

Climate Response to Amazon Forest Replacement by Heterogeneous Crop Cover

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Abstract. Previous modeling studies with atmospheric general circulation models and basic land surface schemes to balance energy and water budgets have shown that by removing the natural vegetation over the Amazon, the region’s climate becomes warmer and drier. In this study we use a fully coupled Earth System Model and replace tropical forests by a distribution of six common tropical crops with variable planting dates, physiological parameters and irrigation. There is still general agreement with previous studies as areal averages show a warmer (+1.4 K) and drier (-0.35 mm/day) climate. Using an interactive crop model with a realistic crop distribution shows that regions of vegetation change experience different responses dependent upon the initial tree coverage and whether the replacement vegetation is irrigated, with seasonal changes synchronized to the cropping season.

5 Areas with initial tree coverage greater than 80% show an increase in coupling with the atmosphere after deforestation, suggesting land use change could heighten sensitivity to climate anomalies, while irrigation acts to dampen coupling with atmosphere.

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1 Introduction

1.1 Background Information

15 The future of tropical forests is at risk in a warmer, more populous 21st-century world (Bonan, 2008a). Forests cover approximately 42 million km² in tropical, temperate and boreal regions, which is approximately 30% of the Earth’s land surface. Land-use change (LUC) occurs on local scales, with real world social and economic benefits, but can potentially cause ecological degradation across local, regional, and global scales (Foley et al., 2005). A large portion, almost 35%, of the Earth’s

20 surface has already been modified for urban and industrial development, agriculture, and pastureland (Snyder, 2010). Worldwide changes to forests, woodlands, grasslands and wetlands are being driven

by the need to provide food, fiber, water, and shelter (Foley et al., 2005). LUC has the potential to have a significant impact on land-atmosphere interactions and modify local climate conditions (e.g., Sun and Wang, 2011).

25 Loss of natural forests worldwide in the tropics during the 1990s was as high as 152,000 km²/year, and Amazonian forests were cleared at a rate of approximately 25,000 km²/year (Bonan, 2008a). By 1991, 426,000 km² of the Amazon forest had already been removed; approximately 10.5% of the original forest area (Costa and Foley, 2000). More recent estimates suggest that by 2006, 663,177 km² of the Amazon forest had been removed (IBGE, 2006) with approximately an additional 60,000 km² deforested since 2006 (INPE, 2014). Nepstad et al. (2008) notes that trends in Amazon economies, forests and climate could lead to the replacement or severe degradation of more than half of the closed-canopy forests of the Amazon Basin by the year 2030, even without including the impacts of fire or global warming. Snyder et al. (2004) acknowledges that wide-scale vegetation removal is unrealistic for most biomes with the tropical forests being the lone exception.

35 It is clear that LUC in the Amazon region can have drastic consequences because of the role forests have in mediating the climate. Forests influence the climate through exchanges of energy, water, carbon dioxide, and other chemical species with the atmosphere (Bonan, 2008a). LUC has played a role in changing the global carbon cycle and, possibly, the global climate (Foley et al., 2005).

40 One of the most important roles that forests have in the climate system is their function in the carbon cycle. Forests sequester large amounts of carbon, storing approximately 45% of all terrestrial carbon and contributing approximately 50% of terrestrial net primary production (Bonan, 2008a). Bonan (2008a) also notes that carbon uptake by forests contributed to a residual 2.6 PgC/year terrestrial carbon sink during the 1990s, offsetting approximately 33% of anthropogenic carbon emissions from fossil fuels and LUC; with deforestation releasing 1.6 PgC/year during the 1990s. The trees of the Amazon contain 90-140 billion tons of carbon, equivalent to approximately 9-14 decades of current global human-induced carbon emissions (Nepstad et al., 2008).

1.2 Design of Previous Modeling Studies

Early total deforestation studies used coarse resolution climate models that did not resolve the local features of deforestation, but may have given a reasonable representation of regional scale changes. More recent experiments tend to have increased resolution and duration, a feature to be expected as computational resources has increased. The increased resolution and the associated ability to resolve small-scale features is desired to represent better the local dynamics involved with deforestation. With increased length of integration, the capability to reach a new equilibrium climate is greatly enhanced, as well as obtaining greater confidence in the significance of results. Shorter simulations are likely missing some global features associated with Amazon deforestation that have not had a chance to develop in the model integration, particularly when ocean dynamics are not modeled.

A noticeable inconsistency among the simulations is the replacement vegetation used. The difference in using grassland, savanna, shrubs or bare soil as a substitute for tropical forests is not known, although some inherent differences may arise. Only one simulation, Costa et al. (2007), used a crop as replacement vegetation. Agricultural land cover should be the most realistic replacement vegetation from a socioeconomic standpoint, and may have different impacts than the aforementioned unmanaged replacement vegetations.

1.3 Results from Previous Modeling Studies

Previous studies have reported a change in annual surface temperature from -1°C to $+3^{\circ}\text{C}$. Several studies note that the change in temperature is statistically significant (Dickinson and Henderson-Sellers, 1988; Henderson-Sellers et al., 1993; McGuffie et al., 1995; Nobre et al., 1991; Shukla et al., 1990; Snyder et al., 2004; Lejeune et al., 2014). Dickinson and Henderson-Sellers (1988) add that while surface air temperature increases by $1\text{-}3^{\circ}\text{C}$, the soil-surface temperature increased by $2\text{-}5^{\circ}\text{C}$.

A common feature of previous studies is a decrease in precipitation, although they are of varying intensity. Decreases in annual precipitation are typically found to be significant (Costa and Foley, 2000; Hasler et al., 2009; Henderson-Sellers et al., 1993; McGuffie et al., 1995; Nobre et al., 1991, 2009; Shukla et al., 1990; Lejeune et al., 2014). Nobre et al. (2009) points out a difference in precipitation change in simulations coupled with the ocean; the coupled model produced a rainfall reduction that is nearly 60% larger than was obtained by use of an AGCM uncoupled from the ocean. As previously noted, the effect of different replacement vegetation may also play a role. Costa et al. (2007) found that changes in precipitation for 25%, 50% and 75% deforestation, respectively were -6.2%, -11.6%, and -15.7% for soybean land cover, which was significantly different than the +1.4%, -0.8%, and -3.9% changes for pasture. Both Costa and Foley (2000) and Lejeune et al. (2014) note the seasonality of the precipitation did not change significantly, with the rainy season and dry season remaining in the same periods.

Evapotranspiration decrease is a common finding of Amazon deforestation studies (Costa and Foley, 2000; Dickinson and Henderson-Sellers, 1988; Henderson-Sellers et al., 1993; McGuffie et al., 1995; Nobre et al., 1991; Shukla et al., 1990; Snyder et al., 2004). Costa and Foley (2000) found that the differences in evapotranspiration are statistically significant in all months. The decrease in transpiration of 53% was much larger than the decrease in total evapotranspiration of 16%; this indicates that evaporation from the surface can compensate for the drop in transpiration (Costa and Foley, 2000). Henderson-Sellers et al. (1993) noted that as the evaporation decreases, the near-surface specific humidity decreases. This result is of particular interest in the response of planetary boundary layer growth.

Subsequent sections will describe the model of choice and associated simulations used in this study to analyze the local response to Amazon deforestation, along with a description of tropical crops incorporated into the model. Results detailing the mean climate changes in temperature, pre-

95 precipitation, surface fluxes and modifications to the land-atmosphere coupling. The possible impacts and causes of these changes are discussed, as well as the role that irrigation plays in altering land-atmosphere coupling.

2 Methods

2.1 Model Description

100 The model for this study is the Community Earth System Model (CESM) version 1.2.0 developed at the National Center for Atmospheric Research (NCAR). CESM is a coupled model system for simulating the Earth's climate and is composed of separate models simulating the Earth's atmosphere, ocean, land, land-ice and sea-ice (Vertenstein et al., 2013). Of the components available in CESM, the following were run in their default settings: Community Atmosphere Model (CAM4), the Parallel Ocean Program (POP2), the Community Ice Code (CICE4), and the River Transport Model (RTM) (see model documentation for full details).

110 The Community Land Model 4.5 (CLM4.5) incorporates recent scientific advances in the understanding and representation of land surface process relevant to climate simulation (Oleson et al., 2013). CLM4.5 is a model developer's release that provides incremental improvements to CLM4.0 prior to the public release of CLM version 5. Land surface heterogeneity in CLM4.5 is accomplished with a nested sub-grid hierarchy in which grid cells are comprised of multiple land units, soil columns, snow columns, and plant functional types (PFTs) (Oleson et al., 2013). The PFT level, which also includes bare ground, is intended to capture the biogeophysical and biogeochemical differences between broad categories of plants in terms of their functional characteristics. Fluxes to and from the surface are defined at the PFT level, as well as the vegetation state variables, such as
115 vegetation temperature and canopy water storage.

Each PFT is characterized by parameters that differ in leaf and stem optical properties to determine the reflection, transmittance and absorption of solar radiation (Oleson et al., 2013). Each PFT also has a specific root distribution to allow for root uptake of water from the soil. Different PFTs have aerodynamic parameters that determine heat, moisture and momentum transfers, and photosynthetic parameters that determine stomatal resistance, photosynthesis and transpiration. These
120 parameterizations are used to represent optimally the behaviors of each PFT.

CLM4.5 includes a fully-prognostic treatment of the terrestrial carbon and nitrogen cycles (Oleson et al., 2013). The model is fully prognostic for all carbon and nitrogen state variables in the vegetation, litter, and soil organic matter. The seasonal timing of new vegetation growth and litterfall for each PFT is also prognostic, responding to soil and air temperature, soil water availability, and
125 day length. PFTs are classified into three distinct phenological types that are represented by independent algorithms: an evergreen type that has some fraction of annual leaf growth displayed for longer than one year; a seasonal- deciduous type with a single growing season per year controlled mainly

by temperature and day length; and a stress-deciduous type with the potential for multiple growing
130 seasons per year, controlled by temperature and soil moisture conditions.

CLM's default list of PFTs includes an unmanaged crop, essentially treated as a second C3 grass
PFT (Levis et al., 2012; Oleson et al., 2013). In CLM4.5, a crop model based on the AgroIBIS
(Kucharik et al., 2000) crop phenology algorithm has been added, consisting of three distinct phases.
Phase 1 starts at planting and ends with leaf emergence; phase 2 continues from leaf emergence to the
135 beginning of grain fill; and phase 3 starts from the beginning of grain fill and ends with physiological
maturity and harvest.

CLM4.5 introduces three new agricultural PFTs: corn (CLM's only C4 crop), soybean, and tem-
perate cereals, i.e. spring wheat and winter wheat (Levis et al., 2012). Temperate cereals repre-
sent wheat, barley, and rye, assuming that these three crops have similar characteristics and can
140 be treated as one PFT. The changing of several PFT parameter values following AgroIBIS further
distinguishes corn (a C4 crop), soybean, and temperate cereals from the existing unmanaged crop.
The most notable difference in the model between C3 and C4 photosynthesis is that the C4 photo-
synthetic pathway allows for stomata to close more often, thus transpiring less, allowing for higher
water-use efficiency in C4 plants. With the crop model active in CLM4.5, the vegetated land unit is
145 split into unmanaged and managed parts. PFTs in the unmanaged land unit all share the same below
ground properties per grid cell, including water and nutrients, while PFTs in the managed land unit
occupy separate soil columns and do not interact with each other below the ground, and thus do not
compete for water and nutrients. Having PFTs in separate managed land units allows for different
management practices, such as irrigation and fertilization, for each crop PFT.

150 CLM4.5 simulates the application of irrigation as a dynamic response to simulated soil mois-
ture conditions (Oleson et al., 2013). When irrigation is enabled, the crop area of each grid cell is
divided into irrigated and rainfed fractions according to a gridded dataset of areas equipped for ir-
rigation. Irrigated and rainfed crops are placed on separate soil columns, so that irrigation is only
applied to the soil beneath irrigated crops. In irrigated croplands, a check is made once per day to
155 determine whether irrigation is required; this check is made in the first time step after 6 AM local
time. Irrigation is required if crop leaf area is greater than zero, and water is the limiting factor for
photosynthesis.

2.2 Tropical Crops

In performing offline CLM4 simulations, the need to develop more realistic PFTs for the tropics be-
160 came apparent. The tropical broadleaf evergreen tree PFT was initially replaced with the unmanaged
crop PFT and C3 grass PFT. It was thought that there would be a reduction in LAI when replacing the
broadleaf evergreen trees; however, it was found that there was a drastic basin wide increase in LAI.
It was determined that the crop and C3 grass PFTs were parameterized solely for the mid-latitude
conditions. The winter season temperature in the Amazon does not get cold enough to trigger senes-

165 cence; the survival temperature for C3 grass is -17°C and the establishment temperature for C3 grass is 15.5°C , while the planting temperature for managed crops ranges from $7\text{-}13^{\circ}\text{C}$. The Amazon has an annual average temperature of approximate 27°C ; meaning minimum temperature thresholds for each PFT are always met. Another aspect is the greater moisture availability in most of the Amazon; plants are rarely stressed over most of the year by a lack of available moisture.

170 Using the Sacks et al. (2010) and Portmann et al. (2010) datasets of global crop distribution, it was determined that the most prevalent crops in and around the Amazon Basin are soybean, corn, cotton, rice and sugarcane. These crops were then selected as tropical crops to be added to CLM4.5. Two separate corn PFTs were added to simulate the two separate corn harvests that occur in the region. Given the long growing season in the tropics, after the first corn harvest of the year a second crop of
175 corn is typically planted and harvested later in the year. For each crop added, a rainfed and irrigated PFT were constructed based on irrigation data.

The new tropical crops are based on existing crops in CLM4.5, with adjustments to physiology parameters to get realistic behavior. Tropical soybean was based on the existing soybean PFT and tropical corn based on the existing corn PFT. Tropical sugarcane is derived from the existing corn
180 PFT, tropical rice is a variation on the existing spring wheat PFT, and tropical cotton is similar to soybean. Sugarcane was based on corn because both are C4 plants and corn is the only C4 crop in CLM4.5. Rice was based on the existing spring wheat because they are both cereal grain crops. Cotton uses soybean as a basis because they are both bushy C3 crops, with neither being a cereal grain crop, as are the other C3 crops in CLM4.5. It is of note that sugarcane is a multi-year perennial
185 crop, while all the other crops are annual; CLM4.5 does not currently have the capability to simulate perennial crops. Thus, sugarcane was modeled to have a planting date just after the previous harvest, with the intention of simulating perennial coverage with a decrease once a year when a portion of the sugarcane is typically harvested or replaced.

The Sacks et al. (2010) data was used to determine planting dates, growing degree days, maximum LAI and maximum number of days to plant maturity for the tropical crops being added; Table
190 1 shows original crop PFT and tropical crop PFT parameters that were modified. In addition to changing those physiology parameters, the albedo and radiative transmissivity of crop leaves were changed to match those of Bonan (2008b). The amount of fertilizer applied to each crop was modified to allow for a more realistic seasonal cycle. The goal of these new tropical crops is to provide
195 a realistic physical seasonal cycle of planting, crop height, crop LAI and harvest time; comparing to Sacks et al. (2010), the timing of planing and harvest are acheived, plant heights fall within the expected range (FAO) and LAI falls within the expected range of previous documentation.

The 5-minute spatial resolution Portmann et al. (2010) data was regridded for use in CLM4.5. In the specified domain ($85^{\circ}\text{W}\text{-}35^{\circ}\text{W}$, $30^{\circ}\text{S}\text{-}13^{\circ}\text{N}$), each CLM gridbox having a total area of tree PFT
200 (tropical broadleaf evergreen and tropical broadleaf deciduous) percentage (see Figure 1 for default PFT distribution) greater than zero was deforested; all existing PFTs in that gridbox were removed.

Each respective deforested gridbox is checked for the presence of crops in the regrided Portmann data. If any crops are present in a deforested gridbox, the acreage for each crop is used to determine the percent coverage, preserving the percentages in the deforested case. There is a maximum of
205 five crops allowed in each CLM gridbox. If all six crops are present, the lowest acreage crop is omitted. For deforested gridboxes with no crops present, a Cressman Analysis is used to interpolate crop coverage from neighboring gridboxes. The calculated distribution of the tropical crops in the deforested case can be seen in Figure 2, with 12.82% of the area being soybean, 21.09% for each corn crop, 14.77% for sugarcane, 25.18% for irrigated rice and 5.04% for cotton.

210 The initial seasonal mean changes to the land surface can be seen in Figure 3. There is a basin wide increase in surface albedo across the deforested region. In the closed canopy region where the highest percentages of broadleaf evergreen trees are located, there is a large reduction in both LAI and canopy height across all seasons. To the southeast of that region, an area where C4 grass was predominant, there is an increase in both LAI and canopy height in NDJFM, the main growing
215 season of the dominant crops, soybean and rice, in that region. The other months show a general decrease in LAI and canopy height in that region.

The choice of these irregular seasons is based on the growing season of the tropical crops used as replacement vegetation. NDJFM largely coincides with crop growth in the region south of the equator and planting north of the equator. AMJ is the main growing season north of the equator.
220 JASO is predominantly a period after harvest has occurred and planting south of the equator is taking place in the last month. Additionally, these seasons correspond to the seasons of peak precipitation as NDJFM has precipitation predominantly south of the equator, AMJ precipitation is centered on the equator and extends into northern South America, and JASO is the driest period for a majority of the region with precipitation centered over the northwest portion of South America.

225 **2.3 Model Simulations**

CESM with active components of CAM4, CLM4.5, POP2, CICE4 and RTM is used for the model simulations in this study. The simulations are run at an atmospheric model resolution of $0.9^\circ \times 1.25^\circ$ and a nominal 1-degree ocean resolution grid with a displaced pole over Greenland for present day (year 2000) initial conditions for greenhouse gas concentrations. Before starting the coupled runs, a
230 spin-up simulation for the land surface was implemented to achieve a steady state for the carbon and nitrogen processes of the interactive phenology. The CLM4.5 spin-up procedure consists of a 650-year offline simulation with present day atmospheric forcing, achieved by repeatedly cycling through the Qian et al. (2006) input dataset, years 1 to 600 are forced with years 1951-1990 and years 601 to 650 are forced with years 1951-2000; the last land state from the offline simulations is then used
235 as the land initial condition in the coupled simulations. A separate spin-up simulation is done for each coupled experiment with matching PFT distributions. In the simulation utilizing tropical crops, the crop model and irrigation models are active. Each of the fully coupled simulations has a length

of 250 years, in which only the last 125 years of monthly data is used for analysis. The control simulation uses the default PFT distribution (Figure 1) and the deforested simulation used the crop
240 PFT distribution in Figure 2.

In all simulations, the fire module is turned off. When coupling CLM4.5 with CAM, specific humidity has been found to be too low over the Amazon region (W. Sacks and D. Lawrence, personal communications). Fires in CLM4.5 are invoked as a function of relative humidity, soil wetness, temperature and precipitation (Oleson et al., 2013). With low specific humidity, the relative humidity
245 triggers the fire model in vast areas of the Amazon region, predominantly regions neighboring the closed canopy forests (gridboxes with greater than 60% tree PFT). Along with a reduction in humidity, there is a decrease in precipitation that is enough to invoke fire in the closed canopy as well. From short coupled simulations, it was seen that fire occurs in year 1 along the edge of the closed canopy and LAI is reduced. LAI becomes significantly small in the northeast by year 4 and large
250 reductions in LAI propagate westward into the closed canopy in subsequent years.

CLM4.5 was tested in short coupled simulations with the fire module both active and inactive. The results showed that canopy height was no longer decreasing with the fire module inactive, although the LAI was reduced by approximately 30% from offline simulations. The LAI reduction is much more severe to both the canopy height and LAI with fire active. Reduced LAI in the coupled model
255 presumably results from the low humidity and precipitation impacting the phenology algorithms previously discussed. Thus, it has been determined that the simulations used in this study should have the fire module turned off. The LAI impacts due to deforestation are still large and capable of producing a significant signal. In addition, the large changes exhibited in surface roughness also provide a boundary condition to the atmosphere capable of demonstrating the impacts of large-scale
260 land-use change.

3 Results

3.1 Temperature

As can be seen in Figure 4, in the initially dense forest region there is an increase in surface temperature in all seasons; a majority of the region warms 1-3 K with the central region warming more
265 than 7 K. To the southeast, there is a region of temperature decrease, typically less than 3 K. This temperature decrease is largely over the region that was predominantly C4 grass. McGuffie et al. (1995) noted that changes in surface temperature over the deforested region are dipolar: an increase over the central and eastern Amazon and a decrease to the southwest of the deforestation. The region of decrease is shifted eastward in these findings, but such a dipolar change has precedent. Additionally, Lorenz and Pitman (2014) notes a dipolar temperature change with a decrease in the east and
270 increase towards the west, which is noted to be directly related to the initial land-atmosphere coupling

strength. Despite the region of cooling, the areal average for each season shows an increase; +0.8 K in NDJFM, +1.6 K in AMJ, and +2.1 K in JASO.

275 The contrast in temperature change between the densely forested and C4 grass areas becomes more apparent in the change of maximum monthly surface temperature. The forested region experiences an increase in all months, typically between 2-6 K. In the C4 grass area, the maximum monthly surface temperature decreases from August to January by 4-6 K, with the remaining months having a mixed change between -2 to 2 K. The same pattern tends to hold up for minimum monthly temperature, with the changes about half the magnitude. The overall range in extremes for the densely
280 forested area increases by 2-4 K, while in the C4 grass area, the range of extremes is reduced by 2-4 K from August to January and increase less than 2 K in the remaining months. It is worth noting that C4 grass in CLM can behave unrealistically at times by dying off and then regrowing a couple months later (Dirmeyer et al., 2013), which can affect surface temperature drastically.

The annual areal average increase in surface temperature of 1.4 K is consistent with previous
285 modeling studies; Costa and Foley (2000) found a 1.4 K increase, Snyder et al. (2004) found a 1.5 K increase and Snyder (2010) found a 1.2 K increase. However, some studies found smaller or larger temperature increases: 0.6 K (Henderson-Sellers et al., 1993), 0.3 K (McGuffie et al., 1995), 0.3 K (Ramos da Silva et al., 2008), 2.5 K (Nobre et al., 1991) and 2.5 K (Shukla et al., 1990). The results in this study lie within the range of previous findings.

290 3.2 Precipitation

There is a significant decrease of at least 1 mm/day in precipitation over the originally densely forested region throughout the year, with some areas experiencing decreases larger than 4 mm/day, see Figure 5. A majority of this region sees decreases of more than 50%. During NDJFM, when a majority of the Amazon region experiences at least 8 mm/day in precipitation in the control simulation,
295 there is a largely statistically significant decrease in precipitation for the deforested region. An area of increase is present in a region that is mainly irrigated rice. During AMJ when precipitation is largely occurring within a few degrees of the equator, there is a significant decrease across this region of the equator, while a significant increase is present to the south. The driest season in the control simulation, JASO, has a significant decrease in precipitation over much of the deforested region. All
300 seasons experience a decrease in the areal average; -0.27 mm/day in NDJFM, -0.37 mm/day in AMJ, and -0.44 mm/day in JASO.

Most of the precipitation changes can be explained by changes to convective precipitation, which decreases in all seasons (not shown), with the only exception being the region with irrigated rice. The reduction in convective precipitation suggests changes in flux partitioning at the surface may
305 modify the properties and growth of the planetary boundary layer, as well as the land-atmosphere coupling in the region.

The decreases exhibited in this study are consistent with previous modeling studies; however, the magnitude of the decrease is smaller. This study found an annual areal average decrease of 0.35 mm/day, while previous studies found decreases of 0.7 mm/day (Costa and Foley, 2000), 0.4-0.7 mm/day (Hasler et al., 2009), 1.6 mm/day (Henderson-Sellers et al., 1993), 1.2 mm/day (McGuffie et al., 1995), 1.4 mm/day (Snyder et al., 2004; Snyder, 2010), and 0.8 mm/day (Werth and Avissar, 2002). The smaller decrease in precipitation may be due to previously mentioned model shortcomings with low humidity and less climatological precipitation in the region.

3.3 Radiation and fluxes

Net radiation is shown (Figure 6) to be significantly reduced over the densely forest region in all seasons, typically by 30-50 W/m². To the southeast over the C4 grass area, an increase is shown during NDJFM, changes between -10 and 10 W/m² are present in AMJ and decreases of 10 W/m² exist in JASO. These changes are driven by changes to albedo (seen in Figure 3) and impacts the partitioning of latent and sensible heat flux.

Latent heat flux is primarily reduced across the region in all seasons; the major exception is an increase during NDJFM in the former C4 grass area. Sensible heat flux increases in the formerly densely forested area in all seasons and is surrounded by a region of decrease in sensible heat flux. There is an increase in sensible heat flux in the southeast during both NDJFM and AMJ, while JASO has a mix of both increases and decreases, with most of the area not experiencing a significant change. The annual areal average of latent heat flux and sensible heat flux both decrease, -8.1 W/m² and -1.7 W/m² respectively. This change in the fluxes has reduced the evaporative fraction in the region and indicates that the Amazon would shift to a drier climate.

Evaporative fraction (Figure 7) is the ratio of latent heat flux to the sum of latent and sensible heat fluxes. After deforestation, the nearly the entirety of the deforested region in AMJ and JASO have significant decreases in evaporative fraction, indicating a drier climate in the region. NDJFM experiences an increase of evaporative fraction over a large portion of the area, this is due to being the season of main crop growth over that area. The formerly densely forested region in NDJFM experiences a decreases in evaporative fraction, this is probably due to the deeper root profile of tree PFTs that would have access to a larger soil moisture reservoir.

3.4 Land-Atmosphere Coupling

A novel aspect of this study is an assessment of the impact on land-atmosphere coupling strength. A two-legged coupling metric (Guo et al., 2006; Dirmeyer, 2011) uses correlations between a land surface state variable (soil moisture) and surface flux (latent heat) as a means to assess terrestrial climate feedbacks, or a surface flux (sensible heat) and an atmospheric property (PBL height) for the atmospheric climate feedback. It is used here to describe the feedbacks present in the system and how they have changed after deforestation. Positive values in these two instances would imply that

the land surface is controlling the feedback. We multiply these correlations by the standard deviation (SD) of the response variable (latent heat and PBL height respectively) to determine the magnitude of the feedback (Guo et al., 2006). The significance of the control simulation coupling strength is based only on the correlation component, and the significance of the change in coupling strength is based only on the change in correlation (Dirmeyer et al., 2014).

In the terrestrial leg of the coupling (Figure 8) for the control simulation, a large band of negative values during NDJFM corresponds to the heavy rains during that season when soil moisture is not a limiting factor for surface fluxes. As the rains shift throughout the year, this region shifts accordingly. During the drier seasons in the south, the sign switches to positive, indication that soil moisture is controlling the latent heat flux (cf. Dirmeyer et al., 2013).

After deforestation, the previously densely forested areas become more strongly coupled throughout the year (Figure 8). This is probably due to the shallower roots of crops, which have access to a smaller soil moisture reservoir. There are also large areas of decreased coupling, particularly over the southeast in JASO and south of the densely forested area in NDJFM. During AMJ, nearly the whole region sees an increase in coupling.

The changes in coupling can occur due to changes in the correlation, variability, or both. In NDJFM, the correlation increases in 54.8% of the region and flux variability decreases in 56.4% of the region. Neither component appears to be the leading agent of the changes; the changes in NDJFM (the rainy season) are largely atmospherically driven due to changes in precipitation. Areas with the largest reduction in precipitation have correlation increases; they also have increases in variability and are becoming more strongly coupled.

In AMJ and JASO, the changes in correlation are much larger: 69.5% and 76.6% of the region has an increase in correlation, respectively. Increases in correlation alone do not necessarily imply increased coupling, as the combination of correlation and variance of the fluxes determines coupling strength (Dirmeyer, 2011; Dirmeyer et al., 2012). While a majority of the region in AMJ has stronger coupling, JASO has a majority of the region showing a decrease in coupling. JASO has a decrease in variability for 62.7% of the region, with 46.2% of the region having an increase in correlation and decrease in variability, largely taking place in the southeast where there was lower initial tree cover. In contrast, the more densely forested regions largely experience an increase in correlation and an increase in variability.

For the atmospheric leg of the coupling, in the control run, the entire region is positively coupled based on the spatiotemporal correspondence between the two (Figure 9). The areas of strongest coupling occur in locations that were initially less tree-covered, as the dense canopy acts to dampen the coupling between surface sensible heat flux and PBL height.

In all seasons, the densely forested areas have an increase in coupling after deforestation (Figure 9). The southeast region largely experiences a decrease in coupling during all seasons. The largest

contrast between the densely forested area and the southeast occurs in JASO, which is after most of the crops have been harvested and LAI is low.

380 For the atmospheric leg, a majority of the region either experiences an increase in both correlation and variability or decrease in both. There are co-located correlation and variability increases over 31.0%, 41.6%, and 33.3% of the region for NDJFM, AMJ and JASO respectively. These regions are predominantly along the southeast coast where increased temperature and decreased precipitation occur, and in the previously forested areas. Regions experiencing decreases in both were 36.9%
385 29.7% and 40.2% for those same seasons. These changes largely occurred in the southeast area where lower initial tree cover is located.

4 Discussion and Conclusions

Replacement of natural vegetation with crops typical of tropical agriculture over the Amazon results in an albedo increase, lowering net radiation, which in turn modifies the surface fluxes. Latent heat
390 flux is largely reduced across the domain, with the exception being the former C4 grass region in NDJFM; sensible heat flux has a more detailed spatial change with decreases in all seasons over the former densely forested area and a seasonality to the changes in the surrounding regions. The areal averages for latent heat flux and sensible heat flux are reduced, but the evaporative fraction decreases, modifying the region toward a drier climate. Combining the surface temperature increase
395 with the surface flux changes, a warmer, drier and deeper PBL results. There is a decrease in precipitation, largely due to decreased convection, which further alters flux partitioning due to reduced soil moisture. By modifying PBL properties and PBL growth, modified interaction between the PBL and the free atmosphere decreases vertical moisture transport and increase vertical heat transport. These changes in vertical transport provide a mechanism that can impact the circulation and may affect
400 remote regions, with large scale circulation changes enhancing the precipitation changes.

An added level of complexity that previous studies did not consider is irrigation. The irrigation impact is difficult to isolate, due to the gridboxes with irrigated rice also having other crops present. Irrigation adds water to the surface when water is a limiting factor for photosynthesis and can have an impact on land-atmosphere interactions. Irrigation does appear to have an impact on the coupling
405 between land and atmosphere (Figure 10). Irrigation is active in eight months (ONDJFM in the southern hemisphere and JFMAM in the Northern Hemisphere) when rice is widely grown. In the months that irrigation is added, there is a negative correlation between irrigation water added and the change in the terrestrial leg of the coupling. The more irrigation water that is added, the less coupled the soil moisture becomes from the latent heat flux.

410 By affecting the surface coupling, irrigation can also impact the atmospheric leg of the coupling (Figure 10). A negative relationship between irrigation water added and the change in SH-PBLH (atmospheric leg) coupling further shows that irrigation is modifying land-atmosphere interactions.

Although irrigation is shown to have an impact on the atmospheric leg of the coupling, the larger contributor appears to be the percentage of tree cover lost (Figure 10). The coupling changes are
415 largely the same for non-irrigated gridboxes with original tree percentage less than 80%, typically
between -50 and 50 m. JASO, the driest season, does have a larger spread, but comparable mag-
nitudes of increases and decreases. When the initial tree cover is greater than 80%, the coupling
strength is predominantly increasing and has a greater magnitude of the change. This signal is also
common in climate change scenarios driven by greenhouse gas increases (Dirmeyer et al., 2013),
420 suggesting land use change could further amplify sensitivity to land surface anomalies in the tropics.

Irrigation largely decreases the coupling strength when the initial tree cover is less than 80% and
increases the magnitude of the change. When the initial tree cover is greater than 80%, the gridboxes
that experience a decrease in coupling are typically irrigated; with the more strongly irrigated grid-
boxes showing the largest decreases and less irrigated gridboxes showing an increase in coupling
425 that is comparable to non-irrigated gridboxes. Just as with the terrestrial leg, more irrigation water
added decreases the coupling strength of the atmospheric leg of the coupling.

Even using a realistic heterogeneous crop distribution in the Amazon region, there is still general
agreement with previous modeling studies. The higher resolution and heterogeneity of the land cover
shows smaller scale features and regions of opposite change, particularly in the southeast Amazon
430 where the region has higher coverage of C4 grass. With crops being planted in different regions
at different times of the year, a level of complexity not present in previous Amazon deforestation
studies, seasonality to land-surface changes that were not previously modeled, are now seen.

A warming and drying of the region has impacted how the land-surface and atmosphere interact.
By modifying the flux partitioning between latent and sensible heat fluxes, the region shifts to drier
435 climate with a warmer, drier and deeper PBL. By altering how the PBL grows, interaction with the
free atmosphere is altered; this can lead to a warmer and drier atmospheric column above the region
and may cause impacts to remote regions by modifying the general circulation and transports of
moisture and heat. There is evidence that mesoscale responses of the atmosphere to land surface
perturbations at low latitudes may not be well represented in climate models (e.g., Taylor et al.,
440 2013); it would be worthwhile to repeat tropical deforestation studies with cloud-resolving models
in the future.

Remote impacts, such as modification to the African easterly waves and increased precipitation
over the southwest United States have been found in these experiments, and will be discussed in a
future paper (Badger and Dirmeyer, 2015). By employing a coupled ocean model, changes to sea
445 surface temperature and the El Niño Southern Oscillation have also been found and will be discussed
in a later paper.

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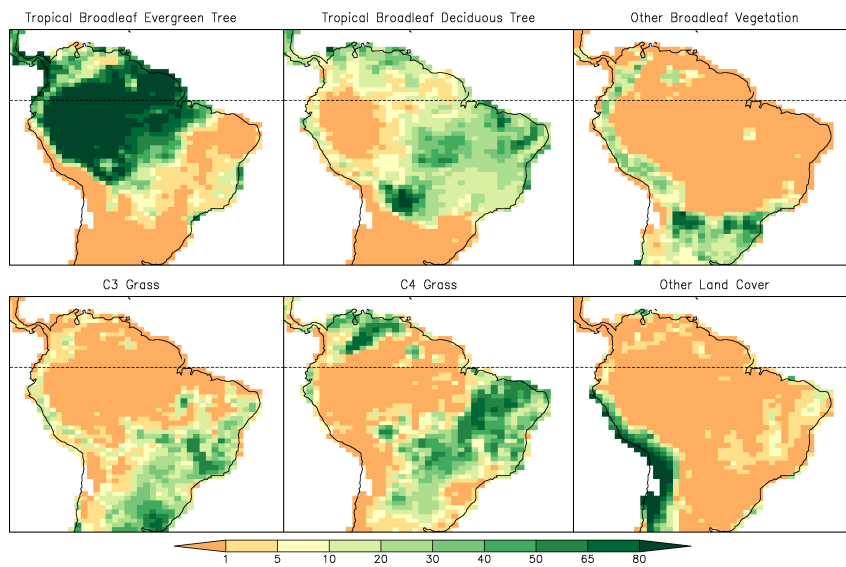


Figure 1. Distributions of the indicated Plant Functional Types (PFTs) in the control simulation as a percentage of each grid box. “Other vegetation” includes C3 alpine grasses and bare soil.

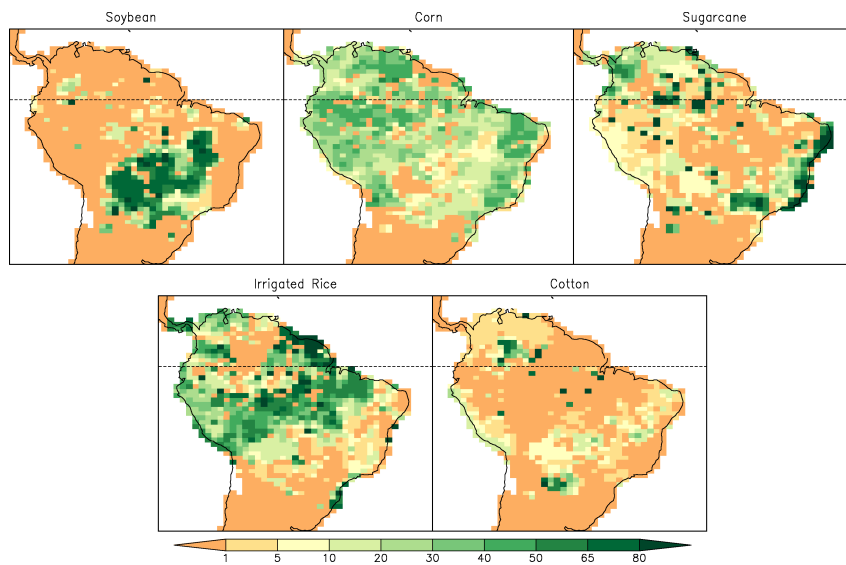


Figure 2. Distribution of each tropical crop as replacement vegetation in the Amazon region, with color bar indicating the percentage of each gridbox.

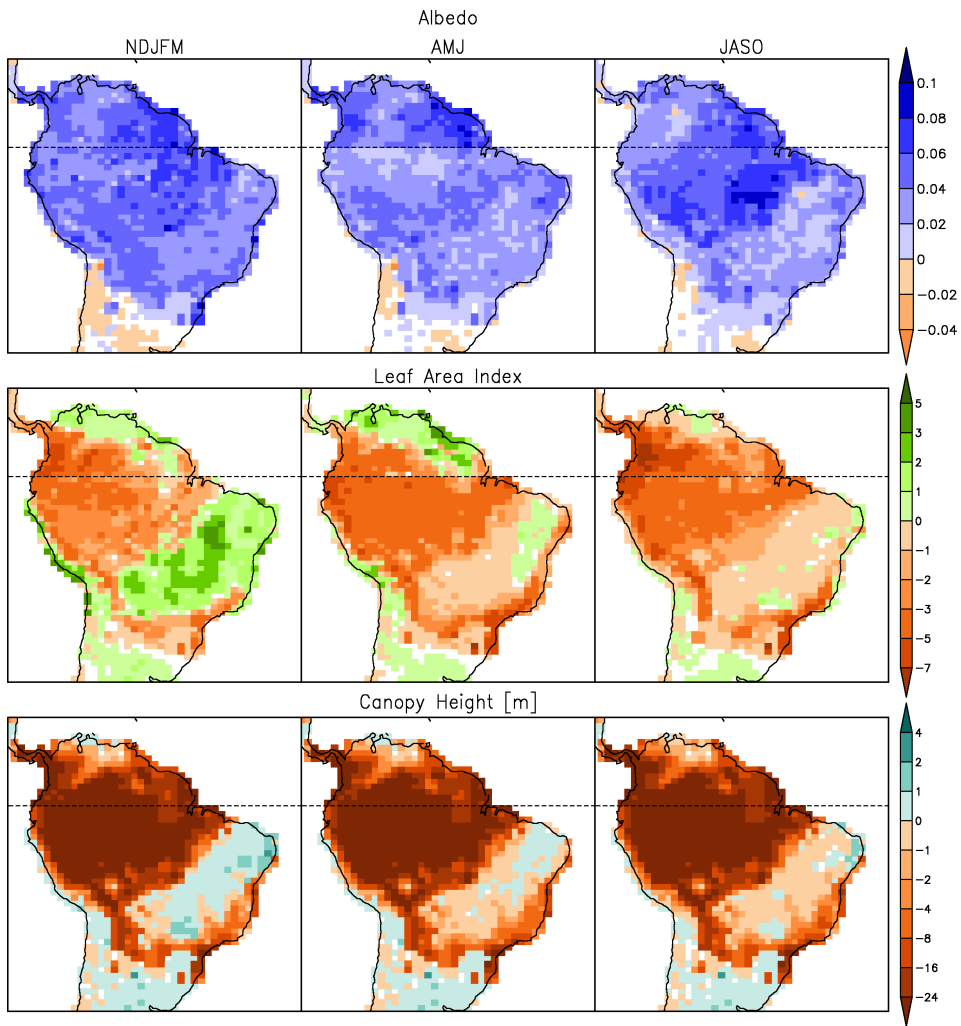


Figure 3. Changes to surface properties after deforestation in NDJFM, AMJ and JASO; albedo (top-row), leaf area index (middle-row) and canopy height [m] (bottom-row). Shading indicates significance at the 95% confidence level.

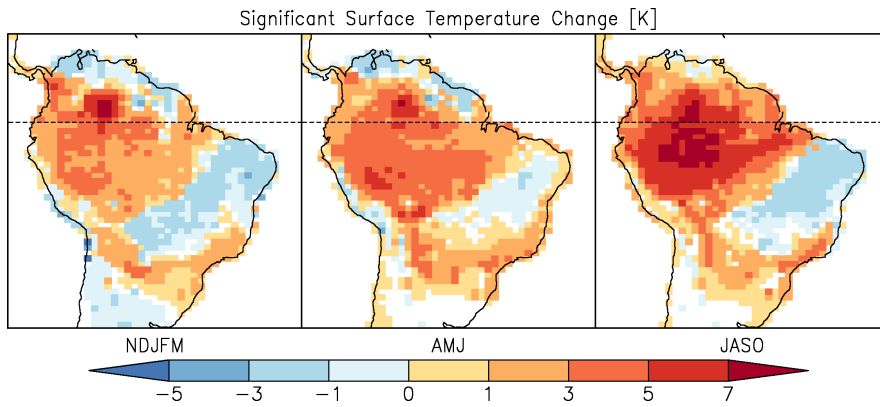


Figure 4. Change in surface temperature [K] for NDJFM, AMJ and JASO. Shading indicates significance at the 95% confidence level.

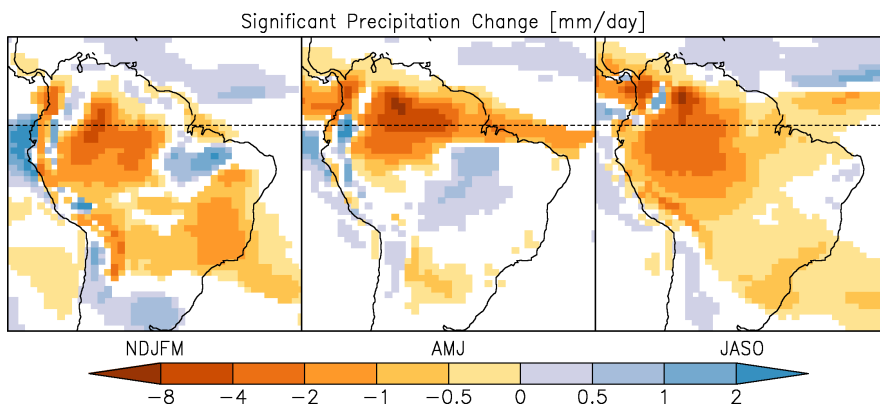


Figure 5. Change in precipitation [mm/day] for NDJFM, AMJ and JASO. Shading indicates significance at the 95% confidence level.

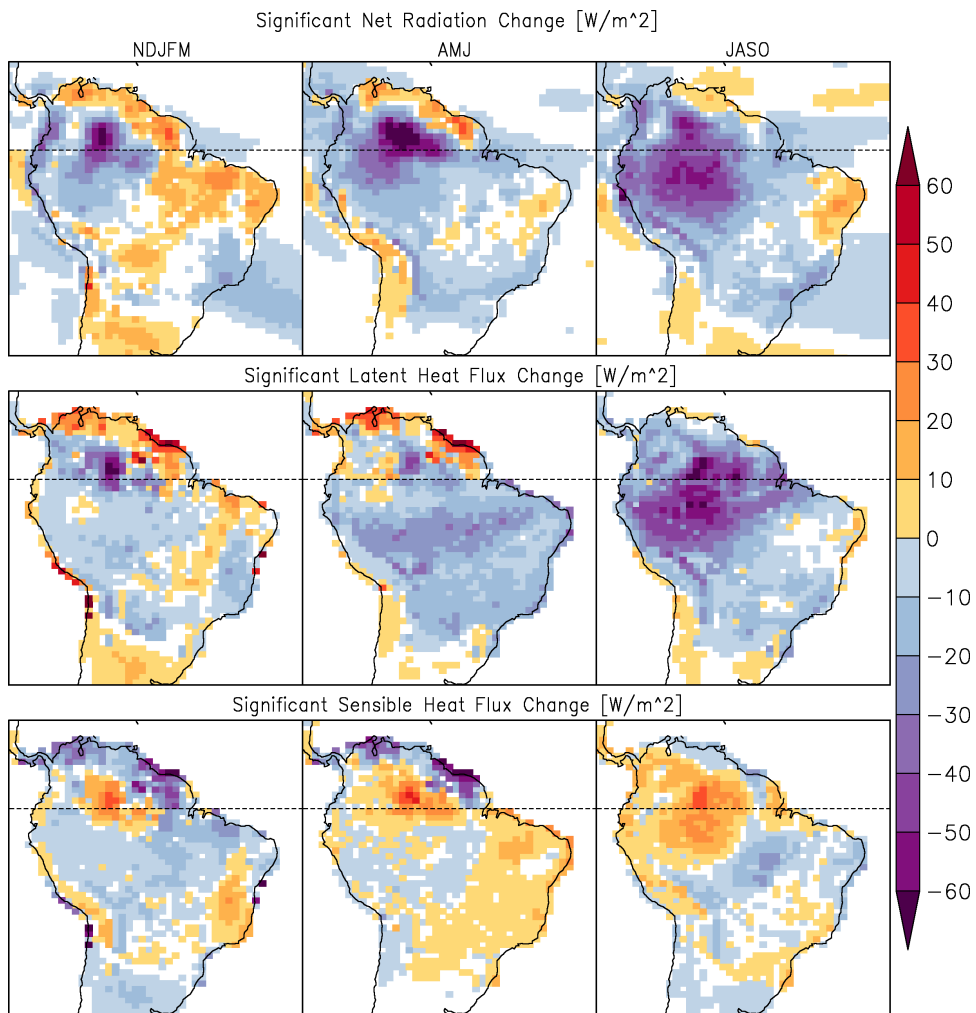


Figure 6. Changes of surface energy fluxes in NDJFM, AMJ and JASO; net radiation [W/m^2] (top-row), latent heat flux [W/m^2] (middle-row) and sensible heat flux [W/m^2] (bottom-row). Shading indicates significance at the 95% confidence level.

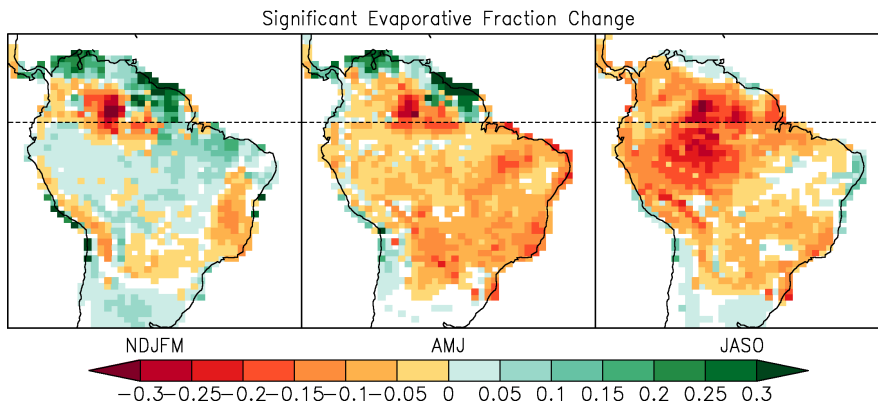


Figure 7. Changes to evaporative fraction in NDJFM, AMJ and JASO; shading indicates significance at the 95% confidence level.

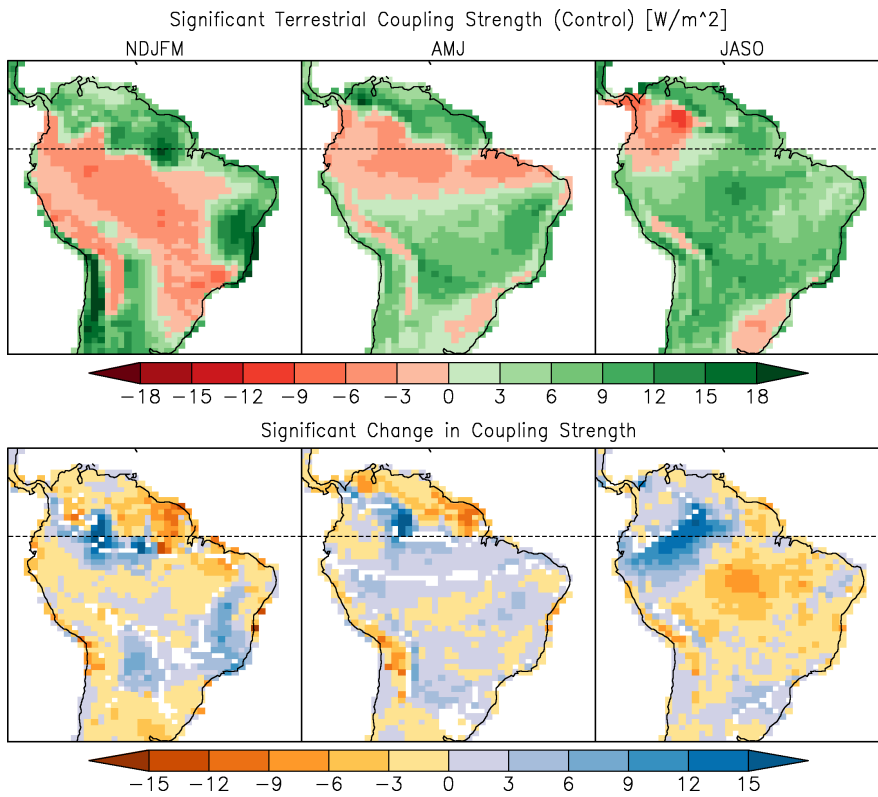


Figure 8. Terrestrial leg of coupling strength [W/m^2] between soil moisture and latent heat flux for the control simulation (top-row) and change due to deforestation (bottom-row) for NDJFM, AMJ and JASO. Shading indicates significance of the correlation component at the 95% confidence level.

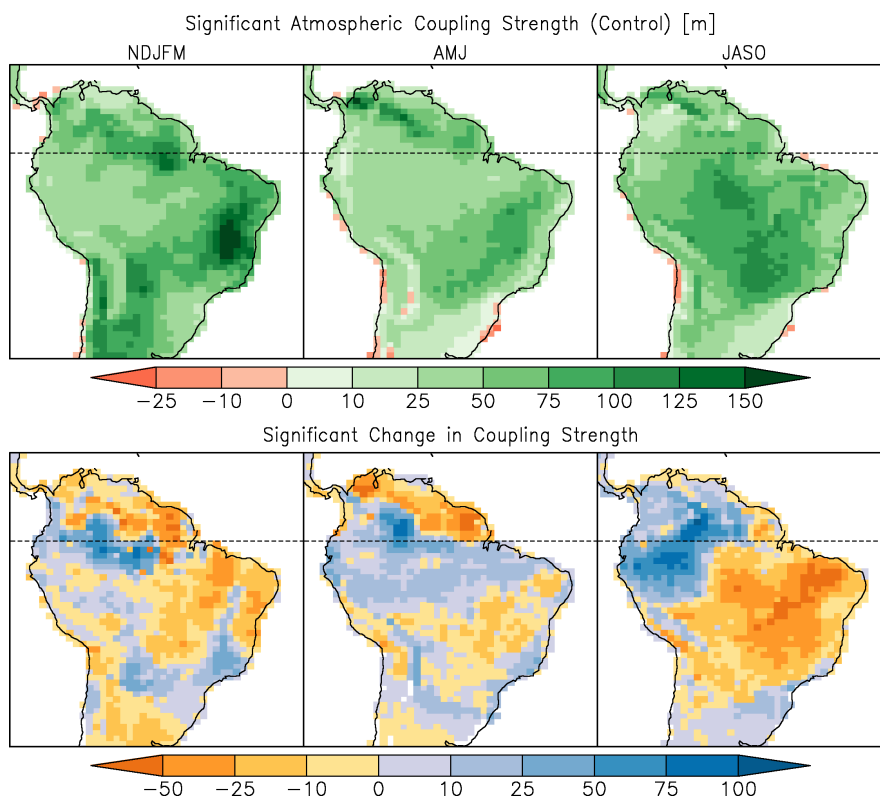


Figure 9. Atmospheric leg of coupling strength [m] between sensible heat flux and planetary boundary layer height for the control simulation (top-row) and change due to deforestation (bottom-row) for NDJFM, AMJ and JASO. Shading indicates significance of the correlation component at the 95% confidence level.

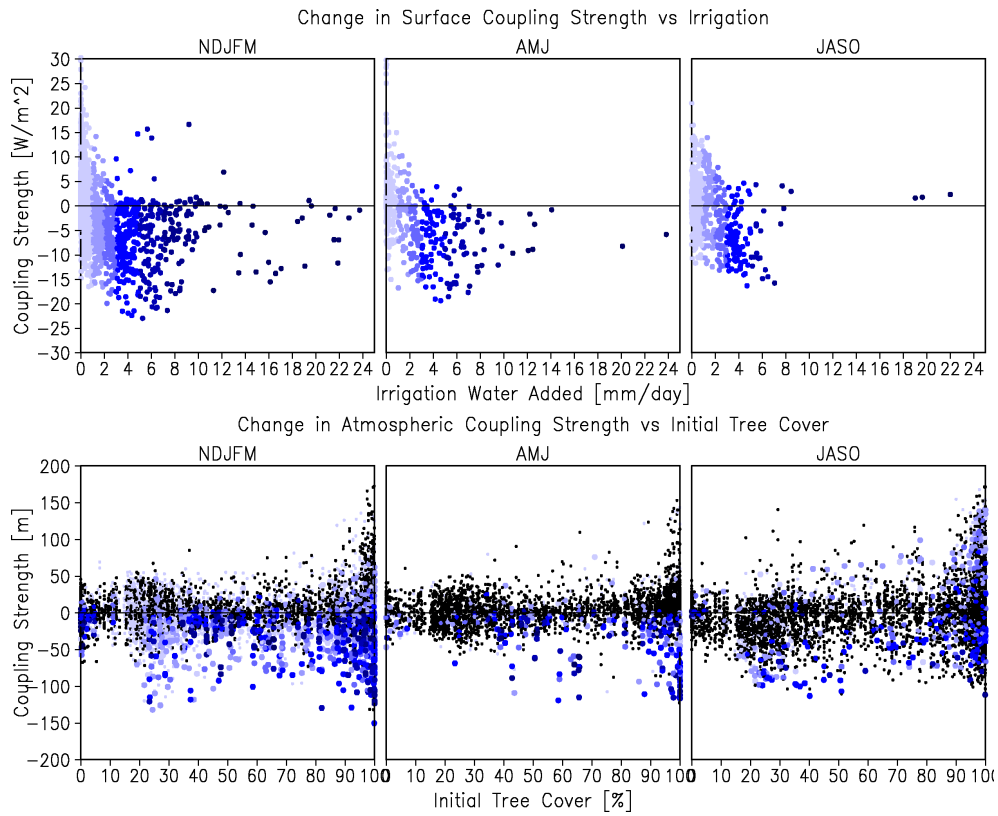


Figure 10. Top row: Change in terrestrial leg of coupling strength [W/m^2] versus irrigation water added [mm/day] for irrigated gridboxes in NDJFM, AMJ and JASO. Bottom row: Change in atmospheric leg of coupling strength [m] versus initial tree cover percentage for NDJFM, AMJ and JASO. Shaded dots represent irrigated gridboxes with the shading being equivalent to the shading for irrigation water added [mm/day] in top row.

Table 1. Key parameters used in developing CLM4.5 tropical crops. Planting dates are in the format of month-day (example: 4-15 is 15 April). "-" denotes a parameter that is not specified.

Parameters	C3 Crop	Corn	Spring Wheat	Winter Wheat	Soybean	Tropical Soybean	Tropical Corn	Tropical Corn (2)	Tropical Sugarcane	Tropical Rice	Tropical Cotton
	Photosynthesis	C3	C4	C3	C3	C3	C3	C4	C4	C4	C3
Max LAI	-	5	7	7	6	6	5	5	5	7	6
Max Canopy Top (m)	-	2.5	1.2	1.2	0.75	1	2.5	2.5	4	1.8	1.5
Last NH Planting Date	-	6-15	6-15	11-30	6-15	12-31	10-15	2-28	3-31	2-28	5-31
Last SH Planting Date	-	12-15	12-15	5-30	12-15	12-31	10-15	2-28	10-31	12-31	11-30
First NH Planting Date	-	4-01	4-01	9-01	5-01	10-15	9-20	2-01	1-01	1-01	4-01
First SH Planting Date	-	10-01	10-01	3-01	11-01	10-15	9-20	2-01	8-01	10-15	9-01
Min. Planting Temp. (K)	-	279.15	272.15	278.15	279.15	283.15	283.15	283.15	283.15	283.15	283.15
Planting Temp. (K)	-	283.15	280.15	-	286.15	294.15	294.15	294.15	294.15	294.15	294.15
GDD	-	1700	1700	1700	1900	2100	1800	1900	4300	2100	1700
Base Temperature (°C)	0	8	0	0	10	10	10	10	10	10	10
Max Day to Maturity	-	165	150	265	150	150	160	180	300	150	160
Maximum Fertilizer (kg N/m ²)	0	0.015	0.008	0.008	0.0025	0.05	0.03	0.03	0.04	0.02	0.02
Leaf Albedo - near IR	0.35	0.35	0.35	0.35	0.35	0.58	0.58	0.58	0.58	0.58	0.58
Leaf Transmittance - near IR	0.34	0.34	0.34	0.34	0.34	0.25	0.25	0.25	0.25	0.25	0.25
Leaf Transmittance - visible	0.05	0.05	0.05	0.05	0.05	0.07	0.07	0.07	0.07	0.07	0.07