

# 1 **Reviving the ‘Ganges Water Machine’: Where and how much?**

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## 7 **Abstract**

8 Surface runoff generated in the monsoon months in the upstream parts of the Ganges River Basin (GRB)  
9 contributes substantially to downstream floods, while water shortages in the dry months affect  
10 agricultural production in the basin. This paper examines the potential for subsurface storage (SSS) in the  
11 Ganges Basin to mitigate floods in the downstream areas and increase the availability of water during  
12 drier months. The Soil and Water Assessment Tool (SWAT) is used to estimate “sub-basin” water  
13 availability. The water availability estimated is then compared with the sub-basin-wise un-met water  
14 demand for agriculture. Hydrological analysis reveals that there is sufficient water to meet the un-met  
15 water demand in the sub-basin provided that it is possible to capture the surface runoff in sub-surface  
16 storage during the wet season. To examine the impacts of groundwater recharge on flood inundation and  
17 flows in the dry season, two groundwater recharge scenarios are tested in the Ramganga sub-basin.  
18 Increasing groundwater recharge by 35% and 65% of the current level would increase the volume of water  
19 in aquifer by 1.25 Bm<sup>3</sup> and 1.44 Bm<sup>3</sup> respectively. Some of the groundwater recharge is returned to stream  
20 as base flow and has the potential to increase dry-season river flows. Augmenting SSS reduces the peak  
21 flow and flood-inundated areas in Ramganga (by up to 8% for 65% scenario compared to baseline),  
22 indicating the effectiveness of SSS on reducing inundated areas under floods in the sub-basin. However,  
23 this may not be sufficient to effectively control the flood in the downstream areas of GRB, such as in the  
24 state of Bihar, prone to floods, that receives total flow of 277Bm<sup>3</sup> from upstream sub-basins.

25 Key words: Ganges, Hydrological modeling, SWAT, Subsurface storage, Surface runoff, Floods.

26

## 27 Introduction

28 Matching water demand with supply in river basins with monsoonal climate is a major challenge. The  
29 monsoon-driven seasonal hydrology in India is often associated with floods and droughts, which affects  
30 the most vulnerable people of society (women and children, the poor and other disadvantaged social  
31 groups), and causes damage to crops and infrastructure. In these basins, upstream storage is generally  
32 the preferred solution to buffer the variability of flow and reduce floods downstream (Khan et al., 2014).  
33 Traditionally, dams are the major surface water storage structures. However, the construction of large  
34 dams requires huge investments, displaces people, submerges forests, and some of the water is lost to  
35 non-beneficial evaporation (Pavelic et al., 2012). In contrast, underground aquifers are efficient water  
36 reservoirs with minimum evaporative losses, no displacement of people or submergence of land (Bouwer  
37 2000; Dillon 2005; Ghayoumian et al., 2007).

38 For centuries, the utilization of water resources in the Ganges River Basin has been severely hampered by  
39 substantial seasonal variation in river flows. In the basin, the main source of water is the (southwest)  
40 monsoon rainfall, and also the snowmelt and ice melt in the Himalaya during the summer season (Sharma  
41 and de Condappa, 2013). Out of the 1,170 billion cubic meters ( $\text{Bm}^3$ ) of water entering the basin, around  
42  $500 \text{ Bm}^3$  becomes river flow while the remainder is returned to the atmosphere through  
43 evapotranspiration (SAWI, 2013). The monsoon (between June and September) contributes to about 80%  
44 of total annual rainfall, and about 80% of the annual river flow (Revelle and Lakshminarayana, 1975). The  
45 rainfall during the rest of the year is low and the river flows, generated mainly through recharged  
46 groundwater and snowmelt, are barely sufficient to satisfy the water needs of all the sectors (Huda and  
47 Shamsul, 2001). For instance, the estimated average annual flow (1990 to 2008) at the Harding Bridge in  
48 Bangladesh (just downstream of the Indian border, with drainage area of  $944,000 \text{ Km}^2$ ) was about  $340$   
49  $\text{Bm}^3$  and ranged from  $197 \text{ Bm}^3$  to  $486 \text{ Bm}^3$ , whereas flow in the dry season (October to May), at the same  
50 location, varied from  $43 \text{ Bm}^3$  to  $63 \text{ Bm}^3$ .

51 Extensive flooding in the Ganges River Basin, especially in the downstream areas, occurs annually (Mishra  
52 1997). The major causes of floods in the downstream areas are the shallow groundwater table and high  
53 monsoonal rainfall in these areas, and the large surface runoff generated in the upstream sub-basins.  
54 Previous studies (Revelle and Lakshminarayana, 1975; Sadoff et al., 2013) indicated that, due to the  
55 limitation of the construction of large surface reservoirs, recharging groundwater beyond the natural level  
56 is the best way to control floods downstream. Subsurface storage (SSS) also allows meeting water

57 requirements during the dry months. Popular belief is that having large dams is the only option to meet  
58 the basin's water storage needs (Onta, 2001). However, contrary to that, the Ganges strategic basin  
59 assessment conducted by the World Bank (2012) found that the sustainable use of the basin's vast  
60 groundwater aquifers can store far greater volumes of water compared to the potential of man-made  
61 storage in the basin, which is about 130-145 Bm<sup>3</sup> (Sadoff et al., 2013). For instance, the mean annual  
62 replenishable groundwater in the Ganges basin is about 202.5 Bm<sup>3</sup> (Ministry of water resources, 2014).  
63 Another study found that the estimated storage available in the shallow alluvial aquifers of eastern Uttar  
64 Pradesh and Bihar, which could be utilized in the dry season and naturally recharged in the wet season, is  
65 30-50 Bm<sup>3</sup> (SMEC, 2009).

66 From a purely biophysical perspective, four conditions are necessary to develop sustainable SSS solutions  
67 (that involve groundwater recharge beyond the natural levels) to tackle water scarcity and flood damage  
68 in the basin:

- 69 1. Existence of adequate un-met demand (e.g., for agriculture and other uses) to deplete the water  
70 pumped from the aquifers in a basin/sub-basin.
- 71 2. Existence of adequate flows for capture during the monsoon season.
- 72 3. Existence of extra underground space, which can be created by pumping and depleting groundwater  
73 before the onset of the monsoon.
- 74 4. Ability to actually capture the excess monsoon surface runoff to recharge that additional space  
75 created - naturally (through surface water and groundwater interactions) or artificially (through  
76 managed aquifer recharge (MAR)).

77 Amarasinghe et al. (in press) examined the first condition above and estimated un-met demand  
78 throughout the basin under two scenarios of irrigation expansion. The main objective of this paper is to  
79 examine the second condition above, i.e., assess the potential availability of runoff and the impact of  
80 managed groundwater recharge on the river flow. A hydrological model – Soil and Water Assessment Tool  
81 (SWAT) was used to conduct a hydrological analysis of the sub-basins of the Ganges River Basin. This study  
82 does not determine whether there is sufficient aquifer storage available to hold the excess runoff, as this  
83 requires detailed groundwater aquifer modeling in sub-basins of GRB. In fact, a comprehensive  
84 assessment of the groundwater system in the Ganges is beyond the scope of this work. To the best of the  
85 authors' knowledge, no such work has been done for whole of GRB although this could be done by using  
86 the Gravity Recovery and Climate Experiment (GRACE) satellite (Swenson and Wahr, 2006; Morrow et al,  
87 2012, Rodell et al., 2009). Rodell et al., 2009 used GRACE satellite data to estimate the mean rate of

88 groundwater depletion over the Indian states of Rajasthan, Panjab and Haryana as  $17.7 \pm 4.5$  km<sup>3</sup>/year.  
89 Chinnasamy (forthcoming) estimated that groundwater depletion rate over Ramganga sub-basin located  
90 in the Northwestern part of the GRB as 1.6 km<sup>3</sup>/year, and concluded that, the depleted aquifer volume  
91 can be used to store upto 76% of the rainfall in the sub-basin. Khan et al. (2014) showed that the  
92 subsurface storage created in Uttar Pradesh by pumping groundwater during dry periods can  
93 accommodate up to 37% of the yearly average monsoon flow.

94 Recharging of surface runoff to the groundwater aquifer during the monsoon season may have minimal  
95 effect to the downstream flow during the monsoon season. In fact, increased groundwater recharge may  
96 increase the contribution of groundwater to the river flow. However, the excess pumping of water from  
97 the aquifer can affect the dry season flows. Sadoff et al (2013) mentioned that using aquifers to store  
98 excess water is a national-level alternative for upstream water storage and has a potential to argument  
99 dry season flows (although it requires other factors such as appropriate energy-pricing and policy  
100 environment in conjunction with a well-managed surface water system). Khan et al (2014) suggest that  
101 not withdrawing water from the river during dry season (which makes up to 50% of the 28 Bm<sup>3</sup> of the  
102 annual water withdrawal) in state of Uttar Pradesh (UP) will increase flow by 25% in the Ganges at the  
103 UP-Bihar boundary. But the authors do not mention how to meet the unmet demand. The reduced surface  
104 water pumped can be replaced with increased groundwater pumping (augmented with artificial recharge  
105 during the previous wet period). Investigation of the effect of increased groundwater recharge and  
106 abstraction on downstream low flows requires conjunctive modeling that couples both groundwater and  
107 surface water models. In this study SWAT (which has a simplified groundwater model linked to surface  
108 water model) is used to demonstrate this in the Ramganga sub-basin located in the northwestern part of  
109 the GRB. Although this study is a theoretical exercise, it provides a scientific justification for a complete  
110 investigation (including field pilot tests) into the plausibility for a well-designed managed aquifer recharge  
111 program to enhance the sub-surface storage in GRB.

## 112 **Methodology**

### 113 *The Model*

114 Many models have been developed (e.g., Eastham et al., 2010; Gosain et al., 2011; World Bank, 2012) to  
115 study water issues in the Ganges River Basin (Johnston and Smakhtin, 2014). However, they are not  
116 available to the public. To overcome this restriction and provide the research community with a working  
117 hydrological model for the Ganges River Basin, the International Water Management Institute (IWMI) has

118 developed a publicly available hydrological model for the basin (Muthuwatta et al., 2014) using the Soil  
119 and Water Assessment Tool (SWAT) (Arnold et al., 1998). The model set up files can be downloaded from  
120 the website [http://waterdata.iwmi.org/model\\_inventory.php](http://waterdata.iwmi.org/model_inventory.php), and used in further applications and  
121 scenario analyses in a variety of projects.

122 SWAT is a widely used, semi-distributed conceptual hydrological model developed by the Agricultural  
123 Research Service of the United States Department of Agriculture (USDA) over the last 30 years, and is  
124 available free of charge as a public domain model (Arnold et al., 1998; Gassman et al., 2007; Sood et al.,  
125 2013). The model has been previously being used for number of studies for different watershed scales  
126 (e.g. Muttiah and Wurbs, 2002; Ringler et al, 2010; Singh & Gosain, 2011, Sood et al, 2013). The  
127 hydrological ability of the model to capture real world situations is extensively discussed in these articles.  
128 Broadly, the SWAT input data can be grouped into five categories: topography or terrain, land use, soil,  
129 land use management and climate (Neitsch et al., 2002). SWAT possesses adequate representation of  
130 processes governing hydrology and is particularly suitable for application in large river basins. In SWAT, a  
131 river basin is subdivided into a number of catchments, so that each catchment has at least one  
132 representative stream. Based on unique combinations of soil, land use and slope, the catchments were  
133 further divided into hydrological response units (HRUs), which are the fundamental units of calculation.  
134 Subdividing the watershed into areas having unique land use, soil and slope combinations enables the  
135 model to reflect differences in evapotranspiration and other hydrologic conditions. HRUs allow for a  
136 modeling efficiency by lumping pixels with similar land use, soil and slope properties.

137 SWAT simulates the local water balance of the catchment through four storage volumes - snow, soil  
138 profile, shallow aquifer and deep aquifer – based on the soil water balance (Equation 1):

$$139 \quad SW_t = SW_0 + \sum_{t=1}^t (R_t - SR_t - ET_t - P_t - G_t) \quad (1)$$

140  
141 *Where:*  $SW_t$  is the soil water content minus the wilting-point water content at time  $t$ , and  $R_t$ ,  $SR_t$ ,  $ET_t$ ,  $P_t$ ,  
142 and  $G_t$  are the daily amounts (in mm) of rainfall, runoff, evapotranspiration, percolation and groundwater  
143 flow, respectively, at time  $t$ .  $SW_0$  is the initial soil water content. The simulated processes include surface  
144 runoff, infiltration, evaporation, transpiration, lateral flow, and percolation to shallow and deep aquifers.

### 145 ***The Data and Model Setup***

146 The model used in this study was set up using the datasets shown in Table 1. The Ganges River Basin was  
147 delineated using 3,000 ha as the minimum area threshold and has resulted in 1,684 catchments (Figure

148 1). The area threshold was selected by trial and error in an attempt to represent major tributaries in GRB,  
 149 while also keeping the SWAT sub-basins to the minimum.

150 The model was initially developed to study river flow entering Bangladesh. Therefore, the spatial domain  
 151 of the SWAT model developed for the Ganges does not entirely cover the areas that belong to West Bengal  
 152 and Bangladesh. However, this does not affect the current study, as its focus is to assess water availability  
 153 in the upstream sub-basins of the Ganges River Basin.

154 Table 1: An overview of the main datasets used in this study.

Category	Data	Data source
Topography	Digital elevation model (DEM)	Shuttle Radar Topography Mission (SRTM)
Land use	Land-use map	IWMI database – Satellite-based land-use map
Soils	Digital map of soils and soil Properties	FAO soil map of the world, 1995
Climate	Rainfall, temperature, relative humidity, sunshine hours, wind speed	Meteorological organization in Bangladesh, Re-analysis data, India Meteorological Department
Hydrology	River discharge	IWMI Water Data Portal

155  
 156 Figure 1 shows the 22 major sub-basins (Table 3) in the GRB as defined by the Central Water Commission  
 157 (CWC) of India, which is the main government agency responsible for water resources development and  
 158 management in the Ganges River Basin. Since the focus of this study is to estimate water availability in  
 159 the sub-basins within India, Nepal is considered as one region. The smaller spatial units inside those 22  
 160 sub-basins and Nepal are termed ‘catchments’ and were developed using SWAT interface, as discussed  
 161 above. The catchments do not completely match with some of the sub-basins due to limitation in SWAT  
 162 with processing coastal basins.

163 The model was initially calibrated and validated for the monthly discharge data collated at the Harding  
 164 Bridge. The calibration period was selected from 1981 to 1990 and the validation period was selected as  
 165 1991–2000. The performance indicators, Nash-Sutcliffe efficiency (NS) and coefficient of determination  
 166 ( $R^2$ ) were 0.69 and 0.73, respectively, for the calibration period and indicate reasonable agreement  
 167 between observed and simulated river flow time series. For the validation period, NS and  $R^2$  were 0.75  
 168 and 0.81. Additionally the model simulations were compared with the observed flow data at another

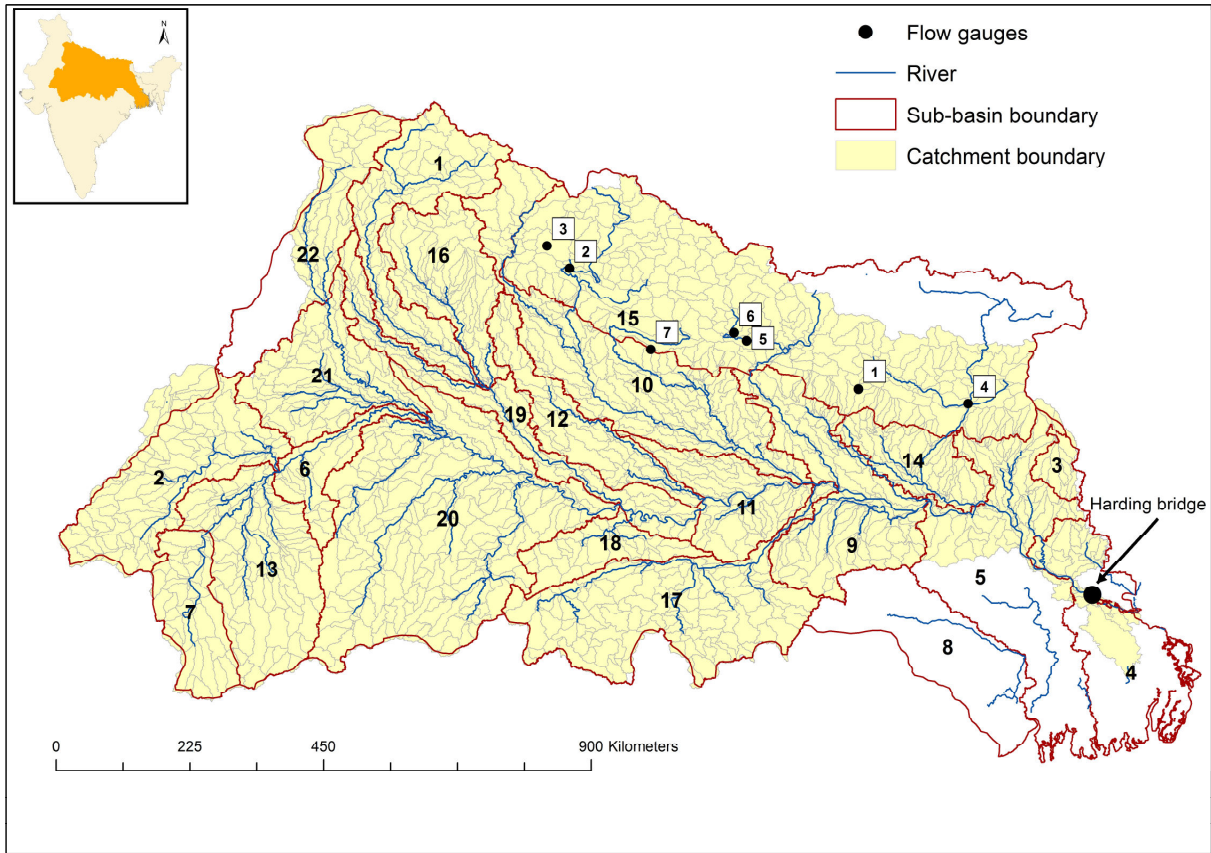
169 seven locations, for which the observed data were available. Table 2 presents the model performance  
 170 indicators for these seven locations. The performance indicators show reasonable values. Further,  
 171 simulated water balance components seem to be comparable to the results of the other similar studies  
 172 (e.g. Gosain and Sirinivasan, 2011). For more details on the model setup, including calibration and  
 173 validation, please refer to Muthuwatta et al., 2014.

174 Table 2: Model performance indicators for seven locations in GRB.

Gauge	River	Latitude	Longitude	Period	R <sup>2</sup>	NS	RMSE (m <sup>3</sup> /s)	Max. flow(m <sup>3</sup> /s)
1	Baghmati	27.15	85.49	1981–2006	0.83	0.82	39.7	987.0
2	Karnali	28.96	81.12	1981–2006	0.79	0.61	224.4	2140.7
3	Seti	29.30	80.78	1986–2006	0.76	0.54	92.3	827.4
4	Arun	26.93	87.15	1986–2006	0.63	0.64	446.7	2300.6
5	Kali Gandaki	27.88	83.80	1996–2006	0.75	0.62	280.8	2420.6
6	Kali Gandaki	28.00	83.61	1987–1995	0.58	0.58	261.4	1081.9
7	Kali Gandaki	27.75	82.35	1984–2006	0.76	0.66	293.6	2710.4

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178 Figure 1: Sub-basins and catchments of the Ganges River Basin (Name of the sub-basins are given in  
 179 Table 3).

180 ***Simulating Sub-basin Runoff***

181 Annual time series of catchment-scale surface runoff from 1991 to 2010 were constructed by aggregating  
 182 daily surface runoff simulated by SWAT. Next, using geographic information system (GIS) techniques,  
 183 annual runoff time series were estimated for all sub-basins within the modeled area of the GRB. The study  
 184 uses the hydrographs of the simulated runoff (SR) to estimate the 75% dependable runoff (SR<sub>75</sub>). SR<sub>75</sub> is  
 185 an estimate of the runoff that can be expected in the basin, on average, every three out of 4 years, and is  
 186 considered to be a reliable estimate of water availability for augmenting groundwater storage (Wang et  
 187 al, 2014).

188 ***Simulating Groundwater recharge scenarios in Ramganga***

189 To examine the effect of groundwater recharge on the hydrology such as monthly river flow, Ramganga  
 190 sub-basin located in the northwestern part of the basin was selected. Ramganga sub-basin was selected  
 191 because it is the first major upstream basin with the typical water resources management challenge of



192 managing seasonal water variability and meeting water demand. The area of the Ramganga sub-basin is  
193 about 32,000 km<sup>2</sup> and it belongs to two administrative districts: Uttaranchal and Uttara Pradesh. The  
194 important tributaries that flow into Ramganga River are Kho, Gangan, Aril, Kosi, and Gorra. The surface  
195 water potential in the basin is about 18.6 Bm3. The population in the basin is about 20 million. The  
196 groundwater recharge was controlled in the SWAT model by changing the curve number (CN). CN  
197 determines the surface runoff in hydrological models. Reducing CN in the SWAT increases groundwater  
198 recharge.

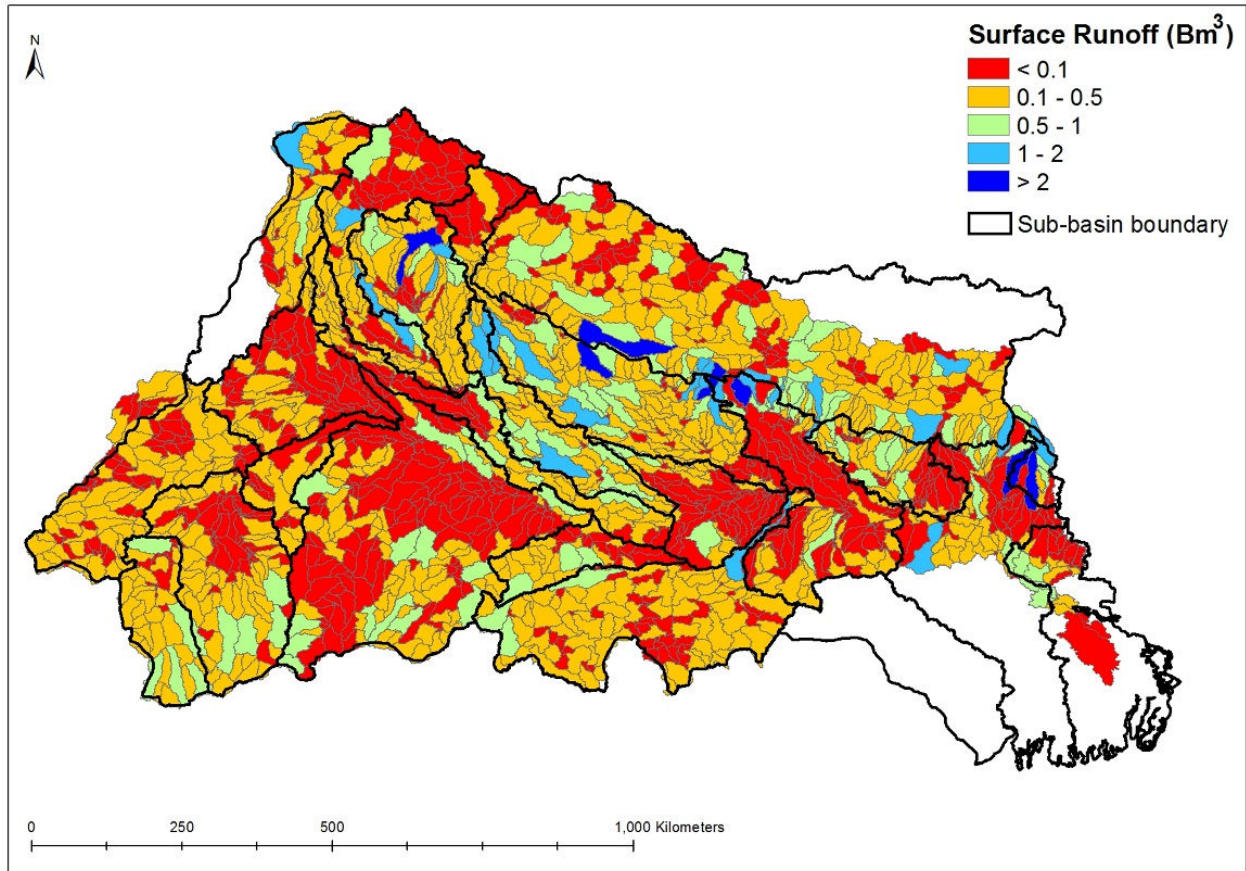
### 199 *Linking River Flow to Flood-inundated Areas*

200 The study conducted by Amarnath et al (2012) developed a data set that used the algorithm based  
201 on number of water and vegetation indices (Land Surface Water Index (LSWI), Enhanced Vegetation Index  
202 (EVI), Normalized Difference Vegetation Index (NDVI) and Normalized Difference Snow Index (NDSI)) on  
203 the MODIS 8-day surface reflectance bands to estimate spatial extent and the temporal patterns of flood  
204 inundated area (Amarnath et al, 2012). This data set was used to acquire maximum flood inundated area  
205 for Ramganga. The effect of surface runoff on maximum flood-inundated area in Ram Ganga was  
206 investigated by relating annual values of maximum flood inundated areas with the river flow using  
207 logarithmic regression from 2003 to 2010.

## 208 **Results**

### 209 *Surface Runoff of the Sub-basins*

210 The spatial and temporal distribution of the annual surface runoff is analyzed to determine the water  
211 availability in different sub-basins. River flow includes surface runoff and baseflow from groundwater,  
212 which can be captured by diversion or from dams. Surface runoff is calculated in SWAT using SCS curve  
213 number method (SCS, 1972). In the standard hydrological definitions, it is the direct runoff which is part  
214 of the precipitation that is left after infiltration, and can be captured for MAR before it reaches the stream.  
215 It. Therefore, only the surface runoff portion was considered for augmenting SSS. Figure 2 shows the  
216 simulated catchment-scale mean annual surface runoff.



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Figure 2: Mean annual surface runoff of the 1,684 sub-basins (1991-2010).

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220 The surface runoff of catchments ranges from less than 0.1 Bm<sup>3</sup> to more than 2.0 Bm<sup>3</sup>. The statistics of  
 221 the estimated surface runoff for the sub-basins is given in Table 3.

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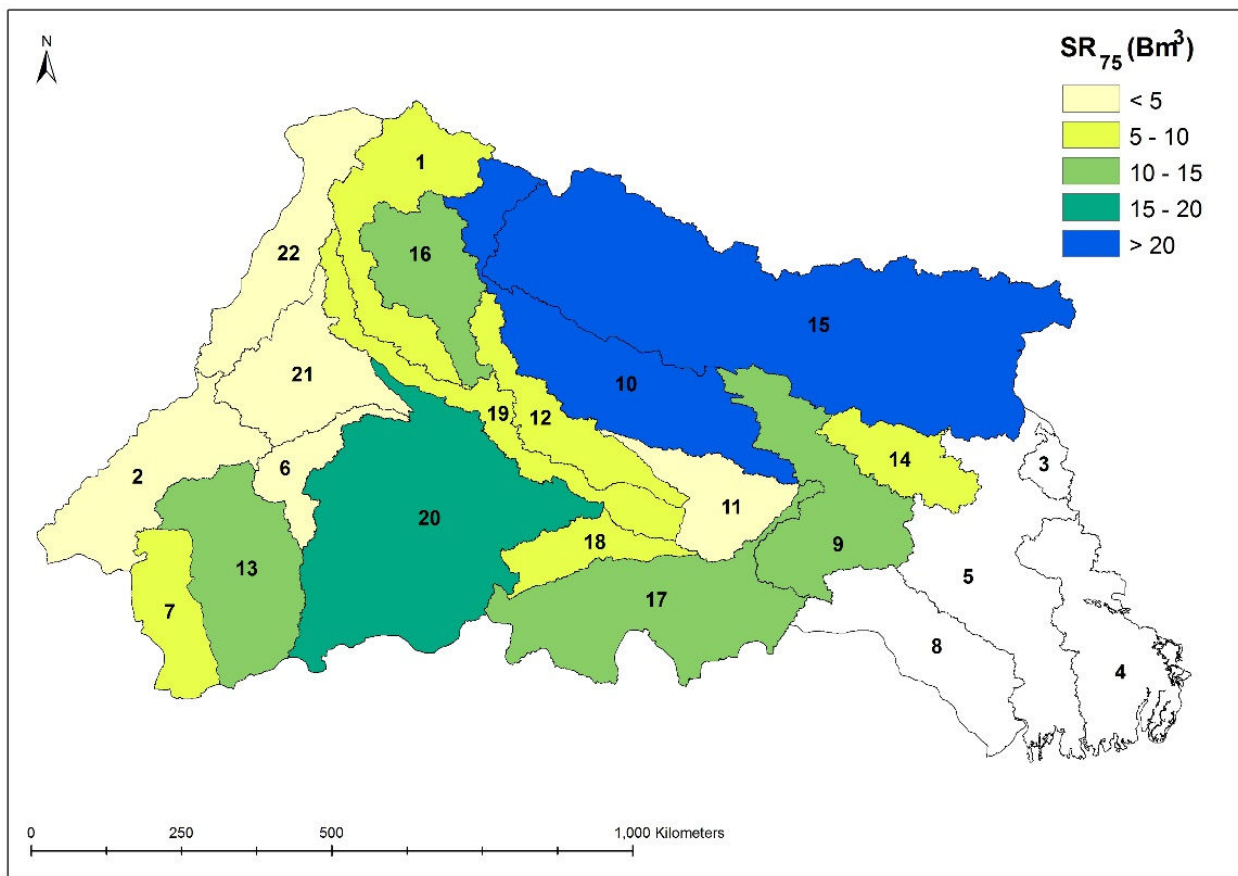
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Table 3: Surface runoff of the sub-basins.

Number	Sub-basin	Runoff (Bm <sup>3</sup> )			Share of runoff as a percentage of total	
		Mean	Standard Deviation	SR <sub>75</sub>	Wet months (June-October)	Dry months (November-May)
1	Above Ramganga confluence	10.02	5.04	5.48	81.2	18.8
2	Banas	9.89	7.11	3.51	93.8	6.2
3,4	Bangladesh	-		-	-	-
5	Bhagirathi and others	-		-	-	-
6	Chambal Lower	2.24	1.37	1.23	94.8	5.2
7	Chambal Upper	8.73	3.01	6.60	90.2	9.8
8	Damodar	-		-	-	-
9	Gandak and others	16.03	6.57	11.79	86.0	14.0
10	Ghaghara	35.56	17.55	23.34	84.0	16.0
11	Ghaghara confluence to Gomti confluence	4.72	2.07	3.32	88.3	11.7
12	Gomti	13.64	7.34	9.75	90.8	9.2
13	Kali Sindh and others up to the confluence with Parbati	15.48	6.64	10.51	80.9	19.1
14	Kosi	9.44	3.95	6.81	72.8	27.2
15	Nepal	63.17	11.59	54.44	88.0	12.0
16	Ramganga	15.56	7.79	10.11	82.6	17.4
17	Son	19.50	7.88	14.08	85.1	14.9
18	Tons	6.75	2.47	5.17	88.5	11.5
19	Upstream of Gomti confluence with Muzaffarnagar	9.38	4.77	5.70	87.8	12.2
20	Yamuna Lower	22.42	10.78	15.21	93.8	6.2
21	Yamuna Middle	4.81	3.70	2.14	78.7	21.3
22	Yamuna Upper	7.19	3.92	4.49	82.7	17.3

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238 The estimates of mean annual surface runoff at sub-basin-scale range from 2.24 Bm<sup>3</sup> in Chambal Lower  
 239 (6) to 63.17 Bm<sup>3</sup> in Nepal (15). Additionally, the high standard deviations in Table 4 indicate significant  
 240 temporal variation within sub-basins. Further analysis shows that surface runoff in the wet months (June  
 241 to October) is more than 80% of the annual surface runoff in most sub-basins (Table 4, last two columns).  
 242 This intra- and inter-annual variability of the flows clearly indicates the need for storages to capture the  
 243 excess surface runoff during the monsoon season, which could be a SSS. For this analysis, SR<sub>75</sub> was used  
 244 to identify the sub-basins that are consistently producing higher volumes of surface runoff. Figure 3 shows  
 245 the spatial distribution of SR<sub>75</sub> of sub-basins.



246  
 247 Figure 3: Sub-basin-scale annual dependable runoff (SR<sub>75</sub>) in the Ganges River Basin (1991-2010).  
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249 Ghaghara (10) sub-basin and Nepal have, by far, the largest SR<sub>75</sub>. The Kali Sindh (13), Ramganga (16), Son  
 250 (17) and Yamuna Lower (20) sub-basins have more than 10 Bm<sup>3</sup> of SR<sub>75</sub>. The Gandak (9) also produces  
 251 higher surface runoff, but the sub-basin is located in the downstream area of the Ganges River Basin.

252 Because of the high monsoon runoff, the upstream sub-basins contribute substantially to flooding in the  
253 downstream areas of the Ganges River Basin.

254 ***Total discharge of the sub-basins.***

255 The mean annual discharge from the upstream sub-basins from 2001 to 2010 was estimated and is  
256 presented in Figure 4.

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258 Figure 4: Mean annual outflow ( $\text{Bm}^3$ ) from the sub-basins in the Ganges River Basin (the numbers in  
259 black represent the mean annual outflow, and the numbers in brown on the yellow background  
260 represent numbers of the sub-basins).

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262 The highest flow of  $142.7 \text{ Bm}^3$  to Bihar in the downstream of the GRB is coming from upstream of the  
263 Gomati confluence to Muzaffarnagar (19), as it gets a large contribution from the Yamuna Lower (20) and  
264 Ramganga (16). The second highest flow ( $78.2 \text{ Bm}^3$ ) to Bihar is coming from the Ghaghara sub-basin (10)  
265 and it receives outflows from the western part of Nepal. The mean annual flow to Bihar from the various  
266 sub-basins in the Indian part of the Ganges River Basin is about  $277 \pm 121 \text{ Bm}^3$ , and the mean annual rainfall  
267 in Bihar is about  $123 \pm 32 \text{ Bm}^3$ . This indicates that the water volumes received from upstream flows are

268 more than twofold the amount of rainfall in Bihar. Flow from Ghaghara and Yamuna Lower sub-basins is  
269 approximately 30% of the total inflow from the upstream Ganges River Basin to Bihar. The contributions  
270 from Son, Kali Sindh and Ramganga are 17%, 10% and 7%, respectively. The estimated discharges at the  
271 sub-basin outlets, as shown in Figure 4, include the contributions from upstream sub-basins and also the  
272 contribution of groundwater and surface runoff to the river flow. Therefore, the values presented in Figure  
273 4 are significantly higher compared to the surface values presented in Figure 3.

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### 275 ***Un-met Water Demand for Agriculture***

276 Amarasinghe et al. (in press) estimated the un-met agricultural water demand. Two scenarios were  
277 considered in the analysis (Table 4).

278 Scenario 1: Provide irrigation to the total irrigable area, i.e., increase irrigated area in the Rabi season  
279 (November to March) from 26 million hectare (Mha) (current irrigated area in this season) to 30 Mha  
280 (irrigable area), and in the hot-weather season (April to June) from 3 Mha (current irrigated area in this  
281 season) to 30 Mha (irrigable area), respectively.

282 Scenario 2: Provide irrigation to the total cropped area. At present, not all cropped area is equipped for  
283 irrigation. i.e., irrigable area (30 Mha) is less than the cropped area (35 Mha). Therefore, the Scenario B is  
284 to increase irrigable area and to increase irrigated area from 26 to 35 Mha in the Rabi season and from 3  
285 to 35 Mha in the hot-weather season respectively.

286 As of now, all the sub-basins in the Ganges River Basin have substantial un-met water demand for  
287 agriculture in the dry period (November to May). Therefore, capturing a substantial portion of the surface  
288 runoff during the monsoon months can help close the gap between current supply of water and demand  
289 in the dry months, thus increasing agricultural productivity in these sub-basins. Table 4 presents the sub-  
290 basin-wise un-met demand and the percentage of dependable runoff required to close the un-met  
291 demand.

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Table 4: Sub-basin-wise un-met agricultural water demand and the percentage of surface runoff required to close the un-met demand.

Sub-basin	Unmet demand (Bm <sup>3</sup> )		Percentage of the SR <sub>75</sub> required to close the un-met demand	
	Scenario 1	Scenario 2	Scenario 1	Scenario 2
Above Ramganga confluence	1.71	2.44	31.2	44.5
Banas	1.21	4.09	34.5	116.6
Bangladesh	-	-	-	-
Bhagirathi and others	4.61	15.12	39.1	128.4
Chambal Lower	0.83	1.39	67.7	113.4
Chambal Upper	2.57	5.15	38.9	78.0
Damodar	-	-	-	-
Gandak and others	5.17	7.17	43.9	60.8
Ghaghara	5.11	7.49	21.9	32.1
Ghaghara confluence to Gomti confluence	3.37	2.89	101.5	87.1
Gomti	2.63	2.83	27.0	29.0
Kali Sindh and others up to confluence with Parbati	3.9	7.14	37.1	67.9
Kosi	1.03	2.39	15.1	35.1
Nepal	-	-	-	-
Ramganga	2.48	3.28	24.5	32.4
Son	1.92	11.82	13.6	83.9
Tons	0.68	2.34	13.2	45.3

Upstream of Gomti confluence to

Muzaffarnagar	2.93	3.9	51.4	68.5
Yamuna Lower	7.75	18.67	51.0	122.8
Yamuna Middle	3.41	4.72	159.1	220.2
Yamuna Upper	3.72	5.58	82.8	124.2

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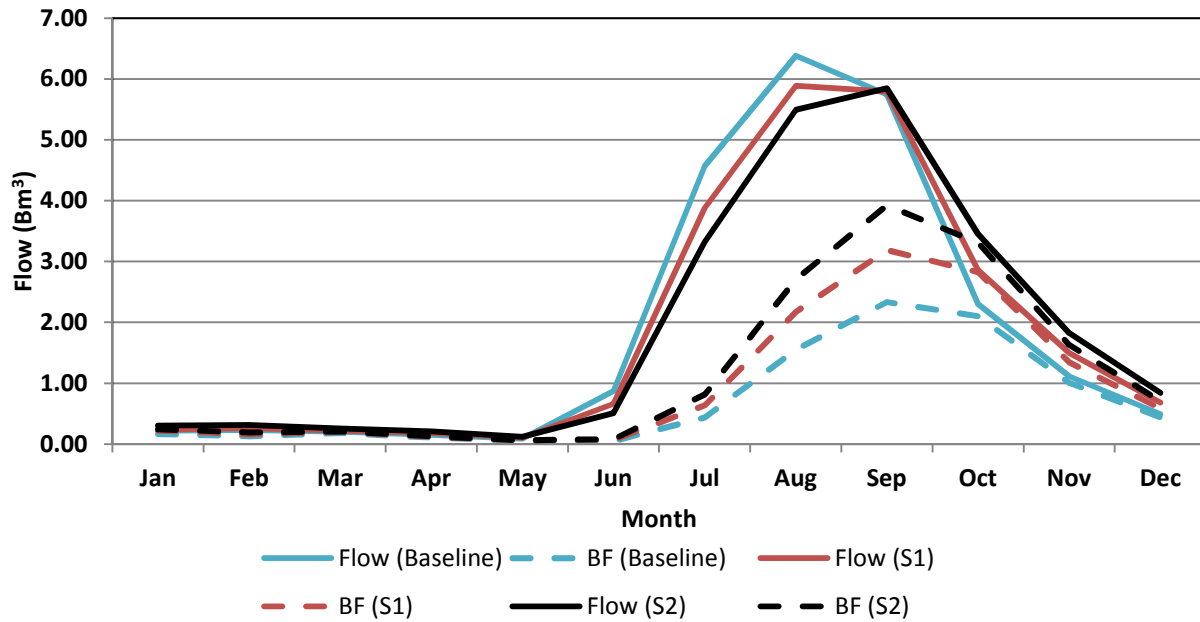
309

310 In the sub-basins, the total un-met demands are 55.03 Bm<sup>3</sup> and 108.4 Bm<sup>3</sup> under scenarios 1 and 2,  
311 respectively. The values presented in Table 4 show that, for some sub-basins, annual un-met demand  
312 exceeds the annual water availability. In these sub-basins, only a part of the un-met demand can be  
313 satisfied by additional underground storage. In some other sub-basins, the un-met demand is less than  
314 30% of the SR<sub>75</sub> of surface runoff. These sub-basins have the potential to meet all the un-met demand  
315 with SSS. In the Ramganga sub-basin, the SR<sub>75</sub> of surface runoff is about 10.1 Bm<sup>3</sup>, and approximately 83%  
316 of this runoff is occurring during the wet season. To meet the maximum un-met agricultural water demand  
317 in the Ramganga sub-basin only requires capturing 33% of the monsoon surface runoff.

### 318 *Effect of enhanced groundwater recharge on the hydrology*

319 Although surface runoff is available to store in sub-surface as presented in Table 3 and 4, it is pertinent to  
320 scrutinize the effect of capturing surface runoff on dry season flows, peak flows in the stream and the  
321 downstream water availability. This is demonstrated for the Ramganga sub-basin by simulating  
322 hydrological variables for the baseline scenario and two alternative scenarios. The scenarios assume 35%  
323 and 65% increase of groundwater recharge compared to the baseline. Increase of groundwater recharge  
324 was implemented in the calibrated SWAT model by changing the curve number (CN). Since our goal for  
325 running these scenarios is to show the feasibility of storing groundwater for future dry season water  
326 demand, we did not considered ground water pumping in the dry season. Volumes of groundwater  
327 recharge and the changes of base flow under these scenarios were estimated. Figure 5 shows the mean  
328 monthly distribution of base flow and the total stream flow at the main outlet of Ramganga under three  
329 scenarios from 1991 to 2010.





330

331 Figure 5: Mean monthly distribution of river flow and base flow in Ramganga sub-basin under different  
 332 scenarios (BF –Base flow, S1 – Scenario 1, S2 – Scenario 2) ( In this simulation groundwater pumping was  
 333 not considered).

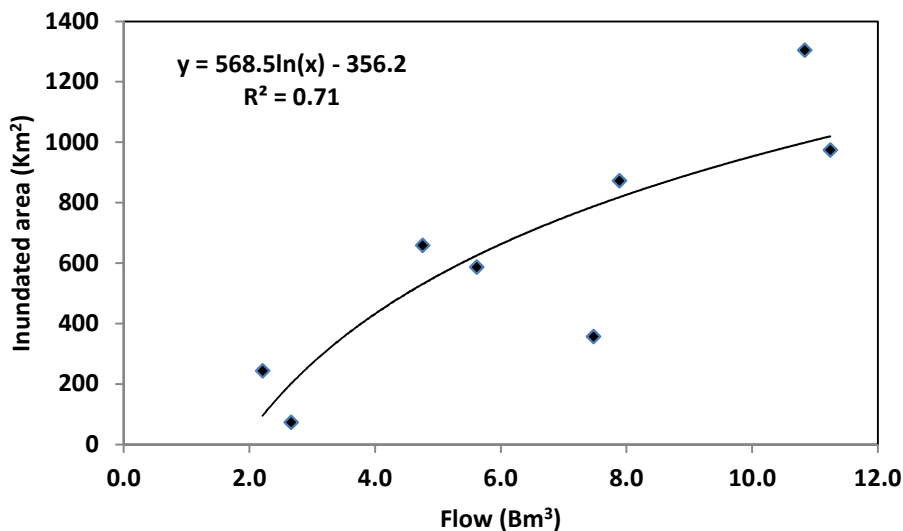
334 The results reveal that more than 85% of the recharge in Ramganga occurs between July and October and  
 335 about 80% of the groundwater contribution (base flow) occurs during the period August to November  
 336 (Figure 5). The analysis shows reduction of river flow during the high flow months of July, August and,  
 337 September, as compared to the baseline. Under the baseline scenario, the stream flow volume at the sub-  
 338 basin outlet during this three-month period is 16.7 Bm<sup>3</sup>. It reduces to 15.6 Bm<sup>3</sup> and 14.7 Bm<sup>3</sup> respectively  
 339 when groundwater recharge increased by 35% and 65% compared to the baseline scenario. The overall  
 340 reduction of high flows under the two scenarios is 6.8% and 12.2% respectively.

341 As presented in Figure 5, the high base flow occurs during the four-month period from August to  
 342 November. The baseline scenario indicates about 7.1 Bm<sup>3</sup> of base flow during these four months and it  
 343 increases to 9.5 Bm<sup>3</sup> and 11.6 Bm<sup>3</sup> when groundwater recharge is increased by 35% and 65% respectively.  
 344 Further analysis reveals that the annual recharged groundwater left in the aquifer (excluding base flow )  
 345 increases by 1.25 Bm<sup>3</sup> (35% scenario) and 1.44 Bm<sup>3</sup> (65% scenario), which is about 14% and 16% increase  
 346 respectively, as compared to the baseline.

347 This shows that increasing groundwater recharge by 35% would help to increase SSS, which is sufficient  
 348 to meet 50% and 33% of the unmet agricultural water demand under the two scenarios respectively (Table  
 349 4). Further, it shows that the overall increase of base flow during the dry months from January to May is  
 350 about 24% compared to the baseline scenario. From September to December, the river flow receives  
 351 substantial portion of water from the groundwater discharge due to the increase of recharge during the  
 352 high flow months. Therefore as presented in Figure 5, river flow after the rainy season increases. For  
 353 instance, increase of flow compared to the baseline is 28% and 53% respectively and the increase of base  
 354 flow is 36% and 65%. Further, during dry months from January to May, the increase of base (without  
 355 considering groundwater pumping) flow in two scenarios is 18% and 30%.

356 ***Effect on floods***

357 The relationship between the simulated maximum monthly river flow and the maximum flood  
 358 inundated areas in Ramganga is shown in Figure 6. Horizontal axis represents simulated maximum  
 359 monthly river flow during each year from 2003 to 2010 at the Ramganga outlet. Vertical axis shows the  
 360 maximum flood inundation areas estimated based on the satellite images in the corresponding year  
 361 (Amarnath et al., 2012).



362  
 363 Figure 6: Relationship between annual maximum floods inundated area and the maximum monthly river  
 364 flow in Ramganga.

365 The coefficient of determinant ( $R^2$ ) indicates a strong correlation between area under floods and  
 366 the annual runoff and this implies that the maximum monthly runoff explain more than 70% of the

367 variation in maximum flood inundated area. The mathematical relationship between maximum flood  
368 inundated area and the surface runoff is given in Equation 2:

$$369 \quad \text{Maximum Flood Inundated area} = 568.7 \times \ln(\text{Flow}) - 356.2 \quad (2)$$

370 The maximum monthly flow in Ramganga of about 6.4 Bm<sup>3</sup> in August (Figure 5) has a  
371 corresponding flood inundated area of about 700 km<sup>2</sup>. Reduction of peak flow to 5.9 Bm<sup>3</sup> (35%  
372 groundwater recharge scenario) would reduce the flood-inundated area by about 6.6%. Similarly, the  
373 reduction of flood-inundated area compared to the baseline scenario is about 8.0% for 65% groundwater  
374 recharge scenario. For this scenario, the reduced outflow from the basin is about 10%. This analysis show  
375 the potential impacts of enhanced sub-surface storage on the flooding in the Ramganga sub-basin located  
376 in the upstream. The volume of inflow in the Ramganga is negligible compared to the inflow received by  
377 the areas such as Bihar in the downstream. Therefore, to understand the potential impacts of SSS on  
378 flooding in the GRB, further research is required to investigate the effect of SSS on control of floods in the  
379 downstream areas.

## 380 **Discussion**

381 Water availability and demand analysis conducted in the Ganges River Basin show that there is a  
382 substantial mismatch between water demand and supply. For instance, estimated unmet annual water  
383 demand for agriculture in the GRB (based on the two scenarios discussed above) ranges from 55.03 Bm<sup>3</sup>  
384 to 108.4 Bm<sup>3</sup> while annual total runoff generated in the basin is about 298±99 Bm<sup>3</sup>, of which 80% occurs  
385 during the monsoon months. In this situation, strategies must be formulated to manage available water  
386 in the GRB in more productive manner. One management option discussed in this paper is using SSS....  
387 Augmenting SSS is important in securing downstream water availability for ecosystems and other uses  
388 such as agriculture, domestic and industrial.

389 A thorough analysis of water resource management options requires knowledge of spatial and temporal  
390 distribution of water availability and substantial amount of hydrological data. In most cases, such data is  
391 not publicly accessible. Thus remote sensing and models are helpful in filling in gaps where data is not  
392 available. Models are also helpful in analyzing impact of SSS without making large financial investments.  
393 As presented in the results section, SWAT model calibration was conducted using only flow data and the  
394 model performance indicates acceptable results. However, the model calibrated for multiple water  
395 balance components would have provided more trustworthy simulations. Other observed data such as

396 actual evapotranspiration, soil moisture etc. could have made the model more robust but such data does  
397 not exist (although satellite products are there).

398 Results of the SWAT model demonstrate its capability of estimating the spatial and temporal water  
399 availability in the sub-basins of GRB. The outcomes of the model shows its capability of assessing the effect  
400 of augmenting SSS on the hydrology of the basin. Flood inundated areas based on satellite remote sensing  
401 data (provided by another study) allowed us to investigate impact of SSS on downstream floods. However,  
402 the relationship established between floods inundated area and the river flow was only for Ramganga and  
403 further investigations are required to understand how SSS will impact on large floods in the downstream  
404 part of the basin.

405 This study focused on spatio-temporal water availability and the impacts of SSS on the hydrology in GRB.  
406 Due to limitations of the model, it didn't address the effect of pumping. However, the comparison of the  
407 recharge volumes and the base flow presented in the results section indicates the volume of water  
408 available in the aquifer for pumping. Detailed modelling exercise that couples both surface and ground  
409 water models can be one of the future research direction.

## 410 **Conclusions**

411 Creating additional SSS beyond the current levels in the Ganges River Basin can simultaneously enhance  
412 water supply and control downstream floods. The sub-basin-wise mean annual surface runoff ranges from  
413 2.24 Bm<sup>3</sup> to 35.56 Bm<sup>3</sup>, and the contribution of runoff from Nepal is about 63 Bm<sup>3</sup>. Several sub-basins in  
414 the Ganges River Basin produce sufficiently high dependable annual surface runoff that can be stored  
415 underground and used during the dry season. For instance, annual surface runoff in each of the five sub-  
416 basins in the upstream of Ganges River Basin is more than 10 Bm<sup>3</sup>, which is about 30% of total surface  
417 runoff generated in the GRB. Comparison of sub-basin-wise surface runoff with the estimated un-met  
418 water demand indicateds that capturing only a portion of the wet-season runoff would be sufficient to  
419 provide water to irrigate all the irrigable land in the dry months. Sub-basin-wise river flow analysis in the  
420 GRB shows that approximately 30% of the upstream flow to Bihar comes through the Ghaghara and  
421 Yamuna Lower sub-basins. This runoff contributes to the recurrent floods in Bihar.

422 Case study based on Ramganga indicates that increasing 35% and 65% groundwater recharge compared  
423 to the baseline scenario may reduce the peak monthly flow by about 6.8% and 12% respectively. Further  
424 the net groundwater recharge increases by 14% and 16% respectively. Further, the results indicate that

425 the dry season flow can increase by 18% and 30% in these two scenarios before meeting unmet demand  
426 by pumping. Abstracting more water than the net recharge volumes can harm the current water balance  
427 and the downstream flows and would need more analysis.

428 More than 70% of the variations of flood-inundated areas in the Ramganga sub-basin can be explained by  
429 the maximum monthly river flow values. By increasing groundwater recharge by 35% and 65% during the  
430 peak flow month's flood-inundated area can be reduced by about 6.6% and 8% respectively.

431 This study only discusses the surface water availability for SSS, and further analysis is needed to ascertain  
432 the storage capacity of the aquifer and how much additional storage capacity may be created by pumping  
433 groundwater during the dry months. Further, a detailed analysis of the soil, topographic and geological  
434 characteristics is required to determine the suitable areas for groundwater recharge.

435 Finally, to understand the detailed interactions between groundwater and surface water in the sub-basin  
436 a coupled groundwater-surface water model is required to run scenarios to investigate the effect of  
437 pumping and recharging of groundwater on the hydrology of the basin.

438

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446

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