

1 Reviving the ‘Ganges Water Machine’: Where and how much?

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7 **Abstract**

8 Runoff generated in the monsoon months in the upstream parts of the Ganges River Basin (GRB)
9 contributes substantially to downstream floods, while water shortages in the dry months affect
10 agricultural production in the basin. This paper examines the potential for subsurface storage (SSS) in the
11 Ganges Basin to mitigate floods in the downstream areas and increase the availability of water during
12 drier months. The Soil and Water Assessment Tool (SWAT) is used to estimate “sub-basin” water
13 availability. The water availability estimated is then compared with the sub-basin-wise un-met water
14 demand for agriculture. Hydrological analysis reveals that some of the un-met water demand in the sub-
15 basin can be met provided it is possible to capture the runoff in sub-surface storage during the monsoon
16 season (June to September). Some of the groundwater recharge is returned to stream as base flow and
17 has the potential to increase dry season river flows. To examine the impacts of groundwater recharge on
18 flood inundation and flows in the dry season (October to May), two groundwater recharge scenarios are
19 tested in the Ramganga sub-basin. Increasing groundwater recharge by 35% and 65% of the current level
20 would increase the base flow during the dry season by 0.69 Bm³ (27.3% of the baseline) and 1.29 Bm³
21 (54.5% of the baseline) respectively. Analysis of pumping scenarios indicates that 80,000 to 112,000 ha of
22 additional wheat area can be irrigated in Ramganga sub-basin by additional SSS without reducing the
23 current base flow volumes. Augmenting SSS reduces the peak flow and flood-inundated areas in
24 Ramganga (by up to 8% for 65% scenario compared to baseline), indicating the effectiveness of SSS on
25 reducing inundated areas under floods in the sub-basin. However, this may not be sufficient to effectively
26 control the flood in the downstream areas of GRB, such as in the state of Bihar (prone to floods) that
27 receives total flow of 277Bm³ from upstream sub-basins.

28 Key words: Ganges, Hydrological modeling, SWAT, Subsurface storage, runoff, Floods.

29

30 Introduction

31 Matching water demand with supply in river basins with monsoonal climate is a major challenge. The
32 monsoon-driven seasonal hydrology in India is often associated with floods and droughts, which affects
33 the most vulnerable people of society (women and children, the poor and other disadvantaged social
34 groups), and causes damage to crops and infrastructure. In these basins, upstream storage is generally
35 the preferred solution to buffer the variability of flow and reduce floods downstream (Khan et al., 2014).
36 Traditionally, dams are the major surface water storage structures. However, the construction of large
37 dams requires huge investments, displaces people, submerges forests, and some of the water is lost to
38 non-beneficial evaporation (Pavelic et al., 2012). In contrast, underground aquifers are efficient water
39 reservoirs with minimum evaporative losses, no displacement of people or submergence of land (Bouwer
40 2000; Dillon 2005; Ghayoumian et al., 2007).

41 For centuries, the utilization of water resources in the Ganges River Basin has been severely hampered by
42 substantial seasonal variation in river flows. In the basin, the main source of water is the (southwest)
43 monsoon rainfall (June to September), and also the snowmelt and ice melt in the Himalaya during the dry
44 season (Sharma and de Condappa, 2013). Out of the 1,170 billion cubic meters (Bm³) of water entering
45 the basin, around 500 Bm³ becomes river flow while the remainder is returned to the atmosphere through
46 evapotranspiration (SAWI, 2013). The monsoon (between June and September) contributes to about 80%
47 of total annual rainfall, and about 80% of the annual river flow (Revelle and Lakshminarayana, 1975). The
48 rainfall during the rest of the year is low and the river flows, generated mainly through recharged
49 groundwater and snowmelt, are barely sufficient to satisfy the water needs of all the sectors (Huda and
50 Shamsul, 2001). For instance, the estimated average annual flow (1990 to 2008) at the Harding Bridge in
51 Bangladesh (just downstream of the Indian border, with drainage area of 944,000 Km²) was about 340
52 Bm³ and ranged from 197 Bm³ to 486 Bm³, whereas flow in the dry season, at the same location, varied
53 from 43 Bm³ to 63 Bm³.

54 Extensive flooding in the Ganges River Basin, especially in the downstream areas, occurs annually (Mishra
55 1997). The major causes of floods in the downstream areas are the shallow groundwater table and high
56 monsoonal rainfall in these areas, and the large runoff generated in the upstream sub-basins. Previous
57 studies (Revelle and Lakshminarayana, 1975; Sadoff et al., 2013) indicated that, due to the limitation of
58 the construction of large surface reservoirs, recharging groundwater beyond the natural level is the best
59 way to control floods downstream. Subsurface storage (SSS) also allows meeting water requirements

60 during the dry months. Popular belief is that having large dams is the only option to meet the basin's
61 water storage needs (Onta, 2001). However, contrary to that, the Ganges strategic basin assessment
62 conducted by the World Bank (2012) found that the sustainable use of the basin's vast groundwater
63 aquifers can store far greater volumes of water compared to the potential of man-made storage in the
64 basin, which is about 130-145 Bm³ (Sadoff et al., 2013). For instance, the mean annual replenishable
65 groundwater in the Ganges basin is about 202.5 Bm³ (Ministry of water resources, 2014). Another study
66 found that the estimated storage available in the shallow alluvial aquifers of eastern Uttar Pradesh and
67 Bihar, which could be utilized in the dry season and naturally recharged in the wet season, is 30-50 Bm³
68 (SMEC, 2009).

69 From a purely biophysical perspective, four conditions are necessary to develop sustainable SSS solutions
70 (that involve groundwater recharge beyond the natural levels) to tackle water scarcity and flood damage
71 in the basin:

- 72 1. Existence of adequate un-met demand (e.g., for agriculture and other uses) to deplete the water
73 pumped from the aquifers in a basin/sub-basin.
- 74 2. Existence of adequate flows for capture during the monsoon season.
- 75 3. Existence of extra underground space, which can be created by pumping and depleting groundwater
76 before the onset of the monsoon.
- 77 4. Ability to actually capture the excess monsoon runoff to recharge that additional space created -
78 naturally (through surface water and groundwater interactions) or artificially (through managed
79 aquifer recharge (MAR)).

80 Amarasinghe et al. (in press) examined the first condition above and estimated un-met demand
81 throughout the basin under two scenarios of irrigation expansion. The main objective of this paper is to
82 examine the second condition above, i.e., assess the potential availability of runoff and the impact of
83 managed groundwater recharge on the river flow. A hydrological model – Soil and Water Assessment Tool
84 (SWAT) was used to conduct a hydrological analysis of the sub-basins of the Ganges River Basin. This study
85 does not determine whether there is sufficient aquifer storage available to hold the excess runoff, as this
86 requires detailed groundwater aquifer modeling in sub-basins of GRB. In fact, a comprehensive
87 assessment of the groundwater system in the Ganges is beyond the scope of this work. To the best of the
88 authors' knowledge, no such work has been done for whole of GRB although this could be done by using
89 the Gravity Recovery and Climate Experiment (GRACE) satellite (Swenson and Wahr, 2006; Morrow et al,
90 2012, Rodell et al., 2009). Rodell et al., 2009 used GRACE satellite data to estimate the mean rate of

91 groundwater depletion over the Indian states of Rajasthan, Panjab and Haryana as 17.7 ± 4.5 km³/year.
92 Chinnasamy (forthcoming) estimated that groundwater depletion rate over Ramganga sub-basin located
93 in the Northwestern part of the GRB as 1.6 km³/year, and concluded that, the depleted aquifer volume
94 can be used to store upto 76% of the rainfall in the sub-basin. Khan et al. (2014) showed that the
95 subsurface storage created in Uttar Pradesh by pumping groundwater during dry periods can
96 accommodate up to 37% of the yearly average monsoon flow.

97 Recharging of runoff to the groundwater aquifer during the monsoon season may have minimal effect to
98 the downstream flow during the monsoon season. In fact, increased groundwater recharge may increase
99 the contribution of groundwater to the river flow. However, the excess pumping of water from the aquifer
100 can affect the dry season flows. Sadoff et al (2013) mentioned that using aquifers to store excess water is
101 a national-level alternative for upstream water storage and has a potential to argument dry season flows
102 (although it requires other factors such as appropriate energy-pricing and policy environment in
103 conjunction with a well-managed surface water system). Khan et al (2014) suggest that not withdrawing
104 water from the river during dry season (which makes up to 50% of the 28 Bm³ of the annual water
105 withdrawal) in state of Uttar Pradesh (UP) will increase flow by 25% in the Ganges at the UP-Bihar
106 boundary. But the authors do not mention how to meet the unmet demand. The reduced surface water
107 pumped can be replaced with increased groundwater pumping (augmented with artificial recharge during
108 the previous wet period). Investigation of the effect of increased groundwater recharge and abstraction
109 on downstream low flows requires conjunctive modeling that couples both groundwater and surface
110 water models. In this study SWAT (which has a simplified groundwater model linked to surface water
111 model) is used to demonstrate this in the Ramganga sub-basin located in the northwestern part of the
112 GRB. Although this study is a theoretical exercise, it provides a scientific justification for a complete
113 investigation (including field pilot tests) into the plausibility for a well-designed managed aquifer recharge
114 program to enhance the sub-surface storage in GRB.

115 **Methodology**

116 *The Model*

117 Many models have been developed (e.g., Eastham et al., 2010; Gosain et al., 2011; World Bank, 2012) to
118 study water issues in the Ganges River Basin (Johnston and Smakhtin, 2014). However, they are not
119 available to the public. To overcome this restriction and provide the research community with a working
120 hydrological model for the Ganges River Basin, the International Water Management Institute (IWMI) has

121 developed a publicly available hydrological model for the basin (Muthuwatta et al., 2014) using the Soil
122 and Water Assessment Tool (SWAT) (Arnold et al., 1998). The model set up files can be downloaded from
123 the website http://waterdata.iwmi.org/model_inventory.php, and used in further applications and
124 scenario analyses in a variety of projects.

125 SWAT is a widely used, semi-distributed conceptual hydrological model developed by the Agricultural
126 Research Service of the United States Department of Agriculture (USDA) over the last 30 years, and is
127 available free of charge as a public domain model (Arnold et al.,1998; Gassman et al., 2007; Sood et al.,
128 2013). The model has been previously being used for number of studies for different watershed scales
129 (e.g. Muttiah and Wurbs, 2002; Ringler et al, 2010; Singh & Gosain, 2011, Sood et al, 2013). The
130 hydrological ability of the model to capture real world situations is extensively discussed in these articles.
131 Broadly, the SWAT input data can be grouped into five categories: topography or terrain, land use, soil,
132 land use management and climate (Neitsch et al., 2002). SWAT possesses adequate representation of
133 processes governing hydrology and is particularly suitable for application in large river basins. In SWAT, a
134 river basin is subdivided into a number of catchments, so that each catchment has at least one
135 representative stream. Based on unique combinations of soil, land use and slope, the catchments were
136 further divided into hydrological response units (HRUs), which are the fundamental units of calculation.
137 Subdividing the watershed into areas having unique land use, soil and slope combinations enables the
138 model to reflect differences in evapotranspiration and other hydrologic conditions. HRUs allow for a
139 modeling efficiency by lumping pixels with similar land use, soil and slope properties.

140 SWAT simulates the local water balance of the catchment through four storage volumes - snow, soil
141 profile, shallow aquifer and deep aquifer – based on the soil water balance (Equation 1):

$$142 \quad SW_t = SW_0 + \sum_{t=1}^t (R_t - SR_t - ET_t - P_t - G_t) \quad (1)$$

143
144 *Where:* SW_t is the soil water content minus the wilting-point water content at time t , and R_t , SR_t , ET_t , P_t ,
145 and G_t are the daily amounts (in mm) of rainfall, runoff, evapotranspiration, percolation and groundwater
146 flow, respectively, at time t . SW_0 is the initial soil water content. The simulated processes include **direct**
147 **runoff (in SWAT direct runoff is termed as surface runoff)**, infiltration, evaporation, transpiration, lateral
148 flow, and percolation to shallow and deep aquifers.

149 ***The Data and Model Setup***

150 The model used in this study was set up using the datasets shown in Table 1. The Ganges River Basin was
151 delineated using 3,000 ha as the minimum area threshold and has resulted in 1,684 catchments (Figure
152 1). The area threshold was selected by trial and error in an attempt to represent major tributaries in GRB,
153 while also keeping the SWAT sub-basins to the minimum.

154 The model was initially developed to study river flow entering Bangladesh. Therefore, the spatial domain
155 of the SWAT model developed for the Ganges does not entirely cover the areas that belong to West Bengal
156 and Bangladesh. However, this does not affect the current study, as its focus is to assess water availability
157 in the upstream sub-basins of the Ganges River Basin.

158 Table 1: An overview of the main datasets used in this study.

Category	Data	Data source
Topography	Digital elevation model (DEM)	Shuttle Radar Topography Mission (SRTM)
Land use	Land-use map	IWMI database – Satellite-based land-use map
Soils	Digital map of soils and soil Properties	FAO soil map of the world, 1995
Climate	Rainfall, temperature, relative humidity, sunshine hours, wind speed	Meteorological organization in Bangladesh, Re-analysis data, India Meteorological Department
Hydrology	River discharge	IWMI Water Data Portal

159
160 Figure 1 shows the 22 major sub-basins (Table 3) in the GRB as defined by the Central Water Commission
161 (CWC) of India, which is the main government agency responsible for water resources development and
162 management in the Ganges River Basin. Since the focus of this study is to estimate water availability in
163 the sub-basins within India, Nepal is considered as one region. The smaller spatial units inside those 22
164 sub-basins and Nepal are termed ‘catchments’ and were developed using SWAT interface, as discussed
165 above. The catchments do not completely match with some of the sub-basins due to limitation in SWAT
166 with processing coastal basins.

167 The model was initially calibrated and validated for the monthly discharge data collated at the Harding
168 Bridge. The calibration period was selected from 1981 to 1990 and the validation period was selected as
169 1991–2000. The performance indicators, Nash-Sutcliffe efficiency (NS) and coefficient of determination

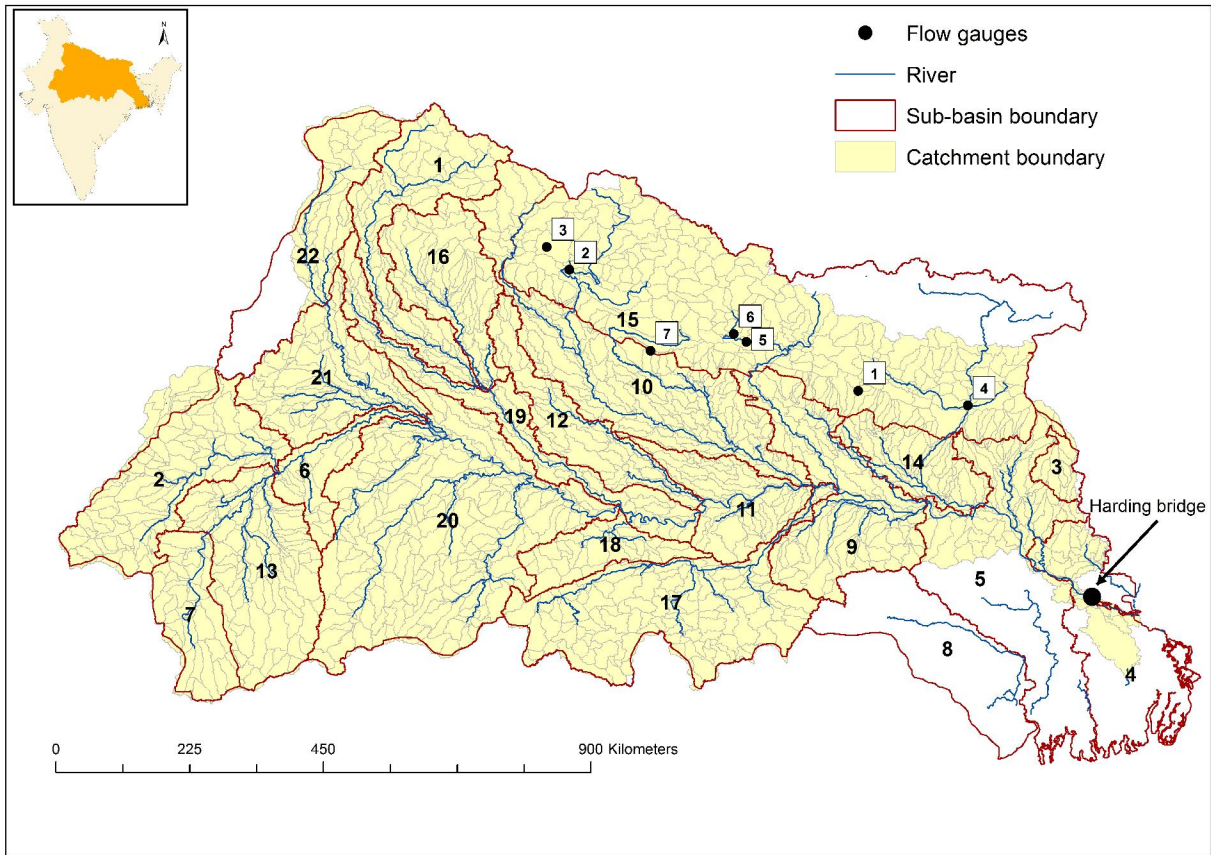
170 (R^2) were 0.69 and 0.73, respectively, for the calibration period and indicate reasonable agreement
 171 between observed and simulated river flow time series. For the validation period, NS and R^2 were 0.75
 172 and 0.81. Additionally, the model simulations were compared with the observed flow data at another
 173 seven locations, for which the observed data were available. Table 2 presents the model performance
 174 indicators for these seven locations. The performance indicators show reasonable values. Further,
 175 simulated water balance components seem to be comparable to the results of the other similar studies
 176 (e.g. Gosain and Sirinivasan, 2011). For more details on the model setup, including calibration and
 177 validation, please refer to Muthuwatta et al., 2014.

178 Table 2: Model performance indicators for seven locations in GRB.

Gauge	River	Latitude	Longitude	Period	R^2	NS	RMSE (m^3/s)	Max. flow(m^3/s)
1	Baghmati	27.15	85.49	1981–2006	0.83	0.82	39.7	987.0
2	Karnali	28.96	81.12	1981–2006	0.79	0.61	224.4	2140.7
3	Seti	29.30	80.78	1986–2006	0.76	0.54	92.3	827.4
4	Arun	26.93	87.15	1986–2006	0.63	0.64	446.7	2300.6
5	Kali Gandaki	27.88	83.80	1996–2006	0.75	0.62	280.8	2420.6
6	Kali Gandaki	28.00	83.61	1987–1995	0.58	0.58	261.4	1081.9
7	Kali Gandaki	27.75	82.35	1984–2006	0.76	0.66	293.6	2710.4

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182 Figure 1: Sub-basins and catchments of the Ganges River Basin (Name of the sub-basins are given in
 183 Table 3).

184 ***Simulating Sub-basin Runoff***

185 Annual time series of catchment-scale runoff from 1991 to 2010 were constructed by aggregating daily
 186 runoff simulated by SWAT. Next, using geographic information system (GIS) techniques, annual runoff
 187 time series were estimated for all sub-basins within the modeled area of the GRB. The study uses the
 188 hydrographs of the simulated runoff (SR) to estimate the 75% dependable runoff (SR₇₅). SR₇₅ is an estimate
 189 of the runoff that can be expected in the basin, on average, every three out of 4 years, and is considered
 190 to be a reliable estimate of water availability for augmenting groundwater storage (Wang et al, 2014).

191 ***Simulating Groundwater recharge scenarios in Ramganga***

192 To examine the effect of groundwater recharge on the hydrology such as monthly river flow, Ramganga
 193 sub-basin located in the northwestern part of the basin was selected. Ramganga sub-basin was selected
 194 because it is the first major upstream basin with the typical water resources management challenge of
 195 managing seasonal water variability and meeting water demand. The area of the Ramganga sub-basin is

196 about 32,000 km² and it belongs to two administrative districts: Uttaranchal and Uttara Pradesh. The
197 important tributaries that flow into Ramganga River are Kho, Gangan, Aril, Kosi, and Gorra. The surface
198 water potential in the basin is about 18.6 Bm³. The population in the basin is about 20 million. The
199 groundwater recharge was controlled in the SWAT model by changing the curve number (CN). CN
200 determines the runoff in hydrological models. Reducing CN in the SWAT increases groundwater recharge.

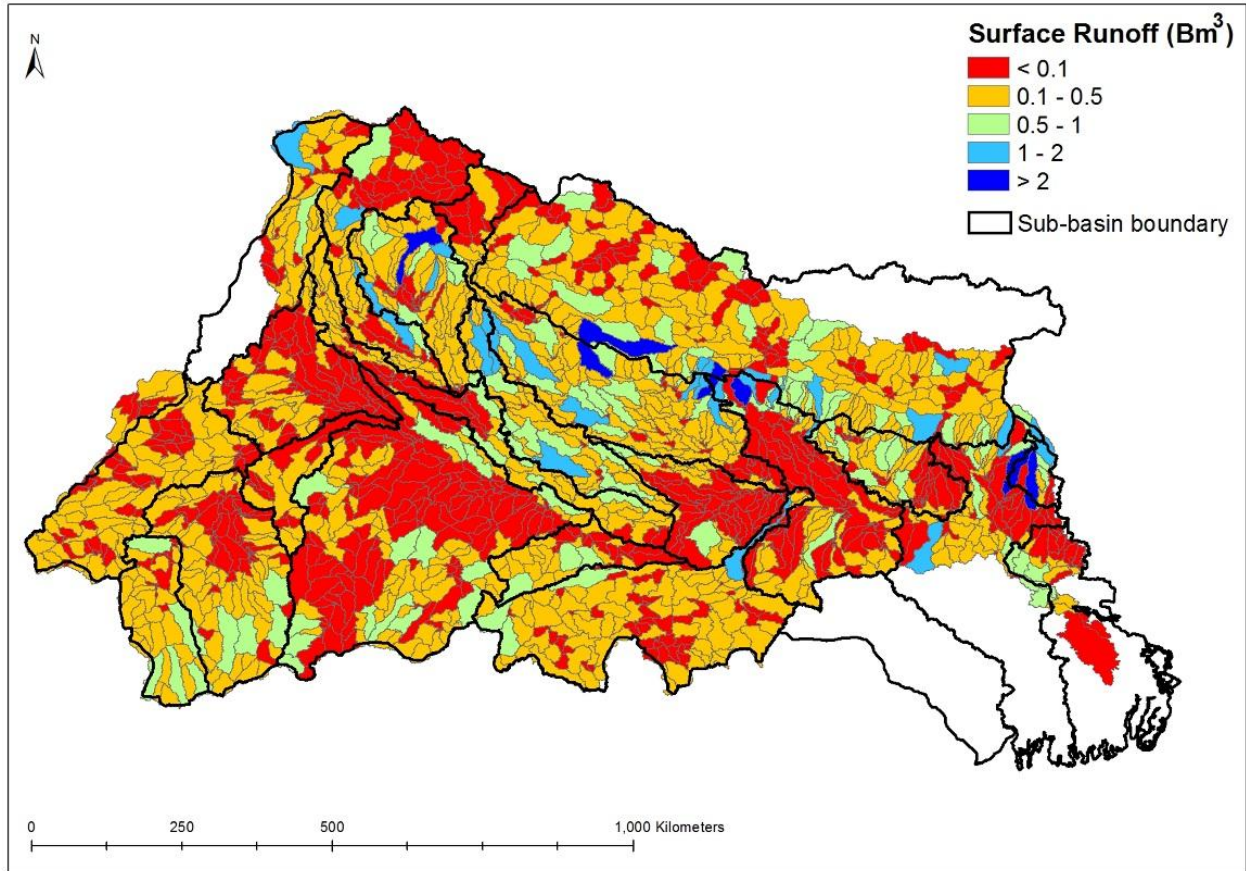
201 *Linking River Flow to Flood-inundated Areas*

202 The study conducted by Amarnath et al (2012) developed a data set that used the algorithm based
203 on number of water and vegetation indices (Land Surface Water Index (LSWI), Enhanced Vegetation Index
204 (EVI), Normalized Difference Vegetation Index (NDVI) and Normalized Difference Snow Index (NDSI)) on
205 the MODIS 8-day surface reflectance bands to estimate spatial extent and the temporal patterns of flood
206 inundated area (Amarnath et al, 2012). This data set was used to acquire maximum flood inundated area
207 for Ramganga. The effect of runoff on maximum flood-inundated area in Ram Ganga was investigated by
208 relating annual values of maximum flood inundated areas with the river flow using logarithmic regression
209 from 2003 to 2010.

210 **Results**

211 *Runoff of the Sub-basins*

212 The spatial and temporal distribution of the annual runoff is analyzed to determine the water availability
213 in different sub-basins. River flow includes direct runoff on surface, lateral flow and base flow from
214 groundwater, which can be captured by diversion or from dams. Direct runoff is calculated in SWAT using
215 SCS curve number method (SCS, 1972). In standard hydrological definitions, it is infiltration excess
216 overland flow, which is part of precipitation that is left after infiltration. It can be captured for MAR before
217 it reaches the stream (in this paper runoff is referred to the direct runoff calculated by SWAT). Therefore,
218 only the runoff portion was considered for augmenting SSS. Figure 2 shows the simulated catchment-scale
219 mean annual runoff.



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Figure 2: Mean annual runoff of the 1,684 sub-basins (1991-2010).

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223 The runoff of catchments ranges from less than 0.1 Bm³ to more than 2.0 Bm³. The statistics of the
 224 estimated surface runoff for the sub-basins is given in Table 3.

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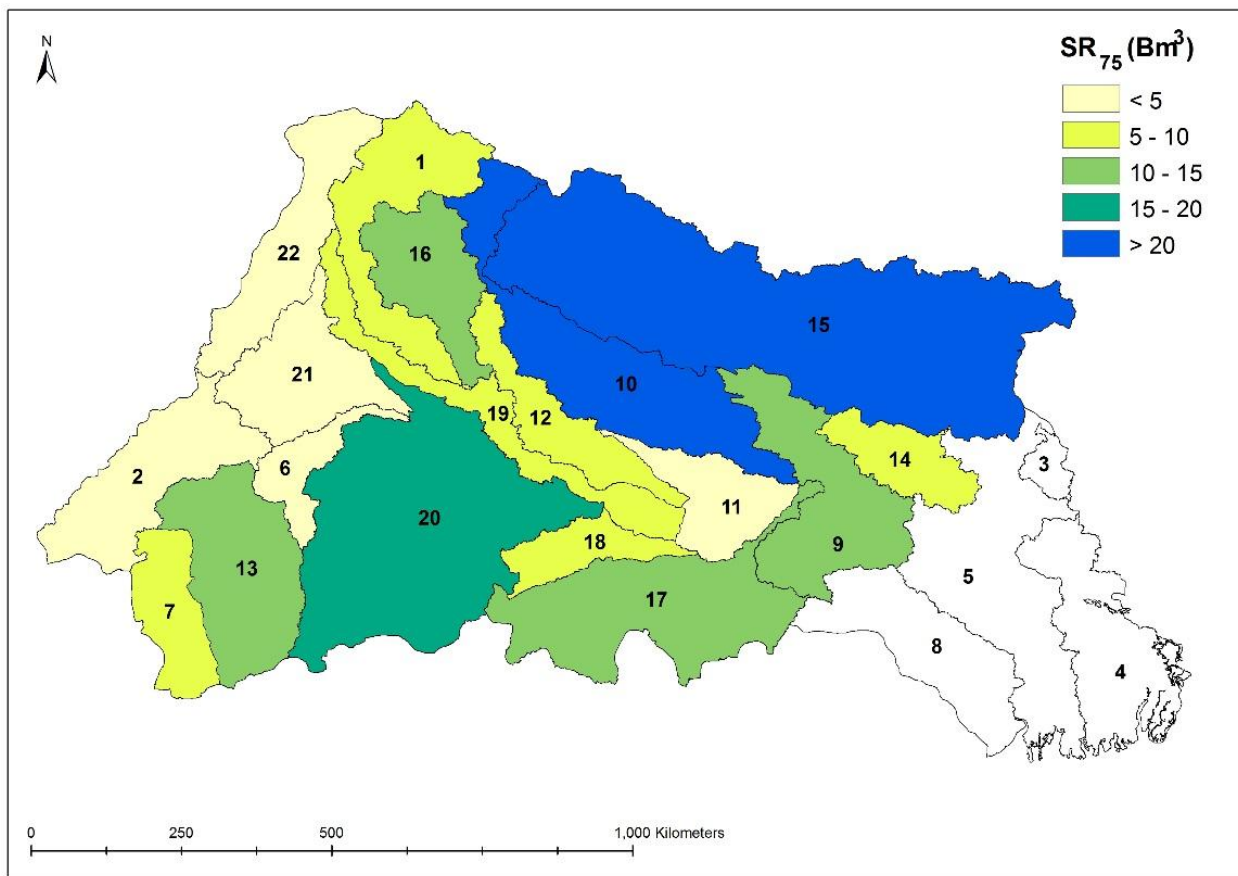
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Table 3: Runoff of the sub-basins.

Number	Sub-basin	Runoff (Bm ³)			Share of runoff as a percentage of total	
		Mean	Standard Deviation	SR ₇₅	Wet months (June-October)	Dry months (November-May)
1	Above Ramganga confluence	10.02	5.04	5.48	81.2	18.8
2	Banas	9.89	7.11	3.51	93.8	6.2
3,4	Bangladesh	-		-	-	-
5	Bhagirathi and others	-		-	-	-
6	Chambal Lower	2.24	1.37	1.23	94.8	5.2
7	Chambal Upper	8.73	3.01	6.60	90.2	9.8
8	Damodar	-		-	-	-
9	Gandak and others	16.03	6.57	11.79	86.0	14.0
10	Ghaghara	35.56	17.55	23.34	84.0	16.0
11	Ghaghara confluence to Gomti confluence	4.72	2.07	3.32	88.3	11.7
12	Gomti	13.64	7.34	9.75	90.8	9.2
13	Kali Sindh and others up to the confluence with Parbati	15.48	6.64	10.51	80.9	19.1
14	Kosi	9.44	3.95	6.81	72.8	27.2
15	Nepal	63.17	11.59	54.44	88.0	12.0
16	Ramganga	15.56	7.79	10.11	82.6	17.4
17	Son	19.50	7.88	14.08	85.1	14.9
18	Tons	6.75	2.47	5.17	88.5	11.5
19	Upstream of Gomti confluence with Muzaffarnagar	9.38	4.77	5.70	87.8	12.2
20	Yamuna Lower	22.42	10.78	15.21	93.8	6.2
21	Yamuna Middle	4.81	3.70	2.14	78.7	21.3
22	Yamuna Upper	7.19	3.92	4.49	82.7	17.3

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241 The estimates of mean annual runoff at sub-basin-scale range from 2.24 Bm³ in Chambal Lower (6) to
 242 63.17 Bm³ in Nepal (15). Additionally, the high standard deviations in Table 4 indicate significant temporal
 243 variation within sub-basins. Further analysis shows that runoff in the wet months (June to October) is
 244 more than 80% of the annual runoff in most sub-basins (Table 4, last two columns). This intra- and inter-
 245 annual variability of the flows clearly indicates the need for storages to capture the excess runoff during
 246 the monsoon season, which could be a SSS. For this analysis, SR₇₅ was used to identify the sub-basins that
 247 are consistently producing higher volumes of runoff. Figure 3 shows the spatial distribution of SR₇₅ of sub-
 248 basins.

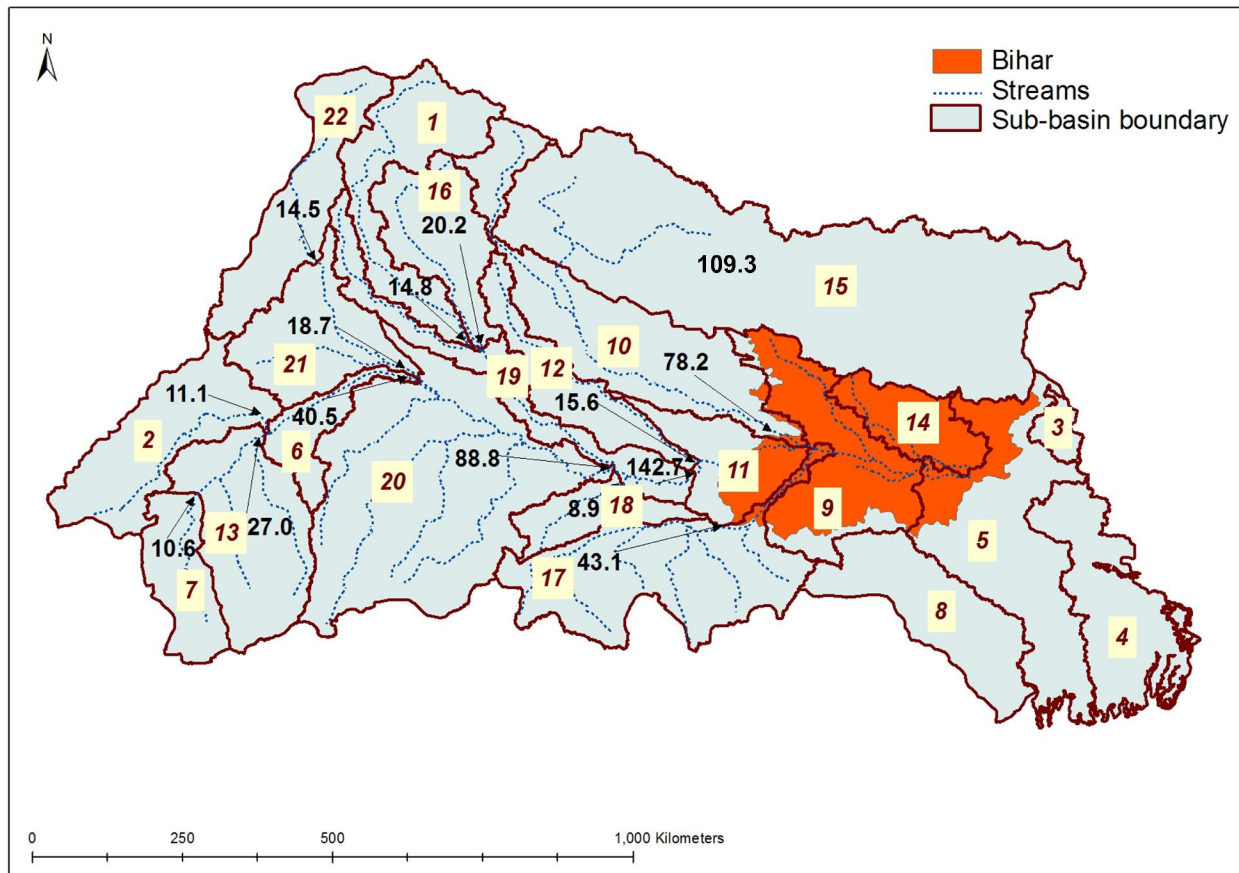


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 250 Figure 3: Sub-basin-scale annual dependable runoff (SR₇₅) in the Ganges River Basin (1991-2010).
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 252 Ghaghara (10) sub-basin and Nepal have, by far, the largest SR₇₅. The Kali Sindh (13), Ramganga (16), Son
 253 (17) and Yamuna Lower (20) sub-basins have more than 10 Bm³ of SR₇₅. The Gandak (9) also produces
 254 higher runoff, but the sub-basin is located in the downstream area of the Ganges River Basin. Because of

255 the high monsoon runoff, the upstream sub-basins contribute substantially to flooding in the downstream
 256 areas of the Ganges River Basin.

257 **Total discharge of the sub-basins.**

258 The mean annual discharge from the upstream sub-basins from 2001 to 2010 was estimated and is
 259 presented in Figure 4.



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 261 Figure 4: Mean annual outflow (Bm³) from the sub-basins in the Ganges River Basin (the numbers in
 262 black represent the mean annual outflow, and the numbers in brown on the yellow background
 263 represent numbers of the sub-basins).

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 265 The highest flow of 142.7 Bm³ to Bihar in the downstream of the GRB is coming from upstream of the
 266 Gomati confluence to Muzaffarnagar (19), as it gets a large contribution from the Yamuna Lower (20) and
 267 Ramganga (16). The second highest flow (78.2 Bm³) to Bihar is coming from the Ghaghara sub-basin (10)
 268 and it receives outflows from the western part of Nepal. The mean annual flow to Bihar from the various
 269 sub-basins in the Indian part of the Ganges River Basin is about 277±121 Bm³, and the mean annual rainfall
 270 in Bihar is about 123±32 Bm³. This indicates that the water volumes received from upstream flows are

271 more than twofold the amount of rainfall in Bihar. Flow from Ghaghara and Yamuna Lower sub-basins is
272 approximately 30% of the total inflow from the upstream Ganges River Basin to Bihar. The contributions
273 from Son, Kali Sindh and Ramganga are 17%, 10% and 7%, respectively. The estimated discharges at the
274 sub-basin outlets, as shown in Figure 4, include the contributions from upstream sub-basins and also the
275 contribution of groundwater and runoff to the river flow. Therefore, the values presented in Figure 4 are
276 significantly higher compared to the surface values presented in Figure 3.

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278 *Un-met Water Demand for Agriculture*

279 Amarasinghe et al. (2016) estimated the un-met agricultural water demand. Two scenarios were
280 considered in the analysis (Table 4).

281 Scenario 1: Provide irrigation to the total irrigable area, i.e., increase irrigated area in the **Rabi season**
282 **(November to March)** from 26 million hectare (Mha) (current irrigated area in this season) to 30 Mha
283 (irrigable area), and in the **hot-weather season (April to June)** from 3 Mha (current irrigated area in this
284 season) to 30 Mha (irrigable area), respectively.

285 Scenario 2: Provide irrigation to the total cropped area. At present, not all cropped area is equipped for
286 irrigation. i.e., irrigable area (30 Mha) is less than the cropped area (35 Mha). Therefore, the Scenario 2 is
287 to increase irrigable area and to increase irrigated area from 26 to 35 Mha in the Rabi season and from 3
288 to 35 Mha in the hot-weather (April to June) season respectively.

289 As of now, all the sub-basins in the Ganges River Basin have substantial un-met water demand for
290 agriculture in the dry season. Therefore, capturing a substantial portion of the runoff during the monsoon
291 months can help close the gap between current supply of water and demand in the dry months, thus
292 increasing agricultural productivity in these sub-basins. Table 4 presents the sub-basin-wise un-met
293 demand and the percentage of dependable runoff required to close the un-met demand.

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301 Table 4: Sub-basin-wise un-met agricultural water demand and the percentage of runoff required to
 302 close the un-met demand.

Sub-basin	Unmet demand (Bm ³)		Percentage of the SR ₇₅ required to close the un-met demand	
	Scenario 1	Scenario 2	Scenario 1	Scenario 2
Above Ramganga confluence	1.71	2.44	31.2	44.5
Banas	1.21	4.09	34.5	116.6
Bangladesh	-	-	-	-
Bhagirathi and others	4.61	15.12	39.1	128.4
Chambal Lower	0.83	1.39	67.7	113.4
Chambal Upper	2.57	5.15	38.9	78.0
Damodar	-	-	-	-
Gandak and others	5.17	7.17	43.9	60.8
Ghaghara	5.11	7.49	21.9	32.1
Ghaghara confluence to Gomti confluence	3.37	2.89	101.5	87.1
Gomti	2.63	2.83	27.0	29.0
Kali Sindh and others up to confluence with Parbati	3.9	7.14	37.1	67.9
Kosi	1.03	2.39	15.1	35.1
Nepal	-	-	-	-
Ramganga	2.48	3.28	24.5	32.4
Son	1.92	11.82	13.6	83.9
Tons	0.68	2.34	13.2	45.3
Upstream of Gomti confluence to Muzaffarnagar	2.93	3.9	51.4	68.5
Yamuna Lower	7.75	18.67	51.0	122.8
Yamuna Middle	3.41	4.72	159.1	220.2
Yamuna Upper	3.72	5.58	82.8	124.2

303

304 In the sub-basins, the total un-met demands are 55.03 Bm³ and 108.4 Bm³ under scenarios 1 and 2,
 305 respectively. The values presented in Table 4 show that, for some sub-basins, annual un-met demand
 306 exceeds the annual water availability. In these sub-basins, only a part of the un-met demand can be

307 satisfied by additional underground storage. In some other sub-basins, the un-met demand is less than
308 30% of the SR_{75} of runoff. These sub-basins have the potential to meet all the un-met demand with SSS.
309 In the Ramganga sub-basin, the SR_{75} of runoff is about 10.1 Bm^3 , and approximately 83% of this runoff is
310 occurring during the wet season. To meet the maximum un-met agricultural water demand in the
311 Ramganga sub-basin only requires capturing 33% of the monsoon runoff.

312 *Effect of enhanced groundwater recharge and increased pumping on the hydrology*

313 Although runoff is available to store in sub-surface storage (as presented in Table 3 and 4), it is pertinent
314 to scrutinize the effect of enhanced groundwater recharge and increased pumping on dry season and
315 peak flows in the stream and on downstream water availability. This is demonstrated for the Ramganga
316 sub-basin by simulating hydrology for the baseline scenario and two alternative scenarios: 35% increase
317 of groundwater recharge compared to the baseline – S-35; and 65% increase of groundwater recharge
318 compared to the baseline – S-65. Increase of groundwater recharge was implemented in the calibrated
319 SWAT model by changing the curve number (CN). Groundwater pumping was implemented in the SWAT
320 model by removing water from the groundwater storage. The groundwater pumped is assumed to be
321 consumptive use for ET and hence lost from the system. In Amarasinghe et al (2016), scenario 2 of unmet
322 agriculture water demand indicated that the agricultural areas in Ramganga sub-basin could be increase
323 by another 160,000 hectares. Thus for this analysis we only consider scenario 2 of the unmet agriculture
324 water demand. In this analysis we assume that the additional agriculture area will be wheat as this crop
325 is predominantly grown during the period of November to March. To estimate water requirements for
326 additional wheat areas from November to March, Crop coefficients (k_c) for wheat, as obtained from FAO56
327 (Allen et al, 1998) for similar climatic conditions and crop development stages were used. The Penman-
328 Monteith method served to estimate the daily reference evapotranspiration (ET_0) as required for the crop
329 water requirement estimations. Estimated water requirement for wheat was calculated as 520 mm, which
330 is within the range of recommended water requirements (450–650 mm) for regions with similar settings
331 (see Doorenbos and Kassam 1979).

332 Table 5 shows the effect of enhanced groundwater recharge and increased pumping on the base flow and
333 total stream flow at the main outlet of Ramganga (Bm^3). Columns 1-3 (c1 to c3) presents the total stream
334 flow at the main outlet of Ramganga sub-basin under baseline (BL), S-35 and S-65 scenarios respectively.
335 Columns 4 to 6 show the simulated monthly base flow under the three scenarios. Additional water
336 required to expand irrigated wheat area of 160,000 hectares during the period November to March (as
337 discussed in Scenario 2 of the unmet agriculture water demand) is presented in column 7. Effect of

338 additional pumping under S-35 and S-36 is presented in columns 8 to 13. Column 8 shows the monthly
 339 base flow if 100% of the additional area is irrigated by groundwater under S1 scenario while values in
 340 columns 9 and 10 estimated by assuming 50% and 60% of the 160,000 hectares are irrigated.

341 Table 5: Mean monthly distribution of river flow and base flow in Ramganga sub-basin under different
 342 groundwater recharge and abstraction scenarios (BL –Baseline scenario, S1 – 35% increase of
 343 groundwater recharge, S2 – 65% increase of groundwater recharge)

month	Flow			Base Flow (Groundwater Recharge scenarios)			Additional water requirement	Base Flow Additional Irrigation Scenarios S-35			Base Flow Additional Irrigation Scenarios S-65		
	C1	C2	C3	C4	C5	C6		C7	C8	C9	C10	C11	C12
	BL	S-35	S-65	BL	S-35	S-65		100%	60%	50%	100%	70%	60%
Jan	0.217	0.237	0.303	0.164	0.228	0.292	0.132	0.089	0.148	0.178	0.111	0.159	0.186
Feb	0.229	0.259	0.314	0.143	0.175	0.210	0.126	0.089	0.149	0.179	0.104	0.149	0.174
Mar	0.204	0.220	0.256	0.202	0.243	0.286	0.104	0.123	0.205	0.246	0.142	0.203	0.237
Apr	0.152	0.180	0.206	0.17	0.200	0.230	↓	0.087	0.145	0.174	0.099	0.142	0.166
May	0.09	0.105	0.119	0.096	0.113	0.129	↓	0.046	0.077	0.092	0.052	0.074	0.086
Jun	0.817	0.661	0.508	0.053	0.070	0.088	↓	0.035	0.058	0.069	0.043	0.062	0.072
Jul	4.566	3.877	3.320	0.428	0.623	0.796	↓	0.623	0.623	0.623	0.623	0.623	0.623
Aug	6.381	5.890	5.492	1.557	2.065	2.566	↓	2.065	2.065	2.065	2.065	2.065	2.065
Sep	5.745	5.796	5.844	2.335	3.069	3.767	↓	3.069	3.069	3.069	3.069	3.069	3.069
Oct	2.304	2.857	3.452	2.1	2.668	3.252	↓	2.668	2.668	2.668	2.668	2.668	2.668
Nov	1.114	1.497	1.823	1.005	1.387	1.689	0.097	1.384	1.384	1.384	1.384	1.384	1.384
Dec	0.493	0.683	0.840	0.448	0.623	0.770	0.093	0.277	0.462	0.554	0.351	0.501	0.585

344
 345 Although 85% of the recharge in Ramganga occurs between July and October, about 80% of the
 346 groundwater contribution (base flow) occurs during the period August to November (Table 5). The analysis
 347 shows reduction of river flow during the high flow months of July, August and, September, for both
 348 scenarios as compared to the BL scenario. Under the BL scenario, the stream flow volume at the sub-basin
 349 outlet during this three-month period is 16.7 Bm³. It reduces to 15.6 Bm³ and 14.7 Bm³ respectively when
 350 groundwater recharge is increased by 35% and 65% respectively (as compared to the baseline scenario).
 351 The overall reduction of high flows during this period is 6.8% and 12.2% for scenarios S-35 and S-65
 352 respectively.

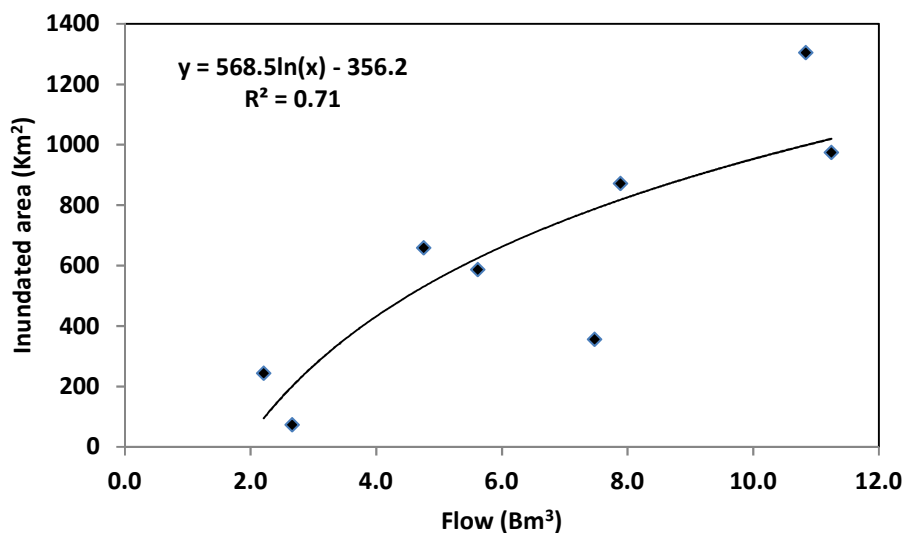
353 As presented in Table 5, the higher base flow occurs during the four-month period from August to
 354 November. The BL scenario indicates about 7.0 Bm³ of base flow during these four months and it increases

355 to 9.2 Bm³ and 11.3 Bm³ when groundwater recharge is increased by 35% and 65% respectively. During
356 the Rabi season (November to March) the increase of base flow under S-35 and S-65 is 0.694 and 1.285
357 respectively.

358 Under both S-35 and S-65 scenarios, irrigating 100% of the additional area would result in reduction of
359 base flow below the BL scenario during November to March. However, as presented in Table 5, for
360 scenario S-35, additional irrigation to cover 50% of the new wheat area would still maintain the base flow
361 little above the BL level while irrigating 60% of additional irrigated wheat areas would reduce the base
362 flow volumes below the BL levels. Results, further, indicate that under S-65 scenario it will be possible to
363 supply irrigation to 70% of the additional irrigated area without reducing the volumes of base flow
364 simulated in BL scenario.

365 *Effect on floods*

366 The relationship between the simulated maximum monthly river flow and the maximum flood
367 inundated areas in Ramganga is shown in Figure 5. Horizontal axis represents simulated maximum
368 monthly river flow during each year from 2003 to 2010 at the Ramganga outlet. Vertical axis shows the
369 maximum flood inundation areas estimated based on the satellite images in the corresponding year
370 (Amarnath et al., 2012).



371
372 Figure 5: Relationship between annual maximum floods inundated area and the maximum monthly river
373 flow in Ramganga.

374 The coefficient of determinant (R^2) indicates a strong correlation between area under floods and
375 the annual runoff and this implies that the maximum monthly runoff explains more than 70% of the
376 variation in maximum flood inundated area. The mathematical relationship between maximum flood
377 inundated area and the runoff is given in Equation 2:

$$378 \quad \text{Maximum Flood Inundated area} = 568.7 \times \text{Ln}(\text{Flow}) - 356.2 \quad (2)$$

379 The maximum monthly flow in Ramganga of about 6.4 Bm^3 in August (Figure 5) has a
380 corresponding flood inundated area of about 700 km^2 . Reduction of peak flow to 5.9 Bm^3 (35%
381 groundwater recharge scenario) would reduce the flood-inundated area by about 6.6%. Similarly, the
382 reduction of flood-inundated area compared to the baseline scenario is about 8.0% for 65% groundwater
383 recharge scenario. For this scenario, the reduced outflow from the basin is about 10%. This analysis show
384 the potential impacts of enhanced sub-surface storage on the flooding in the Ramganga sub-basin located
385 in the upstream. The volume of inflow in the Ramganga is negligible compared to the inflow received by
386 the areas such as Bihar in the downstream. Therefore, to understand the potential impacts of SSS on
387 flooding in the GRB, further research is required to investigate the effect of SSS on control of floods in the
388 downstream areas.

389 Discussion

390 Water availability and demand analysis conducted in the Ganges River Basin show that there is a
391 substantial mismatch between water demand and supply. For instance, estimated unmet annual water
392 demand for agriculture in the GRB (based on the two scenarios discussed above) ranges from 55.03 Bm^3
393 to 108.4 Bm^3 while annual total runoff generated in the basin is about $298 \pm 99 \text{ Bm}^3$, of which 80% occurs
394 during the monsoon months. In this situation, strategies must be formulated to manage available water
395 in the GRB in more productive manner. One management option discussed in this paper is using SSS....
396 Augmenting SSS is important in securing downstream water availability for ecosystems and other uses
397 such as agriculture, domestic and industrial.

398 A thorough analysis of water resource management options requires knowledge of spatial and temporal
399 distribution of water availability and substantial amount of hydrological data. In most cases, such data is
400 not publicly accessible. Thus remote sensing and models are helpful in filling in gaps where data is not
401 available. Models are also helpful in analyzing impact of SSS without making large financial investments.
402 As presented in the results section, SWAT model calibration was conducted using only flow data and the

403 model performance indicates acceptable results. However, the model calibrated for multiple water
404 balance components would have provided more trustworthy simulations. Other observed data such as
405 actual evapotranspiration, soil moisture etc. could have made the model more robust but such data does
406 not exist (although satellite products are there).

407 Results of the SWAT model demonstrate its capability of estimating the spatial and temporal water
408 availability in the sub-basins of GRB. The outcomes of the model show its capability of assessing the effect
409 of augmenting SSS on the hydrology of the basin. Flood inundated areas based on satellite remote sensing
410 data (provided by another study) allowed us to investigate impact of SSS on downstream floods. However,
411 the relationship established between floods inundated area and the river flow was only for Ramganga and
412 further investigations are required to understand how SSS will impact on large floods in the downstream
413 part of the basin.

414 **Conclusions**

415 Creating additional SSS beyond the current levels in the Ganges River Basin can simultaneously enhance
416 water supply and control downstream floods. The sub-basin-wise mean annual runoff ranges from 2.24
417 Bm^3 to 35.56 Bm^3 , and the contribution of runoff from Nepal is about 63 Bm^3 . Several sub-basins in the
418 Ganges River Basin produce sufficiently high dependable annual runoff that can be stored underground
419 and used during the dry season. For instance, annual runoff in each of the five sub-basins in the upstream
420 of Ganges River Basin is more than 10 Bm^3 , which is about 30% of total runoff generated in the GRB.
421 Comparison of sub-basin-wise runoff with the estimated un-met water demand indicateds that capturing
422 only a portion of the wet-season runoff would be sufficient to provide water to irrigate all the irrigable
423 land in the dry months. Sub-basin-wise river flow analysis in the GRB shows that approximately 30% of
424 the upstream flow to Bihar comes through the Ghaghara and Yamuna Lower sub-basins. This runoff
425 contributes to the recurrent floods in Bihar.

426 Case study based on Ramganga indicates that increasing 35% and 65% groundwater recharge compared
427 to the baseline scenario may reduce the peak monthly flow by about 6.8% and 12% respectively. Further,
428 the results indicate that the dry season flow (October to May) can increase by 27% and 54% in these two
429 scenarios before meeting unmet demand by pumping.

430 More than 70% of the variations of flood-inundated areas in the Ramganga sub-basin can be explained by
431 the maximum monthly river flow values. By increasing groundwater recharge by 35% and 65% during the
432 peak flow month's flood-inundated area can be reduced by about 6.6% and 8% respectively.

433 This study focused on spatio-temporal water availability and the impacts of SSS on the hydrology in GRB.
434 Pumping scenarios simulated by SWAT model indicated that 80,000 to 112,000 hectares of additional
435 wheat areas in the Rabi season can be irrigated by the increased SSS under 35% increase of groundwater
436 recharge and 65% increase of groundwater recharge scenarios.

437 This study only discusses the surface water availability for SSS, without going into details regarding
438 suitability of recharge areas. A detailed analysis of the soil, topographic and geological characteristics is
439 required to determine the suitable areas for groundwater recharge.

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