Sensitivity of water stress in a two-layered sandy grassland soil to variations in groundwater depth and soil hydraulic parameters

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16 Abstract

Monitoring and modeling tools may improve irrigation strategies in precision agriculture. We 17 used non-invasive soil moisture monitoring, a crop growth and a soil hydrological model to 18 predict soil-water content fluctuations and crop yield in a heterogeneous sandy grassland soil 19 under supplementary irrigation. The sensitivity of the model to hydraulic parameters, water 20 stress, crop yield and lower boundary conditions was assessed. Free drainage and incremental 21 constant head conditions was implemented in a lower boundary sensitivity analysis. A time-22 23 dependent sensitivity analysis showed that changes in soil water content are mainly affected by the soil saturated hydraulic conductivity K_s and the Mualem-van Genuchten retention 24 25 curve shape parameters n and α . Results further showed that different parameter optimization

strategies (two-, three-, four- or six-parameter optimizations) did not affect the calculated 1 2 water stress and water content as significantly as does the bottom boundary. For this case, a two-parameter scenario, where K_s was optimized for each layer under the condition of a 3 constant groundwater depth at 135-140 cm, performed best. A larger yield reduction, and a 4 larger number and longer duration of stress conditions occurred in the free drainage condition 5 as compared to constant boundary conditions. Numerical results showed that optimal 6 7 irrigation scheduling using the aforementioned water stress calculations can save up to 12-22% irrigation water as compared to the current irrigation regime. This resulted in a yield 8 9 increase of 4.5-6.5%, simulated by crop growth model.

Keywords: soil hydrological model; crop model; sensitivity analysis; groundwater level; soil
water stress; irrigation management, saturated hydraulic conductivity, crop yield

12 **1 Introduction**

Efficient water use and optimal water supply to increase food and fodder productivity are of 13 great importance when confronted with worldwide water scarcity, climate change, growing 14 15 populations and increasing water demands (FAO, 2011). In this respect, irrigation efficiency which is influenced by the type of irrigation and irrigation scheduling is essential for 16 17 achieving higher water productivity. In particular, precision irrigation is adopting new methods of accurate irrigation scheduling (Jones, 2004). Various irrigation scheduling 18 19 approaches such as soil-based, weather-based, crop-based, and canopy temperature-based methods have been presented (Jones, 2004; Mohanty et al., 2013; Pardossi et al., 2009; Evett et 20 al., 2008;Nosetto et al., 2012;Huo et al., 2012). 21

22 Numerical models are increasingly adopted in water resources planning and management. They contain numerical solutions of the Richards' equation (Richards, 1931) for water flow 23 24 and root water uptake (Fernández-Gálvez et al., 2006; Vrugt et al., 2001; Skaggs et al., 2006) or contain reservoir cascade schemes (Gandolfi et al., 2006). Hydrological models require 25 26 determination of hydraulic properties (Šimůnek and Hopmans, 2002), upper boundary conditions related to atmospheric forcing (evapotranspiration and precipitation) (Brutsaert, 27 2005;Nosetto et al., 2012) and groundwater dynamics at the lower boundary of the soil profile 28 (Gandolfi et al., 2006). Numerical models such as Hydrus 1D (Šimůnek et al., 2013) have 29 30 been used in a wide range of irrigation management applications, for example, by Sadeghi and Jones (2012), Tafteh and Sepaskhah (2012), Akhtar et al. (2013), and Satchithanantham et 31

al. (2014). The tool has been combined with crop-based models for accurate irrigation 1 purposes and for predicting the crop productivity for cotton (Akhtar et al., 2013), vegetables 2 and winter wheat (Awan et al., 2012). The degree of soil-water stress was used for irrigation 3 management by coupling a hydrological model (Hydrus-1D) with a crop-growth model 4 (WOFOST) for maize (Li et al., 2012) and wheat (Zhou et al., 2012). The importance of 5 correct average representation of the soil-plant-atmosphere interaction in numerical models 6 7 has been stressed by (Wollschlager et al., 2009). A combination of crop growth model and the 8 hydrological model enables calculating crop yield reduction based on soil-water stress derived 9 by the hydrological model.

10 Direct measurement of hydraulic parameters may be inaccurate for predictions at the field scale (Verbist et al., 2012; Wöhling et al., 2008). As an alternative, parameters can be 11 determined by inverse modeling. A single-objective inverse parameter estimation using the 12 Levenberg-Marquardt optimization procedures has been used in different studies (Abbasi et 13 al., 2004; Jacques et al., 2012; Šimůnek et al., 2013). A typical challenge in parameter 14 optimization is the non-uniqueness of the parameters, leading to parameter identifiability 15 problems (Hopmans et al., 2002). Non-uniqueness can be reduced by decreasing the number 16 of parameters to be estimated based on a sensitivity analysis. Sensitivity analysis has been 17 used to optimize parameter estimation, to reduce parameter uncertainty (Rocha et al., 2006), 18 and to investigate the effects of various parameters or processes on water flow and transport 19 20 (van Genuchten et al., 2012).

In this study, we used a combination of soil moisture monitoring and modeling to estimate 21 hydraulic properties and to predict soil-water content in a two layered sandy soil for precision 22 irrigation management purposes. The objective of this paper is to investigate the impact of 23 parameter estimation and boundary conditions on the irrigation requirements, calculated using 24 a soil hydrological model in combination with a crop growth model. The effect of changing 25 bottom boundary conditions on model performance was evaluated in a first step. A systematic 26 local sensitivity analysis was then used to identify dominant hydraulic model parameters. This 27 28 was followed by a model calibration using inverse modeling with field data to estimate the hydraulic properties. Finally, the degree of soil-water stress was calculated with different 29 parameterization scenarios to show to what extent hydrological model parameter choice and 30 31 boundary conditions affect estimations of irrigation requirement and crop yield.

2 Materials and Methods

2 2.1 Description of the Study Site

3 The study site is located in a sandy agricultural area at the border between Belgium and the Netherlands (with central coordinates 51°19'05" N, 05°10'40" E), characterized by a 4 temperate maritime climate with mild winters and cool summers. During the study period 5 2011-2013, the farmer cultivated grass. The farm is almost flat (less than 1% sloping up from 6 NW to SE) and runoff is not considered to be important. The measured depth of the 7 groundwater table was between 80 and 155 cm and the Ap horizon thickness was between 30 8 and 50 cm below the soil surface at various locations across the field depending on the 9 topography. The field is partly drained by parallel drainage pipes which are placed at 10 to 20 10 11 m intervals and at around 90 cm below the soil surface (as measured in the ditch). Drainage pipes are connected to a ditch in the North-West border of the field. Figure 1 shows the 12 location and layout of the field. Reel Sprinkler Gun irrigation (type Bauer rainstar E55, 13 Röhren- und Pumpenwerk BAUER Ges.m.b.H., Austria) was used on a 290 m by 400 m field 14 15 to improve crop growth in the sandy soil during dry periods in summer. The field was irrigated three times throughout each growing season (2012: 64.5 mm and 2013: 85.4 mm). 16

17 Figure 2 shows the soil profile, a typical Podzol (Zcg-Zbg type according to the Belgian soil classification or cambisol according to WRB, (FAO, 1998)) consisting of a uniform dark 18 brown layer of sandy soil (Ap horizon, 0 to 33 cm) with elevated organic matter content, 19 followed by a yellowish to white sandy soil, including stones and gravels, (C1 horizon, 33 to 20 21 70 cm). A deeper horizon is light gray sandy soil (C2 horizon, 70 to 135 cm), including more 22 stones and gravels (max 20%), but having similar hydraulic properties as the C1 horizon. 23 Maximum grass root density was found at about 6 cm and decreased from 6 to 33 cm (based on field observation). The properties of the two layers are summarized in Table 1. 24

25

26 2.2 Field Monitoring System

The site was equipped with two weather stations (type CM10, Campbell Scientific Inc., Utah, USA), one in the study field and another 100 m away from the field. Soil-water content was recorded (from 1 Mar. until 25 Nov. in both 2012 and 2013) using a water content profile probe (type EasyAG50, Sentek Technologies Ltd., Stepney, Australia), placed vertically, that

measures soil-water content at 10, 20, 30, 40 and 50 cm depths. The weather stations were 1 connected to a CR800 data logger (Campbell Scientific Inc., Utah, USA) and the water 2 content profile probe provided the soil water content wirelessly. All measurements were taken 3 on an hourly basis and an hourly reference evapotranspiration was calculated based on the 4 Penman-Monteith equation (Allen et al., 1998) using weather station data. The amount of 5 irrigation was derived by subtracting measurements of rain gauges of the field's weather 6 7 station (i.e. rainfall and irrigation) and the local meteorological station (i.e. only rainfall) outside the study field. Grass yield was measured at each harvesting time (4 times in each 8 9 growing season) across the field (Fig. 3).

At the sensor location (indicated by the star on the map in Figure 1), duplicate undisturbed 10 (100 cm³ Kopecky rings, Eijkelkamp Agrisearch Equipment, Giesbeek, the Netherlands) soil 11 12 samples were taken to determine the soil saturated hydraulic conductivity and water retention curve, and one disturbed sample to measure soil properties such as texture, dry bulk density 13 and organic matter, from the Ap (topsoil) and C (subsoil) horizons in June 2013. Groundwater 14 depth at the sensor location was measured four times on 4 June and 5 October 2012 (140 and 15 136 cm, respectively), and 24 June and 25 October 2013 (135 and 133 cm, respectively) using 16 17 augering.

18 The saturated hydraulic conductivity (K_s) was determined using a constant head laboratory permeameter (M1-0902e, Eijkelkamp Agrisearch Equipment, Giesbeek, the Netherlands). The 19 20 soil-water retention curve, (SWRC, $\theta(h)$), was determined using the sandbox method (Eijkelkamp Agrisearch Equipment, Giesbeek, the Netherlands) up to a matric head of -100 21 22 cm and the standard pressure plate apparatus (Soil moisture Equipment, Santa Barbara CA, USA) for matric heads equal to or below -200 cm, following the procedure outlined in 23 24 (Cornelis et al., 2005). Bulk density was obtained by drying volumetric soil samples (100 cm³) at 105 °C. Particle size distribution of the mineral component was obtained using the 25 pipette method for clay and silt fractions and the sieving method for sand particles (Gee and 26 Bauder, 1986). The organic matter content was determined by method of Walkley and Black 27 28 (1934).

Soil hydraulic properties were determined according to the van Genuchten (1980) and Mualem (1976) conductivity model (MVG model). The parameters of the water retention equation were fitted to the observed data set using the RETC, version 6.02 (van Genuchten et al., 1991). The MVG model (Mualem, 1976;van Genuchten, 1980) is given by:

$$S_e = \frac{\theta - \theta_r}{\theta_s - \theta_r} \tag{1}$$

$$S_e(h) = 1 \qquad h \ge 0 \tag{2}$$

$$S_e(h) = (1 + |\alpha h|^n)^{-m}$$
 $h < 0; \text{ where } m = 1 - \frac{1}{n}$ (3)

$$K(S_e) = K_s S_e^{\ l} \left[1 - (1 - S_e^{\frac{1}{m}})^m \right]^2$$
(4)

1 where θ_s , θ_r , and θ are the saturated, residual and actual volumetric water content respectively 2 (L³L⁻³), α is the inverse of air entry value (L⁻¹), *n* is a pore size distribution index > 1, *m*=1-1/*n* 3 (dimensionless), S_e is the effective saturation (dimensionless), and *l* is a pore connectivity and 4 tortuosity parameter in the hydraulic conductivity function, which is assumed to be 0.5 as an 5 average for many soils (Mualem, 1976).

6

7 2.3 Modeling at Monitoring Locations

8 2.3.1 Simulation of leaf area index and grass yield

The simple generic crop growth model, LINGRA-N model (Wolf, 2012) which can calculate 9 grass growth and yields under potential (i.e. optimal), water limited (i.e. rain fed) and 10 11 nitrogen limited growing conditions, was used to calculate the leaf area index (LAI) and grass yield. This tool was calibrated and tested for perennial rye grass and natural annual grass over 12 Europe (Barrett et al., 2004;Schapendonk et al., 1998). LINGRA-N simulates the growth of a 13 grass crop as a function of intercepted radiation, temperature, light use efficiency and 14 15 available water (Wolf, 2012). The LAI and crop growth simulations were carried out from 1 January 2012 to 31 December 2013. The model calculated LAI and yield on a daily time 16 intervals using daily weather data, solar radiation (kJ m-2 d-1), minimum temperature (0C), 17 maximum temperature (0C), vapour pressure (kPa), wind speed (m s-1) and precipitation (mm 18 d-1). A grass crop data file is available mainly derived from WOFOST. Soil data for our soil 19 were produced using measured values of soil moisture content at air dry (pF=6), wilting point 20 (pF=4.2), field capacity (pF=2.3) and at saturation and also percolation to deeper soil layers 21 (cm day-1) in the laboratory. The maximum rooting depth was adjusted to 40 cm. Irrigation 22 23 supply was imposed at the specific applied times with optimal nitrate application. The 24 simulated LAI was scaled to an hourly basis using linear interpolation between two adjacent simulated daily values of LAI. The model was run for optimal (no water limitation) and
 realistic conditions (actual water inlet i.e. irrigation and rainfall) for each growing season.
 Figure 3 represents predicted LAI and grass yield of 2012 and 2013.

4 2.3.2 Simulation of Water Flow

5 The simulated soil profile in the model extends to 150 cm depth and is divided into two 6 layers: Layer 1 (0 to 33 cm) and Layer 2 (33 to 150 cm). Simulation of root water uptake and 7 water flow, which is assumed to be in the vertical direction in the vadose zone, was carried 8 out for two growing seasons (from 1 Mar. until 25 Nov. in 2012 and 2013) using Hydrus-1D 9 version 4.16 which solves the 1-D Richards' equation:

$$\frac{\partial\theta}{\partial t} = \frac{\partial}{\partial z} \left[K(h) \left(\frac{\partial h(\theta)}{\partial z} + 1 \right) \right] - S(h)$$
(5)

10 where θ is the volumetric water content (L³L⁻³), *t* is time (T), *z* is the radial and vertical space 11 coordinate taken positive downward (L), *K*(*h*) is the unsaturated hydraulic conductivity 12 function (LT⁻¹), *h* is the pressure head (L), and *S*(*h*) represents a sink term (L³L⁻³T⁻¹), defined 13 as the volume of water removed from a unit volume of soil per unit time due to plant water 14 uptake.

15 To solve the Eq. 5, the van Genuchten-Mualem (MVG) soil hydraulic model (Eqs. 1-4) without air entry value and hysteresis was used. The initial pressure head distribution was 16 calculated using the inverse of Equation (3), $h(S_e)$, from the measured initial water content of 17 each observation node. These point values were then interpolated linearly from the deepest 18 observation node to the groundwater level (h=0, GWL). The pore connectivity parameter of 19 the MVG model was fixed at l=0.5. The upper condition for water flow was an atmospheric 20 boundary condition (based on rainfall and irrigation water supply, leaf area index (LAI) 21 calculated by LINGRA-N (see 2.3.1) and reference evapotranspiration (ET_0)) with surface 22 23 runoff. The ET_0 was initially used without adjusting the crop coefficient assuming that grass at our site did not differ much from the reference crop. The Feddes' model (Feddes et al., 24 25 1978) without solute stress was used for root water uptake. The default grass parameters values provided by Hydrus-1D were used (Taylor and Ashcroft, 1972). 26

1 2.4 Soil-Water Stress and yield reduction

2 The Feddes' model (Feddes et al., 1978) as the sink term of Richards' equation Eq. (5), S(h),
3 is specified in terms of quantify potential root water uptake and water stress, as:

$$S(h) = w(h)R(x)T_p \tag{6}$$

where R(x) is the root distribution function (cm), T_p is potential transpiration (cmh⁻¹), and w_(h) is the water stress response function ($0 \le w_{(h)} \le 1$) which prescribes the reduction in uptake that occurs due to drought stress (Šimůnek et al., 2013). Crop specific values of this reduction function are chosen from the default Hydrus data set. The actual plant transpiration is calculated numerically, as:

$$T_a = \int_{Lr} S(h)dx = T_p \int_{Lr} w(h)R(x)dx$$
(7)

9 Where *L*r is the rooting depth (cm).

By assuming root water uptake is equal to actual transpiration, the ratio of actual to potential
transpiration by the root uptake was introduced as a degree of water stress, DWS, (Jarvis,
12 1989), as:

$$DWS = \frac{T_a}{T_p} = \int_{Lr} w(h)R(x)dx$$
(8)

The effect of the boundary conditions and parameter uncertainty on soil-water stress was evaluated using the ratio between the calculated actual water uptake/actual transpiration and the potential transpiration provided by the model (Li et al., 2012;Zhou et al., 2012). In optimal and stress free conditions, this ratio should be (close to) unity (>0.90 of maximum reference evapotranspiration).

The ratio between actual crop evapotranspiration and potential evapotranspiration was
introduced as a water stress factor equal to the crop yield reduction due to water shortage
(Doorenbos and Kassam, 1979), given as:

$$1 - \frac{Y_a}{Y_m} = K_y \left(1 - \frac{ET_a}{ET_p}\right) \tag{9}$$

1 Where Y_a is actual crop yield, Y_m is the maximum crop yield in optimal condition, K_y is the 2 crop yield factor (for grass $K_y=1$), ETa is actual crop evapotranspiration estimated by the 3 model. The Y_m value was simulated using LINGRA-N in optimal condition (no water stress) 4 for 2012 and 2013 growing seasons. ET_p is potential evapotranspiration and can be calculated 5 from the reference evapotranspiration by:

$$ET_p = ET_0 \times K_c \tag{10}$$

Where K_c is the crop coefficient and equal to one, assuming that grass at our site did not differ
much from the reference crop. Accordingly, crop yield reduction of each scenario was
calculated using Eq. 9 for both periods to show to what extent different scenarios affect soil
water stress and crop yield.

10 **2.5 Sensitivity Analysis**

The effect of each input factor or parameter to the model output is determined by a local 11 sensitivity analysis (SA), using a one-at-a-time (OAT) approach. We used this approach 12 because it allows a clear identification of single parameter effects. Relevant parameters have 13 major effects on output variables with only a small change in their value (Saltelli et al., 2008). 14 Sensitivity analysis is, among other purposes, used to find the most relevant parameters which 15 enable a reduction of the number of parameters that need to be optimized. In a local 16 sensitivity analysis, only the local properties of the parameter values are taken into account in 17 contrast to global sensitivity analysis which computing a number of local sensitivities. Since 18 the interest in this study goes specifically to the measured (parameter) values in the field, a 19 local sensitivity analysis is chosen. Furthermore, an OAT approach (local or global) does not 20 21 provide direct information about higher and total order parameter interaction as is provided by variance based sensitivity analysis (Saltelli et al., 2008). However, by evaluating the 22 23 parameter sensitivities in time, insight is given about potential interaction when similar individual effects are observed. The latter can be quantified by a collinearity analysis (Brun et 24 25 al., 2001), but will be done graphically in this contribution. Here, a dynamic (time-variable) local sensitivity analysis was conducted by linking Equations (11-14), programmed in Python 26 27 software (https://www.python.org/) to Hydrus-1D. A dynamic sensitivity function can be written as follows: 28

$$SA(t) = \frac{\partial y(t)}{\partial x}$$
(11)

1 where SA(t), y(t), and x denote the sensitivity function, output variable and 2 parameter respectively. If an output variable (y) significantly changes (evaluated by 3 calculating the variance or coefficient of determination or by visualizing in a scatter 4 plot) due to small changes of the parameter of interest x, it is called a sensitive parameter.

5 This partial derivative can be calculated analytically or numerically with a finite different 6 approach by a local linearity assumption of the model on the parameters. Local sensitivity 7 functions evaluate the partial derivative around the nominal parameter values. The central 8 differences of the sensitivity function are used to rank the parameter sensitivities and can be 9 expressed as follows:

$$\Delta \mathbf{x} = \mathbf{p}_f \cdot \mathbf{x}_j \tag{12}$$

$$CAS = \frac{\partial y(t)}{\partial x} = \lim_{\Delta x_j} \frac{y(t, x_j + \Delta x_j) - y(t, x_j - \Delta x_j)}{2\Delta x_j}$$
(13)

$$CTRS = \frac{\partial y(t)}{\partial x} \cdot \frac{x_j}{y}, \qquad CPRS = \frac{\partial y(t)}{\partial x} \cdot x_j \qquad (14)$$

10 where p_f is the perturbation factor, x_i is the parameter value and Δx_i is the perturbation, CAS is the Central Absolute Sensitivity, CTRS is the Central Total Relative Sensitivity analysis, and 11 12 CPRS is a Central Parameter Relative Sensitivity. Since the parameters and variables have different orders of magnitude for which the sensitivity is calculated, direct comparison of the 13 14 sensitivity indices with CAS is not possible. Hence, recalculation towards relative and comparable values is needed. In order to compare the sensitivity of the different parameters 15 16 towards the different variables, CTRS is preferred. CPRS is sufficient when the sensitivity of different parameters is compared for a single variable, i.e., soil-water content. 17

Given the output accuracy of Hydrus-1D (0.001), a perturbation factor of 0.1 was chosen. To carry out the sensitivity analysis, each hydraulic parameter (K_s , θ_r , θ_s , α , and n) in each layer was varied (measured value \pm perturbation factor) and its CTRS was calculated (Eq. 13-14), while the values of other parameters were fixed to the measured values. The model was ran in forward mode 20 times, i.e., 10 runs for each layer and two runs for each parameter. A weak direct effect of a parameter in SA is denoted by low absolute values close to zero. A positive effect is expressed by a positive value and a negative effect by a negative value.

1 2.6 Model Calibration and validation

2 2.6.1 Model calibration

For accurate parameter estimation, the longer period such a growing season (i.e. 2012) with 3 several drying and wetting events was selected. It is also suggested by Wöhling et al. 4 (2009);Wöhling et al. (2008). Therefore, the period between 1 Mar. 2012 (00:00 h) and 25 5 Nov. 2012 (23:00 h) was used as the calibration period. We used a time interval of two hours, 6 7 resulting in 12960 soil-water content records based on hourly precipitation and evaporation 8 input data. Based on our experience we found out those number of data are sufficient for 9 optimization purposes. The objective functions to be optimized were soil water content and water retention data for both soil layers with unit weighting. In the calibration, we optimized 10 only the values of the most sensitive parameters (K_s , n, and α) of the two layers, taking initial 11 values of hydraulic parameters for each layer equal to the values estimated by the RETC 12 program for the independent field samples, while keeping the insensitive hydraulic parameters 13 (θ_s, θ_r) fixed to the measured values. Thirty seven parameter optimization scenarios were 14 selected and analyzed to identify correlations among optimized parameters and to identify the 15 16 most influential parameter sets on soil water stress and water content in different lower boundary conditions. The thirty seven scenarios comprised optimizing all six parameters 17 simultaneously (1 scenario), four parameters (9 scenarios), three parameters (18 scenarios) 18 19 and two parameters (9 scenarios). Finally, the best performing parameter set - based on performance criteria, the correlation between optimized parameters (non-uniqueness of the 20 21 parameter sets) and the visual inspection of simulated and observed soil-water content - was 22 selected for validation using independent data from 2013 (from 1 Mar. until 12 Sep. 2013).

23

24 **2.6.2 Model Evaluation and Statistical Analysis**

The performance of models can be evaluated with a variety of statistics (Neuman and Wierenga, 2003). It has been known that there is no efficiency criteria which performs ideally. Each of the criteria has specific pros and cons which have to be taken into account during model calibration and evaluation. It suggested a combination of different efficiency criteria to assess of the absolute or relative volume error (Krause et al., 2005). The root-mean-square errors (RMSE), the coefficient of determination (r^2), and the Nash–Sutcliffe coefficient of model efficiency (C_e) (American Society of Civil Engineers, 1993), are popular and widely used performance criteria to evaluate the difference between observed and modeled data
 (Wöhling and Vrugt, 2011;Verbist et al., 2012;Gandolfi et al., 2006;Vrugt et al.,
 2004;Wollschlager et al., 2009;Nasta et al., 2013;Verbist et al., 2009).They are calculated as
 follows:

$$C_e = 1 - \frac{\sum_{i=1}^{n} (O_i - S_i)^2}{\sum_{i=1}^{n} (O_i - \bar{O})^2}$$
(15)

$$r^{2} = \left(\frac{\sum_{i=1}^{n} (O_{i} - \bar{O})(S_{i} - \bar{S})}{\sqrt{\sum_{i=1}^{n} (S_{i} - \bar{S})^{2} \sum_{i=1}^{n} (O_{i} - \bar{O})^{2}}}\right)^{2}$$
(16)

$$RMSE = \sqrt{\frac{\sum_{i}^{n} (O_i - S_i)^2}{n}}$$
(17)

5

6 where *O* and *S* are observed and simulated values at time/place *i*, respectively.

7 $C_{\rm e}$ and r^2 are considered to be satisfying when they are close to one, while RSME should be 8 close to zero. $C_{\rm e}$ may result in negative values when the mean square error exceeds the 9 variance (Hall, 2001).

10 2.7 Irrigation Scheduling

The value of soil-water stress, and the number and the duration of stress periods was 11 calculated for two growing seasons (2012 and 2013), as an indicator for the performance of 12 the irrigation scheduling (van Dam et al., 2008). To optimize the irrigation scheduling (timing 13 of application), the actual water supply (all irrigation events) was deleted from the model 14 input of the hydrological model. Secondly, the LAI simulated with the LINGRA-N for 15 optimal conditions (no water stress) was used as a variable in the hydrological model. Then, 16 17 the hydrological model with a constant bottom boundary condition was run with the new input variables to elucidate water stress without actual water supply. Subsequently, the 18 19 required irrigation was added to the precipitation at the beginning of each water stress period to exclude water stress from the simulations. To simulate crop yield at the optimized 20 21 condition, the new precipitation variables (rainfall and required irrigation) were used in LINGRA-N model. The optimal yield obtained using the optimized irrigation scheduling was 22

compared to the actual (simulated and measured) yield of current irrigation management
 practices.

3 Results and Discussion

4 **3.1 Parameter Sensitivity Analysis**

5 Due to the variable rainfall, irrigation, evapotranspiration and drainage, the soil-water content 6 changes in the soil profile, and, consequently, parameter sensitivities are time dependent. The 7 soil-water content has a low sensitivity to θ_s and θ_r , especially for the second layer. Low 8 sensitivities to θ_r have been reported by others (Kelleners et al., 2005;Mertens et al., 9 2006;Wöhling et al., 2008).

Figure 4 illustrates the results of the sensitivity analysis as a function of time for the most influential parameters α , n, and K_s , and for both soil layers as depicted by the suffix 1 for layer 1 and suffix 2 for layer 2. A weak direct effect of a parameter is reflected by low absolute values (close to zero).

14 The results show for all parameters a general change in sensitivity with time with the seasonal changes in irrigation application and rainfall. Generally, all soil hydraulic parameters showed 15 16 higher sensitivity in dry periods as compared to wet periods. On the other hand, there is a clear effect of parameter variability in layer 1 on water content estimation at 10 cm, and the 17 18 effect is slightly declining at 20 and 30 cm, which suggested the great importance and influence of upper boundary variables especially evapotranspiration. Similar results were 19 20 observed by Rocha et al. (2006). They found soil water content and pressure heads were most sensitive to hydraulic parameters variation in the dry period near the soil surface using local 21 22 sensitivity analysis of Hydrus.

Soil-water content is sensitive to variations of α , n, and K_s in both layers. The sensitivity is the largest for n, α and less so for K_s in the first layer. For the second layer, soil-water content was most sensitive to α followed by n and K_s . Abbasi et al. (2003) reported that n, θ_s and K_s were most sensitive parameters in their study which more pronounced in deeper parts, however they also observed some sensitivity near the soil surface during the drier conditions. The most sensitive parameters were θ_s , n and α and less sensitive parameter was K_s in study of Schneider et al. (2013) using Hydrus-1D. They found large interaction (correlation) among sensitive parameters. In contrast, Wegehenkel and Beyrich (2014) found that only θ_r and θ_s are more sensitive than α , n, and K_s input parameters for soil water content simulation using hydrus-1D. In dry periods, there is a general negative correlation between n and α on the one hand and soil-water content on the other hand, whereas a positive correlation exists between K_s and soil-water content (Fig. 4). Figure 4 shows that in the first layer, the soil-water content is more influenced by rainfall at 10 cm than at 30 cm (higher and lower sensitivity for observation nodes 10 and 30 cm, respectively, within first layer).

8 The fact that the model predictions in the upper part of the soil profile are extremely sensitive 9 to variations in hydraulic parameters in dry periods, is of great importance to irrigation 10 management. To improve the timing of irrigation in these crucial periods, numerical soil 11 models that are used to determine irrigation requirement, need to be well parameterized for α , 12 *n* and $K_{\rm s}$.

13 **3.2 Model Calibration**

Since soil-water content prediction was insensitive to the parameters θ_s and θ_r , they were fixed to the measured (initial) values (Table 1). Similar strategies were used by (Verbist et al., 2012;Schwartz and Evett, 2002).

17 The model was run inversely using time series of soil-water content with values for α , n and K_s being optimized for the two layers (i.e., six-parameter optimization scenario). A significant 18 correlation appears between optimized α and K_s for both layers (layer 1: r= 0.85; layer 2: 19 20 r=0.95 constant head; and layer 1: r=0.82; layer 2: r=0.80 free drainage) and between optimized n and α (both layers: r=-0.99 constant head; and layer 1: r=-0.83 and layer 2: r=-21 22 0.84 free drainage) within each layer, but not between layers. On the other hand, there is a significant correlation between n and K_s in both layers (layer 1: r = -0.85; layer 2: r = -0.9423 constant head; and layer 1: r = -0.75; layer 2: r = -0.98 free drainage). This means that α , n, and 24 K_s within one layer cannot be determined independently and different sets of correlated 25 parameters lead to very similar predictions of soil-water content. The high correlation 26 between optimized parameters within a layer leads to a large uncertainty of the final 27 parameter estimates (Hopmans et al., 2002). To avoid non-uniqueness of the inverse solution 28 29 (Šimůnek and Hopmans, 2002), 36 additional systematic four-, three- and two-parameter optimizations were conducted. All optimizations resulting in correlations among the 30 optimized parameters were removed and only the optimization scenarios with the uncorrelated 31

parameters were kept. This resulted in parameter values as shown in Table 2 for a constant
head corresponding to a groundwater depth of -140 cm and free drainage. For comparison
purposes, six parameter scenario (all parameters optimized) and only the best performing
optimization with two parameters is presented for the other boundary condition (i.e., GWL = 120 cm).

6 The performance results of the parameter optimizations according to the performance criteria 7 for all scenarios with uncorrelated parameters and different boundary conditions are presented 8 in Table 3, together with the performance of the six parameter scenario. The results show that a two parameter optimization (optimizing only K_s in both layers) performs equally well as 9 compared to a six-, four- or three-parameter scenario for all performance criteria and 10 observation depths. However, parameters in the six parameter scenario are considered 11 12 unidentifiable due to their correlations. In this case, the model was not able to find a global minimum but found a local minimum (Marquardt-Levenberg method) due to the high 13 dimensionality of the problem (Ritter et al., 2003) and the large uncertainty of the optimized 14 15 values.

16 Large differences in model performance were obtained when using free drainage or constant head conditions (Table 3). After optimization, the r^2 for different free drainage and constant 17 head conditions and various optimization scenarios was similar, while C_e and RSME were 18 19 different. Overall, the performance of the model to predict soil-water content at 40 cm was 20 lowest. The model performs well for the 10, 20, and 30 cm depths where the plant roots are 21 concentrated and which are consequently the most critical in terms of irrigation optimization. 22 The model with a constant head (-140 cm) clearly performed better than the free drainage boundary condition. The smallest differences were detected at the top node (10 cm) compared 23 24 to deeper nodes in constant head and free drainage conditions. The optimization approach showed that the free drainage condition was unsuccessful to predict soil water content 25 sufficiently well in agreement with observations, even using different parameter estimations. 26

The two-parameter scenario requires less parameters (one parameter for each layer) to be optimized, performs better as compared to the uncalibrated model (see supplementary materials) and is therefore to be preferred. Large confidence limits indicate uncertain estimations of a particular parameter (Šimůnek and Hopmans, 2002). The optimized K_s with 95% confidence limits (CL) for the first and second layer were 1.20 (1.15 – 1.24) cm.h⁻¹, and 2.17 (2.06 – 2.26) cm.h⁻¹, respectively, in the two-parameter scenario with -140 cm GWL. Therefore, this optimization result was considered the best and was chosen for the evaluation
 run.

3 3.3 Model Evaluation

The validation results (using the same hydraulic parameters values as in the calibration 4 5 period) under different upper (rainfall and water supply, ET_o, LAI) and lower (groundwater depth, i.e. -135 cm) boundary conditions, show that model performance during the calibration 6 7 was superior to the validation period at all observation depths (Fig. 5, Table 3). The same 8 result was reported by (Ritter et al., 2003), Wöhling et al. (2008), Wöhling et al. (2009). 9 Similar to the calibration period, soil-water content was predicted better during the rain and irrigation period than in the dry period. Specifically, soil-water content was overpredicted 10 11 during summer months (June-August) and underpredicted during winter and spring. Wöhling et al. (2009) explained that the differences can be partly attributed to non-uniqueness of the 12 13 optimization process, inadequacy of the model structure, the large number of optimized parameters, different information content in the calibration and evaluation data, and seasonal 14 15 changes in soil hydraulic properties. To what extent the soil water content prediction affects the calculated irrigation requirements, is shown in the subsequent paragraph. 16

17

3.4 Effect of Optimization Scenarios on Estimated Water Stress and yield reduction

20 Using the two-parameter optimization scenario (Table 4), the calculated potential-reference evapotranspiration (ET_0) values for 2012 and 2013 (same period from 1 Mar. to 12 Sep.) were 21 523 and 524 mm, respectively. The cumulative actual transpiration and evaporation, provided 22 by the hydrological model, were 353 and 86 mm for the calibration (2012) and 343 and 114 23 mm for validation (2013) periods. Calculated cumulative actual fluxes across the bottom of 24 the soil profile were -15.4 mm (outflow) and 63.3 mm (upward inflow), respectively. The 25 26 calculations are valid for the location where the soil moisture sensor was placed, i.e., in the 27 dryer part of the field with groundwater depths below 120 cm. The sum of irrigation and precipitation over the simulation period was 463 mm (64.5 mm irrigation and 398.5 mm 28 precipitation) in 2012 and 428.7 mm (85.4 mm irrigation and 343.3 mm precipitation) in 29 2013. In 2013, the amount of water from irrigation and rainfall was lower as compared to 30 2012, resulting in a larger recharge from the groundwater. Generally, the periods of water 31

stress was 671 h in 2012 and 675 h in 2013 (Table 4). Despite these similarity, the extent of soil water stress was larger in 2013 as compared to 2012. This can be attributed that the first water stress event in 2012 with about 328 h duration is not related to soil water availability but is also due to climate limitations (low temperature and light-radiation limitation). No significant reduction or increase in yield and LAI was achieved during this first water stress event in current and optimum conditions (Fig. 3).

7 There was a significant effect of the bottom boundary condition on the calculated water stress. 8 A free drainage condition resulted in a larger number, longer duration of stress conditions 9 (Fig. 6 and Table 4) and overestimated water stress due to excessive recharge to the groundwater (more than 148 mm). On the other hand, a shallower imposed groundwater level 10 (-120 cm) creates less estimated water stress (Fig 6 and Table 4), because this boundary 11 condition allows inflow (upward flow) from ground water table. When the ground water level 12 was -140 cm the outflow of the bottom flux increase from six-optimized parameters scenario 13 (-4.6 mm) to two- parameters scenario (-15.4 mm) in calibration period. While upward flow 14 increased with increasing number of optimized parameters in validation period (63.3 to 76.9 15 mm). But these inflow did not meet the crop water requirement (see next paragraph). Huo et 16 al. (2012) reported that the maximum contribution of ground water level to crop water 17 18 requirement occurred when the groundwater level was less than 100 cm. Overall, to overcome 19 the water stress effects on crop yield, additional required irrigation should be supplied for 20 different optimization scenarios and boundary conditions. During water stress, yield reduction would be in range of 0 to 33% for different optimization scenarios (Table 4). In addition, two-21 22 to six-parameter optimizations showed a similar value in yield reduction (16% for two and 13% for three- to six-parameter in calibration and 13% for two and 11% for three to six-23 parameters to be optimized in validation periods). The maximum yield reduction occurred in 24 25 the free drainage condition among different boundary conditions and parameter optimization 26 scenarios. Different parameter optimization strategies (two-, three-, four- or six-parameter 27 optimizations) do not affect the calculated water stress as significantly as does the bottom boundary. Therefore, these results suggest that simultaneous optimization is needed for 28 irrigation management purposes, i.e. optimize/choosing boundary conditions to accurately 29 describe recharge to or from groundwater and, in second order, optimize hydraulic parameters 30 31 to accurately describe soil-water content variation in the topsoil.

32 **3.5 Irrigation scheduling scheme**

The simulated results further showed that, to avoid drought stress during summer, a more 1 accurate irrigation schedule would be needed in the dryer part of the field. It would be better 2 to supply water in June and July instead of a huge amount in late summer or at an 3 inappropriate time (see Figure 6 and 7). Results revealed that the actual water supply 4 exceeded crop demand but did not meet the crop requirement (Fig. 7 and Table 5). Irrigation 5 volume affects soil water fluxes. In the 'no irrigation' scenario for 2012 the upward/inflow 6 7 fluxes from groundwater were larger than current and guided irrigation scenarios (Fig. 8). The upward flow of water was not sufficient to meet the crop requirement. For guided irrigation, 8 9 recharge from groundwater was larger than current irrigation in 2012 and 2013. Which means some part of crop water demand would supply from groundwater in guided irrigation. 10

Results show that, although reducing water supply throughout growth period by about 22.5% in 2012 and 12% in 2013, yield would have increased about 4.5% in 2012 and 6.5% in 2013 on average (Table 5, Figure 3), by rescheduling irrigation at the precise time when the crop is exposed to water stress. The number of irrigation events would remain similar to realistic applications (three times in each growing season). At the field scale non-uniform irrigation distribution (water supply in dryer parts with ground water level below 120 cm) would be necessary.

18

19 4 Conclusions

The results of this study demonstrated clearly the profound effect of the position of the 20 groundwater table on the estimated soil-water content and associated water stress in a sandy 21 22 two-layered soil under grass in a temperate maritime climate. Indeed, field scale variations in soil-water content can be very large, due to topography and variable depth of the 23 24 groundwater. Furthermore, the model performance was affected by the spatial variability of hydraulic parameters such as $K_{\rm s}$. Results show that the uniform distribution of water using 25 26 standard gun sprinkler irrigation may not be an efficient approach since at locations with shallow groundwater, the amount of water applied will be excessive as compared to the crop 27 requirements, while in locations with a deeper groundwater table, the crop irrigation 28 requirements will not be met during crop water stress. 29

The results show that the effect of groundwater level was dominant in soil-water content 1 prediction, at least under conditions similar to those in our study. This reflects the need for 2 accurate determination of the bottom boundary condition, both in space and time. In a 3 subsequent field experiment in an adjacent field, the temporal fluctuations of the groundwater 4 table based on diver (Mini-Diver, Eijkelkamp Agrisearch Equipment, Giesbeek, the 5 6 Netherlands) measurements in boreholes revealed changes in groundwater depth of about 10 7 cm. The temporal changes were smaller than the expected variation due to topography which may well range more than 100 cm even for relatively flat areas. This has important 8 9 consequences for precision irrigation management and variable water applications at sub-field scale. The use of detailed (cm scale) digital elevation models, geophysical measurement 10 11 techniques such as electromagnetic induction or ground penetrating radar as proxies for hydraulic parameters will serve as valuable data sources for hydrological models to calculate 12 13 variable irrigation requirements within agricultural fields. The parameterization scenarios in 14 the calibration and validation stage of model development should be kept simple in view of 15 the information they generate. We showed that it is sufficient to estimate limited amount of key parameters for which the temporal variant information of the sensitivity is crucial. 16 17 Furthermore, that optimization strategies involving multiple parameters do not perform better in view of the optimization of irrigation management. We showed that a combined modeling 18 approach could increase water use efficiency (12-22.5%) and yield (5-7%) by changing the 19 20 irrigation scheduling. Results of study call for taking into account weather forecast and water 21 content data in irrigation management and precision agriculture. The combination of accurate 22 and spatially distributed field data with appropriate numerical models will allow to accurately determine the field scale irrigation requirements, taking into account variations in boundary 23 24 conditions across the field and spatial variations of model parameters. The information gained in this study with respect to dominant parameters and effect of boundary conditions at the plot 25 26 scale (1D) will be scaled up in a 2D approach to the field scale using detailed spatial information on groundwater depth and hydraulic conductivity $K_{\rm s}$. 27

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1 Table 1. Average of soil properties of soil profile. θ_r , θ_s are residual and saturated water content, respectively; α and n are shape parameters for 2 the van Genuchten-Mualem equation. K_s denotes the saturated hydraulic conductivity.

	Ks	$ heta_r$	θ_s	α	п	OC	Sand	Silt	Clay	$ ho_b$
	cmh^{-1}	cm ³ c	em ⁻³	cm ⁻¹			- %			gcm ⁻³
Topsoil	9.59	0.09	0.39	0.017	2.72	2.08	91.65	7.0	1.35	1.57
Subsoil	4.74	0.03	0.31	0.021	2.34	0.18	95.7	3.1	1.2	1.76

Table 2. Optimized values of hydraulic parameters for the optimization scenarios yielding uncorrelated parameters (except for reference scenario
 with 6 optimized parameters). Values indicated in italic are values fixed to the measured values close to the sensor location. Number between
 parentheses represents the standard errors of optimized parameter.

Boundary condition	Number of optimized		First soil layer			5 Second soil layer			
	parameters	$\alpha_l (\text{cm}^{-1})$	n_1	K_{sl} (cmh ⁻¹)	α_2 (1/cm)	n_2	$K_{s2} (\mathrm{cmh}^{-1})$		
	6	0.023 (0.0004)	2.14 (0.02)	2.87 (0.111)	0.022 (0.0006)	2.15 (0.034)	1.95 (0.14)		
Constant head	4	0.017	2.64 (0.003)	1.54 (0.028)	0.020 (0.00005)	2.34	1.43 (0.026)		
(-140 cm)	3	0.017	2.72	1.39 (0.026)	0.020 (0.00005)	2.34	1.65 (0.031)		
	2	0.017	2.72	1.20 (0.023)	0.021	2.34	2.17 (0.044)		
Constant head (-120 cm)	2	0.017	2.72	3.45 (0.162)	0.021	2.34	0.75 (0.0107)		
	6	0.036 (0.0007)	1.45 (0.003)	16.68 (0.48)	0.013 (0.0005)	1.59 (0.013)	5.10 (0.51)		
Erro ducino co	4	0.017	1.53 (0.003)	5.09 (0.12)	0.003 (0.00013)	2.34	0.33 (0.005)		
Free dramage	3	0.017	2.72	0.97 (0.02)	0.017 (0.00008)	2.34	0.22 (0.004)		
	2	0.017	2.72	0.86 (0.022)	0.021	2.34	0.39 (0.004)		

Table 3. Calculated performance criteria describing the correspondence between measured

and simulated soil water content for each scenario for various boundary conditions.

	Boundary condition	Number of optimized parameters	Node depth (cm)	RMSE †	C _e †	\mathbf{r}^2 †
		-	10	0.023	0.56	0.62
		<i>c</i>	20	0.016	0.53	0.74
		0	30	0.010	0.67	0.69
			40	0.008	0.63	0.64
	-		10	0.024	0.52	0.62
		4	20	0.016	0.54	0.76
		4	30	0.010	0.65	0.70
	Constant head		40	0.008	0.64	0.64
	(-140 cm)		10	0.026	0.45	0.62
		2	20	0.014	0.65	0.75
		5	30	0.010	0.65	0.70
			40	0.008	0.63	0.64
-			10	0.026	0.46	0.63
12)		2	20	0.014	0.65	0.75
50		2	30	0.010	0.66	0.69
n period (40	0.010	0.45	0.63
	Constant head (-120 cm)		10	0.022	0.60	0.61
		2	20	0.031	-0.65	0.72
			30	0.025	-0.97	0.64
tio			40	0.019	-1.01	0.56
rai			10	0.023	0.57	0.60
lib		6	20	0.018	0.46	0.71
Ca C			30	0.016	0.19	0.56
•			40	0.011	0.34	0.50
	_	4	10	0.022	0.62	0.64
			20	0.018	0.45	0.71
	Eros droinago		30	0.014	0.13	0.55
			40	0.016	-0.11	0.42
	Filee dramage		10	0.032	0.18	0.54
		2	20	0.021	0.29	0.62
		3	30	0.027	0.12	0.50
			40	0.019	-0.95	0.43
			10	0.028	0.39	0.51
		2	20	0.022	0.31	0.59
		2	30	0.015	0.12	0.51
			40	0.014	-0.98	0.50
-			10	0.042	0.34	0.37
o g	~		20	0.027	0.30	0.40
dat 113	Constant head	2	30	0.020	0.24	0.33
Valid: peri (201	(-135 cm)	-	40	0.016	0.11	0.29

 \dagger RMSE, C_e and r² are the root-mean-square deviation, the Nash–Sutcliffe coefficient of efficiency (cm³cm⁻³) and the coefficient of determination.

Table 4. Total duration, number and extent of water stress for different boundary conditions and scenarios (from 1 Mar. to 12 Sep.). Total rainfall
and irrigation amount were 398.2 and 64.5 mm in 2012 and 343.3 and 85.4 mm in 2013 respectively. Number between parentheses represents the

3 duration of first water stress event due to light-radiation and temperature limitations.

	Boundary condition	Number of parameters optimized	Number of water stress periods	Total Duration of water stress	Degree of water stress	Profile bottom flux	Yield reduction
				h		mm	%
	Free drainage	2	7	867 (345)	0.37	-167.7	18
ibration eriod	Constant head (-120 cm)	2	0	0	≥1	71.9	0
	Constant head (-140 cm)	2	7	671 (328)	0.65	-15.4	16
	Constant head (-140 cm)	4	4	524 (277)	0.65	-1	13
Cal	Constant head (-140 cm)	6	5	540 (276)	0.66	-4.6	13
	Free drainage	2	7	1093	0.10	-148.7	23
tio d	Constant head (-120 cm)	2	1	20	0.85	64.4	0
alidat perio	Constant head (-135 cm)	2	5	675	0.65	63.3	13
	Constant head (-135 cm)	4	4	598	0.65	76.6	11
	Constant head (-135 cm)	6	3	579	0.65	76.9	11

1 Table 5. Comparison of optimized irrigation schedule with farmer's conventional irrigation schedule.

	Observed irrigation schedule				Optimize	Difference		
Boundary condition	Time	amount	Yield observed	Yield simulated	Time	amount	Yield simulated	amount
	day	mm	ton ha ⁻¹		day	mm	ton ha ⁻¹	mm
Calibration period (2012)	20 May	22.5			27 May	15		
Constant head (-140 cm) with 2	11 June	21	10.39	10.91	2 July	15	11.39	14.5
optimized parameters	13 August	21			11 August	20		
Validation period (2013)	13 June	32.4			6 June	25		
Constant head (-135 cm) with 2	23 July	24.8	10.83	11.11	8 July	25	11.82	10.4
optimized parameters	23 August	28.2			17 July	25		

1 Figure captions

Figure. 1. Geographical location of the experimental field and the map of the apparent soil
electrical conductivity (EC_a) of the study site corresponding to 3 different zones of
groundwater levels. The black star on the EC_a map indicates the sensor location.

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6 Figure. 2. Two-layered typical soil profile of the field close to the location of the sensor.

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8 Figure. 3. Predicted leaf area index, LAI and grass yield using LINGRA-N model for 20129 and 2013.

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Figure. 4. Parameter sensitivity as a function of time. The numbers 1 and 2 correspond to thefirst and second layer, respectively.

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Figure. 5. Observed and simulated time series of soil water content with calibration using the two-parameter K_s scenario for 2012 and validation results of 2013.

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Figure. 6. Degree of water stress at potential reference evapotranspiration in 2012 and 2013for various scenarios and bottom boundary conditions.

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Figure 7. Comparison degree of water stress between farmer's conventional irrigation (current
irrigation), without irrigation and optimized irrigation scheme for calibration and validation
periods.

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Figure 8. Actual flux of farmer's conventional irrigation (current irrigation), without irrigation
and optimized irrigation scheme (guided irrigation) for 2012 and 2013.





Fig. 2



Fig. 3



Fig. 4







Fig. 6



Fig. 7



Time [h]

Fig. 8