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GlobWat – a global water balance model to assess water use in irrigated agriculture

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GlobWat is a freely distributed, global soil water balance model that is used by FAO to assess water use in irrigated agriculture; the main factor behind scarcity of freshwater in an increasing number of regions. The model is based on spatially distributed high resolution datasets that are consistent at global level and calibrated against values for Internal Renewable Water Resources, as published in AQUASTAT, FAO's global information system on water and agriculture. Validation of the model is done against mean annual river basin outflows.

The water balance is calculated in two steps: first a "vertical" water balance is calculated that includes evaporation from in situ rainfall ("green" water) and incremental evaporation from irrigated crops. In a second stage, a "horizontal" water balance is calculated to determine discharges from river (sub-)basins, taking into account incremental evaporation from irrigation, open water and wetlands ("blue" water). The paper describes methodology, input and output data, calibration and validation of the model. The model results are finally compared with other global water balance models.

Introduction

Modelling of the world's hydrological cycle is important to assess, among others, water resources availability and the sustainability of their use; the impact of climate change; and the influence on global food production (Wood et al., 2011). With regard to global food production, one of the major questions on the future of irrigated agriculture is whether there will be sufficient freshwater to satisfy the growing needs of agricultural and non-agricultural users. Agriculture accounts for about 70% of the freshwater withdrawals in the world, while consumptive use of water in agriculture (water that is evaporated on irrigated fields) accounts for about 90 % of all of the water that is evaporated for human use. Irrigated agriculture is therefore the main factor behind scarcity of freshwater in an increasing number of regions.

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AQUASTAT (FAO, 2013), FAO's information system on water and agriculture, collects its information mainly from country statistics and grey literature. As part of the AQUASTAT-programme, FAO distributes, and contributes to the maintenance and development of the Global Map of Irrigation Areas (Siebert et al., 2007, 2010, 2013), which is compatible with AQUASTAT country figures for area under irrigation. Assessing the impact of irrigation on water scarcity requires information about the geographical distribution of water use in irrigation. The Global Map of Irrigation Areas provides information about the distribution of land under irrigation, but data collection through AQUASTAT country surveys has shown that country figures for agricultural water use are not always available, and when they exist, they are often unreliable. In most cases they are rough estimates based on water use per unit area of irrigated land.

A number of global models exist that simulate water use in agriculture. WaterGAP (Döll and Fiedler, 2008; Hunger and Döll, 2008; Alcamo et al., 2007), WBMplus (Wisser et al., 2010), GEPIC (Liu, 2009; Liu and Yang, 2010), LPJmL (Biemans, 2012; Rost et al., 2008) and PCR-GLOBWB (Wada et al., 2014) are all global hydrological models that have been used to calculate water use by irrigated agriculture. Most of these models are sophisticated hydrological models that are used for detailed water balance analyses in which consumptive water use in agriculture is only one of the components of the water balance rather than the main focus of analysis. As a consequence, almost of all them are developed at a spatial disaggregation (30 arcmin or coarser) which is not consistent with the heterogeneity and spatial variability of irrigation as captured in FAO's high resolution (5 arcmin) Global Map of Irrigation Areas.

To overcome the mismatch between global models and the Global Map of Irrigation Areas, Siebert and Döll (2008, 2010) developed the Global Crop Water Model (GCWM) to calculate irrigated crop water requirements on grid resolution of 5 arcmin by using the MIRCA2000 dataset (Portmann et al., 2008). However, contrary to the earlier mentioned models, the GCWM does not simulate the influence of incremental crop evapotranspiration on the hydrological cycle in the sense that the outcomes are calibrated or validated on river discharges.

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Therefore, in order to be able to estimate current and future water use in agriculture objectively and the consequent impact on river basin mean annual flow, a global water balance model, GlobWat, was developed. The defining feature of the model is that it is based on a set of spatially differentiated datasets on a high resolution that are consistent at global level, and that model outputs are validated against actual basin outflows.

2 Methodology

Precipitation provides part of the water crops need to satisfy their transpiration requirements. The soil stores part of the precipitation water, which is later evaporated or transpired by plants. In humid climates, the water stored by the soil is sufficient to ensure satisfactory growth in rainfed agriculture. Instead, in climates with extended dry periods, irrigation is necessary to compensate for the evaporation deficit due to insufficient precipitation. Net irrigation water requirements in irrigation are defined as the volume of water needed to compensate for the deficit between potential crop evaporation and effective precipitation over the growing period of the crop. It varies considerably with climatic conditions, seasons, crops and soil types.

GlobWat has spatially distributed input and output layers consisting of monthly precipitation, number of wet days per month, coefficient of variation of precipitation, monthly reference evaporation, maximum soil moisture storage capacity, maximum groundwater recharge flux, irrigated areas, land use, and areas of open water and wetlands. All these input layers are based on freely available spatial datasets with a resolution of 10 arcmin for the climate datasets and 5 arcmin for all the terrain and land datasets (details and references of the datasets are given in Table 3).

The global water balance is calculated in two steps. First a one dimensional "vertical" water balance is solved (in daily time steps, on a spatial grid layer with a resolution of 5 arcmin) to calculate rainfed evaporation (including rainfed evaporation over open water and wetlands) and evaporation from irrigated areas. In a second step, a "horizontal"

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water balance for surface water is calculated (in monthly time steps, on the basis of a spatial layer with catchments) to correct for the incremental evaporation from open water and wetlands and to calculate river discharges from (sub-)basins taking into consideration the net water demand for irrigation. The monthly time step for the horizontal balance is justified on the basis of the hydrological lag between daily rainfall events and outflows generated from runoff and effluent groundwater discharge. The use of vertical and horizontal water balances helps to clarify discussions on "green" and "blue" water (Falkenmark and Rockström, 2004), as well as on water footprints (Hoekstra and Chapagain, 2007). The significance of open water and wetlands as evaporative "sinks" is also made explicit. A schematic representation of these two calculation steps is represented in Fig. 1.

In long-term, stationary conditions (therefore ignoring changes in storage) the model is based on two simple equations. For the vertical water balance:

$$P + E_{\text{incr-irr}} = E_{\text{rain}} + E_{\text{incr-irr}} + R_{\text{O}} + R \tag{1}$$

and for the horizontal (surface) water balance:

$$Q_{\text{out}} = Q_{\text{in}} + R_{\text{O}} + R - E_{\text{incr-irr}} - E_{\text{incr-ow}} - E_{\text{incr-wetl}}$$
 (2)

with: $P = \text{precipitation } (L^3 T^{-1}), E_{\text{rain}} = \text{rainfed evaporation } (L^3 T^{-1}), Q_{\text{in}} = \text{inflow } (L^3 T^{-1}), R_{\text{O}} = (\text{sub-}) \text{surface runoff } (L^3 T^{-1}), R = \text{groundwater recharge (in Step 1)/baseflow (in Step 2) } (L^3 T^{-1}), E_{\text{incr-irr}} = \text{incremental evaporation from irrigation } (L^3 T^{-1}), E_{\text{incr-ow}} = \text{incremental evaporation over open water } (L^3 T^{-1}), E_{\text{incr-wetl}} = \text{incremental evaporation over wetlands } (L^3 T^{-1}), Q_{\text{out}} = \text{outflow } (L^3 T^{-1}), \text{ where } L \text{ is length and } T \text{ is time.}$

Following Savenije (2004), the model description uses the term evaporation (*E*) to describe evaporative processes originating from soil evaporation, transpiration and interception. In agro-hydrology these processes are often referred to as evapotranspiration (ET).

The detailed calculations procedure for the model is explained below.

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The soil water balance model is similar to the Thornwaite and Mather procedure (Steenhuis and Van der Moolen, 1986) adapted for daily timesteps. The basic soil water balance equation for this model is as follows:

$$F = E_{\text{rain}} + R + R_{\text{O}} + \Delta S / \Delta t \tag{3}$$

with: $P = \text{precipitation } (\text{mm d}^{-1}), E_{\text{rain}} = \text{rainfall-dependent evaporation } (\text{mm d}^{-1}), R = \text{ground water recharge } (\text{mm d}^{-1}), R_{\text{O}} = (\text{sub-}) \text{surface runoff } (\text{mm d}^{-1}), \Delta S = \text{changes in soil moisture storage } (\text{mm}), \Delta t = \text{time step } (d).$

The computation of water balance is carried out on a spatial resolution of 5 arcmin grid-cells and in daily time steps.

4 Precipitation

Daily precipitation is generated from monthly figures by using a mixed Bernoulli gamma distribution function (Wilks and Wilby, 1999). First the number of wet days is randomly distributed over the month by using a Bernoulli distribution, and then the amount of monthly precipitation is randomly distributed over the wet days by using a gamma distribution with parameters derived from the dataset with coefficients of variation of precipitation.

Usually, precipitation generators use Markov chains to generate precipitation events (Schoof and Pryor, 2008). However, the datasets used do not include information to parameterise these Markov chains. To take into consideration that the chance of rainfall after a wet day is higher is than the chance of rainfall after a dry day the following simple adjustment was made:

$$P_{\text{wetwet}} = (1 + \text{corr}) \times P_{\text{wetmean}} \tag{4}$$

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$$P_{\text{wetdry}} = P_{\text{wetmean}} \times (1 - P_{\text{wetwet}}) / (1 - P_{\text{wetmean}})$$
 (5)

with: P_{wetwet} = probability of a wet day after a wet day, P_{wetdry} = probability of a wet day after a dry day, P_{wetmean} = average probability of wet day calculated as:

$$_{5}$$
 $P_{\text{wetmean}} = \text{wet days/days of the month}$ (6)

corr = correction coefficient calculated as:

$$corr = 0.5 \times (days of the month - wet days)/days of the month$$
 (7)

Applying this adjustment, the chance on a wet day after a wet day is almost 1.5 times as high when the amount of wet days approaches to 0, while the chance on wet day after a wet day approaches the average chance on a wet day if the amount of wet days is high.

The spatial distribution of all climate data is determined by the CRU CL 2.0 dataset (New et al., 2002).

Rainfall-dependent evaporation

Rainfall-dependent evaporation (E_{rain}) is assumed to be equal to the maximum evaporation of a land use or vegetation type when evaporation is not hampered by water shortage. Maximum evaporation is calculated by multiplying reference evaporation with a crop or land use factor (Allen et al., 1998). In dry periods, when the available soil moisture is reduced below a certain level, lack of water reduces evaporation to an extent proportional to the available soil moisture.

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$$E_{\text{rain}}(t) = K_{\text{c}} \times E_{\text{o}}(t)$$
 for $S_{\text{max}} \ge S(t-1) \ge S_{\text{eav}}$ (8)

$$E_{\text{rain}}(t) = K_{\text{c}} \times E_{\text{o}}(t) \times S(t-1)/(S_{\text{eav}}) \qquad \text{for } S(t-1) < S_{\text{eav}}$$
 (9)

$$S_{\text{eav}} = 0.5 \times S_{\text{max}} \tag{10}$$

$$_{5} S_{\text{max}} = \text{Rt}_{\text{d}} \times \text{SC}_{\text{max}}$$
 (11)

with: t = time step indicator, $E_{\text{rain}}(t) = \text{rainfed evaporation on } t \pmod{-1}$, $E_{\text{o}}(t) = t$ reference evaporation on $t \pmod{1}$, $K_c = \text{crop or land use factor } (-), S(t-1) =$ available soil moisture on t-1 (mm), $S_{max} = maximum$ soil moisture storage (mm), $S_{\rm eav}$ = easily available soil moisture (mm), Rt_d = effective root depth (m), SC_{max} = maximum soil moisture storage capacity (mm m⁻¹).

The crop or land use factor K_c is not constant over the year. It varies during the growing season depending on the growing stage. However, for rainfed conditions differentiated K_c factors were not applied, since no distinction was made between the different crops and their cropping calendars. The K_c -factors used were attributed to the Global Agricultural Systems Map (FAO, 2011b) according to Table 1.

As can be seen from the equations above, the easily available soil moisture (S_{eav}) is defined as half the maximum soil moisture storage (S_{max}). In reality, easily available moisture depends on the type of plant, its growing stage and soil type, and it varies from about 40 to 60% of the maximum soil moisture storage capacity (SC_{max}) (Raes et al., 2012). For a global model, 50 % can be considered a reasonable approximation.

The spatial distribution of the maximum soil moisture storage capacity (SC_{max}) was derived from the Harmonised World Soil Database (FAO et al., 2012).

The effective root depth of vegetation is the part of the root zone from which the plant extracts the majority of the water it needs, and therefore it depends both on soil and plant characteristics as well as the amount of water available. There are different ways to estimate the effective root depth, i.e. half the maximum root depth (Evans et al., 1996) or the soil depth in which 90% of the weight of the roots is found (Allen et al.,

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6 Groundwater recharge, actual available soil moisture and (sub-)surface runoff

Groundwater recharge is assumed to occur only above a threshold level when there is enough water available in the soil to percolate downward in the model. The recharge rate depends of a maximum possible groundwater recharge flux, which is derived from the "Groundwater Resources of the World Map" provided by the World-wide Hydrogeological Mapping and Assessment Programme (WHYMAP) (BGR and UNESCO, 2008), and is assumed to be proportional to the available soil moisture.

In equations:

$$R(t) = R_{\text{max}} \times (S(t-1) - S_{\text{eav}}) / (S_{\text{max}} - S_{\text{eav}}) \qquad \text{for } S_{\text{max}} \ge S(t-1) \ge S_{\text{eav}}$$
 (12)

$$R(t) = 0 for S(t-1) < S_{eav} (13)$$

with: R(t) = recharge flux on t (mmd⁻¹), R_{max} = maximum groundwater recharge flux (mmd⁻¹).

The available soil moisture is calculated per day by adding in- and outgoing fluxes to the available soil moisture of the day before. Runoff occurs when the balance of the inand outgoing fluxes exceed the maximum soil moisture storage capacity.

In equations:

$$B(t) = S(t - 1) + (P(t) - E_{rain}(t) - R_{O}(t)) \times \Delta t$$
(14)

if $B(t) < S_{max}$ then:

$$S(t) = B(t) \tag{15}$$

$$R_{\mathcal{O}}(t) = 0 \tag{16}$$

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$$S(t) = S_{\text{max}} \tag{17}$$

$$R_{\rm O}(t) = (B(t) - S_{\rm max})/\Delta t \tag{18}$$

with: $B(t) = \text{balance on } t \text{ (mm)}, \ R_{O}(t) = \text{(sub-)surface runoff on } t \text{ (mmd}^{-1}), \ S(t) = \text{available soil moisture on } t \text{ (mm)}.$

7 Evaporation for crops under irrigation

Evaporation for crops under irrigation are calculated by multiplying reference evaporation with a crop and growing stage specific factor according to the FAO Penman–Monteith method (Allen et al., 1998). It is assumed that there is always enough water available to assure that crops under irrigation never suffer water stress.

The evaporation of a crop under irrigation (E_c) is calculated as follows:

$$E_{c}(t) = K_{c} \times E_{o}(t) \tag{19}$$

with: $E_c(t)$ = crop evaporation under irrigation on t (mmd⁻¹), $E_o(t)$ = reference evaporation on t (mmd⁻¹), K_c = crop or land use factor (–).

Crop coefficients (K_c) have been derived for four different growing stages: the initial phase (just after sowing), the development phase, the mid-phase and the late phase (when the crop is ripening to be harvested). In general, these coefficients are low during the initial phase, after which they increase during the development phase to high values in the mid-phase again lower in the late phase. It is assumed that the initial phase, the development phase and the late phase each take one month for each crop, while the duration of the mid-phase varies according to the type of crop. For example, the growing season for wheat in Morocco starts in October and ends in April, as follows: initial phase: October ($K_c = 0.4$), development phase: November ($K_c = 0.8$), mid-phase: December–March ($K_c = 1.15$), and late phase: April ($K_c = 0.3$).

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Evaporation requirements of crops in irrigated agriculture are calculated by converting data of irrigated area by crop (at the national level) into a cropping calendar with monthly occupation rates of the land equipped for irrigation. Cropping calendars have been developed by AQUASTAT (http://www.fao.org/nr/water/aquastat/water_use_agr/ index2.stm) for each of the countries or country groups of the study (except for China, India and the United States, that were divided in several zones of homogenous cropping patterns). Table 2 presents the irrigation cropping calendar for Morocco, derived from AQUASTAT data for the year 2004.

The rate of evaporation coming from the irrigated area per month and per grid cell is calculated by multiplying the area equipped for irrigation as derived from the Global Map of Irrigation Areas (Siebert et al., 2007), with cropping intensity and crop evaporation for each crop:

$$E_{\text{c-total}}(t) = I_{\text{A}} \times \sum_{\text{C}} (C_{\text{Ic}} \times E_{\text{c}}(t))$$
 (20)

with: $E_{\text{c-total}}(t)$ = total evaporation for all crops under irrigation on t in mm d⁻¹, I_{A} = area equipped for irrigation as percentage of cell area for the given grid-cell, c = crop under irrigation, Σ_{c} = sum over the different crops, C_{lc} = cropping intensity for crop c, $E_{\text{c}}(t)$ = crop evaporation on t in mm d⁻¹, varying for each crop and each growth stage.

The difference between the calculated evaporation of the irrigated area, $E_{\rm c}$, and the evaporation provided by rainfall, $E_{\rm rain}$, is equal to the incremental evaporation due to irrigation, which equals the net irrigation water requirement:

$$E_{\text{incr-irr}}(t) = E_{\text{c-total}}(t) - E_{\text{rain}}(t)$$
 (21)

with: $E_{incr-irr}(t) = incremental$ evaporation due to irrigation on t (mm d⁻¹).

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$$E_{\text{ow}}(t) = K_{\text{ow}} \times E_{\text{o}}(t) \tag{22}$$

and:

$$B(t) = (P(t) - E_{ow}(t)) \times \Delta t \tag{23}$$

with: $E_{ow}(t)$ = actual evaporation over open water on t (mmd⁻¹), K_{ow} = open water coefficient (-), B(t) = open water balance on t (mm).

If B(t) < 0 then:

$$R_{\mathcal{O}}(t) = 0 \tag{24}$$

$$E_{\mathsf{rain}}(t) = P(t) \tag{25}$$

$$E_{\text{incr-ow}}(t) = (-1 \times B(t))/\Delta t \tag{26}$$

 $_5$ If B(t) ≥ 0 then:

$$R_{\mathcal{O}}(t) = B(t)/\Delta t \tag{27}$$

$$E_{\text{rain}}(t) = E_{\text{ow}}(t)/\Delta t \tag{28}$$

$$E_{\text{incr-ow}}(t) = 0 \tag{29}$$

with: $E_{incr-ow}(t) = incremental$ evaporation over open water on $t \pmod{-1}$.

Evaporation over swamps and wetlands is calculated separately, but in the same way as evaporation over open water. For this study, open water evaporation and evaporation

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over swamps and wetlands is assumed to be 10% higher than reference evaporation $(K_{ow} = 1.1).$

The spatial distribution of open water and wetlands was derived from the Global map of lakes and wetlands (Lehner and Döll, 2004).

It was decided to make a distinction between the rainfall-dependent evaporation over open water and incremental evaporation over open water, to make it possible to distinquish between evaporation of water that is available from the "vertical" water balance (the rainfall minus evaporation) and the water that has to come from outside the spatial domain of calculation (the incremental evaporation).

River basin discharges

To calculate discharges from river basins and sub-basins, a global map of river basins was used which was developed in the framework of FAO's study: "the state of the world's land and water resources for food and agriculture - managing systems at risk" (FAO, 2011b). For this study major river basins and their sub-basins were delineated from the HydroSHEDS database. Sub-basins were named and assigned a flow direction, indicating the sub-basin directly downstream of each sub-basin. Aggregated water balances were calculated on a monthly timestep by subtracting all evaporation occurring over the sub-basin from the sum of the total precipitation over the sub-basin and the inflow from upstream basins (Eq. 30).

$$B_{\rm sb}(t) = Q_{\rm in}(t) + \sum P(t) - \sum E(t)$$
 (30)

with: $B_{\rm sh}$ = water balance of aggregated grid cells in a sub-basin (m³ month⁻¹), t = time step indicator, Q_{in} = incoming flow in the sub-basin calculated as the sum of the outflow from all upstream sub-basins (m³ month⁻¹), $\sum P$ = precipitation summed over all gridcells in the sub-basin (m³ month⁻¹), $\sum E$ = total evaporation summed over all grid-cells in the sub-basin (m³ month⁻¹).

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$$S_{sh}(t) = S_{sh}(t-1) + (B_{sh}(t) - Q_{out}(t-1)) \times \Delta t$$
(31)

and:

$$Q_{\text{out}}(t) = S_{\text{sb}}(t) \times F \tag{32}$$

with: $S_{\rm sb}$ = river sub-basin storage (m³), Δt = time step (month), $Q_{\rm out}$ = outflow from the sub-basin (m³ month⁻¹), F = response factor (1 month⁻¹).

The response factor F in Eq. (32) depends on the size and the characteristics of the sub-basin. It can be defined as the one over the retention time of the water in the basin in months. For small, quickly reacting sub-basins, the monthly outflow can be equal to the monthly inflow and F will be 1. Large sub-basins or sub-basins with high storage capacity have high retention times and therefore low values for the response factor F. For all sub-basins in this study a response factor F of 0.3 was assumed. No differentiation was made between different carry-over factors since analysing monthly differences in stream flow was beyond the scope of the study.

10 Irrigation efficiencies

GlobWat calculates the incremental evaporation over areas under irrigation. In the case of paddy rice, an additional volume of water is used for flooding to control weeds. This volume of water can be calculated by multiplying the area under irrigated paddy rice by a water layer of 20 cm. The total irrigation requirements can then be calculated as follows:

$$Irr_{req} = (E_{incr-irr}(yr) \times A_{cell} + 0.2 \times A_{paddy}(yr)) \times 10$$
(33)

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with: $Irr_{req} = total$ irrigation requirements per year (m³), $E_{incr-irr}$ (yr) = incremental evaporation due to irrigation per year (mm), $A_{cell} = area$ of the grid-cell (ha), A_{paddy} (yr) = harvested area under paddy irrigation per year (ha).

In order to calculate irrigation efficiencies, the total irrigation water requirements were compared with the amount of water withdrawn for irrigation as available in AQUASTAT (http://www.fao.org/nr/water/aquastat/data/query/index.html). Since the years for which AQUASTAT data on water withdrawals generally do not concur with the years for which the cropping calendars are derived, the most recent country values for agricultural water withdrawal were extrapolated towards the year for which cropping calendars are valid. This was done by using the item "total area equipped for irrigation" as available in FAOSTAT (http://faostat.fao.org/site/377/default.aspx#ancor) in Eq. (34):

$$Q_{\text{aww}}(\text{yr-cc}) = Q_{\text{aww}}(\text{yr-aww}) \times \text{AEI}(\text{yr-cc})/\text{AEI}(\text{yr-aww})$$
(34)

with: $Q_{aww} = agricultural$ water withdrawal per country (m³ yr⁻¹), AEI = total area equipped for irrigation per country (ha), yr-cc = year for which a cropping calendar is available, yr-aww = year with latest available country values for agricultural water withdrawal.

The average of the years for which cropping calendar data is available is 2004, and consequently the calculated outputs of GlobWat as presented here are on average valid for that year. Water withdrawal data in AQUASTAT that were estimated on the basis of earlier model calculations were excluded from the exercise.

Irrigation efficiencies can be calculated by applying Eq. (35) per country for those countries for which country data on agricultural water withdrawals are available. For those countries for which no water withdrawal data are available, irrigation efficiencies have been estimated based on countries nearby with similar conditions with regard to climate and economic development.

$$Irr_{eff} = Irr_{req}/Q_{aww}$$
 (35)

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with: $Irr_{eff} = irrigation$ efficiency (-), $Irr_{req} = total$ irrigation requirements (m³ yr⁻¹), $Q_{\text{aww}} = \text{agricultural water withdrawal (m}^3 \text{ yr}^{-1}).$

Input and output datasets

The input datasets used are derived from public domain data sets and are found in Table 3.

The results of the water balance calculations consist of monthly values by grid-cell for generated precipitation, actual evaporation, incremental evaporation due to irrigated agriculture, surface runoff, groundwater recharge and water stored as soil moisture. Aggregated annual water balances can be calculated for any desired spatial domain (e.g.: countries or river basins) and include, apart from the above mentioned variables, incremental evaporation over open water and incremental evaporation over wetlands.

Model results, calibration and validation

Water balances have been generated by the model and aggregated for each country to compare them with AQUASTAT data on "Internal Renewable Water Resources (IRWR)" and "internally produced groundwater". The Internal Renewable Water Resources of a country are defined as: "long-term average annual flow of rivers and recharge of aquifers generated from endogenous precipitation". It corresponds to the sum of surface runoff and groundwater recharge as calculated by the model. Internally produced groundwater is defined as "long-term annual average groundwater recharge, generated from precipitation within the boundaries of the country", which was compared to the model generated groundwater recharge.

Calibration of the model was only done for the "vertical" water balance (step 1 in Fig. 1). Calibration factors were applied for hydrologically more or less uniform AQUA-STAT regions as described in "the state of the world's land and water resources for food

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and agriculture – managing systems at risk" (FAO, 2011b). Two layers with calibration factors were used, one to adjust (sub)-surface runoff fluxes by multiplying the Maximum soil moisture storage capacity, the Effective rooting depth and the Number of wet days with a calibration factor (Cal_{sw}), and another one to fine tune ground water recharge by multiplying the Maximum groundwater recharge flux with a Ground water calibration factor (Cal_{gw}). Cal_{sw} varies from 0.55–2 and Cal_{gw} from 1–2.5. In Table 4 the results of the calibration are presented.

Validation of the model output was done by comparing average river discharges of the Global River Discharge Database (Center for Sustainability and the Global Environment, 2014). The stations with discharge data in the Global River Discharge Database are not always located at the mouth of the river basin. Therefore the specific discharge, defined as discharge divided by the area over which the discharge is generated, was calculated for the stations situated as close as possible to the mouth of the river. The specific discharges per station were then compared with the specific discharge per river basin as derived from the modelled data. Table 5 shows the result of this validation exercise, for 51 river basins with an area greater than 100 000 km². Figure 2 shows the same results in a graph.

The total area weighted average specific discharge as measured over the above listed river basins is 332 mm. The area weighted average difference (observed minus simulated) in specific discharges is 2 mm, which indicates that the model underestimates the total discharge over all river basins by 0.7%.

The model results have also been evaluated against the three quantitative statistical indicators recommended by Moriasi et al. (2007): the above listed results have a Nash–Sutcliffe efficiency (NSE) of 0.90 (where 1 would be the ideal model), a percent bias (PBIAS) of -3.0% (not taking into account the area of the basin, the model overestimates, on average per basin, the discharge by 3.0%), and a Root Mean Square Error – Standard Deviation Ratio (RSR) of 0.31 (the RSR can vary from the optimal value of 0- no residual variation, so perfect simulation – to a large positive value).

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According to Moriasi et al., model simulation can be judged as satisfactory if NSE < 0.50, PBIAS $< \pm 25$ %, and RSR < 0.70.

The outputs of the model are global raster maps with a resolution of 5 arcmin containing monthly information on: generated precipitation, total actual evaporation from the soil water balance component, incremental evaporation from irrigation, incremental evaporation from lakes and wetlands, drainage fluxes and groundwater recharge. The output maps are available on FAO's AQUAMAPS-website: http://www.fao.org/nr/water/aquamaps/.

As an example, Fig. 3 shows total yearly actual evaporation (the sum of actual evaporation from the soil water balances and incremental evaporation from irrigated areas, lakes and wetlands).

Figure 4 shows the calculated accumulated outflow per sub-basin. Since the HydroSHEDS database does not provide data above 60° northern latitude, and due to the fact that in these areas population densities are generally low, the mapped sub-basins are of a lower spatial resolution which can be seen in Greenland and the northern flowing river basins in Siberia (e.g. Ob, Lena, Yenissey).

Apart from maps, the model also calculates aggregated results per country, per major basin and per sub-basin. As an example, the total global water balance as calculated by GlobWat is presented in Table 6.

In Table 7, incremental evaporation due to irrigation as calculated by GlobWat; total irrigation water requirements; amounts of water withdrawn for irrigation as available in AQUASTAT (http://www.fao.org/nr/water/aquastat/data/query/index.html); and irrigation efficiencies, are presented for the hydrological regions as shown in Table 4. Since more or less reliable water withdrawal data per country are available for less than 50 % of the countries included in this analysis, it was decided to present water use efficiency values for hydrological regions only rather than for individual countries.

Figure 5 shows the distribution of global water stress by major river basin based on incremental evaporation caused by irrigation as a percentage of total generated groundwater and surface water resources. Levels of water stress are often classified

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by using the "Millennium Development Goals – Water Indicator". The MDG Water Indicator measures water stress per country on the ratio between total water withdrawals for human use over total renewable water resources (UN, 2008; FAO, 2013). Using this indicator, it is estimated that a withdrawal rate above 20 % of renewable water resources represents "substantial" pressure on water resources while more than 40 % is considered "critical" (FAO, 2011b). Other classifications use thresholds of 0–10 % no stress, 10–20 %, low stress, 20–40 % moderate stress, and more than 40 % severe stress (UN, 1997). The mentioned stress classifications include all water withdrawals. Taking into account that agriculture accounts for more than 90 % of the consumptive use of global water withdrawals (FAO, 2012), and that on average incremental evaporation due to irrigation is about half of irrigation water withdrawals (Table 8), one can assume thresholds of water stress classes based on incremental evaporation that are half of the above thresholds based on water withdrawals. Water stress can then be considered substantial when incremental evaporation caused by irrigation exceeds 10 % of the generated water resources in a river basin. River basins in which water stress

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exceeds 20 % should be considered critically stressed.

Modelling exercises, performed with earlier versions of the model and with other input datasets have been used by FAO on several occasions and were documented in: the FAO perspective studies: "World agriculture: towards 2015–30; an FAO perspective" (Bruinsma, 2003), "World Agriculture – Towards 2030 and 2050" (FAO, 2006), "World Agriculture – Towards 2050 and 2080" (FAO, 2011a), the global assessment of water use and availability carried out for the state of the world's land and water resources for food and agriculture (FAO, 2011b). However, the model results were never systematically compared with the outputs of other models. For this article, the output of GlobWat is compared with the models WaterGAP, WBMplus, GEPIC, LPJmL, PCR-GLOBWB and GCWM as mentioned in the introduction of this article. In Table 8 the calculated

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amount of water used in agriculture for these models is compared with the results of GlobWat. Values for the incremental evaporation due to irrigation for all models except PCR-GLOBWB were found in Hoff et al. (2010). Values for the incremental evaporation due to irrigation PCR-GLOBWB, and values on water withdrawal for irrigation were found in the references mentioned above.

Table 8 shows that the values of "incremental evaporation due to irrigation" are fairly similar among the different models (except for GEPIC which shows significantly lower results than the other models). With regard to the "water withdrawals for irrigation", the results of GlobWat and LPJmL are very similar, and, to a lesser extent, so are the results from WaterGap2 and WBMplus. This implies that the irrigation efficiencies as assumed by WaterGAP2 and WBMplus (around 38%) are significantly lower than those from GlobWat and LPJmL (around 50%). Irrigation efficiencies for PCR-GLOBWB are in between these values at around 41%. The calculated irrigation efficiency for GlobWat (55%) is higher than the irrigation efficiencies for all other models. This mainly due to the fact that for GlobWat also the water requirements to flooding paddy rice are incorporated in the irrigation water requirements. If the irrigation efficiency of GlobWat would be calculated as incremental evaporation divided by water withdrawals, it would result in a value of 48%.

In addition to the higher resolution as compared to most other global (agro)hydrological models, GlobWat differs from these models in the explicit differentiation between evaporation from in situ rainfall and incremental evaporation occurring over wetlands, open water and irrigated areas of water that is conveyed from elsewhere. This distinction is especially useful to differentiate between the part of the evaporation that is influenced through water management (incremental evaporation or "blue water") and the part of the evaporation that can be influenced only through land management (evaporation from in situ rainfall or "green water").

Unlike other models, GlobWat makes also a distinction between "green" and "blue" water over open water and wetlands. This distinction is not only important in terms of the internal consistency of the model concept over all land cover classes, it is espe-

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cially relevant for the calculation of renewable water resources. In the model, renewable water resources are calculated as the sum of all generated groundwater and surface water, which equals precipitation minus evaporation from in situ precipitation ("green" water). If the precipitation exceeds evaporation, the precipitation surplus over open water and wetlands contributes to the renewable water resources. However, if evaporation exceeds precipitation over open water and wetlands, the evaporation surplus or incremental evaporation over open water and wetlands is not incorporated in the calculation of generated renewable water resources. This is necessary to account for water resources generated in internal river basins, which would otherwise be classified as having no renewable water resources at all.

Excluding the incremental evaporation over wetlands and open water has as a consequence that some river basins in Fig. 5, like for example the Nile river basin, seem to be less stressed as one would expect. In the case of the Nile basin, the lower than expected stress levels are due to water losses over wetlands and open water. According to the GlobWat results more than 50 % of all the water resources that are generated in the Nile river basin evaporate as incremental evaporation over open water and wetlands, specifically over the Sudd wetlands.

In conclusion, GlobWat is a simple model that has been designed specifically to assess the impact of irrigated agriculture on the global hydrological cycle. The model was calibrated using country-level data on total internal renewable water resources and groundwater resources, and validated with discharge data from major river basins. The model has a high resolution of 5 min and distinguishes between evaporation from in situ rainfall and from water transported in from elsewhere. Model outputs include estimates of consumptive water use by agriculture which were compared with AQUASTAT country data on water withdrawals for agriculture to calculate irrigation efficiencies.

GlobWat, including all input datasets, will be made freely available for download at FAO's AquaMaps website: http://www.fao.org/nr/water/aquamaps/.

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 Table 1. Vegetation types derived from FAO's Global Agricultural Systems Map.

Vegetation class	Kc-factor
Rainfed agriculture: dry tropics	0.9
Rainfed agriculture: humid tropics	1
Rainfed agriculture: highlands	0.9
Rainfed agriculture: subtropics	1
Rainfed agriculture: temperate	1
Rangelands: subtropics	0.8
Rangelands: temperate	0.9
Rangelands: boreal	0.8
Forest	1.1
Desert	0.7
Other	1

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Table 2. Cropping calendar in irrigation for Morocco for the year 2004.

Crop under	Irrigated	Crop area as share (per cent) of the total area actually irrigated by month								month			
irrigation	area (1000 ha)	J	F	М	Α	М	J	J	Α	S	0	N	D
Wheat	355	24%	24%	24%	24%						24%	24%	24%
Rice	8			1%	1%	1%	1%	1 %					
Maize	60			4%	4%	4%	4%	4%					
Barley	95	6%	6%	6%							6%	6%	6%
Potatoes	31												
First season	20	1 %	1%	1%	1%								1%
Second season	10					1%	1%	1%	1%	1%			
Sugar Beet	75			5%	5%	5%	5%	5%	5%				
Sugar Cane	23	2%	2%	2%	2%	2%	2%	2%	2%	2%	2%	2%	2%
Pulses	47												
First season	21	1%	1%								1%	1%	1%
Second season	26			2%	2%	2%	2%	2%					
Vegetables	205												
First season	146	10%	10%	10%	10%								
Second season	49					3%	3%	3%	3%				
Third season	10									1%	1%	1%	1%
Citrus	78	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%
Fruits	131	9%	9%	9%	9%	9%	9%	9%	9%	9%	9%	9%	9%
Oil crops	187	13%	13%	13%	13%	13%	13%	13%	13%	13%	13%	13%	13%
Sunflower	14			1%	1%	1%	1%	1%					
Cotton	8			1%	1%	1%	1%	1%	1%	1 %			
Fodder (annual)	133	9%	9%	9%							9%	9%	9%
Fodder (perennial)	15	1%	1%	1%	1%	1%	1%	1%	1%	1 %	1%	1%	1%
Sum over all crops	1465	81 %	81 %	93%	77%	46%	46 %	46 %	39 %	31 %	71 %	71 %	72 %
Actually irrigated	1485												
Equipped for irrigation	1485												
Total cropping intensity	99%												

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Table 3. Input datasets.

Мар	Resolution	Source
Global maps of monthly precipitation	10 min	New et al. (2002)
Global maps of wet days per month	10 min	New et al. (2002)
Global maps of coefficient of variation of precipitation per month	10 min	New et al. (2002)
Global maps of monthly reference evaporation	10 min	Calculated according to FAO (1998) with input data from New et al. (2000)
Maximum soil moisture storage capacity	5 min	Derived from the Harmonised World Soil Database, FAO et al. (2012)
Maximum groundwater recharge flux	5 min	Derived from WHYMAP, BGR and UNESCO (2008)
Land use or vegetation type coefficient (Kc)	5 min	Derived from FAO's Global Agricultural Systems Map, FAO (2011b)
Global map of irrigation areas	5 min	Siebert et al. (2007)
Global map of lakes and wetlands Global map of river basins and sub-basins	5 min	Derived from Lehner and Döll (2004) FAO (2011b)

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Table 4. Calibration factors per hydrological region.

Region	Cal-	IRWR (mm)	Recharge and	diff.	Cal-	Ground water	Ground water	diff.
	SW	AQUASTAT	drainage (mm),	(mm)	gw	recharge (mm)	recharge (mm),	(mm)
			modelled			AQUASTAT	modelled	
Northern Africa	1.5	9	8	0	1.5	3	2	0
Sudano-Sahel	2	19	38	20	1	7	6	-1
Gulf of Guinea	1.7	455	475	20	1.5	134	146	11
Central Africa	1.9	353	409	56	1.2	156	185	29
Eastern Africa	2	96	138	41	1.2	33	34	1
Southern Africa	2	57	101	44	1	17	24	7
Indian Ocean Islands	1	571	590	20	1	94	109	15
Northern America	0.7	299	268	-31	2.5	92	84	-9
Mexico	1	210	206	-4	2.5	71	66	-5
Central America	0.7	1335	1306	-29	2.5	397	263	-134
Greater Antilles	0.9	440	389	-51	2.2	126	110	-15
Lesser Antilles	0.9	218	386	168	2.2	141	108	-33
Guyanas	0.9	1051	1109	58	2.5	512	333	-179
Andes	0.7	1106	1041	-64	2.5	278	194	-84
Brazil	1	638	761	123	2	221	311	91
Southern America	0.7	322	347	25	2.5	81	54	-27
Arabian Peninsula	2	2	7	4	2.5	2	0	-2
Caucasus	0.6	393	359	-34	2.5	151	72	-79
Iran	0.6	79	102	22	2.5	30	11	-20
Near East	0.7	181	163	-18	2.5	54	47	-7
Central Asia	0.7	57	73	16	2.5	9	22	13
South Asia	0.9	465	537	72	2.5	130	81	-49
East Asia	0.7	296	227	-69	2.5	77	57	-20
Mainland South East Asia	0.8	993	987	-5	2.5	326	200	-126
Maritime South East Asia	0.9	1323	1436	113	1	282	276	-6
Northern Europe	0.55	687	555	-133	2	120	112	-8
Western Europe	0.7	444	469	25	1	143	130	-13
Central Europe	0.75	228	223	-5	1	64	75	11
Mediterranean Europe	0.7	391	367	-24	2.4	89	97	9
Russian Federation	0.55	257	264	7	1.2	47	51	4
Eastern Europe	0.75	135	154	18	1	45	59	14
Australia and New Zealand	1	103	102	-1	1	9	11	1
Pacific Islands	0.7	1802	1779	-23	2	_		_

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Table 5. Validation results per river basin.

		Measure	d		Modelled		Difference
River Basin	Discharge	Upstream	Specific	Basin	Total	Specific	in specific
	$(m^3 s^{-1})$	area (km²)	discharge (mm)	area (km²)	outflow (m ³ s ⁻¹)	discharge (mm)	discharge (mm)
Amazon	171 663	4618746	1172	5 987 204	209 190	1102	70
Congo	40 192	3475000	365	3712251	43 154	367	-2
Mississippi – Missouri	17 031	2964254	181	3 265 753	21 829	211	-30
Nile	2744	3 080 000	28	3 083 546	2733	28	0
Ob	12475	2430000	162	3010822	13 422	141	21
Yenisey	17682	2440000	229	2 561 252	19 465	240	-11
Lena	16621	2430000	216	2401442	14 553	191	25
Niger	1149	1 000 000	36	2142881	7516	111	-74
Amur	9739	1730000	178	2079157	14 103	214	-36
Yangtze	25 031	1 705 383	463	1 791 327	23 602	416	47
Mackenzie	8343	1 570 000	168	1 755 147	2801	50	117
Ganges – Bramaputra	30796	1 483 030	655	1 669 861	26 724	505	150
Volga	8086	1 360 000	188	1 466 717	12 280	264	-77
St Lawrence	6881	764 600	284	1 304 701	14 687	355	-71
Saskatchewan - Nelson	2402	1 000 000	76	1 131 261	607	17	59
Syr Darya	541	219 000	78	1113531	0	0	78
Orange	157	850 530	6	986 696	383	12	-6
Orinoco	28723	850 000	1066	976 688	39 807	1285	-220
Murray - Darling	256	991 000	8	929 640	889	30	-22
Tocantins	12 173	758 000	506	916 945	21 781	749	-243
Tigris - Euphrates	1570	408 100	121	915 121	1336	46	75
Indus	2396	832 418	91	868 766	137	5	86
Huang He	1209	688 421	55	832 699	1671	63	-8
Mekong	7994	391 000	645	803 765	16814	660	-15
Amu Darya	1500	450 000	105	798 035	0	0	105
Danube	6498	807 000	254	797 546	6770	268	-14
Colorado, North America	463	289 562	50	650 653	631	31	20
Sao Francisco	2817	510 800	174	638 834	2624	130	44
Rio Grande - Bravo	69	459 902	5	552 282	404	23	-18
Dnieper	1483	463 000	101	511 573	2214	137	-35
Senegal	665	218 000	96	478 482	882	58	38
Don	787	378 000	66	443 541	2678	190	-125
Xun Jiang	7084	329 705	678	412 937	9198	702	-25
Volta	1124	394 100	90	412 735	1971	151	-61
Limpopo	75	196 000	12	411 728	88	7	5
Colorado, South America	104	22 300	147	374 438	681	57	90
Parnaiba	842	282 000	94	332 770	1384	131	-37
Godavari	3061	299 320	323	314617	4534	455	-132
Krishna	1641	251 355	206	274 596	1546	178	28
Northern Dvina	3315	348 000	300	273 375	3128	361	-60
Magdalena	6973	257 438	854	260 535	8646	1047	-192
Neva	2511	281 000	282	227 929	2471	342	-60
Wisla	1056	194 376	171	192 953	920	150	21
Rhine	2291	159 680	452	186 771	2361	399	54
Negro	762	95 000	253	161 660	990	193	60
Chao Phraya	776	110 569	221	157 633	1528	306	-84
Mahandi	1883	132 090	450	145 260	2893	628	-178
Elbe	744	123 532	190	140 390	883	198	-176 -9
Grijalva – Usumacinta	2030	47 697	1342	132 063	4386	1047	295
Oder	536	109 729	154	120 587	567	148	6
	835	110 000	239	116 573	1191	322	-83

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Table 6. Global terrestrial water balance.

	10^9m^3	(mm)
Precipitation	105316	(805)
Rainfed evaporation	61 106	(467)
Renewable Water Resources	44211	(338)
Incremental evaporation over open water	1184	(9)
Incremental evaporation over wetlands	2899	(22)
Incremental evaporation from irrigation	1268	(10)
Outflow to sea	38 859	(297)

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Table 7. Water use in agriculture per hydrological region.

Region	Incremental evaporation due to irrigation (10 ⁶ m ³)	Total irrigation requirements (10 ⁶ m ³)	Irrigation "efficiency" ^a	Irrigation wate withdrawals (10 ⁶ m ³
Northern Africa	55 975	57 291	69 %	83 388
Sudano-Sahel	11 019	11 608	42 %	27 825
Gulf of Guinea	2077	2203	43 %	5183
Central Africa	241	272	24 %	1151
Eastern Africa	2773	3033	25 %	12 294
Southern Africa	8730	8767	41 %	21 283
Indian Ocean Islands	2273	4398	21 %	21 220
Northern America	108 903	111 072	56 %	199 842
Mexico	25 902	26 034	43 %	61 200
Central America	3726	3920	48 %	8246
Greater Antilles	1863	2348	38 %	618
Lesser Antilles	56	104	38 %	27
Guyanas	346	711	36 %	199
Andes	16912	18 225	31 %	58 12
Brazil	13 038	15 296	48 %	31 70
Southern America	18 452	19 303	51 %	37 57
Arabian Peninsula	20 936	20 936	57 %	36 74
Caucasus	4492	4492	37 %	12 22
Iran	47 929	49 185	55 %	88 84
Near East	49 854	50 092	51 %	98 36
Central Asia	59 853	60 621	47 %	127 88
South Asia	469 665	531 646	58 %	909 74
East Asia	205 531	269 871	71 %	382 57
Mainland South East Asia	47 670	79 094	46 %	173 70
Maritime South East Asia	30 242	57 469	39 %	148 69
Northern Europe	813	813	58 %	139
Western Europe	4497	4497	72 %	628
Central Europe	1107	1115	42 %	266
Mediterranean Europe	29 552	30 358	69 %	43 76
Russian Federation	8949	9227	42 %	22 07
Eastern Europe	2300	2342	42 %	560
Australia and New Zealand	12 051	12 089	64 %	18 78
Pacific Islands	0	0	_	(
World	1 267 727	1 468 433	55 %	2 656 82

^a Calculated according to Eq. (35).

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Table 8. Global water use in agriculture as calculated by different models.

Model	Water withdrawals for irrigation (km ³ yr ⁻¹)	Total irrigation requirements (km ³ yr ⁻¹)	Incremental evaporation due to irrigation (km³ yr ⁻¹)	Reference year	Spatial resolution	Irrigation efficiency
GlobWat	2657	1468	1268	on average 2004	5 arcmin	55 %
WaterGAP2	3594	-	1300	1995	30 arcmin	36 %
WBMplus	3250	_	1301	1998-2002	30 arcmin	40 %
LPJmL	2650	-	1364	1981-2000	30 arcmin	51 %
GEPIC	_	_	927	2000	30 arcmin	_
GCWM	_	-	1180	1998-2000	5 arcmin	-
PCR-GLOBWB (CRU TS2.1-ref. Scen.)	2885	_	1179	2000	30 arcmin	41 %

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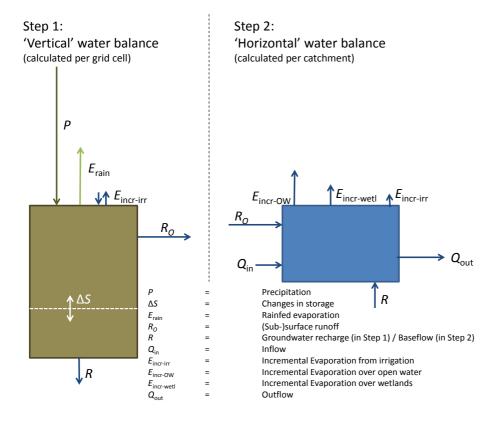


Figure 1. Schematic representation of modelling steps.

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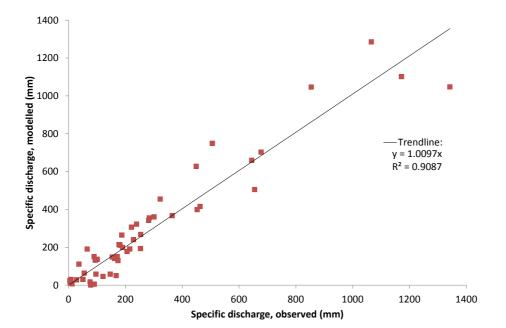


Figure 2. Graphic representation of validation results in which modelled specific river discharges are compared to observed specific river dicharges.

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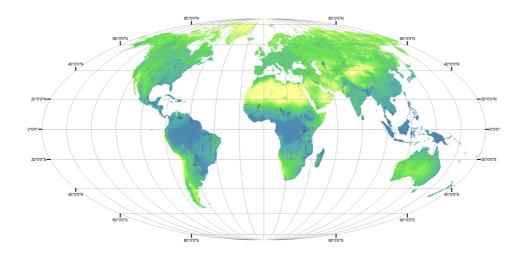
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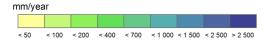


Figure 3. Average global actual evaporation per year.

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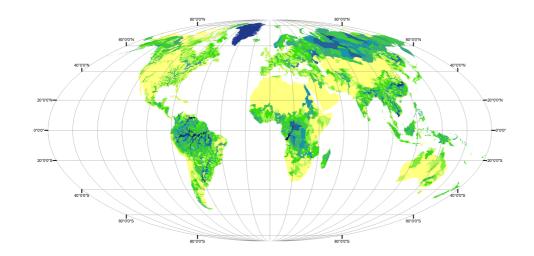
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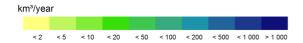
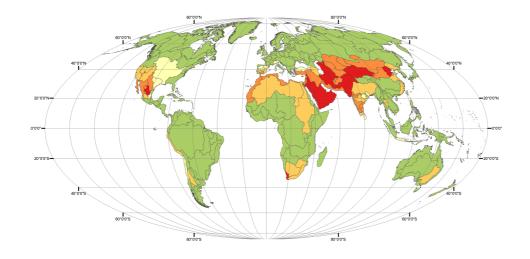


Figure 4. Average annual outflow per sub-basin.



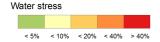


Figure 5. Water stress per major river basin expressed as percentage of incremental evaporation due to irrigation over generated groundwater and surface water resources.

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