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Closing the Water Balance with Cosmic-ray Soil Moisture Measurements and Assessing Their Relation to Evapotranspiration in Two Semiarid Watersheds

Adam P. Schreiner-McGraw¹, Enrique R. Vivoni^{1,2*}, Giuseppe Mascaro³, and Trenton E. Franz⁴

1. School of Earth and Space Exploration,
Arizona State University, Tempe, AZ, 85287.

2. School of Sustainable Engineering and the Built Environment,
Arizona State University, Tempe, AZ, 85287.

3. Julie Ann Wrigley Global Institute of Sustainability,
Arizona State University, Tempe, AZ, 85287.

4. School of Natural Resources,
University of Nebraska-Lincoln, Lincoln, NE, 68583.

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* *Corresponding author address:* Enrique R. Vivoni, School of Earth and Space Exploration and School of Sustainable Engineering and the Built Environment, Arizona State University, ISTB4, Building 75, Room 769, Tempe, AZ, 85287-6004, Tel: (480) 727-3575, email: vivoni@asu.edu.

1 **Abstract**

2 Soil moisture dynamics reflect the complex interactions of meteorological conditions
3 with soil, vegetation and terrain properties. In this study, intermediate scale soil moisture
4 estimates from the cosmic-ray neutron sensing (CRNS) method are evaluated for two semiarid
5 ecosystems in the southwestern United States: a mesquite savanna at the Santa Rita Experimental
6 Range (SRER) and a mixed shrubland at the Jornada Experimental Range (JER). Evaluations of
7 the CRNS method are performed for small watersheds instrumented with a distributed sensor
8 network consisting of soil moisture sensor profiles, an eddy covariance tower and runoff flumes
9 used to close the water balance. We found a very good agreement between the CRNS method
10 and the distributed sensor network (RMSE of 0.009 and 0.013 m³/m³ at SRER and JER) at the
11 hourly time scale over the 19-month study period, primarily due to the inclusion of 5 cm
12 observations of shallow soil moisture. Good agreement was also obtained in soil moisture
13 changes estimated from the CRNS and watershed water balance methods (RMSE = 0.001 and
14 0.082 m³/m³ at SRER and JER), with deviations due to bypassing of the CRNS measurement
15 depth during large rainfall events. Once validated, the CRNS soil moisture estimates were used
16 to investigate hydrological processes at the footprint scale at each site. Through the computation
17 of the water balance, we showed that drier-than-average conditions at SRER promoted plant
18 water uptake from deeper soil layers, while the wetter-than-average period at JER resulted in
19 percolation towards deeper soils. The CRNS measurements were then used to quantify the link
20 between evapotranspiration and soil moisture at a commensurate scale, finding similar predictive
21 relations at both sites that are applicable to other semiarid ecosystems in the southwestern U.S.

22
23 **Keywords:** watershed hydrology, soil moisture variability, evapotranspiration, land-atmosphere
24 interactions, COSMOS, North American monsoon.
25

1. Introduction

Soil moisture is a key land surface variable that governs important processes such as the rainfall-runoff transformation, the partitioning of latent and sensible heat fluxes and the spatial distribution of vegetation in semiarid regions (e.g., Entekhabi, 1995; Eltahir, 1998; Vivoni, 2012). Semiarid watersheds with heterogeneous vegetation in the southwestern United States (Gibbens and Beck, 1987; Browning et al., 2014) exhibit variations in soil moisture that challenge our ability to quantify land-atmosphere interactions and their role in hydrological processes (Dugas et al., 1996; Small and Kurc, 2003; Scott et al., 2006; Gutiérrez-Jurado et al., 2013; Pierini et al., 2014). Moreover, accurate measurements of soil moisture over scales relevant to land-atmosphere interactions in watersheds are difficult to obtain. Traditionally, soil moisture is measured continuously at single locations using techniques such as time domain reflectometry and then aggregated in space using a number of methods (Topp et al., 1980; Western et al., 2002; Vivoni et al., 2008b). Soil moisture is also estimated using satellite-based techniques, such as passive or active microwave sensors (e.g., Kustas et al., 1998; Moran et al., 2000; Kerr et al., 2001; Bartalis et al., 2007; Narayan and Lakshmi, 2008; Entekhabi et al., 2010), but spatial resolutions are typically coarse and overpass times infrequent as compared to the spatiotemporal variability of soil moisture occurring within semiarid watersheds.

One approach to address the scale gap in soil moisture estimation is through the use of cosmic-ray neutron sensing (CRNS) measurements (Zreda et al., 2008, 2012) that provide soil moisture with a measurement footprint of several hectares (Desilets et al., 2010). Developments of the CRNS method have focused on understanding the processes affecting the measurement technique, for example, the effects of vegetation growth (Franz et al., 2013a; Coopersmith et al., 2014), atmospheric water vapor (Rosolem et al., 2013), soil wetting and drying (Franz et al.,

1 2012a), and horizontal heterogeneity (Franz et al., 2013b). To date, the validation of the CRNS
2 technique has been performed using single site measurements, spatial aggregations of different
3 measurement locations, and particle transport models (Desilets et al., 2010; Franz et al., 2013b;
4 Zhu et al., 2015). Distributed sensor networks measuring the water balance components of small
5 watersheds and the spatial variability of soil moisture within a watershed offer the opportunity to
6 test the accuracy of the CRNS method through multiple, independent approaches. For instance,
7 the CRNS technique can be validated based upon the application of the watershed water balance,
8 as performed for the eddy covariance (EC) technique often used to measure surface turbulent
9 fluxes (Scott, 2010; Templeton et al., 2014). Once validated, CRNS soil moisture estimates can
10 be used to apply the water balance equation in a continuous fashion with the aim of quantifying
11 hydrological fluxes during storm and interstorm periods, including the occurrence of percolation
12 to deeper soil layers or the transfer of water from the deeper vadose zone to the atmosphere.

13 An important advantage of the CRNS technique is that its measurement scale is
14 comparable to the footprint of evapotranspiration (*ET*) measurements based on the EC technique,
15 whose extent depends on wind speed and direction, atmospheric stability, and instrument and
16 surface roughness heights (e.g., Hsieh et al., 2000; Kormann and Meixner, 2001; Falge et al.,
17 2002). Furthermore, the relation between *ET* and soil moisture is an important parameterization
18 in land surface models (e.g., Laio et al., 2001; Rodríguez-Iturbe and Porporato, 2004; Vivoni et
19 al., 2008a) and, in most cases, has been investigated using EC measurements of *ET* and soil
20 moisture observations at single sites. A number of studies, however, have shown that accounting
21 for the spatial variability of land surface states is important to properly identify the linkage with
22 EC measurements (e.g., Detto et al., 2006; Vivoni et al., 2010; Alfieri and Blanken, 2012). In
23 other words, aggregated turbulent fluxes should be compared to spatially-averaged surface states

1 obtained at commensurate measurement scales. As a result, CRNS soil moisture estimates could
2 be useful to improve the characterization of the relation between evapotranspiration flux and soil
3 moisture. To our knowledge, soil moisture estimates from the CRNS technique have not been
4 used to study the hydrological processes occurring in small watersheds overlapping with the
5 measurement footprint or for improving the parameterization of land surface models.

6 In this contribution, we study the soil moisture dynamics of small semiarid watersheds in
7 Arizona and New Mexico instrumented with a cosmic-ray neutron sensor, an eddy covariance
8 tower, a runoff flume and a network of soil moisture sensor profiles. The watersheds represent
9 the heterogeneous vegetation and soil conditions observed in the Sonoran and Chihuahuan
10 Deserts of the southwestern U.S. (Templeton et al., 2014; Pierini et al., 2014). We first compare
11 the CRNS method with the distributed sensor network and estimates from a novel method based
12 on closing the water balance at each site. Given the simultaneous observations during the study
13 period (March 2013 to September 2014, 19 months), we quantify the variations in hydrological
14 processes (e.g., infiltration, evapotranspiration, percolation) that differentially occur at each site
15 in response to varying precipitation. Combining these measurement techniques also affords the
16 capacity to construct and compare relationships between the spatially-averaged CRNS estimates
17 and the spatially-averaged *ET* obtained from the EC method. To our knowledge, this is the first
18 study where CRNS measurements are validated via two independent methods at the small
19 watershed scale and used to make new inferences about watershed hydrological processes.

20 21 **2. Study Areas and Datasets**

22 **2.1. Study Sites and Their General Characteristics**

23 The two study sites are long-term experimental watersheds in semiarid ecosystems of the
24 southwestern United States. Watershed monitoring began in 1975 at the Santa Rita Experimental

1 Range (SRER), located 45 km south of Tucson, Arizona, in the Sonoran Desert (Fig. 1), as
2 described by Polyakov et al. (2010) and Scott (2010). Precipitation at the site varies considerably
3 during the year, with 54% of the long-term mean amount (364 mm/yr) occurring during the
4 summer months of July to September due to the North American monsoon (Vivoni et al., 2008a;
5 Pierini et al., 2014). Soils at the SRER site are a coarse-textured sandy loam (Anderson, 2013)
6 derived from Holocene-aged alluvium from the nearby Santa Rita Mountains. The savanna
7 ecosystem at the site consists of the velvet mesquite tree (*Prosopis velutina* Woot.), interspersed
8 with grasses (*Eragrostis lehmanniana*, *Bouteloua rothrockii*, *Muhlenbergia porteri* and *Aristida*
9 *glabrata*) and various cacti species (*Opuntia spinosior*, *Opuntia engelmannii* and *Ferocactus*
10 *wislizeni*). Similarly, watershed monitoring began in 1977 at the Jornada Experimental Range
11 (JER), located 30 km north of Las Cruces, New Mexico, in the Chihuahuan Desert (Fig. 1), as
12 described by Turnbull et al. (2013). Mean annual precipitation at the JER is considerably lower
13 than SRER (251 mm/yr), with a similar proportion (53%) occurring during the summer monsoon
14 (Templeton et al., 2014). Soils at the JER site are primarily sandy loam with high gravel contents
15 (Anderson, 2013) transported from the San Andreas Mountains. The mixed shrubland ecosystem
16 at the site consists of creosote bush (*Larrea tridentata*), honey mesquite (*Prosopis glandulosa*
17 Torr.), several grass species (*Muhlenbergia porteri*, *Pleuraphis mutica* and *Sporobolus*
18 *cryptandrus*), and other shrubs (*Parthenium incanum*, *Flourensia cernua* and *Gutierrezia*
19 *sarothrae*). Fig. 2 presents a vegetation classification at each site grouped into major categories:
20 (1) SRER has velvet mesquite (labeled mesquite), grasses, cacti (*Opuntia engelmannii* or prickly
21 pear) and bare soil, while (2) JER has honey mesquite (labeled mesquite), creosote bush, other
22 shrubs, grasses and bare soil. Table 1 presents the vegetation and terrain properties for the site
23 watersheds obtained from 1-m digital elevation models (DEMs) and 1-m vegetation maps (Fig.

1 2). Pierini et al. (2014) and Templeton et al. (2014) describe the image acquisition and
2 processing methods employed to derive these products at SRER and JER, respectively.

3 4 **2.2. Distributed Sensor Networks at the Small Watershed Scale**

5 Long-term watershed monitoring at the SRER and JER sites consisted of rainfall and
6 runoff observations at Watersheds 7 and 8 (SRER, 1.25 ha) and the Tromble Weir (JER, 4.67
7 ha). Pierini et al. (2014) and Templeton et al. (2014) describe recent monitoring efforts using a
8 network of rainfall, runoff, soil moisture and temperature observations, as well as radiation and
9 energy balance measurements at EC towers, commencing in 2011 and 2010 at SRER and JER.
10 This brief description of the distributed sensor networks is focused on the spatially-averaged
11 measurements used for comparisons to the CRNS method. Precipitation (P) was measured using
12 up to 4 tipping-bucket rain gauges (TE525MM, Texas Electronics) to construct a 30-min
13 resolution spatial average based on Thiessen polygons within the watershed boundaries. At the
14 watershed outlets, streamflow (Q) was estimated at Santa Rita supercritical runoff flumes (Smith
15 et al., 1981) using a pressure transducer (CS450, Campbell Scientific Inc.) and an *in-situ* linear
16 calibration to obtain 30-min resolution observations. Evapotranspiration (ET) was obtained at 30-
17 min resolution using the EC technique that employs a three-dimensional sonic anemometer
18 (CSAT3, Campbell Scientific Inc.) and an open path infrared gas analyzer (LI-7500, LI-COR
19 Inc.) installed at 7-m height on each tower. Flux corrections for the EC measurements followed
20 Scott et al. (2004) and were verified using an energy balance closure approach reported in Table
21 2 for the study period. Energy balance closure at both sites is within the reported values across a
22 range of other locations where the ratio of $\Sigma(\lambda E + H)/\Sigma(R_n - G)$ has an average value of 0.8
23 (Wilson et al., 2002; Scott, 2010). To summarize these observations, Fig. 3 shows the spatially-
24 averaged P , Q and ET (mm/hr), each aggregated to hourly resolution, at each study site during

1 March 1, 2013 to September 30, 2014, along with seasonal precipitation amounts. While the
2 results compare favorably to previous measurements (Turnbull et al., 2013; Pierini et al., 2014;
3 Templeton et al., 2014), it should be noted that ET and Q data are assumed to represent the
4 spatially-averaged watershed conditions, despite the small mismatch between the watershed
5 boundaries and EC footprints (Fig. 2) and the summation of Q in the two watersheds at SRER.

6 Distributed soil moisture measurements were obtained using soil dielectric probes (Hydra
7 Probe, Stevens Water) organized as profiles (sensors placed at 5, 15 and 30 cm depths) in each
8 study site. Profiles were originally installed at multiple locations along transects to investigate
9 the different primary controls on soil moisture at each site: (1) at SRER, we installed four
10 transects of 5 profiles each located under different vegetation classes (mesquite, grass, prickly
11 pear and bare soil), and (2) at JER, we established three transects of 5 profiles each installed
12 along different hillslopes (north-, south- and west-facing), as shown in Fig. 1. Individual sensors
13 measure the impedance of an electric signal, as described in Campbell (1990), through a 40.3
14 cm^3 soil volume (5.7 cm in length and 3.0 cm in diameter) to determine the volumetric soil
15 moisture (θ) in m^3/m^3 and soil temperature in $^\circ\text{C}$ as 30-min averaged values. A ‘loam’ calibration
16 equation was used in the conversion to θ (Seyfried et al., 2005) and corrected using relations
17 established through gravimetric soil sampling at each study site (a power law relation at SRER
18 with $R^2 = 0.99$ and a linear relation at JER with $R^2 = 0.97$), following Pierini (2013). Given that
19 sensors were originally installed to conduct watershed studies, spatial averaging was performed
20 using site-specific weighting schemes accounting for the main controls on the soil moisture
21 distribution. Thus: (1) at SRER, we utilized the percentage area of each vegetation class (Table
22 1) and the associated sensor locations within each type (Pierini et al., 2014), and (2) at JER, we

1 accounted for the aspect and elevation at the sensor locations and used these to extrapolate to
2 other locations with similar characteristics based on the 1-m DEM (Templeton et al., 2014).

3

4 **2.3. Cosmic-ray Neutron Sensing Method for Soil Moisture Estimation**

5 The CRNS method relates soil moisture to the density of fast or moderated neutrons
6 (Zreda et al., 2008) measured above the soil surface. A cosmic-ray neutron sensor (CRS-1000/B,
7 Hydroinnova LLC) was installed in each watershed in January 2013 to record neutron counts at
8 hourly intervals. We selected the study period (March 1, 2013 to September 30, 2014) to
9 coincide with the availability of data from the distributed sensor networks. While the theory of
10 using neutrons for soil moisture measurements has a long history (e.g., Gardner and Kirkham,
11 1952), recent developments in the measurement of neutrons generated from cosmic rays has
12 increased the horizontal scale, reduced the need for manual sampling, and led to a non-invasive
13 approach. Zreda et al. (2008) and Desilets and Zreda (2013) describe the horizontal scale as
14 having a radius of ~300 m at sea level and a vertical aggregation scale ranging from 12 to 76 cm
15 depending on soil wetness, while the work of Köhli et al. (2015) found a smaller horizontal scale
16 with a radius of ~230 m at sea level. Since the travel speed of fast neutrons is >10 km/s, neutron
17 mixing occurs almost instantaneously in the air above the soil surface (Glasstone and Edlund,
18 1952), providing a well-mixed region that can be sampled with a single detector.

19 Using a particle transport model, Desilets et al. (2010) found a theoretical relationship
20 between the neutron count rate at a detector and soil moisture for homogeneous SiO₂ sand:

$$21 \quad \theta(N) = \frac{0.0808}{\left(\frac{N}{N_o}\right) - 0.372} - 0.115, \quad (1)$$

22 where θ (m³/m³) is volumetric soil moisture, N is the neutron count rate (counts/hr) normalized
23 to the atmospheric pressure and solar activity level, and N_o (counts/hr) is the count rate over a

1 dry soil under the same reference conditions. The corrections applied to the neutron count rate
2 are detailed in Desilets and Zreda (2003) and Zreda et al. (2012) and are applied automatically in
3 the COSMOS website (<http://cosmos.hwr.arizona.edu/>). Additionally, since neutron counts are
4 affected by all sources of hydrogen in the support volume, we apply a correction (C_{WV}) for
5 atmospheric water vapor that was derived by Rosolem et al. (2013) as:

$$6 \quad C_{WV} = 1 + 0.0054(\rho_v^o - \rho_v^{ref}) \quad , \quad (2)$$

7 where ρ_v^o (g/m^3) and ρ_v^{ref} (g/m^3) are absolute water vapors at current and reference conditions.

8 To estimate N_o , we performed a manual soil sampling at 18 locations within the CRNS footprint
9 (sampled every 60 degrees at radial distances of 25, 75 and 200 m from the detector) at 6 depths
10 (0-5, 5-10, 10-15, 15-20, 20-25, 25-30 cm) for a total of 108 samples per site. Gravimetric soil
11 moisture measurements were made following oven drying at 105 °C for 48 hrs (Dane and Topp,
12 2002) and converted to volumetric soil moisture using the soil bulk density ($1.54 \pm 0.18 \text{ g}/\text{cm}^3$ at
13 SRER and $1.3 \pm 0.15 \text{ g}/\text{cm}^3$ at JER). The spatially-averaged volumetric soil moisture was related
14 to the average neutron count obtained for the same time period (6-hr average) resulting in $N_o =$
15 3973 at SRER and $N_o = 3944$ at JER, considered to be in line with the expected amounts given
16 the elevations of both sites. Table 3 compares the gravimetric measurements and the CRNS soil
17 moisture estimates during the calibration dates and provides further details on the soil properties
18 at the two sites. We applied a 12-hr boxcar filter to the measured count rates to remove the
19 statistical noise associated with the measurement method (Zreda et al., 2012). On days where soil
20 moisture changed by more than $0.06 \text{ m}^3/\text{m}^3$ due to rainfall, the boxcar filter was not applied. We
21 note that additional terms to the calibration accounting for variations in lattice water, soil organic
22 carbon and vegetation have been proposed (Zreda et al., 2012; Bogena et al., 2013; McJannet et
23 al., 2014; Coopersmith et al. 2014). However, given the relatively small amount of biomass (~ 2.5

1 kg/m² at SRER, Huang et al., 2007; and ~0.5 kg/m² at JER, Huenneke et al., 2001), low soil
2 organic carbon (4.2 mg C/g soil at SRER; and 2.7 mg C/g soil at JER, Throop et al., 2011), and
3 low clay percent (5.2% at SRER; and 4.9% at JER, Anderson, 2013), and thus low lattice water
4 amounts (Greacen, 1981), we have neglected these terms in the analysis. In addition, since a
5 local calibration was performed, lattice water, biomass, and soil organic carbon are implicitly
6 accounted for in the calculation of volumetric soil moisture from the calibration relation.

7 Fig. 2 presents the horizontal aggregation scale of the CRNS method in comparison to the
8 watershed boundaries and to the EC footprints obtained for summer 2013 (Anderson, 2013).
9 Since both the CRNS and EC footprints have horizontally-decaying contributions, we limited the
10 size of the analysis region to the 50% contribution or source area to enhance the overlap with the
11 watershed boundaries and sensor networks. The footprints for both the CRNS method and the
12 EC method vary considerably (Anderson, 2013; Köhli et al., 2015), with temporal changes
13 occurring in the amount of overlap with the watersheds and between each other. Nevertheless,
14 the vegetation distributions sampled in the CRNS, EC, and watershed areas (Fig. 2) are nearly
15 the same (Vivoni et al., 2014), and the soils have low spatial variability (Anderson, 2013; Table
16 3), such that CRNS and EC measurements are considered representative of the watershed
17 conditions. In addition to the changing horizontal scale, the CRNS method measures a time-
18 varying vertical scale that depends on the soil water content. Franz et al. (2012b) used a particle
19 transport model to determine that the CRNS measurement depth, z^* , varied with soil moisture as:

$$20 \quad z^*(\theta) = \frac{5.8}{\rho_b \tau + \theta + 0.0829} \quad , \quad (3)$$

21 where ρ_b is bulk density of the soil (Table 3) and τ is the weight fraction of lattice water in the
22 mineral grains and bound water. Lattice water must be considered here since a local calibration
23 of (3) is not possible. As a result, lattice water content was established at 0.02 g/g at each site

1 given the weathered soils and the measurements from Franz et al. (2012b). To account for the
 2 temporal variation of z^* , the sensor profiles representing different soil layers (0-10 cm, 10-20
 3 cm, and 20-40 cm in depth) were weighted based on z^* at each hourly time step according to:

$$4 \quad wt(z) = a \left(1 - \left(\frac{z}{z^*} \right)^b \right) \quad \text{for } 0 \leq wt \leq z^* \quad , \quad (4)$$

5 where $wt(z)$ is the weight at depth z , a is a constant defined to integrate the profile to unity ($a =$
 6 $1/(z^* - \{z^{*b+1}/[z^{*b}(b+1)]\})$), and b controls the shape of the weighting function. For simplicity,
 7 we assumed a value of $b = 1$ leading to a linear relationship (Franz et al., 2012b).

8 9 **3. Methods**

10 **3.1. Comparison of CRNS to Distributed Network of Soil Moisture Sensors**

11 The CRNS method was first validated against the distributed network of soil moisture
 12 sensors. As done in previous studies, we compared hourly soil moisture observations obtained
 13 from the CRNS method (θ_{CRNS}) to estimates from the distributed sensor network (θ_{SN}) that have
 14 been averaged in space (i.e., based on vegetation type at SRER and elevation/aspect location at
 15 JER) and depth-weighted according to the time-varying CRNS measurement depth (z^*). We used
 16 several metrics to quantitatively assess the comparisons, including Root Mean Square Error
 17 (RMSE), Correlation Coefficient (CC), Bias (B) and Standard Error of Estimates (SEE). We
 18 performed an additional test of the CRNS technique by comparing relations between the mean
 19 soil moisture ($\langle \theta \rangle$), obtained from either θ_{CRNS} or θ_{SN} , and the spatial standard deviation (σ) of
 20 soil moisture measured in the distributed sensor network. This relation has been studied
 21 previously with the goal of evaluating the role of heterogeneities related to vegetation, terrain
 22 position and soil properties (Famiglietti et al., 1999; Lawrence and Hornberger, 2007; Fernández

1 and Ceballos, 2003; Vivoni et al., 2008b; Mascaro et al., 2011; Qu et al., 2015). Based on
2 Famiglietti et al. (2008), we fitted an empirical function to the observations at each site:

3
$$\sigma = k_1 \langle \theta \rangle e^{-k_2 \langle \theta \rangle} \quad (5)$$

4 where k_1 and k_2 are regression parameters, and compared these to prior studies in the region (e.g.,
5 Vivoni et al., 2008b; Mascaro and Vivoni, 2012; Stillman et al., 2014).

6
7 **3.2. CRNS Water Balance Analyses Methods**

8 In small watersheds of comparable size to the CRNS measurement footprint, the water
9 balance can be expressed as:

10
$$z^* \frac{\Delta \theta}{\Delta t} = P - ET - Q - L \quad , \quad (6)$$

11 where $\Delta \theta$ is the change in volumetric soil moisture over the time interval Δt , P is precipitation,
12 ET is evapotranspiration, Q is streamflow, and L is leakage or deep percolation, with all of the
13 terms expressed as spatially-averaged quantities and valid over the effective soil measurement
14 depth (z^*). The water balance was applied to validate the accuracy of the CRNS observations
15 using measurements of the spatially-averaged fluxes (P , ET and Q) for a set of storm events. For
16 each event, we computed the change in soil moisture measured by the CRNS, $\Delta \theta_{CRNS}$, and the
17 change calculated from the water balance, $\Delta \theta_{WB}$. In both cases, changes were computed as the
18 difference between the pre-storm soil moisture and the peak amount due to a rainfall event. For
19 the application of (6), the soil measurement depth z^* was calculated as the average value over the
20 duration of the soil moisture response to each individual storm. Note that, during a storm, ET is
21 very low and the use of z^* in (6) instead of the plant rooting depth is justified. In addition, since
22 this comparison is performed over a short time interval during the rising limb of the soil moisture
23 response, we assumed no leakage (i.e., $L = 0$). To test the validity of this hypothesis, we analyzed

1 the soil moisture records measured at the EC towers, where sensors were installed to measure the
 2 profile up to 1 m (i.e., a depth larger than z^*). We found that the percolation beyond a depth of
 3 ~40 cm is infrequent at both sites during summer monsoon storms, thus sustaining our
 4 assumption. However, percolation can occur on a time scale of several days during winter
 5 precipitation (e.g., Franz et al., 2012b; Templeton et al., 2014; Pierini et al., 2014). Although
 6 there are large amounts of bare soil in the watersheds, shrub and tree roots have been shown to
 7 extend laterally for 10 m or more (Heitschmidt et al., 1988), such that most of contributing area
 8 will be under the influence of both bare soil evaporation and plant transpiration.

9 Once validated against the distributed sensors and the application of the water balance,
 10 the CRNS estimates were subsequently used to determine the daily spatially-averaged fluxes into
 11 and out from the measurement depth (z^*) as proposed by Franz et al. (2012b):

$$12 \quad f_{CRNS}(t) = (\theta_{CRNS,t} - \theta_{CRNS,t-1}) \min(z^*_t, z^*_{t-1}) / \Delta t. \quad (7)$$

13 In (7), f_{CRNS} is the daily flux (mm/day), Δt is the time step (1 day), and $\min(z^*_t, z^*_{t-1})$ represents
 14 the minimum daily-averaged measurement depth between the two days being compared. Positive
 15 values of f_{CRNS} indicate an increase in soil moisture and, thus, represent net infiltration ($f_{CRNS} = I$)
 16 into the measurement depth, usually occurring after a rainfall event. As a result, assuming
 17 negligible plant interception, daily P data can be used to estimate Q as $P - I$, which in turn can be
 18 compared to the runoff measurements in the watersheds. On the other hand, negative values of
 19 f_{CRNS} are equal to the net outflow ($f_{CRNS} = O$), which can occur either as evapotranspiration or
 20 leakage. Using the EC method to obtain daily ET , $L = O - ET$ can be determined as a measure of
 21 exchanges between the soil layers above and below z^* : L is positive when there is drainage to
 22 deeper soil layers and negative when deeper water is being drawn to support plant transpiration.

23
 24

3.3. Relation between Evapotranspiration and Soil Moisture at Commensurate Scale

Soil moisture at single locations is typically linked to ET in hydrologic models (e.g., Chen et al., 1996; Ivanov et al., 2004) and empirical studies (e.g., Small and Kurc, 2003; Vivoni et al., 2008a) using relations such as $ET = f(\theta)$. For example, a commonly used approach is based on a piecewise linear relation between daily ET and θ (Rodríguez-Iturbe and Porporato, 2004):

$$ET(\theta) = \begin{cases} 0 & 0 < \theta \leq \theta_h \\ E_w \frac{\theta - \theta_h}{\theta_w - \theta_h} & \theta_h < \theta \leq \theta_w \\ E_w + (ET_{\max} - E_w) \frac{\theta - \theta_h}{\theta^* - \theta_h} & \theta_w < \theta \leq \theta^* \\ ET_{\max} & \theta^* < \theta \leq \phi \end{cases}, \quad (8)$$

where E_w is soil evaporation, ET_{\max} is maximum evapotranspiration, θ_h , θ_w , and θ^* are the hygroscopic, wilting and plant stress soil moisture thresholds, and ϕ is the soil porosity. Vivoni et al. (2008a) applied (8) to observations of ET from the EC method and θ at single locations to derive the relation parameters using a nonlinear optimization algorithm (Gill et al., 1981). We evaluate this approach using the spatially-averaged soil moisture estimates (θ_{CRNS} and θ_{SN}) whose spatial scale is more commensurate with the ET measurements than single measurement sites.

4. Results and Discussion

4.1. Comparison of CRNS Method to Distributed Sensor Network

Fig. 4 presents a comparison of the spatially-averaged, hourly soil moisture obtained from the CRNS method (θ_{CRNS}) and the distributed sensor network (θ_{SN}), as well as the time-varying measurement depth (z^*) of CRNS. Relative to the long-term summer precipitation (Table 1), the study period had below average (188 and 153 mm in 2013 and 2014) and significantly above average (246 and 247 mm) rainfall at SRER and JER, respectively. The fall-winter period in the record had below average precipitation (99 mm) at SRER and significantly

1 below average amounts (21 mm) at JER. Overall, the spring periods were dry, consistent with the
2 long-term averages. In response, the temporal variability of soil moisture clearly shows the
3 seasonal conditions at the two sites, with relatively wetter conditions during the summer
4 monsoons. Seasonally-averaged θ_{CRNS} compares favorably with seasonally-averaged θ_{SN} (Fig. 4),
5 with both estimates showing relatively large differences between wetter summer conditions
6 (0.065 and 0.085 m^3/m^3 at SRER and JER) and drier spring values (0.028 and 0.021 m^3/m^3 at
7 SRER and JER, respectively). As shown in prior studies (e.g., Zreda et al., 2008; Franz et al.,
8 2012b), the CRNS method tracks very well the sensor observations. Nevertheless, there is an
9 indication that θ_{CRNS} has a tendency to dry less quickly during some rainfall events (i.e.,
10 overestimate soil moisture during recession limbs), possibly due to landscape features such as
11 nearby channels (Fig. 1) and their associated zones of soil water convergence that remain wetter
12 than areas measured by the distributed sensor network. Overall, however, there is an excellent
13 match between θ_{CRNS} and θ_{SN} in terms of capturing the occurrence and magnitude of soil
14 moisture peaks across the different seasons, thus reducing some issues noted by Franz et al.
15 (2012b) with respect to a purported oversensitivity of θ_{CRNS} for small rainfall events (<5 mm).
16 We attribute this improvement to the use of a 5 cm sensor in each profile that tracks important
17 soil moisture dynamics occurring in the shallow surface layer within semiarid ecosystems.

18 To complement this, Fig. 5 compares θ_{CRNS} and θ_{SN} as a scatterplot along with the sample
19 size (N) and the Standard Error of Estimates (SEE) which quantify the deviations from the 1:1
20 line. Table 4 provides the full set of statistical metrics for the comparison of θ_{CRNS} versus θ_{SN} at
21 the two study sites. The correspondence between both methods is very good, with low RMSE
22 and SEE, a high CC, and a Bias close to 1. These values are comparable to previous validation
23 efforts where the RMSE was found to be 0.011 m^3/m^3 (Franz et al., 2012b) and less than 0.03

1 m^3/m^3 (Bogena et al., 2013; Coopersmith et al., 2014; Zhu et al., 2015). The comparison across
2 the sites is also illustrative. Despite the more arid climate at JER (Table 1), the study period
3 consisted of higher precipitation (247 mm) and higher soil moisture values during the summer
4 ($0.085 \text{ m}^3/\text{m}^3$), as compared to SRER (170 mm, $0.065 \text{ m}^3/\text{m}^3$), indicating a more active monsoon
5 in the Chihuahuan Desert. In contrast, the fall-winter period is generally drier at JER (21 mm,
6 $0.039 \text{ m}^3/\text{m}^3$), as compared to SRER (99 mm, $0.057 \text{ m}^3/\text{m}^3$), where high P and low ET in the
7 winter promoted infiltration below the CRNS measurement depth, as observed at a 1-m sensor
8 profile at SRER (not shown). These two effects lead to a larger range of soil moisture at JER as
9 compared to SRER in Fig. 5. As a result, the CRNS method is found to be a reliable method for
10 measuring soil moisture in the observed range of values at SRER and JER.

11 To further test the CRNS method against the distributed sensor network, Fig. 6 depicts
12 the relations between the spatial variability of soil moisture (σ) and the spatially-averaged
13 conditions ($\langle\theta\rangle$). For illustration purposes, bin-averages and standard deviations are also
14 presented for each relation. Least squares regressions of (5) based on hourly observations were
15 applied to estimate k_1 and k_2 for the relations σ vs. θ_{SN} ($k_1 = 0.75$ and $k_2 = 4.23$ at SRER; $k_1 =$
16 0.74 and $k_2 = 2.75$ at JER) and these parameters were adopted to interpret the relations of σ vs.
17 θ_{CRNS} . The RMSE are very low and similar in both cases (RMSE = 0.007 and $0.008 \text{ m}^3/\text{m}^3$ at
18 SRER and 0.005 and $0.008 \text{ m}^3/\text{m}^3$ at JER for the relation with θ_{SN} and θ_{CRNS} , respectively), thus
19 confirming the good correspondence between the two methods. As shown in prior efforts in
20 semiarid ecosystems using sensor networks or aircraft observations (e.g., Fernández and
21 Ceballos, 2003; Vivoni et al., 2008b; Mascaro et al., 2011; Stillman et al., 2014), there is a
22 general increase in σ with $\langle\theta\rangle$, explained by the role played by local heterogeneities (e.g.,
23 vegetation types, surface soil variations, topography) as well as the bounded nature of the soil

1 moisture process at the driest state. The similar relations derived in these different sites might be
2 broadly applicable to other semiarid ecosystems in the southwestern U.S.

3 4 **4.2. Validation of CRNS Method with Water Balance Estimates**

5 Fig. 7 presents the comparison of the spatially-averaged $\Delta\theta_{CRNS}$ and $\Delta\theta_{WB}$ as a scatterplot
6 for approximately 40 rainfall events with a total depth larger than 10 mm and durations ranging
7 from 0.5 to 31 hours (mean of 6 hours). The statistical metrics are presented in Table 4. The
8 correspondence between the methods is very good, with low RMSE and SEE, a high CC, and a
9 Bias close to 1, with a closer match at SRER. For example, the SEE at SRER ($0.024 \text{ m}^3/\text{m}^3$) is
10 significantly less than the value at JER ($0.095 \text{ m}^3/\text{m}^3$) and close to the SEE of the comparison of
11 θ_{CRNS} and θ_{SN} . This suggests that the three approaches (i.e., CRNS, sensor network, water
12 balance) are in agreement at the SRER. For the JER, the lower correspondence between $\Delta\theta_{CRNS}$
13 and $\Delta\theta_{WB}$ is attributed to five large events where $\Delta\theta_{WB}$ is above $0.2 \text{ m}^3/\text{m}^3$. Removing these
14 events lowers the SEE at JER to $0.020 \text{ m}^3/\text{m}^3$, in line with SRER and the comparison of θ_{CRNS}
15 and θ_{SN} at JER. A closer inspection of the soil moisture response at JER allows investigating the
16 physical reasons causing the different behavior of these five events. Fig. 8 shows the soil
17 moisture change ($\Delta\theta_{SN}$) at different sensor depths averaged for the selected large events and for
18 the remaining events, as well as the mean of CRNS measurement depths (z^*) for each case. The
19 five large events exhibit high soil moisture changes at 30 cm depth (i.e., $0.08 \text{ m}^3/\text{m}^3$) below z^*
20 (i.e., 17 cm), while other events have soil moisture changes near zero at 30 cm and are captured
21 well within z^* . This indicates that infiltration fronts during the larger events penetrated beyond
22 z^* and were not entirely captured by the CRNS method, leading to an underestimate of $\Delta\theta_{WB}$. For
23 these events, the assumption $L=0$ in equation (6) is not fully supported. In contrast, the better
24 correspondence at SRER suggests that infiltration fronts were contained within z^* . This is

1 plausible given the less rocky soil and flatter terrain at SRER as compared to JER (Anderson,
2 2013). At JER, soil water movement to deeper layers can be promoted by higher gravel contents
3 and the presence of calcium carbonate and undulated terrain which facilitate lateral water
4 transfer to channels with sandy bottoms (Templeton et al., 2014).

5 6 **4.3. Utility of CRNS for Investigating Hydrological Processes**

7 Given the confidence gained with respect to the CRNS estimates, we utilized these
8 observations to quantify the water balance fluxes during storm and interstorm periods at the two
9 sites. Fig. 9 shows the cumulative f_{CRNS} and the cumulative, spatially-averaged P and ET
10 measured by the distributed sensor network. An overall drying trend is present at SRER during
11 the study period (i.e., cumulative f_{CRNS} becomes more negative), while JER exhibits a relatively
12 small change in cumulative f_{CRNS} , both in response to the below average (SRER) and above
13 average (JER) precipitation. An important contrast at the sites is the overall water balance (Table
14 5), where higher P , lower ET , and lower Q at JER (measured $ET/P = 0.54$, $Q/P = 0.01$) implies
15 that more soil water is available for leakage to deeper soil layers. This is reflected in a large
16 positive difference between cumulative outflow ($O = ET + L$) and ET at JER (i.e., $L > 0$ from z^* ,
17 soil water movement to lower layers, as depicted in the soil water balance diagram). In contrast,
18 SRER exhibits a higher $ET/P = 0.96$ and $Q/P = 0.14$, such that negative differences occur
19 between O and ET (i.e., $L < 0$ into z^* , movement from lower layers, as depicted in the soil water
20 balance diagram). This is particularly important during the summers when vegetation is active
21 and produces more ET than the outflow from the CRNS measurement depth, indicating that soil
22 water is obtained from deeper soil layers that are readily accessed by velvet mesquite roots (e.g.,
23 Snyder and Williams, 2003; Scott et al., 2008; Potts et al., 2010). This is consistent with the

1 sustained ET during interstorm periods in the summer season at SRER despite the low θ_{CRNS} ,
2 while JER exhibits sharp declines in ET when θ_{CRNS} is reduced between storms.

3 Overall, the soil water balance from the CRNS method shows stark ecosystem
4 differences at the two sites during the study period. The mesquite savanna at SRER extracted
5 substantial amounts of water from deeper soil layers during the summer season such that losses
6 to runoff and the atmosphere are in excess of seasonal precipitation. Deeper soil water is
7 recharged beyond the CRNS measurement depth during winter periods, as observed by Scott et
8 al., 2000, and subsequently accessed by deep-rooted trees during the summer (Scott et al., 2008).
9 In contrast, the mixed shrubland at JER lost a substantial amount of precipitation to deeper soil
10 layers throughout the year, due to the low values of runoff and evapotranspiration, and the soil,
11 terrain and channel conditions promoting recharge (Templeton et al., 2014). Winter recharge is
12 fostered by the lack of ET from drought-deciduous plants that lose their leaves in the wintertime.
13 We hypothesize that deep percolation is likely occurring in the channels, since: (i) soil moisture
14 observations in the hillslopes (i.e., far from the channel) show a lack of deep percolation, (ii) the
15 runoff ratio decreases with the basin contributing area, indicating transmission losses along the
16 channel (Templeton et al., 2014), and (iii) one sensor profile installed in a channel at SRER
17 shows that the wetting front frequently reaches at least 30 cm depth. Furthermore, the f_{CRNS}
18 approach provided estimates that can be compared to the watershed water balance since these are
19 at a similar spatial scale (Table 5). Estimates of outflow (O) from the measurement depth and
20 leakage (L) are higher when calculated with θ_{SN} , consistent with more rapid drying as compared
21 to the CRNS method. On the other hand, the CRNS method results in higher values of the runoff
22 ratio (Q/P) than observed in the distributed sensor network, in particular for JER. This is likely

1 due to the daily scale of the CRNS analysis, which limits the suitability of the runoff estimate for
2 semiarid watersheds characterized by runoff responses lasting minutes to hours.

3 4 **4.4. Utility of CRNS for Improving *ET* Estimates**

5 Fig. 10 compares the relationships between the measured daily *ET* using the EC method
6 and the spatially-averaged soil moisture values (θ_{SN} and θ_{CRNS}) at the SRER and JER sites along
7 with the piecewise linear regressions estimated using (8) and a nonlinear optimization approach.
8 Following Vivoni et al. (2008a), regression parameters related to soil and vegetation conditions
9 are presented in Table 6. For illustration purposes, bin-averages and standard deviations are also
10 shown. Clearly, the piecewise linear relation is a suitable approach for capturing the *ET*- θ
11 observations, yielding a relatively low RMSE at the two sites. A lower RMSE for the relation
12 using θ_{CRNS} as compared to θ_{SN} at SRER is attributed to its ability to detect a wider range of dry
13 conditions and the improved match in the spatial scales of *ET* and θ_{CRNS} , in an analogous fashion
14 to the comparison between a single sensor and the distributed sensor network (Templeton et al.,
15 2014). In addition, the CRNS method represents soil evaporation (E_w) in a more realistic way as
16 it discriminates differences in drier states, illustrated by the realistic gradual increase of bare soil
17 evaporation with increasing soil water (Fig. 10). For *ET* and θ_{SN} , the dry portions of the relations
18 have too steep of a slope and do not represent well how bare soil evaporation changes with soil
19 moisture. When comparing both sites through the *ET*- θ relation, the SRER has a larger E_w and
20 ET_{max} and lower θ^* , as compared to JER, tested to be significantly different at the 95%
21 confidence level using a bootstrap approach. Together, these parameters indicate that SRER has
22 a higher overall *ET*, consistent with higher extractions from the CRNS measurement depth due to
23 the mesquite trees, extensive grass cover and higher soil evaporation.

24

1 **5. Summary and Conclusions**

2 In this study, we utilized distributed sensor networks to examine the cosmic-ray neutron
3 sensing soil moisture method at the small watershed scale in two semiarid ecosystems of the
4 southwestern U.S. To our knowledge, this is the first study to compare CRNS measurements to
5 two complementary approaches for obtaining spatially-averaged soil moisture at a commensurate
6 scale: (1) a distributed set of sensor profiles weighted in the horizontal and vertical scales within
7 each watershed, and (2) a watershed-averaged quantity obtained from closing the water balance.
8 We highlighted a few novel advantages of the CRNS method revealed through the comparisons,
9 including the ability to resolve the shallow soil moisture dynamics and to match the estimates
10 obtained from closing the water balance for most rainfall events. In the distributed sensor
11 comparisons, we found that the CRNS method overestimated soil moisture during the recession
12 limbs of rainfall events, possibly due to landscape features such as nearby channels remaining
13 wet. In the water balance comparisons, we identified that our assumption of no leakage beneath
14 z^* was not met during large rainfall events and the CRNS method was not able to capture all of
15 the soil water present. We attribute this to rapid bypassing of the measurement depth due to soil
16 and terrain characteristics. Due to this observed bypass flow, we suggest that future studies using
17 the CRNS method include a few soil moisture sensor profiles below z^* to detect leakage events.

18 The CRNS soil moisture estimates were used in combination with the various
19 measurement methods to explore the relative magnitudes of the water balance components at
20 each site given the different precipitation amounts during the study period. The drier than
21 average conditions in the mesquite savanna ecosystem at SRER lead to drier surface soils
22 incapable of supporting the measured evapotranspiration unless supplemented by plant water
23 uptake from deeper soil layers. In contrast, wetter than average summer periods in the mixed

1 shrubland at JER had wet surface soils that promoted leakage into the deeper vadose zone which
2 was subsequently unavailable for runoff and evapotranspiration losses. Comparisons across
3 different seasons also suggested that carryover of soil water from winter leakage toward deeper
4 soil layers is consumed during the summer season by active plants. These novel inferences
5 within the two ecosystems relied heavily on the application of the CRNS method and its limited
6 measurement depth to discriminate between shallow and deeper vadose zone processes as well as
7 on the direct measurement of the water balance components, in particular evapotranspiration. It
8 is important to keep in mind, however, that the ability to resolve watershed-scale hydrological
9 processes, such as the interaction between shallow and deep soil layers attributed to plant water
10 uptake and leakage, depends to a large degree on the accuracy and representativeness of the
11 distributed sensor network measurements and how their horizontal and vertical scales overlap
12 with the CRNS measurement footprint. We expect these limitations to be especially critical in
13 semiarid ecosystems with high spatial heterogeneity induced by vegetation and bare soil patches.

14 The collocation of a distributed sensor network within the CRNS measurement footprint
15 also allowed us to examine important process-based relations that are often incorporated into
16 hydrologic models or remote sensing analyses (e.g., Famiglietti and Wood, 1994; Famiglietti et
17 al., 2008). The spatial variability of soil moisture is linked to the spatially-averaged conditions
18 through predictable relations that do not vary significantly across the study sites. For higher
19 mean soil moisture, we observed a nearly linear increase in spatial variability followed by an
20 asymptotic behavior attributed to the seasonally-wet conditions during the North American
21 monsoon. Based on these relations (k_1 and k_2), the spatial variability within a CRNS
22 measurement footprint can be approximated for other semiarid ecosystems in the region. In
23 addition, combining fixed and mobile CRNS methods can establish landscape scale (10^2 to 10^3)

1 km²) soil moisture monitoring networks at grid sizes (~1 km²) comparable to land surface
2 modeling (Franz et al., 2015). Similarly, intermediate scale soil moisture sensing can be linked
3 effectively to daily evapotranspiration and used to obtain soil and vegetation parameters (E_w ,
4 ET_{max} , θ_h , θ_w , and θ^*) tailored to each ecosystem. In term of the ET - θ relation, the CRNS method
5 has the potential to significantly improve land-atmosphere interaction studies through the
6 commensurate scale achieved to the EC technique.

7

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1 **Figure Captions**

2 **Fig. 1:** (a) Location of the study sites in Arizona and New Mexico. Watershed representations
3 and sensor locations at (b) SRER and (c) JER, shown at the same scale.

4
5 **Fig. 2:** Vegetation classification for (a) SRER and (b) JER derived from aerial image analyses
6 along with sensor locations and the 50% contributing areas of the CRNS and EC footprints.

7
8 **Fig. 3:** Hourly precipitation, streamflow and evapotranspiration at the (a) SRER and (b) JER
9 sites during the study period (March 2013 to September 2014). Gaps in *ET* data indicate periods
10 of EC tower malfunction due to equipment failures, data collection problems or vandalism.
11 Vertical dashed lines indicate the seasonal definitions and their corresponding total precipitation.

12
13 **Fig. 4:** Comparison of the spatially-averaged, hourly soil moisture (m^3/m^3) from CRNS method
14 (θ_{CRNS} , black lines) and distributed sensor network (θ_{SN} , gray lines) at (a) SRER and (b) JER,
15 along with spatially-averaged, hourly precipitation during March 1, 2013 to September 30, 2014.
16 Vertical dashed lines indicate the seasonal definitions and their corresponding seasonally-
17 averaged θ_{CRNS} and θ_{SN} in m^3/m^3 . Also shown are the time-varying measurement depths (z^*).

18
19 **Fig. 5:** Scatterplots of the spatially-averaged, hourly soil moisture (m^3/m^3) from CRNS method
20 (θ_{CRNS}) and distributed sensor network (θ_{SN}) at (a) SRER and (b) JER. The SEE and the number
21 of hourly samples (*N*) are shown for each site. Bin averages and ± 1 standard deviation are shown
22 (circles and error bars) for bin widths of $0.025 \text{ m}^3/\text{m}^3$.

23
24 **Fig. 6:** Soil moisture spatial variability as a function of the spatially-averaged distributed sensor
25 network (θ_{SN} , top) and the CRNS method (θ_{CRNS} , bottom) for (a, c) SRER and (b, d) JER. Bin
26 averages and ± 1 standard deviation are shown (circles and error bars) for bin widths of 0.015

1 m^3/m^3 at SRER and $0.025 \text{ m}^3/\text{m}^3$ at JER. Regressions for the relations of σ with $\langle\theta\rangle$ are valid
2 for the entire dataset.

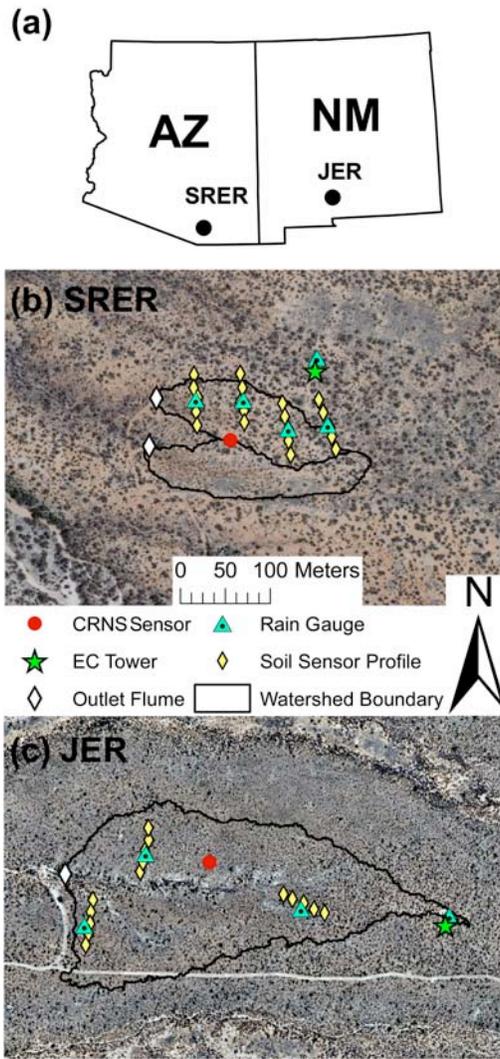
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4 **Fig. 7:** Scatterplots of the spatially-averaged change in soil moisture (m^3/m^3) derived from
5 CRNS method ($\Delta\theta_{CRNS}$) and the application of the water balance ($\Delta\theta_{WB}$) at (a) SRER and (b)
6 JER. The SEE and the number of event samples (N) are shown for each site.

7
8 **Fig. 8:** Change in soil moisture ($\Delta\theta_{SN}$) at depths of 5, 15 and 30 cm at the JER for the five large
9 events ('Selected Events') and the remaining cases ('Other Events'). Horizontal lines are the
10 time-averaged CRNS measurement depths averaged over Selected Events (black; standard
11 deviation of 3.8 cm) and Other Events (gray; standard deviation of 6.5 cm).

12
13 **Fig. 9:** Comparison of cumulative f_{CRNS} and measured water balance fluxes (P and ET) during
14 study period. CRNS estimates of infiltration (I), outflow (O) and leakage (L) are either depicted
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16 in the soil water balance box of depth z^* . Shaded regions indicate the summer seasons (July-
17 September). The horizontal line represents $f_{CRNS} = 0$.

18
19 **Fig. 10:** Evapotranspiration relation with the spatially-averaged distributed sensor network (θ_{SN} ,
20 top) and the CRNS method (θ_{CRNS} , bottom) for (a, c) SRER and (b, d) JER. Bin averages and ± 1
21 standard deviation are shown (circles and error bars) for bin widths of $0.015 \text{ m}^3/\text{m}^3$ at SRER and
22 $0.025 \text{ m}^3/\text{m}^3$ at JER. Regressions for the relations of ET with $\langle\theta\rangle$ are valid for the entire dataset.

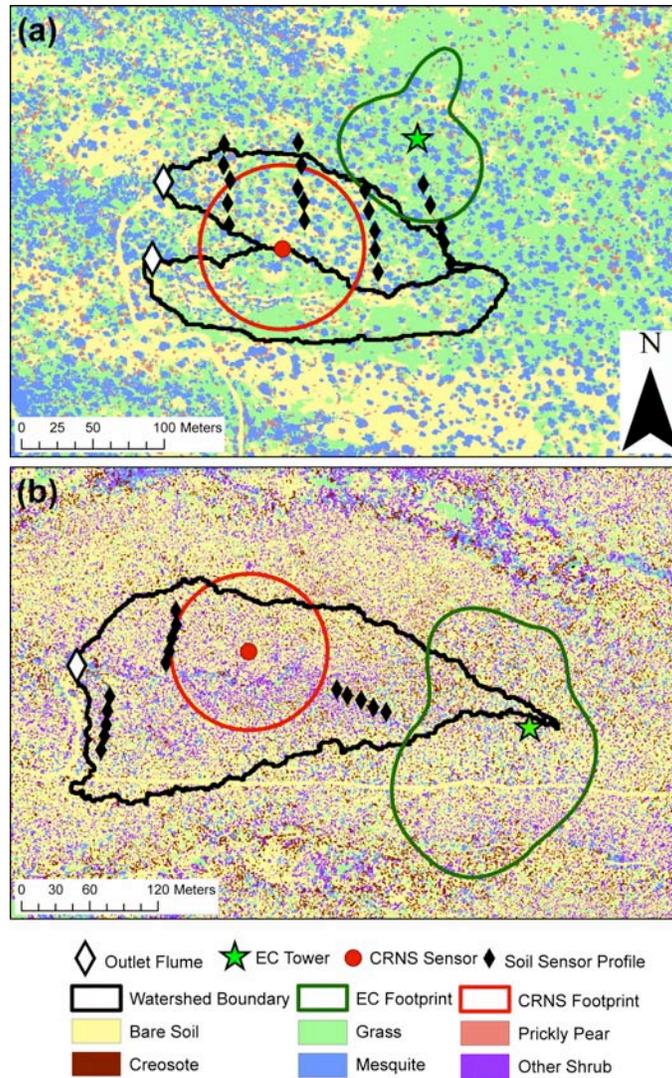
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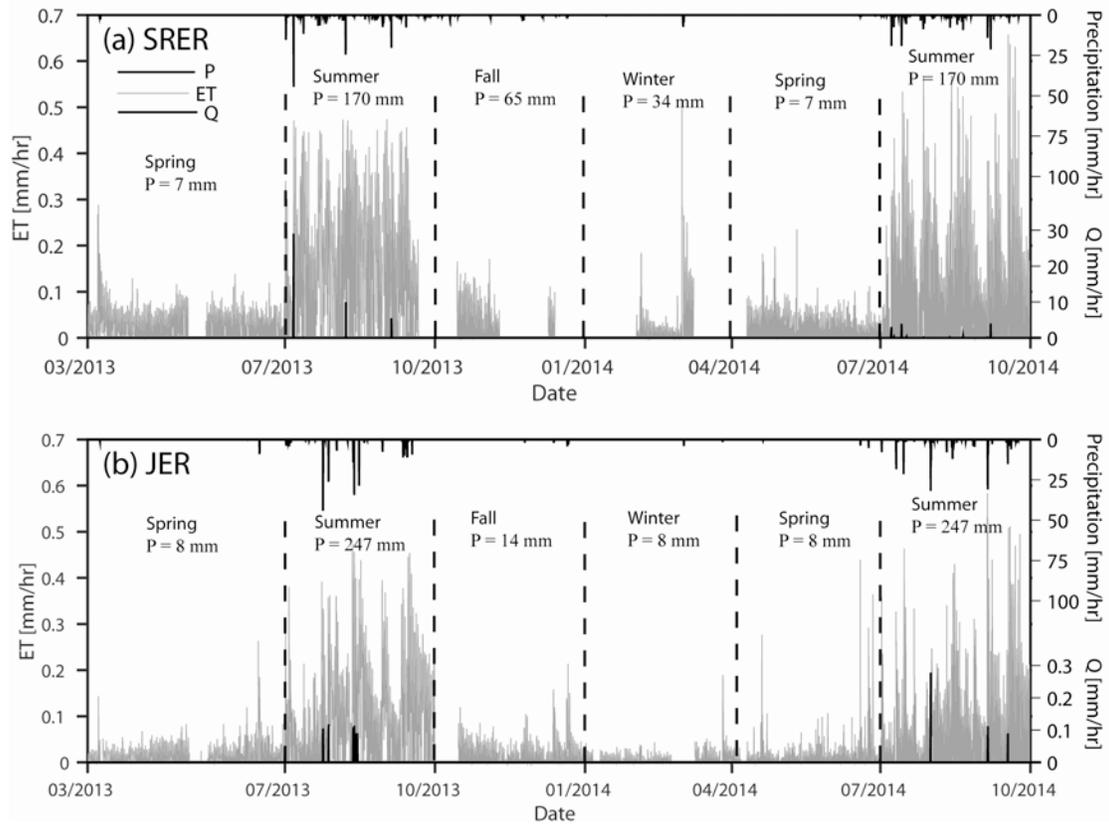
(Schreiner-McGraw et al., 2015, Fig. 1)



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(Schreiner-McGraw et al., 2015, Fig. 2)



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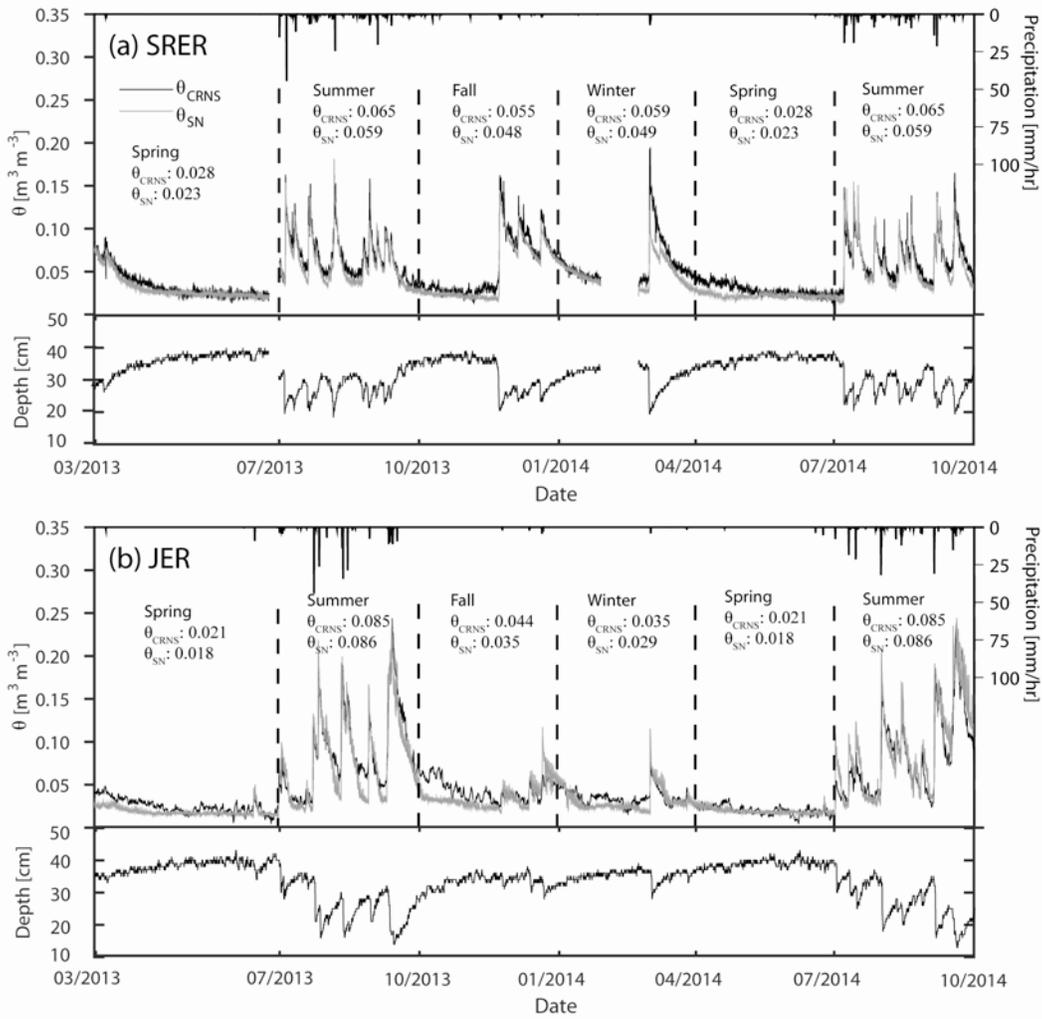
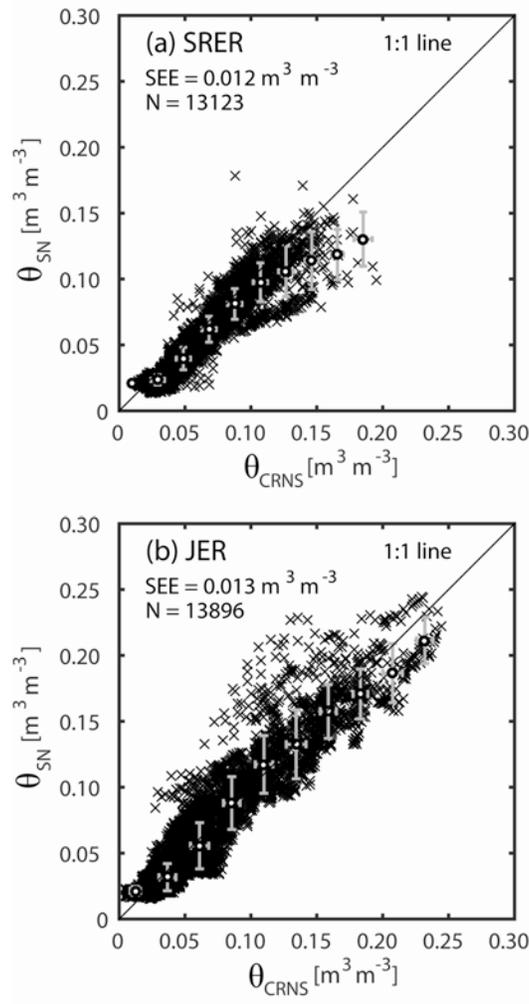


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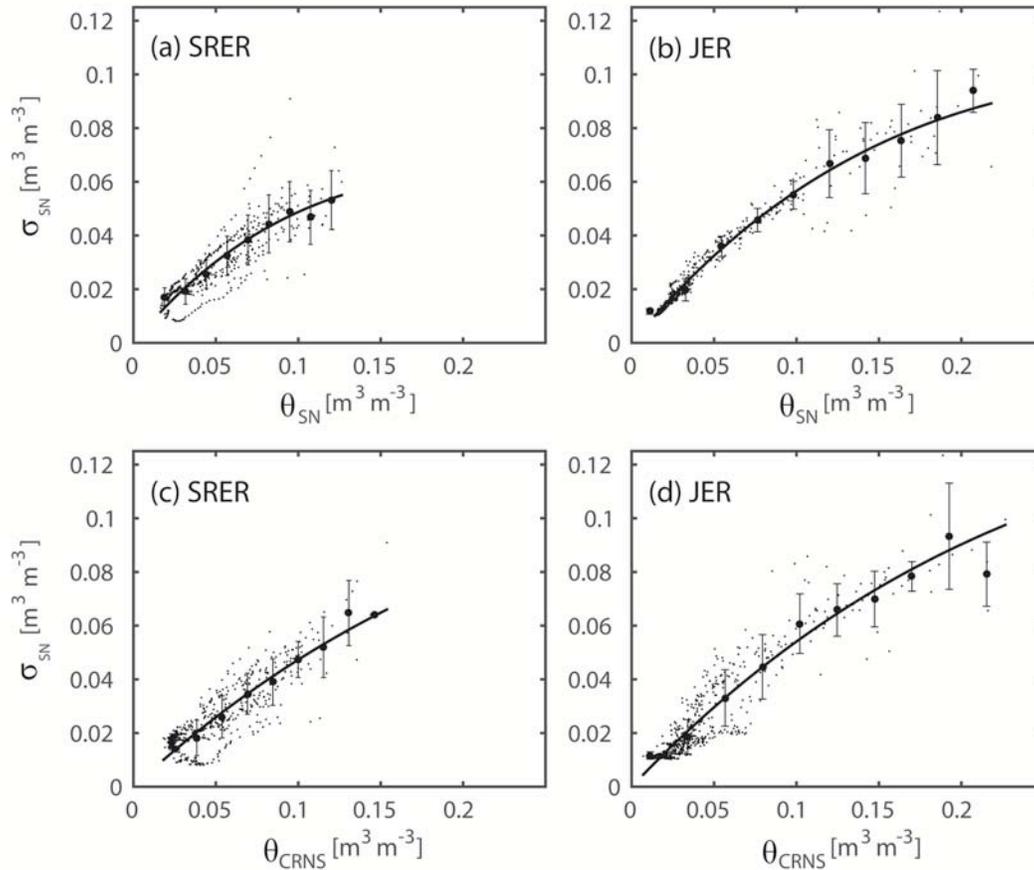
(Schreiner-McGraw et al., 2015, Fig. 4)



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(Schreiner-McGraw et al., 2015, Fig. 5)



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23 **(Schreiner-McGraw et al., 2015, Fig. 6)**

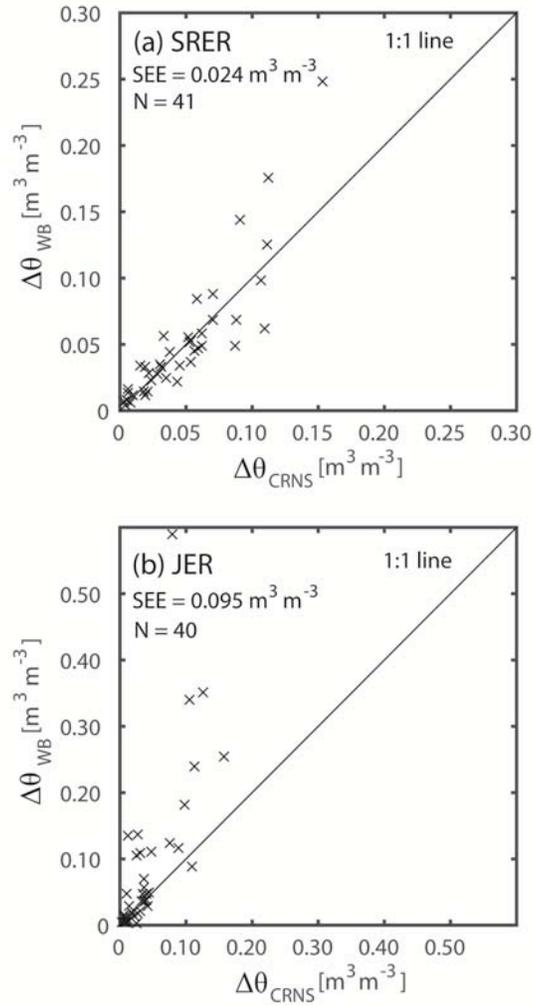


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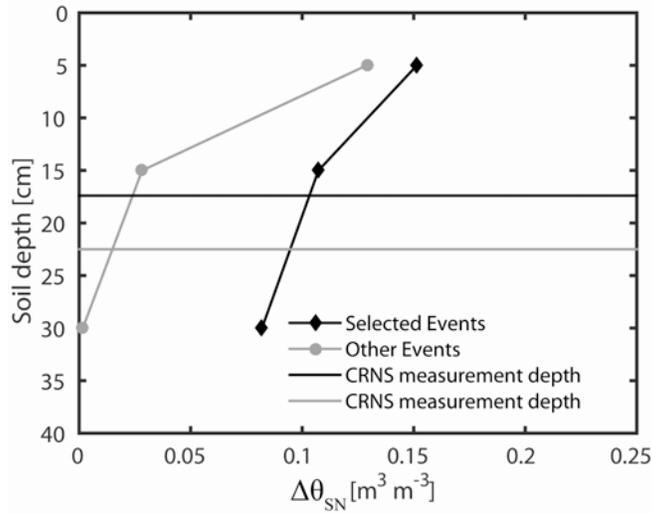
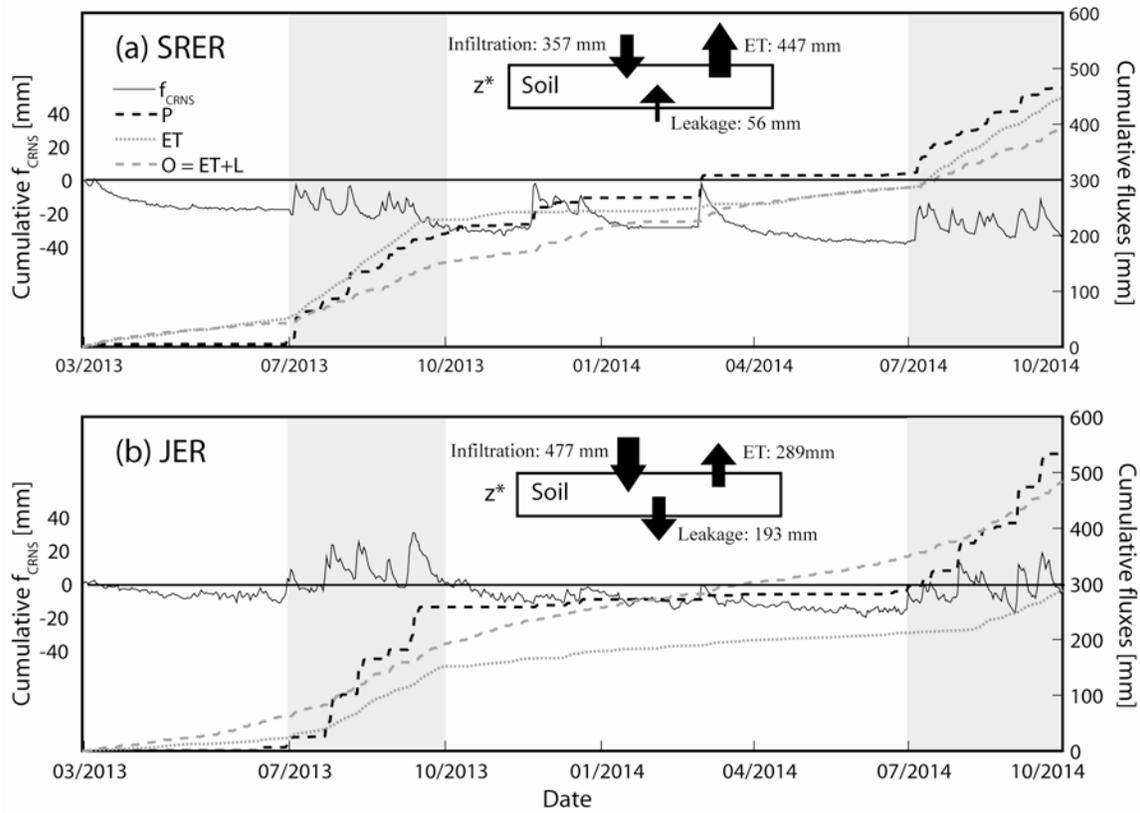


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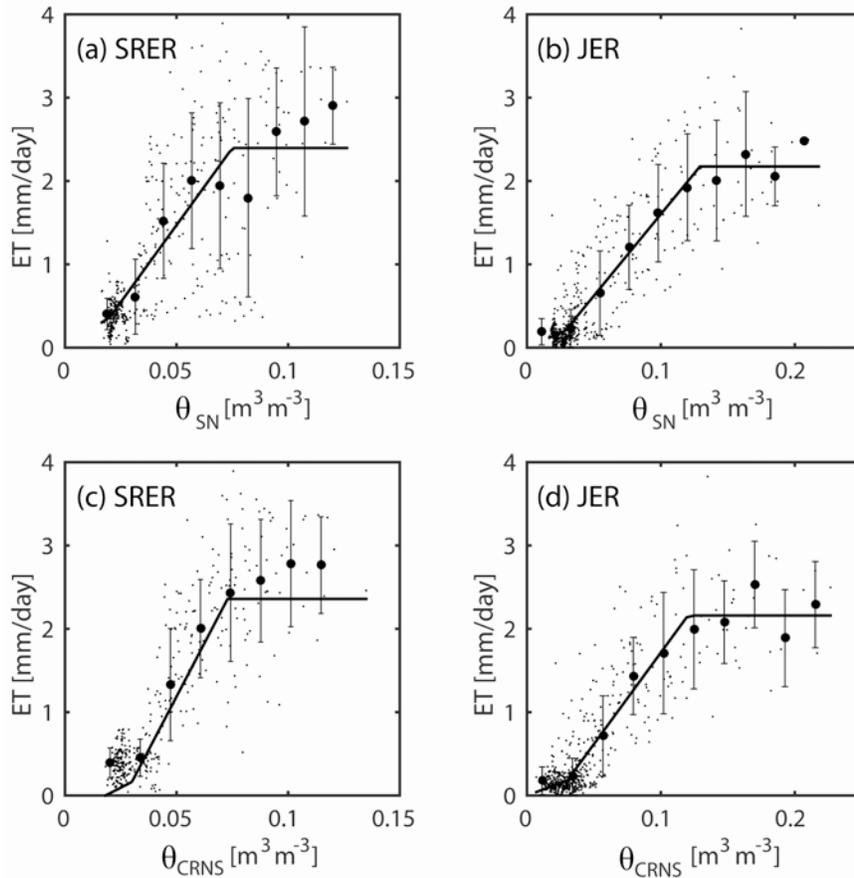
(Schreiner-McGraw et al., 2015, Fig. 8)



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(Schreiner-McGraw et al., 2015, Fig. 9)



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1 **Table Captions**

2 **Table 1:** Watershed and precipitation characteristics at the SRER and JER sites. Precipitation
3 values are long-term averages (1923-2014 at SRER and 1915-2006 at JER) for annual and
4 seasonal quantities, defined as fall (October-December), winter (January-March), spring (April-
5 June) and summer (July-September).

6
7 **Table 2:** Energy balance closure at SRER and JER using 30-min net radiation (R_n), ground (G),
8 latent (λE) and sensible (H) heat fluxes. The parameters m and b are the slope and intercept in the
9 relation $\lambda E + H = m(R_n - G) + b$, while the ratio of the sum of ($\lambda E + H$) to the sum of ($R_n - G$) is
10 a measure of how much available energy is accounted for in the turbulent fluxes.

11
12 **Table 3:** Soil properties at SRER and JER. Soil moisture values correspond to conditions during
13 the CRNS calibration dates (February 13, 2013 at SRER and February 10, 2013 at JER) for the
14 gravimetric sampling at 18 locations with six depths (θ_G), CRNS (θ_{CRNS}) and the sensor network
15 (θ_{SN}), each expressed as volumetric soil moisture using the soil bulk density (ρ_b) and soil
16 porosity (ϕ) of the samples. Mean values of θ_G , ρ_b and ϕ are shown along with the ± 1 standard
17 deviations. Particle size distributions were obtained from soil auger sampling of the top 45 cm at
18 20 locations at each site (Anderson, 2013). Mean values of percent clay, silt, sand and gravel are
19 shown along with the ± 1 standard deviations.

20
21 **Table 4:** Statistical comparisons of CRNS method with distributed sensor network and water
22 balance estimates based on the Standard Error of Estimates (SEE), Root Mean Square Error
23 (RMSE), Bias (B), and Correlation Coefficient (CC), described in Vivoni et al. (2008b). Values
24 in parentheses for JER indicate metrics when large rainfall events are excluded.

25

1 **Table 5:** Total water flux estimates from daily CRNS soil water balance method (f_{CRNS}) and daily
2 sensor measurements during study period at the SRER and JER sites. P is from rain gauge
3 measurements in both cases. L in CRNS is computed as $O - ET$ where ET is from EC method,
4 while L in sensor estimates is calculated from solving the water balance.

5
6 **Table 6:** Regression parameters for the relations of evapotranspiration and soil moisture (θ_{SN} and
7 θ_{CRNS}) at the SRER and JER sites along with the RMSE of the regressions. $\theta_h = 0$ in all cases.

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Characteristic (unit)	Value	SRER	JER
Watershed area (m ²)		12535	46734
Elevation (m)	mean	1166.6	1458.3
	max	1171.1	1467.5
	min	1160.9	1450.5
Slope (degree)	mean	3.2	3.9
	max	19.2	45
	min	2.1	0
Drainage density (1/m)		0.04	0.03
Major vegetation type (%)	shrubs	32%	27%
	cacti	6%	1%
	grasses	37%	6%
	bare soil	25%	66%
Precipitation (mm)	annual	364	251
	fall	72	54
	winter	69	31
	spring	26	32
	summer	197	134

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(Schreiner-McGraw et al., 2015, Table 1)

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Site	$\lambda E + H = m(R_n - G) + b$		$\frac{\sum \lambda E + H}{\sum R_n - G}$
	m	b	
SRER	0.72	17	0.85
JER	0.72	9.9	0.82

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Table 2: Energy balance closure at SRER and JER using 30-min net radiation (R_n), ground (G), latent (λE) and sensible (H) heat fluxes. The parameters m and b are the slope and intercept in the relation $\lambda E + H = m(R_n - G) + b$, while the ratio of the sum of ($\lambda E + H$) to the sum of ($R_n - G$) is a measure of how much available energy is accounted for in the turbulent fluxes.

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(Schreiner-McGraw et al., 2015, Table 2)

Property (unit)	SRER	JER
<i>Soil Moisture Calibration</i>		
θ_G (m ³ /m ³)	0.114 ± 0.023	0.056 ± 0.013
θ_{CRNS} (m ³ /m ³)	0.114	0.056
θ_{SN} (m ³ /m ³)	0.105	0.016
ρ_b (g/cm ³)	1.54 ± 0.18	1.30 ± 0.15
ϕ (m ³ /m ³)	0.42 ± 0.07	0.51 ± 0.06
<i>Particle Size Distribution</i>		
Clay (%)	5.2 ± 1.3 %	4.9 ± 1.1 %
Silt (%)	13.0 ± 2.2 %	28.5 ± 5.0 %
Sand (%)	72.5 ± 5.7 %	34.9 ± 8.3 %
Gravel (%)	9.3 ± 5.1 %	34.7 ± 11.5 %

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Table 3: Soil properties at SRER and JER. Soil moisture values correspond to conditions during the CRNS calibration dates (February 13, 2013 at SRER and February 10, 2013 at JER) for the gravimetric sampling at 18 locations with six depths (θ_G), CRNS (θ_{CRNS}) and the sensor network (θ_{SN}), each expressed as volumetric soil moisture using the soil bulk density (ρ_b) and soil porosity (ϕ) of the samples. Mean values of θ_G , ρ_b and ϕ are shown along with the ± 1 standard deviations. Particle size distributions were obtained from soil auger sampling of the top 45 cm at 20 locations at each site (Anderson, 2013). Mean values of percent clay, silt, sand and gravel are shown along with the ± 1 standard deviations.

(Schreiner-McGraw et al., 2015, Table 3)

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Metric (unit)	SRER	JER
θ_{CRNS} versus θ_{SN}		
RMSE (m ³ /m ³)	0.009	0.013
CC	0.949	0.946
B	1.117	1.019
SEE (m ³ /m ³)	0.012	0.013
$\Delta\theta_{CRNS}$ versus $\Delta\theta_{WB}$		
RMSE (m ³ /m ³)	0.001	0.082 (0.019)
CC	0.949	0.940 (0.945)
B	0.936	0.543 (0.903)
SEE (m ³ /m ³)	0.024	0.095 (0.020)

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Table 4: Statistical comparisons of CRNS method with distributed sensor network and water balance estimates based on the Standard Error of Estimates (SEE), Root Mean Square Error (RMSE), Bias (B), and Correlation Coefficient (CC), described in Vivoni et al. (2008b). Values in parentheses for JER indicate metrics when large rainfall events are excluded.

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(Schreiner-McGraw et al., 2015, Table 4)

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Water Flux	SRER	JER
<i>CRNS Estimates</i>		
Precipitation (P , mm)	464	533
Infiltration (I , mm)	357	477
Outflow (O , mm)	391	482
Leakage (L , mm)	-56	193
Outflow ratio (O/P)	0.84	0.90
Runoff ratio (Q/P)	0.23	0.11
<i>Sensor Measurements</i>		
Precipitation (P , mm)	464	533
Storage change ($\Delta\theta$, mm)	-13	26
Outflow (O , mm)	437	506
Leakage (L , mm)	-10	217
Evapotranspiration (ET , mm)	447	289
Evaporation ratio (ET/P)	0.96	0.54
Streamflow (Q , mm)	64	5
Runoff ratio (Q/P)	0.14	0.01

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6 **Table 5:** Total water flux estimates from daily CRNS soil water balance method (f_{CRNS}) and daily
7 sensor measurements during study period at the SRER and JER sites. P is from rain gauge
8 measurements in both cases. L in CRNS is computed as $O - ET$ where ET is from EC method,
9 while L in sensor estimates is calculated from solving the water balance.

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24 **(Schreiner-McGraw et al., 2015, Table 5)**

Site	Relation	ET_{max} (mm/day)	E_w (mm/day)	θ_w (m ³ /m ³)	θ^* (m ³ /m ³)	RMSE (mm/day)
SRER	$ET - \theta_{SN}$	2.61	0.41	0.03	0.07	1.15
	$ET - \theta_{CRNS}$	2.40	0.36	0.02	0.08	0.55
JER	$ET - \theta_{SN}$	2.16	0.18	0.03	0.12	0.34
	$ET - \theta_{CRNS}$	2.17	0.21	0.03	0.13	0.34

Table 6: Regression parameters for the relations of evapotranspiration and soil moisture (θ_{SN} and θ_{CRNS}) at the SRER and JER sites along with the RMSE of the regressions. $\theta_h = 0$ in all cases.

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(Schreiner-McGraw et al., 2015, Table 6)