

Comparison of methods for separating flood frequency of reservoir by sub-seasons

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Abstract

The development of separate flood frequency distributions for different sub-seasons within a year can be useful for protection, storage and utilization of flood flows for the reservoir operation management. This paper applies conventional statistical method, fractal method and the mixed Von Mises distribution to the separation of flood sub-seasons for inflows to Hongfeng Reservoir in China. Design floods are found for different sub-seasons, along with flood control levels for flood regulation. The flood season is divided into four sub-seasons using the fractal method: the pre-rainy season (May), main-flood season (June and July), late-flood season I (August) and late-flood season II (September). The mixed Von Mises distribution method accounts for the general flood pattern and combines August and September as one late-flood season, for three sub-seasons with different frequency distributions. The flood regulation calculation results show little difference between the control water levels in August and September, so the two can be combined into one period.

Due to flood regulation and generation calculation, varied sub-season flood limited water level are able to obtain more economic benefits without decreasing the original flood prevention standard. Therefore, flood season separation is significant in calculating design floods of different stages and determining flood control levels, allowing better reservoir operation within different flood sub-seasons.

1 Introduction

Increasing water demands have intensified water scarcity in China. Reservoirs have a significant role in resolving the tension between the water supply and demand. To fully use

1 flood resources and reduce water shortages, many researchers propose increased "floodwater
2 utilization" (Cao, 2004). Floodwater utilization focuses on effective flood management
3 through analyzing seasonal variation of floods with flood-control safety, where reasonable
4 separation of the flood season is a key for better benefit. Regulation for calculating design
5 flood of water resources and hydropower projects of China requires that flood season
6 separation should consider the design requirements of projects, and have appropriate flood
7 timing according to seasonal varying flood patterns. This means design floods of different
8 sub-seasons should be calculated based on flood characteristics for project design for practical
9 construction and operation. However, according to the Chinese Flood Control Act, the
10 reservoir flood limited water level which is determined by the reservoir routing of the annual
11 design flood hydrographs, should not be kept high during the flood season to offer adequate
12 storage for flood prevention. Meanwhile, the designed flood, based on the annual maximum
13 sample, neglects flood seasonality, and hence, the conventional flood limited water level is
14 often a fixed value during the entire flood season. Based on the flood seasonality, separation
15 of flood season of reservoir is to make better flood regulation schemes, which can make better
16 use of the surplus water in flood season and increase benefits, such as by generating more
17 electricity, without extra construction cost. Therefore, the statistical development of flood
18 frequencies for sub-seasons within the annual flood season has potential to improve
19 multipurpose reservoir system operation.

20 Flood operations of reservoirs are commonly for a single defined "flood season", differing
21 from the remainder of the year when floods are unlikely to occur. Many methods can help
22 define the flood season, and to define how flood operations might vary in sub-seasons within
23 the flood season. Many new methods also are available, such as fuzzy analysis, changing
24 point analysis, fractal theory method etc. Chen (1995) proposed a fuzzy set application to
25 flood season definition, reflecting fuzziness of flood season boundaries in time. The fuzzy
26 membership functions used to separate flood season and non-flood season are derived
27 statistically, and the flood control level is calculated daily in the transition period to improve
28 water utilization. Liu et al. (2005, 2015) introduced the theory of changing point analysis and
29 detailed the theory and analytical method of mean changing point and probabilistic changing
30 point in flood sub-seasons for the Three Gorges Reservoir. Hou et al. (1999) used fractal
31 theory to analyze flood peak sequence and studied flood sub-seasons for Xiaodeshi Station in
32 China. The result of the fractal method is consistent with conventional empirical results. But
33 the new method is less subjective. Fang et al. (2007) reviewed flood sub-season analysis

1 methods and discussed their comparative advantages and disadvantages. Fang et al. (2008)
2 used the Von Mises distribution as the annual maximum flood time distribution function to
3 describe flood physical laws, and provided a new method for determining sub-seasonal design
4 floods. Wei et al. (2014) used fractal theory in the study of flood sub-seasons for Bihe
5 Reservoir. Because flood frequency distributions can be multimodal, Chen et al. (2010) used a
6 mixed Von Mises distribution varying with flood date and derived sub-season varying design
7 floods.

8 This paper is mainly concerned about the separation of flood season, analyzes the flood
9 characteristics of Hongfeng Reservoir as an illustrative example, and divided its flood season
10 into different sub-seasons using statistical method, fractal theory and a mixed Von Mises
11 distribution. Seasonal design floods and flood control levels of different sub-seasons were
12 then calculated according to the developed flood operating rules and strategies. Based on the
13 flood regulation calculation in sub-seasons, the different ranges of flood control level in each
14 sub-season are obtained with the fixed flood control level of the original plan as the lower
15 limit. Under the requirement of flood control safety, adopting the new operation schemes can
16 help increase the total benefits of reservoir, especially in electricity generation and water
17 supply, etc.

18

19 **2 Methods of flood season separation**

20 The first conventional statistical method used in this paper is a basic one to separate annual
21 flood season, which calculate the accumulative frequencies in flood-prone period and then
22 obtain the separation result.

23 **2.1 Statistical method----conventional method**

24 To separate a flood season into sub-seasons, the physical cause of the flood should be
25 analyzed considering the meteorological and hydrologic characteristics of the studied river.
26 Then according to the allocation pattern of rainfall and flood within a year and the inflow
27 records of the representative hydrologic station, the flood frequency with given magnitude
28 can be obtained. Generally, the physical cause of the flood and the hydrologic characteristics
29 of the river should be analysed first. According to the allocation pattern of rainfall and flood
30 and the inflow records for certain reservoir, the actual time and Cumulative probability of the
31 first, second and third largest flood peaks of all the largest inflows occurring should be

1 obtained under the time scale of month or ten-day period. Next, based on the calculated
2 frequencies, the separation of the flood season can be determined based on the seasonal
3 changing pattern of the flood in combination with the analysis of rainfall characteristic, storm
4 characteristic, atmospheric circulation and other relevant meteorological factors.

5 **2.2 Fractal theory method**

6 The Fractal method focuses on the fractal dimension of each sub-season. With an assumed
7 time length of a sub-season, its fractal dimension can be obtained. When prolonging the time
8 length of the sub-season, a different fractal dimension can be obtained and by comparing
9 these two fractal dimensions it can be determined whether the time length of the sub-season is
10 the prolonged one based on self-similarity. Then the whole flood season can be separated into
11 several sub-seasons based on different fractal dimensions.

12 **2.2.1 Fractal theory**

13 A fractal is a natural phenomenon or a mathematical set that has a repeating pattern at every
14 scale, featured with self-similarity and scale-invariance. Fractal theory was established by
15 B.Mandelbrot in the 1970s. It has been applied to many areas, including philosophy,
16 mathematics, chemistry, physics, economics, geology, seismology, geography, music, and art
17 (Liu et al., 2006). Fractal theory has been applied to hydrology and water resources, such as
18 the fractal of morphological characteristics of watershed systems, the longitudinal channel
19 profile, and flood forecasting and flood disaster prediction (Zhang et al., 2009). Zhang et al.
20 (2009) also have applied fractal theory to developing flood sub-seasons.

21 The current study of fractal is based on the qualitative understanding of the examined object's
22 self-similarity. Whether the shapes measured by ε belong to the same fractal depends on
23 whether the fractal dimension is fixed.

24 In physics and mathematics, the dimension of a mathematical space (or object) is informally
25 defined as the minimum number of coordinates needed to specify any point within it. As for
26 ordinary geometric shapes, points are 0-dimensional sets, lines are 1-dimensional sets which
27 only have length, surfaces are 2-dimensional sets which have length and width, and cubes are
28 3-dimensional sets which have length, width and height. For complicated geometric forms
29 whose details seem more important than the gross picture, fractal dimensions are applied as
30 an index describing their complexity while the conventional Euclidean or topological

1 dimension shows its limitation. If the theoretical fractal dimension of a set exceeds its
 2 topological dimension, the set is considered to have fractal geometry (Mandelbrot, 2004).
 3 Unlike topological dimensions, the fractal index can take non-integer values (Sharifi-Viand et
 4 al., 2012). Multiple algorithms for calculating fractal dimension exist in fractal theory. The
 5 Hausdorff dimension, also called gauge dimension, is the most basic. Others include
 6 information dimension, correlation dimension, spectral dimension, distribution dimension and
 7 Lyapunov dimension, etc. The box-counting dimension (or Minkowski dimension) is used in
 8 this paper.

9 **2.2.2 Calculation of box-counting dimension**

10 Using a ruler of length ε to measure a line segment of length L , $N(\varepsilon)$ as the ratio of L to ε can
 11 be obtained. Similarly, using cubes with side length ε to fill an object, $N(\varepsilon)$ is the number of
 12 cubes required to cover the object. The fractal dimension obtained in this way is called box-
 13 counting dimension D_c (Zhu et al., 2011), and is defined as:

$$14 \quad D_c = \lim_{n \rightarrow \infty} [\ln N(\varepsilon) / \ln(1 / \varepsilon)] \quad (1)$$

15 When ε approaches 0, it becomes:

$$16 \quad \ln N(\varepsilon) \approx -D_c \ln \varepsilon = D_c \ln(1 / \varepsilon) \quad (2)$$

17 Where ε —— the scale at which the fractal is measured, D_c —— the box-counting dimension,
 18 and $N(\varepsilon)$ —— the covering number.

19 If there is a straight part (clear correlation) on the $\ln NN(\varepsilon) - \ln(\varepsilon)$ graph with linear fitting, the
 20 sequence can be conceived as a fractal. The slope of the straight part D_c is the fractal
 21 dimension. Smally (1987) introduced a new variable (NN) when computing the fractal
 22 dimension of the earthquake spectrum series of New Hebrides, namely the relative
 23 measurement:

$$24 \quad NN(\varepsilon) = N(\varepsilon) / NT \quad (3)$$

25 Where $N(\varepsilon)$ ——absolute measurement, NT ——total number of time intervals, T ——total
 26 time length, ε ——step length.

27 A fractal problem depends on the existence of a straight part (scale-invariant area) on the
 28 $\ln NN(\varepsilon) - \ln(\varepsilon)$ curve (Dong et al., 2007; Mandelbrot, 1983; Song et al., 2002; Ding et al.,

1 1999). If the slope of the straight part in the scale-invariant area is b , the capacity dimension
2 can be given by the following equation:

$$3 \quad D_c = d - b \quad (4)$$

4 Where d —topological dimension. Points of flood peaks distribute on a $Q-t$ two-
5 dimensional surface, so d equals to 2, and then:

$$6 \quad D_c = 2 - b \quad (5)$$

7 **2.3 Von Mises distribution method**

8 The Von Mises method uses the Von Mises distribution to simulate the pattern of flood
9 timing, based on which accumulative flood frequencies can be obtained and then flood season
10 can be separated into sub-seasons.

11 **2.3.1 Von Mises distribution**

12 Compared with single normal distribution, the Von Mises distribution is a continuous
13 probability distribution on a circle. This model primarily describes directional statistics. It is
14 important in areas like astronomy, biology, geography, medicine, etc. For example, He et al.
15 (2011) applied the Von Mises yield criterion in the study of materials in plastic state in
16 physics; Zheng et al. (2011) applied the Von Mises distribution model of monthly premium to
17 analyze the seasonal fluctuation of the premium in medical science. The Von Mises
18 distribution is also applied in hydrologic events. Fang et al. (2008) employed the Von Mises
19 function to fit the time distribution of annual maximum flood and have established a two-
20 variable joint distribution of annual maximum flood.

21 The probability density curve of the Von Mises distribution is unimodal. However, the
22 probability density curve of the time of the occurrence of floods in flood season also can be
23 multimodal in practical calculation (Yue et al., 1999). Therefore, fitting result and actual
24 measurement may differ when the Von Mises function is used to fit the probability
25 distribution of flood timing. Replacing the Von Mises distribution with mixed Von Mises
26 distribution achieved well-fitted result (Chen et al. 2010).

27 **2.3.2 Distribution establishment and parameter calculation**

28 Assuming flood date t is normally distributed, its probability density function is (Fang et al.,
29 2008):

$$f(t) = \frac{1}{2\pi I_0(k)} \exp[k \cos(t-u)] \quad 0 \leq t \leq 2\pi, 0 \leq u \leq 2\pi, k > 0 \quad (6)$$

Where u —measure of location, k —measure of concentration, $I_0(k)$ —modified Bessel function of order 0. Assuming there are L days during flood season, N —number of flood samples, D_i —time of the occurrence of sample i , and:

$$a = \sum_{i=1}^N \cos x_i / N \quad b = \sum_{i=1}^N \sin x_i / N \quad (7)$$

where $x_i = D_i \frac{2\pi}{L}$ is the time of the occurrence of sample i (in radians), $0 < x_i < 2\pi$.

Then u and k can be given by:

$$u = \begin{cases} \arctan b / a & a > 0, b > 0 \\ 2\pi + \arctan b / a & a > 0, b < 0 \\ \pi + \arctan b / a & a < 0 \\ \pi / 2 & a = 0, b > 0 \\ 3\pi / 2 & a = 0, b < 0 \\ \text{indeterminate} & a = 0, b = 0 \end{cases} \quad (8)$$

$$u = r = \sqrt{a^2 + b^2} \quad 0 \leq r \leq 1 \quad (9)$$

In this study, the probability density function of t is given by:

$$f_i(t) = \sum_{i=1}^n \frac{p_i}{2\pi I_0(k_i)} \exp[k_i \cos(t-u_i)] \quad 0 \leq t \leq 2\pi, 0 \leq u_i \leq 2\pi, k > 0 \quad (10)$$

Where n is the number of Von Mises distributions, p_i is the mixing percentage, and their optimal values that produce the best fitting result can be obtained with the Quasi-Newton method (Li et al., 1997).

15

16 3 Application example

17 Built in 1960, Hongfeng reservoir is a large multi-year regulating storage reservoir for
18 hydropower generation, flood control, water supply and recreation. As the leading reservoir of

1 the cascade of hydropower stations along Maotiao River, Hongfeng is the key to ensuring the
2 safety of the cascade system. The watershed controlled by Hongfeng reservoir has an area of
3 1596 km², an average elevation of 1327m, and an average river bed slope of 1.21‰. The
4 Maotiao river flood season begins in May and ends in September, and rainfall in this period of
5 time accounts for 70% of annual inflow. Annual maximum floods typically occur in June or
6 July. The location of Hongfeng Reservoir is shown in Fig. 1.

7 **3.1 Flood season separation of Hongfeng reservoir**

8 **3.1.1 Application of statistical method**

9 The flood season of Hongfeng reservoir is from May 1st to September 30th (lasting for 153
10 days). This study uses the historical hydrology record lasting 43 years.

11 Table 1 shows that the largest flood within a year appears in the first ten-day period of August,
12 until the frequency of the largest inflow is 90.698%, while the second and the third largest
13 flood occur in the last ten-day period of August and the first ten-day period of September,
14 until which the frequencies of the second and the third largest inflow are 93.023% and
15 90.698% respectively during the whole flood record. In terms of the multi-year average and
16 largest inflow in a ten-day period, the late July and the early August were at a low point as
17 well as late August and early September. Therefore, the flood season of Hongfeng reservoir
18 can be separated into three sub-seasons based on the analysis of its changing flood pattern and
19 safety requirement. The pre-rainy season is from May 1st to July 31st, the middle flood season
20 is from August 1st to August 31st, and the late flood season is from September 1st to
21 September 30th.

22 **3.1.2 Application of fractal method**

23 Earlier researches only sampled the sequence of the largest daily inflows, while this paper
24 also accounts for the second and the third largest daily inflows. Distributions of the three
25 largest daily inflows are shown in Fig. 2.

26 **The first three largest daily inflow series in a 43-year research period are adopted as research**
27 **sample and thus 43 points form a series. Note that there are some years in which the largest**
28 **daily inflows are lower than the second largest of latter years, and they occurred on very near**
29 **dates in different years, so some parts of the three series are tangled.** Figure 2 shows large
30 gaps between the ten-day period inflows of May and June, July and August, and August and

1 September. So the flood season can be divided into four sub-seasons. Time scale ε is 1d, 2d,
2 3d... 7d, or 8d. By setting a fixed value $Y1=235\text{m}^3/\text{s}$ (slightly larger than the sample average
3 inflow), $N(\varepsilon)$ can be obtained under different time scales by counting the number of time
4 intervals in which the average inflows exceed $Y1$ (Fig. 3-(1)). The $\ln NN(\varepsilon)-\ln(\varepsilon)$ graph can be
5 plotted to determine slope b of the straight part and then obtain the box-counting dimension
6 D_c ($D_c=2-b$). Different $\ln NN(\varepsilon)-\ln(\varepsilon)$ graphs can be plotted based on different values of T
7 when changing the ending date of the first sub-season. Calculation of the latter three sub-
8 seasons is similar to the first sub-season, and the average inflows are as $Y2=540\text{m}^3/\text{s}$ (Fig. 3-
9 (2)), $Y3=265\text{m}^3/\text{s}$ (Fig. 3-(3)), $Y4=235\text{m}^3/\text{s}$ (Fig. 3-(4)) respectively. The $\ln NN(\varepsilon)-\ln(\varepsilon)$ graphs
10 under different values of T of the four sub-seasons are shown in Fig. 3.

11 Shi et al., (2010) suggest that the significant linear relation between $\ln NN(\varepsilon)$ and $\ln(\varepsilon)$ is
12 inversely proportional to the length of the time scale ε and thus should not exceed 6. This case
13 achieves the best result when ε is 8. The calculated box-counting dimensions of the four sub-
14 seasons are shown in Table 2. From Table 2, the box-counting dimensions of situation A and
15 situation B have a slight difference of 0.01 in the pre-rainy season, while situation C largely
16 differs. According to the principle that the box-counting dimensions in the same sub-season
17 should have similar magnitudes while successive sub-seasons do not, A and B should belong
18 to the same sub-season. So it can be concluded that the pre-rainy season is from May 1st to
19 May 31st. Similarly, the box-counting dimensions of situation D, E and F are close with a
20 relative difference less than 4% in the main flood season, while G is rather different. So the
21 main flood season is from June 1st to July 31st. In the late-flood season I, there is a
22 discontinuous part due to the comparatively large difference between the box-counting
23 dimensions of situation I and situations H and J. So situation H is regarded as one sub-season
24 and the late-flood season I is from August 1st to August 31st. Under such circumstance, the
25 late-flood season in the conventional sense is divided into two sub-seasons, including the late-
26 flood season I and the late-flood season II. In the late-flood season II, situation M is counted
27 out because October is not included in the flood season. It can only be concluded that the late-
28 flood season II is from September 1st to September 20th, and the remaining ten days until
29 September 30th should be regarded as another sub-season if the fractal principle is strictly
30 followed. However, to make it convenient for reservoir management and operation, the late-
31 flood season II should be from September 1st to September 30th.

1 The above separation was based on the sequence of the largest daily inflows. The separation
 2 results based on the sequences of the second and third largest daily inflows are similar, which
 3 proves that taking sequence of only the largest daily inflows as research sample is reasonable
 4 for separation

5 **3.1.3 Application of the mixed Von Mises distribution**

6 Due to the scarce inflow records of Hongfeng reservoir, more reasonable flood peak records
 7 were adopted as samples to accurately trace changes in floods to make the distribution model
 8 more relevant. Based on Peaks-Over-Threshold (POT) sampling, this study selected 156
 9 Peaks-Over-Threshold (POT) floods from Hongfeng's 43-year inflow records and two
 10 historical catastrophic floods in May 1830 and August 1892 with a threshold of 160 m³/s. The
 11 selected sample floods satisfy the principles of independence and uniformity. A mixed Von
 12 Mises distribution with three parts (n=3) was then established. Relevant parameters are
 13 u₁=0.50, k₁=27.53, P₁=0.10; u₂=2.28, k₂=2.82, P₂=0.66; u₃=0.48, k₃=3.05, P₃=0.24. Given
 14 these parameters, the density function of this mixed Von Mises distribution are:

$$15 \quad f_1(t) = \frac{1}{2\pi} \times \frac{0.10}{6.89 \times 10^{-10}} \exp[27.53 \cos(t - 0.50)]$$

$$16 \quad f_2(t) = \frac{1}{2\pi} \times \frac{0.66}{4.22} \exp[2.82 \cos(t - 2.28)]$$

$$17 \quad f_3(t) = \frac{1}{2\pi} \times \frac{0.24}{5.10} \exp[3.05 \cos(t - 0.48)]$$

$$18 \quad f_t(t) = f_1(t) + f_2(t) + f_3(t) \quad (11)$$

19 According to the above formulas, the fitting graph for the mixed Von Mises distribution of the
 20 floods occurring time is plotted in Fig. 4.

21 As shown in Fig. 4, floods in Maotiao River mainly occur in June and July and sometimes in
 22 the middle of May, August and September. Floods in May, August and September account for
 23 16%, 15% and 8% respectively of all floods in flood season, while floods in June and July are
 24 61% of all floods. The Maotiao River flood season is characterized with sub-seasons. In
 25 addition, the hydrologic records show that runoff in Maotiao River changes slightly from year
 26 to year but largely changes within one year. The largest annual flood generally occurs before
 27 August, mostly in June or July. Based on the selected sample sequence, two sub-season

1 definitions were proposed. Both strategies have May as the pre-rainy season, June and July as
2 the main flood season. But one has August as the late-flood season I and September as the
3 late-flood season II, while another combines August and September into one late-flood season.
4 This paper shows that the theoretical curve based on the latter strategy can better fit the
5 sample sequence, and apparently the mixed Von Mises distribution under such circumstance
6 has three parts ($n=3$). As is seen in Fig.4, there is a roughly ten-day lag between the
7 theoretical Von Mises curve and the observations' frequencies during June, but it has little
8 impact on the flood season separation because what matters most is in which period flood is
9 the most prone to occur, and June and July are combined into one sub-season.

10 **3.2 Analysis on flood control levels of different sub-seasons for Hongfeng** 11 **reservoir**

12 According to Design Report of Cascade Hydropower Station in Maotiao River released in
13 1987 by the Ministry of water resources and Guiyang Engineering Corporation, the flood
14 control level of Hongfeng reservoir was set at 1236.0m, the highest reservoir water level and
15 the maximum discharge for the 100-yr design flood were 1239.97m and 1420m³/s
16 respectively, and for the 5000-yr check flood were 1242.58m and 2450m³/s respectively.

17 This paper used two new methods for developing flood sub-seasons and thus different
18 methods for design flood calculation. The fractal method used sampling of annual largest
19 values to calculate design floods of all sub-seasons by the same-frequency amplification
20 method, while the mixed Von Mises distribution used POT sampling to establish the joint
21 distribution of peak flow and occurring time of floods based on two-dimensional Frank
22 Copula function to calculate the design floods. Peak flows of the 100-yr (1% frequency)
23 design flood and 5000-yr (0.02% frequency) check flood of different sub-seasons from the
24 above two methods are shown in Table 3.

25 According to the separation result, this paper selected the flood in May 1996 for the pre-rainy
26 season, two floods in July 1991 and July 1996 for the main flood season, the flood in August
27 2000 for the late-flood season I and the flood in September 1970 for the late-flood season II
28 as typical sequence of floods. For the sub-seasons with the mixed Von Mises distribution,
29 flood in August 2000 was selected as a typical flood for the late-flood season. Three flood
30 operating rules were applied to the design floods calculated from different typical floods,
31 specifically open-discharge strategy, strategy for operating in 1987 and strategy for check in

1 1990. Operating results with the mixed Von Mises distribution sub-seasons are shown in
2 Table 4.

3 As shown in Table 4, the highest adjusted water levels vary for typical floods in different sub-
4 seasons. The main flood season is featured with a lower initial water level due to its higher
5 inflow volume, but still higher than the previously determined 1236.0m. For different design
6 flood standards, the highest reservoir water levels from the above calculation are 1240.0m and
7 1242.58m, and the largest discharge flows are 1420.0 m³/s and 2450.0m³/s.

8 Flood control level changes with flood sub-seasons. Flood control levels in the pre-rainy
9 season and the late-flood season are higher than that of the main flood season, which
10 increases the operating water level of Hongfeng reservoir in the whole flood season. In
11 addition, the reservoir could release surplus water later and store more water for drought after
12 the flood season. Due to the lack of data, calculating the design flood based on rainfall data
13 was not carried out. For safety, this paper adjusted the calculated flood control levels and the
14 final result is close to the research done by Li (2007). Flood control levels of Hongfeng
15 reservoir in different sub-seasons with three methods are shown in Fig. 5. Based on the flood
16 regulating calculations in sub-seasons, the range of flood control level in each sub-season is
17 obtained with the fixed flood control level of the original plan as the lower limit. As is seen in
18 Fig.5, there is a raise of the flood control level in each sub-season and with different methods
19 for separation comes different flood regulation calculations and thus flood control level is
20 raised to different extents. More clearly, table 5 shows the deferent operating level of
21 Hongfeng reservoir in the different stages in flood season for the three methods. . Based on
22 the flood regulating calculations in sub-seasons, the range of flood control level in each sub-
23 season is obtained with the fixed flood control level of the original plan as the lower limit. As
24 is seen in Table 5, there is a raise of the flood control level in each sub-season and with
25 different methods for separation comes different flood regulation calculations and thus flood
26 control level is raised to different extents.

27 Evenmore, with the separation results reservoir operation calculation is conducted and the
28 results of electricity generation under dynamic control of flood control level are shown in
29 Table 6. Comparing with the original plan with a fixed flood control level at 1236m and the
30 actual case, the electricity generation under the separation of flood season with the three
31 methods has all increased to various degrees, showing sub-season method can increase the
32 output, so as to obtain more economic benefits.

1 4 Conclusions

2 The aim of the separation of flood season of reservoir is to make better flood regulation
3 schemes, which can make better use of the surplus water in flood season and increase benefits,
4 such as by generating more electricity, without extra construction cost. Therefore, the
5 statistical development of flood frequencies for sub-seasons within the annual flood season
6 has potential to improve multipurpose reservoir system operation. This paper is mainly
7 concerned about the separation of flood season, yet it also has a lot to do with the dynamic
8 control of flood control level in flood season. Dynamic control of flood control level in flood
9 season is an emerging field in which relevant researches are scarce, due to the deep-rooted
10 conventional mindset that flood control level should be fixed in the whole flood season. But
11 there still are some researchers who have done much work, such as Zhou et al. (2014); Yun
12 and Vijay (2008); Li et al. (2010); Jiang et al. (2015). However, how to implement real-time
13 reservoir FLWL dynamic control is a future challenge.

14 Applying the three methods to the separation of the flood season of Hongfeng Reservoir in
15 the case study, this paper then accordingly conducts flood regulation calculation under three
16 different regulation strategies. Based on the flood regulation calculation in sub-seasons, the
17 different ranges of flood control level in each sub-season are obtained with the fixed flood
18 control level of the original plan as the lower limit. Under the requirement of flood control
19 safety, adopting the new operation schemes can help increase the total benefits of reservoir,
20 especially in electricity generation and water supply, etc.

21 a. With long-term flood record, the conventional statistical method can be used for flood
22 season separation through frequency calculation. The fractal theory is applicable to flood
23 series featured with randomness, nonlinearity, determinacy and similarity. In this paper,
24 by using the first three largest sequences of daily inflow as research samples for the
25 fractal method, so it only revealed statistics of extreme values. A POT (Peaks-Over-
26 Threshold sampling) method was used to select samples for the mixed Von Mises
27 distribution method, which achieves the independence of flood sample and makes up for
28 short flood records. Therefore, results based on POT method can reflect the rules of flood
29 occurrence.

30 b. On the whole, the separation results from the fractal theory and mixed Von Mises
31 distribution are similar to the conventional method. As reservoir operation becomes more

1 difficult with more flood sub-seasons, the mixed Von Mises distribution method achieves
2 a more reasonable result.

3

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1 Table 1. Frequency of the Occurrence of the First Three Largest Peak Flows.

Month	Ten-day period	Annual largest peak		second largest peak		Third largest peak	
		flow		flow		flow	
		Distribution of frequency	Cumulative Frequency (%)	Distribution of frequency	Cumulative Frequency (%)	Distribution of frequency	Cumulative Frequency (%)
April	1 st -10 th	0		0		0	
	11 th -20 th	0		1	2.326	0	
	21 th -30 th	0		0		0	
May	1 st -10 th	0		3	9.302	1	2.326
	11 th -20 th	1	2.326	3	16.279	4	11.628
	21 th -31 st	2	6.978	1	18.605	5	23.256
June	1 st -10 th	4	16.279	3	25.581	5	34.884
	11 th -20 th	9	37.216	6	39.535	5	46.512
	21 th -30 th	10	60.465	6	53.488	6	60.465
July	1 st -10 th	4	69.767	7	69.767	2	65.116
	11 th -20 th	3	76.744	3	76.744	5	76.744
	21 th -31 st	5	88.372	1	79.070	3	83.721
Aug.	1 st -10 th	1	90.698	2	83.721	1	86.047
	11 th -20 th	1	93.023	2	88.372	1	88.372
	21 th -31 st	0		2	93.023	0	
Sep.	1 st -10 th	0		0		1	90.698
	11 th -20 th	0		3	100	3	97.674
	21 th -31 st	1	95.366	0		0	
Oct.	1 st -10 th	0		0		1	100
	11 th -20 th	1	97.674	0			
	21 th -31 st	1	100	0			
total		43	100	43	100	43	100

2

1 Table 2. Box-counting Dimensions of Different Flood Sub-seasons.

sub-seasons	situation	time length T	starting date (d/m)	ending date (d/m)	Correlation coefficient R	Slope b	D_c
Pre-rainy season	A	20	1 st May	20 th May	0.97	0.29	1.71
	B	31	11 th May	31 st May	0.95	0.30	1.70
	C	42	21 th May	1 st July	0.93	0.42	1.58
Main flood season	D	40	1 st June	20 th July	0.92	0.44	1.56
	E	50	1 st June	20 th July	0.96	0.43	1.57
	F	61	1 st June	31 st July	0.97	0.40	1.60
	G	71	1 st June	10 th Aug.	0.97	0.28	1.72
Late flood season I	H	31	1 st Aug.	31 st Aug.	0.96	0.46	1.54
	I	41	1 st Aug.	10 th Sept.	0.97	0.38	1.62
	J	51	1 st Aug.	20 th Sept.	0.97	0.44	1.56
Late flood season II	K	20	1 st Sept.	20 th Sept.	0.98	0.49	1.51
	L	30	1 st Sept.	30 th Sept.	0.97	0.39	1.61
	M	40	1 st Sept.	10 th Oct.	0.97	0.38	1.62

2

3 Table 3. Peak Flows of Design Floods of Different Sub-seasons.

method	Frequency/%	Annual largest flow $/m^3 \cdot s^{-1}$	Pre-rainy season/ $m^3 \cdot s^{-1}$	Main flood season $/m^3 \cdot s^{-1}$	Late flood season	
					I	II
frequency	1	1886.0	534.0	2595.5	771.0	570.17
method	0.02	3586.8	663.6	3782.9	1021.4	777.49
copula	1	1886.0	1559.7	2089.7	1436.5	1436.5
function	0.02	3586.8	3111.3	3641.7	2846.2	2846.2

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1 Table 4. Results of Flood Regulation with the Mixed Von Mises Distribution.

Frequency (%)	Sub-seasons	Typical design flood	Initial water level /m	Scheme 1		Scheme 2		Scheme 3	
				highest water level /m	maximum discharge / m ³ ·s ⁻¹	highest water level /m	maximum discharge / m ³ ·s ⁻¹	highest water level /m	maximum discharge / m ³ ·s ⁻¹
1	1 st May	“96.5”	1239.4	1240.0	1396.3	1240.0	1399.6	1240.0	1383.2
	-31 st May								
	1 st June	“91.7”	1238.3	1240.0	1391.2	1240.0	1391.0	1240.0	1391.0
	-31 st July	“96.7”	1236.8	1240.0	1396.7	1240.0	1432.7	1240.0	1432.7
	1 st Aug.	“00.8”	1239.9	1240.0	1368.7	1240.0	1370.8	1240.0	1368.5
	-30 th Sept.								
0.02	1 st May	“96.5”	1240.7	1242.5	2390.9	1242.5	2394.0	1242.5	2395.1
	-31 st May								
	1 st June	“91.7”	1241.1	1242.5	2392.3	1242.5	2410.6	1242.5	2410.6
	-31 st July	“96.7”	1237.8	1242.5	2403.3	1242.5	2406.1	1242.5	2395.4
	1 st Aug.	“00.8”	1241.5	1242.5	2405.5	1242.5	2407.5	1242.5	2405.4
	-30 th Sept.								

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3 Table 5. Ranges of Flood Control water Level in Flood Season with Different Methods

4 (unit: m)

	May	June	July	August	September
original plan	1236.0				
conventional statistical method	1236.0 - 1236.8			1236.0 - 1239.1	1236.0 - 1239.4
fractal method	1236.0 - 1238.0	1236.0 - 1236.8		1236.0 - 1239.5	1236.0 - 1239.7
Von Mises Distribution method	1236.0 - 1239.1	1236.0 - 1236.8		1236.0 - 1239.6	1236.0 - 1239.6

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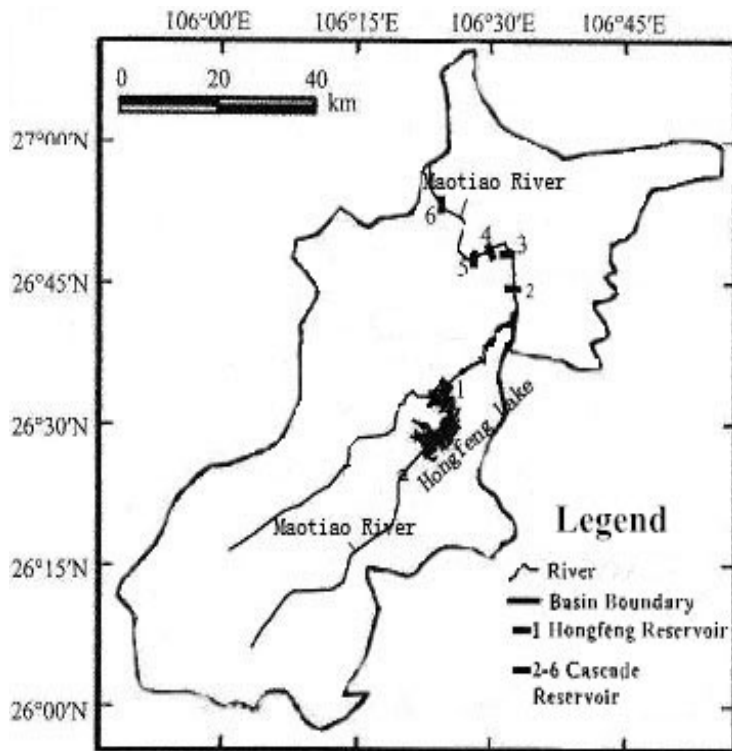
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1 Table 6. Increase of Electricity Generation with Three Separation Methods over the Original
 2 Plan and the Actual Case
 3

	Original plan	Actual case	Conventional statistical method	Fractal method	Von Mises method
Electricity generated (10 ⁴ Kw*h)	6273	6053	6611	6625	6628
Absolute increase over the original plan (10 ⁴ Kw*h)	/	/	238	252	255
Increase in percentage over the original plan	/	/	3.73%	3.95%	4.00%
Absolute increase over the actual case (10 ⁴ Kw*h)	/	/	558	572	575
Increase in percentage over the actual case	/	/	9.22%	9.45%	9.50%

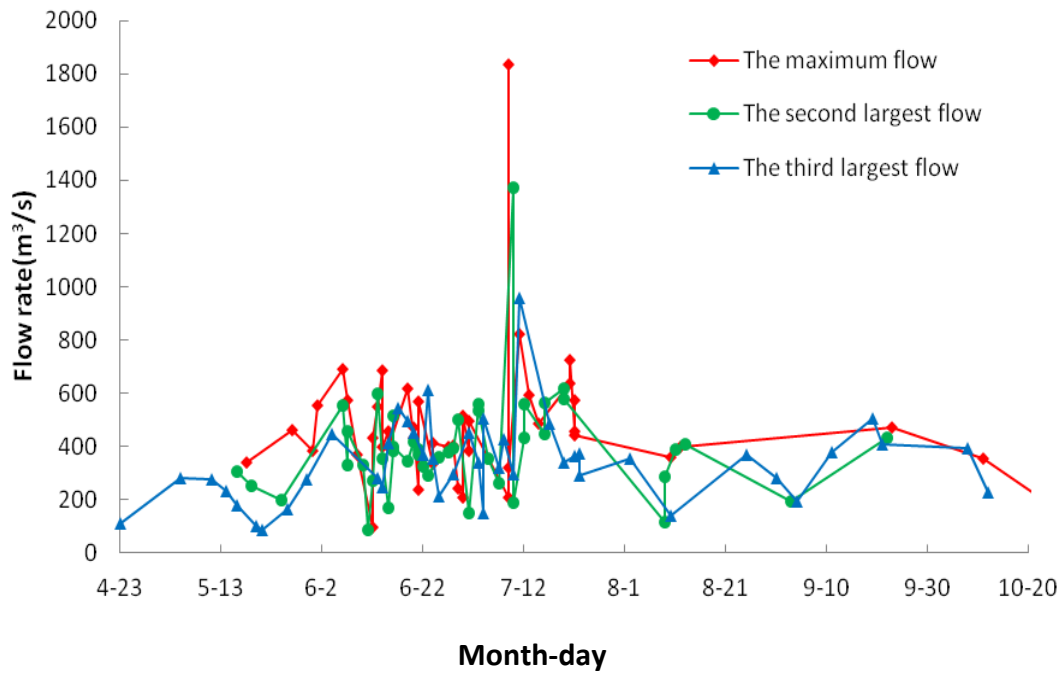
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3 Figure 1. The location of Hongfeng Reservoir.



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3 Figure 2. Distributions of the three largest daily inflows.

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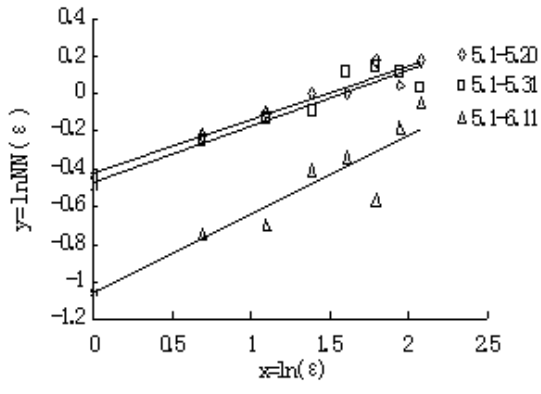
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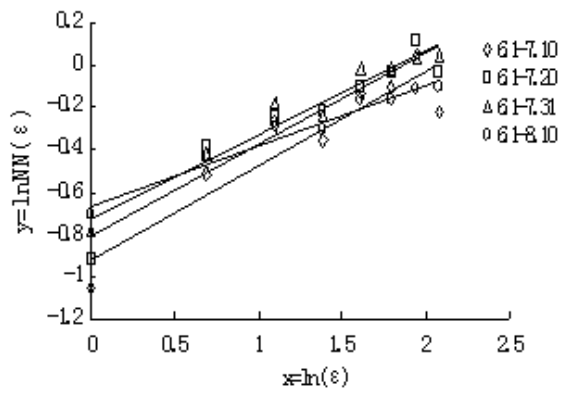
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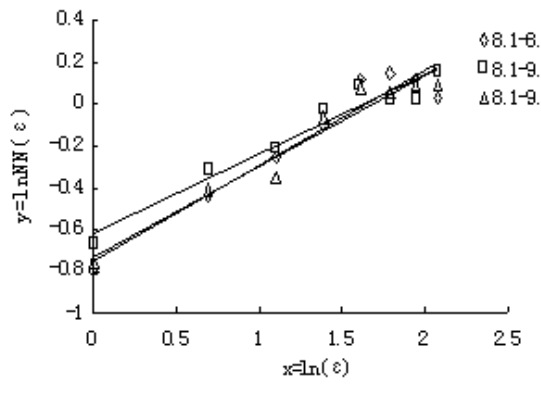
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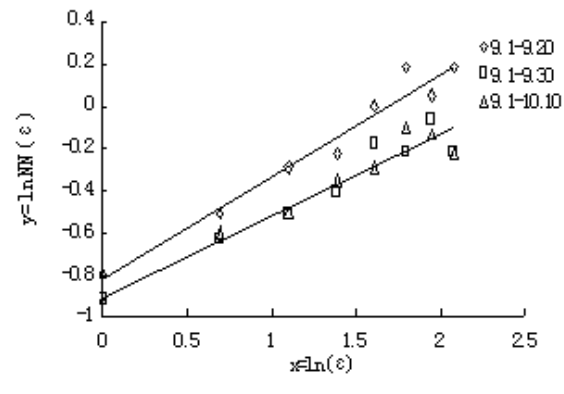
(1) $Y1=235\text{m}^3/\text{s}$



(2) $Y2=540\text{m}^3/\text{s}$



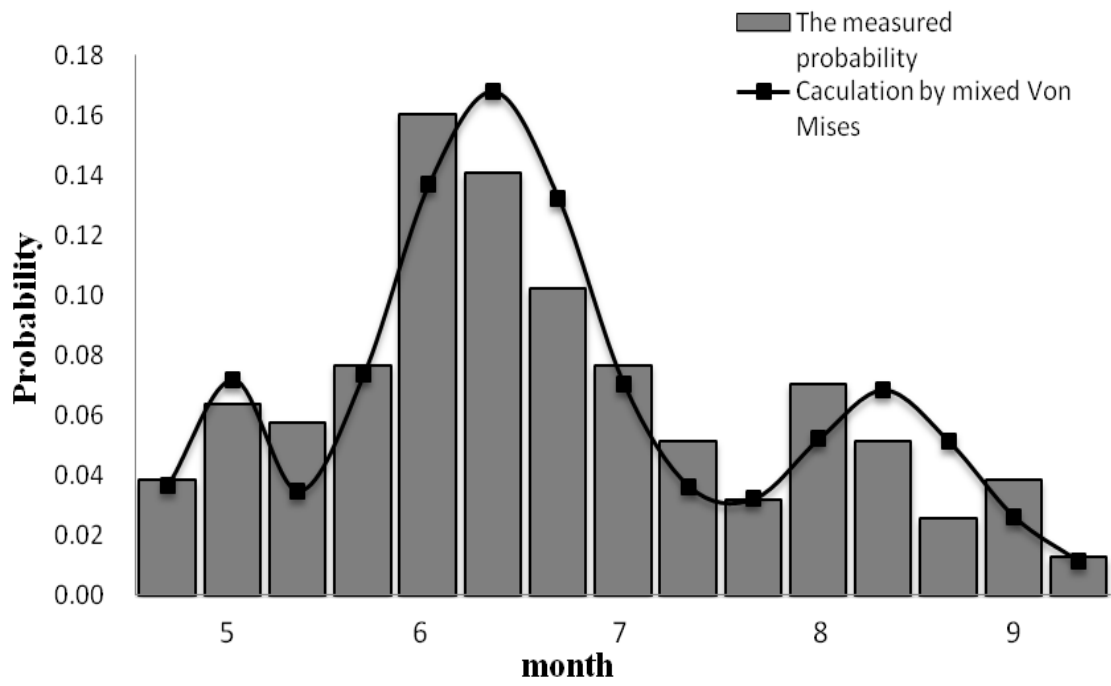
(3) $Y3=265\text{m}^3/\text{s}$



(4) $Y4=235\text{m}^3/\text{s}$

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Figure 3. Relationship between $NN(\epsilon)$ and ϵ with logarithmic coordinates.



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3 Figure 4. Probability of flood flow.

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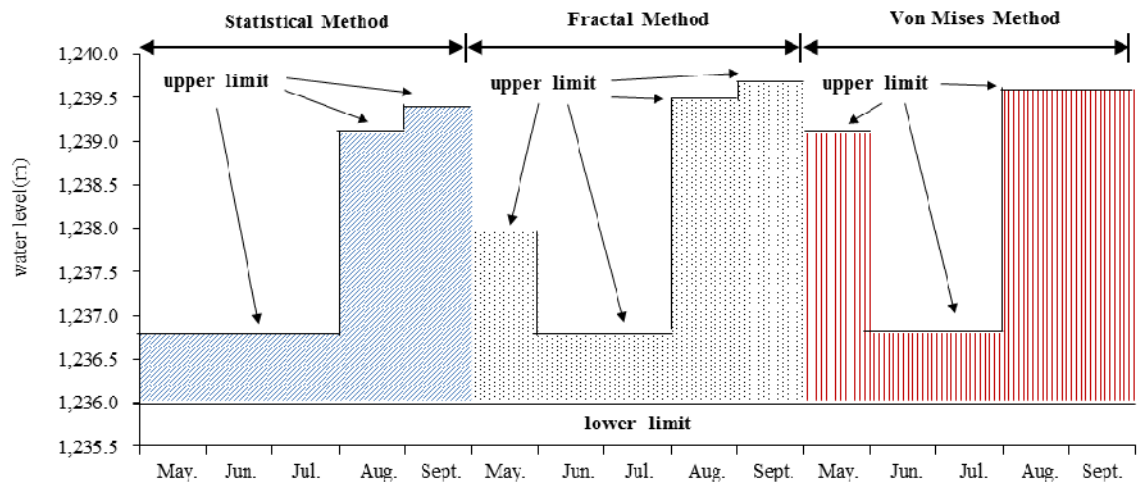
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Figure 5. Results of flood control levels of Hongfeng Reservoir by sub-seasons with three methods.