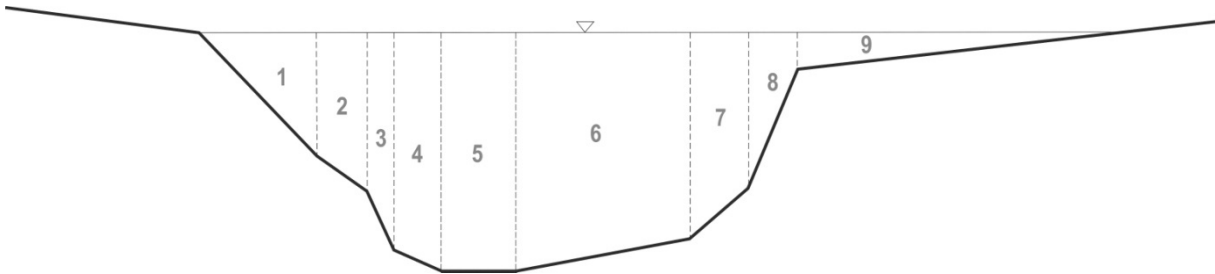


Figure 13 Hunsdorf river cross-section: subsections used to compute the vertically averaged velocities.

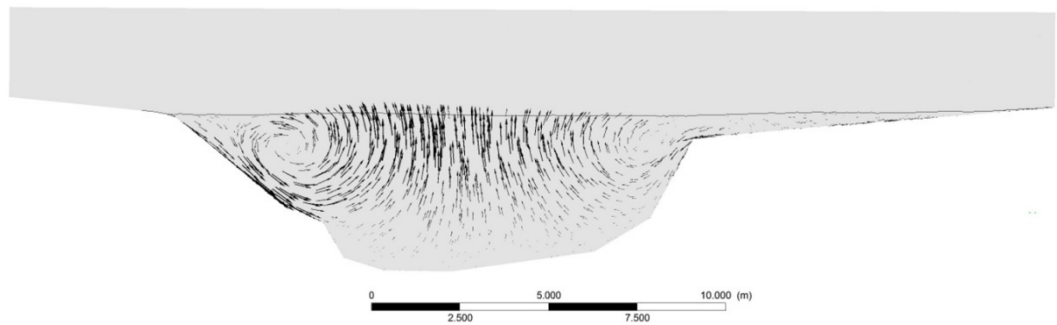


Figure 14 Secondary flow inside the intermediate cross section.